



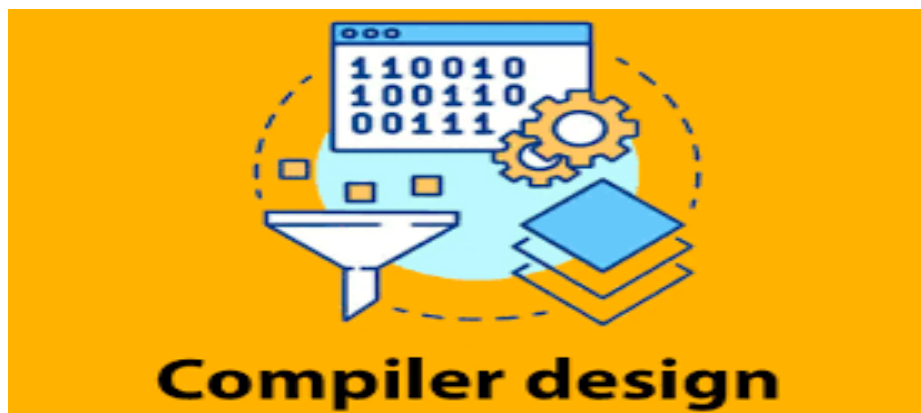
Menoufia University
Faculty of computers & Information
Computer Science Department.



Compiler Design

4 Year – first Semester

Lecture 3



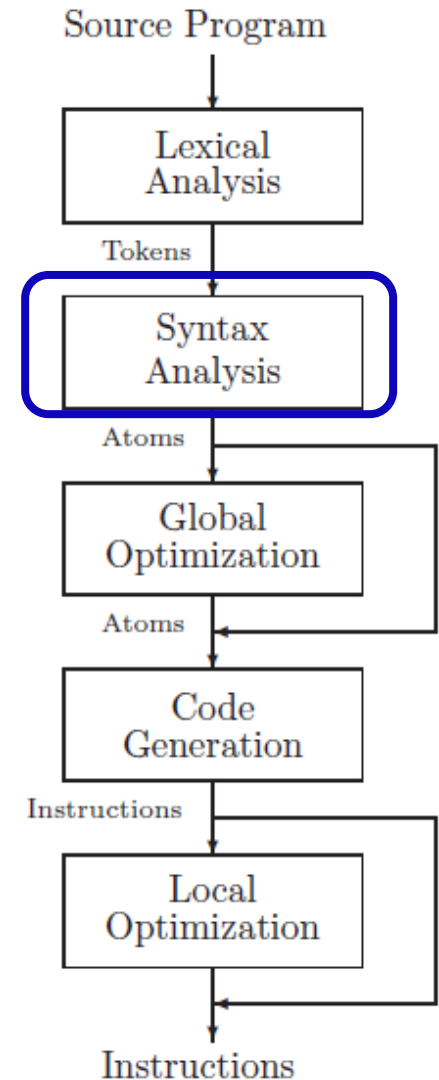
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2023-2024

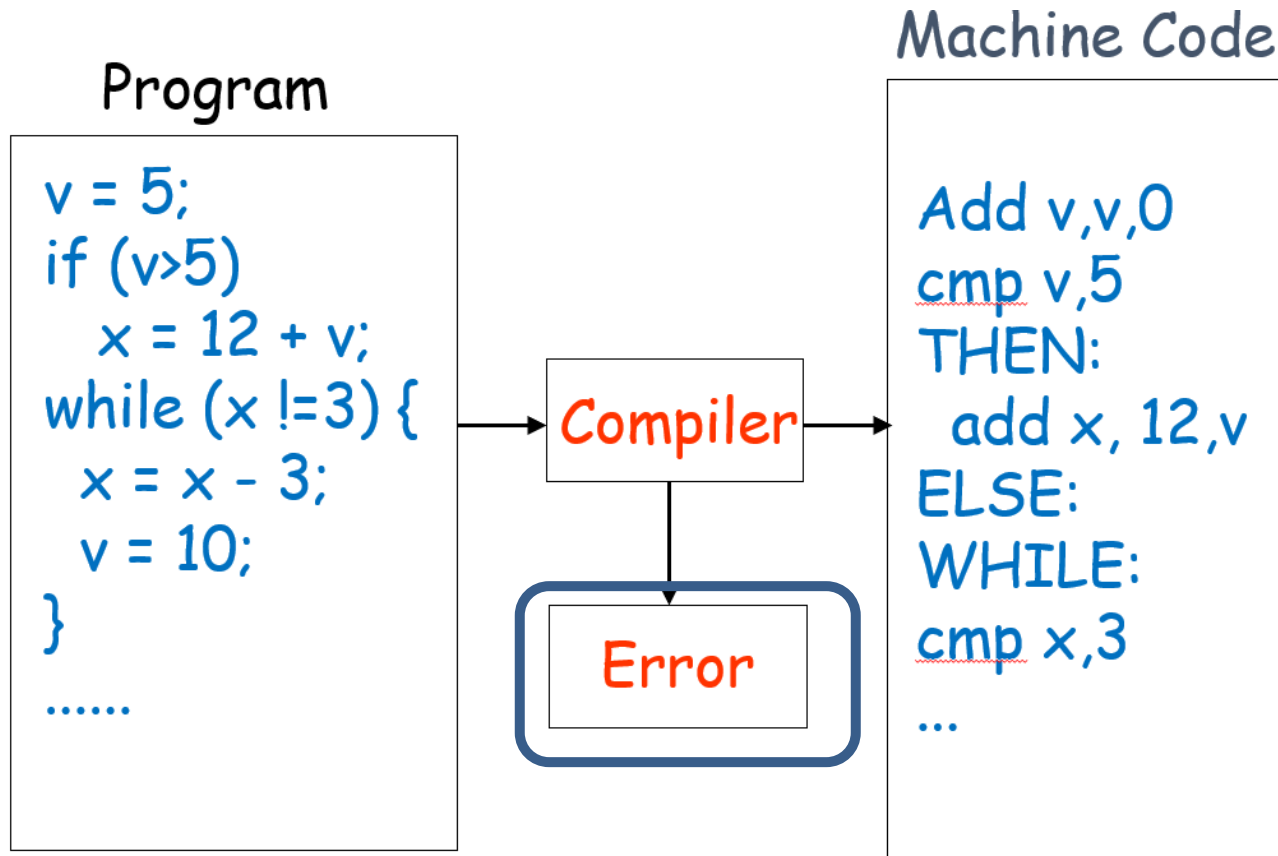
Phases of Compilers

- Lexical Analysis (Scanner)
- **Syntax Analysis Phase**
- Global Optimization
- Code Generation
- Local Optimization



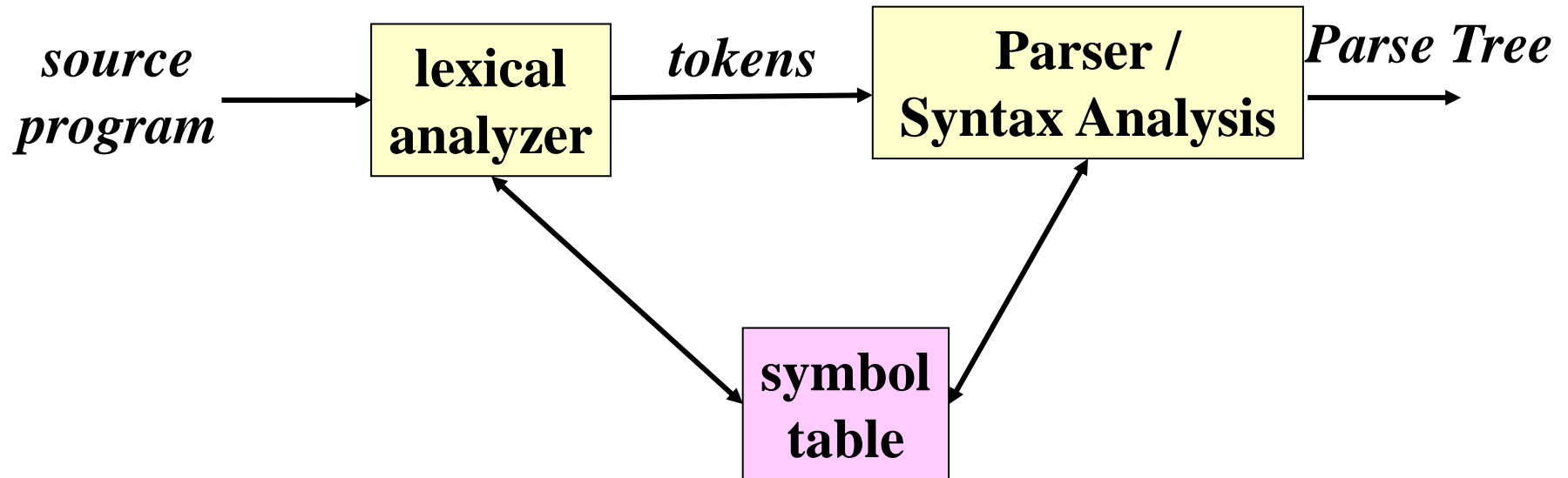
- **Syntax Analysis.**
- Grammar.
- Pushdown Machine.
- Parser.

The Role of a Syntax Analyzer



Syntax Analyzer is used to check for the **proper syntax** and generate the **syntax tree**.

