

# Numerical calculation of spectral problems in $SP_3$ approximation by FEM

**Alexander O. Vasilev**

North-Eastern Federal University, 58, Belinskogo, Yakutsk, Russia

E-mail: [haska87@gmail.com](mailto:haska87@gmail.com)

**Abstract.** The  $SP_3$  approximation of the neutron transport equation allows improving the accuracy for both static and transient simulations for reactor core analysis compared with the neutron diffusion theory. Besides, the  $SP_3$  calculation costs are much less than higher order transport methods ( $S_N$  or  $P_N$ ). Another advantage of the  $SP_3$  approximation is a similar structure of equations that is used in the diffusion method. Therefore, there is no difficulty to implement the  $SP_3$  solution option to the multi-group neutron diffusion codes. In this work, the application of the  $SP_3$  methodology based on solution of the  $\lambda$ - and  $\alpha$ -spectral problems has been tested for reactor benchmarks. The FEM is chosen to achieve the geometrical generality. The results calculated with the diffusion and  $SP_3$  methods are compared with the reference calculation results.

## 1. Introduction

The diffusion approximation of the neutron transport equation is widely used in nuclear reactor analysis allowing whole-core calculations with reasonable accuracy. The  $SP_3$  [?] method can be considered as an improved approximation of the neutron transport equation compared with the diffusion method. In this regard, it will be very useful to compare the spectral parameters, calculated by both the diffusion and  $SP_3$  methods.

To characterize the reactor steady-state conditions or dynamic behavior, some spectral problems are considered [?, ?]. The steady-state condition is usually described by solution of a spectral problem ( $\lambda$ -eigenvalue problem); the fundamental eigenvalue (the largest eigenvalue) is called  $k$ -effective of the reactor core. The reactor dynamic behavior can naturally be described on the basis of the approximate solution expansion in time-eigenvalue of  $\alpha$ -eigenvalue problem [?]. At large times, one can talk about the asymptotic behavior of a neutron flux, whose amplitude is  $\exp(\alpha t)$ . Previously the complex eigenvalues and eigenfunctions were found in the spectral problems for some numerical tests [?].

## 2. Problem statement

Lets consider the symmetric form of the  $SP_3$  equation for the neutron flux [?]. The neutron dynamics is considered in the limited convex two-dimensional or three-dimensional area  $\Omega$  ( $\mathbf{x} = \{x_1, \dots, x_d\} \in \Omega$ ,  $d = 2, 3$ ) with boundary  $\partial\Omega$ . The neutron transport is described by

the system of equations

$$\begin{aligned}
& \frac{1}{v_g} \frac{\partial \phi_{0,g}}{\partial t} - \frac{2}{v_g} \frac{\partial \phi_{2,g}}{\partial t} - \nabla \cdot D_{0,g} \nabla \phi_{0,g} + \Sigma_{r,g} \phi_{0,g} - 2\Sigma_{r,g} \phi_{2,g} = \\
& = (1 - \beta) \chi_{n,g} S_n + S_{s,g} + \chi_{d,g} S_d, \\
& - \frac{2}{v_g} \frac{\partial \phi_{0,g}}{\partial t} + \frac{9}{v_g} \frac{\partial \phi_{2,g}}{\partial t} - \nabla \cdot D_{2,g} \nabla \phi_{2,g} + (5\Sigma_{t,g} + 4\Sigma_{r,g}) \phi_{2,g} - 2\Sigma_{r,g} \phi_{0,g} = \\
& = -2(1 - \beta) \chi_{n,g} S_n - 2S_{s,g} - 2\chi_{d,g} S_d,
\end{aligned} \tag{1}$$

where

$$\begin{aligned}
S_n &= \sum_{g'=1}^G \nu \Sigma_{f,g'} \phi_{g'}, \quad S_{s,g} = \sum_{g \neq g'=1}^G \Sigma_{s,g' \rightarrow g} \phi_{g'}, \quad S_d = \sum_{m=1}^M \lambda_m c_m, \\
\phi_{0,g} &= \phi_g + 2\phi_{2,g}, \quad D_{0,g} = \frac{1}{3\Sigma_{tr,g}}, \quad D_{2,g} = \frac{9}{7\Sigma_{t,g}}, \quad g = 1, 2, \dots, G.
\end{aligned}$$

