

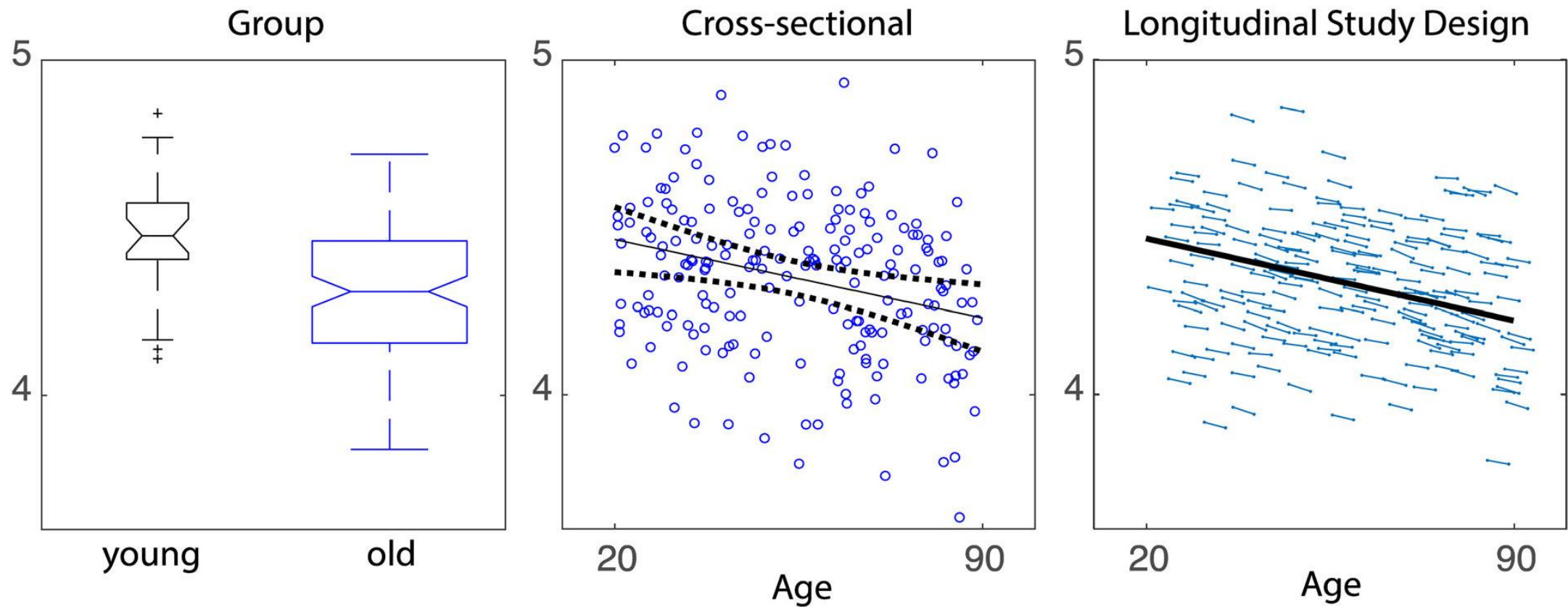
## Medical/Bio Research Topics II: Week 10 (09.11.2023)

# Brain age estimation artificial intelligence models (2): model construction

(뇌나이 예측 인공지능 모델 개발 연습 (2):  
예측 모델 구성)

# Brain Ageing on MRI

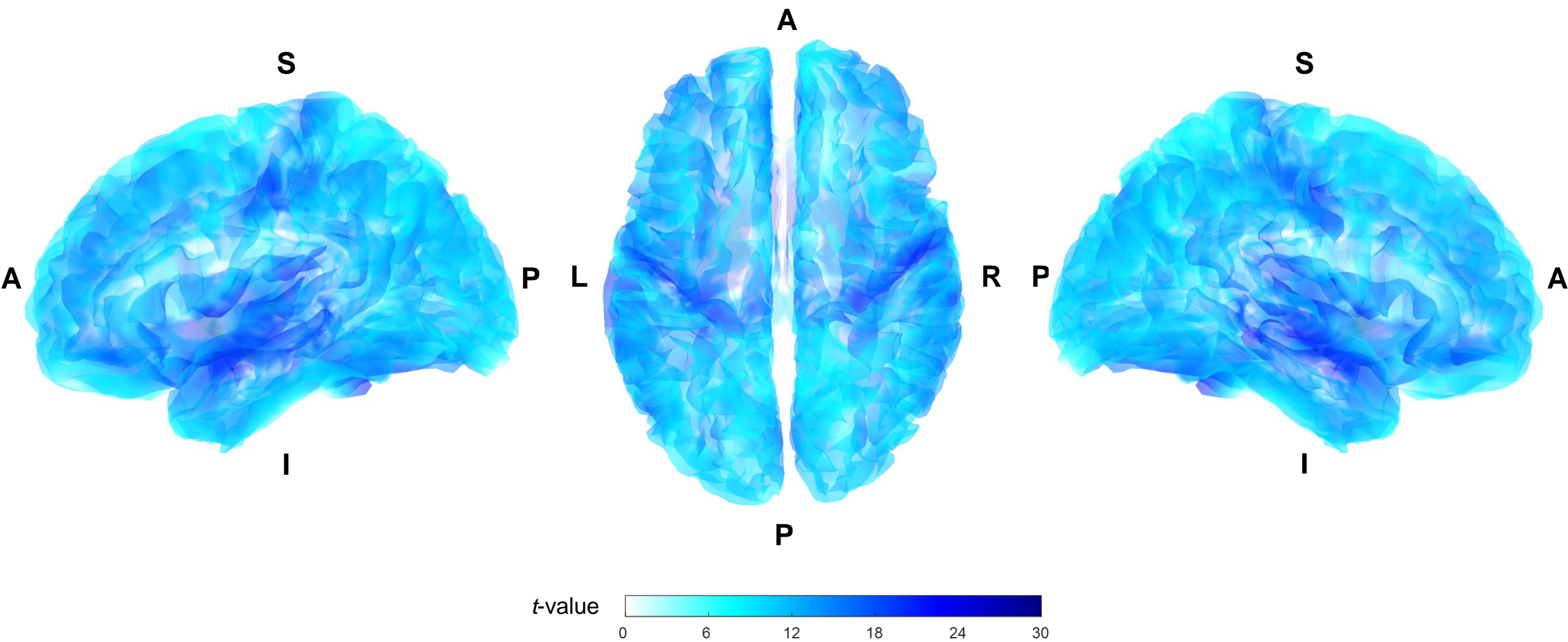
- Study designs for assessing age effects
  - Group
    - Age-related differences
  - Cross-sectional
    - Age-related differences
  - Longitudinal
    - Age-related changes
    - Enables to assess the rate of change with inter-individual variability removed



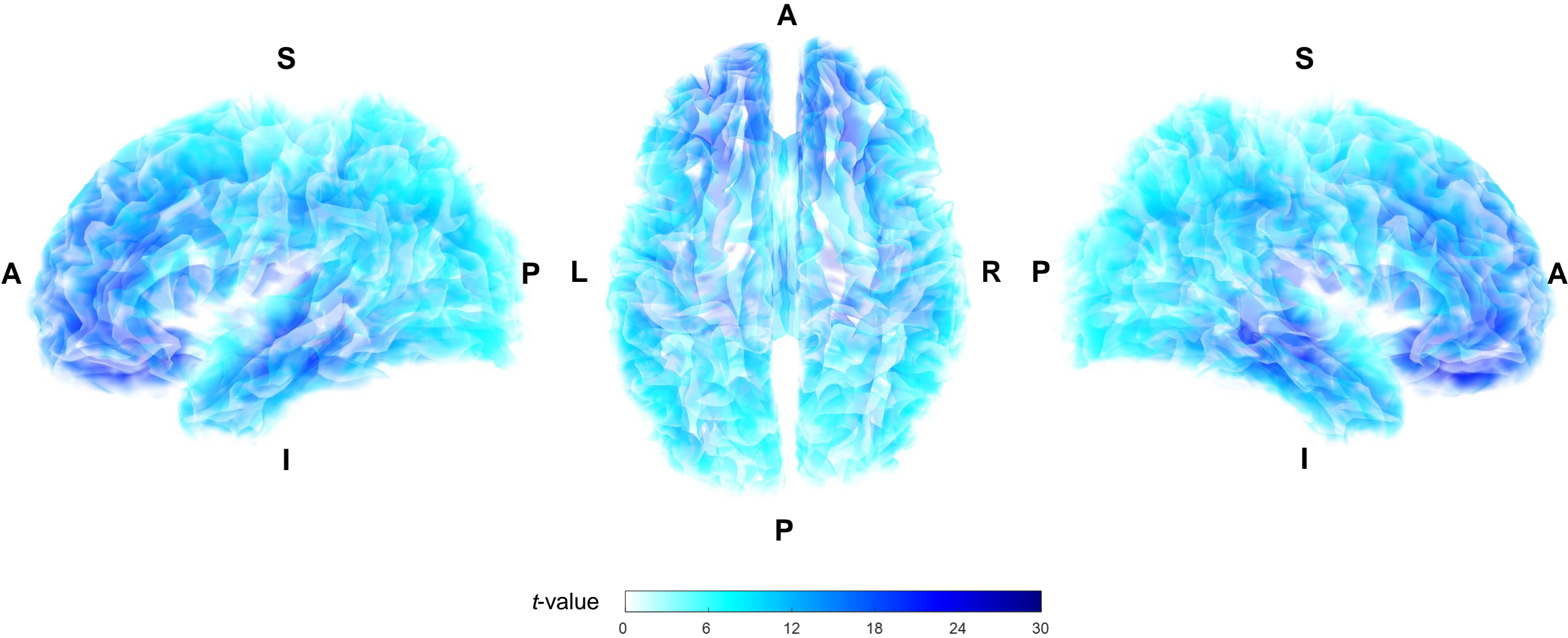
[MacDonald and Pike, 2021]

**Study designs for assessing age effects**

## Negative correlation between grey matter volume and age in the HCP Aging dataset



Negative correlation between white matter volume and age in the HCP Aging dataset



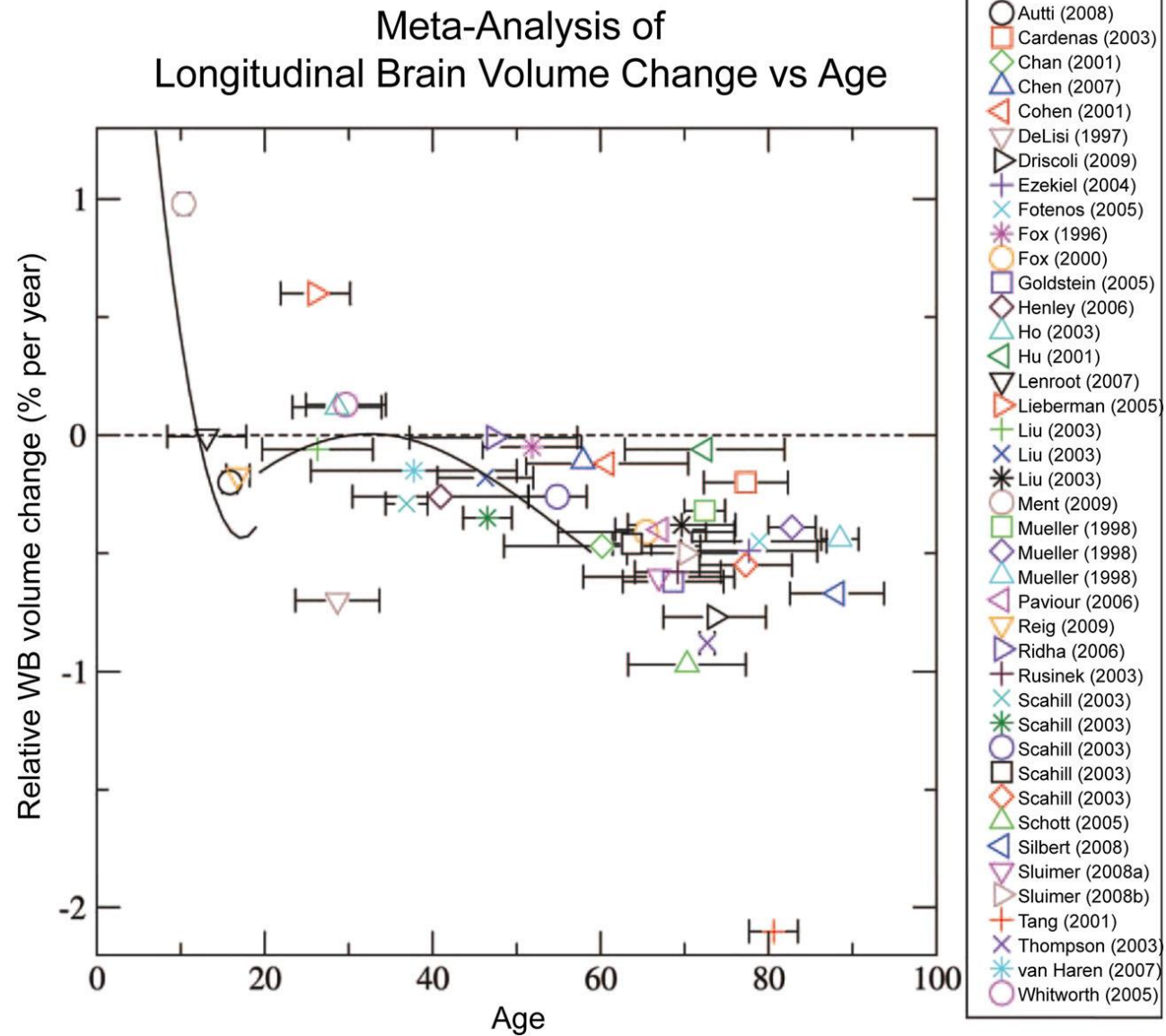
Region	Mean (95% Confidence Interval)	
	Cross-sectional Data	Longitudinal Data
Whole brain*	0.33 (0.25-0.41)	0.32 (0.10-0.54)
Temporal lobes*	0.35 (0.20-0.51)	0.68 (0.42-0.93)
Hippocampi*	0.35 (0.13-0.57)	0.82 (0.53-1.11)
Lateral ventricles, mm <sup>3</sup> /y	521 (323-719)	650 (333-968)

[Schhill et al., 2003]