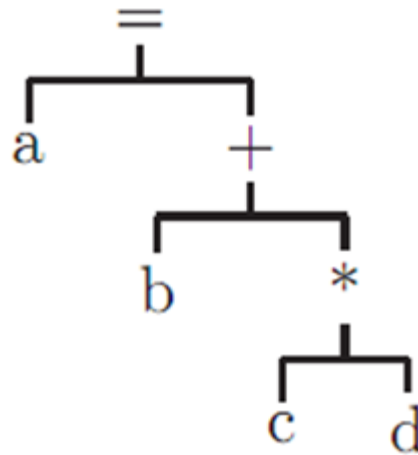
The Role of a Syntax Analyzer



Parse (Syntax) Tree

Syntax Tree is a data structure in which the interior nodes represents operations and leaves represent operands.

$$a = b + c * d$$



Example

*source
program*

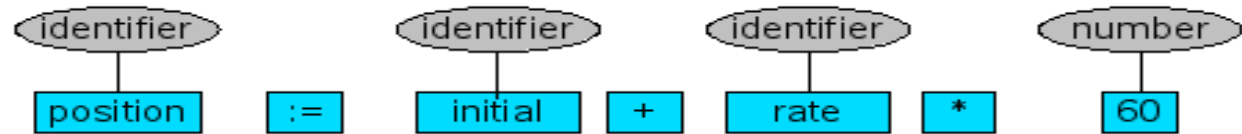


Tokens



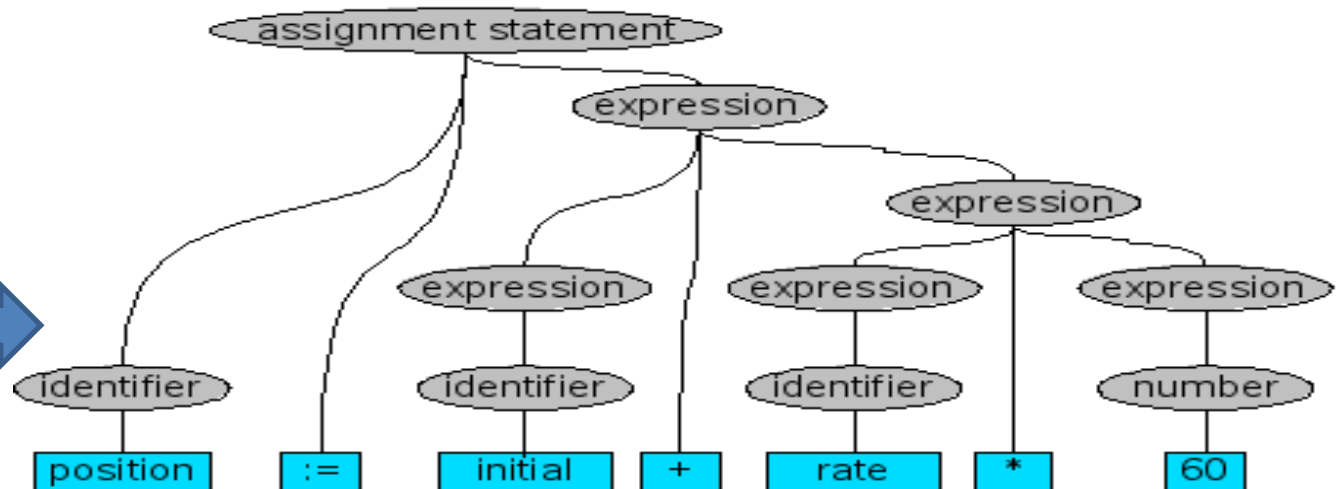
position = initial + rate * 60

Lexical Analysis



Syntax Analysis

Parse Tress



Before getting into syntax analysis, we need to cover the concepts of formal **grammar** and **pushdown machine** which are critical to the design of the lexical analyzer.

- Syntax Analysis.
- **Grammar.**
- Pushdown Machine.
- Parser.

Grammar

Grammar is a **list of rules** which can be used to **describe** the **structure** or **syntax of a language**. (i.e., The grammar of a language defines the correct form for sentences in that language.)

Example: English language:

$\langle sentence \rangle \rightarrow \langle noun \rangle \langle verb \rangle$

$\langle noun \rangle \rightarrow \langle article \rangle \langle noun \rangle$

$\langle article \rangle \rightarrow a$

$\langle article \rangle \rightarrow the$

$\langle noun \rangle \rightarrow cat$

$\langle noun \rangle \rightarrow dog$

$\langle verb \rangle \rightarrow runs$

$\langle verb \rangle \rightarrow walks$

✓ Derivation of “the dog walks”

$\langle sentence \rangle \Rightarrow \langle noun \rangle \langle verb \rangle$

$\Rightarrow \langle article \rangle \langle noun \rangle \langle verb \rangle$

$\Rightarrow the \langle noun \rangle \langle verb \rangle$

$\Rightarrow the \text{ dog } \langle verb \rangle$

$\Rightarrow the \text{ dog } walks$

Grammar

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Example: English language:

$\langle sentence \rangle \rightarrow \langle noun \rangle \langle verb \rangle$

$\langle noun \rangle \rightarrow \langle article \rangle \langle noun \rangle$

$\langle article \rangle \rightarrow a$

$\langle article \rangle \rightarrow the$

$\langle noun \rangle \rightarrow cat$

$\langle noun \rangle \rightarrow dog$

$\langle verb \rangle \rightarrow runs$

$\langle verb \rangle \rightarrow walks$

✓ Derivation of “a cat runs”

$\langle sentence \rangle \Rightarrow \langle noun \rangle \langle verb \rangle$

$\Rightarrow \langle article \rangle \langle noun \rangle \langle verb \rangle$

$\Rightarrow a \langle noun \rangle \langle verb \rangle$

$\Rightarrow a \text{ cat } \langle verb \rangle$

$\Rightarrow a \text{ cat runs}$

Grammar

A **Grammar** is a list of rules which can be used to describes the structure or syntax of a language. (i.e., The grammar of a language defines the correct form for sentences in that language.)

Example: English language:

$\langle sentence \rangle \rightarrow \langle noun \rangle \langle verb \rangle$

$\langle noun \rangle \rightarrow \langle article \rangle \langle noun \rangle$

$\langle article \rangle \rightarrow a$

$\langle article \rangle \rightarrow the$

$\langle noun \rangle \rightarrow cat$

$\langle noun \rangle \rightarrow dog$

$\langle verb \rangle \rightarrow runs$

$\langle verb \rangle \rightarrow walks$

✓ Derivation of “**dog the runs**”

Error

Grammar Components

A **Grammar** is denoted by G and is defined as a **4-tuple** i.e.,
 $G (V, T, S, P)$

Where

V is non empty set of symbols called as **Variables**

T is non empty set of symbols called as **Terminals**

$S \in V$ is a **Start Variable**

P is set of **productions** or **production rules**.

Grammar

Notation:

- **Variables** are denoted by only **UPPER CASE** letters and **some special Greek letters** etc. **Variables** are also called a **Non-Terminals**.
- **Terminals** are denoted by **lower case letters** i.e., **a** to **z** and **digits 0 to 9** and **some special operators** like **arithmetic operators**, **relational operators** etc.

Production Form

Productions is defined as **mapping function**.

General form of Productions:

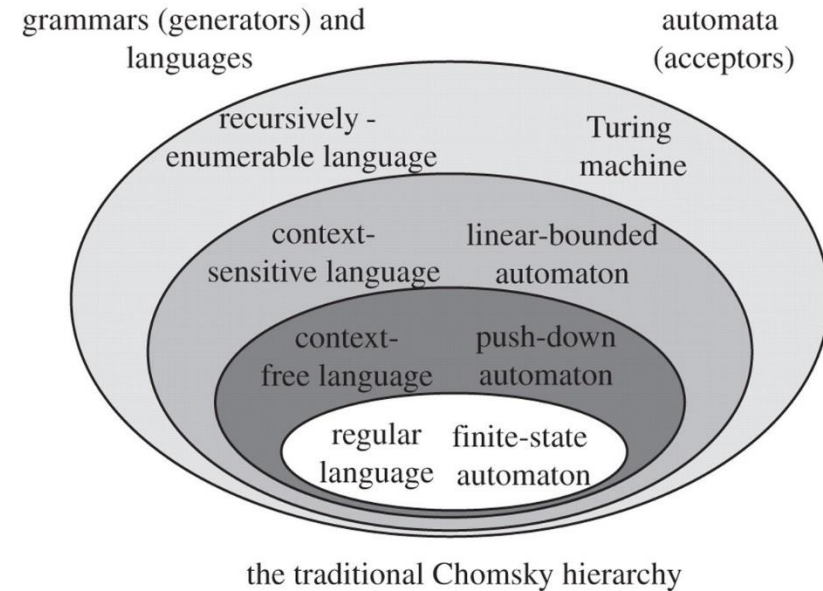
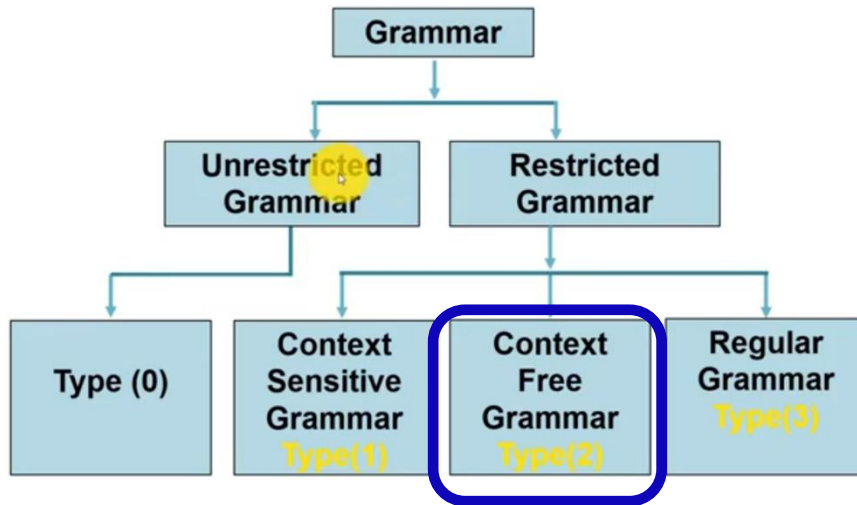
$$P : \alpha \rightarrow \beta$$

$$\alpha \in (V \cup T)^+$$

$$\beta \in (V \cup T)^*$$

Types of Grammar

Chomsky Hierarchy



Examples

Example-1:

Let $G = (V, T, S, P)$ is a grammar.