Here  $G$  — number of energy groups,  $\phi_g(\mathbf{x}, t)$  — scalar flux,  $\phi_{0,g}(\mathbf{x}, t)$  — 0th moment of angular flux,  $\phi_{2,g}(\mathbf{x}, t)$  — 2th moment of angular flux,  $\Sigma_{t,g}(\mathbf{x}, t)$  — total cross-section,  $\Sigma_{tr,g}(\mathbf{x}, t)$  — transport cross-section,  $\Sigma_{r,g}(\mathbf{x}, t)$  — removal cross-section,  $\Sigma_{s,g' \rightarrow g}(\mathbf{x}, t)$  — scattering cross-section,  $\chi_g$  — spectra of neutrons,  $\nu \Sigma_{f,g}(\mathbf{x}, t)$  — generation cross-section,  $c_m(\mathbf{x}, t)$  — density of sources of delayed neutrons,  $\lambda_m$  — decay constant of sources of delayed neutrons,  $M$  — number of types of delayed neutrons.

The density of sources of delayed neutrons is described by the equations

$$\frac{\partial c_m}{\partial t} + \lambda_m c_m = \beta_m S_n, \quad m = 1, 2, \dots, M, \tag{2}$$

where  $\beta_m$  is the fraction of delayed neutrons of  $m$ -type, and  $\beta = \sum_{m=1}^M \beta_m$ . The Marshak-type conditions are set at the boundary of the area  $\partial\Omega$

$$\begin{bmatrix} J_{0,g}(\mathbf{x}) \\ J_{2,g}(\mathbf{x}) \end{bmatrix} = \begin{bmatrix} \frac{1}{2} & -\frac{3}{8} \\ \frac{3}{8} & \frac{21}{8} \end{bmatrix} \begin{bmatrix} \phi_{0,g}(\mathbf{x}) \\ \phi_{2,g}(\mathbf{x}) \end{bmatrix}, \quad J_{i,g}(\mathbf{x}) = -D_{i,g} \nabla \phi_{i,g}(\mathbf{x}), \quad i = 0, 2. \tag{3}$$

System of equations (1) and (2) is supplemented with boundary conditions (3) and corresponding initial conditions

$$\phi_g(\mathbf{x}, 0) = \phi_g^0(\mathbf{x}), \quad g = 1, 2, \dots, G, \quad c_m(\mathbf{x}, 0) = c_m^0(\mathbf{x}), \quad m = 1, 2, \dots, M. \tag{4}$$

Let's write the boundary problem (1)–(4) in operator form. The vectors  $\mathbf{u}_1 = \{\phi_{0,1}, \phi_{0,2}, \dots, \phi_{0,G}\}$ ,  $\mathbf{u}_2 = \{\phi_{2,1}, \phi_{2,2}, \dots, \phi_{2,G}\}$ ,  $\mathbf{c} = \{c_1, c_2, \dots, c_M\}$  and matrices are defined as follows

$$\begin{aligned}
V &= (v_{gg'}), \quad v_{gg'} = \frac{1}{v_g} \delta_{gg'}, \quad B = (b_{gg'}), \quad b_{gg} = -2\Sigma_{r,g}, \quad b_{gg'} = 2\Sigma_{s,g' \rightarrow g}, \\
A_1 &= (a_{gg'}), \quad a_{gg} = -\nabla \cdot D_{0,g} \nabla + \Sigma_{r,g}, \quad a_{gg'} = -\Sigma_{s,g' \rightarrow g}, \\
A_2 &= (a_{gg'}), \quad a_{gg} = -\nabla \cdot D_{2,g} \nabla + 5\Sigma_{tr,g} + 4\Sigma_{r,g}, \quad a_{gg'} = -4\Sigma_{s,g' \rightarrow g}, \\
F &= (f_{gg'}), \quad f_{gg'} = \chi_{n,g} \nu \Sigma_{f,g'}, \quad E = (e_{gm}), \quad e_{gm} = \chi_{d,g} \lambda_m, \\
\Lambda &= (\lambda_{mm'}), \quad \lambda_{mm'} = \delta_{mm'} \lambda_m, \quad Q = (q_{mg}), \quad q_{mg} = \beta_m \nu \Sigma_{f,g},
\end{aligned}$$

where  $\delta_{gg'}$  is the Kronecker symbol. We shall use the set of vectors  $\mathbf{u}_1, \mathbf{u}_2$ , whose components satisfy the boundary conditions (3). Using the set definitions, the system of equations (1) and (2) can be written as following