**Annual rates of brain volume changes based on cross-sectional and longitudinal data**



- Morphological changes
  - Shrinkage of brain volume
    - Continuous decline throughout the lifespan
      - With annual reductions of between 0.5% and 1.0% in most brain areas [\[Fjell and Walhovd, 2010\]](#)
    - For both grey matter and white matter
      - Slower rate of shrinkage for white matter [\[Ge et al., 2002\]](#)
    - Highly heterogeneous in the pattern of changes



[Hedman et al., 2012]

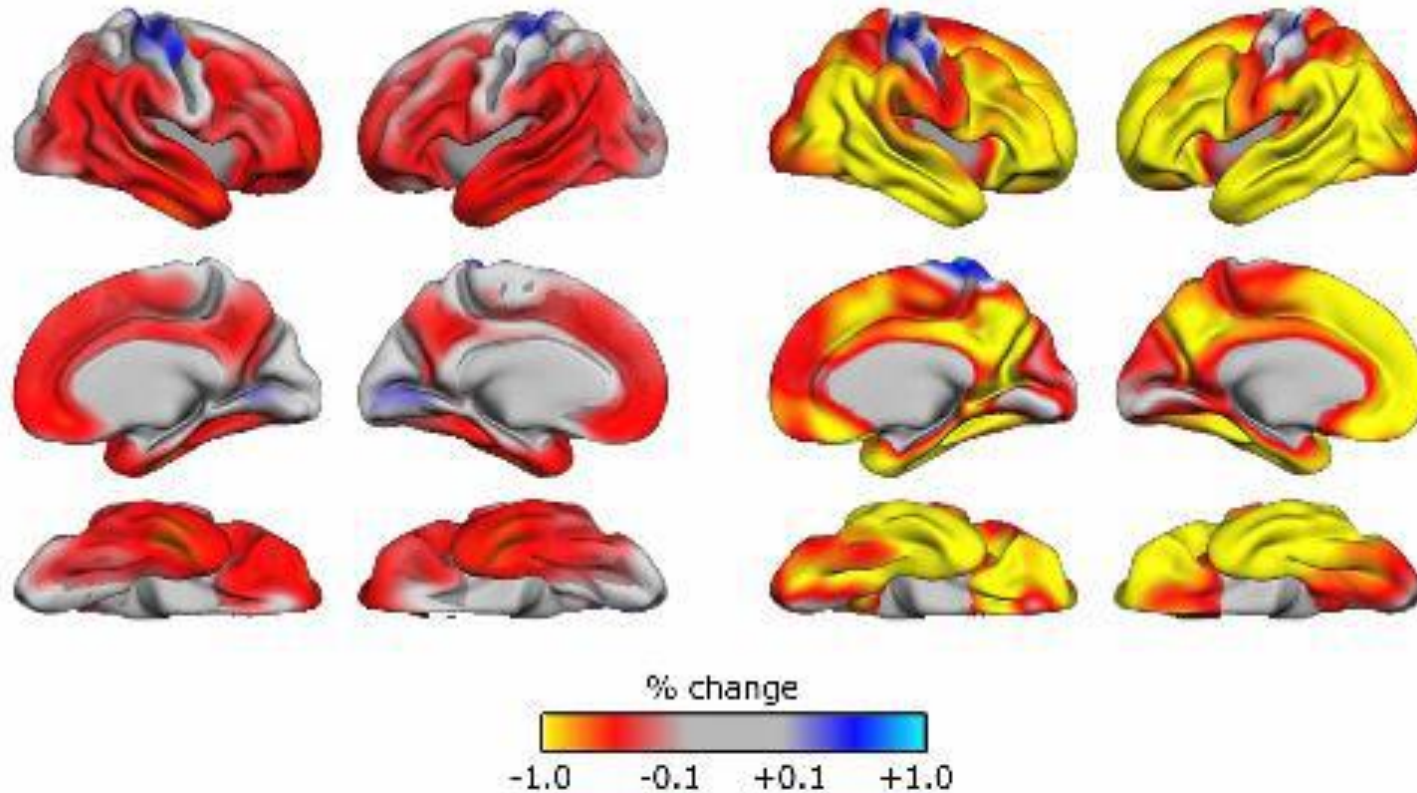
**Brain volume changes with age**



## Longitudinal changes in cortical thickness

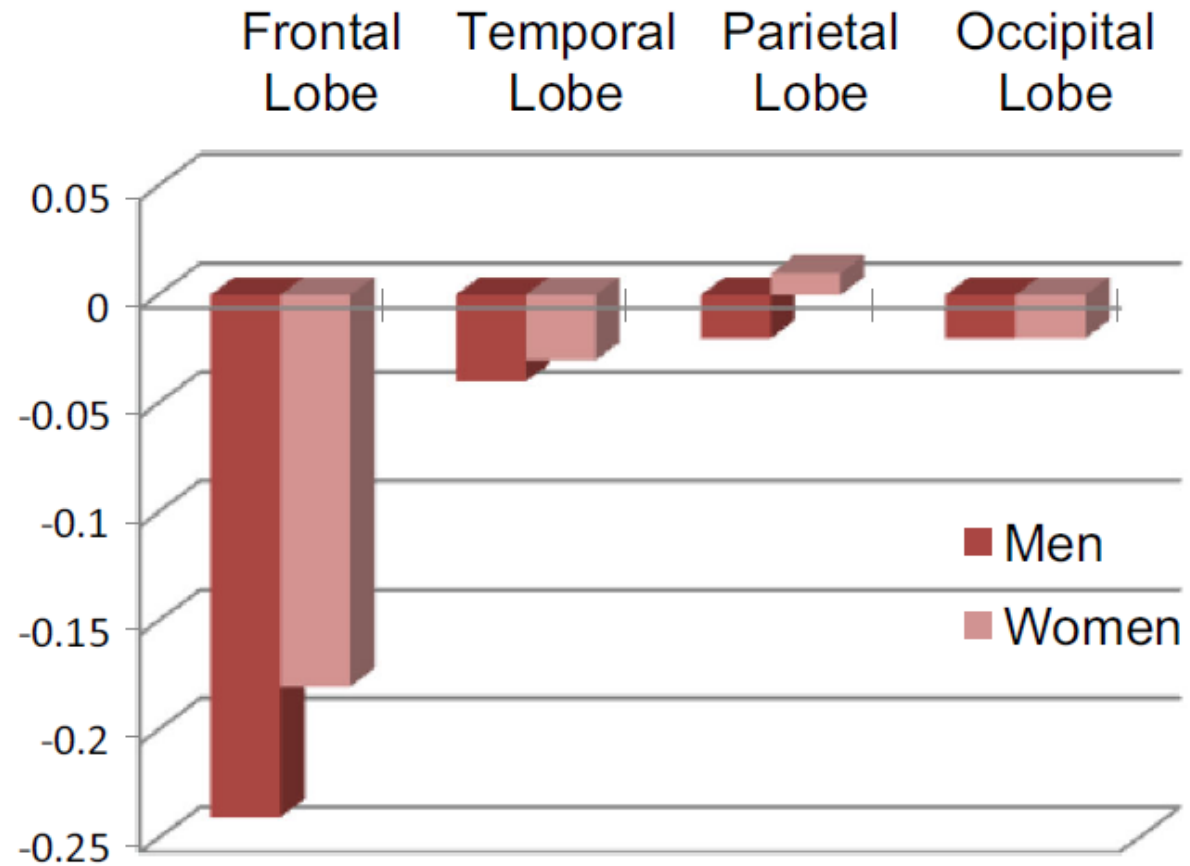
One year

Two years



[Fjell and Walhovd, 2010]

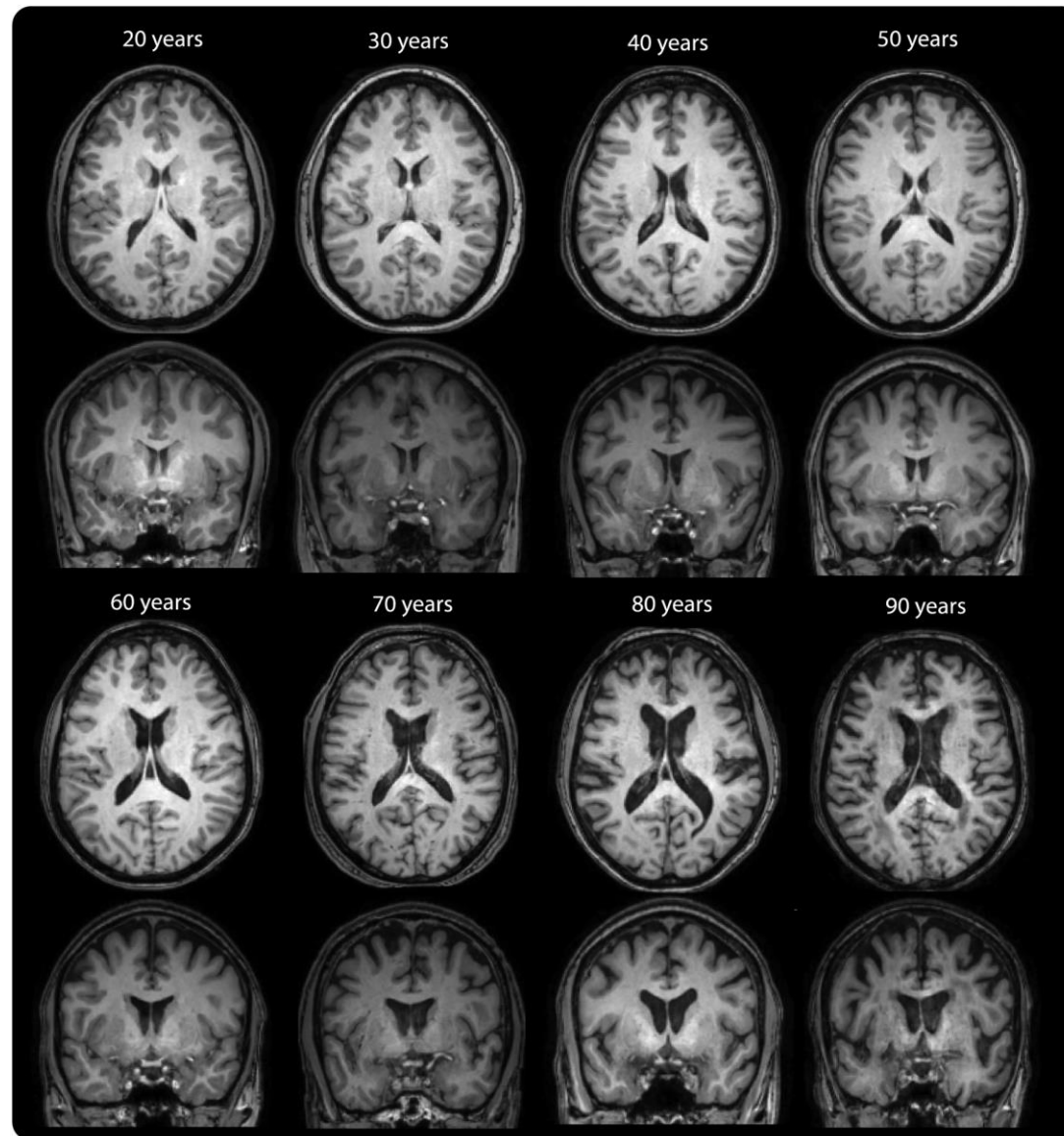
**Percentage changes in cortical thickness over one and two years**



[DeCarli et al., 2005]

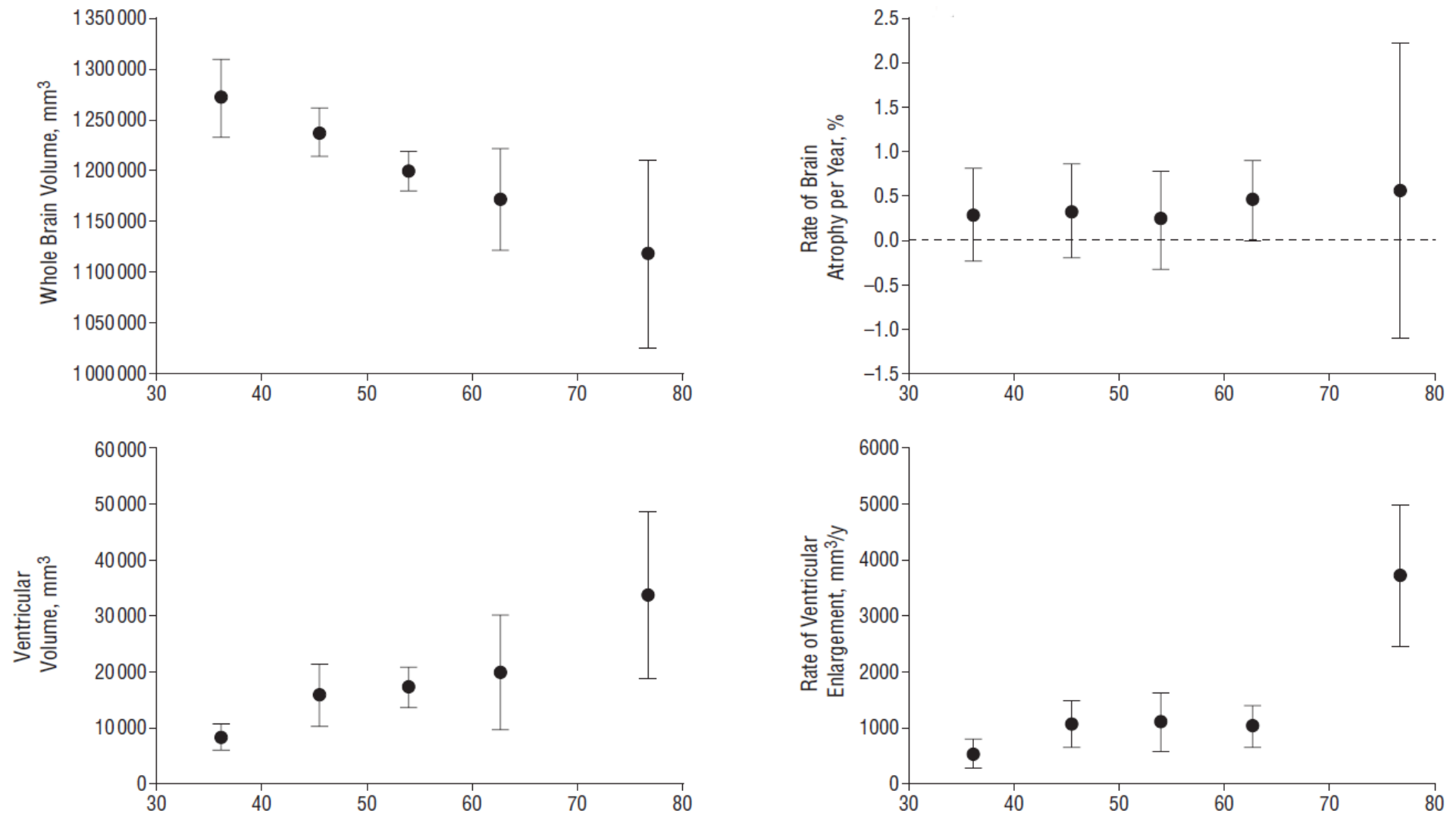
**Regional differences in yearly brain volume changes**

