

# Galois cohomology

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### Note on the literature

The main references that we used in preparing these notes is the book of Gille and Szamuely [GS17]. As always, Serre's books [Ser62, Ser02] provide excellent accounts. There is also very useful material contained in the Stack's project [Sta] (available online). Kersten's book [Ker07] (in German, available online) provides a very gentle introduction to the subject.

For the first part (on noncommutative algebra), we additionally used Draxl's [Dra83] and Pierce's [Pie82], as well as Lam's book [Lam05] (which uses the language of quadratic forms) for quaternion algebras. For the second part (on torsors), we used the book of involutions [KMRT98, Chapters V and VII].



## Part 1

# Noncommutative Algebra



## CHAPTER 1

**Quaternion algebras**

This chapter will serve as an introduction to the theory of central simple algebras, by developing some aspects of the general theory in the simplest case of quaternion algebras. The results proved here will not really be used in the sequel, and many of them will be in fact substantially generalised by other means. Rather we would like to show what can be done “by hand”, which may help appreciate the more sophisticated methods developed in the sequel.

Quaternions are historically very significant; since their discovery by Hamilton in 1843, they have played an influential role in various branches of mathematics. A particularity of these algebras is their deep relations with quadratic forms, which is not really a systematic feature of central simple algebras. For this reason, we will merely hint at the connections with quadratic form theory.

**1. The norm form**

All rings will be unital and associative (but often noncommutative!). The set of elements of a ring  $R$  admitting a two-sided inverse is a group, that we denote by  $R^\times$ .

We fix a base field  $k$ . A  $k$ -algebra is a (unital associative) ring  $A$  equipped with a structure of  $k$ -vector space such that the multiplication map  $A \times A \rightarrow A$  is  $k$ -bilinear. A morphism of  $k$ -algebras is a ring morphism which is  $k$ -linear. If  $A \neq 0$ , the map  $k \rightarrow A$  given by  $\lambda \mapsto \lambda 1$  is injective, and we will view  $k$  as a subring of  $A$ . Observe that the bilinearity of the multiplication map implies that for any  $\lambda \in k$  and  $a \in A$

$$(1.1.a) \quad \lambda a = (\lambda a)1 = a(\lambda 1) = a\lambda.$$

In this chapter on quaternion algebras, we will assume that the characteristic of  $k$  is not equal to two.

**DEFINITION 1.1.1.** Let  $a, b \in k^\times$ . We define a  $k$ -algebra  $(a, b)$  as follows. A basis of  $(a, b)$  as  $k$ -vector space is given by  $1, i, j, ij$ . The multiplication is determined by the rules

$$(1.1.b) \quad i^2 = a, \quad j^2 = b, \quad ij = -ji.$$

We will call  $i, j$  the *standard generators* of  $(a, b)$ . An algebra isomorphic to  $(a, b)$  for some  $a, b \in k^\times$  will be called a *quaternion algebra*.

**LEMMA 1.1.2.** Let  $A$  be a 4-dimensional  $k$ -algebra. If  $i, j \in A$  satisfy the relations (1.1.b) for some  $a, b \in k^\times$ , then  $A \simeq (a, b)$ .

**PROOF.** It will suffice to prove that the elements  $1, i, j, ij$  are linearly independent over  $k$ . Since  $i$  anticommutes with  $j$ , the elements  $1, i, j$  must be linearly independent. Now assume that  $ij = u + vi + wj$ , with  $u, v, w \in k$ . Then

$$0 = i(ij + ji) = i(ij) + (ij)i = i(u + vi + wj) + (u + vi + wj)i = 2ui + 2av,$$



hence  $u = v = 0$ . So  $ij = wj$ , hence  $ij^2 = wj^2$  and thus  $bi = bw$ , a contradiction.  $\square$

The following observations will be used without explicit mention.

LEMMA 1.1.3. *Let  $a, b \in k^\times$ . Then*

- (i)  $(a, b) \simeq (b, a)$ ,
- (ii)  $(a, b) \simeq (a\alpha^2, b\beta^2)$  for any  $\alpha, \beta \in k^\times$ .

PROOF. (i) : The isomorphism is given by exchanging  $i$  and  $j$ .

(ii) : The isomorphism is given by  $i \mapsto \alpha i$  and  $j \mapsto \beta j$ .  $\square$

LEMMA 1.1.4. *For any  $b \in k^\times$ , the  $k$ -algebra  $(1, b)$  is isomorphic to the algebra  $M_2(k)$  of 2 by 2 matrices with coefficients in  $k$ .*

PROOF. The matrices

$$I = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, J = \begin{pmatrix} 0 & b \\ 1 & 0 \end{pmatrix} \in M_2(k)$$

satisfy  $I^2 = 1, J^2 = b, IJ = -JI$ . Thus the statement follows from Lemma 1.1.2.  $\square$

From now on, the letter  $Q$  will denote a quaternion algebra over  $k$ .

DEFINITION 1.1.5. An element  $q \in Q$  such that  $q^2 \in k$  and  $q \notin k^\times$  will be called a *pure quaternion*.

LEMMA 1.1.6. *Let  $a, b \in k^\times$  and  $x, y, z, w \in k$ . The element  $x + yi + zj + wij$  in the quaternion algebra  $(a, b)$  is a pure quaternion if and only if  $x = 0$ .*

PROOF. This follows from the computation

$$(x + yi + zj + wij)^2 = x^2 + ay^2 + bz^2 - abw^2 + 2x(yi + zj + wij). \quad \square$$

LEMMA 1.1.7. *The subset  $Q_0 \subset Q$  of pure quaternions is a  $k$ -subspace, and we have  $Q = k \oplus Q_0$  as  $k$ -vector spaces.*

PROOF. Letting  $a, b \in k^\times$  be such that  $Q \simeq (a, b)$ , this follows from Lemma 1.1.6.  $\square$

It follows from Lemma 1.1.7 that every  $q \in Q$  may be written uniquely as  $q = q_1 + q_2$ , where  $q_1 \in k$  and  $q_2$  is a pure quaternion. We define the *conjugate of  $q$*  as  $\bar{q} = q_1 - q_2$ . The following properties are easily verified:

- (i)  $q \mapsto \bar{q}$  is  $k$ -linear.
- (ii)  $\bar{\bar{q}} = q$  for all  $q \in Q$ .
- (iii)  $q = \bar{q} \iff q \in k$ .
- (iv)  $q = -\bar{q} \iff q \in Q_0$ .
- (v)  $q\bar{q} \in k$  for all  $q \in Q$ .
- (vi)  $\overline{pq} = \bar{q}\bar{p}$  for all  $p, q \in Q$ .

DEFINITION 1.1.8. We define the (*quaternion*) *norm map*  $N: Q \rightarrow k$  by  $q \mapsto q\bar{q}$ .

For all  $p, q \in Q$ , we have  $N(pq) = N(p)N(q)$  for all  $p, q \in Q$ . If  $a, b \in k^\times$  are such that  $Q = (a, b)$  and  $q = x + yi + zj + wij$  with  $x, y, z, w \in k$ , then

$$(1.1.c) \quad N(q) = x^2 - ay^2 - bz^2 + abw^2.$$

LEMMA 1.1.9. *An element  $q \in Q$  admits a two-sided inverse if and only if  $N(q) \neq 0$ .*

PROOF. If  $N(q) \neq 0$ , then  $q$  is a left inverse of  $N(q)^{-1}\bar{q}$ , hence a two-sided inverse by Remark 1.1.11. Conversely, if  $pq = 1$ , then  $N(p)N(q) = 1$ , hence  $N(q) \neq 0$ .  $\square$

We will give below a list of criteria for a quaternion algebra to be isomorphic to  $M_2(k)$ . In order to do so, we need some definitions.

DEFINITION 1.1.10. A ring (resp. a  $k$ -algebra)  $D$  is called *division* if it is nonzero and every nonzero element of  $D$  admits a two-sided inverse. Such rings are also called skew-fields in the literature.

REMARK 1.1.11. Let  $A$  be a finite-dimensional  $k$ -algebra and  $a \in A$ . We claim that a left inverse of  $a$  is automatically a two-sided inverse. Indeed, assume that  $u \in A$  satisfies  $ua = 1$ . Then the  $k$ -linear morphism  $A \rightarrow A$  given by  $x \mapsto ax$  is injective (as  $ax = 0$  implies  $x = uax = 0$ ), hence surjective by reasons of dimensions. In particular 1 lies in its image, hence there is  $v \in A$  such that  $av = 1$ . Then  $u = u(av) = (ua)v = v$ .

DEFINITION 1.1.12. Let  $A$  be a commutative finite-dimensional  $k$ -algebra. The (algebra) norm map  $N_{A/k}: A \rightarrow k$  is defined by mapping  $a \in A$  to the determinant of the  $k$ -linear map  $A \rightarrow A$  given by  $x \mapsto ax$ .

It follows from the multiplicativity of the determinant that  $N_{A/k}(ab) = N_{A/k}(a)N_{A/k}(b)$  for every  $a, b \in A$ .

When  $a \in k$ , we consider the field extension

$$k(\sqrt{a}) = \begin{cases} k & \text{if } a \text{ is a square in } k, \\ k[X]/(X^2 - a) & \text{if } a \text{ is not a square in } k. \end{cases}$$

In the second case, we will denote by  $\sqrt{a} \in k(\sqrt{a})$  the element corresponding to  $X$  (this element is determined only up to sign by the field extension  $k(\sqrt{a})/k$ ). Every element of  $k(\sqrt{a})$  is represented as  $x + y\sqrt{a}$  for uniquely determined  $x, y \in k$ , and

$$N_{k(\sqrt{a})/k}(x + y\sqrt{a}) = x^2 - ay^2.$$

PROPOSITION 1.1.13. Let  $a, b \in k^\times$ . The following are equivalent.

- (i)  $(a, b) \simeq M_2(k)$ .
- (ii)  $(a, b)$  is not a division ring.
- (iii) The quaternion norm map  $(a, b) \rightarrow k$  has a nontrivial zero.
- (iv) We have  $b \in N_{k(\sqrt{a})/k}(k(\sqrt{a}))$ .
- (v) There are  $x, y \in k$  such that  $ax^2 + by^2 = 1$ .
- (vi) There are  $x, y, z \in k$ , not all zero, such that  $ax^2 + by^2 = z^2$ .

PROOF. (i)  $\Rightarrow$  (ii) : The nonzero matrix

$$\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \in M_2(k)$$

is not invertible.

(ii)  $\Rightarrow$  (iii) : This follows from Lemma 1.1.9.

(iii)  $\Rightarrow$  (iv) : We may assume that  $a$  is not a square in  $k$ . Let  $q = x + yi + zj + wij$  be a nontrivial zero of the norm map, where  $x, y, z, w \in k$ . Then by the formula (1.1.c)

$$0 = x^2 - ay^2 - bz^2 + abw^2,$$

hence  $x^2 - ay^2 = b(z^2 - aw^2)$ . Assume that  $z^2 - aw^2 = 0$ . Then  $z = w = 0$ , because  $a$  is not a square. Thus  $x^2 - ay^2 = 0$ , and for the same reason  $x = y = 0$ . Thus  $q = 0$ , a contradiction. Therefore  $z^2 - aw^2 \neq 0$ , and

$$b = \frac{x^2 - ay^2}{z^2 - aw^2} = \frac{N_{k(\sqrt{a})/k}(x + y\sqrt{a})}{N_{k(\sqrt{a})/k}(z + w\sqrt{a})} = N_{k(\sqrt{a})/k}\left(\frac{x + y\sqrt{a}}{z + w\sqrt{a}}\right).$$

(iv)  $\Rightarrow$  (v) : There are  $u, v \in k$  such that  $b = N_{k(\sqrt{a})/k}(u + v\sqrt{a}) = u^2 - av^2$ , so we may take  $x = vu^{-1}$  and  $y = u^{-1}$ .

(v)  $\Rightarrow$  (vi) : Take  $z = 1$ .

(vi)  $\Rightarrow$  (i) : By Lemma 1.1.4 we may assume that  $a$  is not a square in  $k$ , so that  $y \neq 0$ . Let  $i, j$  be the standard generators of  $(a, b)$ , and set in  $(a, b)$

$$i' = i, \quad j' = b^{-1}y^{-1}(zj + xij).$$

The relation  $ij + ji = 0$  implies that  $i'j' + j'i' = 0$ . We have  $i'^2 = i^2 = a$ , and

$$j'^2 = b^{-2}y^{-2}(bz^2 - abx^2) = b^{-1}y^{-2}(z^2 - ax^2) = 1$$

By Lemma 1.1.2, we have  $Q \simeq (a, 1) \simeq (1, a)$ , and (i) follows from Lemma 1.1.4.  $\square$

DEFINITION 1.1.14. A quaternion algebra satisfying the conditions of Proposition 1.1.13 will be called *split* (observe that this does not depend on the choice of  $a, b \in k^\times$ ).

EXAMPLE 1.1.15. Assume that every element of  $k$  is a square. Then for every  $a, b \in k^\times$ , we have  $(a, b) \simeq (1, b) \simeq M_2(k)$  by Lemma 1.1.4. Therefore every quaternion  $k$ -algebra splits.

EXAMPLE 1.1.16. Assume that the field  $k$  is finite, with  $q$  elements. As the group  $k^\times$  is cyclic of order  $q - 1$ , there are exactly  $1 + (q - 1)/2$  squares in  $k$ . Thus the sets  $\{ax^2 | x \in k\}$  and  $\{1 - by^2 | y \in k\}$  both consist of  $1 + (q - 1)/2$  elements; as subsets of the set  $k$  having  $q$  elements, they must intersect. It follows from the criterion (v) in Proposition 1.1.13 that  $(a, b)$  splits. Therefore *every quaternion algebra over a finite field is split*.

EXAMPLE 1.1.17. Let  $k = \mathbb{R}$ . The quaternion algebra  $(-1, -1)$  is not split, by Proposition 1.1.13 (v). Since  $k^\times/k^{\times 2} = \{1, -1\}$ , and taking into account Lemma 1.1.4, we see that there are exactly two isomorphism classes of  $k$ -algebras, namely  $M_2(k)$  and  $(-1, -1)$ .

Let us record another useful consequence of the argument used to prove the implication (vi)  $\Rightarrow$  (i) in Proposition 1.1.13:

PROPOSITION 1.1.18. *Let  $a, b, c \in k^\times$ . If  $(a, c)$  is split, then  $(a, bc) \simeq (a, b)$ .*

PROOF. Since  $(a, c)$  is split, by Proposition 1.1.13 (iv) there are  $\alpha, \beta \in k$  such that  $c = \alpha^2 - a\beta^2$ . Let  $Q = (a, bc)$  with its standard generators  $i', j'$ . Set

$$i = i', \quad j = c^{-1}(\alpha j' + \beta i' j') \in Q.$$

The relation  $i'j' + j'i' = 0$  implies that  $ij + ji = 0$ . We have  $i^2 = i'^2 = a$ , and

$$j^2 = c^{-2}(bca^2 - abc\beta^2) = bc^{-1}(\alpha^2 - a\beta^2) = b.$$

It follows from Lemma 1.1.2 that  $Q \simeq (a, b)$ .  $\square$

PROPOSITION 1.1.19. *Let  $Q, Q'$  be quaternion algebras, with pure quaternion subspaces  $Q_0, Q'_0$ . Then  $Q \simeq Q'$  if and only if there is a  $k$ -linear map  $\varphi: Q_0 \rightarrow Q'_0$  such that  $\varphi(q)^2 = q^2 \in k$  for all  $q \in Q_0$ .*

PROOF. Let  $\psi: Q \rightarrow Q'$  be an isomorphism of  $k$ -algebras. If  $q \in Q_0$ , then

$$\psi(q)^2 = \psi(q^2) = q^2 \in k, \quad \text{and } \psi(q) \notin \psi(k^\times) = k^\times,$$

so that  $\psi(q) \in Q'_0$ . So we may take for  $\varphi$  the restriction of  $\psi$ .

Conversely, let  $\varphi: Q_0 \rightarrow Q'_0$  be a  $k$ -linear map such that  $\varphi(q)^2 = q^2 \in k$  for all  $q \in Q_0$ . We may assume that  $Q = (a, b)$  with its standard generators  $i, j$ . We have  $\varphi(i)^2 = i^2 = a$  and  $\varphi(j)^2 = j^2 = b$ , and

$$\varphi(i)\varphi(j) + \varphi(j)\varphi(i) = \varphi(i+j)^2 - \varphi(i)^2 - \varphi(j)^2 = (i+j)^2 - i^2 - j^2 = ij + ji = 0.$$

By Lemma 1.1.2 (applied to the elements  $\varphi(i), \varphi(j) \in Q'$ ), we have  $Q' \simeq (a, b)$ .  $\square$

The norm map  $N: Q \rightarrow k$  is in fact a quadratic form. The next corollary is a reformulation of Proposition 1.1.19, assuming some basic quadratic form theory. It can be safely ignored, and will not be used in the sequel.

COROLLARY 1.1.20. *Two quaternion algebras are isomorphic if and only if their norm forms are isometric.*

PROOF. Let  $Q$  be a quaternion algebra and  $N: Q \rightarrow k$  its norm form. Note that  $N(q) = -q^2$  for all  $q \in Q_0$ . The subspaces  $k$  and  $Q_0$  are orthogonal in  $Q$  with respect to the norm form  $N$ , and  $N|_k = \text{id}_k$ . So we have a decomposition  $N \simeq \langle 1 \rangle \perp (N|_{Q_0})$ . This quadratic form is nondegenerate (e.g. by (1.1.c)), hence a morphism  $\varphi$  as in Proposition 1.1.19 is automatically an isometry. The corollary follows, by Witt's cancellation Theorem (see for instance [Lam05, Theorem 4.2]).  $\square$

## 2. Quadratic splitting fields

DEFINITION 1.2.1. The *center* of a ring  $R$  is the set of elements  $r \in R$  such that  $rs = sr$  for all  $s \in R$ . As observed in (1.1.a), the center of a nonzero  $k$ -algebra always contains  $k$ . A  $k$ -algebra is called *central* if it is nonzero and its center equals  $k$ .

LEMMA 1.2.2. *Every quaternion algebra is central.*

PROOF. We may assume that the algebra is equal to  $(a, b)$  with  $a, b \in k^\times$ . Consider an arbitrary element  $q = x + yi + zj + wij$  of  $(a, b)$ , where  $x, y, z, w \in k$ . Easy computations show that  $qi = iq$  if and only if  $z = w = 0$ , and that  $qj = jq$  if and only if  $y = w = 0$ .  $\square$

REMARK 1.2.3. Let  $a, b \in k^\times$ . We claim that  $(a, b)$  contains a subfield isomorphic to  $k(\sqrt{a})$ . To see this, we may assume that  $a$  is not a square in  $k$ . Then the morphism of  $k$ -algebras  $k(\sqrt{a}) = k[X]/(X^2 - a) \rightarrow (a, b)$  given by  $X \mapsto i$  is injective.

PROPOSITION 1.2.4. *Let  $D$  be a central division  $k$ -algebra of dimension 4. Assume that  $D$  contains a  $k$ -subalgebra isomorphic to  $k(\sqrt{a})$  for some  $a \in k$  which is not a square in  $k$ . Then  $D \simeq (a, b)$  for some  $b \in k^\times$ .*

PROOF. Let  $L \subset D$  be a subalgebra isomorphic to  $k(\sqrt{a})$ , and  $\alpha \in L$  such that  $\alpha^2 = a$ . Since  $\alpha$  does not lie in the center of  $D$ , there is  $x \in D$  such that  $x\alpha \neq \alpha x$ . Then  $\beta = \alpha^{-1}x\alpha - x$  is nonzero. Using the fact that  $\alpha^2 = a$  is in the center of  $D$ , we see that

$$\beta\alpha = \alpha^{-1}x\alpha^2 - x\alpha = \alpha x - x\alpha = -\alpha\beta.$$

Multiplying with  $\beta$  on the left, resp. right, we obtain  $\beta^2\alpha = -\beta\alpha\beta$ , resp.  $\beta\alpha\beta = -\alpha\beta^2$ . It follows that  $\beta^2$  commutes with  $\alpha$ . Since  $\beta$  does not commute with  $\alpha$ , we have  $\beta \notin L$ . Therefore the  $L$ -subspace of  $D$  generated by  $1, \beta$  has dimension two over  $L$ , hence coincides with  $D$  by dimensional reasons. In particular the  $k$ -algebra  $D$  is generated by  $\alpha, \beta$ . Since  $\beta^2$  commutes with  $\alpha$  and  $\beta$ , it lies in center of  $D$ , so that  $b = \beta^2 \in k^\times$ . It follows from Lemma 1.1.2 (applied with  $i = \alpha, j = \beta$ ) that  $D \simeq (a, b)$ .  $\square$

LEMMA 1.2.5. *Let  $D$  be a central division  $k$ -algebra of dimension 4 and  $d \in D - k$ . Then the  $k$ -subalgebra of  $D$  generated by  $d$  is a quadratic field extension of  $k$ .*

PROOF. The powers  $d^i$  for  $i \in \mathbb{N}$  are linearly dependent over  $k$  (as  $D$  is finite-dimensional), hence there is a nonzero polynomial  $P \in k[X]$  such that  $P(d) = 0$ . Since  $D$  contains no nonzero zerodivisors (being division), we may assume that  $P$  is irreducible. Then  $X \mapsto d$  defines a morphism of  $k$ -algebras  $k[X]/P \rightarrow D$ . Since  $k[X]/P$  is a field, this morphism is injective. Its image  $L$  is a field, and coincides with the  $k$ -subalgebra of  $D$  generated by  $d$ . Now  $D$  is a vector space over  $L$ , and  $\dim_L D \cdot \dim_k L = \dim_k D = 4$ . We cannot have  $\dim_k L = 4$ , for  $D = L$  would then be commutative, and so would not be central over  $k$ . The case  $\dim_k L = 1$  is also excluded, since by assumption  $d \notin k$ . So we must have  $\dim_k L = 2$ .  $\square$

COROLLARY 1.2.6. *Every central division  $k$ -algebra of dimension 4 is a quaternion algebra.*

PROOF. Since  $k$  has characteristic different from 2, every quadratic extension of  $k$  has the form  $k(\sqrt{a})$  for some  $a \in k^\times$ . Thus  $D$  contains such an extension by Lemma 1.2.5, and the statement follows from Proposition 1.2.4.  $\square$

If  $L/k$  is a field extension and  $Q$  is a quaternion  $k$ -algebra, then  $Q_L = Q \otimes_k L$  is naturally a quaternion  $L$ -algebra. Note that for any  $q \in Q$  and  $\lambda \in L$  we have

$$(1.2.a) \quad \overline{q \otimes \lambda} = \bar{q} \otimes \lambda \quad ; \quad N(q \otimes \lambda) = N(q) \otimes \lambda^2.$$

DEFINITION 1.2.7. We will say that  $Q$  *splits over  $L$* , or that  $L$  is a *splitting field* for  $Q$ , if the quaternion  $L$ -algebra  $Q_L$  is split.

EXAMPLE 1.2.8. Let  $Q$  be a quaternion  $k$ -algebra which splits over the purely transcendental extension  $k(t)$ . By Proposition 1.1.13, this means that  $ax^2 + by^2 = z^2$  has a nontrivial solution in  $k(t)$ . Clearing denominators we may assume that  $x, y, z \in k[t]$ , and that one of  $x, y, z$  is not divisible by  $t$ . Then  $x(0), y(0), z(0)$  is a nontrivial solution in  $k$ , hence  $Q$  splits. Therefore *every quaternion algebra splitting over  $k(t)$  splits over  $k$ .*

PROPOSITION 1.2.9. *Let  $a \in k^\times$  and  $Q$  be a quaternion algebra. Assume that  $a$  is not a square in  $k$ . Then the following are equivalent:*

- (i)  $Q \simeq (a, b)$  for some  $b \in k^\times$ .
- (ii)  $Q$  splits over  $k(\sqrt{a})$ .
- (iii) The  $k$ -algebra  $Q$  contains a subalgebra isomorphic to  $k(\sqrt{a})$ .

PROOF. (i)  $\Rightarrow$  (ii) : Since  $a$  is a square in  $k(\sqrt{a})$ , we have  $(a, b) \simeq (1, b)$  over  $k(\sqrt{a})$ , which splits by Lemma 1.1.4.

(ii)  $\Rightarrow$  (iii) : If  $Q$  is split, then  $Q \simeq (1, a) \simeq (a, 1)$  by Lemma 1.1.4, and (iii) was observed in Remark 1.2.3. Thus we assume that  $Q$  is division. Then there are  $p, q \in Q$

not both zero such that  $N(p \otimes 1 + q \otimes \sqrt{a}) = 0$  by Proposition 1.1.13. Set  $r = p\bar{q} \in Q$ . In view of (1.2.a), we have

$$0 = (p \otimes 1 + q \otimes \sqrt{a})(\bar{p} \otimes 1 + \bar{q} \otimes \sqrt{a}) = (N(p) + aN(q)) \otimes 1 + (r + \bar{r}) \otimes \sqrt{a}.$$

We deduce that  $N(p) = -aN(q)$  and that  $r$  is a pure quaternion. Now

$$r^2 = -r\bar{r} = -p\bar{q}q\bar{p} = -N(p)N(q) = aN(q)^2.$$

Note that  $N(q) \neq 0$ , for otherwise  $N(p) = -aN(q) = 0$ , and thus  $q = p = 0$  (by Lemma 1.1.9, as  $Q$  is division), contradicting the choice of  $p, q$ . The element  $s = N(q)^{-1}r \in Q$  satisfies  $s^2 = a$ . Mapping  $X$  to  $s$  yields a morphism of  $k$ -algebras  $k[X]/(X^2 - a) \rightarrow Q$ , and (iii) follows.

(iii)  $\Rightarrow$  (i) : If  $Q$  is not division, then  $Q \simeq (1, a) \simeq (a, 1)$  by Lemma 1.1.4, so we may take  $b = 1$  in this case. If  $Q$  is division, the implication has been proved in Proposition 1.2.4.  $\square$

### 3. Biquaternion algebras

Let  $Q, Q'$  be quaternion algebras. Denote by  $Q_0, Q'_0$  the respective subspaces of pure quaternions.