$$\begin{aligned} V\left(\frac{\partial \mathbf{u}_1}{\partial t} - 2\frac{\partial \mathbf{u}_2}{\partial t}\right) + A_1\mathbf{u}_1 + B\mathbf{u}_2 &= (1 - \beta)F(\mathbf{u}_1 - 2\mathbf{u}_2) + E\mathbf{c}, \\ V\left(-2\frac{\partial \mathbf{u}_1}{\partial t} + 9\frac{\partial \mathbf{u}_2}{\partial t}\right) + A_2\mathbf{u}_2 + B\mathbf{u}_1 &= -2(1 - \beta)F(\mathbf{u}_1 - 2\mathbf{u}_2) - 2E\mathbf{c}, \\ \frac{\partial \mathbf{c}}{\partial t} + \Lambda\mathbf{c} &= Q(\mathbf{u}_1 - 2\mathbf{u}_2). \end{aligned} \quad (5)$$

The Cauchy problem is formulated for equations (5) when

$$\mathbf{u}_1(0) = \mathbf{u}_1^0, \quad \mathbf{u}_2(0) = \mathbf{u}_2^0, \quad \mathbf{c}(0) = \mathbf{c}^0, \quad (6)$$

where  $\mathbf{u}_1^0 = \{\phi_{0,1}^0, \phi_{0,2}^0, \dots, \phi_{0,G}^0\}$ ,  $\mathbf{u}_2^0 = \{\phi_{2,1}^0, \phi_{2,2}^0, \dots, \phi_{2,G}^0\}$  and  $\mathbf{c}^0 = \{c_1^0, c_2^0, \dots, c_M^0\}$ .

### 3. Spectral problems

The spectral problem, which is known as the  $\lambda$ -spectral problem, is usually considered. For the system of equations (5), we have

$$L\boldsymbol{\varphi} = \lambda^{(k)}M\boldsymbol{\varphi}, \quad (7)$$

where

$$\boldsymbol{\varphi} = \{\varphi_1, \varphi_2\}, \quad L = \begin{pmatrix} A_1 & B \\ B & A_2 \end{pmatrix}, \quad M = \begin{pmatrix} F & -2F \\ -2F & 4F \end{pmatrix}.$$

The minimal eigenvalue is used for characterisation of neutron field, thus  $k = 1/\lambda_1^{(k)}$  is the effective multiplication factor (k-effective). The value  $k = 1$  is related to the critical state of the reactor, and the corresponding eigenfunction  $\boldsymbol{\varphi}^{(1)}(\mathbf{x})$  is the stationary solution of the Eq (5). At  $k > 1$ , one can speak about supercriticality, at  $k < 1$  — about subcriticality.

The spectral problem (7) cannot directly be connected with the dynamic processes in a nuclear reactor. The eigenvalues of the multiplication factor of the reactor and the corresponding eigenfunctions do not depend on the time delay for the emission of delayed neutrons. At the best, we can get only the limiting case — the stationary critical state. The more acceptable spectral characteristics for the non-stationary equation (5) are related the spectral problem

$$\begin{aligned} L\boldsymbol{\varphi} - (1 - \beta)M\boldsymbol{\varphi} - I\mathbf{s} &= \lambda^{(\alpha)}W\boldsymbol{\varphi}, \\ \Lambda\mathbf{s} - R\boldsymbol{\varphi} &= \lambda^{(\alpha)}\mathbf{s}. \end{aligned} \quad (8)$$

where

$$I = \begin{pmatrix} E \\ -2E \end{pmatrix}, \quad R = (Q \quad -2Q), \quad W = \begin{pmatrix} V & -2V \\ -2V & 9V \end{pmatrix}$$

The fundamental eigenvalue  $\alpha = \lambda_1^{(\alpha)}$  is called [?] the  $\alpha$ -eigenvalue or the period eigenvalue, because it is inversely related to the reactor period. If  $\alpha = 0$ , then the reactor is critical, if  $\alpha < 0$  — supercritical state and if  $\alpha > 0$  — subcritical state.

The problem of the period eigenvalues essentially takes into account the contribution of delayed neutrons. In particular, the long lifetime of the predecessors of delayed neutrons makes a large contribution to the slowly decreasing eigenfunctions of the reactor period, and this does not occur when only prompt neutrons are taken into account.