Where

$$V = \{S, A, B\}$$

$$T = \{a, b\}$$

S is a **Start Variable**

And **Productions** P are given below:

$$S \rightarrow ASB$$

$$A \rightarrow aSb \mid \varepsilon$$

$$B \rightarrow bSa \mid \varepsilon$$

ε or λ is Null String

Examples

Example-2:

Let $G = (V, T, S, P)$ is a grammar.

Where

$$V = \{S, A, B\}$$

$$T = \{a, b, +\}$$

S is a Start Variable

And Productions P are given below:

$$Sa \rightarrow ASB$$

$$Sb \rightarrow aSb \mid \varepsilon$$

$$BA \rightarrow bSB \mid A + B$$

ε or λ is Null String

Derivation of grammar

The grammar specifies a language in the following way:

Beginning with the starting nonterminal, any of the rewriting rules are applied repeatedly to produce a sentential form, which may contain a mix of terminals and nonterminals.

A **derivation** is a sequence of rewriting rules, applied to the starting nonterminal, ending with a string of terminals.

Examples

Example-3:

$$S \rightarrow aSb$$

$$S \rightarrow /$$

- Derivation of string **ab**:

$$\begin{array}{ccc} S & \vdash_1 & aSb \\ \downarrow & & \downarrow \\ S \rightarrow aSb & & S \rightarrow / \end{array}$$

Examples

Example-3:

$$S \rightarrow aSb$$

$$S \rightarrow /$$

- Derivation of string **aabb**:

$$\begin{array}{ccccc} S & \vdash & aSb & \vdash & aaSbb & \vdash & aabb \\ \downarrow 1 & & \downarrow 1 & & \downarrow 2 & & \\ S \rightarrow aSb & & & & S \rightarrow / & & \end{array}$$

Examples: Describe the language of this grammar

Example-3:

$$S \rightarrow aSb$$

$$S \rightarrow /$$

• Derivation of string **aaabbb**:

$$S \xrightarrow{1} aSb \xrightarrow{1} aaSbb \xrightarrow{1} aaaSbbb \xrightarrow{2} aaabbbb$$

• Derivation of string **aaaabbbb**:

$$\begin{aligned} S &\xrightarrow{1} aSb \xrightarrow{1} aaSbb \xrightarrow{1} aaaSbbb \\ &\xrightarrow{1} aaaaaSbbbb \xrightarrow{2} aaaabbbb \end{aligned}$$

Language of the grammar

$$L(G) = \{a^n b^n : n \geq 0\}$$

Examples: Describe the language of this grammar

Example-4:

$$S \rightarrow Ab$$

$$A \rightarrow aAb$$

$$A \rightarrow /$$

Derivations:

$$S \rightarrow Ab \rightarrow b$$

$$S \rightarrow Ab \rightarrow aAbb \rightarrow abb$$

$$S \rightarrow Ab \rightarrow aAbb \rightarrow aaAbbbb \rightarrow aabbbb$$

Language of the grammar

$$L(G) = \{a^n b^n b : n \geq 0\}$$

Examples: Describe the language of this grammar

Example-5:

$$S \rightarrow 0S0$$

$$S \rightarrow 1S1$$

$$S \rightarrow 0$$

$$S \rightarrow 1$$

Derivations:

$$S \rightarrow 0S0 \rightarrow 000$$

$$S \rightarrow 0S0 \rightarrow 01S10 \rightarrow 01010$$

Language of the grammar

Palindromes of **odd** length over the alphabet $\{0,1\}$

Examples: Describe the language of this grammar

Example-6:

$$S \rightarrow (S)$$

$$S \rightarrow \lambda$$

$$L(G) = \{(^n)^n : n \geq 0\}$$

Describes parentheses:

((()))

$$S \rightarrow (S)$$

$$S \rightarrow SS$$

$$S \rightarrow \lambda$$

Describes parentheses:

() ((())) (())

Derivation Order

There are two type of derivations which are:

- **Leftmost derivation** is one in which the **left-most nonterminal** is always the one to which a rule is applied.
- **Rightmost derivation** is one in which the **right-most nonterminal** is always the one to which a rule is applied.

Derivation Order

Example:

$$S \rightarrow aAB$$

$$A \rightarrow bBb$$

$$B \rightarrow A \mid /$$

Leftmost derivation:

$$\begin{aligned} S &\vdash aAB \vdash abBbB \vdash abAbB \vdash abbBbbB \\ &\vdash abbbbB \vdash abbbb \end{aligned}$$

Rightmost derivation:

$$\begin{aligned} S &\vdash aAB \vdash aA \vdash abBb \vdash abAb \\ &\vdash abbBbb \vdash abbbb \end{aligned}$$

Review

Show three different derivations using the grammar shown below:

1. $S \rightarrow a S A$
2. $S \rightarrow B A$
3. $A \rightarrow a b$
4. $B \rightarrow b A$

Solution:

$$S \Rightarrow a S A \Rightarrow a B A A \Rightarrow a B a b A \Rightarrow a B a b a b \Rightarrow a b A a b a b \Rightarrow a b a b a b a b$$

$$S \Rightarrow a S A \Rightarrow a S a b \Rightarrow a B A a b \Rightarrow a b A A a b \Rightarrow a b a b A a b \Rightarrow a b a b a b a b$$

$$S \Rightarrow B A \Rightarrow b A A \Rightarrow b a b A \Rightarrow b a b a b$$

*Note that in the solution to this problem we have shown that it is possible to have more than one derivation for the same string: **abababab**.*

Classes of Grammars

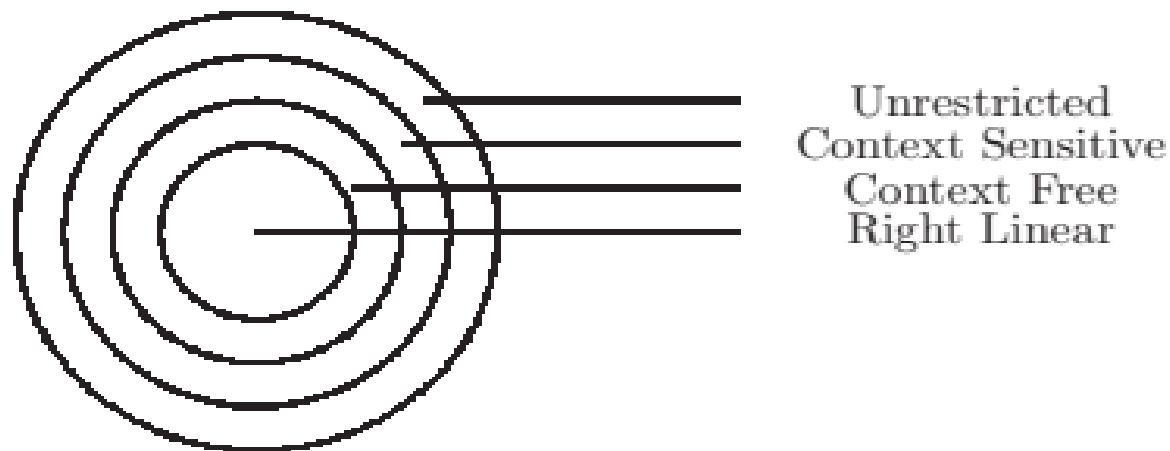


Figure 3.1: Classes of grammars

A, B, C, \dots

A single nonterminal

a, b, c, \dots

A single terminal

\dots, X, Y, Z

A single terminal or nonterminal

\dots, x, y, z

A string of terminals

α, β, γ

A string of terminals and nonterminals

Classes of Grammars

- **0. Unrestricted:** An unrestricted grammar is one in which there are no restrictions on the rewriting rules.
- **1. Context-Sensitive:** A context-sensitive grammar is one in which each rule must be of the form:
$$\alpha A \gamma \rightarrow \alpha \beta \gamma$$
 where each of α , β , and γ is any string of terminals and nonterminals
- **2. Context-Free:** A context-free grammar is one in which each rule must be of the form:
$$A \rightarrow \alpha$$
 where A represents a single nonterminal and α is any string of terminals and nonterminals.
- **3. Right Linear:** A right linear grammar is one in which each rule is of the form:

$$A \rightarrow aB \text{ or } A \rightarrow a$$

Classify each of the following grammar rules according to Chomsky's classification of grammars (in each case give the largest - i.e. most restricted - classification type that applies):

1. $aSb \rightarrow aAcBb$

2. $B \rightarrow aA$

3. $S \rightarrow aBc$

4. $S \rightarrow aBc$

5. $Ab \rightarrow b$

6. $AB \rightarrow BA$

1. *Type 1, Context-Sensitive*

2. *Type 3, Right Linear*

3. *Type 0, Unrestricted*

4. *Type 2, Context-Free*

5. *Type 1, Context-Sensitive*

6. *Type 0, Unrestricted*

Give a right linear grammar for each of the languages of Sample Problem

- Strings over $\{0,1\}$ containing an odd number of 0's.
 - 1. $S \rightarrow 0$
 - 2. $S \rightarrow 1S$
 - 3. $S \rightarrow 0A$
 - 4. $A \rightarrow 1$
 - 5. $A \rightarrow 1A$
 - 6. $A \rightarrow 0S$
- Strings over $\{0,1\}$ which contain exactly three 0's.
 - 1. $S \rightarrow 1S$
 - 2. $S \rightarrow 0A$
 - 3. $A \rightarrow 1A$
 - 4. $A \rightarrow 0B$
 - 5. $B \rightarrow 1B$
 - 6. $B \rightarrow 0C$
 - 7. $B \rightarrow 0$
 - 8. $C \rightarrow 1C$
 - 9. $C \rightarrow 1$

Derivation Tree

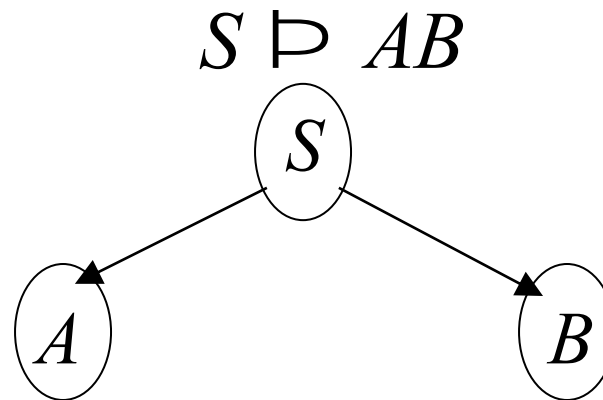
Derivation Tree is a tree in which each **node** corresponds to a **nonterminal** in a sentential form and each **leaf node** corresponds to a **terminal** symbol in the derived string.