**DEFINITION 1.3.1.** The *Albert form* associated with the pair  $(Q, Q')$  is the quadratic form  $Q_0 \oplus Q'_0 \rightarrow k$  defined by  $q + q' \mapsto q'^2 - q^2$  for  $q \in Q_0$  and  $q' \in Q'_0$ .

**THEOREM 1.3.2 (Albert).** *Let  $Q, Q'$  be quaternion algebras. The following are equivalent:*

- (i) *The ring  $Q \otimes_k Q'$  is not division.*
- (ii) *There exist  $a, b', b \in k^\times$  such that  $Q \simeq (a, b)$  and  $Q' \simeq (a, b')$ .*
- (iii) *The Albert form associated with  $(Q, Q')$  has a nontrivial zero.*

**PROOF.** (ii)  $\Rightarrow$  (iii) : If  $i \in Q_0$  and  $i' \in Q'_0$  are such that  $i^2 = a = i'^2$ , then  $i - i' \in Q_0 \oplus Q'_0$  is a nontrivial zero of the Albert form.

(iii)  $\Rightarrow$  (i) : If  $q \in Q_0$  and  $q' \in Q'_0$  are such that  $q^2 = q'^2 \in k$ , we have

$$(q \otimes 1 - 1 \otimes q')(q \otimes 1 + 1 \otimes q') = 0.$$

As  $Q_0 \cap k = 0$  in  $Q$  (see Lemma 1.1.7) we have  $(Q_0 \otimes_k k) \cap (k \otimes_k Q'_0) = 0$  in  $Q \otimes_k Q'$  (exercise), hence  $q \otimes 1 \neq \pm 1 \otimes q'$ . Thus the above relation shows that  $q \otimes 1 - 1 \otimes q'$  is a nonzero noninvertible element of  $Q \otimes_k Q'$ .

(i)  $\Rightarrow$  (ii) : We assume that (ii) does not hold, and show that  $Q \otimes_k Q'$  is division. In view of Lemma 1.1.4 none of the algebras  $Q, Q'$  is isomorphic to  $M_2(k)$ , so  $Q$  and  $Q'$  are division by Proposition 1.1.13. We may assume that  $Q' = (a, b)$  for some  $a, b \in k^\times$ , and consider the standard generators  $i, j \in Q'$ . Since  $Q'$  is division, the element  $a$  is not a square in  $k$  (by Lemma 1.1.4). The subalgebra  $L$  of  $Q$  generated by  $i$  is a field isomorphic to  $k(\sqrt{a})$  (Remark 1.2.3). Since (ii) does not hold, Proposition 1.2.9 implies that the ring  $Q \otimes_k L$  remains division.

In view of Remark 1.1.11, it will suffice to show that any nonzero  $x \in Q \otimes_k Q'$  admits a left inverse. Since  $1, j$  is an  $L$ -basis of  $Q'$ , we may write  $x = p_1 + p_2(1 \otimes j)$  where  $p_1, p_2 \in Q \otimes_k L$ . If  $p_2 = 0$ , then  $x$  belongs to the division algebra  $Q \otimes_k L$ , hence admits a left inverse. Thus we may assume that  $p_2$  is nonzero, hence invertible in the division

algebra  $Q \otimes_k L$ . Replacing  $x$  by  $p_2^{-1}x$ , we come to the situation where  $p_2 = 1$ . So we find  $q_1, q_2 \in Q$  such that

$$x = q_1 \otimes 1 + q_2 \otimes i + 1 \otimes j.$$

Assume that  $q_1 q_2 = q_2 q_1$ . Let  $K$  be the  $k$ -subalgebra of  $Q$  generated by  $q_1, q_2$ . We claim that if  $K \neq k$ , then  $K$  is a quadratic field extension of  $k$ . Indeed, this is true by Lemma 1.2.5 if  $q_1 \in k$ . Otherwise the  $k$ -subalgebra  $K_1$  of  $Q$  generated by  $q_1$  is a quadratic field extension of  $k$ , by the same lemma. If  $q_2 \notin K_1$ , then  $1, q_2$  is a  $K_1$ -basis of  $Q$ , so that  $K = Q$ . This is not possible since  $q_1$  and  $q_2$  commute (as  $Q$  is central). Thus  $q_2 \in K_1$ , and  $K = K_1$  is as required, proving the claim. If  $K \neq k$ , then Proposition 1.2.9 thus implies that  $Q$  splits over  $K$ , and since (ii) does not hold, by the same proposition  $K \otimes_k Q'$  must remain division. This conclusion also holds if  $K = k$ . Thus  $x \in K \otimes_k Q'$  admits a left inverse.

So we may assume that  $q_1 q_2 \neq q_2 q_1$ . Let  $y = q_1 \otimes 1 - q_2 \otimes i - 1 \otimes j$ . Then

$$\begin{aligned} yx &= (q_1 \otimes 1 - q_2 \otimes i - 1 \otimes j)(q_1 \otimes 1 + q_2 \otimes i + 1 \otimes j) \\ &= (q_1 \otimes 1 - q_2 \otimes i)(q_1 \otimes 1 + q_2 \otimes i) - 1 \otimes j^2 \quad \text{as } ji = -ij \\ &= q_1^2 \otimes 1 - aq_2^2 \otimes 1 + (q_1 q_2 - q_2 q_1) \otimes i - b \otimes 1. \end{aligned}$$

Thus  $yx$  belongs to the division subalgebra  $Q \otimes_k L$ . This element is also nonzero (since  $q_1 q_2 \neq q_2 q_1$ ), hence admits a left inverse. Therefore  $x$  admits a left inverse.  $\square$

LEMMA 1.3.3. *For any  $a, b, c \in k^\times$ , we have*

$$(a, b) \otimes_k (a, c) \simeq (a, bc) \otimes_k M_2(k).$$

PROOF. Let  $i, j$ , resp.  $i', j'$ , be the standard generators of  $(a, b)$ , resp.  $(a, c)$ . Consider the  $k$ -subspace  $A$  of  $(a, b) \otimes_k (a, c)$  generated by

$$1 \otimes 1, \quad i \otimes 1, \quad j \otimes j', \quad ij \otimes j'.$$

Then  $A$  is stable under multiplication. So is the  $k$ -subspace  $A'$  generated by

$$1 \otimes 1, \quad 1 \otimes j', \quad i \otimes i', \quad i \otimes j'i'.$$

Moreover every element of  $A$  commutes with every element of  $A'$ . Now, there are isomorphisms of  $k$ -algebras

$$A \simeq (a, bc) \quad ; \quad A' \simeq (c, a^2) \simeq (c, 1) \simeq M_2(k).$$

The  $k$ -linear map  $f: A \otimes_k A' \rightarrow (a, b) \otimes_k (a, c)$  given by  $x \otimes y \mapsto xy = yx$  is a morphism of  $k$ -algebras; its image visibly contains the elements

$$i \otimes 1, \quad 1 \otimes i', \quad j \otimes 1, \quad 1 \otimes j'.$$

Since these elements generate the  $k$ -algebra  $(a, b) \otimes_k (a, c)$ , we conclude that  $f$  is surjective, hence an isomorphism by dimensional reasons.  $\square$

REMARK 1.3.4. A tensor product of two quaternion algebras is called a *biquaternion algebra*. By Theorem 1.3.2 and Lemma 1.3.3, such an algebra is either division, or isomorphic to  $M_2(D)$  for some division quaternion algebra  $D$ , or to  $M_4(k)$ .

PROPOSITION 1.3.5. *Let  $Q, Q'$  be quaternion algebras. Then*

$$Q \simeq Q' \iff Q \otimes_k Q' \simeq M_4(k).$$

PROOF. If  $Q \simeq Q' \simeq (a, b)$  for some  $a, b \in k^\times$ , then  $Q \otimes_k Q' \simeq (a, b^2) \otimes_k M_2(k)$  by Lemma 1.3.3, and  $(a, b^2) \simeq (a, 1) \simeq M_2(k)$ . Now  $M_2(k) \otimes_k M_2(k) \simeq M_4(k)$  (exercise).

Assume now that  $Q \otimes_k Q' \simeq M_4(k)$ . Since  $M_4(k)$  is not division, by Albert's Theorem 1.3.2, there are  $a, b, c \in k^\times$  such that  $Q \simeq (a, b)$  and  $Q' \simeq (a, c)$ . If  $(a, bc)$  splits, then Proposition 1.1.18 implies that  $(a, b) \simeq (a, b^2c) \simeq (a, c)$ , as required. So we assume that  $D = (a, bc)$  is division, and come to a contradiction. We have  $M_2(D) = D \otimes_k M_2(k) \simeq M_4(k)$  by Lemma 1.3.3. The element of  $M_2(D)$  corresponding to the matrix

$$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix} \in M_4(k)$$

is an endomorphism  $\varphi$  of the left  $D$ -module  $D^{\oplus 2} = De_1 \oplus De_2$  such that  $\varphi^3 \neq 0$  and  $\varphi^4 = 0$ . Since  $\varphi$  is not injective (as  $\varphi^4$  is not injective), the kernel of  $\varphi$  contains an element  $\lambda_1 e_1 + \lambda_2 e_2$ , where  $\lambda_1, \lambda_2 \in D$  are not both zero. Upon exchanging the roles of  $e_1$  and  $e_2$ , we may assume that  $\lambda_1 \neq 0$ . Then  $\varphi(e_1) = -\lambda_1^{-1} \lambda_2 \varphi(e_2) \in D\varphi(e_2)$ , hence letting  $f = \varphi(e_2)$ , we have  $\varphi(D^{\oplus 2}) = Df$ . Thus  $\varphi(f) = \mu f$  for some  $\mu \in D$ , and

$$0 = \varphi^4(e_2) = \varphi^3(f) = \mu^3 f.$$

If  $\mu \neq 0$ , then  $f = \mu^{-3} \mu^3 f = 0$ , which implies that  $\varphi = 0$ , a contradiction. Thus  $\mu = 0$ , and  $\varphi^2 = 0$ , another contradiction.  $\square$





## CHAPTER 2

## Central simple algebras

In this chapter, we develop the general theory of finite-dimensional central simple algebras over a field. Wedderburn's Theorem asserts that such algebras are matrix algebras over (finite-dimensional central) division algebras. This theorem plays a key role in the theory, because it permits to reduce many proofs to the case of division algebras, where the situation is often more tractable.

After extending scalars appropriately, any finite-dimensional central simple algebra becomes a matrix algebra over a field. So such algebras may be thought of as twisted forms of matrix algebras, and as such share many of their properties. This point of view will be further explored in the next chapters.

Much information on the algebra is encoded in the data of which extensions of the base field transform it into a matrix algebra; such fields are called splitting fields. We prove the existence of a separable splitting field, a crucial technical result which will allow us to use Galois theory later on. The index of the algebra is an integer expressing how far is the algebra from being split. In this chapter we gather basic information concerning the behaviour of this invariant under field extensions.

We conclude with a definition of the Brauer group, which classifies finite-dimensional central simple algebras over a given base field.

## 1. Wedderburn's Theorem

A module (resp. ideal) will mean a left module (resp. ideal). When  $R$  is a ring, the ring of  $n$  by  $n$  matrices will be denoted by  $M_n(R)$ . If  $M, N$  are  $R$ -modules, we denote the set of morphisms of  $R$ -modules  $M \rightarrow N$  by  $\text{Hom}_R(M, N)$ . If  $M$  is an  $R$ -module, the set  $\text{End}_R(M) = \text{Hom}_R(M, M)$  is naturally an  $R$ -algebra, and we will denote by  $\text{Aut}_R(M) = (\text{End}_R(M))^\times$  the set of automorphisms of  $M$ .

The letter  $k$  will denote a field, which is now allowed to be of arbitrary characteristic.

**DEFINITION 2.1.1.** Let  $R$  be a ring. An  $R$ -module is called *simple* if it has exactly two submodules: zero and itself.

**LEMMA 2.1.2 (Schur).** *Let  $R$  be a ring and  $M$  a simple  $R$ -module. Then  $\text{End}_R(M)$  is a division ring.*

**PROOF.** Let  $\varphi \in \text{End}_R(M)$  be nonzero. The kernel of  $\varphi$  is a submodule of  $M$  unequal to  $M$ . Since  $M$  is simple, this submodule must be zero. Similarly the image of  $\varphi$  is a nonzero submodule of  $M$ , hence must coincide with  $M$ . Thus  $\varphi$  is bijective, and it follows that  $\varphi$  is invertible in  $\text{End}_R(M)$ .  $\square$

**DEFINITION 2.1.3.** Let  $R$  be a ring. The *opposite ring*  $R^{\text{op}}$  is the ring equal to  $R$  as an abelian group, where multiplication is defined by mapping  $(x, y)$  to  $yx$  (instead of

$xy$  for the multiplication in  $R$ ). Note that if  $R$  is a  $k$ -algebra, then  $R^{\text{op}}$  is naturally a  $k$ -algebra.

Observe that:

- (i)  $R = (R^{\text{op}})^{\text{op}}$ .
- (ii) Every isomorphism  $R \simeq S$  induces an isomorphism  $R^{\text{op}} \simeq S^{\text{op}}$ .
- (iii) If  $R$  is simple, then so is  $R^{\text{op}}$ .
- (iv) Transposing matrices induces an isomorphism  $M_n(R)^{\text{op}} \simeq M_n(R^{\text{op}})$ .

LEMMA 2.1.4. *Let  $R$  be a ring (resp.  $k$ -algebra) and  $e \in R$  such that  $e^2 = e$ . Then  $S = eRe$  is naturally a ring (resp.  $k$ -algebra), which is isomorphic to  $\text{End}_R(Re)^{\text{op}}$ .*

PROOF. Consider the ring morphism  $\varphi: S \rightarrow \text{End}_R(Re)^{\text{op}}$  sending  $s$  to the morphism  $x \mapsto xs$ . Observe that  $\varphi(s)(e) = s$  for any  $s \in S$ , hence  $\varphi$  is injective. If  $f: Re \rightarrow Re$  is a morphism of  $R$ -modules, we may find  $r \in R$  such that  $f(e) = re$ . Then for any  $y \in Re$ , we have  $ye = y$ , hence

$$f(y) = f(ye) = yf(e) = yre = yere = \varphi(ere)(y),$$

so that  $f = \varphi(ere)$ , proving that  $\varphi$  is surjective.  $\square$

DEFINITION 2.1.5. A ring is called *simple* if it has exactly two two-sided ideals: zero and itself.

REMARK 2.1.6. A division ring (Definition 1.1.10) is simple.

PROPOSITION 2.1.7. *Let  $R$  be a ring and  $n \in \mathbb{N} - 0$ . We view  $R$  as the subring of diagonal matrices in  $M_n(R)$ .*

- (i) *If the ring  $R$  is simple, then so is  $M_n(R)$ .*
- (ii) *The rings  $R$  and  $M_n(R)$  have the same center (Definition 1.2.1).*
- (iii) *Assume that  $R$  is a division ring (resp. division  $k$ -algebra). Then  $M_n(R)$  possesses a minimal nonzero ideal. If  $I$  is any such ideal, then  $R \simeq \text{End}_{M_n(R)}(I)^{\text{op}}$ .*

PROOF. We will denote by  $e_{i,j} \in M_n(R)$  the matrix having  $(i,j)$ -th coefficient equal to 1, and all other coefficients equal to zero. These elements commute with the subalgebra  $R \subset M_n(R)$ , and generate  $M_n(R)$  as an  $R$ -module. Taking the  $(i,j)$ -th coefficient yields a morphism of two-sided  $R$ -modules  $\gamma_{i,j}: M_n(R) \rightarrow R$ . For any  $m \in M_n(R)$ , we have  $m = \sum_{i,j} \gamma_{i,j}(m)e_{i,j}$ , and

$$(2.1.a) \quad e_{k,i}me_{j,l} = \gamma_{i,j}(m)e_{k,l} \quad \text{for all } i, j, k, l.$$

(i) : Let  $J$  be a two-sided ideal of  $M_n(R)$ . Then there is a couple  $(i,j)$  such that the two-sided ideal  $\gamma_{i,j}(J)$  of  $R$  is nonzero, hence equal to  $R$  by simplicity of  $R$ . Thus there is  $m \in J$  such that  $\gamma_{i,j}(m) = 1$ , and (2.1.a) implies that  $e_{k,l} \in J$  for all  $k, l$ . We conclude that  $J = M_n(R)$ .

(ii) : Let  $k, l \in \{1, \dots, n\}$  and  $m \in M_n(R)$ . Then

$$e_{k,l}m = \sum_{i,j} \gamma_{i,j}(m)e_{k,l}e_{i,j} = \sum_j \gamma_{l,j}(m)e_{k,j},$$

$$me_{k,l} = \sum_{i,j} \gamma_{i,j}(m)e_{i,j}e_{k,l} = \sum_i \gamma_{i,k}(m)e_{i,l}.$$

Assume that  $m$  commutes with  $e_{k,l}$ . Then  $\gamma_{k,k}(m) = \gamma_{l,l}(m)$ , and  $\gamma_{i,k}(m) = 0$  for  $i \neq k$ . It follows that the center of  $M_n(R)$  is contained in  $R$ , hence in the center of  $R$ . Conversely, any element of the center of  $R$  certainly commutes with every matrix.

(iii) : Let us write  $B = M_n(R)$ . For  $r = 1, \dots, n$ , consider the ideal  $I_r = Be_{r,r}$  of  $B$ . Let  $m$  be a nonzero element of  $I_r$ . There is a couple  $(k, i)$  such that  $e_{k,i}m \neq 0$ . As  $(e_{r,r})^2 = e_{r,r}$ , we have  $m = me_{r,r}$ . It follows from (2.1.a) that  $\gamma_{i,r}(m)e_{k,r} = e_{k,i}m$ . In particular  $\gamma_{i,r}(m) \neq 0$ , and

$$e_{r,r} = e_{r,k}e_{k,r} = e_{r,k}\gamma_{k,r}(m)^{-1}e_{k,i}m \in Bm,$$

and therefore  $I_r \subset Bm$ . We have proved that  $I_r$  is a simple  $B$ -module, or equivalently a minimal nonzero ideal of  $B$ . If  $I$  is any other such ideal, then there is a surjective morphism of  $B$ -modules  $B \rightarrow I$  (as  $I$  must be generated by a single element). Since the natural morphism  $I_1 \oplus \dots \oplus I_n \rightarrow B$  is surjective (as  $e_{i,j} = e_{i,j}e_{j,j} \in I_j$  for all  $i, j$ ), the composite  $I_r \rightarrow I$  must be nonzero for some  $r$ , hence an isomorphism as both  $I_r$  and  $I$  are simple (see the proof of Lemma 2.1.2). Now the map  $R \rightarrow e_{r,r}Be_{r,r}$  given by  $x \mapsto xe_{r,r}$  is a ring (resp.  $k$ -algebra) isomorphism (with inverse  $\gamma_{r,r}$ ). Thus it follows from Lemma 2.1.4 that  $R \simeq \text{End}_B(I_r)^{\text{op}} \simeq \text{End}_B(I)^{\text{op}}$ .  $\square$

**COROLLARY 2.1.8.** *If  $D, E$  are division rings (resp. division  $k$ -algebras) such that  $M_n(D) \simeq M_m(E)$  for some nonzero integers  $m, n$ , then  $D \simeq E$ .*

**PROOF.** By Proposition 2.1.7 (ii), there is a minimal nonzero ideal  $I$  of  $M_n(D)$ . The corresponding ideal  $J$  of  $M_m(E)$  is also minimal nonzero, hence by Proposition 2.1.7 (ii)

$$D \simeq \text{End}_{M_n(D)}(I)^{\text{op}} \simeq \text{End}_{M_m(E)}(J)^{\text{op}} \simeq E. \quad \square$$

**DEFINITION 2.1.9.** A ring is called *artinian* if every descending chain of ideals stabilises.

**EXAMPLE 2.1.10.** Every finite-dimensional  $k$ -algebra is artinian.

**PROPOSITION 2.1.11.** *Let  $A$  be an artinian simple ring.*

- (i) *There is a unique simple  $A$ -module, up to isomorphism.*
- (ii) *Every finitely generated  $A$ -module is a finite direct sum of simple  $A$ -modules.*

**PROOF.** Since  $A$  is artinian, it admits a minimal nonzero ideal  $S$ . Then  $S$  is a simple  $A$ -module. Moreover the two-sided ideal  $SA$  generated by  $S$  in  $A$  is nonzero, hence  $SA = A$  by simplicity of  $A$ . In particular there are elements  $a_1, \dots, a_p \in A$  such that  $1 \in Sa_1 + \dots + Sa_p$ . We have thus a surjective morphism of  $A$ -modules  $S^{\oplus p} \rightarrow A$  given by  $(s_1, \dots, s_p) \mapsto s_1a_1 + \dots + s_pa_p$ .

Let now  $M$  be a finitely generated  $A$ -module. Then  $M$  is a quotient of  $A^{\oplus q}$  for some integer  $q$ , hence a quotient of  $S^{\oplus n}$  for some integer  $n$  (namely  $n = pq$ ). Choose  $n$  minimal with this property, and denote by  $N$  the kernel of the surjective morphism  $S^{\oplus n} \rightarrow M$ . For  $i = 1, \dots, n$ , denote by  $\pi_i: S^{\oplus n} \rightarrow S$  the projection onto the  $i$ -th factor. If  $N \neq 0$ , there is  $i$  such that  $\pi_i(N) \neq 0$ . Since  $S$  is simple, this implies that  $\pi_i(N) = S$ . Let now  $m \in M$ , and  $s \in S^{\oplus n}$  a preimage of  $m$ . Then there is  $z \in N$  such that  $\pi_i(z) = \pi_i(s)$ . The element  $s - z$  is mapped to  $m$  in  $M$ , and belongs to  $\ker \pi_i \simeq S^{\oplus n-1}$ . This yields a surjective morphism  $S^{\oplus n-1} \rightarrow M$ , contradicting the minimality of  $n$ . So we must have  $N = 0$ , and  $S^{\oplus n} \simeq M$ . This proves the second statement.

If  $M$  is simple, we must have  $n = 1$ . Now a simple module is necessarily finitely generated, so (i) follows.  $\square$

**THEOREM 2.1.12 (Wedderburn).** *Let  $A$  be an artinian simple ring (resp. a finite-dimensional simple  $k$ -algebra). Then  $A$  is isomorphic to  $M_n(D)$  for some integer  $n$  and division ring (resp. finite-dimensional division  $k$ -algebra)  $D$ . Such a ring (resp.  $k$ -algebra)  $D$  is unique up to isomorphism, and has the same center as  $A$ .*

**PROOF.** Recall that in any case  $A$  is artinian (Example 2.1.10). Let  $S$  be a simple  $A$ -module, which exists by Proposition 2.1.11. Then the ring  $E = \text{End}_A(S)$  is division by Schur's Lemma 2.1.2. By Proposition 2.1.11 there is an integer  $n$  such that  $A^{\text{op}} \simeq S^{\oplus n}$  as  $A$ -modules. In view of Lemma 2.1.4 (with  $R = A$  and  $e = 1$ ), we have

$$A = \text{End}_A(A)^{\text{op}} \simeq \text{End}_A(S^{\oplus n})^{\text{op}} = M_n(\text{End}_A(S))^{\text{op}} = M_n(E^{\text{op}}).$$

So we may take  $D = E^{\text{op}}$ . Unicity was proved in Corollary 2.1.8, and the last statement follows from Proposition 2.1.7 (ii).  $\square$

## 2. The commutant

**DEFINITION 2.2.1.** Let  $R$  be a ring and  $E \subset R$  a subset. The set

$$\mathcal{Z}_R(E) = \{r \in R \mid er = re \text{ for all } e \in E\}$$

is a subring of  $R$ , called the *commutant* of  $E$  in  $R$ . We say that an element of  $R$  *commutes with  $E$*  if it belongs to  $\mathcal{Z}_R(E)$ . Recall from Definition 1.2.1 that  $\mathcal{Z}(R) = \mathcal{Z}_R(R)$  is called the center of  $R$ , and that a nonzero  $k$ -algebra  $A$  is called central if  $\mathcal{Z}(A) = k$ .