- Enlargement of ventricular size
  - Increase in cerebrospinal fluid volume
  - With most marked changes occurring after 70 years of age [\[Schhill et al., 2003\]](#)



[MacDonald and Pike, 2021]

**Ventricular size changes with age**

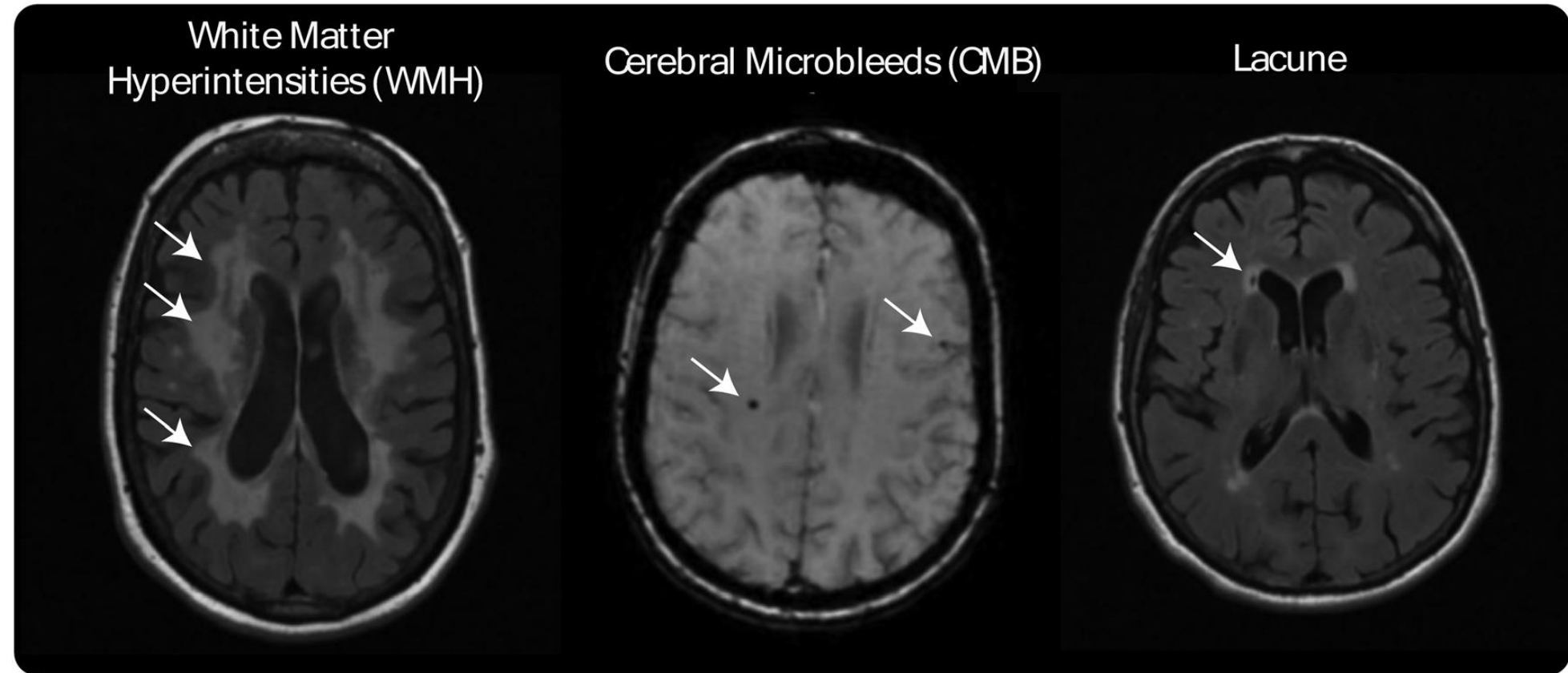


[Schill et al., 2003]

**Cross-sectional volume and longitudinal rate of volume changes in the whole brain and ventricles**

- Accrual of silent lesions
  - White matter hyperintensities
    - Focal white matter spots that are hyperintense on T2-weighted MRI
  - Cerebral micorbleeds
    - Small hemorrhages caused by rupture of small vessels in basal ganglia or subcortical white matter
  - Lacunar infarcts
    - Small noncortical infarcts caused by occlusion of a single penetrating branch of a large cerebral artery





[[MacDonald and Pike, 2021]

**Examples of silent lesions**

# Normative Model

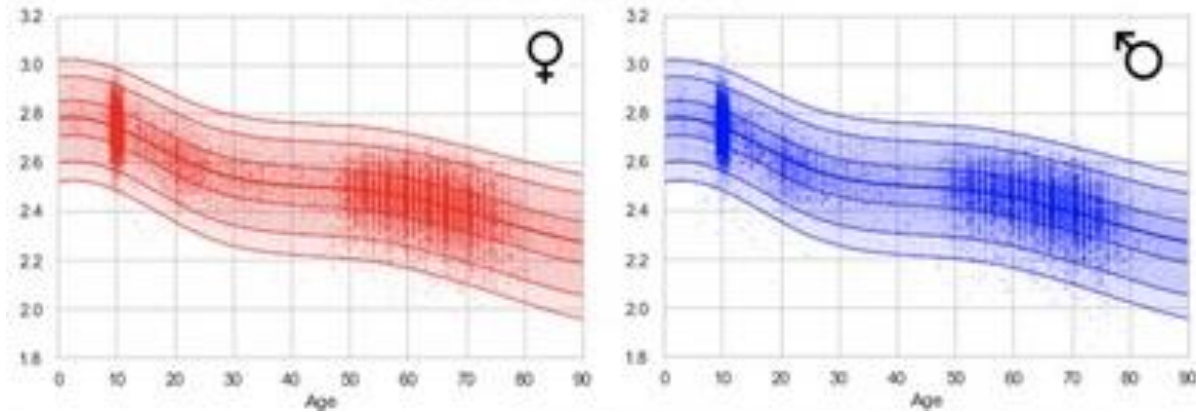
- Reference model for population variation [\[Rutherford et al., 2022\]](#)
  - Enables to quantify individual variation against centiles of variation in a reference population
  - Shifts focus away from group-level (e.g., case-control) inferences to the level of an individual
    - The ability to study individual deviations is essential for understanding inter-individual variability and its relation to the onset and progression of clinical conditions

- Framework for mapping population-level trajectories of the relationships between health-related variables while simultaneously preserving individual-level information [\[Rutherford et al., 2023\]](#)

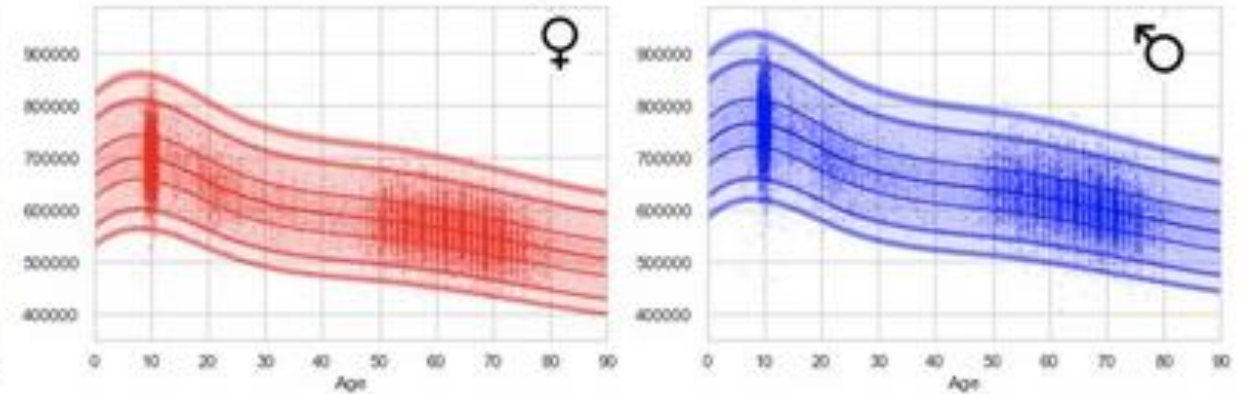
- Health-related variables may involve:

- Demographics (i.e. age and gender)
- Simple (i.e. height and weight) or complex (i.e. brain structure and function, genetics) biological measures
- Environmental factors (i.e. urbanicity, pollution)
- Self-report measures (i.e. social satisfaction, emotional experiences)
- Behavioural tests (i.e. cognitive ability, spatial reasoning)

Mean Thickness



TotalGrayVolume



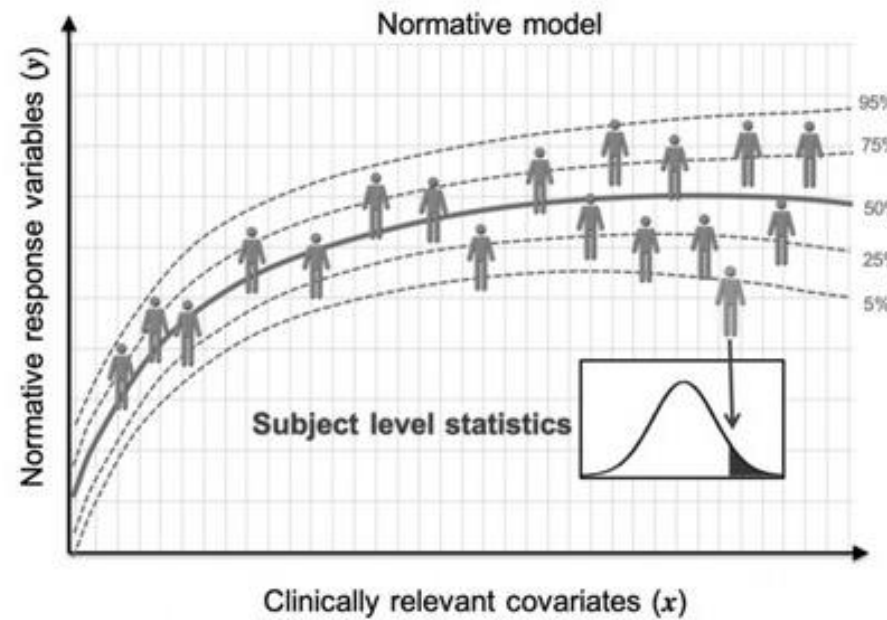
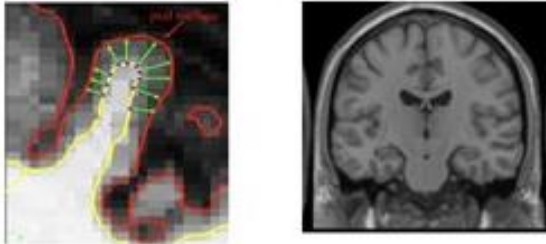
[Rutherford et al., 2022]

**Prediction of cortical thickness and subcortical volume from age**

- Deviation score [\[Rutherford et al., 2023\]](#)
  - Output of a normative model
  - Represents where an individual is in comparison to the population the model was estimated on
    - Positive deviation score: greater cortical thickness or subcortical volume than average
    - Negative deviation score: less cortical thickness or subcortical volume than average
  - Advantageous compared to using raw features in regression and classification tasks