Derivation Tree for **aab**

$$S \rightarrow AB$$

$$A \rightarrow aaA \mid /$$

$$B \rightarrow Bb \mid /$$



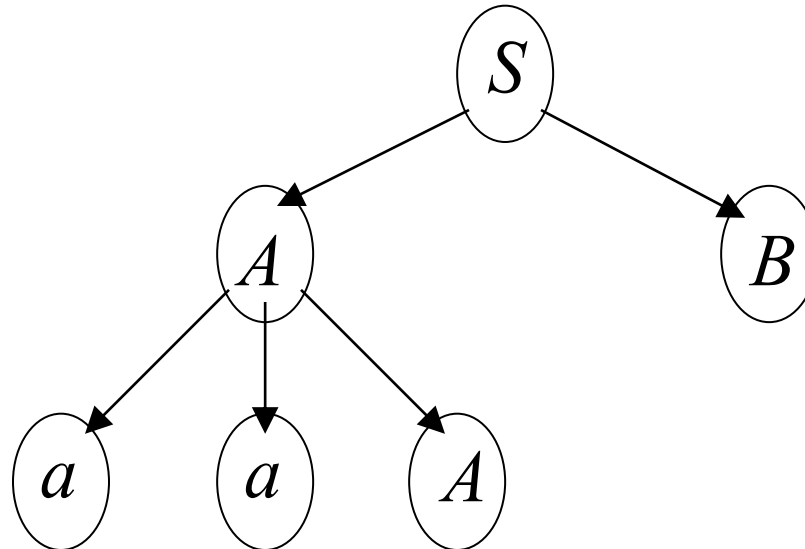
Derivation Tree for **aab**

$$S \rightarrow AB$$

$$A \rightarrow aaA \mid /$$

$$B \rightarrow Bb \mid /$$

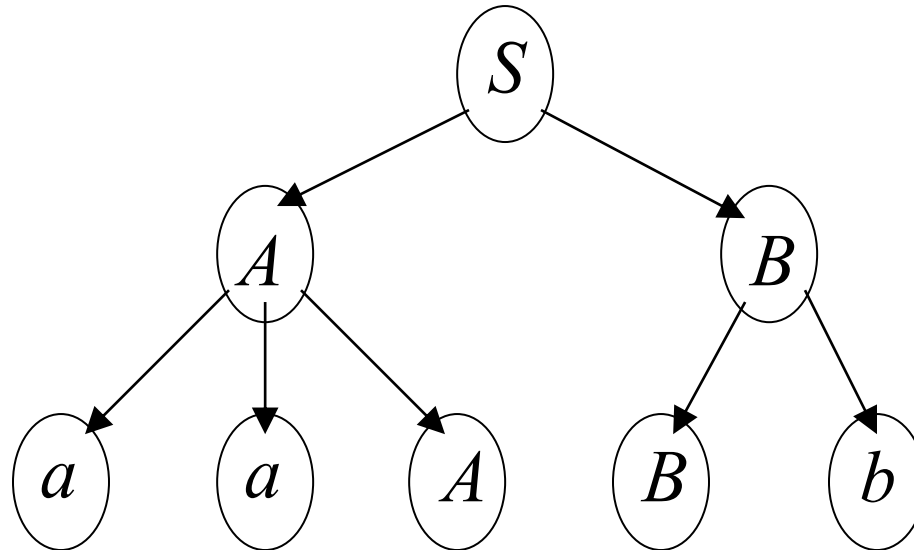
$$S \supset AB \supset aaAB$$



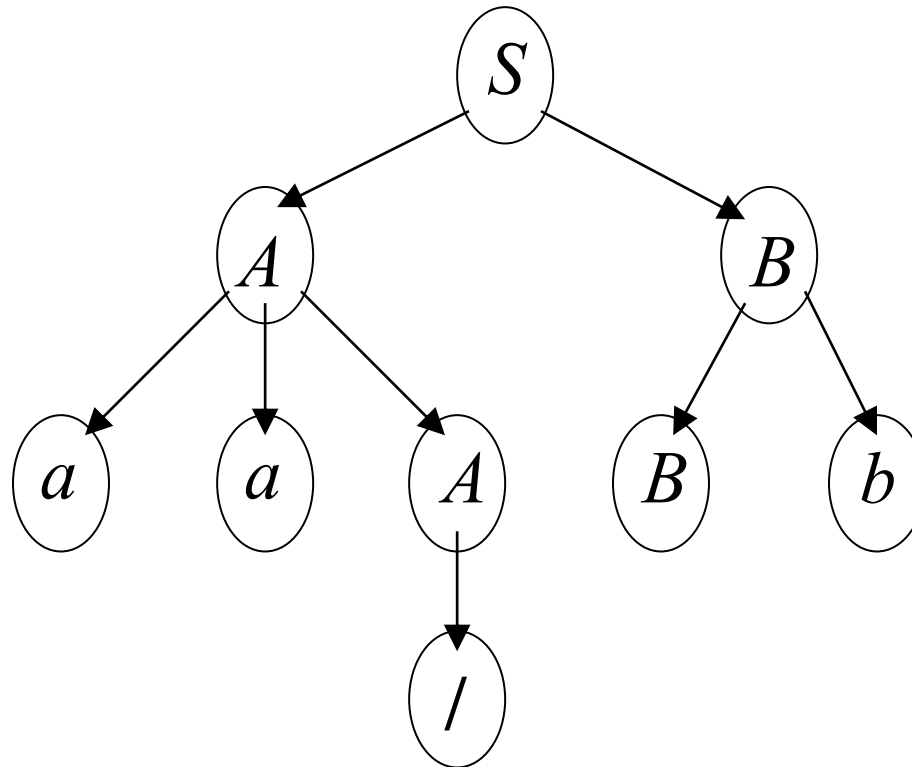
Derivation Tree for **aab**

$$S \rightarrow AB \qquad A \rightarrow aaA \mid / \qquad B \rightarrow Bb \mid /$$

$$S \vdash AB \vdash aaAB \vdash aaABb$$



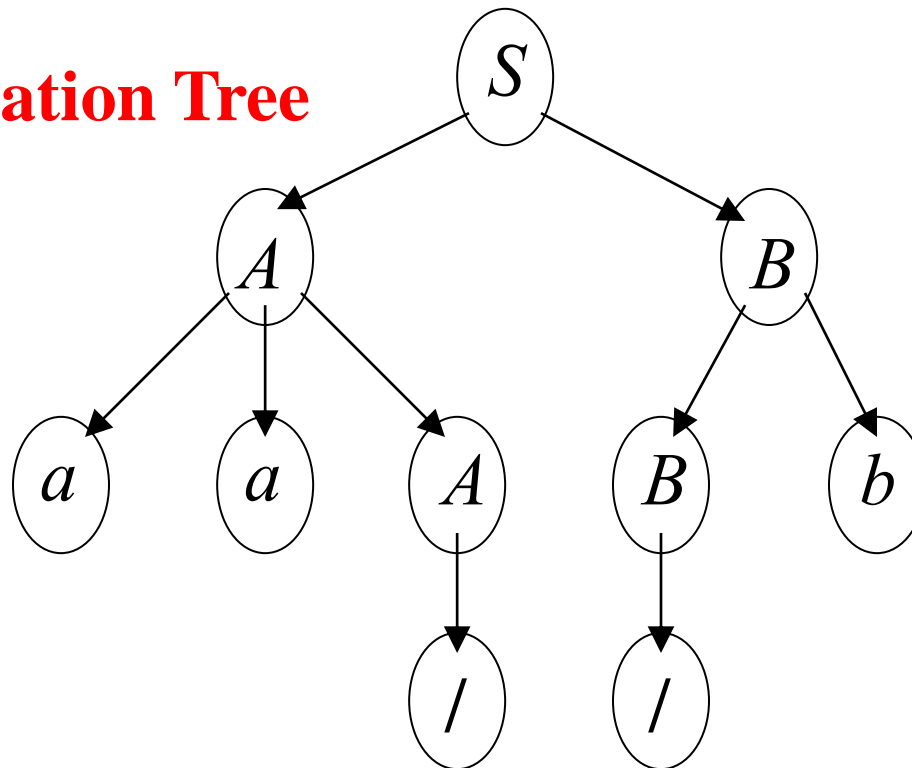
Derivation Tree for **aab**

$$S \rightarrow AB \qquad A \rightarrow aaA \mid / \qquad B \rightarrow Bb \mid /$$
$$S \vdash AB \vdash aaAB \vdash aaABb \vdash aaBb$$