**LEMMA 2.2.2.** *The center of a simple ring is a field.*

**PROOF.** Let  $R$  be a simple ring, and  $x$  a nonzero element of  $\mathcal{Z}(R)$ . Then the two-sided ideal  $RxR$  of  $R$  is nonzero, hence  $RxR = R$ . Thus we may write  $1 = a_1xa'_1 + \cdots + a_nxa'_n$  with  $a_1, \dots, a_n, a'_1, \dots, a'_n \in R$ . Then the element  $y = a_1a'_1 + \cdots + a_na'_n$  is a two-sided inverse of  $x$  in  $R$ . For any  $r \in R$ , we have

$$yr = yr(xy) = y(rx)y = y(xr)y = (yx)ry = ry,$$

proving that  $y \in \mathcal{Z}(R)$ .  $\square$

**LEMMA 2.2.3.** *Let  $A, B$  be  $k$ -algebras, and  $A' \subset A$  a subalgebra. Then*

$$\mathcal{Z}_{A \otimes_k B}(A' \otimes_k k) = \mathcal{Z}_A(A') \otimes_k B.$$

**PROOF.** Let  $C = \mathcal{Z}_{A \otimes_k B}(A' \otimes_k k)$ . Certainly  $\mathcal{Z}_A(A') \otimes_k B \subset C$ . Any element  $c \in C$  may be written as  $c = a_1 \otimes b_1 + \cdots + a_n \otimes b_n$  with  $a_1, \dots, a_n \in A$  and  $b_1, \dots, b_n \in B$ . We may additionally assume that  $b_1, \dots, b_n$  are linearly independent over  $k$ . Let  $a' \in A'$ . Then  $c$  commutes with  $a' \otimes 1$ , hence we have in  $A \otimes_k B$

$$0 = c(a' \otimes 1) - (a' \otimes 1)c = (a_1a' - a'a_1) \otimes b_1 + \cdots + (a_na' - a'a_n) \otimes b_n.$$

The linear independence of  $b_1, \dots, b_n$  implies that the  $k$ -subspaces  $A \otimes_k b_1k, \dots, A \otimes_k b_nk$  are in direct sum in  $A \otimes_k B$  (exercise), and we conclude that each  $a_i$  commutes with  $a'$ , proving the other inclusion.  $\square$

**PROPOSITION 2.2.4.** *Let  $A, B$  be  $k$ -algebras, and  $A' \subset A, B' \subset B$  subalgebras. Then*

$$\mathcal{Z}_{A \otimes_k B}(A' \otimes_k B') = \mathcal{Z}_A(A') \otimes_k \mathcal{Z}_B(B').$$

PROOF. Let  $C = \mathcal{Z}_{A \otimes_k B}(A' \otimes_k B')$ . Then  $C$  contains  $\mathcal{Z}_A(A') \otimes_k \mathcal{Z}_B(B')$ . Conversely by Lemma 2.2.3, the subalgebra  $C \subset A \otimes_k B$  is contained in

$$\mathcal{Z}_{A \otimes_k B}(A' \otimes_k k) \cap \mathcal{Z}_{A \otimes_k B}(k \otimes_k B') = (\mathcal{Z}_A(A') \otimes_k B) \cap (A \otimes_k \mathcal{Z}_B(B')),$$

which coincides with  $\mathcal{Z}_A(A') \otimes_k \mathcal{Z}_B(B')$  (exercise).  $\square$

PROPOSITION 2.2.5. *Let  $A, B$  be  $k$ -algebras. If the ring  $A \otimes_k B$  is simple, then so are  $A$  and  $B$ .*

PROOF. Let  $I$  be a two-sided ideal of  $A$  such that  $I \neq A$ . Then the  $k$ -algebra  $C = A/I$  is nonzero. Consider the commutative diagram

$$\begin{array}{ccc} A & \xrightarrow{f} & C \\ a \mapsto a \otimes 1 \downarrow & & \downarrow c \mapsto c \otimes 1 \\ A \otimes_k B & \xrightarrow{f \otimes \text{id}_B} & C \otimes_k B \end{array}$$

Since  $A \otimes_k B \neq 0$  (being simple), we have  $B \neq 0$ . As  $C \neq 0$ , we must have  $C \otimes_k B \neq 0$  (exercise). By simplicity of  $A \otimes_k B$ , the morphism  $f \otimes \text{id}_B$  is injective. Since the left vertical morphism in the above diagram is also injective (exercise), it follows that  $f$  is injective, or equivalently that  $I = 0$ . This proves that  $A$  is simple (and so is  $B$  by symmetry).  $\square$

PROPOSITION 2.2.6. *Let  $A$  be a simple  $k$ -algebra and  $B$  a central simple  $k$ -algebra. Then the  $k$ -algebra  $A \otimes_k B$  is simple.*

PROOF. Let  $I \subsetneq A \otimes_k B$  be a two-sided ideal. Let  $i = a_1 \otimes b_1 + \cdots + a_n \otimes b_n$  be a nonzero element of  $I$ , where  $a_1, \dots, a_n \in A$  and  $b_1, \dots, b_n \in B$ . We assume that  $n$  is minimal, in the sense that if  $a'_1 \otimes b'_1 + \cdots + a'_m \otimes b'_m$  is a nonzero element of  $I$ , then  $m \geq n$ . Consider the following subset of  $B$ :

$$H = \{\beta_1 \in B \mid a_1 \otimes \beta_1 + \cdots + a_n \otimes \beta_n \in I \text{ for some } \beta_2, \dots, \beta_n \in B\}.$$

The set  $H$  is a two-sided ideal of  $B$ , and it is nonzero since it contains  $b_1 \neq 0$ . By simplicity of  $B$ , it follows that  $H = B$ , hence  $H$  contains 1. We may thus assume that  $b_1 = 1$ . Then for any  $b \in B$ , we have

$$(1 \otimes b)i - i(1 \otimes b) = a_2 \otimes (bb_2 - b_2b) + \cdots + a_n \otimes (bb_n - b_nb) \in I.$$

By minimality of  $n$ , we must have  $(1 \otimes b)i = i(1 \otimes b)$ . Thus, by Proposition 2.2.4

$$i \in \mathcal{Z}_{A \otimes_k B}(k \otimes_k B) = \mathcal{Z}_A(k) \otimes_k \mathcal{Z}_B(B) = A \otimes_k k.$$

Therefore  $i$  is of the form  $a \otimes 1$  for some  $a \in A$ . The subset  $\{a \in A \mid a \otimes 1 \in I\} \subset A$  is a two-sided ideal of  $A$ . It is distinct from  $A$  (for otherwise  $1 \in I$ ), hence is zero by simplicity of  $A$ . Thus  $i = 0$ , a contradiction.  $\square$

COROLLARY 2.2.7. *Let  $A, B$  be  $k$ -algebras. Then the  $k$ -algebra  $A \otimes_k B$  is central simple if and only if  $A$  and  $B$  are central simple.*

PROOF. Combine Proposition 2.2.6, Proposition 2.2.4 and Proposition 2.2.5.  $\square$

PROPOSITION 2.2.8. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra. Then the morphism  $\varphi: A \otimes_k A^{\text{op}} \rightarrow \text{End}_k(A)$  mapping  $a \otimes b$  to  $x \mapsto axb$  is an isomorphism.*

PROOF. This morphism is visibly nonzero. Its kernel is a two-sided ideal in the ring  $A \otimes_k A^{\text{op}}$ , which is simple by Proposition 2.2.6. Thus  $\varphi$  is injective, and bijective for dimensional reasons.  $\square$

LEMMA 2.2.9. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra and  $B \subset A$  a subalgebra. Then there is a natural isomorphism*

$$\mathcal{Z}_A(B) \otimes_k A^{\text{op}} \simeq \text{End}_B(A).$$

PROOF. Consider the isomorphism  $\varphi: A \otimes_k A^{\text{op}} \simeq \text{End}_k(A)$  of Proposition 2.2.8, and let  $C = \varphi(B \otimes_k k)$ . A morphism in  $\text{End}_k(A)$  commutes with  $C$  if and only if it is  $B$ -linear (for the left action on  $A$ ). Thus

$$\mathcal{Z}_{A \otimes_k A^{\text{op}}}(B \otimes_k k) \simeq \mathcal{Z}_{\text{End}_k(A)}(C) = \text{End}_B(A).$$

To conclude, note that  $\mathcal{Z}_{A \otimes_k A^{\text{op}}}(B \otimes_k k) = \mathcal{Z}_A(B) \otimes_k A^{\text{op}}$  by Lemma 2.2.3.  $\square$

PROPOSITION 2.2.10. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra and  $B$  a simple subalgebra of  $A$ .*

- (i) *The ring  $\mathcal{Z}_A(B)$  is simple.*
- (ii) *There exists a division  $k$ -algebra  $D$ , and integers  $n, r$  such that*

$$\mathcal{Z}_A(B) \otimes_k A^{\text{op}} \simeq M_n(D^{\text{op}}) \quad ; \quad B \simeq M_r(D).$$

- (iii)  $(\dim_k B)(\dim_k \mathcal{Z}_A(B)) = \dim_k A$ .
- (iv)  $\mathcal{Z}_A(\mathcal{Z}_A(B)) = B$ .
- (v) *The centers of  $B$  and  $\mathcal{Z}_A(B)$  coincide, as subsets of  $A$ .*

PROOF. Let  $C = \mathcal{Z}_A(B)$ .

(ii): By Proposition 2.1.11, there exist a simple  $B$ -module  $S$ , and integers  $r, n$  such that  $B \simeq S^{\oplus r}$  and  $A \simeq S^{\oplus n}$  as  $B$ -modules. The  $k$ -algebra  $D = \text{End}_B(S)^{\text{op}}$  is division by Schur's Lemma 2.1.2. We have, by Lemma 2.2.9

$$C \otimes_k A^{\text{op}} \simeq \text{End}_B(A) \simeq \text{End}_B(S^{\oplus n}) = M_n(\text{End}_B(S)) = M_n(D^{\text{op}}).$$

Now, by Lemma 2.1.4 (with  $R = B$  and  $e = 1$ )

$$B = \text{End}_B(B)^{\text{op}} \simeq \text{End}_B(S^{\oplus r})^{\text{op}} = M_r(\text{End}_B(S))^{\text{op}} = M_r(\text{End}_B(S)^{\text{op}}) = M_r(D).$$

(i): Since  $M_n(D^{\text{op}})$  is simple by Remark 2.1.6 and Proposition 2.1.7 (i), it follows from Proposition 2.2.5 that  $\mathcal{Z}_A(B)$  is simple.

(iii): Let  $a = \dim_k A, b = \dim_k B, c = \dim_k C, d = \dim_k D, s = \dim_k S$ . Taking the dimensions in (i) yields  $ac = n^2 d$  and  $b = r^2 d$ . Since  $B \simeq S^{\oplus r}$  and  $A \simeq S^{\oplus n}$ , we have  $b = rs$  and  $a = ns$ , and therefore  $ar = bn$ . Thus

$$a^2 b = a^2 r^2 d = b^2 n^2 d = b^2 ac,$$

hence  $a = bc$ .

(iv): Clearly  $B \subset \mathcal{Z}_A(C)$ . The equality follows by dimensional reasons. Indeed, let  $z = \dim_k \mathcal{Z}_A(B)$  and  $z' = \dim_k \mathcal{Z}_A(\mathcal{Z}_A(B))$ ,  $a = \dim_k A, b = \dim_k B$ . Then by (i) and (iii), we have  $bz = a = zz'$ , so that  $b = z'$ .

(v): Let  $R$  be a subring of  $A$ , and  $S = \mathcal{Z}_A(R)$ . Then  $R \subset \mathcal{Z}_A(S)$ , hence

$$(2.2.a) \quad \mathcal{Z}(R) = R \cap \mathcal{Z}_A(R) = R \cap S \subset \mathcal{Z}_A(S) \cap S = \mathcal{Z}(S).$$

Taking  $R = B$  in (2.2.a) yields  $\mathcal{Z}(B) \subset \mathcal{Z}(C)$ . Since  $B = \mathcal{Z}_A(C)$  by (iv), taking  $R = C$  in (2.2.a) yields  $\mathcal{Z}(C) \subset \mathcal{Z}(B)$ .  $\square$

### 3. Skolem–Noether’s Theorem

LEMMA 2.3.1. *Let  $A$  be a simple  $k$ -algebra. Then two  $A$ -modules of finite dimension over  $k$  are isomorphic if and only if they have the same dimension over  $k$ .*

PROOF. This follows from Proposition 2.1.11. Indeed let  $S$  be a simple  $A$ -module. Then every  $A$ -module  $M$  of finite dimension over  $k$  (which is necessarily finitely generated) is isomorphic to  $S^{\oplus n}$  for some integer  $n$ , which is determined by  $\dim_k M$ .  $\square$

We will need the following notation. Let  $h: B \rightarrow A$  be a morphism of  $k$ -algebras. We define a  $B \otimes_k A^{\text{op}}$ -module  $A^h$ , by setting  $A^h = A$  as a  $k$ -vector space, with the module structure given by letting  $b \otimes a$  act on  $A^h$  by  $x \mapsto h(b)xa$ , where  $b \in B, a \in A^{\text{op}}$ .

LEMMA 2.3.2. *Let  $f, g: B \rightarrow A$  be morphisms of  $k$ -algebras such that  $A^f \simeq A^g$  as  $B \otimes_k A^{\text{op}}$ -modules. Then there exists an element  $u \in A^\times$  such that  $f(b) = u^{-1}g(b)u$  for all  $b \in B$ .*

PROOF. Let  $\varphi: A^f \rightarrow A^g$  be an isomorphism of  $B \otimes_k A^{\text{op}}$ -modules. Set  $u = \varphi(1) \in A$ . For any  $b \in B$ , we have

$$\varphi(f(b)) = \varphi((b \otimes 1)1) = (b \otimes 1)\varphi(1) = g(b)u,$$

$$\varphi(f(b)) = \varphi((1 \otimes f(b))1) = (1 \otimes f(b))\varphi(1) = uf(b).$$

To conclude, we prove that  $v = \varphi^{-1}(1) \in A$  is a two-sided inverse of  $u$ . We have

$$\varphi(vu) = \varphi((1 \otimes u)v) = (1 \otimes u)\varphi(v) = u = \varphi(1),$$

so that  $vu = 1$ , since  $\varphi$  is injective. On the other hand

$$uv = (1 \otimes v)\varphi(1) = \varphi((1 \otimes v)1) = \varphi(v) = 1. \quad \square$$

THEOREM 2.3.3 (Skolem–Noether). *Let  $B$  be a simple  $k$ -algebra and  $A$  a finite-dimensional central simple  $k$ -algebra. If  $f, g: B \rightarrow A$  are morphisms of  $k$ -algebras, there is an element  $u \in A^\times$  such that  $f(b) = u^{-1}g(b)u$  for all  $b \in B$ .*

PROOF. The  $k$ -algebra  $B \otimes_k A^{\text{op}}$  is simple by Proposition 2.2.6. As  $\dim_k A^f = \dim_k A = \dim_k A^g$ , by Lemma 2.3.1 the  $B \otimes_k A^{\text{op}}$ -modules  $A^f$  and  $A^g$  are isomorphic, and the statement follows from Lemma 2.3.2.  $\square$

COROLLARY 2.3.4. *Every automorphism of a finite-dimensional central simple  $k$ -algebra  $A$  is inner, i.e. of the form  $x \mapsto a^{-1}xa$  for some  $a \in A^\times$ .*

### 4. The index

When  $L/k$  is a field extension and  $A$  a  $k$ -algebra, we will denote by  $A_L$  the  $L$ -algebra  $A \otimes_k L$ .

LEMMA 2.4.1. *Let  $A$  be a  $k$ -algebra and  $L/k$  a field extension. Then  $A$  is a finite-dimensional central simple  $k$ -algebra if and only if  $A_L$  is a finite-dimensional central simple  $L$ -algebra.*

PROOF. Since  $\dim_k A = \dim_L A_L$  and  $\mathcal{Z}(A_L) = \mathcal{Z}(A) \otimes_k L$  by Proposition 2.2.4, the  $k$ -algebra  $A$  is finite-dimensional (resp. central) if and only if the  $L$ -algebra  $A_L$  is so. Observe that the ring  $L$  is simple (Remark 2.1.6). Thus the equivalence follows from Proposition 2.2.5 and Proposition 2.2.6.  $\square$

LEMMA 2.4.2. *Every finite-dimensional subalgebra of a division  $k$ -algebra is division.*



PROOF. Let  $D$  be a division  $k$ -algebra, and  $B$  a finite-dimensional subalgebra. Let  $b$  be a nonzero element of  $B$ . The  $k$ -linear map  $B \rightarrow B$  given by left multiplication by  $b$  is injective, because if  $x \in B$  is such that  $bx = 0$ , then  $0 = b^{-1}bx = x$  in  $D$ , hence  $x = 0$  in  $B$ . By dimensional reasons, this map is surjective. Thus the element  $1 \in B$  lies in its image, so there is  $b' \in B$  such that  $bb' = 1$ . Multiplying by  $b^{-1}$  on the left, we deduce that  $b^{-1} = b' \in B$ .  $\square$

PROPOSITION 2.4.3. *If  $k$  is algebraically closed, the only finite-dimensional division  $k$ -algebra is  $k$ .*

PROOF. Let  $D$  be a finite-dimensional division  $k$ -algebra. Pick an element  $x \in D$ . The  $k$ -subalgebra of  $D$  generated by  $x$  is commutative, hence a field by Lemma 2.4.2. It has finite degree over  $k$ , and is thus an algebraic extension of  $k$ . By assumption it must equal  $k$ , hence  $x \in k$ , and finally  $D = k$ .  $\square$

COROLLARY 2.4.4. *If  $k$  is algebraically closed, then every finite-dimensional simple  $k$ -algebra is isomorphic to  $M_n(k)$  for some integer  $n$ .*

PROOF. This follows from Wedderburn's Theorem 2.1.12 and Proposition 2.4.3.  $\square$

COROLLARY 2.4.5. *If  $A$  is a finite-dimensional central simple  $k$ -algebra, the integer  $\dim_k A$  is a square.*

PROOF. Let  $\bar{k}$  be an algebraic closure of  $k$ . The  $\bar{k}$ -algebra  $A_{\bar{k}}$  is finite-dimensional simple by Lemma 2.4.1, hence isomorphic to  $M_n(\bar{k})$  for some integer  $n$  by Corollary 2.4.4. Then  $\dim_k A = \dim_{\bar{k}} A_{\bar{k}} = n^2$ .  $\square$

DEFINITION 2.4.6. When  $A$  is a finite-dimensional central simple  $k$ -algebra, the integer  $d \in \mathbb{N}$  such that  $d^2 = \dim_k A$  is called the *degree* of  $A$  and denoted  $\deg(A)$ . By Wedderburn's Theorem 2.1.12 there is a finite-dimensional central division  $k$ -algebra  $D$  such that  $A \simeq M_n(D)$  for some integer  $n$ , and  $D$  is unique up to isomorphism. The *index* of  $A$ , denoted  $\text{ind}(A)$ , is defined as the degree of  $D$ .

Observe that  $\text{ind}(A)$  divides  $\deg(A)$ , and that if  $A \simeq M_r(B)$  for some integer  $r \geq 1$  and finite-dimensional central simple  $k$ -algebra  $B$ , then  $\text{ind}(A) = \text{ind}(B)$  (recall that  $M_r(M_n(D)) \simeq M_{rn}(D)$ ).

LEMMA 2.4.7. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra, and  $L/k$  a field extension. Then*

$$\text{ind}(A_L) \mid \text{ind}(A).$$

PROOF. Let  $D$  be a central division  $k$ -algebra such that  $A \simeq M_n(D)$  for some integer  $n$ . Then  $A_L \simeq M_n(D_L)$ , hence

$$\text{ind}(A_L) = \text{ind}(D_L) \mid \deg(D_L) = \deg(D) = \text{ind}(A). \quad \square$$

## 5. Splitting fields

DEFINITION 2.5.1. A finite-dimensional central simple  $k$ -algebra is called *split* if it is isomorphic to the matrix algebra  $M_n(k)$  for some integer  $n$ . A field extension  $L/k$  is called a *splitting field* of  $A$  if the  $L$ -algebra  $A_L = A \otimes_k L$  is split.

PROPOSITION 2.5.2. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra, and  $L/k$  be a field extension of finite degree splitting  $A$ . Then*

$$\text{ind}(A) \mid [L : k].$$

PROOF. Let  $d = \text{ind}(A)$  and  $n = [L : k]$ . Let  $D$  be a central division  $k$ -algebra such that  $A \simeq M_t(D)$  for some integer  $t$ . We view  $L$  as a  $k$ -subalgebra of  $\text{End}_k(L) \simeq M_n(k)$  by mapping  $\lambda \in L$  the endomorphism  $x \mapsto \lambda x$  of  $L$ . Then we have inclusions

$$M_d(k) \subset M_d(L) \simeq D \otimes_k L \subset D \otimes_k M_n(k) = M_n(D).$$

Thus we may view  $M_d(k)$  as a subalgebra of  $M_n(D)$ . It is a simple subalgebra by Proposition 2.1.7 (i), hence so is  $B = \mathcal{Z}_{M_n(D)}(M_d(k))$  by Proposition 2.2.10 (i). Then  $\mathcal{Z}_{M_n(D)}(B) = M_d(k)$  by Proposition 2.2.10 (iv). By Proposition 2.2.10 (ii) there exists a division  $k$ -algebra  $E$  and integers  $r, s$  such that  $B \simeq M_r(E)$  and  $M_d(k) \otimes_k M_n(D)^{\text{op}} \simeq M_s(E^{\text{op}})$ . Then  $M_{dn}(D) \simeq M_s(E)$ , so that  $E \simeq D$  by Corollary 2.1.8. It follows that  $B \simeq M_r(D)$ . Setting  $b = \dim_k B$ , we have thus  $b = r^2 d^2$ . By Proposition 2.2.10 (iii) we have  $bd^2 = n^2 d^2$ . Thus  $n^2 = r^2 d^2$ , and  $d \mid n$ .  $\square$

LEMMA 2.5.3. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra, and  $L \subset A$  a subalgebra. Assume that  $L$  is a field. Then  $[L : k] \mid \deg(A)$ , with equality if and only if  $L = \mathcal{Z}_A(L)$ .*

PROOF. Since  $L$  is commutative, we have  $L \subset \mathcal{Z}_A(L)$ . The ring  $L$  being simple (Remark 2.1.6), by Proposition 2.2.10 (iii) we have

$$\deg(A)^2 = [L : k] \cdot \dim_k \mathcal{Z}_A(L) = [L : k]^2 \cdot \dim_L \mathcal{Z}_A(L),$$

from which the statement follows.  $\square$

PROPOSITION 2.5.4. *Let  $D$  be a finite-dimensional central division  $k$ -algebra, and  $L \subset D$  a commutative subalgebra. Then  $L$  is a field, and the following are equivalent:*

- (i)  $L = \mathcal{Z}_D(L)$
- (ii)  $L$  is maximal among the commutative subalgebras of  $D$ .
- (iii)  $[L : k] = \text{ind}(D)$ .
- (iv)  $L$  splits  $D$ .

PROOF. The first assertion follows from Lemma 2.4.2.

(i)  $\iff$  (iii) : This has been proved in Lemma 2.5.3.

(iv)  $\implies$  (iii) : Since  $[L : k] \mid \text{ind}(D)$  by Lemma 2.5.3, this follows from Proposition 2.5.2.

(i)  $\implies$  (ii) : Any commutative  $k$ -subalgebra of  $D$  containing  $L$  must be contained in  $\mathcal{Z}_D(L)$ .

(ii)  $\implies$  (i) : Let  $x \in \mathcal{Z}_D(L)$ . The  $k$ -subalgebra of  $D$  generated by  $L$  and  $x$  is commutative, hence equals  $L$ . Thus  $x \in L$ .

(i)  $\implies$  (iv) : If  $L = \mathcal{Z}_D(L)$ , then  $(D^{\text{op}})_L \simeq \text{End}_L(D)$  by Lemma 2.2.9. Thus  $L$  splits  $D^{\text{op}}$ , hence also  $D$ .  $\square$

DEFINITION 2.5.5. A subalgebra  $L$  satisfying the equivalent conditions of Proposition 2.5.4 is called a *maximal subfield*.

In view of the characterisation (ii) in Proposition 2.5.4, maximal subfields always exist in finite-dimensional central division  $k$ -algebras (by dimensional reasons).

COROLLARY 2.5.6. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra. Then  $A$  is split by a field extension of  $k$  of degree  $\text{ind}(A)$ .*

PROOF. We may assume that  $A$  is division, and use the observation just above.  $\square$

PROPOSITION 2.5.7. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra, and  $L/k$  a field extension of finite degree. Then*

$$\text{ind}(A_L) \mid \text{ind}(A) \mid [L : k] \text{ind}(A_L).$$

PROOF. The first divisibility was established in Lemma 2.4.7. By Corollary 2.5.6, there exists a field extension  $E/L$  splitting the  $L$ -algebra  $A_L$  and such that  $[E : L] = \text{ind}(A_L)$ . Then  $E$  is a splitting field for the  $k$ -algebra  $A$ , and it follows from Proposition 2.5.2 that

$$\text{ind}(A) \mid [E : k] = [L : k][E : L] = [L : k] \text{ind}(A_L). \quad \square$$

COROLLARY 2.5.8. *If  $D$  is a finite-dimensional central division  $k$ -algebra and  $L/k$  a field extension of finite degree coprime to the degree of  $D$ , then  $D_L$  is division.*

PROOF. Proposition 2.5.7 yields

$$\text{ind}(D_L) = \text{ind}(D) = \deg(D) = \deg(D_L),$$

which implies that  $D_L$  is division.  $\square$

PROPOSITION 2.5.9. *Let  $A, B$  be finite-dimensional central simple  $k$ -algebras. Then*

$$\text{ind}(A \otimes_k B) \mid \text{ind}(A) \text{ind}(B) \mid \text{ind}(A \otimes_k B) \gcd(\text{ind}(A)^2, \text{ind}(B)^2).$$

PROOF. Let  $L/k$  be a splitting field for  $A$  such that  $[L : k] = \text{ind}(A)$ . Then  $(A \otimes_k B)_L \simeq M_d(B_L)$ , where  $d = \deg(A)$ , hence  $\text{ind}((A \otimes_k B)_L) = \text{ind}(B_L)$ . Applying Proposition 2.5.7 to the algebra  $A \otimes_k B$ , and Lemma 2.4.7 to the algebra  $B$  yields

$$\text{ind}(A \otimes_k B) \mid [L : k] \text{ind}((A \otimes_k B)_L) = \text{ind}(A) \text{ind}(B_L) \mid \text{ind}(A) \text{ind}(B),$$

proving the first divisibility. Applying Proposition 2.5.7 to the algebra  $B$ , and Proposition 2.5.7 to the algebra  $A \otimes_k B$  yields

$$\text{ind}(B) \mid [L : k] \text{ind}(B_L) = \text{ind}(A) \text{ind}((A \otimes_k B)_L) \mid \text{ind}(A) \text{ind}(A \otimes_k B).$$

Similarly  $\text{ind}(A) \mid \text{ind}(B) \text{ind}(A \otimes_k B)$ , and the second divisibility follows.  $\square$

COROLLARY 2.5.10. *If  $D, D'$  are finite-dimensional central division  $k$ -algebras of coprime degrees, then  $D \otimes_k D'$  is division.*

PROOF. Proposition 2.5.9 yields

$$\text{ind}(D \otimes_k D') = \text{ind}(D) \text{ind}(D') = \deg(D) \deg(D') = \deg(D \otimes_k D'),$$

which implies that  $D \otimes_k D'$  is division.  $\square$

PROPOSITION 2.5.11. *Let  $D$  be a finite-dimensional division  $k$ -algebra. If  $D$  is not commutative, then  $D$  contains a nontrivial separable field extension of  $k$ .*

PROOF. By Lemma 2.4.2, the  $k$ -subalgebra generated by any element of  $D - k$  is a field (being commutative). Assume for a contradiction that  $D - k$  contains no element separable over  $k$ . Let  $d \in D$ . Since  $D$  is finite-dimensional over  $k$ , there is a nonzero polynomial  $P \in k[X]$  such that  $P(d) = 0$ . Since  $D$  contains no nonzero zerodivisors (being division), we may assume that  $P$  is irreducible. The field  $k$  has characteristic

$p > 0$ , and we may find a power  $q$  of  $p$  such that  $P(X) = Q(X^q)$ , where  $Q \in k[Y]$  and  $Q \notin k[Y^p]$ . The polynomial  $Q$  is irreducible (because  $P$  is so), hence separable (as it does not lie in  $k[Y^p]$ ). Since  $Q(d^q) = 0$ , we must have  $d^q \in k$ , by our assumption.

