

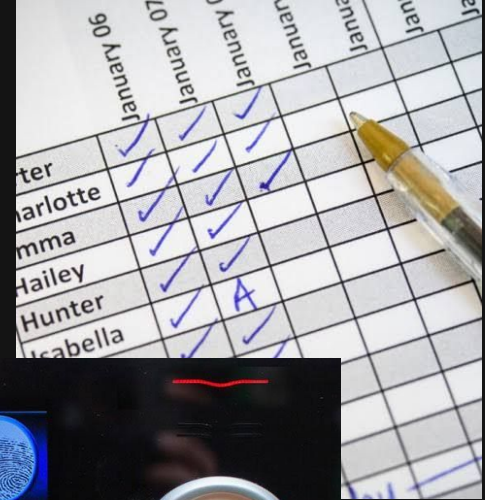
A COMPARATIVE STUDY ON FACIAL  
RECOGNITION BETWEEN  
CONVOLUTIONAL NEURAL NETWORK  
AND RECURRENT NEURAL NETWORK  
PERFORMANCE

JASPER R. SUNGA



# Background of the Study

## Hassle on Attendance Checking Alternatives



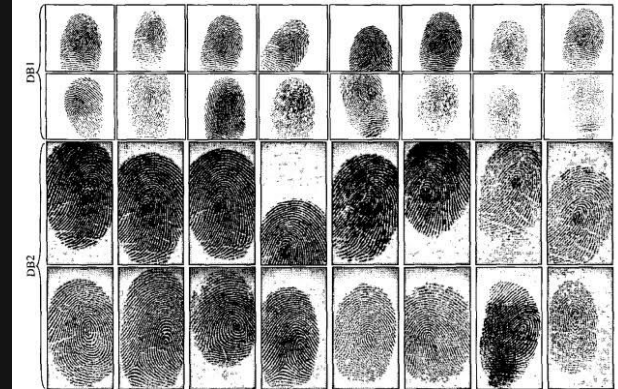
# Background of the Study

- Smartphone Camera Quality
- Face Detection Technological Advancements

# Problem

## Privacy

### Database for Face Recognition or Any Recognition Algorithms



# Solution

Compare the better Neural Network to Use Given Constraints

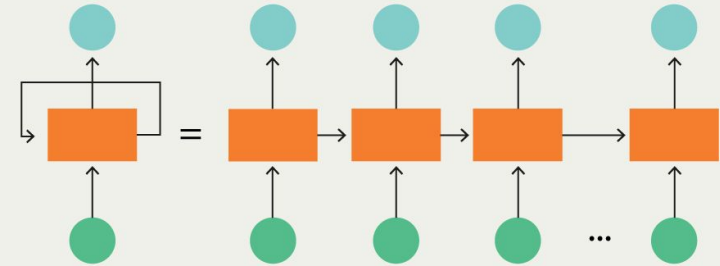
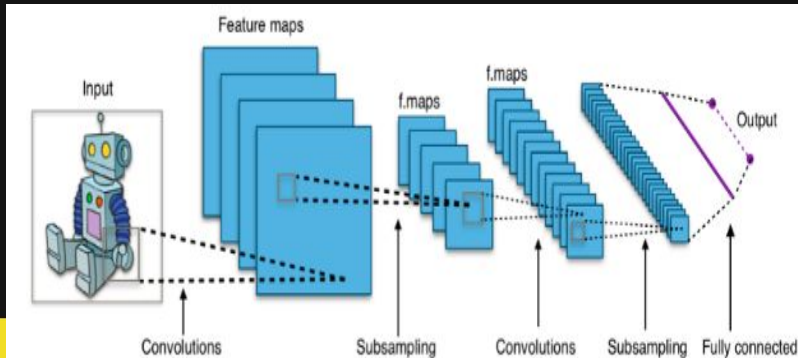
- No global database
- Training will be done concurrently with the lecture

# Objectives

Compare CNN and RNN performance given

No pre-existing data set

Limited data set

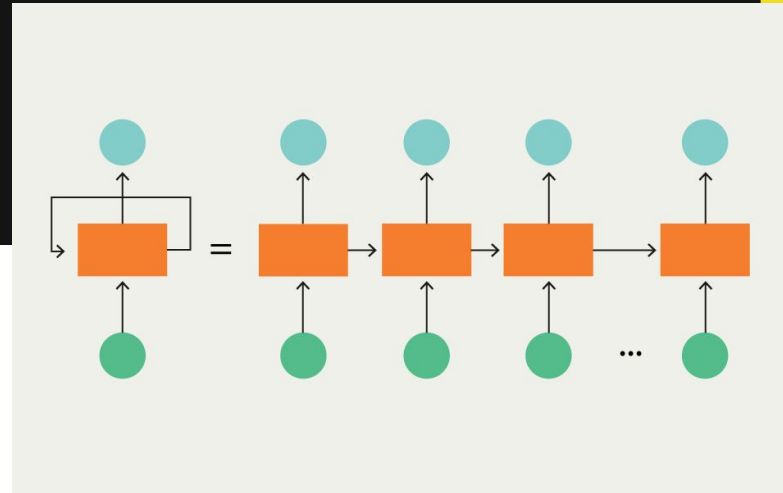
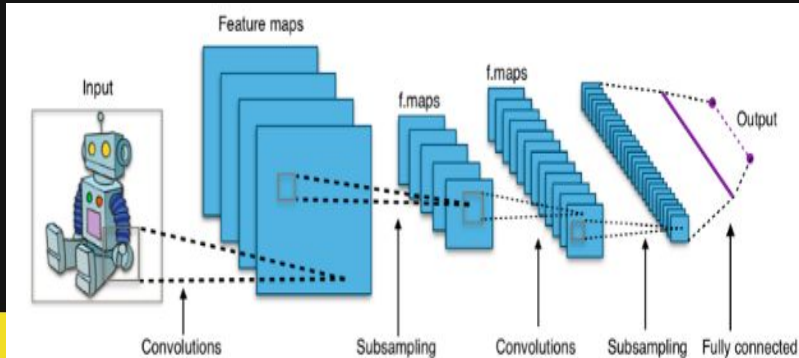