The asymptotic behaviour of Cauchy problem solution (5)-(6) at large times can be connected with the eigenvalue  $\alpha$ . In this regular mode, the reactor behaviour is described by the function  $\exp(-\alpha t)\boldsymbol{\varphi}^{(1)}(\mathbf{x})$ .

#### 4. Numerical examples

To study the properties of the eigenvalues and eigenfunctions of different types, several benchmarks are studied. The first benchmark is the IAEA-2D with radial reflector. Finally, we studied the complex eigenvalues and eigenfunctions for the heavy water hexagonal reactor test HWR. Description and coefficients are here [?].

The two-group model ( $G = 2$ ) is used in all tests. The method of finite elements [?] on triangular calculation grids is used for the approximate solution of the spectral problems. The standard Lagrangian finite elements are used. The software has been developed using the library FEniCS [?]. SLEPc has been used for numerical solution of the spectral problems. We used a Krylov-Schur algorithm with an accuracy of  $10^{-15}$ . The following parameters were varied in the calculations:

- $n$  — the number of triangles per one assembly;
- $p$  — the order of finite element.

In this work we compare the  $SP_3$  calculations with the previous diffusion model calculations [?, ?].

##### 4.1. IAEA-2D with reflector

*4.1.1. Solution of Lambda Modes spectral problem* As a reference solution we take the solution obtained using the MCNP4C code [?]. The maximum difference in assembly power between two models is about 2 percent for the rodged assemblies (material 3).

**Table 1.** The effective multiplication factor.

$n$	$p$	$k_{dif}$	$\Delta_{dif}$	$\delta_{dif}$	$t$	$k_{sp_3}$	$\Delta_{sp_3}$	$\delta_{sp_3}$	$t$
6	1	1.01041	418	14.34	0.01	1.01159	536	14.14	0.02
	2	1.00623	0	1.95	0.04	1.00711	88	2.19	0.14
	3	1.00558	65	0.70	0.10	1.00636	13	0.35	0.47
24	1	1.00699	76	4.82	0.03	1.00792	169	4.96	0.13
	2	1.00561	62	0.77	0.21	1.00640	17	0.42	0.96
	3	1.00551	72	0.70	0.56	1.00626	3	0.17	2.97
96	1	1.00591	32	1.47	0.19	1.00671	48	1.42	0.85
	2	1.00552	71	0.73	1.14	1.00626	3	0.18	6.37
	3	1.00551	72	0.72	3.49	1.00625	2	0.18	20.34
Ref.		1.00623				1.00623			

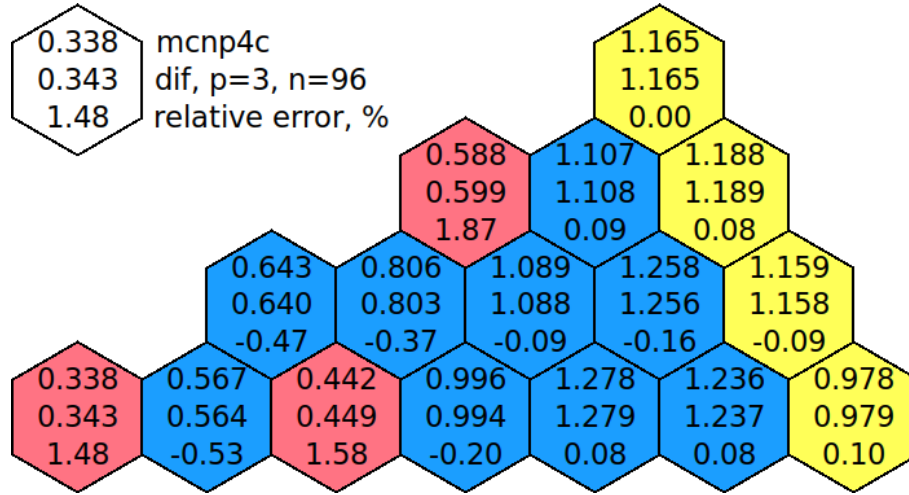
The comparison of the calculated effective multiplication factors is shown in Table 1. The following notation is used:  $k_{dif}$  — effective multiplication factor for the diffusion model;  $k_{sp_3}$  — effective multiplication factor for the  $SP_3$  model;  $\Delta$  — absolute deviation from the reference value in pcm ( $10^{-5}$ );  $\delta$  — the standard deviation of the relative power in percent,  $t$  — calculation time in sec. These data demonstrate the convergence of the computed eigenvalues with refinement of the calculation mesh and increase polynomial degree.