Let now  $a \in D$  be such that  $a \notin \mathcal{Z}(D)$ . Consider the  $k$ -algebra automorphism  $\sigma: D \rightarrow D$  given by  $x \mapsto axa^{-1}$ . As we have just seen, there is a power  $q$  of  $p$  such that  $a^q \in k$ , so that  $\sigma^q = \text{id}$ . We thus have  $(\sigma - \text{id})^q = \sigma^q - \text{id} = 0$ , since  $k$  has characteristic  $p$ . Let  $f$  be the largest integer such that  $(\sigma - \text{id})^f \neq 0$ , and let  $c \in D$  be such that  $(\sigma - \text{id})^f(c) \neq 0$ . Since  $a \notin \mathcal{Z}(D)$ , we have  $\sigma \neq \text{id}$ , and thus  $f \geq 1$ . Let  $x = (\sigma - \text{id})^{f-1}(c)$  and  $y = (\sigma - \text{id})^f(c) = \sigma(x) - x$ . Since  $(\sigma - \text{id})^{f+1} = 0$ , we have  $\sigma(y) = y$ . Set  $z = y^{-1}x$ . Then

$$\sigma(z) = \sigma(y)^{-1}\sigma(x) = y^{-1}(y + x) = 1 + z.$$

As we have seen above, there is a power  $r$  of  $p$  such that  $z^r \in k$ . Then

$$z^r = \sigma(z^r) = \sigma(z)^r = (1 + z)^r = 1 + z^r$$

(as  $k$  has characteristic  $p$ ), a contradiction.  $\square$

**COROLLARY 2.5.12.** *Assume that  $k$  is separably closed (i.e. admits no nontrivial separable extension). Then every finite-dimensional division  $k$ -algebra is commutative. In particular, every finite-dimensional central simple  $k$ -algebra splits.*

**PROOF.** The first statement follows from Proposition 2.5.11. In particular  $k$  is the only finite-dimensional central division  $k$ -algebra, which implies the second statement by Wedderburn's Theorem 2.1.12.  $\square$

**THEOREM 2.5.13 (Köthe).** *Every finite-dimensional central division  $k$ -algebra contains a maximal subfield which is separable over  $k$ .*

**PROOF.** Recall that every commutative subalgebra of  $D$  is a field by Lemma 2.4.2. Let  $L$  be a commutative subalgebra of  $D$ , which is maximal among those which are separable as a field extension of  $k$ . As  $L$  is commutative, we have  $L \subset \mathcal{Z}_D(L)$ . The  $L$ -algebra  $\mathcal{Z}_D(L)$  is division by Lemma 2.4.2, and central by Proposition 2.2.10 (v). If  $L \subsetneq \mathcal{Z}_D(L)$ , then we find a separable extension  $L \subsetneq L' \subset \mathcal{Z}_D(L)$  by Proposition 2.5.11, contradicting the maximality of  $L$ . Thus  $L = \mathcal{Z}_D(L)$ , and  $L$  is a maximal subfield.  $\square$

**COROLLARY 2.5.14.** *Let  $A$  be a finite-dimensional central simple  $k$ -algebra. Then  $A$  is split by a separable field extension of  $k$  of degree  $\text{ind}(A)$ .*

**PROOF.** We may assume that  $A$  is division, in which case the statement follows from Theorem 2.5.13 (in view of Proposition 2.5.4).  $\square$

We conclude this section with two classical results concerning division algebras over specific fields.

**THEOREM 2.5.15 (Wedderburn, 1905).** *Every division ring of finite cardinality is a field.*

**PROOF.** Let  $k$  be the center  $D$ ; it is a field by Lemma 2.2.2. Then  $D$  is a finite-dimensional central division  $k$ -algebra; let  $n$  be its degree. Let  $q$  be the cardinality of  $k$ . Let  $L$  be a maximal subfield of  $D$ . Then  $L/k$  is a field extension of degree  $n$  by Proposition 2.5.4 (iii), and such an extension is isomorphic to the splitting field of the polynomial  $X^{q^n} - X \in k[X]$  by the theory of finite fields. Therefore if  $L'$  is another maximal subfield of  $D$ , there exists an isomorphism of  $k$ -algebras  $\sigma: L \rightarrow L'$ . Applying

Skolem–Noether’s Theorem 2.3.3 to the pair of morphisms  $L \subset D$  and  $L \xrightarrow{\sigma} L' \subset D$  shows that there is  $d \in D^\times$  such that  $L' = \sigma(L) = dLd^{-1} \subset D$ . Thus the group  $D^\times$  act transitively on the set of maximal subfields, by conjugation. The set  $N = \{d \in D^\times \mid dLd^{-1} = L\}$  is a subgroup of  $D^\times$ , and the number of maximal subfields is  $[D^\times : N]$ . Since any element of  $D$  is contained in a maximal subfield (by Proposition 2.5.4 (ii)), the set  $D^\times - \{1\}$  is the union of the sets  $L'^\times - \{1\}$ , where  $L'$  runs over the maximal subfields of  $D$ . Thus

$$[D^\times : N] \cdot (|L^\times| - 1) \geq |D^\times| - 1 = [D^\times : N] \cdot |N| - 1.$$

Since  $N$  contains  $L^\times$ , we must have  $[D^\times : N] = 1$  and  $L^\times = N$ . We deduce that  $D = L$ , hence  $D$  is commutative.  $\square$

**THEOREM 2.5.16** (Frobenius, 1877). *Every finite-dimensional division  $\mathbb{R}$ -algebra is isomorphic to  $\mathbb{R}$ , or to  $\mathbb{C}$ , or to the quaternion  $\mathbb{R}$ -algebra  $(-1, -1)$ .*

**PROOF.** Let  $D$  be a finite-dimensional division  $\mathbb{R}$ -algebra, and  $k$  its center. Then  $k$  is a finite extension of  $\mathbb{R}$ , hence  $k = \mathbb{R}$  or  $k \simeq \mathbb{C}$ . In the latter case, we have  $D \simeq \mathbb{C}$  by Proposition 2.4.3. So we may assume that  $k = \mathbb{R}$ . Then  $D$  splits over the degree two extension  $\mathbb{C}$  of  $\mathbb{R}$  (by Corollary 2.4.4) hence  $\text{ind}(D) \in \{1, 2\}$  by Proposition 2.5.2. If  $\text{ind}(D) = 1$ , then  $D = \mathbb{R}$ . Otherwise  $D$  is a quaternion  $\mathbb{R}$ -algebra by Corollary 1.2.6; such an algebra is division if and only if it is isomorphic to  $(-1, -1)$  by Example 1.1.17.  $\square$

## 6. The Brauer group, I

**DEFINITION 2.6.1.** Two finite-dimensional central simple  $k$ -algebras  $A, B$  are called *Brauer equivalent* if there are integers  $m, n$  and an isomorphism of  $k$ -algebras  $M_n(A) \simeq M_m(B)$ .

This defines an equivalence relation on the set of isomorphism classes of finite-dimensional central simple  $k$ -algebras (recall that  $M_n(M_m(R)) \simeq M_{nm}(R)$  for any ring  $R$ ). Let us denote by  $[A]$  the Brauer-equivalence class of a finite-dimensional central simple  $k$ -algebra  $A$ . In view of Proposition 2.2.8, the operation  $([A], [B]) \mapsto A \otimes_k B$  endows the set of equivalence classes with the structure of an abelian group, where

$$0 = [k] \quad , \quad [A] + [B] = [A \otimes_k B] \quad , \quad -[A] = [A^{\text{op}}].$$

**DEFINITION 2.6.2.** The group of Brauer-equivalence classes is called the *Brauer group* of  $k$ , and is denoted by  $\text{Br}(k)$ .

By Wedderburn’s Theorem 2.1.12, each element of  $\text{Br}(k)$  is represented by a finite-dimensional central division  $k$ -algebra, which is unique up to isomorphism.

**EXAMPLE 2.6.3.** It follows respectively from Corollary 2.5.12, Theorem 2.5.15 and Theorem 2.5.16 that:

- (i)  $\text{Br}(k) = 0$  when  $k$  is separably closed.
- (ii)  $\text{Br}(k) = 0$  when  $k$  is finite.
- (iii)  $\text{Br}(\mathbb{R}) = \mathbb{Z}/2$ .

**PROPOSITION 2.6.4.** *Let  $A, B$  be finite-dimensional central simple  $k$ -algebras such that  $[B]$  belongs to the subgroup generated by  $[A]$  in  $\text{Br}(k)$ . Then  $\text{ind}(B) \mid \text{ind}(A)$ .*

PROOF. There is an integer  $i$  such that  $A^{\otimes i}$  is Brauer-equivalent to  $B$ , which implies that  $\text{ind}(A^{\otimes i}) = \text{ind}(B)$ , by the definition of the index. By Corollary 2.5.6, we may find an extension  $L/k$  of degree  $\text{ind}(A)$  splitting  $A$ . Then  $L$  splits  $A^{\otimes i}$ , hence by Lemma 2.4.7

$$\text{ind}(B) = \text{ind}(A^{\otimes i}) \mid [L : k] = \text{ind}(A). \quad \square$$

COROLLARY 2.6.5. *The index of a finite-dimensional central simple  $k$ -algebra  $A$  depends only on the subgroup of  $\text{Br}(k)$  generated by  $[A]$ .*

DEFINITION 2.6.6. If  $L/k$  is a field extension, we denote by  $\text{Br}(L/k)$  the subgroup of  $\text{Br}(k)$  consisting of those classes of algebras split by  $L$ .

Observe that, if  $L/k$  is a field extension, then the map  $\text{Br}(k) \rightarrow \text{Br}(L)$  given by  $[A] \mapsto [A \otimes_k L]$  is a group morphism, whose kernel is  $\text{Br}(L/k)$ .

We will use the following observation:

REMARK 2.6.7. Let  $A \neq k$  be a split finite-dimensional central simple algebra. Then  $A$  contains an element  $x \neq 0$  such that  $x^2 = 0$ . Indeed we may assume that  $A = M_r(k)$  for some  $r > 1$ , and then take for  $x$  the matrix whose only nontrivial entry is 1 in the upper right corner.

LEMMA 2.6.8. *Let  $L/k$  be a field extension. Then*

$$\text{Br}(L/k) = \bigcup_K \text{Br}(K/k) \subset \text{Br}(k),$$

where  $K$  runs over the finitely generated field extensions of  $k$  contained in  $L$ .

PROOF. We show that every finite-dimensional central division  $k$ -algebra  $D$  splitting over  $L$  splits over a finitely generated subextension of  $L$ , proceeding by induction on the degree of  $D$  (for all fields  $k$  simultaneously). We may assume that  $D \neq k$ . Then  $D \otimes_k L$  contains an element  $x \neq 0$  such that  $x^2 = 0$  (Remark 2.6.7). Writing  $x = d_1 \otimes \lambda_1 + \dots + d_n \otimes \lambda_n$ , where  $d_1, \dots, d_n \in D$  and  $\lambda_1, \dots, \lambda_n \in L$ , we see that  $x$  belongs to  $D \otimes_k K'$ , where  $K'$  is the subextension of  $L$  generated by  $\lambda_1, \dots, \lambda_n$ . Then  $D \otimes_k K'$  is not division (as it contains the nonzero noninvertible element  $x$ ), hence is Brauer equivalent to a central division algebra of strictly smaller degree, by Wedderburn's Theorem 2.1.12. So by induction it splits over a finitely generated extension  $K$  of  $K'$ . Then  $K$  is a finitely generated extension of  $k$  splitting  $D$ .  $\square$

PROPOSITION 2.6.9. *If  $L$  is a purely transcendental extension of  $k$ , then  $\text{Br}(L/k) = 0$ .*

PROOF. By Lemma 2.6.8, we may assume that  $L = k(t_1, \dots, t_n)$ , and using induction we reduce to the case  $n = 1$ , that is  $L = k(t)$ . Let  $D \neq k$  be a finite-dimensional central division  $k$ -algebra which splits over  $k(t)$ . Then  $D \otimes_k k(t)$  contains an element  $x \neq 0$  such that  $x^2 = 0$  (Remark 2.6.7). We may write

$$x = \sum_{i=1}^n d_i \otimes (f_i/g_i)$$

where  $d_i \in D$  and  $f_i, g_i \in k(t)$  for all  $i$ . Choosing such a decomposition with  $n$  minimal, we see that the elements  $d_i \in D$  must be linearly independent over  $k$ . Multiplying  $x$  with an appropriate element of  $k[t]$ , we may assume that  $g_1 = \dots = g_n = 1$ , and that there is

$j \in \{1, \dots, n\}$  such that  $f_j$  is not divisible by  $t$ . In particular  $x \in D \otimes_k k[t]$ . Consider the  $k$ -linear map  $e: D \otimes_k k[t] \rightarrow D$  given by  $d \otimes f \mapsto df(0)$ . Then

$$e(x) = \sum_{i=1}^n d_i f_i(0) \in D$$

is nonzero (as the elements  $d_i$  are linearly independent over  $k$  and  $f_j(0) \neq 0$ ). As  $e$  is a ring morphism, we have  $e(x)^2 = e(x^2) = 0$ . Thus  $e(x)$  is a nonzero noninvertible element of the division algebra  $D$ , a contradiction.  $\square$

## **Part 2**

# **Torsors**





## CHAPTER 3

## Galois descent

In this chapter, we develop the tools permitting to work with the absolute Galois group, which is almost always infinite. It is however profinite, and such groups carry a nontrivial topology. Compared with finite Galois theory, the key point is that one must systematically keep track of this topology, and in particular restrict one's attention to continuous actions of the Galois group. Although most arguments involving the absolute Galois group can ultimately be reduced to finite Galois theory, this point of view is extremely useful, and permits a very convenient formulation of many results and proofs.

The chapter concludes with a basic treatment of Galois descent, a technique that will be ubiquitous in the sequel. The general philosophy is that extending scalars to a separable closure is a reversible operation, as long as one keeps track of the action of the absolute Galois group.

## 1. Profinite sets

DEFINITION 3.1.1. An *inverse system* of sets consists of:

- a nonempty, partially ordered set  $(A, \leq)$ ,
- for each  $\alpha \in A$  a set  $E_\alpha$ ,
- for each  $\alpha \leq \beta$  in  $A$  a map  $f_{\beta\alpha}: E_\beta \rightarrow E_\alpha$  (called *transition map*).

These data must satisfy the following conditions:

- (i) For each  $\alpha, \beta \in A$ , there is  $\gamma \in A$  such that  $\alpha \leq \gamma$  and  $\beta \leq \gamma$ .
- (ii) For each  $\alpha \in A$ , we have  $f_{\alpha\alpha} = \text{id}_{E_\alpha}$ .
- (iii) For each  $\alpha \leq \beta \leq \gamma$ , we have  $f_{\beta\alpha} \circ f_{\gamma\beta} = f_{\gamma\alpha}$ .

DEFINITION 3.1.2. The *inverse limit* of an inverse system  $(E_\alpha, f_{\beta\alpha})$  is defined as

$$E = \varprojlim E_\alpha = \left\{ (e_\alpha) \in \prod_{\alpha \in A} E_\alpha \text{ such that } f_{\beta\alpha}(e_\beta) = e_\alpha \text{ for all } \alpha \leq \beta \text{ in } A \right\}.$$

It is equipped with projections maps  $\pi_\alpha: E \rightarrow E_\alpha$  for every  $\alpha \in A$ , such that  $f_{\beta\alpha} \circ \pi_\beta = \pi_\alpha$  for all  $\alpha \leq \beta$ . It enjoys the following universal property: if  $s_\alpha: S \rightarrow E_\alpha$  is a collection of maps satisfying  $f_{\beta\alpha} \circ s_\beta = s_\alpha$  for all  $\alpha \leq \beta$ , then there is a unique map  $s: S \rightarrow E$  such that  $s_\alpha = \pi_\alpha \circ s$  for all  $\alpha \in A$ .

Observe that  $(E_\alpha), (E'_\alpha)$  are inverse system indexed by the same set  $A$  and  $E'_\alpha \rightarrow E_\alpha$  are maps compatible with the transition maps, there is a unique morphism  $\varprojlim E'_\alpha \rightarrow \varprojlim E_\alpha$  compatible with the projection maps.

DEFINITION 3.1.3. A *profinite set*  $E$  is an inverse limit of finite sets  $E_\alpha$ . It is endowed with the *profinite topology*, which is generated by open subsets of the form  $\pi_\alpha^{-1}\{x\}$  for  $\alpha \in A$  and  $x \in E_\alpha$ , where  $\pi_\alpha: E \rightarrow E_\alpha$  is the projection map.

Let us fix an inverse system of finite sets  $E_\alpha$  for  $\alpha \in A$ , with transition maps  $f_{\alpha\beta}$ , inverse limit  $E$ , and projection maps  $\pi_\alpha: E \rightarrow E_\alpha$ .

LEMMA 3.1.4. *Every open subset of  $E$  is a union of subsets of the form  $\pi_\alpha^{-1}\{x\}$  where  $\alpha \in A$  and  $x \in E_\alpha$ .*

PROOF. Let  $U \subset E$  be an open subset, and  $u \in U$ . By definition of the topology, there are  $\alpha_1, \dots, \alpha_n \in A$  and  $x_i \in E_{\alpha_i}$  for  $i = 1, \dots, n$  such that  $\pi_{\alpha_1}^{-1}\{x_1\} \cap \dots \cap \pi_{\alpha_n}^{-1}\{x_n\}$  is contained in  $U$  and contains  $u$ . Let us choose  $\alpha \in A$  such that  $\alpha_i \leq \alpha$  for all  $i \in \{1, \dots, n\}$ . Set  $x = \pi_\alpha(u)$ . Then  $u \in \pi_\alpha^{-1}\{x\}$ . On the other hand  $\pi_\alpha^{-1}\{x\} \subset \pi_{\alpha_i}^{-1}\{x_i\}$  for all  $i$ , hence  $\pi_\alpha^{-1}\{x\} \subset U$ .  $\square$

LEMMA 3.1.5. *The inverse limit of an inverse system of nonempty finite sets is nonempty.*

PROOF. Assume that each  $E_\alpha$  is nonempty. Let us define a subsystem as a collection of subsets  $T_\alpha \subset E_\alpha$  for each  $\alpha \in A$  such that  $f_{\beta\alpha}(T_\beta) \subset T_\alpha$  for each  $\alpha \leq \beta$ . Consider the set  $\mathcal{T}$  of all subsystems  $(T_\alpha)$  such that each  $T_\alpha$  is nonempty. We may order such subsystems by inclusion. Consider a totally ordered family of subsystems  $(T_\alpha)_i \in \mathcal{T}$ , for  $i \in I$ . For a fixed  $\alpha \in A$ , let us set  $S_\alpha = \bigcap_{i \in I} (T_\alpha)_i$ . Since each  $(T_\alpha)_i$  is nonempty, so is  $S_\alpha$  (here we use the finiteness of  $E_\alpha$ ), and therefore  $S_\alpha \in \mathcal{T}$ . Thus by Zorn's lemma, there is a (possibly nonunique) minimal element of  $(T_\alpha) \in \mathcal{T}$ .

Consider the subsystem  $(T'_\alpha)$  defined by  $T'_\alpha = \bigcap_{\alpha \leq \beta} f_{\beta\alpha}(T_\beta)$ . Let  $\alpha \in A$ . Since  $T_\alpha$  is finite, we may write  $T'_\alpha = f_{\beta_1\alpha}(T_{\beta_1}) \cap \dots \cap f_{\beta_n\alpha}(T_{\beta_n})$  where  $\alpha \leq \beta_i$  for  $i = 1, \dots, n$ . Choose  $\beta \in A$  such that  $\beta_i \leq \beta$  for all  $i = 1, \dots, n$ . Then  $T'_\alpha$  contains the set  $f_{\beta\alpha}(T_\beta)$  which is nonempty, since  $T_\beta$  is nonempty. We have proved that  $(T'_\alpha) \in \mathcal{T}$ . By minimality of  $(T_\alpha)$ , we deduce that  $(T'_\alpha) = (T_\alpha)$ ; in other words the maps  $T_\beta \rightarrow T_\alpha$  for  $\alpha \leq \beta$  are surjective.

Now let us fix  $\gamma \in A$  and  $x \in T_\gamma$ . For  $\alpha \in A$ , we set

$$S_\alpha = \begin{cases} \text{preimage of } \{x\} \text{ under } T_\alpha \rightarrow T_\gamma & \text{if } \gamma \leq \alpha, \\ T_\alpha & \text{otherwise.} \end{cases}$$

Then  $(S_\alpha)$  is a subsystem contained in  $(T_\alpha)$ . By surjectivity of the maps  $T_\alpha \rightarrow T_\gamma$  when  $\gamma \leq \alpha$ , it follows that  $(S_\alpha) \in \mathcal{T}$ . By minimality of  $(T_\alpha)$ , we deduce that  $(S_\alpha) = (T_\alpha)$ . We have  $S_\gamma = \{x\}$ , and thus  $T_\gamma = \{x\}$ . We have proved that each  $T_\alpha$  is a singleton, say  $T_\alpha = \{x_\alpha\}$ . The elements  $x_\alpha \in E_\alpha$  then define an element of  $\varprojlim E_\alpha$ .  $\square$

PROPOSITION 3.1.6. *Every profinite set is compact.*

PROOF. Let  $U_i$  for  $i \in I$  be a family of open subsets covering  $E$ . We need to find a finite subset  $J \subset I$  such that the subsets  $U_i$  for  $i \in J$  cover  $E$ . While doing so, by Lemma 3.1.4 we may assume that each  $U_i$  is of the form  $\pi_{\alpha_i}^{-1}\{x_i\}$ , where  $\alpha_i \in A$  and  $x_i \in E_{\alpha_i}$ .

For each  $\alpha \in A$ , let  $F_\alpha \subset E_\alpha$  be the subset consisting of those elements  $x$  such that  $f_{\alpha\alpha_i}(x) \neq x_i$  for every  $i \in I$  such that  $\alpha_i \leq \alpha$ . Then for any  $\alpha \leq \beta$ , we have  $f_{\beta\alpha}(F_\beta) \subset F_\alpha$ , hence the sets  $F_\alpha$  for  $\alpha \in A$  form an inverse system, whose transition maps are the restrictions of the maps  $f_{\beta\alpha}$ .

Assume that  $F_\alpha = \emptyset$  for some  $\alpha \in A$ . Then  $E_\alpha$  is covered by subsets of the form  $V_i = f_{\alpha\alpha_i}^{-1}\{x_i\}$ . As  $E_\alpha$  is finite, it is covered by finitely many such subsets, and thus

$E = \pi_\alpha^{-1}E_\alpha$  is covered by finitely many subsets of the form  $\pi_\alpha^{-1}V_i = U_i$ . Thus we are done in this case.

Therefore we may assume that  $F_\alpha \neq \emptyset$  for each  $\alpha \in A$ . Then  $\varprojlim F_\alpha$  contains an element by Lemma 3.1.5. Its image in  $y \in E$  satisfies  $\pi_\alpha(y) \in F_\alpha \subset E_\alpha$  for all  $\alpha \in A$ , and in particular  $y$  belongs to no  $U_i$ . This contradicts the fact the subsets  $U_i$  for  $i \in I$  cover  $E$ .  $\square$

LEMMA 3.1.7. *Assume that each  $E_\alpha$  is finite, and that the transition maps  $E_\beta \rightarrow E_\alpha$  for  $\alpha \leq \beta$  are surjective. Then the projection maps  $\pi_\alpha: E \rightarrow E_\alpha$  are surjective.*

PROOF. Fix  $\gamma \in A$  and  $x \in E_\gamma$ . Define an inverse system by

$$F_\alpha = \begin{cases} \text{preimage of } \{x\} \text{ under } E_\alpha \rightarrow E_\gamma & \text{if } \gamma \leq \alpha, \\ E_\alpha & \text{otherwise.} \end{cases}$$

Then each  $F_\alpha$  is nonempty and finite, hence  $\varprojlim F_\alpha$  contains an element by Lemma 3.1.5. Its image in  $y \in E$  satisfies  $\pi_\gamma(y) = x$ .  $\square$

## 2. Profinite groups

DEFINITION 3.2.1. When each  $E_\alpha$  appearing in Definition 3.1.1 is a group and the transition maps  $f_{\beta\alpha}$  are group morphisms, we say that  $E_\alpha$  is an *inverse system of groups*. Its inverse limit is naturally a group, and the projections maps  $\pi_\alpha$  are group morphisms. Such an inverse limit is called a *profinite group* when each  $E_\alpha$  is finite.

EXAMPLE 3.2.2. Every finite group is a profinite group, whose topology is discrete (take for  $A$  a singleton).

EXAMPLE 3.2.3. Let  $p$  be a prime number. The groups  $\mathbb{Z}/p^n\mathbb{Z}$  for  $n \in \mathbb{N}$ , together with the maps  $\mathbb{Z}/p^n\mathbb{Z} \rightarrow \mathbb{Z}/p^m\mathbb{Z}$  for  $m \leq n$  given by  $(1 \bmod p^n) \mapsto (1 \bmod p^m)$  yield an inverse system of groups, whose limit is the profinite group denoted by  $\mathbb{Z}_p$ .