Input

Raw Cortical Thickness



Output

$$z_{nd} = \frac{y_{nd} - \hat{y}_{nd}}{\sqrt{\sigma_d^2 + (\sigma_*^2)_d}}$$

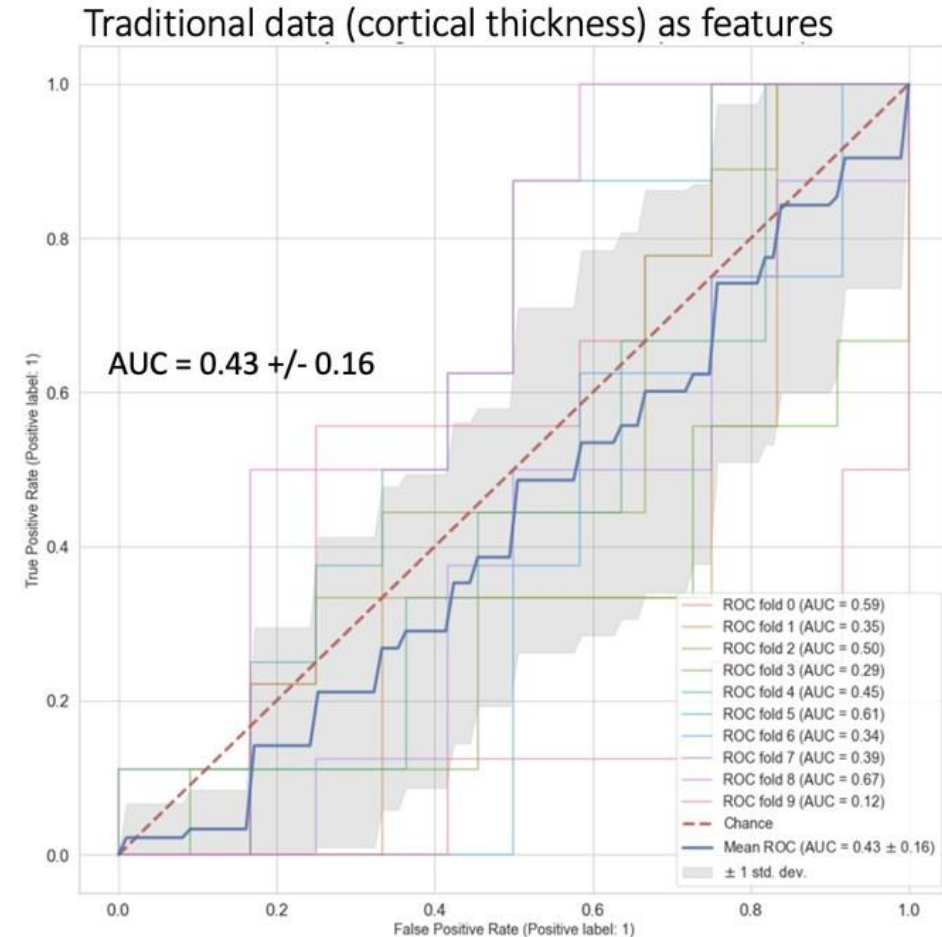
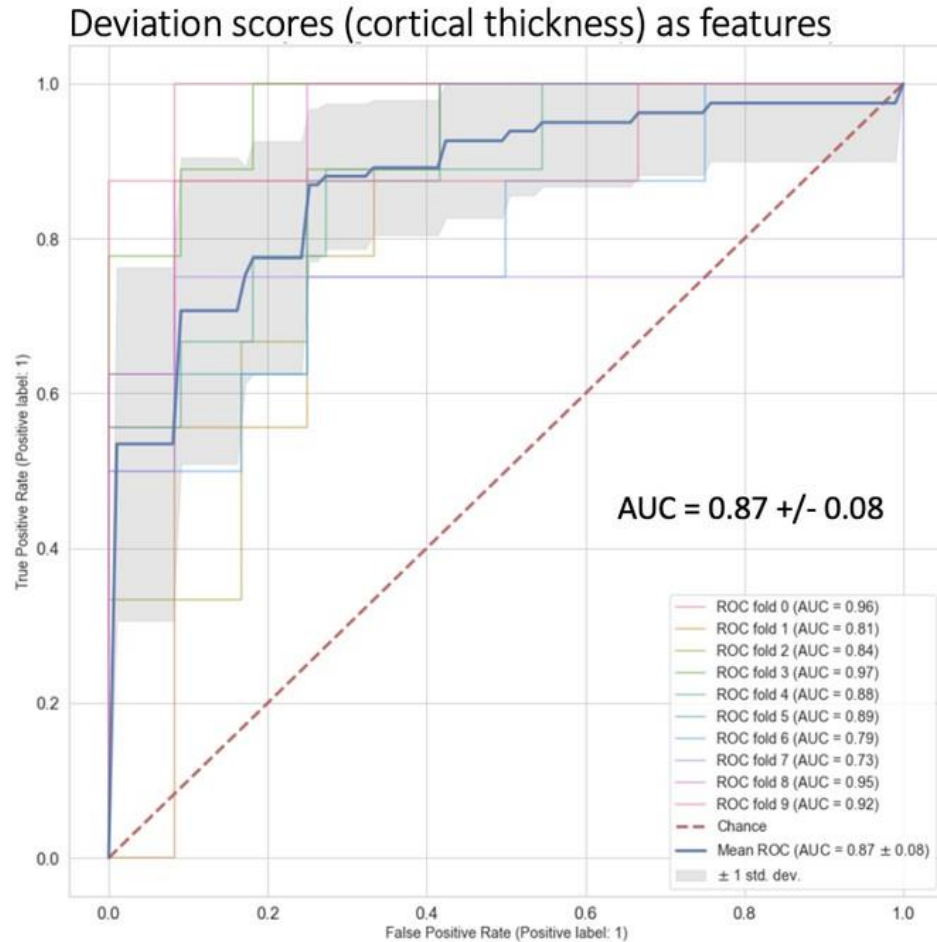
Cortical Thickness  
Deviation (Z) Score

[Rutherford et al., 2023]

**Normative model-derived deviation scores that represent individual-level deviations**



## Support Vector Classification: Schizophrenia vs. Controls



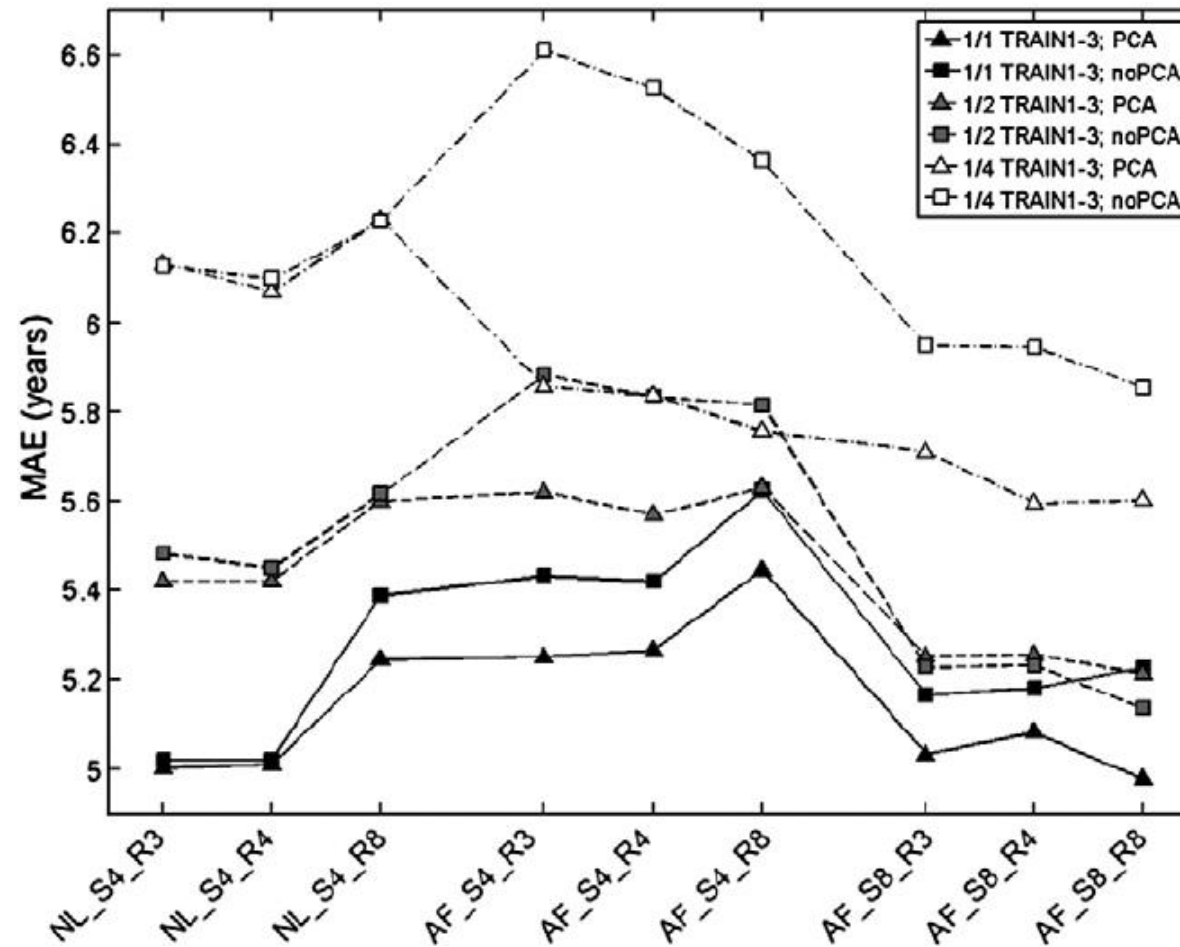
[Rutherford et al., 2023]

**Comparison of classification accuracy between using deviation scores and using raw features**

- Brain age estimation model as a normative model
  - Describes population-level trajectories of the relationship between brain structure and age
  - Prediction of age from brain structural features
    - Age  $\sim$  brain structural features
  - Deviation score
    - Brain age gap = brain age – chronological age

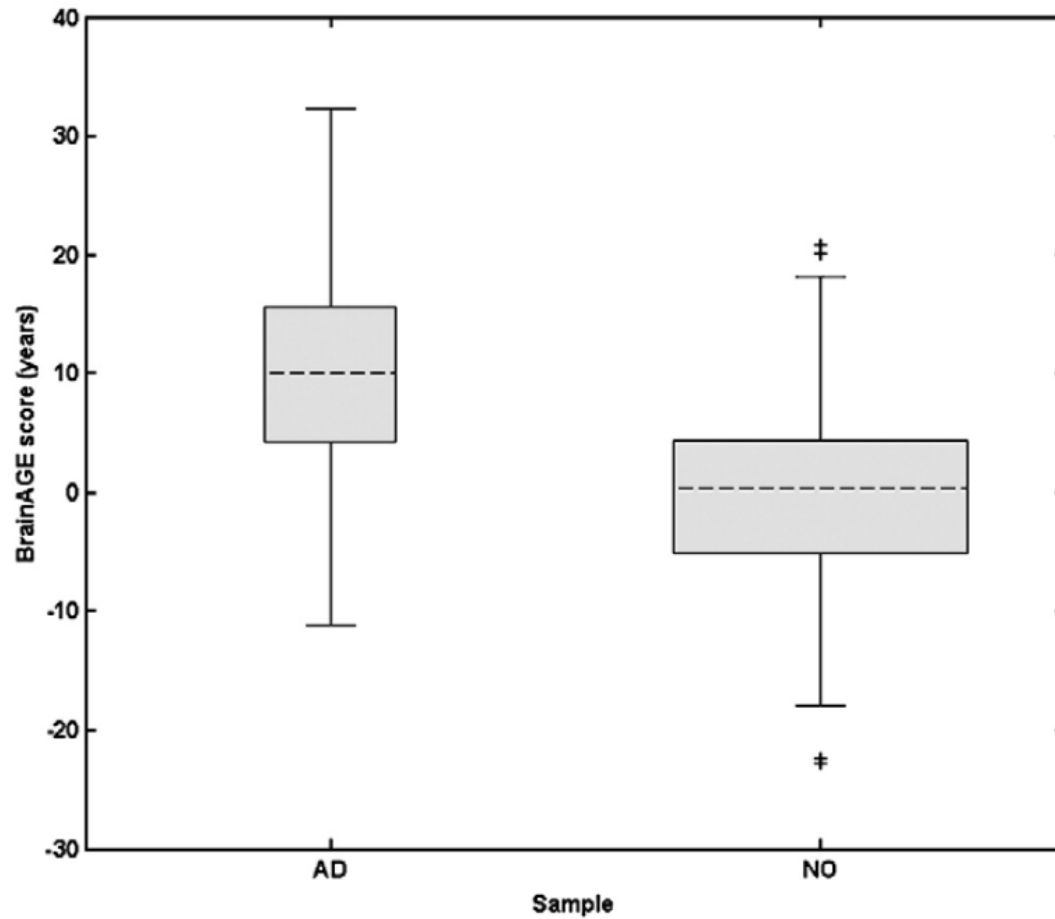
# Machine Learning for Brain Age Estimation

- Relevance vector regression
  - Franke et al., 2010
    - Input:
      - Voxel-wise values of grey matter probability → principal component analysis
    - Datasets:
      - Training:  $n = 410$  (20-86 years)
      - Test:  $n = 137$  (19-83 years)
      - External test:  $n = 108$  (20-59 years)
    - Performance:
      - Test: mean absolute error (MAE) = 4.61 years
      - External test: MAE = 5.44 years



[Franke et al., 2010]

Influences of various parameters on the performance of brain age estimation



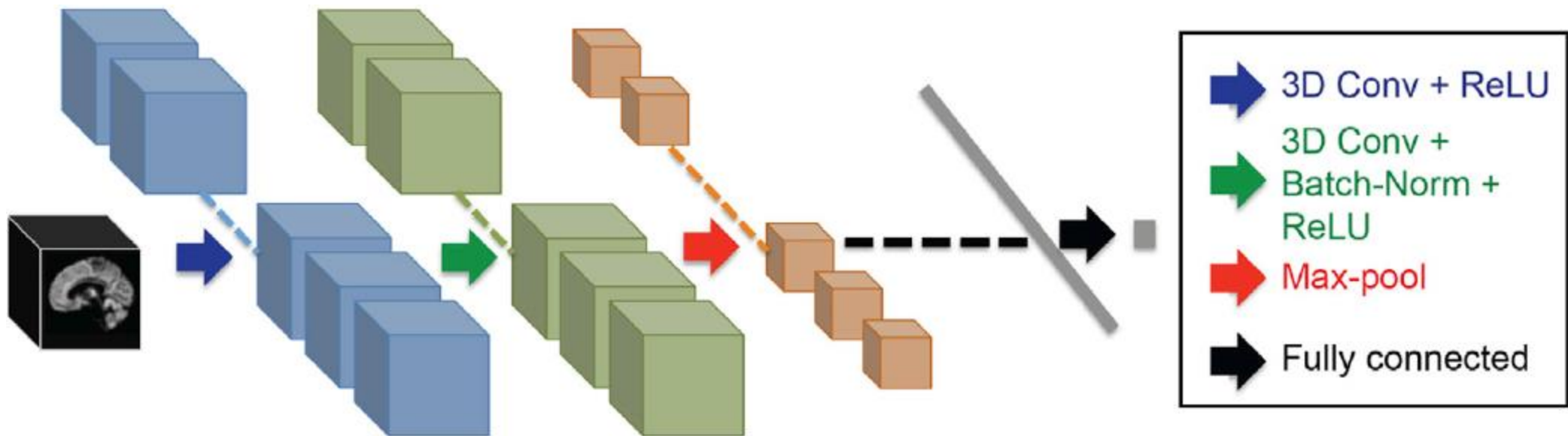
AD, Alzheimer's disease

[Franke et al., 2010]

**Comparison of brain age gap**

- 3D convolutional neural networks (CNN)
  - Cole et al., 2017
    - Input:
      - T1-weighted brain image (T1)
      - Grey matter probability image (GM)
      - White matter probability image (WM)
    - Datasets (18-90 years):
      - Training:  $n = 1,601$
      - Validation:  $n = 200$
      - Test:  $n = 200$
    - Performance:
      - Test: MAE = 4.16 (GM), 4.34 (GM + WM), 4.65 (T1), 5.14 (WM) years