# Objectives

CNN - Well-known for image classification

RNN - Event prediction, but sometimes

Used in face recognition



# Objectives

- Create face data set per day for the models to train
- Split pictures from data set for testing accuracy per day
- Analyze CNN and RNN models' performance



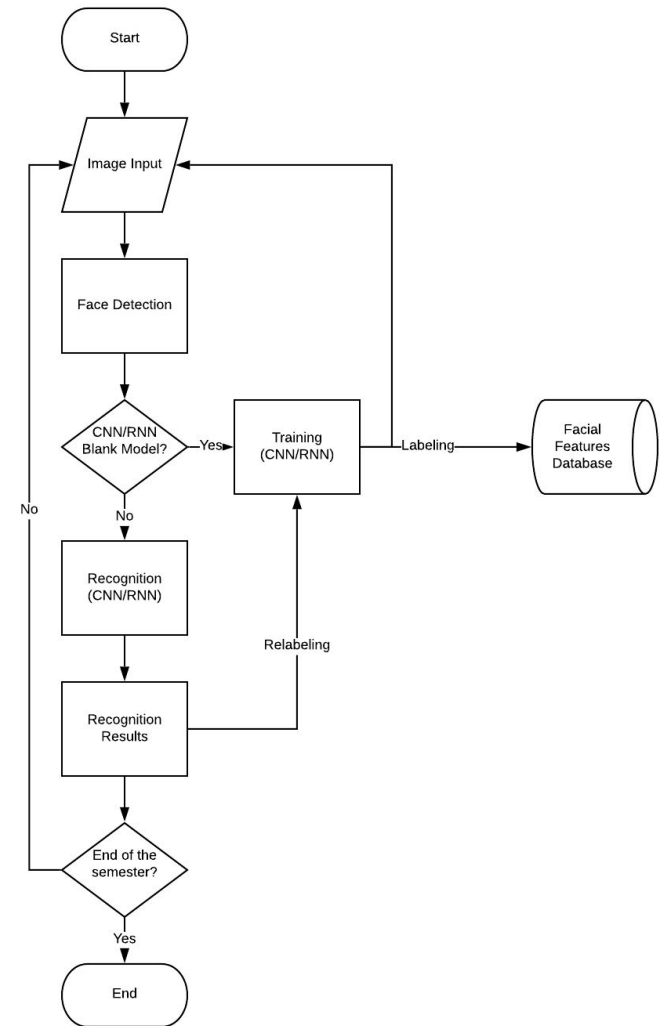
# Methodology

Image Input

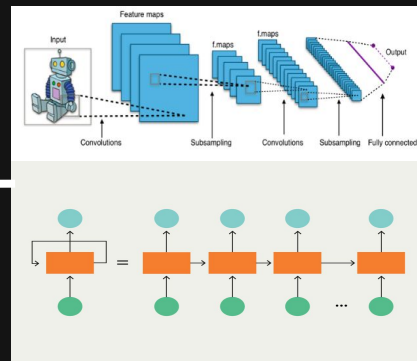
Face Detection

Training and Recognition

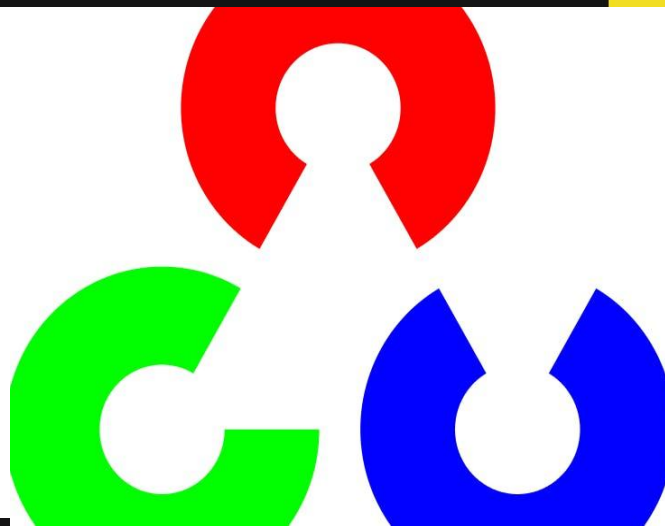
Recognition Results



# Methodology



# Methodology



Met



# Methodology

## Poses:

1. Facing directly to the camera
2. Facing 30 degrees to the left
3. Facing 30 degrees to the right
4. Facing 30 degrees to the upward
5. Facing 30 degrees to the downward
6. Facing 30 degrees to the upper-right
7. Facing 30 degrees to the lower-right
8. Facing 30 degrees to the upper-left
9. Facing 30 degrees to the lower-left
10. Any angle determined by the subject