EXAMPLE 3.2.4. The groups  $\mathbb{Z}/n\mathbb{Z}$  for  $n \in \mathbb{N}$ , together with the maps  $\mathbb{Z}/n\mathbb{Z} \rightarrow \mathbb{Z}/m\mathbb{Z}$  for  $m \mid n$  given by  $(1 \bmod n) \mapsto (1 \bmod m)$  yield an inverse system of groups, whose limit is the profinite group denoted by  $\widehat{\mathbb{Z}}$ .

We fix an inverse system of groups  $\Gamma_\alpha$  for  $\alpha \in A$ , with transition morphisms  $f_{\beta\alpha}: \Gamma_\beta \rightarrow \Gamma_\alpha$  when  $\alpha \leq \beta$ , set  $\Gamma = \varprojlim \Gamma_\alpha$ , and denote by  $\pi_\alpha: \Gamma \rightarrow \Gamma_\alpha$  the projections. We also define the subgroups  $U_\alpha = \ker \pi_\alpha$ .

- LEMMA 3.2.5. (i) *Let  $U \subset \Gamma$  be an open subset and  $u \in U$ . Then there is an index  $\alpha$  such that  $uU_\alpha \subset U$ .*  
(ii) *A subgroup of  $\Gamma$  is open if and only if it is closed and of finite index.*  
(iii) *If a subgroup of  $\Gamma$  contains an open subgroup, it is open.*

PROOF. (i) : By Lemma 3.1.4 there are  $\alpha \in A$  and  $x \in E_\alpha$  such that  $\pi_\alpha^{-1}\{x\}$  is contained in  $U$  and contains  $u$ . Then  $uU_\alpha \subset \pi_\alpha^{-1}\{x\}$ .

(ii) : Let  $U \subset \Gamma$  be an open subgroup, and  $S$  its complement in  $\Gamma$ . Then  $S$  is the union of the subsets  $\gamma U$  for  $\gamma \in S$ . Such subsets are homeomorphic to  $U$ , hence open, so that  $S$  is open, proving that  $U$  is closed. By (i) (with  $u = 1$ ) the subgroup  $U$  contains  $U_\alpha$  for some  $\alpha \in A$ . Certainly  $U_\alpha$  has finite index in  $\Gamma$  (since  $\Gamma/U_\alpha \simeq \Gamma_\alpha$ ), so that  $U$  has finite index in  $\Gamma$ .

Let now  $H \subset \Gamma$  be a closed subgroup of finite index. Its complement is the union of subsets  $\gamma H$  where  $\gamma$  runs over a finite subset of  $\Gamma$  (a set of representatives of  $\Gamma/H$ ), hence is closed. Thus  $H$  is open.

(iii) : Let  $H \subset \Gamma$  be a subgroup containing an open subgroup  $U$ . Then  $H = HU = \bigcup_{h \in H} hU$  is open, since each  $hU$  is open, being homeomorphic to  $U$ .  $\square$

The next lemma shows that any profinite group admits a canonical representation as an inverse limit. We let  $\mathcal{U}$  be the set of open normal subgroups of  $\Gamma$ , ordered by letting  $U \leq V$  when  $V \subset U$ .

LEMMA 3.2.6. *The groups  $\Gamma/U$  for  $U \in \mathcal{U}$  form an inverse system of finite groups, whose inverse limit is isomorphic to  $\Gamma$ , as a topological group.*

PROOF. The finiteness of the groups  $\Gamma/U$  for  $U \in \mathcal{U}$  was observed in Lemma 3.2.5 (ii). Any pair of elements  $U, U' \in \mathcal{U}$  contain the common element  $U \cap U' \in \mathcal{U}$ . It follows that the groups  $\Gamma/U$  for  $U \in \mathcal{U}$  form an inverse system. Let  $\Gamma'$  be their inverse limit, and  $\pi_U: \Gamma' \rightarrow \Gamma/U$  the projection maps. The quotient morphisms  $q_U: \Gamma \rightarrow \Gamma/U$  induce a morphism  $\rho: \Gamma \rightarrow \Gamma'$  such that  $\pi_U \circ \rho = q_U$  for all  $U \in \mathcal{U}$ . For any such  $U$  and  $x \in U$ , the subset  $\rho^{-1}\pi_U^{-1}\{x\} = q_U^{-1}\{x\}$  is homeomorphic to  $U$ , hence open in  $\Gamma$ , showing that  $\rho$  is continuous. Conversely, there is a unique group morphism  $\theta: \Gamma' \rightarrow \Gamma$  such that  $\pi_\alpha \circ \theta = \pi_{U_\alpha}$  for all  $\alpha \in A$ . For all  $\alpha \in A$  and  $x \in \Gamma_\alpha$ , the subset  $\theta^{-1}\pi_\alpha^{-1}\{x\} = \pi_{U_\alpha}^{-1}\{x\}$  is open in  $\Gamma'$ , showing that  $\theta$  is continuous. Note that  $U \in \mathcal{U}$  contains the subgroup  $U_\alpha$  for some  $\alpha \in A$  (by Lemma 3.2.5 (i) with  $u = 1$ ), and that, letting  $p: \Gamma_\alpha \rightarrow \Gamma/U$  be the quotient map,

$$q_U \circ \theta = p \circ \pi_\alpha \circ \theta = p \circ \pi_{U_\alpha} = \pi_U.$$

Therefore  $\pi_U \circ \rho \circ \theta = \pi_U$  for all  $U \in \mathcal{U}$ , and  $\pi_\alpha \circ \theta \circ \rho = \pi_\alpha$  for all  $\alpha \in A$ . The universal property of the inverse limit then implies that  $\rho \circ \theta = \text{id}_{\Gamma'}$ , and  $\theta \circ \rho = \text{id}_\Gamma$ .  $\square$

DEFINITION 3.2.7. Let  $p$  be a prime. A  $p$ -group is finite group whose order is a power of  $p$ . A *pro- $p$ -group* is an inverse limit of  $p$ -groups. A subgroup  $P$  of  $\Gamma$  is called a *pro- $p$ -Sylow subgroup* if all the following conditions are satisfied:

- (i)  $P$  is a closed subgroup of  $\Gamma$ ,
- (ii)  $P$  is a pro- $p$ -group,
- (iii) for every open normal subgroup  $U$  of  $\Gamma$ , the image of  $P$  in  $\Gamma/U$  has index prime to  $p$ .

Observe that a finite quotient of pro- $p$ -group is a  $p$ -group. Therefore if  $P$  is a pro- $p$ -Sylow subgroup of  $\Gamma$ , then the image of  $P$  in  $\Gamma/U$  is a  $p$ -group, for every open normal subgroup  $U$  of  $\Gamma$ .

PROPOSITION 3.2.8. *The profinite group  $\Gamma$  admits a pro- $p$ -Sylow subgroup.*

PROOF. By Lemma 3.2.6, we may identify  $\Gamma$  with  $\varprojlim (\Gamma/U)$  for  $U \in \mathcal{U}$ . For each  $U \in \mathcal{U}$  of  $\Gamma$ , let  $S_U$  be the set of  $p$ -Sylow subgroups of  $\Gamma/U$ , which is finite and nonempty by Sylow's Theorem. If  $U \leq V$  in  $\mathcal{U}$ , the map  $\Gamma/V \rightarrow \Gamma/U$  sends elements of  $S_V$  to elements of  $S_U$ , because the image of a  $p$ -Sylow subgroup under a surjective morphism is a  $p$ -Sylow subgroup (exercise). Thus the sets  $S_U$  form an inverse system, whose inverse limit  $S$  is nonempty by Lemma 3.1.5. Any element of  $S$  is represented by a collection of  $p$ -Sylow subgroups  $P_U \subset \Gamma/U$  for  $U \in \mathcal{U}$ , such that for any  $U \leq V$  in  $\mathcal{U}$  the morphism  $\Gamma/V \rightarrow \Gamma/U$  maps  $P_V$  onto  $P_U$ . The group  $P = \varprojlim P_U$  is naturally a subgroup of  $\Gamma$ ,

and is a pro- $p$ -group. Since  $P$  is the intersection in  $\Gamma$  of the preimages of  $P_U \subset \Gamma/U$  for  $U \in \mathcal{U}$  (by construction of the inverse limit), it is closed in  $\Gamma$ . It follows from Lemma 3.1.7 (applied to the system  $P_U$  for  $U \in \mathcal{U}$ ) that for each  $U \in \mathcal{U}$  the image of  $P$  in  $\Gamma/U$  is the  $p$ -Sylow subgroup  $P_U$ , and in particular has index prime to  $p$ .  $\square$

LEMMA 3.2.9. *Let  $X$  be a set with an action of the profinite group  $\Gamma$ . The following conditions are equivalent:*

- (i) *The action morphism  $\Gamma \times X \rightarrow X$  is continuous, for the discrete topology on  $X$ .*
- (ii) *Every element of  $X$  is fixed by an open subgroup of  $\Gamma$ .*
- (iii) *Every finite subset of  $X$  is elementwise fixed by an open subgroup of  $\Gamma$ .*

PROOF. (i)  $\Rightarrow$  (ii): Let  $x \in X$ , and  $U$  be the preimage of  $x$  under the map  $\Gamma \rightarrow E$  given by  $g \mapsto g \cdot x$ , which is continuous by (i). Then  $U$  is an open subgroup in  $\Gamma$ , hence has finite index by Lemma 3.2.5 (iii).

(ii)  $\Rightarrow$  (i): For  $x, y \in X$ , we denote by  $U_{x,y}$  the subset of  $\Gamma$  consisting of those elements  $\gamma$  such that  $\gamma x = y$ . The set  $U_{x,y}$  is either empty, or equal to  $\gamma U_{x,x}$  for some (in fact, any)  $\gamma \in U_{x,y}$ . The subgroup  $U_{x,x} \subset \Gamma$  contains an open subgroup by (ii), hence is open by Lemma 3.2.5. Thus  $U_{x,y}$  is open, being either empty or homeomorphic to  $U_{x,x}$ . Now the preimage of any  $y \in X$  under the action morphism  $\Gamma \times X \rightarrow X$  is the union of the subsets  $U_{x,y} \times \{x\}$  where  $x$  runs over  $X$ , which are open since  $X$  has the discrete topology. This proves (i).

(ii)  $\Rightarrow$  (iii): Let  $F \subset X$  be a finite subset. Each  $f \in F$  is fixed by some open subgroup  $U_f$  of  $\Gamma$ . Then the open subgroup  $\bigcap_{f \in F} U_f$  of  $\Gamma$  fixes each element of  $F$ .

(iii)  $\Rightarrow$  (ii): Clear.  $\square$

DEFINITION 3.2.10. When the conditions of Lemma 3.2.9 are fulfilled, we say that  $\Gamma$  acts *continuously* on  $X$ , or that  $X$  is a  $\Gamma$ -set. A  $\Gamma$ -set equipped with a  $\Gamma$ -equivariant group structure will be called a  $\Gamma$ -group. A  $\Gamma$ -group whose underlying group is abelian will be called a  $\Gamma$ -module. A morphism of  $\Gamma$ -groups, resp.  $\Gamma$ -modules, is just a  $\Gamma$ -equivariant group morphism.

We conclude this section with a statement that will be needed later. When a group acts on a set  $X$ , we denote by  $X^G$  the set of elements of  $X$  fixed by every element of  $G$ .

LEMMA 3.2.11. *Let  $X$  be a  $\Gamma$ -set and  $n$  an integer. Then every continuous map  $\Gamma^n \rightarrow X$  factors through a map  $(\Gamma/U)^n \rightarrow X^U$  for some open normal subgroup  $U$  of  $\Gamma$ . Conversely, any map  $\Gamma^n \rightarrow X$  factoring through  $(\Gamma/U)^n \rightarrow X$  for some open normal subgroup  $U$  of  $\Gamma$  is continuous.*

PROOF. If  $\Gamma^n \rightarrow X$  factors through a map  $(\Gamma/U)^n \rightarrow X$  for some open normal subgroup  $U$  of  $\Gamma$ , it is continuous, since both maps  $\Gamma^n \rightarrow (\Gamma/U)^n$  and  $(\Gamma/U)^n \rightarrow X$  are continuous (for the discrete topology on  $(\Gamma/U)^n$ ).

Let now  $f: \Gamma^n \rightarrow X$  be a continuous map, and  $Y \subset X$  its image. Since  $\Gamma^n$  is profinite set (the limit of the inverse system  $(\Gamma_\alpha)^n$ ), it is compact by Proposition 3.1.6. Therefore  $Y$  is compact. Being also discrete, the set  $Y$  is finite. Since  $X$  is a  $\Gamma$ -set, there is an open subgroup  $U'$  in  $\Gamma$  fixing all the elements of  $Y$ . Shrinking  $U'$ , we may assume that it is normal in  $\Gamma$  (by Lemma 3.2.5 (i) with  $u = 1$ ).

For each  $y \in Y$ , the preimage  $f^{-1}\{y\}$  is a nonempty open subset of  $\Gamma^n$ . Therefore there exists a nonempty open subset  $V_y$  of  $\Gamma$  such that  $(V_y)^n \subset f^{-1}\{y\}$ . By Lemma 3.2.5 (i), the subset  $V_y$  contains a subset of the form  $gU_y$ , where  $f(g) = y$  and  $U_y$

is an open normal subgroup of  $\Gamma$ . Then  $U'' = \bigcap_{y \in Y} U_y$  is a open normal subgroup of  $\Gamma$ . Setting  $U = U' \cap U''$  does the job.  $\square$

### 3. Infinite Galois extensions

In this chapter, we review some aspects of Galois theory, and show that the Galois group is an example of a profinite group.

When  $A, B$  are  $k$ -algebras, we will denote by  $\text{Hom}_{k\text{-alg}}(A, B)$  the set morphisms of  $k$ -algebras  $A \rightarrow B$ . The group of automorphisms of a  $k$ -algebra  $A$  will be denoted by  $\text{Aut}_{k\text{-alg}}(A)$ .

LEMMA 3.3.1. *Let  $L/k$  and  $F/k$  be field extensions.*

- (i) *If  $L/k$  is algebraic, and  $F$  is algebraically closed, then  $\text{Hom}_{k\text{-alg}}(L, F) \neq \emptyset$ .*
- (ii) *If  $L/k$  is finite, then  $|\text{Hom}_{k\text{-alg}}(L, F)| \leq [L : k]$ .*
- (iii) *If  $L/k$  is finite separable, and  $F$  is algebraically closed, then  $|\text{Hom}_{k\text{-alg}}(L, F)| = [L : k]$ .*

PROOF. (i) : Consider the set of pairs  $(K, \sigma)$  where  $K/k$  is a subextension of  $L/k$ , and  $\sigma : K \rightarrow F$  a  $k$ -algebra morphism. It is partially ordered by letting  $(K, \sigma) \leq (K', \sigma')$  when  $K \subset K'$  and  $\sigma'|_K = \sigma$ . It is easy to see that every totally ordered subset admits a maximal element. By Zorn's lemma, we find a maximal element  $(K, \sigma)$ . Let  $x \in L$  and  $P$  be its minimal polynomial over  $k$ . Then  $P$  has a root  $y$  in  $F$ . The subextension  $E$  of  $L/k$  generated by  $x$  is isomorphic to  $k[X]/P$ , and mapping  $x$  to  $y$  induces a  $k$ -algebra morphism  $E \rightarrow F$  extending  $\sigma$ . By maximality of  $(K, \sigma)$ , we must have  $K = E$ , hence  $x \in K$ , and finally  $L = K$ .

(ii) and (iii) : We proceed by induction on  $[L : k]$ . Let  $x \in L - k$  and  $P$  its minimal polynomial. The subextension  $K$  of  $L/k$  generated by  $x$  is isomorphic to  $k[X]/P$ , and morphisms of  $k$ -algebras  $K \rightarrow F$  correspond to roots of  $P$  in  $F$ . There are at most (resp. exactly, if  $L/k$  is separable and  $F$  is algebraically closed)  $\deg P = [L : k]$  such roots. By induction each morphism of  $k$ -algebras  $K \rightarrow F$  admits at most (resp. exactly)  $[L : K]$  extensions to a morphism  $L \rightarrow F$ . There are thus at most (resp. exactly)  $[L : K][K : k] = [L : k]$  morphisms of  $k$ -algebras  $L \rightarrow F$ .  $\square$

PROPOSITION 3.3.2. *Let  $L/k$  be a finite field extension. Let  $G$  be a subgroup of  $\text{Aut}_{k\text{-alg}}(L)$  such that  $L^G = k$ . Then  $G = \text{Aut}_{k\text{-alg}}(L)$  and  $|G| = [L : k]$ .*

PROOF. We have  $[L : k] \geq |\text{Aut}_{k\text{-alg}}(L)|$  by Lemma 3.3.1 (ii). In particular  $G$  is finite, and it will suffice to prove that  $|G| \geq [L : k]$ . Let  $M$  be the set of maps  $G \rightarrow L$ , viewed as an  $k$ -vector space via pointwise operations. Consider the  $k$ -linear map  $\varphi : L \otimes_k L \rightarrow M$  sending  $x \otimes y$  to the map  $g \mapsto xg(y)$ . Assume that the kernel of  $\varphi$  contains a nonzero element  $v = x_1 \otimes y_1 + \cdots + x_r \otimes y_r$ , where  $x_1, \dots, x_r, y_1, \dots, y_r \in L$ . Choose  $r$  minimal with this property. Then  $x_1, \dots, x_r$  are linearly independent over  $k$ . Replacing  $v$  with  $(1 \otimes y_1^{-1})v$ , we may assume that  $y_1 = 1$ . Since  $0 = \varphi(v)(\text{id}_L) = x_1 y_1 + \cdots + x_r y_r$  and the elements  $x_1, \dots, x_r$  are linearly independent over  $k$ , there is  $j \in \{2, \dots, r\}$  such that  $y_j$  does not lie in  $k$ . As  $k = L^G$ , we may find  $g \in G$  such that  $g(y_j) \neq y_j$ . The element  $v' = x_1 \otimes g(y_1) + \cdots + x_r \otimes g(y_r)$  also lies in the kernel of  $\varphi$ , hence so does

$$v - v' = \sum_{i=1}^r x_i \otimes y_i - \sum_{i=1}^r x_i \otimes g(y_i) = \sum_{i=2}^r x_i \otimes (y_i - g(y_i)).$$

This element is nonzero, because  $x_2, \dots, x_r$  are linearly independent over  $k$  and  $y_j - g(y_j) \neq 0$ . We have obtained a contradiction with the minimality of  $r$ . This proves that  $\varphi$  is injective, so that

$$[L : k]^2 = \dim_k L \otimes_k L \leq \dim_k M = |G| \cdot [L : k],$$

and  $|G| \geq [L : k]$ .  $\square$

Recall that an algebraic extension  $L/k$  is called *normal* if the minimal polynomial of every element of  $L$  splits into linear factors over  $L$ .

LEMMA 3.3.3. *Let  $L/k$  be a normal field extension and  $F/k$  a field extension. Then all morphisms of  $k$ -algebras  $L \rightarrow F$  have the same image.*

PROOF. Let  $\mathcal{P} \subset k[X]$  be the set of minimal polynomials over  $k$  of elements of  $L$ , and  $E$  be the set of roots in  $F$  of the elements of  $\mathcal{P}$ . Let  $\sigma : L \rightarrow F$  be a  $k$ -algebra morphism. If  $x \in L$ , then  $\sigma(x) \in F$  is a root of the minimal polynomial of  $x$ , proving that  $\sigma(L) \subset E$ . Conversely, let  $y \in E$ , and pick  $P \in \mathcal{P}$  such that  $P(y) = 0$ . As  $L/k$  is normal, we may find  $x_1, \dots, x_n \in L$  such that  $P = (X - x_1) \cdots (X - x_n)$  in  $L[X]$ , hence

$$0 = P(y) = (\sigma(P))(y) = (y - \sigma(x_1)) \cdots (y - \sigma(x_n)) \in F,$$

so that  $y = \sigma(x_i)$  for some  $i \in \{1, \dots, n\}$ . Thus  $E \subset \sigma(L)$ .  $\square$

PROPOSITION 3.3.4. *Let  $F/k$  be an algebraic field extension. The following are equivalent:*

- (i) *The extension  $F/k$  is separable and normal,*
- (ii)  *$F^{\text{Aut}_{k\text{-alg}}(F)} = k$ .*

PROOF. (i)  $\implies$  (ii) : Let  $P$  be the minimal polynomial of an element  $x \in F - k$ . The polynomial  $P$  splits into linear factors over  $F$  (as  $F/k$  is normal), and has no multiple root (as  $F/k$  separable). Since  $P$  has degree at least two, we find  $y \in F$  such that  $y \neq x$  and  $P(y) = 0$ . Let  $K$  be the subfield of  $F$  generated by  $x$  over  $k$ , and  $\overline{F}$  be an algebraic closure of  $F$ . The morphism of  $k$ -algebras  $K \rightarrow \overline{F}$  given by  $x \mapsto y$  extends to a morphism  $F \rightarrow \overline{F}$  by Lemma 3.3.1 (i). The image of this morphism equals  $F$  by Lemma 3.3.3. We have thus found  $\sigma \in \text{Aut}_{k\text{-alg}}(F)$  such that  $\sigma(x) = y \neq x$ , proving (i).

(ii)  $\implies$  (i) : Let  $x \in F$ . Let  $S$  be the set of those  $\sigma(x)$ , where  $\sigma$  runs over  $\text{Aut}_{k\text{-alg}}(F)$ . The elements of  $S$  are among the roots of the minimal polynomial of  $x$  over  $k$ , and in particular  $S$  is finite. Consider the polynomial

$$P = \prod_{s \in S} (X - s) \in F[X].$$

Any  $\sigma \in \text{Aut}_{k\text{-alg}}(F)$  permutes the elements of  $S$ , so that

$$\sigma(P) = \prod_{s \in S} (X - \sigma(s)) = \prod_{s \in S} (X - s) = P.$$

Thus  $P = (F[X])^{\text{Aut}_{k\text{-alg}}(F)} = (F^{\text{Aut}_{k\text{-alg}}(F)})[X] = k[X]$ . The minimal polynomial of  $x$  over  $k$  divides  $P$ , hence also splits into pairwise distinct linear factors over  $F$ .  $\square$

DEFINITION 3.3.5. An algebraic field extension  $F/k$  is called *Galois* if it satisfies the conditions of Proposition 3.3.4.



LEMMA 3.3.6. *Let  $F/k$  be a Galois extension, and  $E/k$  a Galois subextension of  $F/k$ . Then every element of  $\text{Gal}(F/k)$  restricts to an element of  $\text{Gal}(E/k)$ , and the induced morphism  $\text{Gal}(F/k) \rightarrow \text{Gal}(E/k)$  is surjective.*

PROOF. Let  $\sigma \in \text{Gal}(F/k)$ . Then  $\sigma(E) = E$  by Lemma 3.3.3, proving the first statement. Let now  $\tau \in \text{Gal}(E/k)$ . Let  $\bar{F}$  be an algebraic closure of  $F$ . Then the morphism  $E \xrightarrow{\tau} E \subset \bar{F}$  extends to a morphism of  $k$ -algebras  $F \rightarrow \bar{F}$  by Lemma 3.3.1 (i), whose image equals  $F$  by Lemma 3.3.3. We have thus extended  $\tau$  to an element of  $\text{Gal}(F/k)$ .  $\square$

LEMMA 3.3.7. *Let  $F/k$  be a Galois extension. Then every element of  $F$  is contained in a finite Galois subextension of  $F/k$ .*

PROOF. Let  $x \in F$ . The elements  $\sigma(x) \in F$  for  $\sigma \in \text{Gal}(F/k)$  are roots of the minimal polynomial of  $x$  over  $k$ , hence are in finite number. Let  $L$  be the subfield of  $F$  generated by these elements. Since  $F/k$  is Galois, for every  $y \in L - k$  we may find  $\sigma \in \text{Gal}(F/k)$  such that  $\sigma(y) \neq y$  (Proposition 3.3.4). But  $\sigma$  maps  $L$  to itself by construction of  $L$ , hence restricts to an element of  $\text{Aut}_{k\text{-alg}}(L)$ . This proves that  $L/k$  is Galois (Proposition 3.3.4).  $\square$

PROPOSITION 3.3.8. *Let  $F/k$  be a Galois extension. The groups  $\text{Gal}(L/k)$ , where  $L/k$  runs over the finite Galois subextensions of  $F/k$  (ordered by inclusion) form an inverse system of groups, whose inverse limit is isomorphic to  $\text{Gal}(F/k)$ .*

PROOF. Let  $\mathcal{F}$  be the set of finite Galois subextensions of  $F/k$ . If  $L, L' \in \mathcal{F}$ , then we may find  $L'' \in \mathcal{F}$  such that  $L \subset L''$  and  $L' \subset L''$  by Lemma 3.3.7. The morphisms  $\text{Gal}(L'/k) \rightarrow \text{Gal}(L/k)$  for  $L \subset L' \in \mathcal{F}$  are given by restricting automorphisms (see Lemma 3.3.6).

By Lemma 3.3.7 the field  $F$  is the union of the fields  $L \in \mathcal{F}$ . Therefore an automorphism of  $F$  is the identity if and only if it restricts to the identity on each  $L \in \mathcal{F}$ . This implies the injectivity of the natural morphism (see Lemma 3.3.6)

$$\text{Gal}(F/k) \rightarrow \varprojlim \text{Gal}(L/k) \subset \prod_{L \in \mathcal{F}} \text{Gal}(L/k).$$

Let now  $\sigma^L \in \text{Gal}(L/k)$  be a family of elements representing an element of  $\varprojlim \text{Gal}(L/k)$ . Let  $x \in F$ . By Lemma 3.3.7, there exists  $L \in \mathcal{F}$  such that  $x \in L$ . Moreover, if  $L' \in \mathcal{F}$  contains  $x$ , there exists  $L'' \in \mathcal{F}$  containing  $L$  and  $L'$ , so that  $\sigma^L(x) = \sigma^{L''}(x) = \sigma^{L'}(x)$ . Therefore  $\sigma^L(x) \in F$  does not depend on the choice of  $L \in \mathcal{F}$  containing  $x$ . We have thus defined a map  $\sigma: F \rightarrow F$  restricting to  $\sigma^L$  for each finite Galois extension  $L/k$ . It is easy to verify that  $\sigma$  is indeed an automorphism of the  $k$ -algebra  $F$ .  $\square$

DEFINITION 3.3.9. Let  $F/k$  be a Galois extension. By Proposition 3.3.8 the group  $\text{Gal}(F/k)$  is profinite, hence is endowed with a topology called the *Krull topology*.