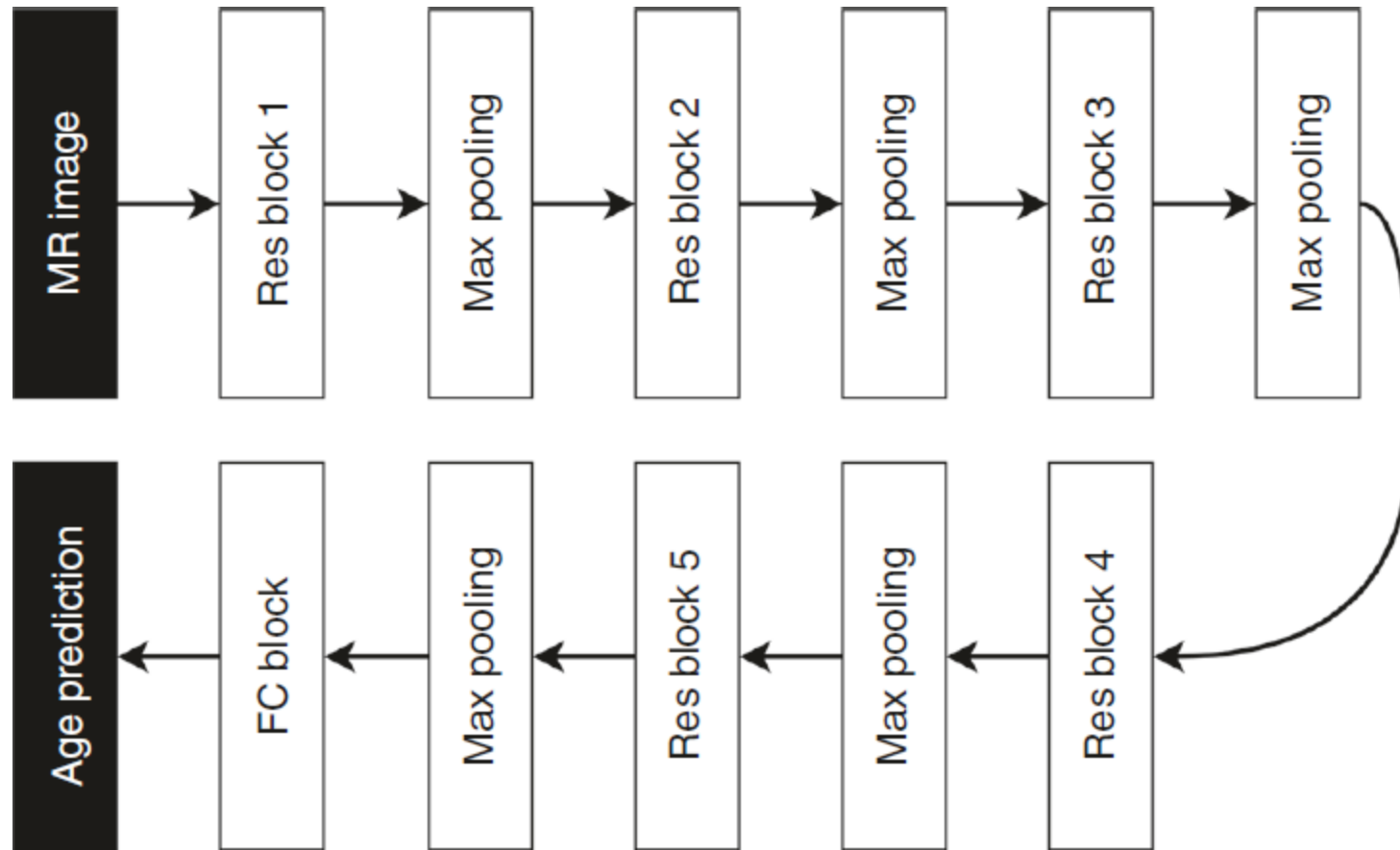


[Cole et al., 2017]

## 3D CNN

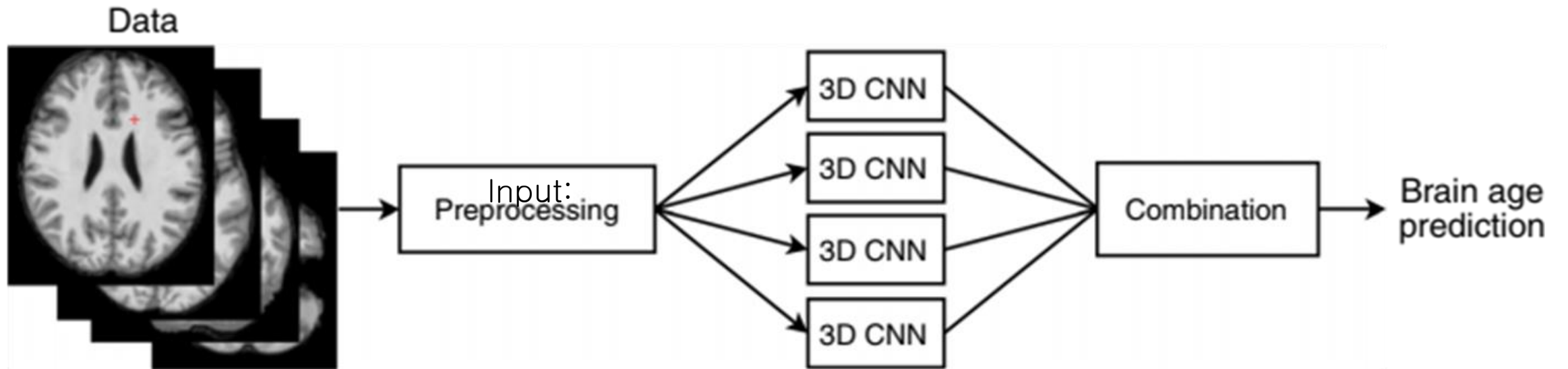
## – Jonsson et al., 2019

- Input:
  - T1-weighted brain image (T1)
  - Jacobian map (JM)
  - Grey matter probability image (GM)
  - White matter probability image (WM)
  - Individuals' sex and MRI scanner type
- Datasets (18-75 years):
  - Training:  $n = 809$  (1,171 images)
  - Validation:  $n = 202$  (298 images)
  - Test:  $n = 253$  (346 images)
- Performance
  - Validation: MAE = 3.581 (T1, JM, GM, and WM composition), 3.996 (T1), 4.676 (WM), 4.766 (GM), 4.801 (JM) years
  - Test: MAE = 3.388 (T1, JM, GM, and WM composition), 4.006 (T1), 4.189 (WM), 4.641 (GM), 4.804 (JM) years



[Jonsson et al., 2019]

**3D CNN that employed residual blocks**



[Jonsson et al., 2019]

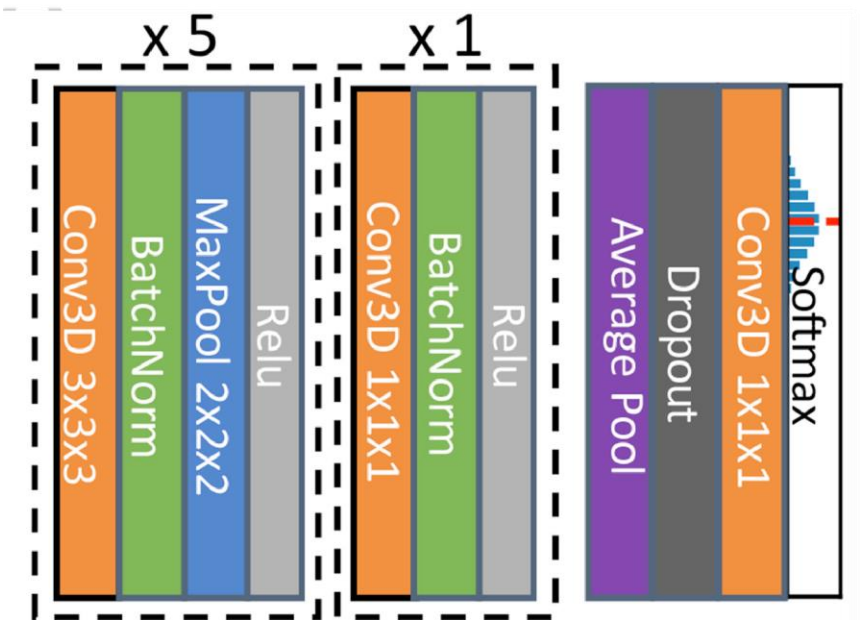
**Combination of predictions from multiple CNNs by training a linear regression blender**

## – Peng et al., 2021

- Based on approaches that achieved the first place in the Predictive Analytic Challenge (PAC) 2019
- Input:
  - Linearly registered T1-weighted brain image (T1Lin)
  - Nonlinearly registered T1-weighted brain image (T1Nonlin)
  - Grey matter probability image (GM)
  - White matter probability image (WM)
- Datasets (44-80 years):
  - Training:  $n = 12,949$
  - Validation:  $n = 518$
  - Test:  $n = 1,036$
- Data augmentation
  - Randomly shifted by 0, 1, or 2 voxels along every axis
  - Mirrored with a probability of 50% about the sagittal plane

- Ensemble strategy
  - 5 (identical network structure but randomly-initialised parameters) models trained on each of the 4 input data types
- Performance
  - Simple fully convolutional network (SFCN) with data augmentation and regularization
    - » Train: MAE = 1.36 years (T1Lin)
    - » Validation: MAE = 2.18 years (T1Lin)
    - » Test: MAE = 2.14 years (T1Lin)
  - Model ensemble ( $n = 2,590$  for training)
    - » Test: MAE = 2.58 (T1Lin + T1Nonlin + GM + WM ensemble), 2.62 (T1Nonlin ensemble), 2.71 (T1Lin ensemble), 2.72 (GM ensemble), 2.78 (WM ensemble) years
- [https://github.com/ha-ha-ha-han/UKBiobank\\_deep\\_pretrain/](https://github.com/ha-ha-ha-han/UKBiobank_deep_pretrain/)

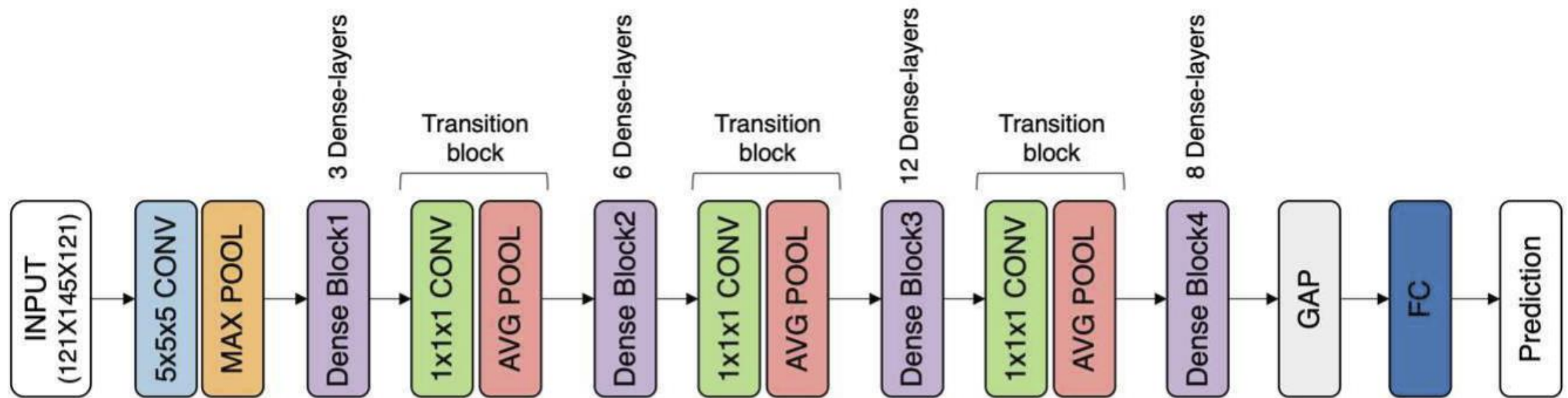




**SFCN**

## – Lee et al., 2022

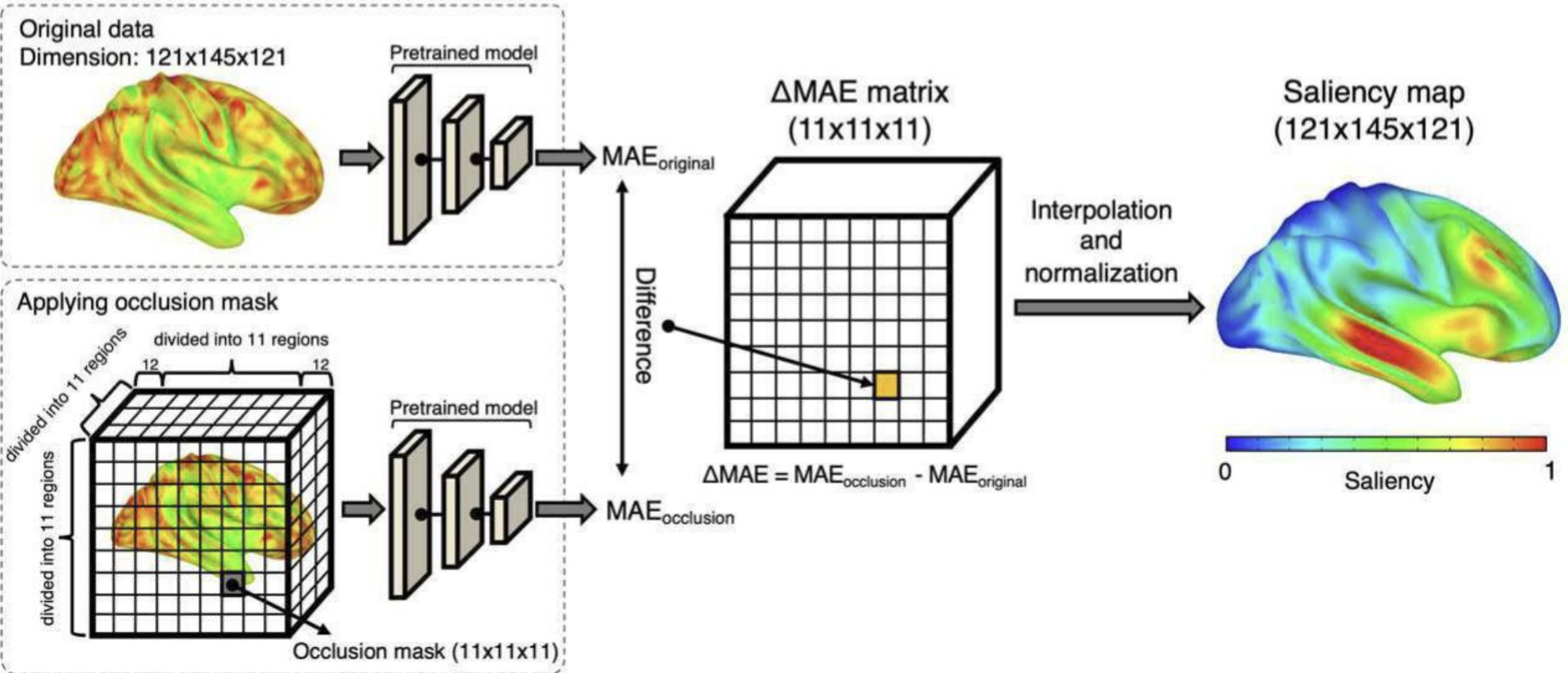
- Input:
  - T1-weighted image
- Datasets:  $n = 1,805$  (20-98 years)
  - 5-fold cross validation
    - » Training: 60%
    - » Validation: 20%
    - » Test: 20%
- Performance
  - Test: MAE = 4.2055 years
- Interpretability
  - Through occlusion sensitivity analysis with occlusion masks of  $11^3 \text{ mm}^3$
- [https://github.com/Neurology-AI-Program/Brain\\_age\\_prediction.git](https://github.com/Neurology-AI-Program/Brain_age_prediction.git)