# Methodology

## Detected Faces



airah.png



aya.png



janine.png



kat.png



ludwig.png



nadine.png

## Augmented Faces



airah158997525\_0\_3745.png



aya1589997541\_0\_1881.png



janine1589997560\_0\_3739.png



kat1589997571\_0\_2778.png



ludwig1589997577\_0\_1967.png



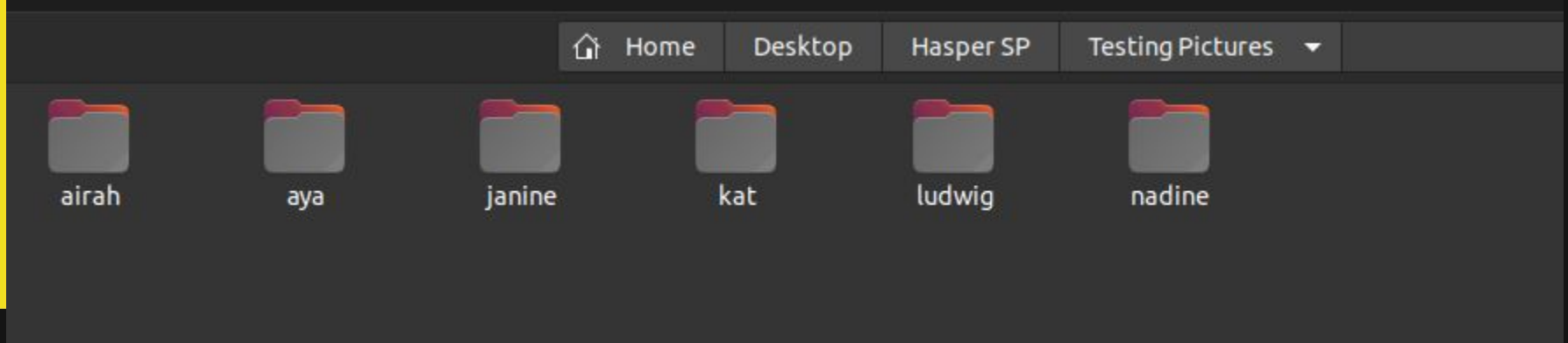
nadine1589997582\_0\_2329.png

# Methodology

10 images per day, 6 classes

10 data augmentation methods

600 images per day



# Methodology

## CNN Architecture

- 3 Convolutional Layers
  - 64 input nodes
  - 3x3 window size
  - Rectified Linear
  - Pooling of 2x2 pool size
- Dense Layer
  - Softmax Activation



# Methodology

## RNN Architecture

- 2 Long Short Term Memory layer
  - 64 input nodes
  - 30% Dropout
- Dense Layer
  - Softmax Activation

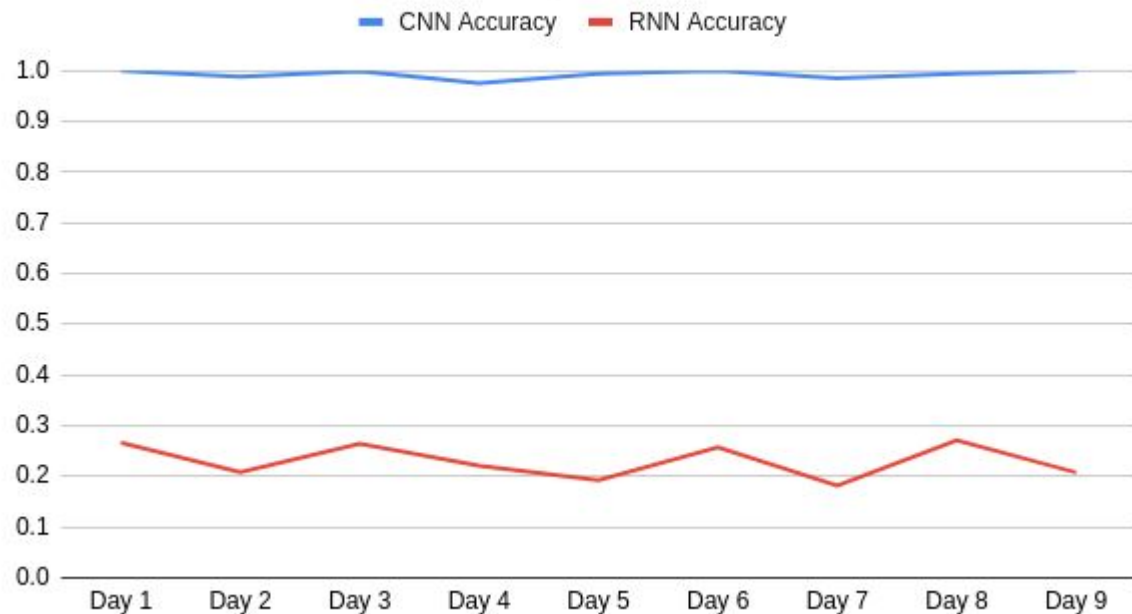
# Methodology

## Training Method

- 1000 epochs
- Batch Size 16
- Early Stopping monitoring Val Loss
  - Patience of 8
- 10% Validation Split