THEOREM 3.3.10 (Krull). *The associations*

$$E \mapsto \text{Gal}(F/E) \quad ; \quad H \mapsto F^H$$

*yield mutually inverse, inclusion-reversing, bijections between subextensions  $E$  of  $F/k$  and closed subgroups  $H$  of  $\text{Gal}(F/k)$ . If  $E$  is a subextension of  $F/k$ , then*

- (i) *the subgroup  $\text{Gal}(F/E)$  is open if and only if  $E/k$  is finite,*
- (ii) *the subgroup  $\text{Gal}(F/E)$  is normal if and only if  $E/k$  is Galois.*

PROOF. Let  $E/k$  be a subextension of  $F/k$ . The extension  $F/E$  is separable and normal, hence  $F^{\text{Gal}(F/E)} = E$  by Proposition 3.3.4. Assume that  $E/k$  is finite. Then  $E$  is contained in a finite Galois subextension  $E'$  of  $F/k$  by Lemma 3.3.7. The subgroup  $\text{Gal}(F/E)$  is open in  $\text{Gal}(F/k)$ , hence also closed, because it is the preimage of  $\text{Gal}(E'/E)$  under the projection  $\text{Gal}(F/k) \rightarrow \text{Gal}(E'/k)$  (by definition of the topology). When  $E/k$  is arbitrary (not necessarily finite), it is the union of its finite subextensions, so that  $\text{Gal}(F/E)$  is an intersection of closed subgroups in  $\text{Gal}(F/k)$ , hence is closed.

Conversely, let  $H \subset \text{Gal}(F/k)$  be a closed subgroup. Let  $E = F^H$ . Then  $H \subset \text{Gal}(F/E)$ . Assume  $\sigma \in \text{Gal}(F/E)$  does not belong to  $H$ . By Lemma 3.2.5 (i), the open subset  $\text{Gal}(F/k) - H$  contains a subset of the  $\sigma \text{Gal}(F/L)$ , where  $L/k$  is a finite Galois subextension of  $F/k$ . Let  $H'$  be the image of  $H$  under the morphism  $\text{Gal}(F/k) \rightarrow \text{Gal}(L/k)$ , and set  $E' = L^{H'} = E \cap L$ . The extension  $L/E'$  is Galois, and  $H' = \text{Gal}(L/E')$  by Proposition 3.3.2. In particular we may find  $h \in H$  such that  $h|_L = \sigma|_L \in \text{Gal}(L/E')$ . But then  $h \in H \cap \sigma \text{Gal}(F/L)$ , a contradiction. We have proved that  $H = \text{Gal}(F/E)$ .

Now assume that  $H$  is an open subgroup of  $\text{Gal}(F/k)$ . By Lemma 3.2.5 (i), there exists a finite Galois subextension  $L$  of  $F/k$  such that  $\text{Gal}(F/L) \subset H$ . Then  $F^H$  is contained in  $F^{\text{Gal}(F/L)} = L$ , hence is finite.

If  $E/k$  is a Galois subextension, the subgroup  $\text{Gal}(F/E)$  is normal, being the kernel of the morphism  $\text{Gal}(F/k) \rightarrow \text{Gal}(E/k)$ . Conversely let  $H$  be a normal subgroup of  $\text{Gal}(F/k)$ , and  $E = F^H$ . Let  $x \in E$ . Then for any  $\sigma \in \text{Gal}(F/k)$  and  $h \in H$ , we have  $h \circ \sigma(x) = \sigma \circ \sigma^{-1} \circ h \circ \sigma(x) = \sigma(x)$  (as  $\sigma^{-1} \circ h \circ \sigma \in H$  fixes  $x$ ), proving that  $\sigma(x) \in E$ . Thus  $E$  is stable under the action of  $\text{Gal}(F/k)$ , and  $E^{\text{Aut}_{k-\text{alg}}(E)} \subset F^{\text{Gal}(F/k)} = k$ , so that  $E/k$  is Galois by Proposition 3.3.4.  $\square$

In the sequel, the most important example of an infinite Galois extension will be the separable closure, which we discuss now. Recall that a field is called separably closed if it admits no nontrivial separable extension. An extension  $F/k$  is called a *separable closure* if it is separable and if  $F$  is separably closed. Such an extension always exists: we may take for  $F$  the set of separable elements in a given algebraic closure of  $k$ .

LEMMA 3.3.11. *Let  $L/k$  and  $F/k$  be field extensions.*

- (i) *Assume that  $L$  is separable over  $k$  and that  $F$  is separably closed. Then there exists a morphism of  $k$ -algebras  $L \rightarrow F$ .*
- (ii) *Assume that  $L$  is separably closed and that  $F$  is separable over  $k$ . Then any morphism of  $k$ -algebras  $L \rightarrow F$  is an isomorphism.*

PROOF. (i): Let  $\overline{F}$  be an algebraic closure of  $F$ . By Lemma 3.3.1, we find a morphism of  $k$ -algebras  $L \rightarrow \overline{F}$ . Its image consists of elements which are separable over  $F$ , hence is contained in  $F$ . This proves (i).

(ii): Since every element of  $F$  is separable over  $k$ , any morphism of  $k$ -algebras  $L \rightarrow F$  is a separable extension, hence an isomorphism since  $F$  is separably closed.  $\square$

PROPOSITION 3.3.12. *Every separable closure of  $k$  is a Galois extension.*

PROOF. Let  $F$  be a separable closure of  $k$ , and  $x \in F - k$ . The minimal polynomial  $P \in k[X]$  of  $x$  over  $k$  is separable of degree at least two. Its image in  $F[X]$  thus has a monic irreducible factor  $Q$  such that  $Q(x) \neq 0$ . The field  $F[X]/Q$  is a separable extension of  $F$ , hence equals  $F$ . It follows that  $Q = X - y$  for some  $y \in F$  distinct from  $x$ . Let  $K$  be the subextension of  $F/k$  generated by  $x$ , and consider the morphism of  $k$ -algebras  $K \rightarrow F$

mapping  $x$  to  $y$ . As  $F$  is separable over  $K$ , this morphism extends to a morphism of  $k$ -algebras  $\sigma: F \rightarrow F$  by Lemma 3.3.11 (i), which is an isomorphism by Lemma 3.3.11 (ii). We have thus constructed  $\sigma \in \text{Aut}_{k\text{-alg}}(F)$  such that  $\sigma(x) \neq x$ , proving that  $F$  is Galois (Proposition 3.3.4).  $\square$

REMARK 3.3.13. By Lemma 3.3.11, a separable closure of  $k$  is unique up to isomorphism of  $k$ -algebras. But by Proposition 3.3.12 and Proposition 3.3.4, such isomorphism is nonunique, unless  $k$  is separably closed. For this reason, we will usually fix a separable closure  $k_s$  of  $k$ .

EXAMPLE 3.3.14. Let  $k$  be a finite field, and  $k_s$  a separable closure of  $k$ . Then  $k$  has positive characteristic  $p$ , and its cardinality  $q$  is a power of  $p$ . For each  $n \in \mathbb{N} - \{0\}$ , there is a unique subextension  $F_n$  of  $k_s/k$  having degree  $n$ , namely the set of roots of the polynomial  $X^{q^n} - X \in k[X]$ . This polynomial splits into distinct linear factors over  $F_n$ , hence  $F_n/k$  is Galois. The group  $\text{Gal}(F_n/k)$  is cyclic of order  $n$ , generated by the automorphism  $x \mapsto x^q$ . We deduce that (see Example 3.2.4)

$$\text{Gal}(k_s/k) = \widehat{\mathbb{Z}}.$$

#### 4. Galois descent

In this section we fix a (possibly infinite) Galois extension  $F/k$ , and consider the profinite group  $\Gamma = \text{Gal}(F/k)$ . When  $\gamma \in \Gamma$  and  $\lambda \in F$ , we will write  $\gamma\lambda$  instead of  $\gamma(\lambda)$ .

Let us first formalise an argument that will be used repeatedly.

LEMMA 3.4.1. *Let  $U, W$  be  $k$ -vector spaces. Assume that a group  $G$  acts by  $k$ -linear automorphisms on  $U$ . Then the induced  $G$ -action on  $W \otimes_k U$  satisfies  $(W \otimes_k U)^G = W \otimes_k (U^G)$ .*

PROOF. Clearly  $W \otimes_k (U^G) \subset (W \otimes_k U)^G$ . Let now  $e_i$  for  $i \in I$  be a  $k$ -basis of  $U$ . For each  $i \in I$ , let  $e_i^*: W \rightarrow k$  be the linear map sending an element to its  $i$ -th coordinate in the above basis, and consider the  $k$ -linear map

$$\epsilon_i: W \otimes_k U \xrightarrow{e_i^* \otimes \text{id}_U} k \otimes_k U = U.$$

Then for any  $x \in W \otimes_k U$ , we have

$$x = \sum_{i \in I} e_i \otimes \epsilon_i(x).$$

Since each  $\epsilon_i$  is  $G$ -equivariant, it maps  $(W \otimes_k U)^G$  into  $U^G$ , and the statement follows.  $\square$

DEFINITION 3.4.2. Let  $V$  be an  $F$ -vector space. A  $\Gamma$ -action on  $V$  is called *semilinear* if for all  $v \in V$  and  $\lambda \in k$  and  $\gamma \in \Gamma$ , we have in  $V$

$$\gamma(\lambda v) = (\gamma\lambda)(\gamma v).$$

LEMMA 3.4.3. *Let  $W$  be a  $k$ -vector space. Then the  $\Gamma$ -action on  $W_F = W \otimes_k F$  via the second factor is semilinear and continuous. The subset  $(W_F)^\Gamma$  of  $W_F$  coincides with  $W = W \otimes_k k$ .*

PROOF. The semilinearity is clear, and the last statement follows from Lemma 3.4.1, since  $F^\Gamma = k$ . It only remains to prove the continuity. An arbitrary element  $w \in W_F$  is of the form  $w_1 \otimes \lambda_1 + \cdots + w_n \otimes \lambda_n$ , where  $w_1, \dots, w_n \in W$  and  $\lambda_1, \dots, \lambda_n \in F$ . By Lemma 3.3.7, the elements  $\lambda_1, \dots, \lambda_n$  are contained in some finite Galois subextension  $L/k$  of  $F/k$ . Then the subgroup  $\text{Gal}(F/L) \subset \Gamma$  is open in  $\Gamma$  (Theorem 3.3.10) and fixes  $w$ , proving the continuity (see Lemma 3.2.9).  $\square$

LEMMA 3.4.4 (Dedekind). *Let  $A$  be a  $k$ -algebra and  $K/k$  a field extension. Let  $\sigma_1, \dots, \sigma_n$  be pairwise distinct morphisms of  $k$ -algebras  $A \rightarrow K$ . Then the elements*

$$\sigma_1, \dots, \sigma_n \in \text{Hom}_{k\text{-alg}}(A, K) = \text{Hom}_{K\text{-alg}}(A_K, K) \subset \text{Hom}_K(A_K, K)$$

*are linearly independent over  $K$ . In particular  $n \leq \dim_k A$  when  $A$  is finite-dimensional.*

PROOF. Assume that

$$(3.4.a) \quad a_1 \sigma_1 + \cdots + a_m \sigma_m = 0.$$

where  $a_1, \dots, a_m \in K$  are not all zero. Pick such a relation, where  $m \in \{1, \dots, n\}$  is minimal. Then  $m \neq 1$  (as  $\sigma_1 \neq 0$ ), and there exists  $i \in \{1, \dots, m-1\}$  such that  $a_i \neq 0$  (as  $\sigma_m \neq 0$ ). Since  $\sigma_i \neq \sigma_m$ , we may find  $z \in A$  such that  $\sigma_i(z) \neq \sigma_m(z)$ . Since the maps  $\sigma_1, \dots, \sigma_m$  are multiplicative, it follows from (3.4.a) that

$$(3.4.b) \quad a_1 \sigma_1(z) \sigma_1 + \cdots + a_m \sigma_m(z) \sigma_m = 0.$$

Subtracting  $\sigma_m(z)$  times Equation (3.4.a) to (3.4.b) yields

$$a_1 (\sigma_1(z) - \sigma_m(z)) \sigma_1 + \cdots + a_{m-1} (\sigma_{m-1}(z) - \sigma_m(z)) \sigma_{m-1} = 0.$$

Since  $a_i (\sigma_i(z) - \sigma_m(z)) \neq 0$ , we have found a contradiction with the minimality of  $m$ .

The last statement follows from the fact that  $\dim_K \text{Hom}_K(A_K, K) = \dim_K A_K = \dim_k A$ .  $\square$

PROPOSITION 3.4.5 (Galois descent). *Let  $V$  be an  $F$ -vector space. If  $\Gamma$  acts continuously on  $V$  by semilinear automorphisms, then the natural morphism  $V^\Gamma \otimes_k F \rightarrow V$  is bijective.*

PROOF. Denote by  $\varphi$  the morphism  $V^\Gamma \otimes_k F \rightarrow V$ . The proof of the injectivity of  $\varphi$  is a recast of the proof of Proposition 3.3.2. Namely, assume that the kernel of  $\varphi$  contains a nonzero element  $v = v_1 \otimes \lambda_1 + \cdots + v_r \otimes \lambda_r$  with  $v_i \in V^\Gamma$  and  $\lambda_i \in F$  for all  $i = 1, \dots, r$ . Choose  $r$  minimal with this property. Then  $v_1, \dots, v_r$  are linearly independent over  $k$ . Replacing  $v$  with  $\lambda_1^{-1} v$ , we may assume that  $\lambda_1 = 1$ . Since  $0 = \varphi(v) = \lambda_1 v_1 + \cdots + \lambda_r v_r$  and the elements  $v_1, \dots, v_r$  are linearly independent over  $k$ , there is  $j \in \{2, \dots, r\}$  such that  $\lambda_j$  does not lie in  $k$ . Since  $k = F^\Gamma$ , we may find  $\gamma \in \Gamma$  such that  $\gamma \lambda_j \neq \lambda_j$ . By semilinearity of the  $\Gamma$ -action on  $V$ , the morphism  $\varphi$  is  $\Gamma$ -equivariant, hence  $\gamma v$  lies in the kernel of  $\varphi$ . Thus

$$v - \gamma v = \sum_{i=1}^r v_i \otimes \lambda_i - \sum_{i=1}^r v_i \otimes \gamma \lambda_i = \sum_{i=2}^r v_i \otimes (\lambda_i - \gamma \lambda_i)$$

is in the kernel of  $\varphi$ . This element is nonzero, because  $v_2, \dots, v_r$  are linearly independent over  $k$  and  $\lambda_j - \gamma \lambda_j \neq 0$ . We have obtained a contradiction with the minimality of  $r$ . This proves that  $\varphi$  is injective.

Conversely let  $v \in V$ . By continuity of the  $\Gamma$ -action on  $V$ , we may find a finite Galois extension  $L/k$  such that  $v$  is fixed by  $\text{Gal}(F/L)$  (see Lemma 3.2.9 and Theorem 3.3.10).

Let  $e_1, \dots, e_n$  be a basis of the  $k$ -vector space  $L$ . The group  $\text{Gal}(L/F)$  has order  $n$  (by Proposition 3.3.2), and by Lemma 3.3.6 we may find preimages  $\gamma_1, \dots, \gamma_n \subset \Gamma$  of the elements of  $\text{Gal}(L/k)$ . Consider the elements

$$(3.4.c) \quad w_j = \sum_{i=1}^n (\gamma_i e_j)(\gamma_i v) \in V \quad \text{for } j = 1, \dots, n.$$

Let  $\gamma \in \Gamma$ . Since  $\Gamma$  is the disjoint union of the subsets  $\gamma_1 \text{Gal}(F/L), \dots, \gamma_n \text{Gal}(F/L)$ , for each  $i \in \{1, \dots, n\}$  there is a unique  $p \in \{1, \dots, n\}$  such that  $\gamma_p^{-1} \gamma \gamma_i \in \Gamma$  belongs to the subgroup  $\text{Gal}(F/L)$ . Therefore, for every  $j \in \{1, \dots, n\}$ , we have

$$\gamma w_j = \sum_{i=1}^n (\gamma \gamma_i e_j)(\gamma \gamma_i v) = \sum_{p=1}^n (\gamma_p e_j)(\gamma_p v) = w_j,$$

proving that  $w_j \in V^\Gamma$ . The matrix  $(\gamma_i e_j)_{i,j} \in M_n(L)$  is invertible by Dedekind's Lemma 3.4.4. Let  $m_{i,j} \in L$  be the coefficients of its inverse. By (3.4.c), we have

$$\gamma_i v = \sum_{j=1}^n m_{i,j} w_j \quad \text{for } i = 1, \dots, n.$$

These elements lie in the image of  $\varphi$  (as each  $w_j$  belongs to  $V^\Gamma$ ). There is  $i \in \{1, \dots, n\}$  such that  $\gamma_i$  is the preimage of  $1 \in \text{Gal}(L/k)$ , hence belongs to  $\text{Gal}(F/L)$  and thus fixes  $v$ . Then  $v = \gamma_i v$  belongs to the image of  $\varphi$ .  $\square$

## CHAPTER 4

## Étale and Galois algebras

Étale algebras are generalisations of finite separable field extensions, and share many of their properties. The category of étale algebras has the advantage of being stable under extension of scalars, which provides a very useful flexibility lacking if one works only with separable extensions. In this chapter, we show that an étale algebra is the same thing as a finite set with a continuous action of the absolute Galois group. Shifting the point of view in this fashion will be central in the next chapter.

In the same spirit, Galois  $G$ -algebras, introduced at the end of this chapter, generalise finite Galois field extensions while being stable under extension of scalars. These algebras will provide a guiding example for the next chapter, since they constitute a simple type of torsors, objects which will figure prominently in the sequel.

This chapter begins with a brief introduction to the language of categories, which provides a suitable framework to express the above mentioned results.

By contrast with the previous ones, this chapter only deals with *commutative* algebras, and as such has a slightly different flavour. Its purpose is nonetheless to provide motivation to develop a more general theory of torsors, that will then be applied to the noncommutative case.

## 1. Categories

In this section, we briefly introduce a language that will permit a convenient formulation of certain results. We will not make a very extensive use of it, and so limit ourselves to very basic considerations leading to the notion of equivalence of categories.

DEFINITION 4.1.1. A *category*  $\mathcal{C}$  consists of the following data:

- (i) a class of objects,
- (ii) for each ordered pair of objects  $A, B$  a set of morphisms  $\text{Hom}_{\mathcal{C}}(A, B)$ ,
- (iii) a specified element  $\text{id}_A \in \text{Hom}_{\mathcal{C}}(A, A)$  for every  $A \in \text{Ob}(\mathcal{C})$ ,
- (iv) a map (called *composition law*)  $\text{Hom}_{\mathcal{C}}(A, B) \times \text{Hom}_{\mathcal{C}}(B, C) \rightarrow \text{Hom}_{\mathcal{C}}(A, C)$  denoted by  $(f, g) \mapsto g \circ f$ , for every objects  $A, B, C$ .

We write  $f: A \rightarrow B$  to indicate that  $f \in \text{Hom}_{\mathcal{C}}(A, B)$ . These data are subject to the following axioms

- (a)  $\text{id}_B \circ f = f = f \circ \text{id}_A$  for every  $f: A \rightarrow B$ ,
- (b)  $h \circ (g \circ f) = (h \circ g) \circ f$  for every  $f: A \rightarrow B, g: B \rightarrow C, h: C \rightarrow D$ .

A morphism  $f: A \rightarrow B$  in  $\mathcal{C}$  is called an *isomorphism* if there exists  $g: B \rightarrow A$  such that  $g \circ f = \text{id}_A$  and  $f \circ g = \text{id}_B$ .

REMARK 4.1.2. We will often write  $X \in \mathcal{C}$  to mean that  $X$  is an object of  $\mathcal{C}$ .

REMARK 4.1.3. The meaning of the word “class” in the above definition is left to the imagination of the reader. Observe that the objects do not necessarily form a set, for instance in the category **Sets** defined just below.

EXAMPLE 4.1.4. The category **Sets** is defined by letting its objects be the sets, its morphisms the maps of sets, the composition law is given by composition of maps. Similarly, one defines the category of groups (denoted by **Groups**), of abelian groups (denoted by **Ab**), of rings, of  $k$ -algebras,...

When  $\mathcal{B}, \mathcal{C}$  are categories, a *functor*  $\mathcal{F}: \mathcal{B} \rightarrow \mathcal{C}$  is the data of an object  $\mathcal{F}(B) \in \mathcal{C}$  for every object  $B \in \mathcal{B}$ , and a morphism  $\mathcal{F}(f): \mathcal{F}(B) \rightarrow \mathcal{F}(B')$  in  $\mathcal{C}$  for every morphism  $f: B \rightarrow B'$  in  $\mathcal{B}$ , subject to the following conditions:

- (a)  $\mathcal{F}(\text{id}_B) = \text{id}_{\mathcal{F}(B)}$  for every  $B \in \mathcal{B}$ ,
- (b)  $\mathcal{F}(g) \circ \mathcal{F}(f) = \mathcal{F}(g \circ f)$  for every  $f: B \rightarrow B'$  and  $g: B' \rightarrow B''$  in  $\mathcal{B}$ .

When  $\mathcal{B} = \mathcal{C}$ , setting  $\mathcal{F}(B) = B$  and  $\mathcal{F}(f) = f$  for all  $B$  and  $f$  as above defines a functor  $\text{id}_{\mathcal{B}}: \mathcal{B} \rightarrow \mathcal{B}$ .

If  $\mathcal{F}, \mathcal{G}: \mathcal{B} \rightarrow \mathcal{C}$  are functors, a *morphism of functors* (or natural transformation)  $\varphi: \mathcal{F} \rightarrow \mathcal{G}$  is the data of a morphism  $\varphi_B: \mathcal{F}(B) \rightarrow \mathcal{G}(B)$  in  $\mathcal{C}$  for every  $B \in \mathcal{B}$  such that for every morphism  $f: B \rightarrow B'$  in  $\mathcal{B}$ , we have

$$\mathcal{G}(f) \circ \varphi_B = \varphi_{B'} \circ \mathcal{F}(f).$$

When  $\mathcal{F} = \mathcal{G}$ , setting  $\varphi_B = \text{id}_{\mathcal{F}(B)}$  for all  $B \in \mathcal{B}$  defines a morphism of functors  $\text{id}_{\mathcal{F}}: \mathcal{F} \rightarrow \mathcal{F}$ . Morphisms of functors can be composed in an obvious way. A morphism of functors  $\varphi: \mathcal{F} \rightarrow \mathcal{G}$  is called an *isomorphism* if there is a morphism of functors  $\psi: \mathcal{G} \rightarrow \mathcal{F}$  such that  $\psi \circ \varphi = \text{id}_{\mathcal{F}}$  and  $\varphi \circ \psi = \text{id}_{\mathcal{G}}$ . Observe that  $\varphi$  is an isomorphism if and only if each  $\varphi_B$  for  $B \in \mathcal{B}$  is an isomorphism in  $\mathcal{C}$ .

An *equivalence of categories*  $\mathcal{B} \simeq \mathcal{C}$  is the data of a pair of functors  $\mathcal{F}: \mathcal{B} \rightarrow \mathcal{C}$  and  $\mathcal{G}: \mathcal{C} \rightarrow \mathcal{B}$  together with a pair of isomorphisms of functors  $\text{id}_{\mathcal{B}} \rightarrow \mathcal{G} \circ \mathcal{F}$  and  $\text{id}_{\mathcal{C}} \rightarrow \mathcal{F} \circ \mathcal{G}$ .

Given a category  $\mathcal{C}$ , the *opposite category*  $\mathcal{C}^{\text{op}}$  is defined as follows. The objects of  $\mathcal{C}^{\text{op}}$  are the objects of  $\mathcal{C}$ , and  $\text{Hom}_{\mathcal{C}^{\text{op}}}(A, B) = \text{Hom}_{\mathcal{C}}(B, A)$  for every  $A, B \in \mathcal{C}$ . If  $A \in \mathcal{C}$  the morphism  $\text{id}_A \in \text{Hom}_{\mathcal{C}^{\text{op}}}(A, A)$  corresponds to the morphism  $\text{id}_A \in \text{Hom}_{\mathcal{C}}(A, A)$ . Composition of morphisms is defined by the map

$$\text{Hom}_{\mathcal{C}^{\text{op}}}(A, B) \times \text{Hom}_{\mathcal{C}^{\text{op}}}(B, C) = \text{Hom}_{\mathcal{C}}(B, A) \times \text{Hom}_{\mathcal{C}}(C, B) \rightarrow \text{Hom}_{\mathcal{C}}(C, A) = \text{Hom}_{\mathcal{C}^{\text{op}}}(A, C)$$

where the middle map is the composition map in  $\mathcal{C}$ .

A *contravariant functor*  $\mathcal{B} \rightarrow \mathcal{C}$  is a functor  $\mathcal{B}^{\text{op}} \rightarrow \mathcal{C}$ . We define in an obvious way the notions of morphism of contravariant functors, contravariant equivalence of categories,...

## 2. Étale algebras

Let us fix a separable closure  $k_s$  of  $k$ . Let  $A$  be a  $k$ -algebra. We define

$$\mathbf{X}(A) = \text{Hom}_{k\text{-alg}}(A, k_s) = \text{Hom}_{k_s\text{-alg}}(A_{k_s}, k_s).$$

REMARK 4.2.1. By Proposition 3.3.12 (i), the set  $\mathbf{X}(A)$  does not depend, up to bijection, on the choice of  $k_s$ . In particular the integer  $|\mathbf{X}(A)|$  does not depend on this choice.

