# Harvard CS 121 and CSCI E-207

**Lecture 16: Undecidability** 

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• Reading: Sipser §4.2, §5.1.

#### **Motivation**

- Goal: to find an explicit undecidable language
- By the Church—Turing thesis, such a language has a membership problem that cannot be solved by any kind of algorithm
- We know such languages exist, by a counting argument.
  - Every recursive language is decided by a TM
  - · There are only countably many TMs
  - There are uncountably many languages
  - ... Most languages are not recursive (or even r.e.)

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If M decides L, then a machine can accept L by running M, and then going into an infinite loop if M would have halted in the  $q_{\rm accept}$  state.

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2. If L is recursive then so is  $\overline{L}$ .

## Proof:

A machine can decide  $\overline{L}$  by running M and then giving a "no" answer when M would give "yes" and vice versa.

3. L is recursive if and only if both L and  $\overline{L}$  are r.e.

<u>Proof:</u> . . .

## Is every Turing-recognizable set decidable?

This would be true if there were an algorithm to solve

# The Acceptance Problem:

Given a TM M and an input w, does M accept input w?

Formally,  $A_{TM} = \{\langle M, w \rangle : M \text{ accepts } w\}.$ 

**Proposition:** If  $A_{TM}$  is recursive, then every r.e. language is recursive.

"A<sub>TM</sub> is the hardest r.e. language."

A<sub>TM</sub> is said to be *r.e.-complete*

# A simplifying detail: every string represents some TM

- Let  $\Sigma$  be the alphabet over which TMs are represented (that is,  $\langle M \rangle \in \Sigma^*$  for any TM M)
- Let  $w \in \Sigma^*$
- if  $w = \langle M \rangle$  for some TM M then w represents M
- Otherwise w represents some fixed TM  $M_0$  (say the simplest possible TM).

#### Thm: A<sub>TM</sub> is not recursive

Look at A<sub>TM</sub> as a table answering every question:

	$w_0$	$w_1$	$w_2$	$w_3$	
$M_0$	Y	N	N	Y	
$M_1$	Y	Y	N	N	(WLOG assume
$M_2$	N	N	N	N	every string $w_i$
$M_3$	Y	Y	Y	Y	encodes a TM $M_i$ )

- Entry matching  $(M_i, w_j)$  is Y iff  $M_i$  accepts  $w_j$
- If  $A_{TM}$  were recursive, then so would be the diagonal D and its complement.
  - $D = \{w_i : M_i \text{ accepts } w_i\}.$
  - $\overline{D} = \{w_i : M_i \text{ does not accept } w_i\}.$
- But  $\overline{D}$  differs from every row, i.e. it differs from every r.e. language.  $\Rightarrow \Leftarrow$ .

# **Unfolding the Diagonalization**

- Suppose for contradiction that A<sub>TM</sub> were recursive.
- Then there is a TM  $M^*$  that decides  $\overline{D} = \{\langle M \rangle : M \text{ does not accept } \langle M \rangle \}.$ 
  - $M^*(\langle N \rangle)$  runs the decider for  $A_{\mathsf{TM}}$  on  $\langle N, \langle N \rangle \rangle$  and does the opposite.
- Run  $M^*$  on its own description  $\langle M^* \rangle$ .
- Does it accept?  $M^* \text{ accepts } \langle M^* \rangle$   $\Leftrightarrow \langle M^* \rangle \in \overline{D}$   $\Leftrightarrow M^* \text{ does not accept } \langle M^* \rangle.$
- Contradiction!

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Alan Mathison Turing (1912-1954)
24 Years Old when he published *On computable numbers . . .* 

#### Some More Undecidable Problems About TMs

• The Halting Problem: Given M and w, does M halt on input w?

## Proof:

Suppose  ${\sf HALT_{TM}} = \{\langle M, w \rangle : M \text{ halts on } w\}$  were decided by some TM H.

Then we could use H to decide  $A_{TM}$  as follows.

On input  $\langle M, w \rangle$ ,

- Modify M so that whenever it is about to go into  $q_{\text{reject}}$ , it instead goes into an infinite loop. Call the resulting TM M'.
- Run  $H(\langle M', w \rangle)$  and do the same.

Note that M' halts on w iff M accepts w, so this is indeed a decider for  $A_{TM}$ .  $\Rightarrow \Leftarrow$ .

# **Undecidable Problems, Continued**

• For a certain fixed  $M_0$ :

Given w, does  $M_0$  halt on input w?

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#### What about:

• For a fixed  $M_0$  and a fixed  $w_0$ , does  $M_0$  halt on input  $w_0$ ?