The results of the first 10 eigenvalues for  $p = 3, n = 96$  are presented in Table 2. The power distributions and calculation errors for  $p = 3, n = 96$  using the diffusion model are shown in Fig 1 and using the  $SP_3$  model are shown in Fig 2.

*4.1.2. Solution of  $\alpha$ -spectral problem with delayed neutrons* As a reference solution, we use the fine mesh solutions obtained using the diffusion or transport  $SP_3$  model ( $p = 3, n = 96$ ). The  $\alpha$ -spectral problem results are shown in Table 3.

**Table 2.** The eigenvalues  $k_i = 1/\lambda_i^{(k)}$  for  $p = 3, n = 96$ .

$i$	Diffusion	SP <sub>3</sub>
1	1.005509678491	1.006244515310
2	0.996489969484	0.997253707156
3	0.996489969416	0.997253707121
4	0.976790579591	0.977758816863
5	0.976790579352	0.977758816817
6	0.958683528959	0.959895076934
7	0.928979605001	0.930969293068
8	0.924186320247	0.925931354406
9	0.904788471277	0.907348873881
10	0.904788471274	0.907348873799



The calculation results for the first 10 eigenvalues are shown in Table 4. The fundamental eigenvalue is negative and therefore the main harmonic will increase, while all others will attenuate.

**Table 3.** The  $\alpha$ -eigenvalues.

$n$	$p$	$\alpha_{dif}$	$\Delta_{dif}$	$t$	$\alpha_{sp3}$	$\Delta_{sp3}$	$t$
6	1	-68.2268	67.8084	0.01	-88.9461	87.6086	0.02
	2	-1.2810	0.8626	0.05	-11.1554	9.8179	0.19
	3	-0.4506	0.0322	0.18	-1.8063	0.4688	0.65
24	1	-9.0267	8.6083	0.05	-25.1658	23.8283	0.17
	2	-0.4686	0.0502	0.37	-1.9832	0.6457	1.54
	3	-0.4202	0.0018	1.26	-1.3787	0.0412	5.10
96	1	-0.7018	0.2834	0.33	-4.9794	3.6419	1.36
	2	-0.4225	0.0041	2.66	-1.3994	0.0619	11.65
	3	-0.4184	—	9.01	-1.3375	—	38.16
Ref.		-0.4184			-1.3375		

**Table 4.** The eigenvalues  $\alpha_i = \lambda_i^{(\alpha)}$  for  $p = 3, n = 96$ .

$i$	Diffusion	SP <sub>3</sub>
1	-0.418414021	-1.337480417
2	0.028108057	0.023799162
3	0.028108075	0.023804256
4	0.062814035	0.062218273
5	0.062814041	0.062220971
6	0.069514636	0.069228497
7	0.073730817	0.073541211
8	0.074126208	0.073987939
9	0.075346220	0.075210662
10	0.076266017	0.076175218

#### 4.2. HWR test problem

**4.2.1. Solution of Lambda Modes spectral problem** As a reference solution for the diffusion model, we used the results obtained by [?]; for the SP<sub>3</sub> model — the solution obtained using very fine mesh ( $p = 3, n = 96$ ).

The effective multiplication factors for the HWR test are shown in Table 5.

The results of the first 10 eigenvalues for  $p = 3, n = 96$  are presented in Table 6. The eigenvalues  $k_2, k_3, k_4, k_5, k_9, k_{10}$  of the  $\lambda$ -spectral problem are the complex values with small imaginary parts, and the eigenvalues  $k_1, k_6, k_7, k_8$  are the real values.

**4.2.2. Solution of  $\alpha$ -spectral problem with delayed neutrons** As a reference solution for the diffusion and SP<sub>3</sub> models we use the solutions obtained using very fine mesh ( $p = 3, n = 96$ ).

The  $\alpha$ -spectral problem results are shown in Table 7. Due to the contribution of delayed neutrons, the fundamental eigenvalue is much smaller than in the case without delayed neutrons.