Derivation Tree for **aab**

$S \rightarrow AB$ $A \rightarrow aaA \mid /$ $B \rightarrow Bb \mid /$
 $S \vdash AB \vdash aaAB \vdash aaABb \vdash aaBb \vdash aab$

Derivation Tree

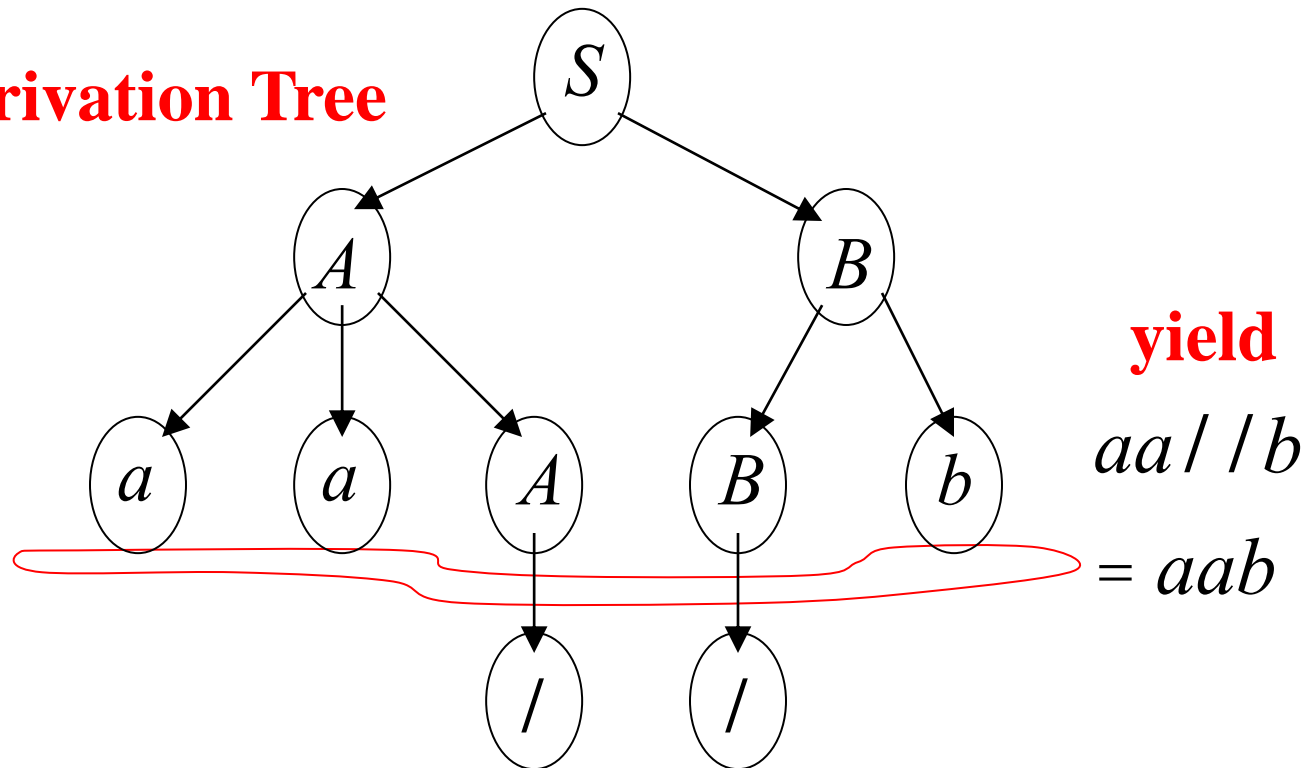


Derivation Tree for **aab**

$$S \rightarrow AB \quad A \rightarrow aaA \mid / \quad B \rightarrow Bb \mid /$$

$$S \vdash AB \vdash aaAB \vdash aaABb \vdash aaBb \vdash aab$$

Derivation Tree



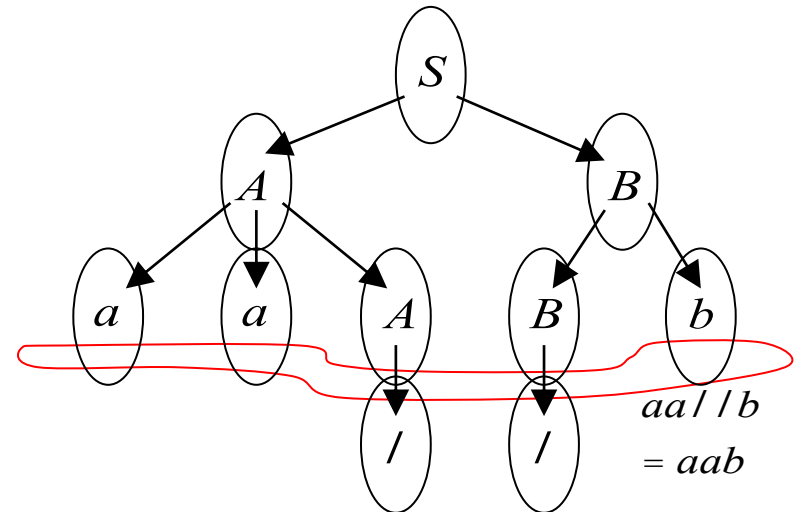
Derivation Tree for **aab**

$$S \rightarrow AB$$

$$A \rightarrow aaA \mid /$$

$$B \rightarrow Bb \mid /$$

Derivation Tree



Leftmost:

$$S \vdash AB \vdash aaAB \vdash aaB \vdash aaBb \vdash aab$$

Rightmost:

$$S \vdash AB \vdash ABb \vdash Ab \vdash aaAb \vdash aab$$

Ambiguous Grammar

A context-free grammar G is ambiguous if there is a string $w \in L(G)$ which has:

two different derivation trees

or

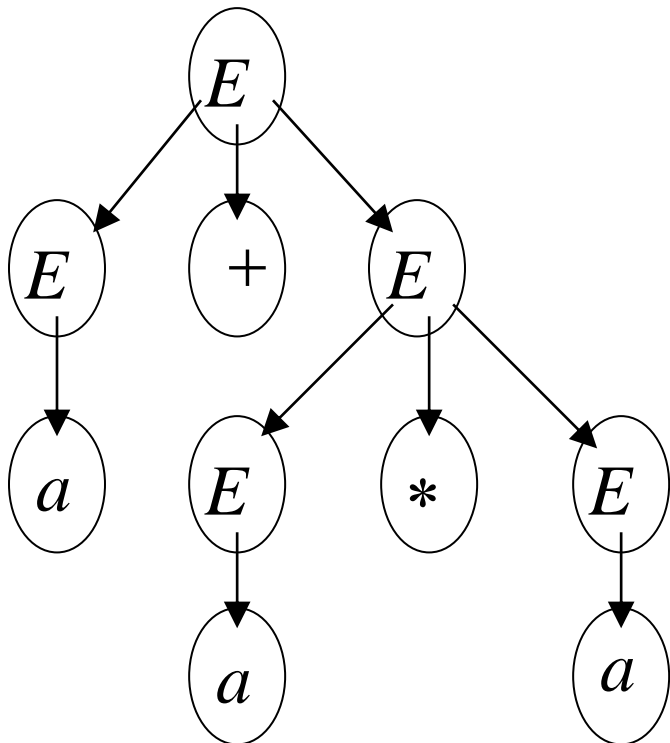
two leftmost derivations

(Two different derivation trees give two different leftmost derivations and vice-versa)

Ambiguity

A context-free grammar is said to be **ambiguous** if there is **more than one derivation tree** for a particular string.

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$



A leftmost derivation for

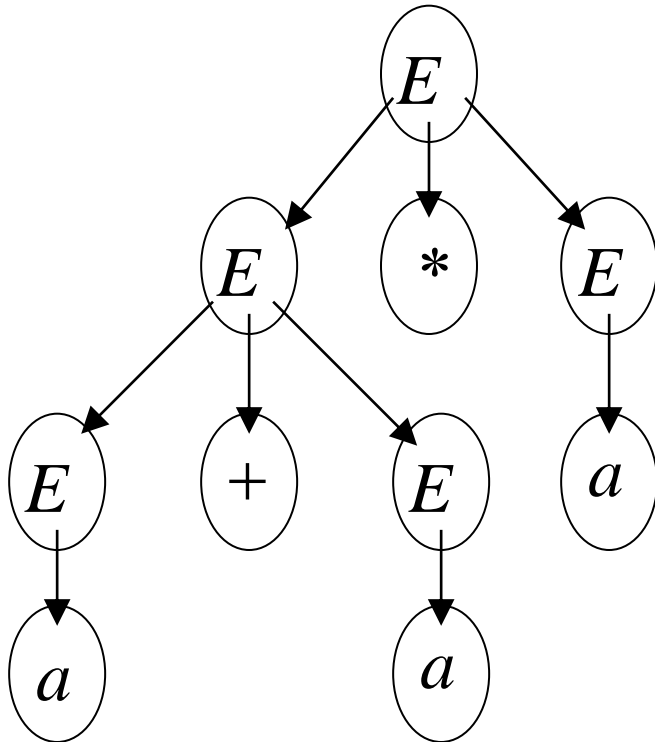
$$a + a * a$$

$$\begin{aligned} E &\Rightarrow E + E \Rightarrow a + E \Rightarrow a + E * E \\ &\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$

Ambiguity

A context-free grammar is said to be **ambiguous** if there is **more than one derivation tree** for a particular string.