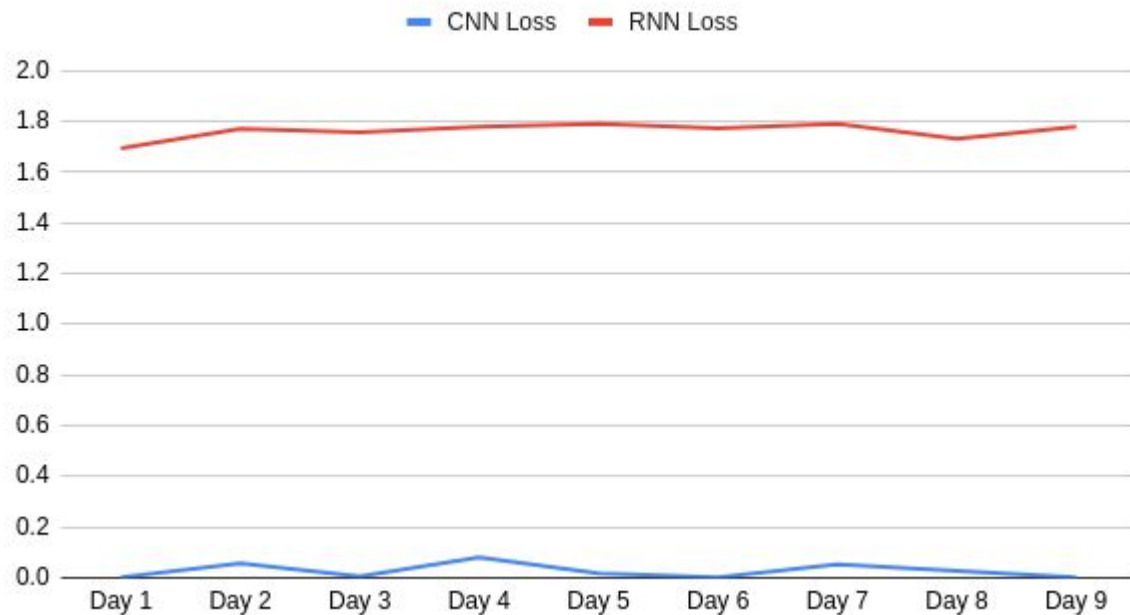
# Results

CNN vs RNN Training Accuracy



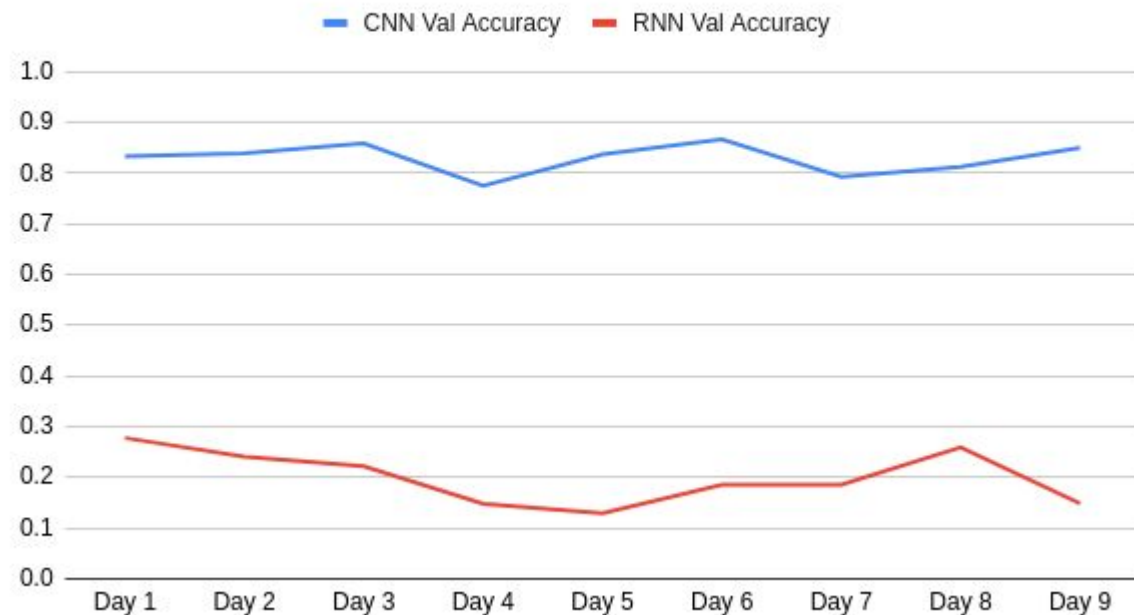
# Results

CNN vs RNN Training Loss



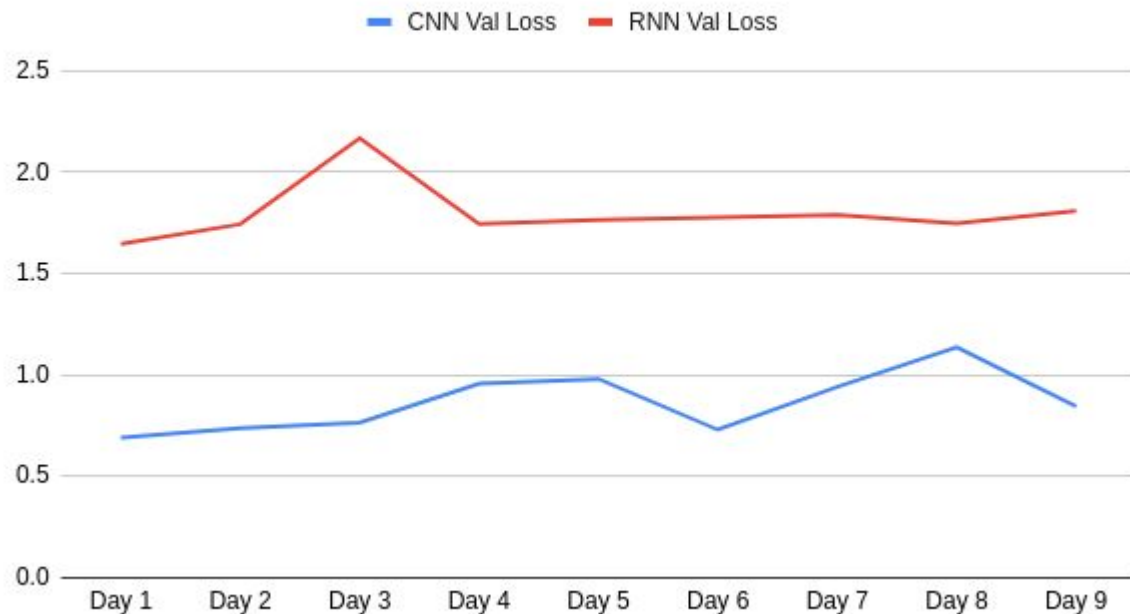
# Results

CNN vs RNN Training Val Accuracy



# Results

CNN vs RNN Training Val Loss



# Results

Currently testing: janine

[1.0257115e-09 1.8587325e-07 7.5598693e-01 2.4401288e-01 4.6671472e-13  
2.6252200e-15]

