

Harvard CS 121 and CSCI E-207

Lecture 11: CFL Closure Properties and Non-Context-Free Languages

Harry Lewis

October 7, 2010

Reading: Sipser, pp. 119-128.

Closure Properties of CFLs

- **Thm (last time):** The CFLs are the languages accepted by PDAs
- **Thm:** The CFLs are closed under
 - Union
 - Concatenation
 - Kleene *
 - Intersection with a regular set

The intersection of a CFL and a regular set is a CFL

Pf sketch: Let L_1 be CF and L_2 be regular

$L_1 = L(M_1)$, M_1 a PDA

$L_2 = L(M_2)$, M_2 a DFA

Q_1 = state set of M_1

Q_2 = state set of M_2

Construct a PDA with state set $Q_1 \times Q_2$ which keeps track of computation of both M_1 and M_2 on input.

Q: Why doesn't this argument work if M_1 and M_2 are both PDAs?

In fact, the intersection of two CFLs is not necessarily CF.

And the complement of a CFL is not necessarily CF

Q: How to prove that languages are not context free?

Pumping Lemma for CFLs

Lemma: If L is context-free, then there is a number p (the pumping length) such that any $s \in L$ of length at least p can be divided into $s = uvxyz$, where

1. $uv^i xy^i z \in L$ for every $i \geq 0$,
2. $v \neq \varepsilon$ or $y \neq \varepsilon$, and
3. $|vxy| \leq p$.

Pumping Lemma for CFLs (aka Yuvecksy's Theorem ;)

Lemma: If L is context-free, then there is a number p (the pumping length) such that any $s \in L$ of length at least p can be divided into $s = uvxyz$, where

1. $uv^i xy^i z \in L$ for every $i \geq 0$,
2. $v \neq \varepsilon$ or $y \neq \varepsilon$, and
3. $|vxy| \leq p$.

Using the Pumping Lemma to Prove Non-Context-Freeness

$\{a^n b^n c^n : n \geq 0\}$ is not CF.

aaaaaaaaaaaaaaaaaaaa	bbbbbbbbbbbbbbbbbbbb	cccccccccccccccccccc
----------------------	----------------------	----------------------

What are v, y ?

- Contain 2 kinds of symbols
- Contain only one kind of symbol

⇒ **Corollary:** CFLs not closed under intersection (why?)

⇒ **Corollary:** CFLs not closed under complement (why?)

- Is the the intersection of 2 CFLs or the complement of a CFL
sometimes a CFL?

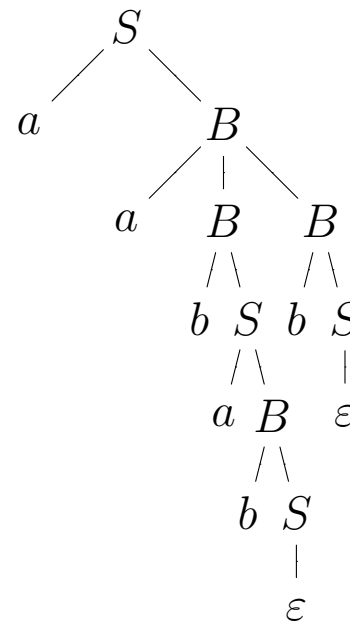
What about $\{a, b\}^* - \{a^n b^n : n \geq 0\}$?

Recall: Parse Trees

$$S \rightarrow aB \mid bA \mid \varepsilon$$

$$A \rightarrow aS \mid bAA$$

$$B \rightarrow bS \quad | \quad aBB$$

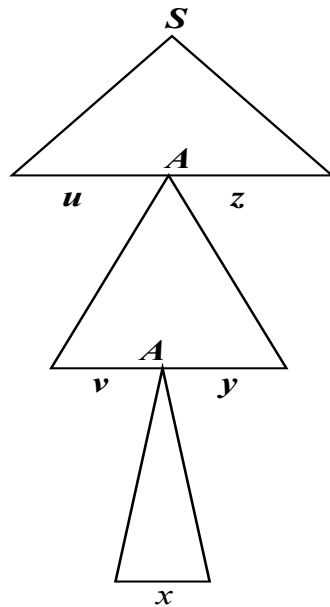


Parse tree for $aabab$,
the “yield” of the tree

Height = max length path from S to a terminal symbol = 6 in above example.

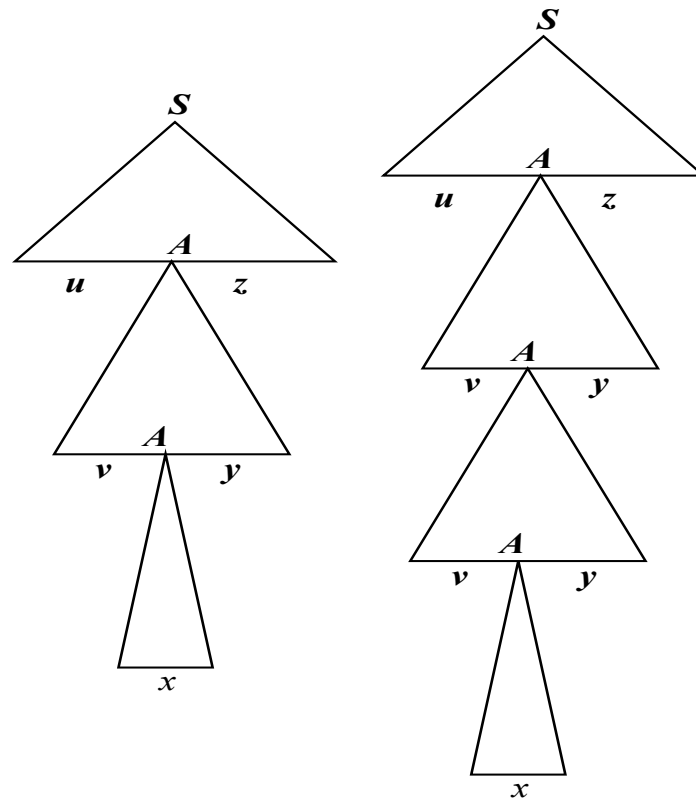
Proof of Pumping Theorem

Show that there exists a p such that any string s of length $\geq p$ has a parse tree of the form:



Proof of Pumping Theorem

Show that there exists a p such that any string s of length $\geq p$ has a parse tree of the form:



Finding “Repetition” in a big parse tree

- Since RHS of rules have bounded length, long strings must have tall parse trees.
- A tall parse tree must have a path with a repeated nonterminal.

- Let $p = b^m + 1$, where:

$b = \text{max length of RHS of a rule}$

$m = \# \text{ of variables}$

- Suppose T is the smallest parse tree for a string $s \in L$ of length at least p . Then

Let $h = \text{height of } T$. Then $b^h \geq p = b^m + 1$,

$\Rightarrow h > m$,

\Rightarrow Path of length h in T has a repeated variable.

Final annoying details

- **Q:** Why is v or y nonempty?
- **Q:** How to ensure $|vxy| \leq p$?

The converse of the CF Pumping Lemma is False

Some non-context-free languages satisfy conclusion of Pumping Lemma, e.g. ?

Some Other CF Closure Properties

Let $L_1/L_2 = \{w : wx \in L_1 \text{ for some } x \in L_2\}$. Then

- If L is CF and R is regular then L/R is CF