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$



Another leftmost derivation for

$$a + a * a$$

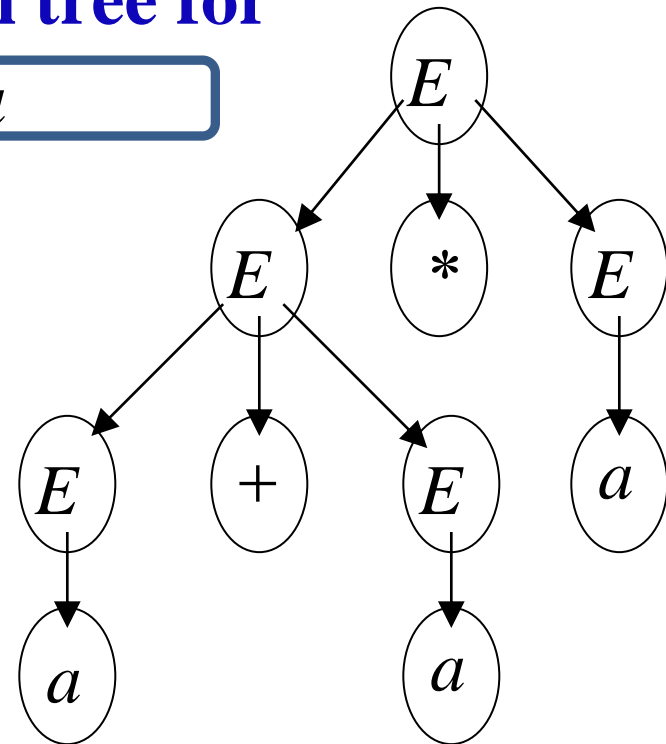
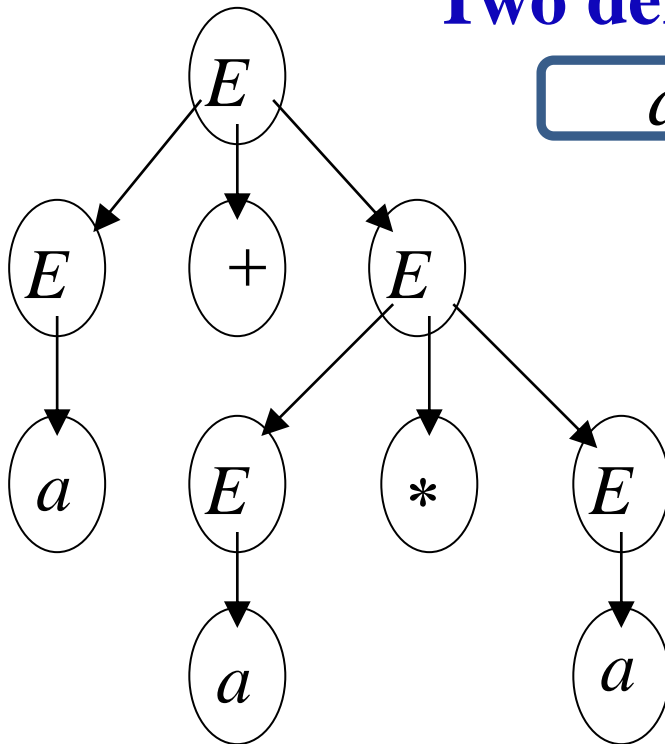
$$\begin{aligned}
 E &\Rightarrow E * E \Rightarrow E + E * E \Rightarrow a + E * E \\
 &\Rightarrow a + a * E \Rightarrow a + a * a
 \end{aligned}$$

Ambiguity

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

Two derivation tree for

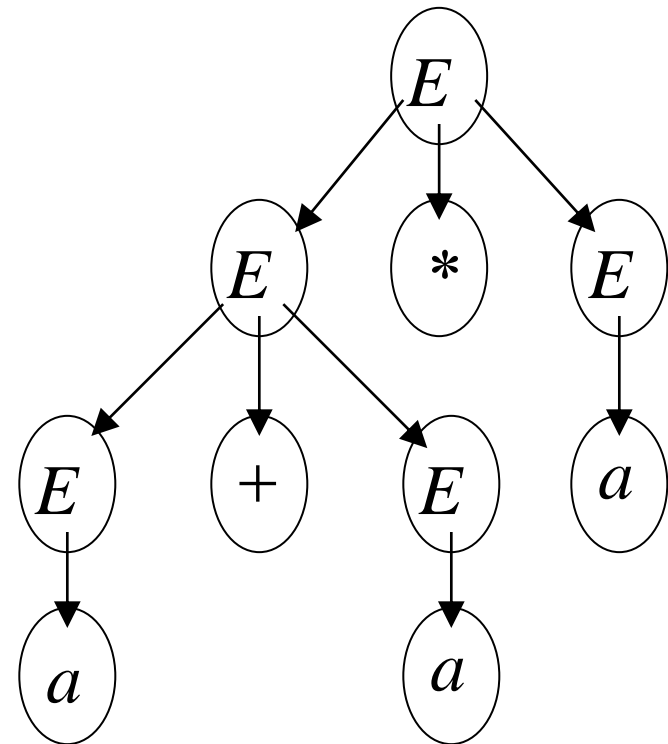
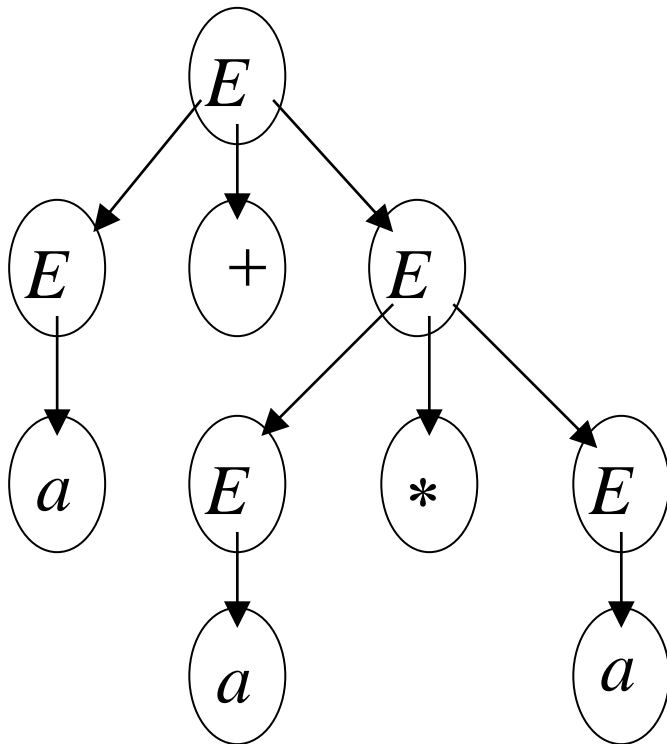
$$a + a * a$$



Ambiguity

take $a = 2$

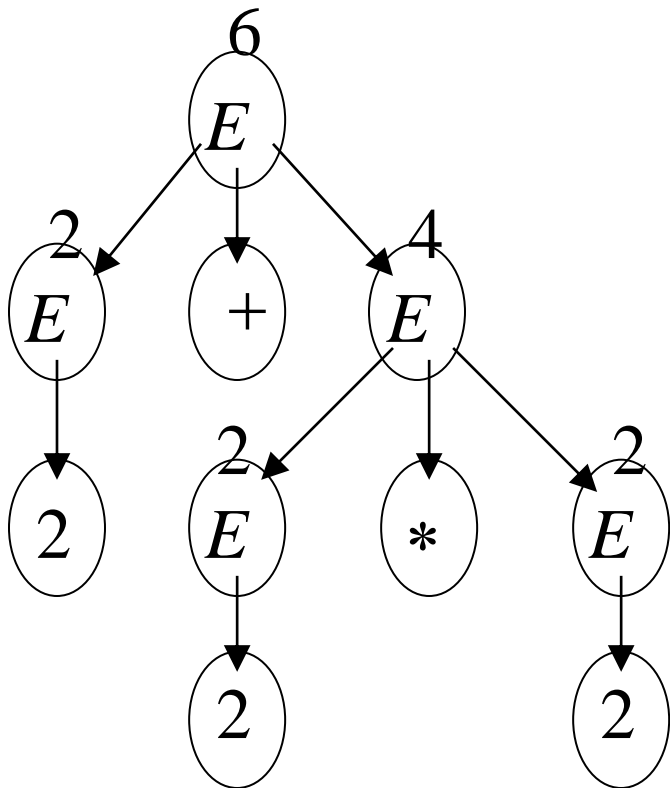
$$a + a * a = 2 + 2 * 2$$



Ambiguity

Good Tree

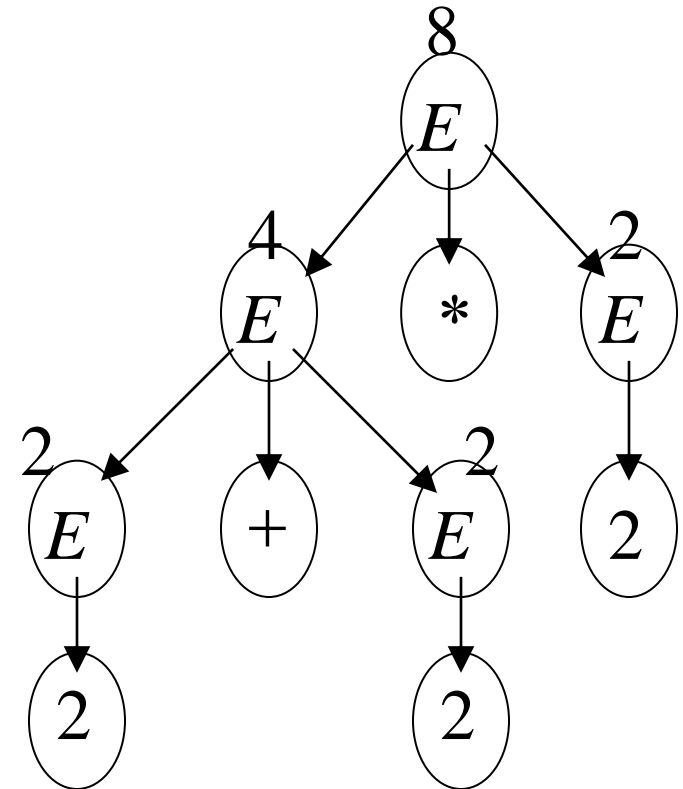
$$2 + 2 * 2 = 6$$



**Compute expression result
using the tree**

Bad Tree

$$2 + 2 * 2 = 8$$



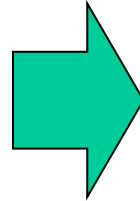
Ambiguity

A successful example:

Ambiguous Grammar

$$\begin{aligned} E &\rightarrow E + E \\ E &\rightarrow E * E \\ E &\rightarrow (E) \\ E &\rightarrow a \end{aligned}$$

Equivalent



Non-Ambiguous Grammar

$$\begin{aligned} E &\rightarrow E + T \mid T \\ T &\rightarrow T * F \mid F \\ F &\rightarrow (E) \mid a \end{aligned}$$

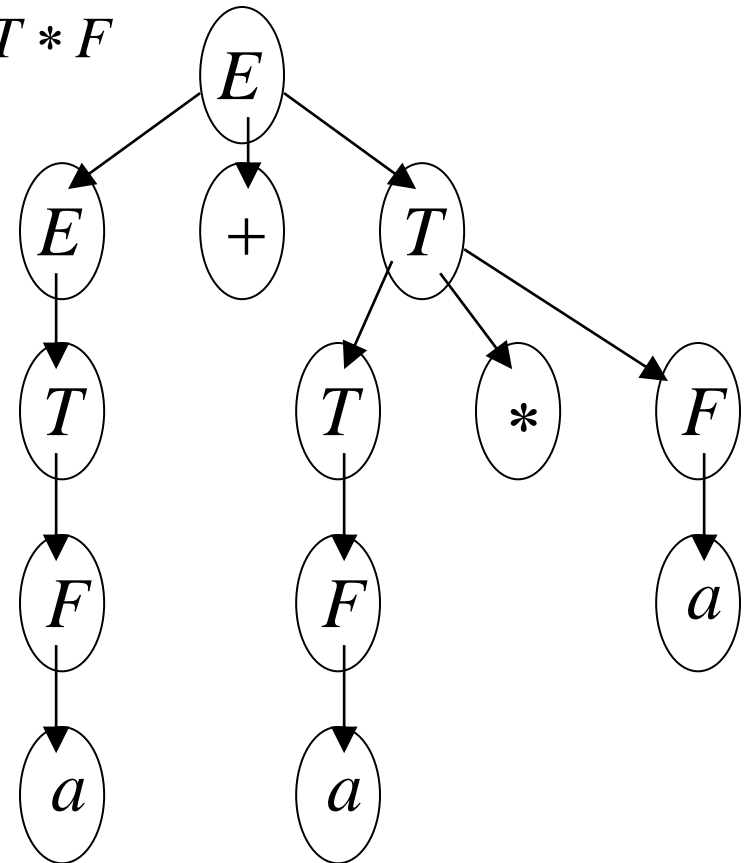
Ambiguity

$$\begin{aligned}
 E &\Rightarrow E + T \Rightarrow T + T \Rightarrow F + T \Rightarrow a + T \Rightarrow a + T * F \\
 &\Rightarrow a + F * F \Rightarrow a + a * F \Rightarrow a + a * a
 \end{aligned}$$

$$\begin{aligned}
 E &\rightarrow E + T \mid T \\
 T &\rightarrow T * F \mid F \\
 F &\rightarrow (E) \mid a
 \end{aligned}$$

Unique derivation tree for

$a + a * a$



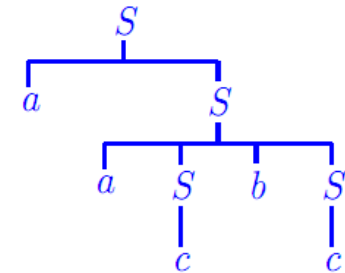
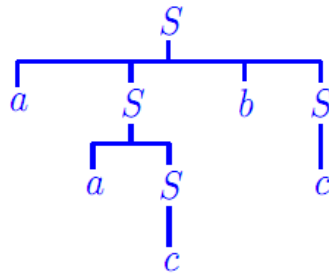
Example

Determine whether the following grammar is ambiguous. If so, show two different derivation trees for the same string of terminals, and show a left-most derivation corresponding to each tree.

$$S \rightarrow aSbS$$

$$S \rightarrow aS$$

$$S \rightarrow c$$



$$\begin{aligned}
 S &\Rightarrow a S b S \Rightarrow a a S b S \Rightarrow a a c b S \Rightarrow a a c b c \\
 S &\Rightarrow a S \Rightarrow a a S b S \Rightarrow a a c b S \Rightarrow a a c b c
 \end{aligned}$$

- Syntax Analysis
- Grammar
- **Pushdown Machine**
- Parser

Pushdown Machine

A **Pushdown machines** can be used for syntax analysis, just as finite state machines are used for lexical analysis.

A **pushdown machine** consists of:

- ✓ **A finite set of states**
- ✓ **A finite set of input symbols**
- ✓ **An infinite stack**
- ✓ **A state transition function**

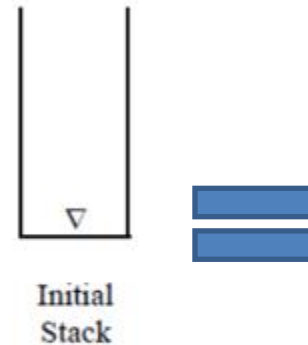
Pushdown Machine

- **Rows** are labeled by **stack symbols** and the **columns** are labeled by **input symbols**.
- \leftarrow^{ϵ} character is used as an **endmarker**, indicating the end of the input string,
- ∇ symbol is a stack symbol which we are using to mark the bottom of the stack so that we can test for the **empty stack** condition.
- **Each cell** of those tables shows a **stack operation** (**push()** or **pop**), an input pointer **function** (**advance** or **retain**), and the **next state**. **Accept** and **Reject** are exits from the machine.
- A **state transition function** which takes as arguments the **current state**, the **current input symbol**, and the **symbol currently on top of the stack**; its result is the **new state of the machine**.
- On each state transition the machine may perform one of the stack operations, **push(X)** or **pop**, where **X** is one of the **stack symbols**.
- A state transition may include an exit from the machine labeled either **Accept** or **Reject**

Example

S1	a	b	↓
X	Push (X) Advance S1	Pop Advance S2	Reject
▽	Push (X) Advance S1	Reject	Accept

S2	a	b	↓
X	Reject	Pop Advance S2	Reject
▽	Reject	Reject	Accept



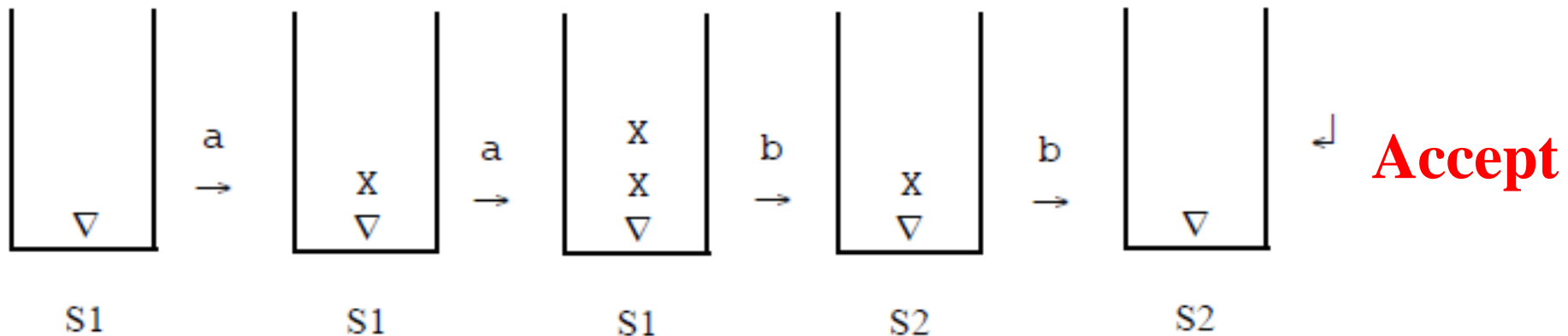
$$S \rightarrow ASB$$

$$S \rightarrow \epsilon$$

$$A \rightarrow a$$

$$B \rightarrow b$$

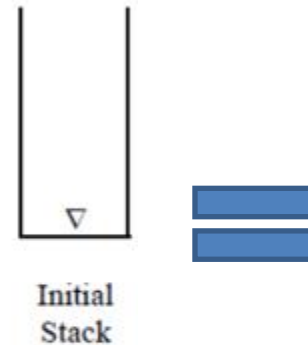
Show the sequence of stacks for the input string **aabb** ←



Example

S1	a	b	↓
X	Push (X) Advance S1	Pop Advance S2	Reject
▽	Push (X) Advance S1	Reject	Accept