janine1590429625\_0\_7168.png is 0.7559869% sure that it is janine

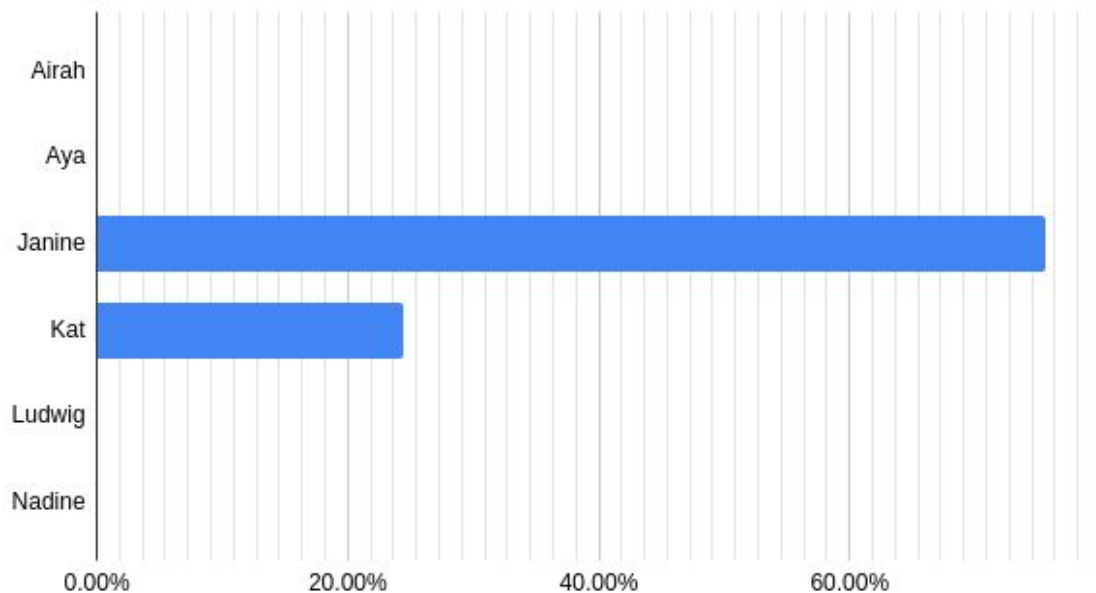
# Results



Day: 3

Image: Janine