**Table 5.** The effective multiplication factor.

$n$	$p$	$k_{dif}$	$\Delta_{dif}, pcm$	$\delta_{dif}$	$k_{sp_3}$	$\Delta_{sp_3}, pcm$	$\delta_{dif}$
6	1	0.991985	2.0	1.16	0.992178	5.0	0.80
	2	0.991989	2.4	0.31	0.992166	3.8	0.24
	3	0.991964	0.1	0.08	0.992132	0.4	0.07
24	1	0.991983	1.8	0.05	0.992165	3.7	0.08
	2	0.991965	0.0	0.01	0.992133	0.5	0.01
	3	0.991963	0.2	0.01	0.992128	0.0	0.00
96	1	0.991969	0.4	0.08	0.992140	1.2	0.01
	2	0.991963	0.2	0.02	0.992129	0.1	0.00
	3	0.991963	0.2	0.01	0.992128	—	—
Ref.		0.991965			0.992128		

**Table 6.** The eigenvalues  $k_i = 1/\lambda_i^{(k)}$  for  $p = 3, n = 96$ .

$i$	diffusion	SP <sub>3</sub>
1	0.991963 + 0.0 <i>i</i>	0.992128 + 0.0 <i>i</i>
2	0.983594 + 1.1645e-05 <i>i</i>	0.983793 + 1.2072e-05 <i>i</i>
3	0.983594 - 1.1645e-05 <i>i</i>	0.983793 - 1.2072e-05 <i>i</i>
4	0.964240 + 2.1564e-05 <i>i</i>	0.964523 + 2.2337e-05 <i>i</i>
5	0.964240 - 2.1564e-05 <i>i</i>	0.964523 - 2.2337e-05 <i>i</i>
6	0.943290 + 0.0 <i>i</i>	0.943733 + 0.0 <i>i</i>
7	0.923872 + 0.0 <i>i</i>	0.924257 + 0.0 <i>i</i>
8	0.918657 + 0.0 <i>i</i>	0.918798 + 0.0 <i>i</i>
9	0.895682 + 3.5570e-05 <i>i</i>	0.896317 + 3.6750e-05 <i>i</i>
10	0.895682 - 3.5570e-05 <i>i</i>	0.896317 + 3.6750e-05 <i>i</i>

The results of the first 10 eigenvalues for  $p = 3, n = 96$  is shown in Table 8. The eigenvalues  $\alpha_2, \alpha_3, \alpha_4, \alpha_5, \alpha_9, \alpha_{10}$  of the  $\alpha$ -spectral problem, like as before, are the complex values with small imaginary parts, and the eigenvalues  $\alpha_1, \alpha_6, \alpha_7, \alpha_8$  are the real values.

**Table 7.** The  $\alpha$ -eigenvalues.

$n$	$p$	$\alpha_{dif}$	$\Delta_{dif}$	$\alpha_{sp_3}$	$\Delta_{sp_3}$
6	1	0.04431	0.00006	0.04383	0.00012
	2	0.04430	0.00007	0.04386	0.00009
	3	0.04437	0.00000	0.04394	0.00001
24	1	0.04432	0.00005	0.04386	0.00009
	2	0.04436	0.00001	0.04394	0.00001
	3	0.04437	0.00000	0.04395	0.00000
96	1	0.04435	0.00002	0.04392	0.00003
	2	0.04437	0.00000	0.04395	0.00000
	3	0.04437	—	0.04395	—
Ref.		0.04437		0.04395	

**Table 8.** The eigenvalues  $\alpha_i = \lambda_i^{(\alpha)}$  for  $p = 3, n = 96$ .

$i$	Diffusion	SP <sub>3</sub>
1	$0.04437 + 0.0i$	$0.04395 + 0.0i$
2	$0.05755 - 1.15549e-05i$	$0.05735 - 1.22333e-05i$
3	$0.05755 + 1.15549e-05i$	$0.05735 + 1.22333e-05i$
4	$0.06807 - 6.35264e-06i$	$0.06798 - 6.66947e-06i$
5	$0.06807 + 6.35264e-06i$	$0.06798 + 6.66947e-06i$
6	$0.07219 + 0.0i$	$0.07213 + 0.0i$
7	$0.07415 + 0.0i$	$0.07412 + 0.0i$
8	$0.07453 + 0.0i$	$0.07452 + 0.0i$
9	$0.07577 - 1.52484e-06i$	$0.07574 - 1.60360e-06i$
10	$0.07577 + 1.52484e-06i$	$0.07574 + 1.60360e-06i$

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### References

- [1] IOP Publishing is to grateful Mark A Caprio, Center for Theoretical Physics, Yale University, for permission to include the `iopart-num` BibTeXpackage (version 2.0, December 21, 2006) with this documentation. Updates and new releases of `iopart-num` can be found on [www.ctan.org](http://www.ctan.org) (CTAN).