S2	a	b	↓
X	Reject	Pop Advance S2	Reject
▽	Reject	Reject	Accept



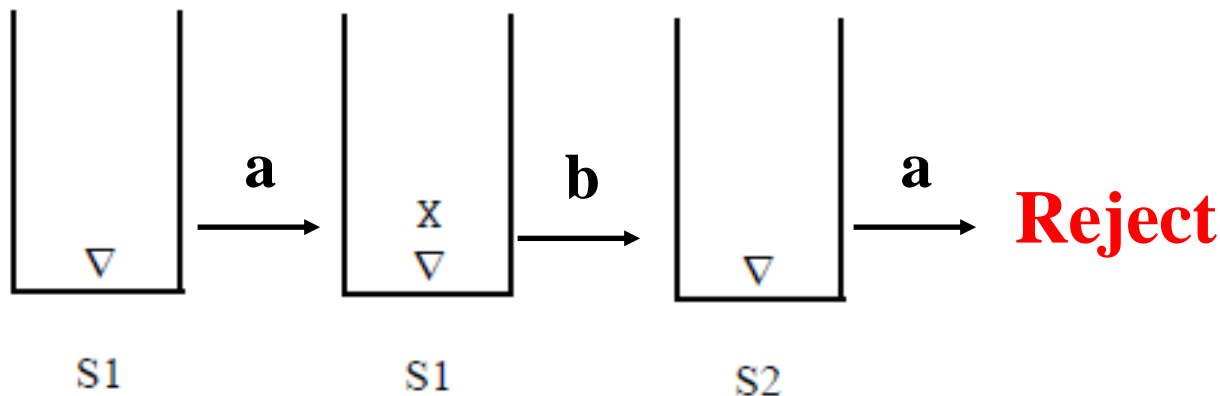
$$S \rightarrow ASB$$

$$S \rightarrow \epsilon$$

$$A \rightarrow a$$

$$B \rightarrow b$$

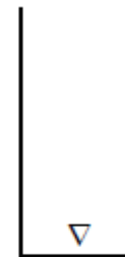
Show the sequence of stacks for the input string **aba** ←



Exercise

- pushdown machine to accept any string of well-balanced parentheses

s1	()	↓
X	Push (X) Advance s1	Pop Advance s1	Reject
∇	Push (X) Advance s1	Reject	Accept



Initial
Stack



$S \rightarrow (S)$

$S \rightarrow \lambda$

- Show the sequence of stacks for the input string $()$

- Syntax Analysis
- Grammar
- Pushdown Machine
- **Parser**

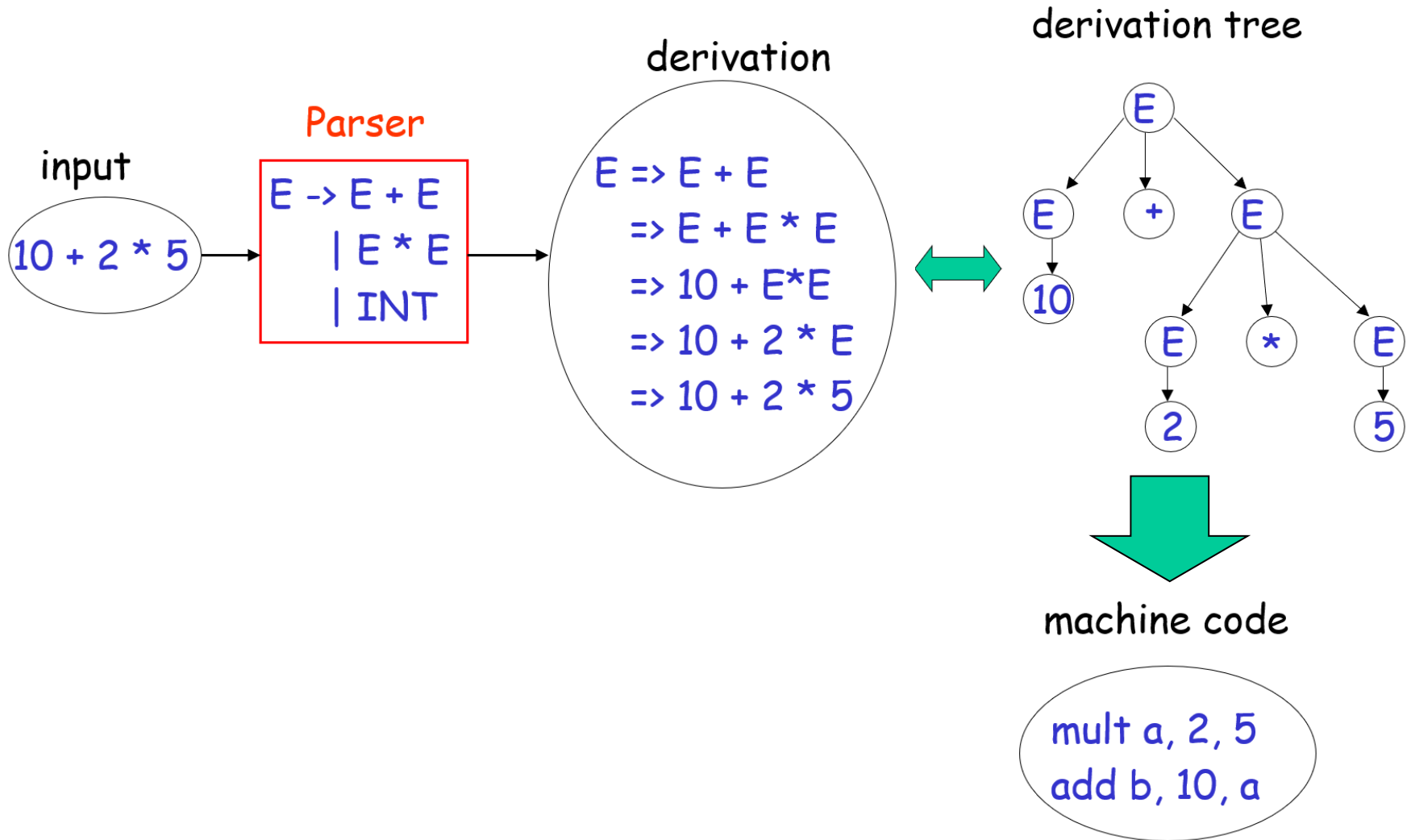
Parser

A parser knows the grammar of the programming language

$$\text{PROGRAM} \rightarrow \text{STMT_LIST}$$
$$\text{STMT_LIST} \rightarrow \text{STMT}; \text{STMT_LIST} \mid \text{STMT};$$
$$\text{STMT} \rightarrow \text{EXPR} \mid \text{IF_STMT} \mid \text{WHILE_STMT}$$
$$\quad \mid \{ \text{STMT_LIST} \}$$

$$\text{EXPR} \rightarrow \text{EXPR} + \text{EXPR} \mid \text{EXPR} - \text{EXPR} \mid \text{ID}$$
$$\text{IF_STMT} \rightarrow \text{if (EXPR) then STMT}$$
$$\quad \mid \text{if (EXPR) then STMT else STMT}$$
$$\text{WHILE_STMT} \rightarrow \text{while (EXPR) do STMT}$$

Parser



Pushdown Translator

- An infix expression is one in which the operation is placed between the two operands.
- A postfix expression is one in which the two operands precede the operation:

Infix

2 + 3

2 + 3 * 5

2 * 3 + 5

(2 + 3) * 5

Postfix

2 3 +

2 3 5 * +

2 3 * 5 +

2 3 + 5 *

Pushdown Translator

S1	a	+	*	()	↔
E	Reject	push(+)	push(*)	Reject	pop retain S3	pop retain
E _p	Reject	pop out(+)	push(*)	Reject	pop retain S2	pop retain S2
L	push(E) out(a)	Reject	Reject	push(L)	Reject	Reject
L _p	push(E) out(a)	Reject	Reject	push(L)	Reject	Reject
L _s	push(E) out(a)	Reject	Reject	push(L)	Reject	Reject
+	push(E _p) out(a)	Reject	Reject	push(L _p)	Reject	Reject
*	pop out(a*)	Reject	Reject	push(L _s)	Reject	Reject
▽	push(E) out(a)	Reject	Reject	push(L)	Reject	Accept

S2)	↔
+	pop out(+) retain, S3	pop out(+) retain, S1
*	pop out(*) S1	Reject

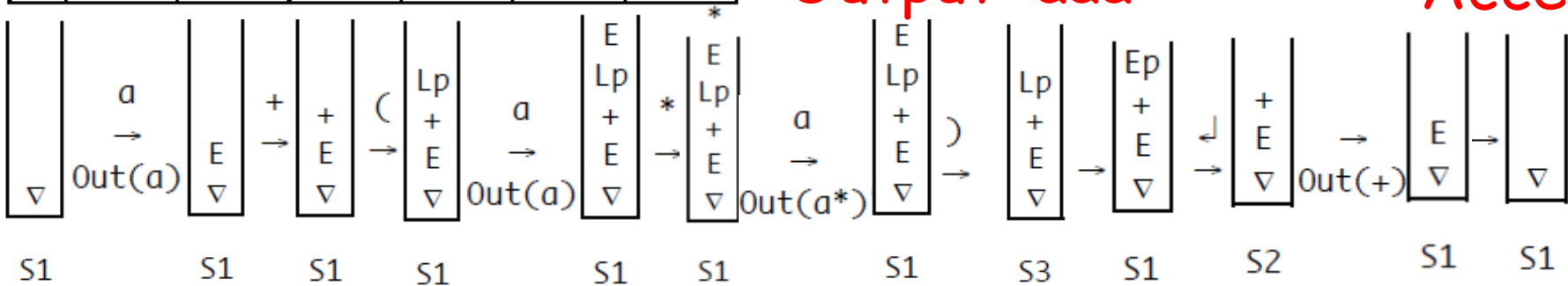
S3)
L	Rep(E) S1
L _p	Rep(E _p) S1
E	pop retain
L _s	pop retain S2
▽	Reject



- Show the sequence of stacks and states which the pushdown machine of Figure would go through if the input were: $a+(a*a)$

Output: aaa^*+

Accept





THANKS

for your attention