Sample Output Result



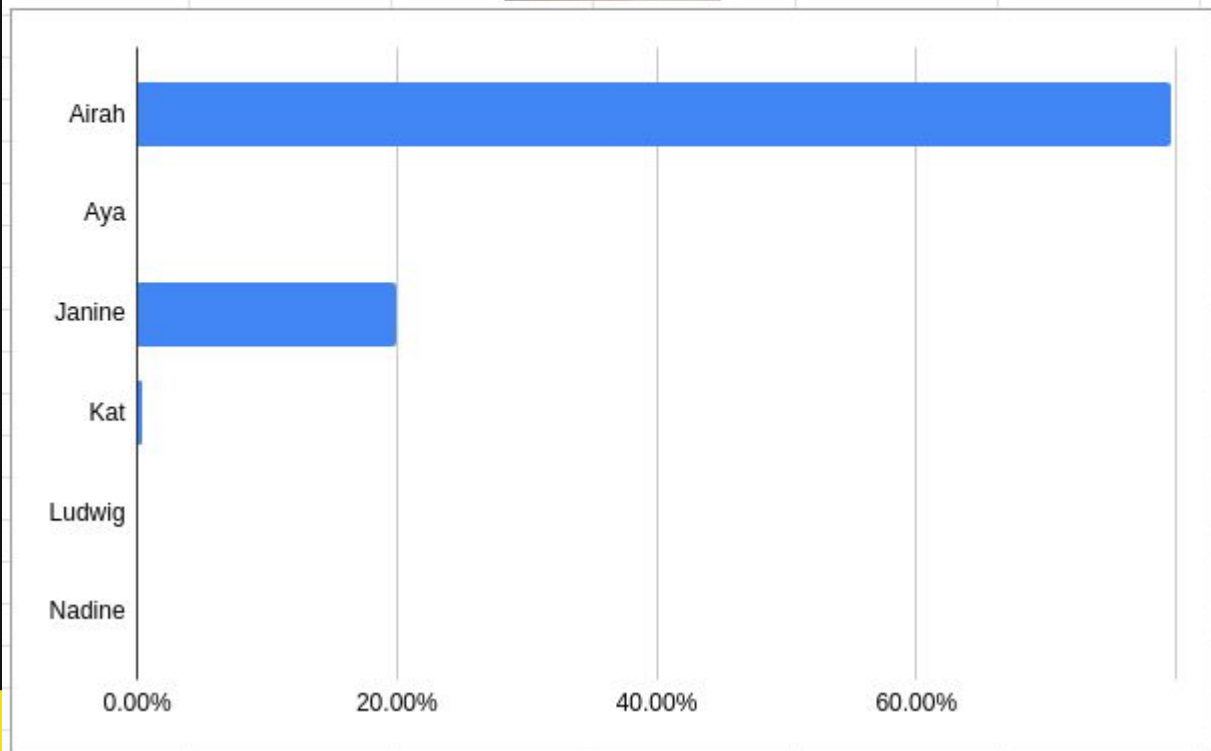


# Results



Day: 2

Image: Airah

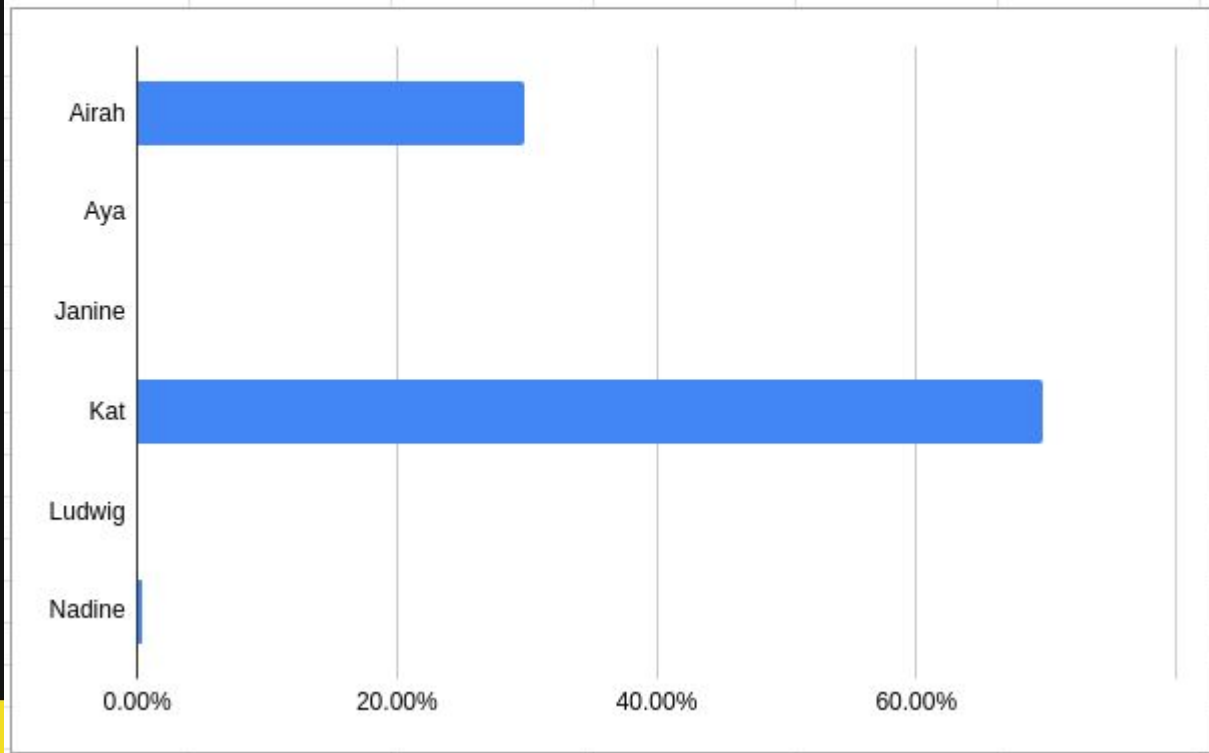