LEMMA 4.2.2. *We have  $|\mathbf{X}(A)| \leq \dim_k A$ .*

PROOF. This follows from Dedekind's Lemma 3.4.4.  $\square$

DEFINITION 4.2.3. A commutative  $k$ -algebra  $A$  is called *étale* if it is finite-dimensional and  $|\mathbf{X}(A)| = \dim_k A$ . A morphism of étale  $k$ -algebras is just a morphism of  $k$ -algebras between étale algebras. This yields the category of étale  $k$ -algebras  $\mathbf{Et}_k$ .

LEMMA 4.2.4. *Let  $K/k$  be a field extension. Then the  $k$ -algebra  $K$  is étale if and only if the extension  $K/k$  is finite and separable.*

PROOF. If  $K$  is étale, then  $\mathbf{X}(K) \neq \emptyset$ , hence  $K$  can be embedded in  $k_s$  over  $k$ , which implies that  $K/k$  is separable. Conversely, let  $K$  be a separable field extension of  $k$ . Let  $F$  be an algebraic closure of  $k_s$ . There are  $[K : k]$  distinct morphisms of  $k$ -algebras  $K \rightarrow F$  by Lemma 3.3.1 (iii). Any element of the image of such a morphism is separable over  $k$ , hence belongs to  $k_s$ . We have thus produced  $[K : k]$  distinct elements of  $\mathbf{X}(K)$ .  $\square$

LEMMA 4.2.5. *Let  $L/k$  be a field extension and  $A$  an étale  $k$ -algebra. Then the  $L$ -algebra  $A_L$  is étale.*

PROOF. Let  $L_s$  be a separable closure of  $L$ . By Lemma 3.3.11 (i) there is a morphism of  $k$ -algebras  $k_s \rightarrow L_s$ . Such a morphism is injective, hence distinct morphisms  $A \rightarrow k_s$  give rise to distinct morphisms  $A_L \rightarrow k_s \rightarrow L_s$ . So  $|\mathbf{X}(A_L)| = |\mathbf{X}(A)| = \dim_k A = \dim_L A_L$ .  $\square$

LEMMA 4.2.6. *If  $k$  is separably closed, then every étale  $k$ -algebra is isomorphic to  $k^n$  for some integer  $n$ .*

PROOF. Assume that  $A$  is an étale  $k$ -algebra of dimension  $n$ , and let  $f_1, \dots, f_n$  be the pairwise distinct elements of  $\mathbf{X}(A)$ . By Dedekind's Lemma 3.4.4, these elements are linearly independent over  $k$ , hence generate the  $n$ -dimensional  $k$ -vector space  $\text{Hom}_k(A, k)$ . In particular the intersection of their kernels is zero. Thus the morphism of  $k$ -algebras  $A \rightarrow k^n$  given by  $a \mapsto (f_1(a), \dots, f_n(a))$  is injective, hence bijective by dimensional reasons.  $\square$

Recall that an element  $r$  of a ring  $R$  is called *nilpotent* if  $r^n = 0$  for some integer  $n$ , and that the ring  $R$  is called *reduced* if it contains no nonzero nilpotent element.

REMARK 4.2.7. Let  $R, S$  be rings. Then  $R \times S$  is reduced if and only if  $R$  and  $S$  are reduced. Indeed a pair of nonzero nilpotent elements of  $R$  and  $S$  give rise to a nonzero nilpotent element of  $R \times S$ . Conversely, if  $r \in R$  (resp.  $s \in S$ ) is nonzero nilpotent, then  $(r, 0) \in R \times S$  (resp.  $(0, s) \in R \times S$ ) is so.

LEMMA 4.2.8. *An étale  $k$ -algebra is reduced.*

PROOF. If  $x$  is a nilpotent element of  $A$ , every  $k$ -algebra morphism  $A \rightarrow k_s$  factors uniquely through  $A \rightarrow A/xA$ . In other words, the map  $\mathbf{X}(A/xA) \rightarrow \mathbf{X}(A)$  is bijective. If  $x \neq 0$ , then

$$|\mathbf{X}(A)| = |\mathbf{X}(A/xA)| \leq \dim_k(A/xA) < \dim_k A,$$

and  $A$  is not étale.  $\square$

LEMMA 4.2.9. *Let  $A, B$  be finite-dimensional  $k$ -algebras.*

(i) *We have  $\mathbf{X}(A \times B) = \mathbf{X}(A) \sqcup \mathbf{X}(B)$ .*



(ii) The  $k$ -algebra  $A \times B$  is étale if and only if  $A$  and  $B$  are étale.

PROOF. (i): The surjective morphisms of  $k$ -algebras  $A \times B \rightarrow A$  and  $A \times B \rightarrow B$  allow us to view  $\mathbf{X}(A)$  and  $\mathbf{X}(B)$  as subsets of  $\mathbf{X}(A \times B)$ . Let  $f \in \mathbf{X}(A \times B)$ . The image of  $f$  is a field by Lemma 2.4.2, hence the kernel of  $f$  is a maximal ideal  $\mathfrak{m}$  of  $A \times B$ . There exist ideals  $I \subset A$  and  $J \subset B$  such that  $\mathfrak{m} = I \times J$  (every ideal of  $A \times B$  is of this form), and the maximality of  $\mathfrak{m}$  implies that  $I = A$  or  $J = B$ , and that  $I \neq A$  or  $J \neq B$ . This proves that  $f$  belongs to exactly one of the subsets  $\mathbf{X}(A)$  and  $\mathbf{X}(B)$ .

(ii): Since  $\dim_k(A \times B) = \dim_k A + \dim_k B$ , this follows from (i) and Lemma 4.2.2.  $\square$

LEMMA 4.2.10. *A commutative reduced finite-dimensional  $k$ -algebra is a product of field extensions of  $k$ .*

PROOF. Let  $A$  be such an algebra. Then  $A$  is artinian, hence every prime ideal of  $A$  is maximal (exercise). Any pair of distinct maximal ideals are coprime. Since  $A$  is reduced (Lemma 4.2.8), the intersection of all maximal ideals is zero (the nilradical is the intersection of all prime ideals). It follows from the Chinese remainder theorem that  $A$  is isomorphic to the product of the fields  $A/\mathfrak{m}$ , where  $\mathfrak{m}$  runs over the maximal ideals of  $A$ .  $\square$

PROPOSITION 4.2.11. *A finite-dimensional  $k$ -algebra is étale if and only if it is a product of separable field extensions of  $k$ .*

PROOF. An étale  $k$ -algebra is a product of fields by Lemma 4.2.8 and Lemma 4.2.10. In view of Lemma 4.2.9, the statement follows from Lemma 4.2.4.  $\square$

PROPOSITION 4.2.12. *Let  $A$  be a finite-dimensional commutative  $k$ -algebra, and  $n = \dim_k A$ . Then the following conditions are equivalent:*

- (i) The  $k$ -algebra  $A$  is étale.
- (ii) The  $k_s$ -algebra  $A_{k_s}$  is isomorphic to  $(k_s)^n$ .
- (iii) The  $k$ -algebra  $A$  is a product of separable field extensions of  $k$ .
- (iv) If  $\bar{k}$  is an algebraic closure of  $k$ , then the ring  $A_{\bar{k}}$  is reduced.
- (v) If  $L/k$  is a field extension, then the ring  $A_L$  is reduced.

PROOF. (i)  $\iff$  (iii): Proposition 4.2.11.

(i)  $\implies$  (ii): This follows from Lemma 4.2.5 and Lemma 4.2.6.

(ii)  $\implies$  (i): The projections  $(k_s)^n \rightarrow k_s$  yield  $n$  pairwise distinct elements of  $\mathbf{X}(A)$ .

(i)  $\implies$  (v): This follows from Lemma 4.2.5 and Lemma 4.2.8.

(v)  $\implies$  (iv): Clear.

(iv)  $\implies$  (iii): The ring  $A$  is a product of fields by Lemma 4.2.10. Let  $K$  be one of these fields, and  $x \in K$ . Let  $B$  be the  $k$ -subalgebra of  $K$  generated by  $x$ . Then  $B$  is isomorphic to  $k[X]/P$ , where  $P$  is the minimal polynomial over  $k$  of  $x$ . The ring  $\bar{k}[X]/P \simeq B_{\bar{k}}$  is reduced, being contained in  $K_{\bar{k}}$ , which is reduced because  $A_{\bar{k}}$  is so (in view of Remark 4.2.7). This implies that  $P$  is separable: indeed if  $P = (X - a)Q$  where  $Q \in \bar{k}[X]$  and  $a \in \bar{k}$  are such that  $Q(a) = 0$ , then  $P \mid Q^2$ , hence  $Q$  defines a nonzero nilpotent element of  $\bar{k}[X]/P$ .  $\square$

COROLLARY 4.2.13. *Let  $L/k$  be a field extension and  $A$  a  $k$ -algebra. If the  $L$ -algebra  $A_L$  is étale, then so is the  $k$ -algebra  $A$ .*

PROOF. Let  $\bar{k}$  be an algebraic closure of  $k$ , and  $\bar{L}$  an algebraic closure of  $L$ . By Lemma 3.3.11 (i), we may view  $\bar{k}$  as a subfield of  $\bar{L}$ . The ring  $A_{\bar{L}}$  is reduced by assumption (and the criterion (v) in Proposition 4.2.12), hence so is its subring  $A_{\bar{k}}$ . This proves that  $A$  is étale by the criterion (iv) in Proposition 4.2.12.  $\square$

Let us write  $\Gamma = \text{Gal}(k_s/k)$ . If  $f \in \mathbf{X}(A)$  and  $\gamma \in \Gamma$ , we set  $\gamma f = \gamma \circ f \in \mathbf{X}(A)$ . This yields an action of the group  $\Gamma$  on the set  $\mathbf{X}(A)$ .

LEMMA 4.2.14. *Let  $A$  be a finite-dimensional  $k$ -algebra. Then the  $\Gamma$ -action on  $\mathbf{X}(A)$  is continuous (Definition 3.2.10).*

PROOF. Let  $L$  be a finite extension of  $k$  in  $k_s$ , and containing the image  $f(A) \subset k_s$  of all  $f \in \mathbf{X}(A)$  (recall that  $\mathbf{X}(A)$  is finite, and that each  $f(A)$  has finite dimension over  $k$ ). Then every element of  $\mathbf{X}(A)$  is fixed by the open subgroup  $\text{Gal}(k_s/L)$  of  $\Gamma$ , and the statement follows from Lemma 3.2.9.  $\square$

Let us denote by  $\mathbf{Fsets}_\Gamma$  the category whose objects are finite  $\Gamma$ -sets and morphisms are  $\Gamma$ -equivariant maps. We have just seen that  $\mathbf{X}(A) \in \mathbf{Fsets}_\Gamma$  for any étale  $k$ -algebra  $A$ . If  $\varphi: A \rightarrow B$  is a morphism of étale  $k$ -algebras, there is an induced map  $\mathbf{X}(B) \rightarrow \mathbf{X}(A)$  given by  $f \mapsto f \circ \varphi$ , and one sees easily that  $\mathbf{X}$  defines a contravariant functor  $\mathbf{Et}_k \rightarrow \mathbf{Fsets}_\Gamma$ .

Let now  $X$  be a finite  $\Gamma$ -set of cardinality  $n$ . The set

$$\mathbf{M}(X) = \{\text{maps } X \rightarrow k_s\}.$$

is naturally a  $k_s$ -algebra (via pointwise operations). This algebra is isomorphic to  $(k_s)^n$ . The  $\Gamma$ -actions on  $k_s$  and  $X$  induce a  $\Gamma$ -action on  $\mathbf{M}(X)$ ; namely for  $f \in \mathbf{M}(X)$  and  $\gamma \in \Gamma$  we have

$$(\gamma f)(x) = \gamma \circ f(\gamma^{-1}x) \quad \text{for all } x \in X.$$

Then the fixed subset  $\mathbf{M}(X)^\Gamma$  is the subset of  $\Gamma$ -equivariant maps  $X \rightarrow k_s$ .

LEMMA 4.2.15. *The  $\Gamma$ -action on  $\mathbf{M}(X)$  is continuous and semilinear (Definition 3.4.2).*

PROOF. Let  $\gamma \in \Gamma$  and  $f \in \mathbf{M}(X)$ . For any  $x \in X$  and  $\lambda \in k$ , we have

$$(\gamma(\lambda f))(x) = \gamma \circ (\lambda f)(\gamma^{-1}x) = \gamma(\lambda) \gamma \circ f(\gamma^{-1}x) = \gamma(\lambda)(\gamma f(x)),$$

so that the  $\Gamma$ -action on  $\mathbf{M}(X)$  is semilinear.

Let now  $f \in \mathbf{M}(X)$ . There exists an open subgroup  $U_1$  (resp.  $U_2$ ) of  $\Gamma$  such that  $U_1$  (resp.  $U_2$ ) acts trivially on the finite set  $X$  (resp.  $f(X)$ ). Then  $U_1 \cap U_2$  is an open subgroup of  $\Gamma$  fixing  $f$ .  $\square$

We deduce from Proposition 3.4.5 that the natural morphism of  $k_s$ -algebras

$$\mathbf{M}(X)^\Gamma \otimes_k k_s \rightarrow \mathbf{M}(X)$$

is bijective. Since  $\mathbf{M}(X) \simeq (k_s)^n$ , we conclude that  $\mathbf{M}(X)^\Gamma$  is an étale  $k$ -algebra of dimension  $n$ . To a map of finite  $\Gamma$ -sets  $\alpha: X \rightarrow Y$  corresponds a morphism of étale  $k$ -algebras  $\mathbf{M}(Y)^\Gamma \rightarrow \mathbf{M}(X)^\Gamma$  given by  $f \mapsto f \circ \alpha$ , and one sees easily that  $\mathbf{M}^\Gamma: X \mapsto \mathbf{M}(X)^\Gamma$  defines a contravariant functor  $\mathbf{Fsets}_\Gamma \rightarrow \mathbf{Et}_k$ .

Let  $A$  be a  $k$ -algebra. If  $a \in A$ , then the map  $\Phi_A(a): \mathbf{X}(A) \rightarrow k_s$  given by  $f \mapsto f(a)$  is  $\Gamma$ -equivariant. We thus define a morphism of  $k$ -algebras

$$\Phi_A: A \rightarrow \mathbf{M}^\Gamma(\mathbf{X}(A)).$$

LEMMA 4.2.16. *Let  $A$  be a commutative finite-dimensional  $k$ -algebra. Then the morphism  $\Phi_A$  is surjective. The  $k$ -algebra  $A$  is étale if and only if  $\Phi_A$  is an isomorphism.*

PROOF. Since the  $k$ -algebra  $\mathbf{M}^\Gamma(\mathbf{X}(A))$  is étale, so will be  $A$  if  $\Phi_A$  is an isomorphism. In general  $\dim_k \mathbf{M}^\Gamma(\mathbf{X}(A)) = |\mathbf{X}(A)|$ , hence it will suffice to prove the first statement.

The composite (see Proposition 3.4.5)

$$A_{k_s} \xrightarrow{\Phi_A \otimes_k \text{id}_{k_s}} \mathbf{M}^\Gamma(\mathbf{X}(A)) \otimes_k k_s \simeq \mathbf{M}(\mathbf{X}(A))$$

factors as the composite

$$A_{k_s} \rightarrow \text{Hom}_{k_s}(\text{Hom}_{k_s}(A_{k_s}, k_s), k_s) \rightarrow \mathbf{M}(\mathbf{X}(A))$$

where the first map sends  $a$  to the map  $f \mapsto f(a)$ , and the second map is given by viewing  $\mathbf{X}(A) = \text{Hom}_{k_s\text{-alg}}(A_{k_s}, k_s)$  as a subset of  $\text{Hom}_{k_s}(A_{k_s}, k_s)$ . The first map is surjective because the  $k_s$ -vector space  $A_{k_s}$  is finite-dimensional. The second map is surjective because  $\mathbf{X}(A) \subset \text{Hom}_{k_s}(A_{k_s}, k_s)$  consists of linearly independent elements by Dedekind Lemma 3.4.4. It follows that  $\Phi_A \otimes_k \text{id}_{k_s}$  is surjective. Since the image of that map is  $\Phi_A(A) \otimes_k k_s$ , it follows that  $\Phi_A$  is surjective.  $\square$

THEOREM 4.2.17. *Let  $\Gamma = \text{Gal}(k_s/k)$ . The functors  $\mathbf{X}$  and  $\mathbf{M}^\Gamma$  define a contravariant equivalence of categories  $\mathbf{Et}_k \simeq \mathbf{Fsets}_\Gamma$ .*

PROOF. Let  $X$  be a finite  $\Gamma$ -set. Note that

$$\mathbf{X}(\mathbf{M}(X)^\Gamma) = \text{Hom}_{k\text{-alg}}(\mathbf{M}(X)^\Gamma, k_s) = \text{Hom}_{k_s\text{-alg}}(\mathbf{M}(X)^\Gamma \otimes_k k_s, k_s)$$

is naturally isomorphic to  $\text{Hom}_{k_s\text{-alg}}(\mathbf{M}(X), k_s)$  by Proposition 3.4.5. Consider the map

$$\Psi_X: X \rightarrow \text{Hom}_{k_s\text{-alg}}(\mathbf{M}(X), k_s)$$

defined by letting  $\Psi_X(x)$  be the morphism of  $k_s$ -algebras sending  $f \in \mathbf{M}(X)$  to  $f(x)$ . The map  $\Psi_X$  is injective: if  $f(x) = f(x')$  for all  $f \in \mathbf{M}(X)$ , taking for  $f$  the map

$$y \mapsto \begin{cases} 1 & \text{if } y = x, \\ 0 & \text{otherwise,} \end{cases}$$

we see that  $x = x'$ . Since the source and target of the map  $\Psi_X$  have the same finite number of elements, the map  $\Psi_X$  is bijective.

Conversely, we have seen in Lemma 4.2.16 that the morphism  $\Phi_A: A \rightarrow \mathbf{M}^\Gamma(\mathbf{X}(A))$  is bijective when  $A$  is étale  $k$ -algebra.

To conclude note that  $\Psi$  and  $\Phi$  in fact define functors.  $\square$

REMARK 4.2.18. If  $X, Y$  are finite  $\Gamma$ -sets, there are natural  $\Gamma$ -equivariant isomorphisms of  $k$ -algebras

$$\mathbf{M}(X \sqcup Y) \simeq \mathbf{M}(X) \times \mathbf{M}(Y) \quad ; \quad \mathbf{M}(X \times Y) \simeq \mathbf{M}(X) \otimes_k \mathbf{M}(Y).$$

Thus under the equivalence of Theorem 4.2.17 disjoint unions, resp. direct products, of finite  $\Gamma$ -sets correspond to direct products, resp. tensor products, of étale  $k$ -algebras.

REMARK 4.2.19. An étale  $k$ -algebra  $A$  is a field if and only if  $\Gamma$  acts transitively on the set  $\mathbf{X}(A)$  (as  $A$  is a product of fields by Proposition 4.2.11, this follows from Remark 4.2.18).

DEFINITION 4.2.20. Let  $A$  be a finite-dimensional  $k$ -algebra, and  $n = \dim_k A$ . The *characteristic polynomial* of an element  $a \in A$  is the polynomial

$$\text{Cp}_{A/k}(a) = \det(X \text{id}_A - l_a) \in k[X],$$

where  $l_a: A \rightarrow A$  is the map given by  $x \mapsto ax$  (viewed as a  $k$ -linear map). Writing this polynomial as  $a_n X^n + \cdots + a_0$  where  $a_0, \dots, a_n \in k$ , we define the norm and trace of  $a$  as

$$\text{N}_{A/k}(a) = (-1)^n a_0 \quad \text{and} \quad \text{Tr}_{A/k}(a) = -a_{n-1}.$$

Observe that if  $K/k$  is a field extension, then for any  $a \in A$

$$\text{Cp}_{A_K/K}(a \otimes 1) = \text{Cp}_{A/k}(a) \in k \subset K.$$

PROPOSITION 4.2.21. *Let  $A$  be an étale  $k$ -algebra. Then for any  $a \in A$ , we have*

$$\text{Cp}_{A/k}(a) = \prod_{f \in \mathbf{X}(A)} (X - f(a)).$$

*In particular*

$$\text{N}_{A/k}(a) = \prod_{f \in \mathbf{X}(A)} f(a) \quad \text{and} \quad \text{Tr}_{A/k}(a) = \sum_{f \in \mathbf{X}(A)} f(a).$$

PROOF. We may extend scalars to  $k_s$ , and replace  $A$  with  $A_{k_s}$ . Then map  $A \rightarrow \mathbf{M}(\mathbf{X}(A))$  sending  $a$  to  $f \mapsto f(a)$  is an isomorphism of  $k$ -algebras. Thus  $A$  admits a  $k$ -basis  $e_f$  for  $f \in \mathbf{X}(A)$  such that  $e_f e_g = 0$  if  $f \neq g$  and  $e_f^2 = e_f$ , and for every  $a \in A$

$$a = \sum_{f \in \mathbf{X}(A)} f(a) e_f.$$

Then  $ae_f = f(a)e_f$  for every  $f \in \mathbf{X}(A)$ . The statement follows.  $\square$

COROLLARY 4.2.22. *Let  $K/k$  be a finite separable field extension and  $A$  a commutative finite-dimensional  $K$ -algebra. Then the  $K$ -algebra  $A$  is étale if and only if the  $k$ -algebra  $A$  is étale. If this is the case, we have*

$$\text{N}_{K/k} \circ \text{N}_{A/K} = \text{N}_{A/k} \quad \text{and} \quad \text{Tr}_{K/k} \circ \text{Tr}_{A/K} = \text{Tr}_{A/k}.$$

PROOF. Let  $K_s$  be a separable closure of  $K$  and denote by  $\mathbf{X}(A/K)$  the set of morphisms of  $K$ -algebras  $A \rightarrow K_s$ . For each  $f \in \mathbf{X}(K)$  choose a morphism of  $k$ -algebras  $\tilde{f}: K_s \rightarrow k_s$  extending  $f$  (this is possible by Lemma 3.3.11 (i)). We claim that the elements  $\tilde{f} \circ g \in \mathbf{X}(A)$  for  $f \in \mathbf{X}(K)$  and  $g \in \mathbf{X}(A/K)$  are pairwise distinct. Indeed if  $f, f' \in \mathbf{X}(K)$  and  $g, g' \in \mathbf{X}(A/K)$  are such that  $\tilde{f} \circ g = \tilde{f}' \circ g'$ , composing with the unique morphism of  $K$ -algebras  $K \rightarrow A$  we see that  $f = f'$ . Therefore  $\tilde{f} = \tilde{f}'$ , hence  $g = g'$  (by injectivity of  $\tilde{f}$ ), proving the claim. Thus, using Lemma 4.2.2,

$$|\mathbf{X}(A/K)| \cdot |\mathbf{X}(K)| \leq |\mathbf{X}(A)| \leq \dim_k A = \dim_K A \cdot [K : k] = \dim_K A \cdot |\mathbf{X}(K)|,$$

we see that the  $k$ -algebra  $A$  is étale if and only if the  $K$ -algebra  $A$  is étale.

Assume that this is the case. Then the equality  $|\mathbf{X}(A/K)| \cdot |\mathbf{X}(K)| = |\mathbf{X}(A)|$  implies that every element of  $\mathbf{X}(A)$  is of the form  $\tilde{f} \circ g \in \mathbf{X}(A)$  for  $f \in \mathbf{X}(K)$  and  $g \in \mathbf{X}(A/K)$ .

If  $a \in A$  then

$$\begin{aligned}
 N_{K/k} \circ N_{A/K}(a) &= \prod_{f \in \mathbf{X}(K)} f \left( \prod_{g \in \mathbf{X}(A/K)} g(a) \right) \\
 &= \prod_{f \in \mathbf{X}(K)} \prod_{g \in \mathbf{X}(A/K)} \tilde{f} \circ g(a) \\
 &= \prod_{h \in \mathbf{X}(A)} h(a) \\
 &= N_{A/k}(a),
 \end{aligned}$$

and similarly

$$\begin{aligned}
 \text{Tr}_{K/k} \circ \text{Tr}_{A/K}(a) &= \sum_{f \in \mathbf{X}(K)} f \left( \sum_{g \in \mathbf{X}(A/K)} g(a) \right) \\
 &= \sum_{f \in \mathbf{X}(K)} \sum_{g \in \mathbf{X}(A/K)} \tilde{f} \circ g(a) \\
 &= \sum_{h \in \mathbf{X}(A)} h(a) \\
 &= \text{Tr}_{A/k}(a).
 \end{aligned}$$

□

### 3. Galois algebras

In this section we fix a finite group  $G$ . As before  $k_s$  denotes a separable closure of  $k$ , and we write  $\Gamma = \text{Gal}(k_s/k)$ .

**DEFINITION 4.3.1.** A commutative  $k$ -algebra endowed with a left action of  $G$  by automorphisms of  $k$ -algebras will be called a  $G$ -algebra (over  $k$ ). A morphism of  $G$ -algebras is a  $G$ -equivariant morphism of  $k$ -algebras between  $G$ -algebras.

If  $A$  is a  $G$ -algebra, then the set  $\mathbf{X}(A)$  is naturally equipped with a right  $G$ -action by  $\Gamma$ -equivariant permutations. Explicitly for  $g \in G$  and  $f \in \mathbf{X}(A)$ , we have  $f \cdot g = f \circ g$ . Conversely, if  $X$  is  $\Gamma$ -set with a right  $G$ -action, then  $\mathbf{M}^\Gamma(X)$  is a  $G$ -algebra.

**PROPOSITION 4.3.2.** Let  $A$  be a  $G$ -algebra over  $k$ . Then the following are equivalent

- (i)  $A^G = k$ ,
- (ii)  $A$  is étale and  $G$  acts transitively on  $\mathbf{X}(A)$ .