[Lee et al., 2022]

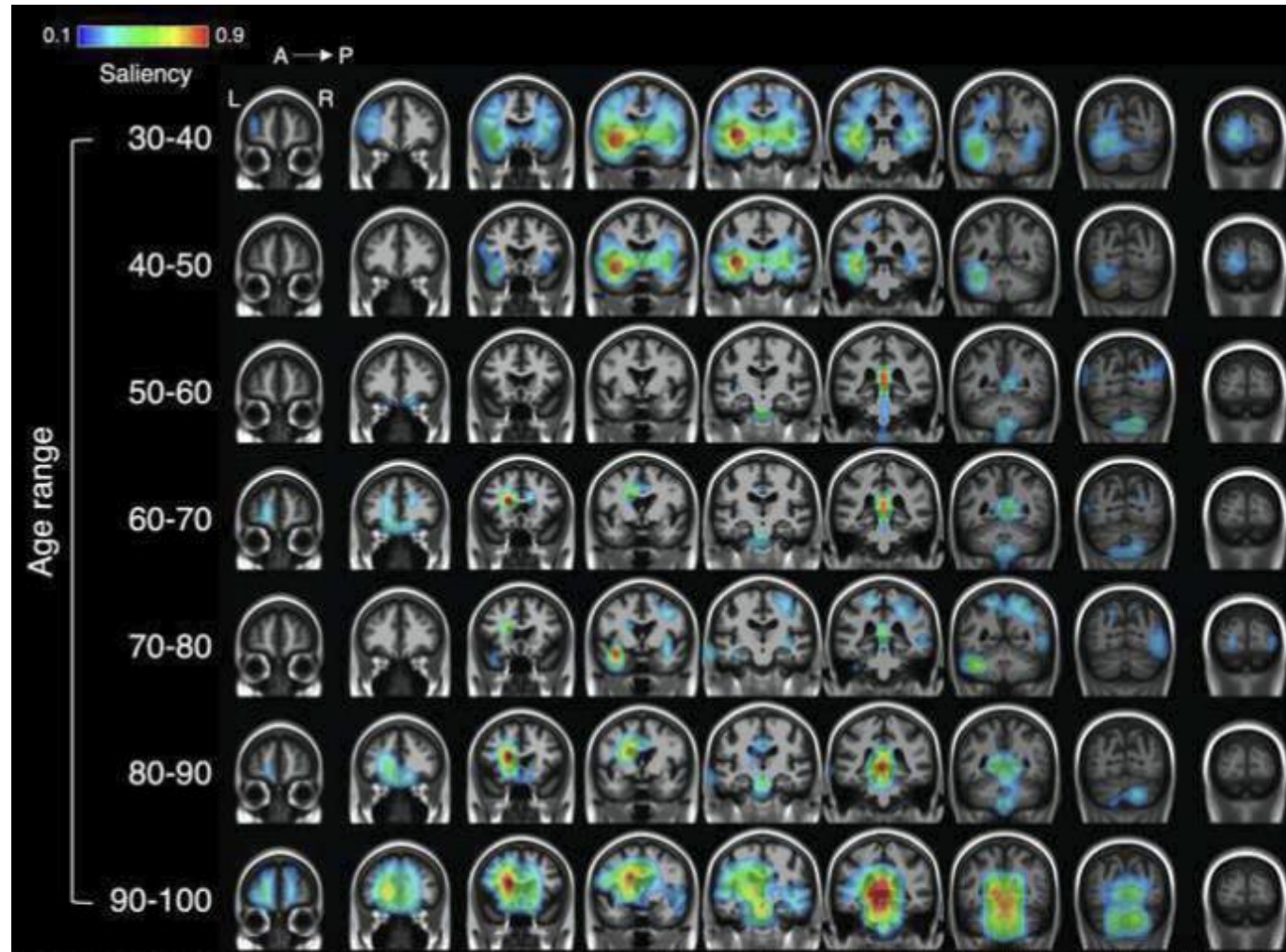
**3D CNN that employed dense blocks**

For age subgroup



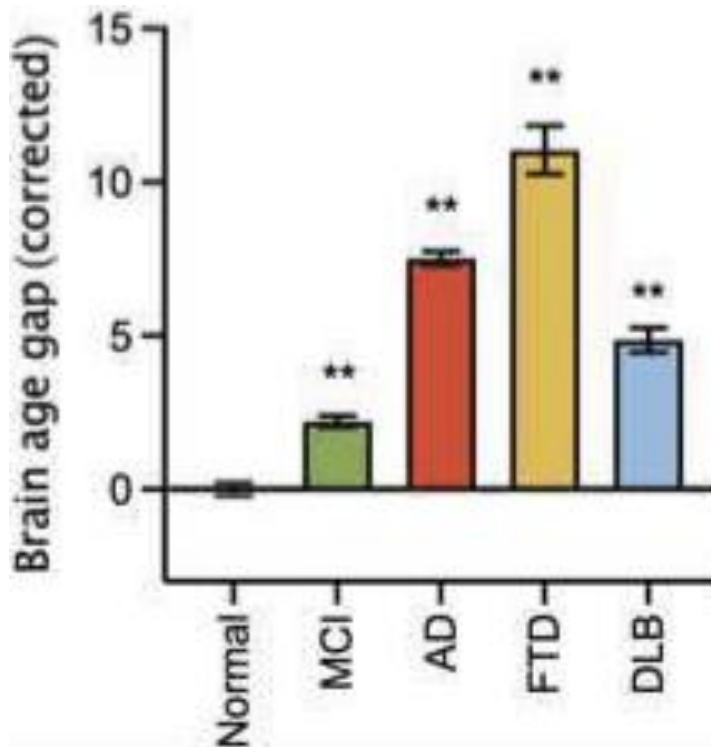
[Lee et al., 2022]

## Occlusion sensitivity analysis



[Lee et al., 2022]

**Saliency maps across age range groups**



MCI, mild cognitive impairment  
AD, Alzheimer's disease  
FTD, frontotemporal dementia  
DLB, dementia with Lewy bodies

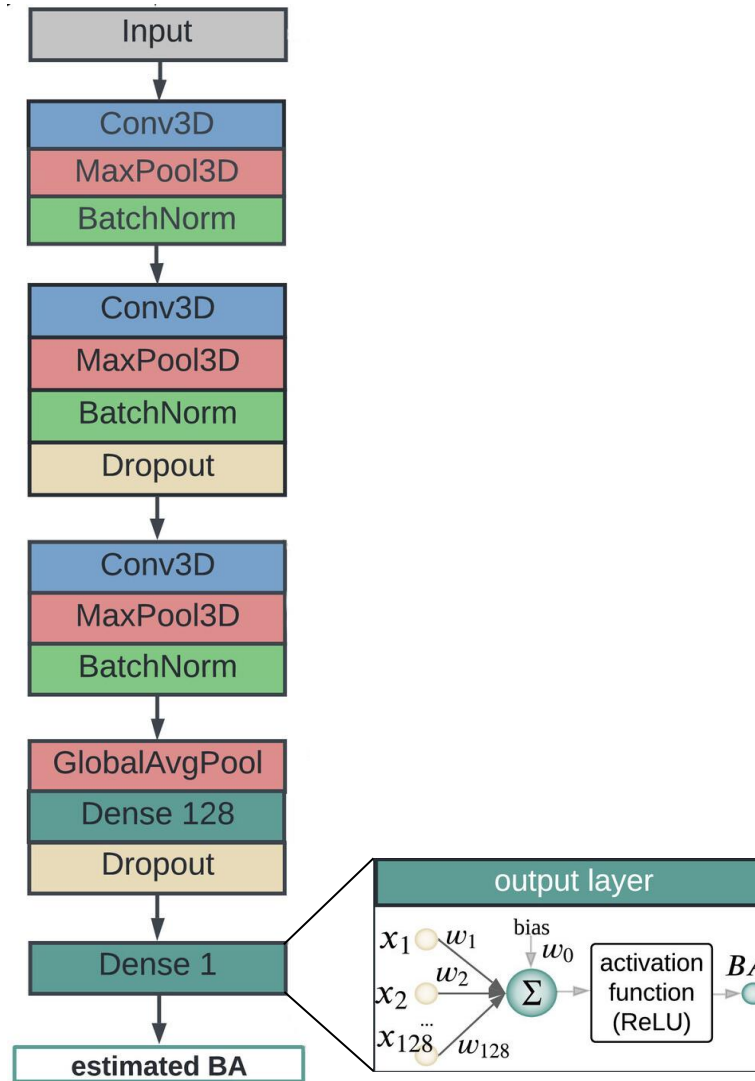
[Lee et al., 2022]

## Comparison of brain age gap

## – Yin et al., 2023

- Input:
  - T1-weighted image (brain.mgz from FreeSurfer)
- Datasets:
  - Training:  $n = 4,681$  (22-95 years)
  - Test:  $n = 1,170$  (22-95 years)
  - External test:  $n = 650$  (18-88 years)
- Performance
  - Test: MAE = 2.41 (males), 2.23 (females) years
  - External test: MAE = 3.01 (males), 4.71 (females) years

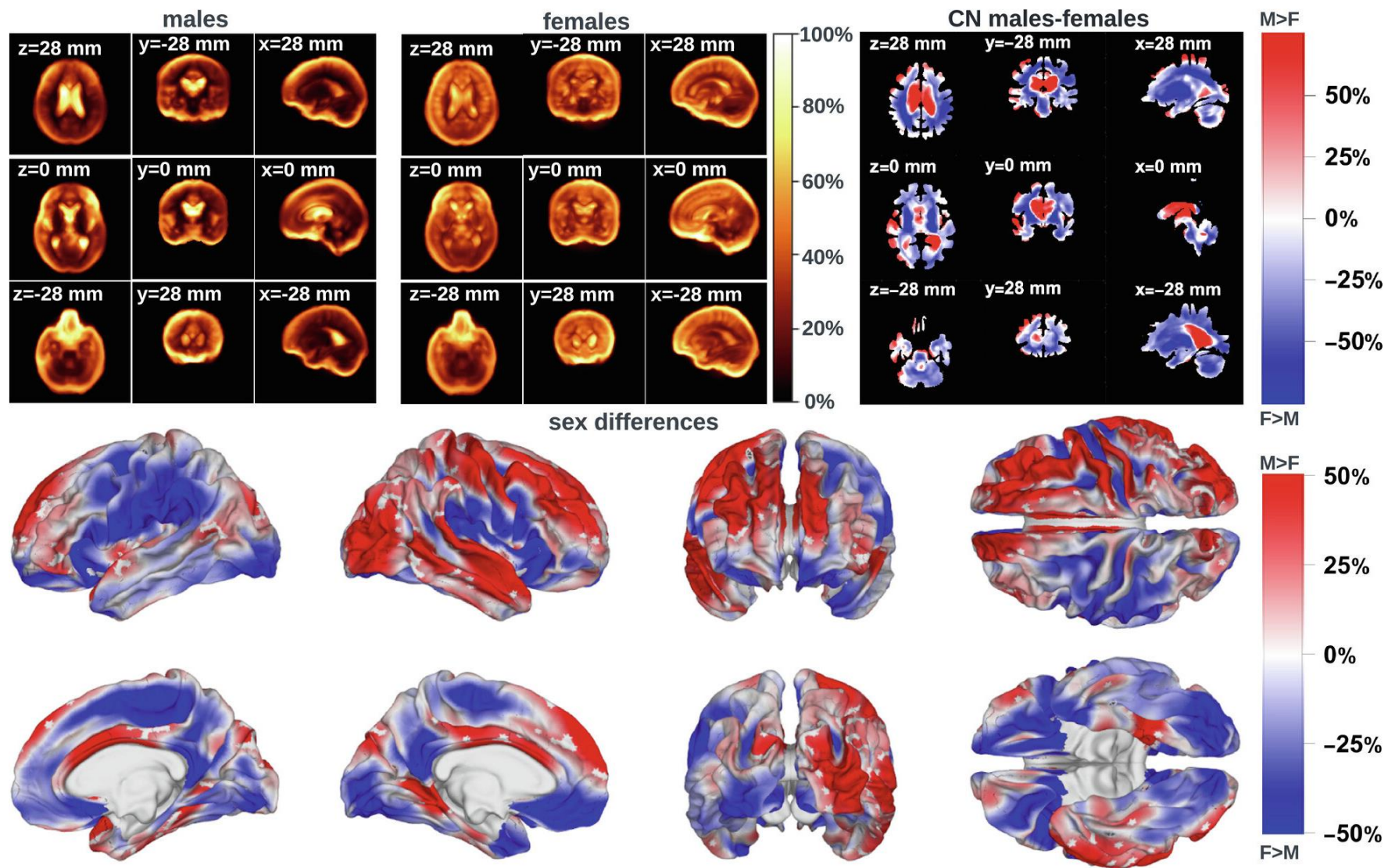




## 3D CNN

[Yin et al., 2023]

- Interpretability
  - Through occlusion sensitivity analysis with occlusion masks of 1 mm<sup>3</sup>
  - Reveals typical neuroanatomic patterns of aging
    - » Ventricular enlargement
    - » Atrophy of frontal, temporal, and hippocampal cortices
    - » Cortical thinning
- [https://github.com/irimia-laboratory/USC\\_BA\\_estimator](https://github.com/irimia-laboratory/USC_BA_estimator)



[Yin et al., 2023]