# Results



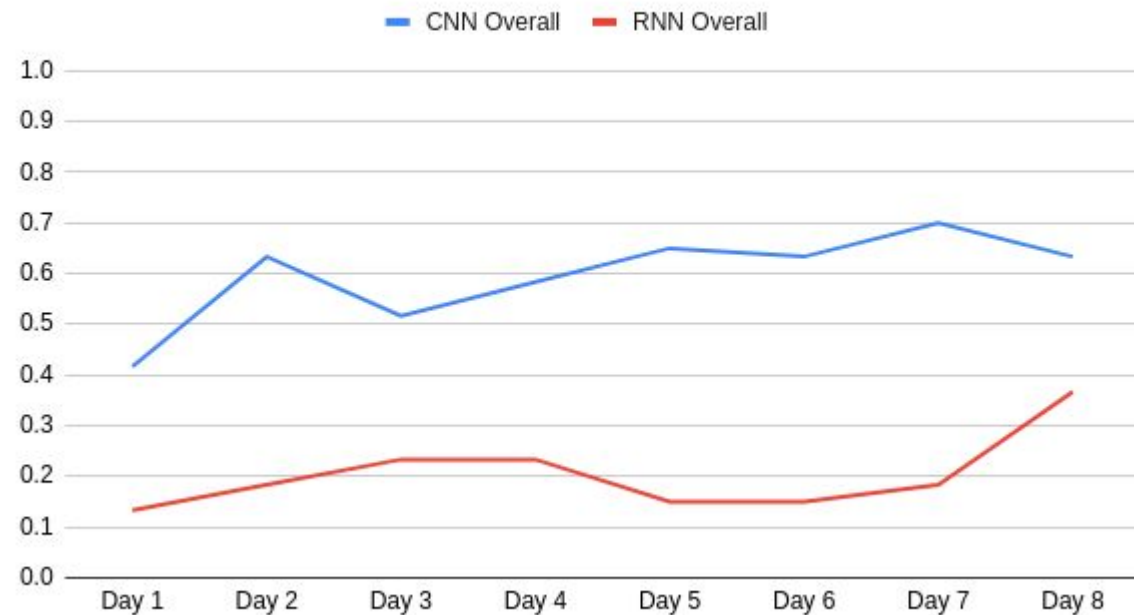
Day: 2

Image: Aya



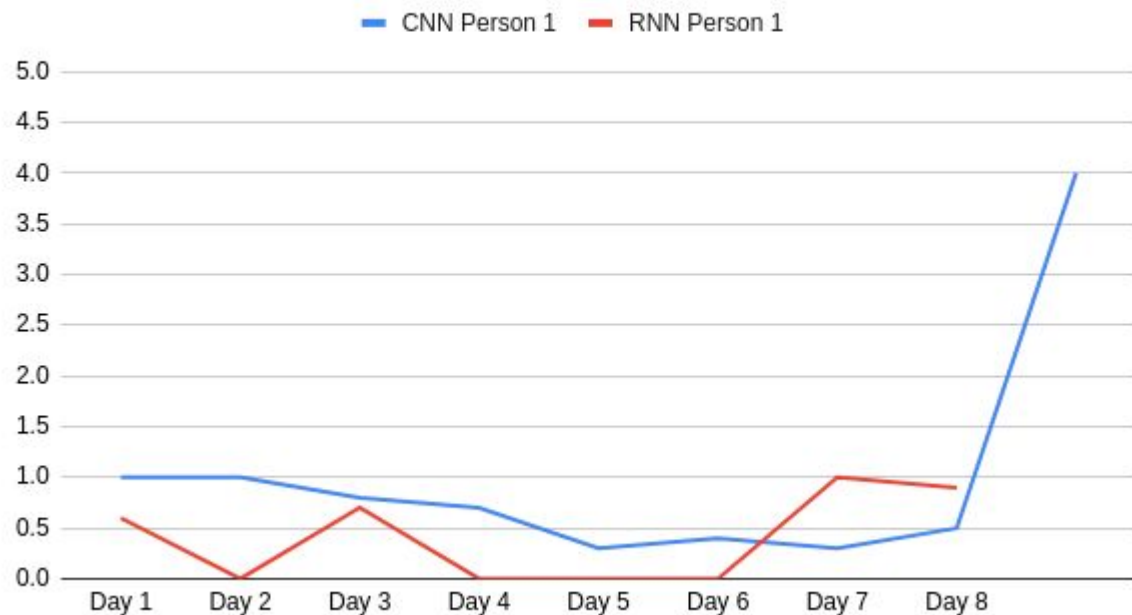
# Results

CNN vs RNN Testing Accuracy (Overall)



