Privacy Preserving Biometrics based Remote Authentication Protocol for Mobile Devices

March 22, 2016

1 Motivation:

There has been a major shift from traditional passwords based authentication to biometrics based authentication in consumer applications in the recent past as major service providers such as the leaders in banking [1, 2, 3], credit cards [4] and e-commerce [5, 6] are adopting biometrics to authenticate users. Biometrics is a strong factor of authentication due to its ability to uniquely identify an individual. Different vendors have adopted it for different motivations, for examples, Amazon uses selfies based on facial recognition techniques to avoid the difficulty in typing passwords to authenticate transactions in mobile devices with small screens [5] and Master cards has adopted it in order to drastically cut-down the cost of false decilned transactions [4].

Two main contexts in which biometrics is being used for authentication are: in-person authentication [7] and remote authentication [2]. In the first case, user is present at the authenticator's premise when authentication is performed and a device of the autheticator captures the biometrics. In the second case, authentication is performed over the network and the biometrics is captured by the user's device. While there are common challenges w.r.t both cases due to the sensitivity (being tightly coupled with one's identity) non-repeatability (no two biometrics samples of the same individual match exactly) and non-revocable (inability to cancel/renew) nature of biometrics, the second case involves more challenges than the first one in terms of liveliness verification of the biometrics to avoid spoofing attacks, secure transmission of the authentication information and security of the user's device. Furthermore, biometrics based remote authentication is being widely used since online-banking and e-commerce applications are increasingly adopting it.

Aforementioned commercial authentication systems that are being deplyoed today, inherit key security concerns irrespective of the fact that they incorporate state-of-the-art facial/voice recognition algorithms and liveliness verification techniques. First, since users' biometrics templates are stored in the server databases for matching during the authentication, they become major targets of attackers. For examples, in Google Hands Free system [7], user's picture taken at the authentication time is matched with the user's Hands Free profile picture and in Citi bank system, user's pre-recorded voice samples are matched with the voice captured when the user call in. Second, multiple third party service providers are storing different biometrics traits of the same user (such as face, voice and fingerprint) for their proprietary authentication protocols. This creates multiple points of vulnerability on one's biometrics identity, due to linkability [8]. Third, the current protocols require the users to send a raw biometrics sample over the network each time the user remotely authenticates using biometrics, which is not desirable. Stolen biometrics templates from the server databases or from the authentication channels lead to identity theft which poses severe threat to user's digital identity, compared to the case in which a password is stolen, because biometrics samples reveal sensitive features of the user's biometrics identity which can not be revoked.

Therefore, it is best to avoid storing or transmitting sensitive biometrics information during authentication because we can not solely rely on encryption to protect biometrics databases and authentication channels as there have been many instances of password breaches in the past, although such techniques were used to secure passwords during storage and transmission. The second issue mentioned above can be avoided by getting a trusted identity provider (IDP) to enroll user's biometrics identity [7, 9]. However, if the IDP is involved in each transaction that the user authenticates, it undermines the user's privacy since the IDP gets to know about different transactions that the user performs with different service providers.

To address aforementioned security and privacy concerns, we aim to develop a biometrics based remote authentication protocol with following characteristics:

1. User's biometrics identity is enrolled with only a trusted IDP (i.e. biometrics is not stored with multiple service providers).

- 2. Sensitive features of user's biometrics is not stored anywhere.
- 3. Sensitive features of user's biometrics is not revealed during authentication.
- 4. After the initial enrollment with the IDP, users can carry out biometrics based authentication with multiple service providers without involving the IDP. (i.e. user centric authentication protocol as opposed to traditional IDP-centric authentication protocol).
- 5. Efficient in terms of computation and communication in order for it to be carried out from user's mobile devices.

In what follows we describe our roadmap of realizing the goal of developing a privacy preserving biometrics based remote authentication protocol with the aforementioned characteristics.

2 Past and on-going research work:

ZKP. This has been used for static identity based authentication for a long time, since its first inception in 1986 - FFS. Fulfils all requirements except for one major challenge. Challenge is using biometrics as the identity due to its non-static nature. We needed to develop a mechanism that creates repeatable, revocable and unique BID. We use ML methods as opposed to traditional distance matching (I need to do a benchmarking to prove that ML outperforms distance matching). Unique, non-repeatable, non-revocable.

Overview of the solution

(from our paper)

Currently, we are implementing it in the mobile phone, to test its feasibility in terms of performance and security. Auth app is separate - which is trusted, which is invoked by any third party client app.

3 Next research goal:

In the aforementioned solution that we develop to achive our goal mentioned in Section 1, we assume the support of Trusted Execution Environment (TEE) in the user's mobile device to securely store the artifacts obtained from the IDP during the enrollment phase and to execute BID generation using the classifier during the authentication phase. TEE isolates the storage and execution from other applications which provides further protection in addition to encrypting the artifacts during storage and which is being used by Apple touch ID and android fingerprint authentication framework as well. While there are extensive research on the development of TEE technology [10], there are attacks being discovered [11] on them too. Since widely used implementations of TEE technology such as ARM's TrustZone are proprietary and not disclosed for public review, the level of assurance provided against a given threat model is unclear [12].

Furthermore, recent advancement in memory forensics techniques [13], pose threats on processing sensitive data in the memory of user's device in clear text, as it is proved that such data could be recovered from memory images even long after the processing of such data is finished. Although it is theoretically possible to clear the memory soon after the processing of sensitive data, it is not practical because it requires accurate tracking of each data object in order to clear them upon destruction of the process, which is a very heavy-weight operation that no commodity operating system performs.

Therefore, it is at our best interest to develop a privacy preserving biometrics based authentication protocol which achieves all the security and privacy goals mentioned in Section 1, based on theoretical foundations and without assuming any hardware security support. Fully homomorphic encryption (FHE) [14] is one solution to avoid decryption of sensitive biometrics information during authentication, however, it has certain drawbacks to be used in building an authentication protocol with the aforementioned characteristics, as the service provider learns the authentication function, which is the classification model in our case, and it needs to obtain the secret key to decrypt the authentication result, which in turn allows it to decrypt the biometrics data as well. Yao's garbled circuit [15], on the other hand, is a better cryptographic building block as it

allows secure computation of a function f on input data x to obtain the result f(x) while hiding both the function and the input data from the function evaluator.

Related work:

What people have already done in this direction: - GC for biometrics authentication - GC on mobile devices - GC for classification - Reusabile GC.

Challenges:

Reusability of the garbled circuits. Extending building blocks which are defined for two party settings to achieve our goals in three party settings. Implementing. Performance. Protocol achieving all the security and privacy goals. How to incorporate liveliness check. Have to rely on trusted auth app.

Contribution of this work:

We aim to produce the following research output:

- 1. Designing a privacy preserving biometrics based remote authentication protocol with aforementioned characteristics, using re-usable garbled circuits.
- 2. A prototype implementation of the protocol.
- 3. Security and performance analysis of the protocol.

4 Background and potential impact:

- After the current work is over, at the end of this semester, we will have a working prototype of our past approach in the mobile phone. PhD student Hasini will be attending a summer school conducted by leaders of secure mpc and oblivious computation research to learn the current-state-of-the-art techniques. She has got a travel grant form NSF to participate in this. Google ATAP project has accepted a proposal written by us and has funded Purdue. Can help make existing biometrics based remote authentication in day-to-day transactions such as online-banking and e-commerce secure, which already has a large user base and increasing.
- Generalization of this techniques can have impact on other ML tasks where privacy is a concern.

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