Comparison of brain saliency maps between sexes

## Females

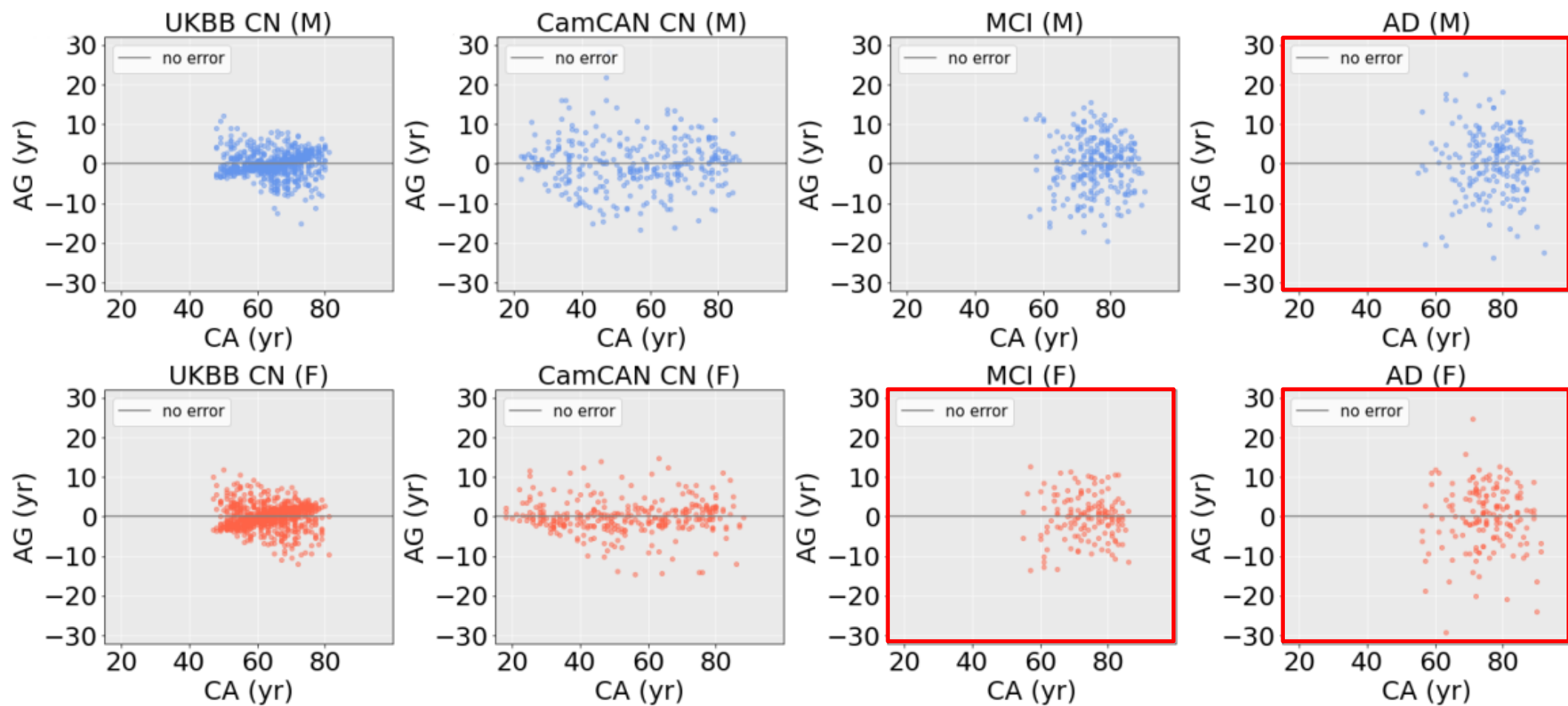
metric	dataset	status	3D-CNN	SFCN	$\Delta$ [%]
MAE	UKBB	CN	2.27	<b>2.14</b>	6.07
	CamCAN	CN	<b>4.71</b>	8.17	<b>-29.87</b>
	ADNI	MCI	<b>5.26</b>	7.50	<b>-42.35</b>
	ADNI	AD	<b>6.48</b>	8.65	<b>-25.08</b>
$R^2$	UKBB	CN	<b>0.85</b>	0.84	<b>1.19</b>
	CamCAN	CN	<b>0.95</b>	0.67	<b>41.79</b>
	ADNI	MCI	<b>0.44</b>	0.05	<b>780.00</b>
	ADNI	AD	<b>0.21</b>	0.05	<b>320.00</b>

## Males

metric	dataset	status	3D-CNN	SFCN	$\Delta$ [%]
MAE	UKBB	CN	2.31	<b>2.14</b>	7.94
	CamCAN	CN	<b>3.01</b>	9.90	<b>-69.59</b>
	ADNI	MCI	<b>4.33</b>	7.72	<b>-43.91</b>
	ADNI	AD	<b>5.98</b>	8.24	<b>-27.42</b>
$R^2$	UKBB	CN	0.83	<b>0.84</b>	<b>1.19</b>
	CamCAN	CN	<b>0.90</b>	0.66	<b>36.36</b>
	ADNI	MCI	<b>0.31</b>	0.15	<b>106.67</b>
	ADNI	AD	<b>0.17</b>	0.12	<b>41.67</b>

[Yin et al., 2023]

**3D CNN vs. SFCN**



[Yin et al., 2023]

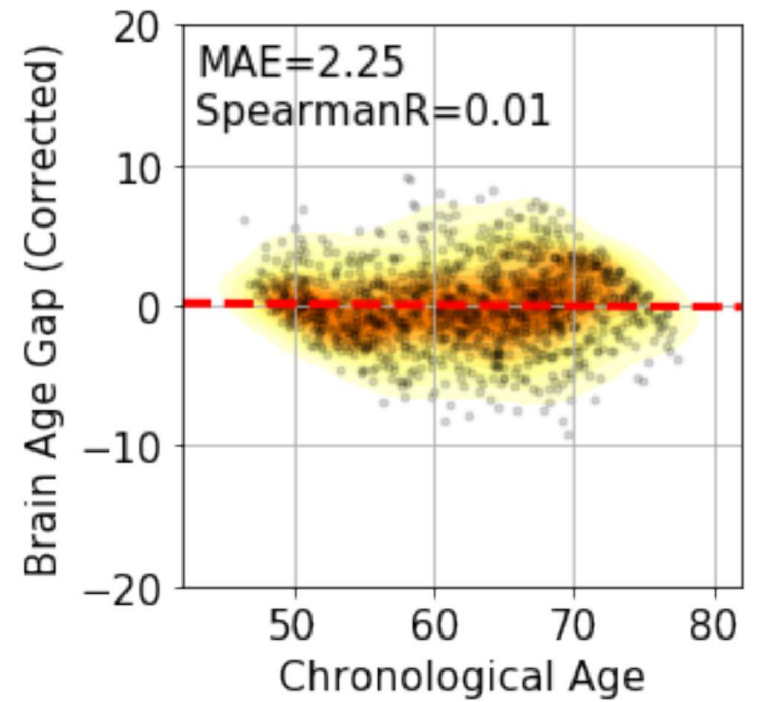
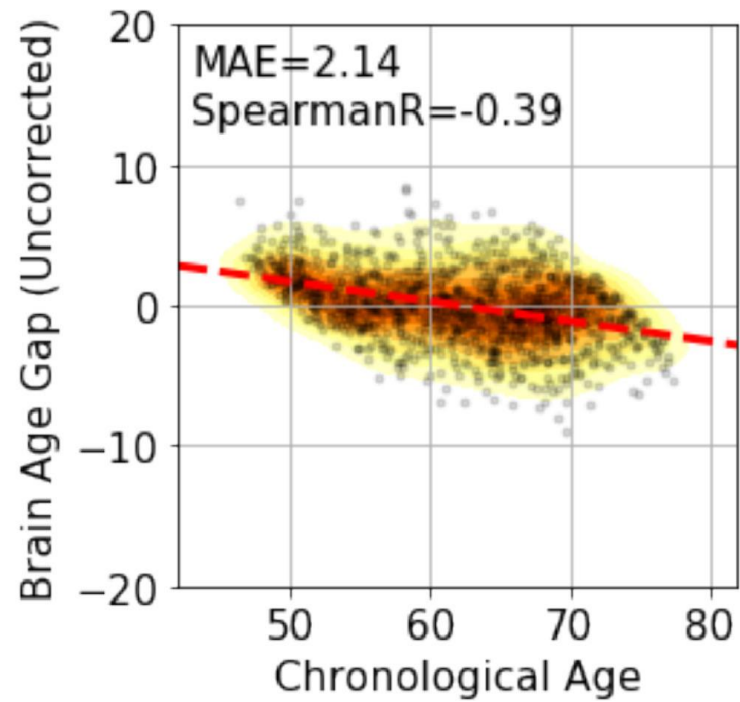
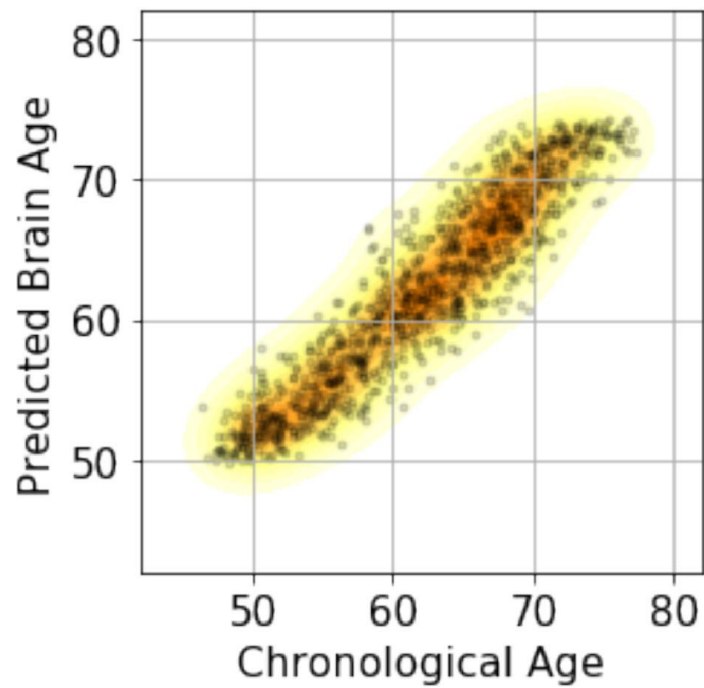
**Brain age gap across testing cohorts**

# Bias in Brain Age Estimation

- Tendency to be biased towards the mean age of the total cohort
  - Overestimated brain age in younger individuals, but underestimated brain age in older individuals
- Induces the correlation between chronological age and brain age gap
  - Impacts the relationship between brain age gap and other variables of interest when they are also related to age

- Explained by the concept of 'regression to the mean' (RTM) in statistics
  - For values observed with random error
  - Neither data-dependent nor specific to particular methods including deep learning
- Needs to be adjusted by regressing chronological age on brain age or brain age gap to provide corrected brain age gap
  - (brain age)  $\sim a \times (\text{chronological age}) + b$  [Liang et al., 2019]
  - (brain age gap)  $\sim a \times (\text{chronological age}) + b$  [Le et al., 2018]

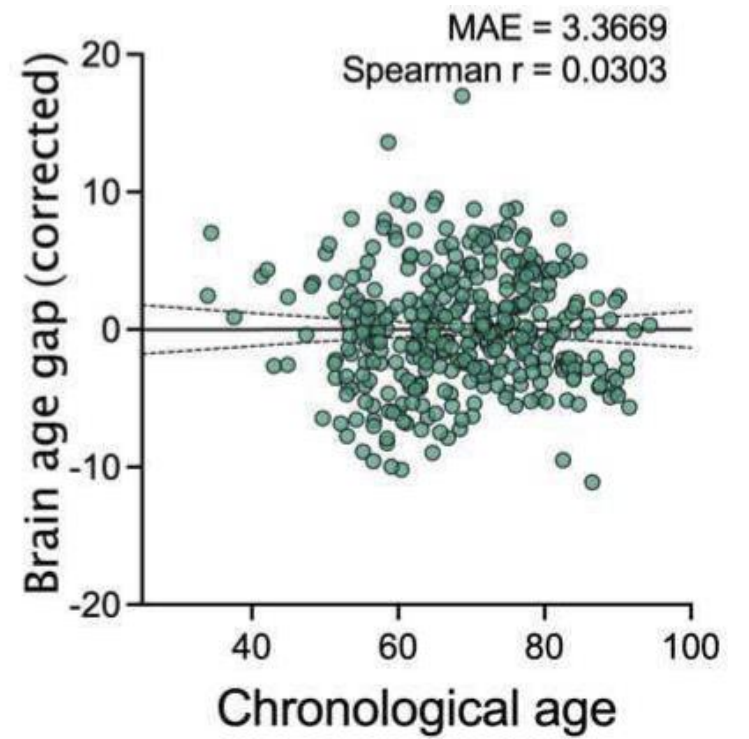
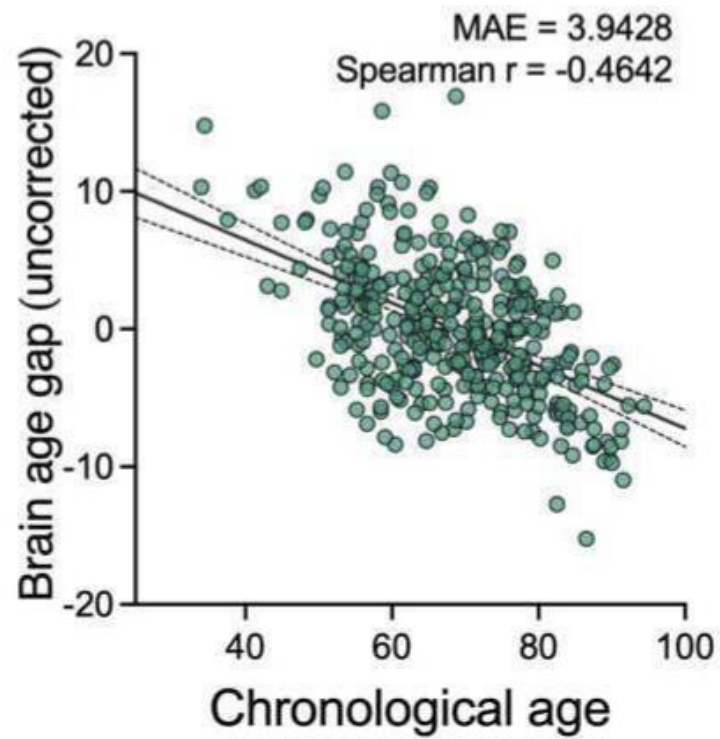
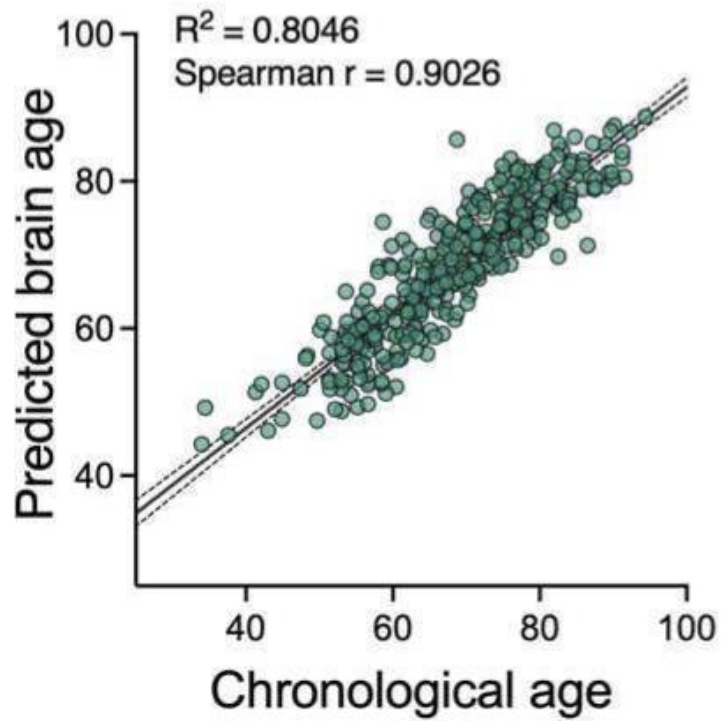