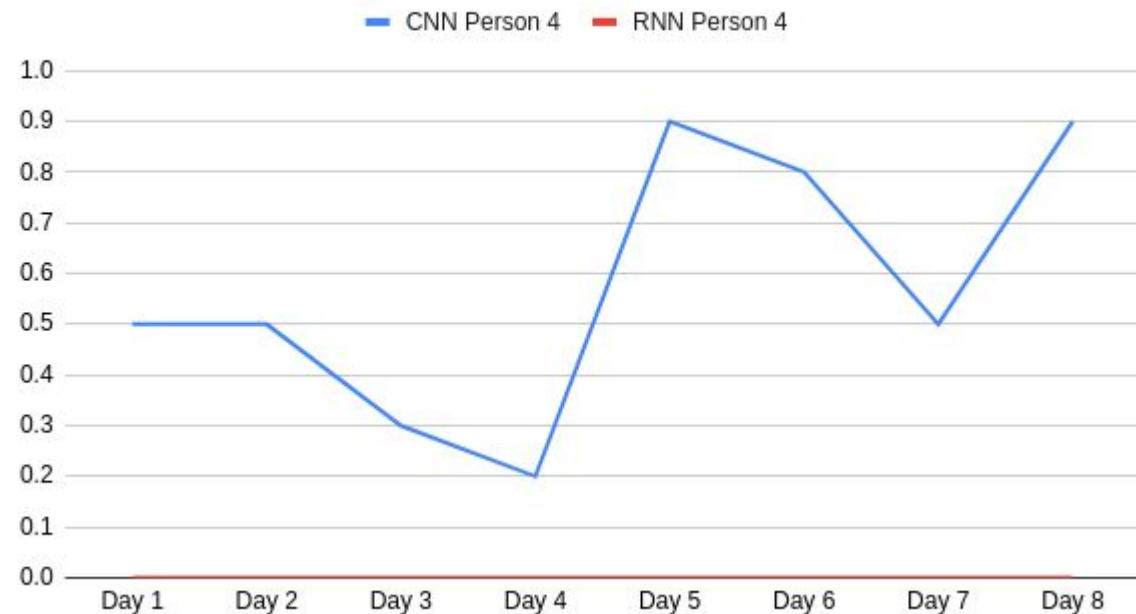
# Results

CNN vs RNN Testing Accuracy (Airah)



# Results

CNN vs RNN Testing Accuracy (Kat)



# Confusion Matrix

## RNN Day 1 Result

	Airah	Aya	Janine	Kat	Ludwig	Nadine
Airah	0	0	0	5	0	5
Aya	0	1	1	2	0	6
Janine	0	4	0	6	0	0
Kat	0	1	0	9	0	0
Ludwig	0	0	0	5	0	5
Nadine	0	0	0	6	0	4

# Confusion Matrix

## RNN Day 4 Result

	Airah	Aya	Janine	Kat	Ludwig	Nadine
Airah	0	9	1	0	0	0
Aya	0	5	2	0	1	0
Janine	0	7	0	0	3	0
Kat	0	6	0	0	4	0
Ludwig	0	6	1	0	3	0
Nadine	0	9	1	0	0	0

# CNN Attendance Results

	Airah	Aya	Janine	Kat	Ludwig	Nadine
Day 1	1	0.1	0.2	0.5	0	0.7
Day 2	1	0	1	0.5	0.6	0.7
Day 3	0.8	0.8	0	0.3	0.7	0.5
Day 4	0.7	0.5	0.6	0.2	0.7	0.8
Day 5	0.3	0.2	0.8	0.9	0.7	1
Day 6	0.4	0.5	0.5	0.8	0.6	1
Day 7	0.3	0.9	0.7	0.5	1	0.8
Day 8	0.5	0.4	0.5	0.9	0.5	1



# RNN Attendance Results

	Airah	Aya	Janine	Kat	Ludwig	Nadine
Day 1	0.6	0	0	0	0	0.2
Day 2	0	0	0.3	0	0	0.8
Day 3	0.7	0	0.1	0	0.1	0.5
Day 4	0	0.6	0	0	0.8	0
Day 5	0	0.1	0.6	0	0	0.2
Day 6	0	0.4	0.3	0	0	0.2
Day 7	1	0	0	0	0.1	0
Day 8	0.9	0.3	0	0	1	0

# Out of Class Pictures



	CNN	RNN
Airah	0.3333333333	1
Aya	0.3333333333	0
Janine	0	0
Kat	0	0
Ludwig	0.6666666667	0
Nadine	0	0
Overall	0.2222222222	0.1666666667

# Discussion

- CNN outperforms RNN in image classification
- Both CNN and RNN provided insufficient accuracy rate
- RNN is not feasible for the use-case scenario at hand
- CNN may reach at least 90% accuracy on testing beyond day 8

# Conclusion

- Attendance checking of CNN and RNN per person shows false absences
- CNN may reach 90% after day 8 but it is not worth skipping 8 meetings of attendance
- RNN predicted only one to two persons completely thus not feasible
- CNN model at hand is not optimal for the scenario but cannot be concluded as not feasible

# Recommendation

- Further testing of CNN with different number of layers, nodes, etc.
- Number of pictures taken per lecture
- Different poses with a higher degree of freedom
- Video input instead of still images

Thank You!