[Peng et al., 2021]

**Correction of brain age gap**



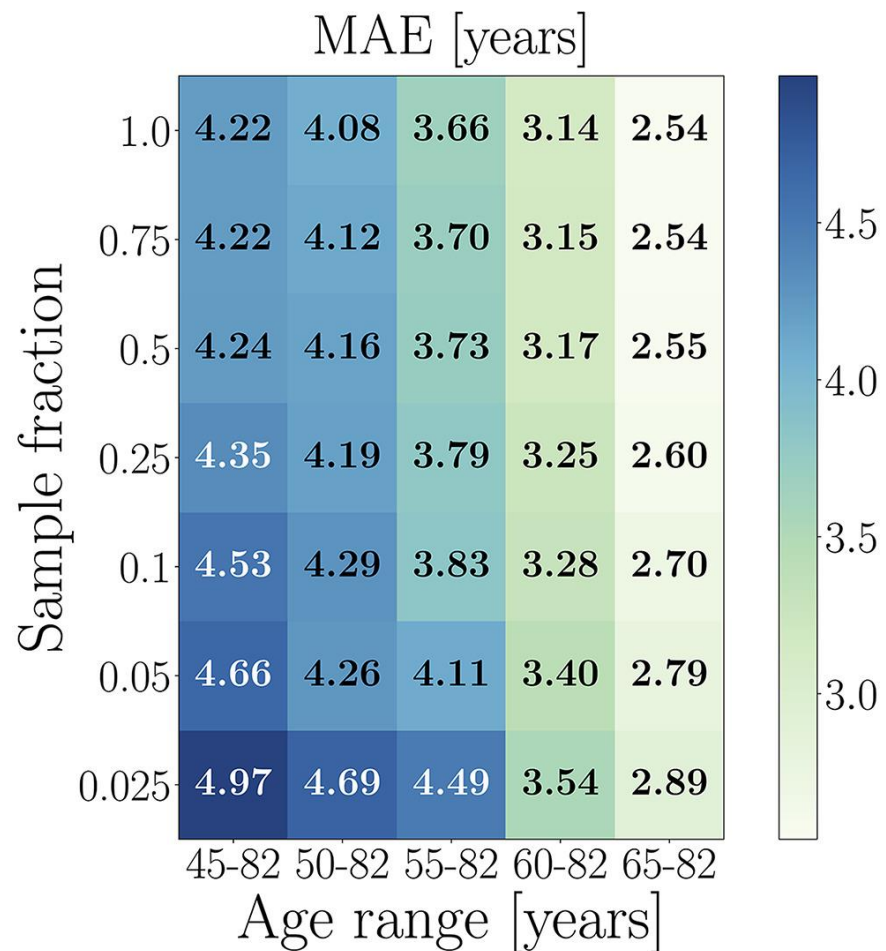


[Lee et al., 2022]

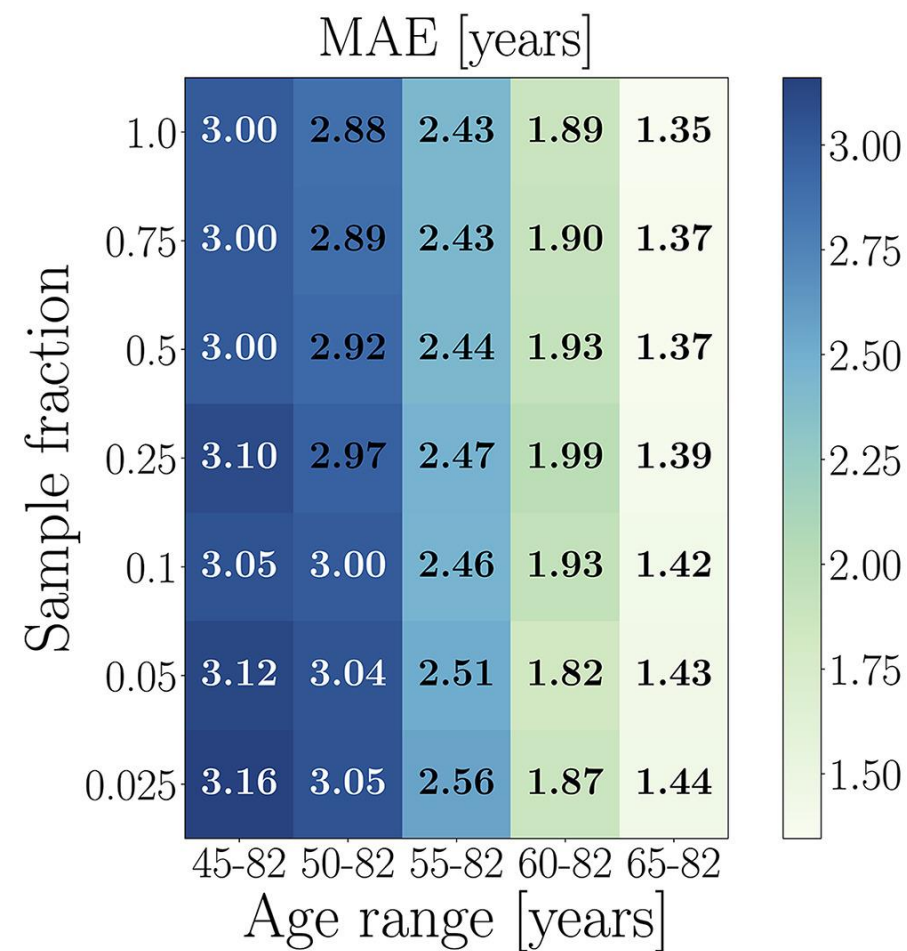
**Correction of brain age gap**

# Effects of Age Range and Sample Size on Brain Age Estimation

- Better performance in samples with a narrower age range
  - Due to smaller error when predictions are closer to the mean age of the total cohort
- Better performance for larger sample sizes across different age ranges



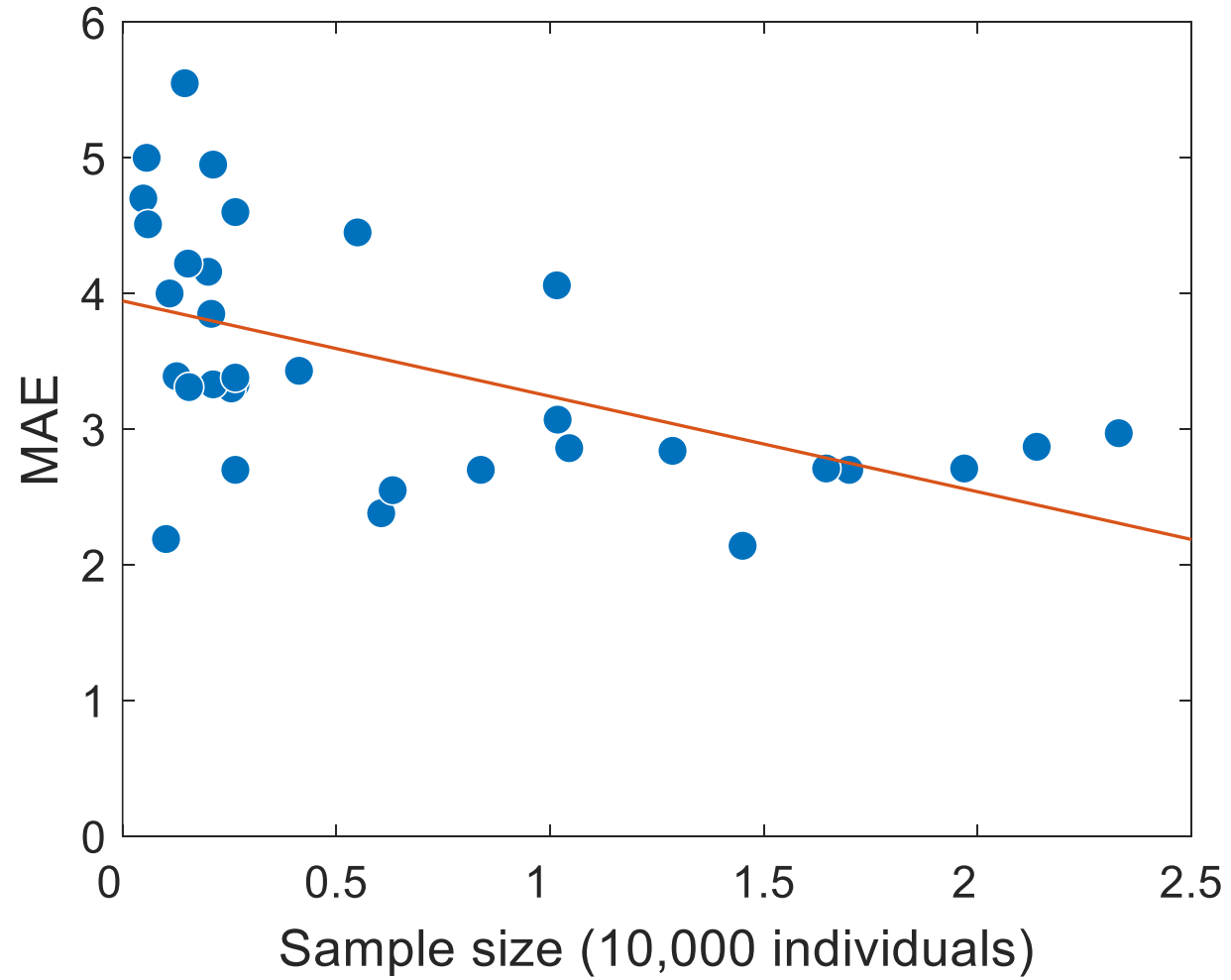
Without bias adjustment



With bias adjustment

[de Lange et al., 2022]

**Comparison of performance for different age ranges and sample sizes**

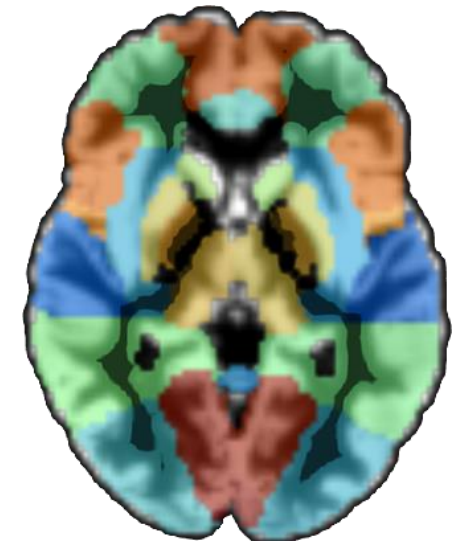


**Relationship between sample size and performance**

# Hands on Machine Learning Modelling for Brain Age Estimation

- Support vector regressor
  - Features [\[https://github.com/hauin/MedicalBioResearchTopics2/blob/main/10\\_20231109/X\\_train.txt\]](https://github.com/hauin/MedicalBioResearchTopics2/blob/main/10_20231109/X_train.txt)

	Response	Confounding		Predictor		
	Age	TIV	Sex	Region 1 GM volume	...	Region 60 GM volume
Subject 1	-	-	-	-	-	-
Subject 2	-	-	-	-	-	-
Subject 3	-	-	-	-	-	-
⋮	-	-	-	-	-	-



– Performance in 5-fold cross validation

Input	Training	Test
GM (60 features)	6.5±0.3 years	7.2±0.2 years
WM (48 features)	6.3±0.2 years	5.8±0.2 years
GM + WM (108 features)	5.4±0.3 years	5.8±0.2 years
GM (60 features) and WM (48 features) combination	5.5±0.3 years	5.6±0.3 years

- 3D CNN

- Regressor in MONAI

[\[https://github.com/hauin/MedicalBioResearchTopics2/blob/main/10\\_20231109/Age\\_Regressor.ipynb\]](https://github.com/hauin/MedicalBioResearchTopics2/blob/main/10_20231109/Age_Regressor.ipynb)

Layer (type:depth-idx)	Output Shape	Param #
Regressor	[5, 1]	--
└─Sequential: 1-1	[5, 128, 4, 4, 4]	--
└─ResidualUnit: 2-1	[5, 16, 32, 32, 32]	--
└─Conv3d: 3-1	[5, 16, 32, 32, 32]	448
└─Sequential: 3-2	[5, 16, 32, 32, 32]	7,378
└─ResidualUnit: 2-2	[5, 32, 16, 16, 16]	--
└─Conv3d: 3-3	[5, 32, 16, 16, 16]	13,856
└─Sequential: 3-4	[5, 32, 16, 16, 16]	41,538
└─ResidualUnit: 2-3	[5, 64, 8, 8, 8]	--
└─Conv3d: 3-5	[5, 64, 8, 8, 8]	55,360
└─Sequential: 3-6	[5, 64, 8, 8, 8]	166,018
└─ResidualUnit: 2-4	[5, 128, 4, 4, 4]	--
└─Conv3d: 3-7	[5, 128, 4, 4, 4]	221,312
└─Sequential: 3-8	[5, 128, 4, 4, 4]	663,810
└─Sequential: 1-2	[5, 1]	--
└─Flatten: 2-5	[5, 8192]	--
└─Linear: 2-6	[5, 1]	8,193
└─Reshape: 1-3	[5, 1]	--

## – Performance

Input	Validation	Test
T1	5.3 years	5.5 years
GM	4.9 years	6.0 years
WM	4.7 years	4.7 years