**PROOF.** Assume that  $A^G = k$ . Let  $L/k$  be a field extension, and set  $B = A_L$ . Then  $B^G = L$  by Lemma 3.4.1. Let  $b \in B$ . Let  $S$  be the set consisting in the elements  $g \cdot b$  for  $g \in G$ . Then the polynomial

$$P = \prod_{s \in S} (X - s) \in B[X]$$

satisfies  $g(P) = P$  for all  $g \in G$ , hence belongs to  $B[X]^G = L[X]$ . Let  $I$  be the ideal of  $L[X]$  consisting of those polynomials  $Q$  such that  $Q(b) = 0$ . Assume that  $b \neq 0$  and that  $b^m = 0$  for some integer  $m$ . Then  $P(0) \neq 0$ , hence the polynomials  $X^m$  and  $P$  are relatively prime. Since  $X^m \in I$  and  $P \in I$ , we deduce that  $1 \in I$ , a contradiction. We have proved that  $B = A_L$  is reduced, which implies that  $A$  is étale.

Assume now that  $A$  is étale (and  $A^G$  is arbitrary). We use the correspondence established in Theorem 4.2.17. Let  $X = \mathbf{X}(A)$ , and consider the  $\Gamma$ -set  $Y = X/G$ . Since

a map  $Y \rightarrow k_s$  is the same thing as a map  $X \rightarrow k_s$  which is  $G$ -invariant as an element of  $\mathbf{M}(X) = A_{k_s}$ , we have

$$\mathbf{M}^\Gamma(Y) = \mathbf{M}^\Gamma(X/G) = \mathbf{M}^\Gamma(X)^G = A^G.$$

It follows that  $A^G$  is an étale  $k$ -algebra such that  $\mathbf{X}(A^G) = Y$ . Thus  $A^G = k$  if and only if  $Y$  is a single point, i.e.  $G$  acts transitively on  $X$ .  $\square$

DEFINITION 4.3.3. Let  $A$  be a  $G$ -algebra over  $k$ . We say that  $A$  is a *Galois  $G$ -algebra* (over  $k$ ) if the following conditions hold:

- (a)  $A^G = k$ ,
- (b)  $\dim_k A = |G|$ .

A morphism of Galois  $G$ -algebras is a morphism of  $G$ -algebras between Galois  $G$ -algebras.

Note that a Galois  $G$ -algebra is étale by Proposition 4.3.2. If  $L/k$  is a field extension, it follows from Lemma 3.4.1 that a  $G$ -algebra  $A$  is Galois over  $k$  if and only if  $A_L$  is Galois over  $L$ .

EXAMPLE 4.3.4. Let  $L/k$  be a field extension of finite degree. The following may be deduced from Proposition 3.3.2. If the field extension  $L/k$  is Galois, then  $L$  is a Galois  $\text{Gal}(L/k)$ -algebra over  $k$ . Conversely, if  $L$  is a  $G$ -algebra, then  $L/k$  is a Galois field extension, and the morphism  $G \rightarrow \text{Gal}(L/k)$  is bijective.

EXAMPLE 4.3.5. Consider the set  $S$  consisting of all maps  $G \rightarrow k$ , with the  $k$ -algebra structure given by pointwise operations. The group  $G$  naturally acts on  $S$ : if  $f$  is a map  $G \rightarrow k$  and  $g \in G$ , then  $g \cdot f$  is the map  $G \rightarrow k$  given by  $x \mapsto f(xg)$ . Then  $S$  is a Galois  $G$ -algebra. We have  $\mathbf{X}(S) = G$  with the trivial  $\Gamma$ -action, and the  $G$ -action given by right multiplication.

PROPOSITION 4.3.6. *The functors  $\mathbf{X}$  and  $\mathbf{M}^\Gamma$  induce a contravariant equivalence between the categories of Galois  $G$ -algebras and the category of nonempty finite  $\Gamma$ -sets with a simply transitive  $G$ -action.*

PROOF. This follows from Proposition 4.3.2, since a transitive  $G$ -action on a set of cardinality  $|G|$  is simply transitive, and conversely any nonempty set with a simply transitive  $G$ -action has cardinality  $|G|$ .  $\square$

DEFINITION 4.3.7. We say that a Galois  $G$ -algebra  $A$  is *split* if  $\Gamma$  acts trivially on  $\mathbf{X}(A)$ .

It follows from Proposition 4.3.6 that a Galois  $G$ -algebra is split if and only if it is isomorphic to the algebra  $S$  of Example 4.3.5.

PROPOSITION 4.3.8. *Let  $A$  be a Galois  $G$ -algebra, and consider the split  $G$ -algebra  $S$  of Example 4.3.5. Then there is an isomorphism of  $k$ -algebras  $A \otimes_k A \simeq S \otimes_k A$ , which is  $G$ -equivariant for the actions via the first factors, and  $A$ -linear for the module structures via the second factors.*

PROOF. Let  $X = \mathbf{X}(A)$ . Consider the map of  $\Gamma$ -sets  $G \times X \rightarrow X \times X$  given by  $(g, x) \mapsto (xg, x)$ . This map is  $G$ -equivariant if we let  $G$  act via the first factors, and yield an isomorphism of  $G$ -algebras  $A \otimes_k A \rightarrow S \otimes_k A$  under the equivalence of Proposition 4.3.6. To prove the last statement, note that the composite  $G \times X \rightarrow X \times X \rightarrow X$ , where the last map is the second projection, coincides with the projection  $G \times X \rightarrow X$ . Therefore

the composite  $A \rightarrow A \otimes_k A \rightarrow S \otimes_k A$ , where the first map is  $a \mapsto 1 \otimes a$ , coincides with the morphism of  $k$ -algebras  $A \rightarrow S \otimes_k A$  given by  $a \mapsto 1 \otimes a$ .  $\square$

**COROLLARY 4.3.9.** *Let  $A$  be a Galois  $G$ -algebra, and  $A \rightarrow K$  a morphism of  $k$ -algebras, where  $K$  is a field. Then the Galois  $G$ -algebra  $A_K$  over  $K$  is split.*

**PROOF.** Since the image of  $f: A \rightarrow K$  is a field (by Lemma 2.4.2), we may assume that  $f$  is surjective. Then the isomorphism  $A \otimes_k A \simeq S \otimes_k A$  of Proposition 4.3.8 induces an isomorphism of  $G$ -algebras  $A \otimes_k K \simeq S \otimes_k K$  over  $K$ .  $\square$

**PROPOSITION 4.3.10.** *Let  $A$  be a Galois  $G$ -algebra. Then for any  $a \in A$ , we have*

$$\text{Cp}_{A/k}(a) = \prod_{g \in G} (X - g \cdot a)$$

(using the notation of Definition 4.2.20). In particular

$$\text{N}_{A/k}(a) = \prod_{g \in G} g \cdot a \quad \text{and} \quad \text{Tr}_{A/k}(a) = \sum_{g \in G} g \cdot a.$$

**PROOF.** Pick an element  $f$  in the nonempty set  $\mathbf{X}(A)$ . Then  $\mathbf{X}(A) = \{f \circ g | g \in G\}$ , hence the formula follows from Proposition 4.2.21.  $\square$

## CHAPTER 5

**Torsors, cocycles, and twisted forms**

In this chapter we introduce the notion of torsor (also called principal homogeneous space), under a group  $G$  equipped with a continuous action of the absolute Galois group. Such objects coincide with  $G$  as sets, but carry a different Galois action.

Torsors naturally appear in the study of twisted forms of algebraic objects, that is, objects defined over a base field, which become isomorphic to a given object (called split) over the separable closure of the base field. In this situation, the group  $G$  is the automorphism group of the split object. Examples of twisted forms include étale algebras, Galois algebras, finite-dimensional central simple algebras, nondegenerate quadratic forms,...

A related notion is that of 1-cocycles. These objects provide a more computational approach to torsors, and admit higher dimensional generalisations which will be explored in the next chapters. The set of 1-cocycles is endowed with a natural equivalence relation, so that the set of equivalence classes (called the first cohomology set) is in bijection with the set of isomorphism classes of twisted forms, or of torsors. An important subtlety is that twisted forms correspond to torsors, but not to 1-cocycles; this is only true “up to isomorphism”, and therefore some care is required when working with twisted forms and 1-cocycles. Another pitfall is that the cohomology of various groups are related by exact sequences (as one might expect), but these are only sequences of pointed sets. In particular such sequences only provide information concerning the fiber over the split object (the base point).

**1. Torsors**

In this section  $\Gamma$  is a profinite group, and  $G$  a  $\Gamma$ -group (Definition 3.2.10). We will denote by  $(g, h) \mapsto g \cdot h$  the group operation in  $G$ .

DEFINITION 5.1.1. A left  $G$ -action on a  $\Gamma$ -set  $X$  is called *compatible* if

$$\gamma(g \cdot x) = (\gamma g) \cdot (\gamma x) \quad \text{for } x \in X \text{ and } g \in G.$$

Similarly, a right  $G$ -action on a  $\Gamma$ -set  $X$  is called *compatible* if

$$\gamma(x \cdot g) = (\gamma x) \cdot (\gamma g) \quad \text{for } x \in X \text{ and } g \in G.$$

DEFINITION 5.1.2. Let  $X$  be a  $\Gamma$ -set equipped with a compatible right  $G$ -action. We say that  $X$  is a  $G$ -torsor if  $X$  is nonempty and the  $G$ -action on  $X$  is simply transitive.

A morphism of  $G$ -torsors is a map between torsors compatible with the  $\Gamma$ - and  $G$ -actions. Such a morphism is always bijective (because of the simple transitivity), and the inverse map is automatically  $\Gamma$ - and  $G$ -equivariant. Thus all morphisms of  $G$ -torsors are isomorphisms.



EXAMPLE 5.1.3. Let  $\Gamma = \text{Gal}(k_s/k)$ , and  $G$  a finite group considered as a  $\Gamma$ -group with trivial  $\Gamma$ -action. We have seen in Proposition 4.3.6 that the category of  $G$ -torsors is equivalent to the opposite of the category of Galois  $G$ -algebras over  $k$ .

Let  $X$  be a  $\Gamma$ -set with a compatible left  $G$ -action, and let  $P$  be a  $G$ -torsor. We now describe a procedure that yields another  $\Gamma$ -set  ${}_P X$ , called *the twist of  $X$  by  $P$* .

DEFINITION 5.1.4. We define an equivalence relation on  $P \times X$  by letting  $(p, x)$  be equivalent to  $(p \cdot g, g^{-1} \cdot x)$ , whenever  $p \in P, x \in X, g \in G$ . The set of equivalence classes will be denoted by  ${}_P X$ .

Setting  $\gamma(p, x) = (\gamma p, \gamma x)$  for  $p \in P, x \in X, \gamma \in G$  defines a  $\Gamma$ -action on the set  ${}_P X$ .

LEMMA 5.1.5. *The  $\Gamma$ -action on  ${}_P X$  is continuous.*

PROOF. Let  $(p, x)$  be an arbitrary element of  ${}_P X$ , where  $p \in P$  and  $x \in X$ . By continuity of the  $\Gamma$ -actions on  $P$  and  $X$ , there are open subgroups  $U$  and  $V$  in  $\Gamma$  fixing respectively  $p$  and  $x$ . Then  $U \cap V$  is an open subgroup in  $\Gamma$  which fixes  $(p, x) \in {}_P X$ .  $\square$

To each element  $p \in P$  correspond a bijection

$$(5.1.a) \quad \pi_p: X \rightarrow {}_P X, \quad x \mapsto (p, x).$$

This map is not  $\Gamma$ -equivariant in general; in fact we have for any  $p \in P, x \in X, \gamma \in \Gamma$ ,

$$(5.1.b) \quad \pi_p(\gamma x) = \gamma \pi_{\gamma^{-1}p}(x).$$

Also observe that, for any  $p \in P, x \in X, g \in G$ ,

$$(5.1.c) \quad \pi_p(g \cdot x) = \pi_{p \cdot g}(x).$$

Assume now that  $V$  is an  $F$ -vector space, equipped with a semilinear continuous left  $\Gamma$ -action and a compatible left  $G$ -action by  $F$ -automorphisms. Then the set  ${}_P V$  is naturally an  $F$ -vector space, the  $\Gamma$ -action on  ${}_P V$  is semilinear, and the maps  $\pi_p: V \rightarrow {}_P V$  are  $F$ -linear.

## 2. Twisted forms

Let us denote by  $\text{Sep}_k$  the category of separable field extensions<sup>1</sup> of  $k$ , a morphism between two such extensions being just a morphism of  $k$ -algebras. Let  $\mathcal{F}$  be a functor  $\text{Sep}_k \rightarrow \text{Sets}$ . For any  $L \in \text{Sep}_k$ , the group  $\text{Aut}_{k\text{-alg}}(L)$  naturally acts on  $\mathcal{F}(L)$ ; explicitly if  $\gamma \in \text{Aut}_{k\text{-alg}}(L)$  and  $x \in \mathcal{F}(L)$ , then  $\gamma x = \mathcal{F}(\gamma)(x)$ .

DEFINITION 5.2.1. We will say that  $\mathcal{F}$  is a sheaf of sets, or simply a  $k$ -set (nonstandard terminology), if for all morphisms  $K \rightarrow L$  in  $\text{Sep}_k$  with  $L/K$  Galois, the  $\text{Gal}(L/K)$ -action on the set  $\mathcal{F}(L)$  is continuous, and the map

$$\mathcal{F}(K) \rightarrow \mathcal{F}(L)^{\text{Gal}(L/K)}$$

is bijective. A morphism of  $k$ -sets is just a natural transformation between  $k$ -sets. The notion of  $k$ -groups is defined similarly.

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<sup>1</sup>for us a separable field extension is algebraic.

REMARK 5.2.2. Let us fix a separable closure  $k_s$  of  $k$ . If  $X$  is a  $k$ -set, then  $X(k_s)$  is a  $\text{Gal}(k_s/k)$ -set. Conversely, assume that  $Y$  is a  $\Gamma$ -set. Let  $L \in \text{Sep}_k$ . Then there exists a morphism of  $k$ -algebras  $\varphi: L \rightarrow k_s$ , which allows us to view  $L$  as a subextension of  $k_s$ . The set  $X(L) = Y^{\text{Gal}(k_s/L)}$  does not depend on the choice of  $\varphi$ , since any other such choice is of the form  $\gamma \circ \varphi$ , where  $\gamma \in \text{Gal}(k_s/L)$ . Now let  $f: L \rightarrow L'$  be a morphism in  $\text{Sep}_k$ . Choose morphisms of  $k$ -algebras  $\varphi: L \rightarrow k_s$  and  $\varphi': L' \rightarrow k_s$ . Then there exists  $\gamma$  such that  $\gamma \circ \varphi = \varphi' \circ f$ , and we define a map  $X(L) \rightarrow X(L')$  as the restriction of the action  $\gamma$  on  $Y$ . As above, this map is independent of the choice of  $\gamma, \varphi, \varphi'$ . It is easy to verify that we have thus defined a  $k$ -set  $X$  such that  $X(k_s) = Y$  as  $\text{Gal}(k_s/k)$ -sets. Moreover, if  $Y = X'(k_s)$  for some  $k$ -set  $X'$ , then there is a natural isomorphism of  $k$ -sets  $X' \rightarrow X$ .

EXAMPLE 5.2.3. Any set  $X$  defines a  $k$ -set taking the value  $X$  on every separable extension  $L/k$ . We will denote again by  $X$  this  $k$ -set. Note that all Galois group actions on  $X$  are trivial.

EXAMPLE 5.2.4. Let  $V$  be a vector space over  $k$ . Every morphism  $E \rightarrow L$  in  $\text{Sep}_k$  induces a group morphism  $V_E \rightarrow V_L$ , so that we may define a functor  $\text{Sep}_k \rightarrow \text{Groups}$  by  $L \mapsto V_L$ . We have proved in Lemma 3.4.3 that this functor is in fact a  $k$ -group.

When  $V = k$ , we will denote this  $k$ -group by  $\mathbb{G}_a$ . Thus  $\mathbb{G}_a(L) = L$  (as groups) for any separable extension  $L/k$ .

Let us now fix an integer  $n \in \mathbb{N}$  and a collection of integers  $m_1, \dots, m_n, m'_1, \dots, m'_n \in \mathbb{N}$ . When  $V$  is a vector space over a field  $K$  we will write

$$T(V) = \bigoplus_{i=1}^n \text{Hom}_K(V^{\otimes m_i}, V^{\otimes m'_i}),$$

and if  $\varphi: V \rightarrow W$  is a  $K$ -isomorphism, we will write

$$T(\varphi) = \bigoplus_{i=1}^n \text{Hom}_K((\varphi^{-1})^{\otimes m'_i}, \varphi^{\otimes m_i}): T(V) \rightarrow T(W).$$

If  $\psi: U \rightarrow V$  is another  $K$ -isomorphism, then

$$(5.2.a) \quad T(\varphi) \circ T(\psi) = T(\varphi \circ \psi).$$

In fact we thus defined a functor  $T$  from the subcategory of  $k$ -vector spaces, where morphisms are the  $k$ -automorphisms, to itself. This construction is compatible with scalars extension, that is, if  $L/K$  is a field extension, then  $T(V_K) = T(V)_K$ .

Let us now fix a Galois extension  $F/k$ , and set  $\Gamma = \text{Gal}(F/k)$ . We also fix a finite-dimensional  $k$ -vector space  $S$  and element  $s \in T(S)$ .

DEFINITION 5.2.5. A *twisted form* of  $(S, s)$  is a pair  $(R, r)$ , where  $R$  is a  $k$ -vector space and  $r \in T(R)$  so that there is an isomorphism of  $F$ -vector spaces  $\varphi: S_F \rightarrow R_F$  such that  $T(\varphi)(s_F) = r_F$ . A morphism  $(R, r) \rightarrow (R', r')$  of twisted forms of  $(S, s)$  is an isomorphism of  $k$ -vector spaces  $\psi: R \rightarrow R'$  such that  $T(\psi)(r) = r'$ . This defines a category of twisted forms of  $(S, s)$ .

REMARK 5.2.6. The isomorphism  $\varphi$  is *not* part of the data, only its existence is required.

Let  $(R, r)$  be a twisted form of  $(S, s)$ . For every separable extension  $L/k$ , consider the set

$$\mathcal{I}(L) = \left\{ \begin{array}{l} \text{isomorphisms of } L\text{-vector spaces } \varphi: S_L \rightarrow R_L \\ \text{such that } T(\varphi)(s_L) = r_L. \end{array} \right\}$$

When  $f: E \rightarrow L$  is a morphism in  $\mathbf{Sep}_k$  and  $\varphi \in \mathcal{I}(E)$ , the map  $\mathcal{I}(f)(\varphi) = \varphi \otimes_E \text{id}_L$  fits into the commutative diagram

$$(5.2.b) \quad \begin{array}{ccc} S_E & \xrightarrow{\varphi} & R_E \\ \text{id}_S \otimes_k f \downarrow & & \downarrow \text{id}_R \otimes_k f \\ S_L & \xrightarrow{\mathcal{I}(f)(\varphi)} & R_L \end{array}$$

We have thus defined a functor  $\mathcal{I}: \mathbf{Sep}_k \rightarrow \mathbf{Sets}$ . When necessary, we will use the more precise notation  $\mathcal{I}_{(R,r)}$  for this functor. It follows from the diagram (5.2.b) (with  $E = L$  and  $f = \gamma$ ) that the action of  $\gamma \in \text{Aut}_{k\text{-alg}}(L)$  on  $\varphi \in \mathcal{I}(L)$  is given by

$$(5.2.c) \quad \gamma\varphi = (\text{id}_R \otimes_k \gamma) \circ \varphi \circ (\text{id}_S \otimes_k \gamma^{-1}).$$

LEMMA 5.2.7. *The functor  $\mathcal{I}$  is a  $k$ -set.*

PROOF. Let  $f: K \rightarrow L$  be a morphism in  $\mathbf{Sep}_k$  so that  $L/K$  is Galois, and  $\varphi \in \mathcal{I}(L)$ . Let  $x_1, \dots, x_r$  be a finite generating set of the  $k$ -vector space  $S$  (which is assumed to be finite-dimensional). Since  $\text{Gal}(L/K)$  acts continuously on  $R_L$  (by Lemma 3.4.3), we may find an open normal subgroup  $U$  of  $\text{Gal}(L/K)$  acting trivially on  $\varphi(x_i \otimes 1) \in R_L$  for  $i = 1, \dots, r$ . Then for any  $\gamma \in U$  and  $i = 1, \dots, r$ , we have by (5.2.c)

$$\gamma\varphi(x_i \otimes 1) = (\text{id}_R \otimes_k \gamma) \circ \varphi \circ (\text{id}_S \otimes_k \gamma^{-1})(x_i \otimes 1) = \varphi(x_i \otimes 1),$$

so that the subgroup  $U$  fixes  $\varphi$ . We have proved that  $\mathcal{I}(L)$  is a  $\text{Gal}(L/K)$ -set.

Since the morphism  $\text{id}_R \otimes_k f: R_K \rightarrow R_L$  is injective, the diagram (5.2.b) (with  $E = K$ ) implies that  $\mathcal{I}(K) \rightarrow \mathcal{I}(L)$  is injective. Assume now that  $\varphi$  lies in  $\mathcal{I}(L)^{\text{Gal}(L/K)}$ . In view of the formula (5.2.c), the morphism  $\varphi: S_L \rightarrow R_L$  is  $\text{Gal}(L/K)$ -invariant. Since  $R_K = (R_L)^{\text{Gal}(L/K)}$  by Lemma 3.4.3, there is an induced morphism  $\psi = \varphi^{\text{Gal}(L/K)}: S_K \rightarrow R_K$ . This is an isomorphism (with inverse  $(\varphi^{-1})^{\text{Gal}(L/K)}$ ). We have  $\psi_L = \varphi$  by Proposition 3.4.5, and the condition  $T(\varphi)(s_L) = r_L$  implies that  $T(\psi)(s_K) = r_K$  (because  $T(R)_K \rightarrow T(R)_L$  is injective). We have thus constructed an element  $\psi \in \mathcal{I}(K)$  mapping to  $\varphi \in \mathcal{I}(L)$ .  $\square$

In the special case  $(R, r) = (S, s)$ , the functor  $\mathcal{I}$  is naturally a  $k$ -group that we denote by  $\text{Aut}(S, s)$ . Thus for every separable extension  $L/k$

$$\text{Aut}(S, s)(L) = \{L\text{-automorphisms } \varphi \text{ of } S_L \text{ such that } T(\varphi)(s_L) = s_L\}.$$

In general  $\mathcal{I}(L)$  is equipped with a simply transitive right  $\text{Aut}(S, s)(L)$ -action. In particular  $\text{Aut}(S, s)(F)$  is a  $\Gamma$ -group, and  $\mathcal{I}(F)$  is an  $\text{Aut}(S, s)(F)$ -torsor.

EXAMPLE 5.2.8. Lemma 5.2.7 yields many examples of  $k$ -groups. For instance taking  $s = 0$  and  $T = 0$  yields the  $k$ -group  $\text{GL}(V)$ , which satisfies for any separable field extension  $L/k$ .

$$\text{GL}(V)(L) = \text{Aut}_L(V_L).$$

When  $n$  is an integer, we write  $\text{GL}_n = \text{GL}(k^n)$ , as well as  $\mathbb{G}_m = \text{GL}_1$ .

EXAMPLE 5.2.9. More generally, let  $A$  be a finite-dimensional  $k$ -algebra and  $S$  an  $A$ -module, of finite dimension over  $k$ . The  $A$ -module structure is given by a  $k$ -linear map  $S \otimes_k A \rightarrow S$ . Setting  $T(S) = \text{Hom}_k(S \otimes_k A, S)$  (choosing a  $k$ -basis of  $A$ ), we see that

$$L \mapsto \text{Aut}_{A_L}(S_L)$$

defines a  $k$ -group. When  $S = A^{\oplus n}$ , we denote this  $k$ -group by  $\text{GL}_n(A)$ . In particular we have  $\text{GL}_1(A)(L) = (A_L)^\times$  for all separable field extensions  $L/k$ .

We now start with an  $\text{Aut}(S, s)(F)$ -torsor  $P$  and construct a twisted form  $(R, r)$  of  $(S, s)$ . Consider the  $\Gamma$ -set  ${}_P S_F$  introduced in Definition 5.1.4. The element  $s_F \in T({}_P S_F)$  is  $\text{Aut}(S, s)(F)$ -equivariant (by definition of  $\text{Aut}(S, s)$ ). It thus follows from (5.1.c) and (5.2.a) that its image  $r' = T(\pi_p)(s_F) \in T({}_P S_F)$  does not depend on the choice of  $p \in P$ . Also  $s_F$  is  $\Gamma$ -invariant (being defined over  $k$ ), and (5.1.b) (together with independence of  $r'$  in  $p$ ) implies that  $r'$  is  $\Gamma$ -invariant. Setting  $R = ({}_P S_F)^\Gamma$ , we have a  $\Gamma$ -equivariant identification of  $F$ -vector spaces  $R_F = {}_P S_F$  by Proposition 3.4.5. The element  $r'$  lies in

$$T({}_P S_F)^\Gamma = T(R_F)^\Gamma = (T(R)_F)^\Gamma.$$

By Lemma 3.4.3, this implies that  $r' = r_F$  for some  $r \in T(R)$ . The choice of an element  $p \in P$  yields an isomorphism  $\varphi: S_F \xrightarrow{\pi_p} {}_P S_F = R_F$  such that  $T(\varphi)(s_F) = r_F$ . We have thus constructed a twisted form  $(R, r)$  of  $(S, s)$ , which will be denoted by  $(R(P), r(P))$  when necessary.

PROPOSITION 5.2.10. *The above defined associations*

$$(R, r) \mapsto \mathcal{I}_{(R, r)}(F) \quad \text{and} \quad P \mapsto (R(P), r(P))$$

*induce an equivalence between the categories of  $\text{Aut}(S, s)(F)$ -torsors and of twisted forms of  $(S, s)$ .*

PROOF. Let  $(R, r)$  be a twisted form of  $(S, s)$ , and set  $P = \mathcal{I}_{(R, r)}(F)$ . The isomorphism

$$u: R_F \xrightarrow{\varphi^{-1}} S_F \xrightarrow{\pi_\varphi} {}_P S_F = R(P)_F$$

does not depend on the choice of  $\varphi \in P$ , since for  $g \in \text{Aut}(S, s)(F)$ , we have by (5.1.c)

$$\pi_{\varphi \cdot g} \circ (\varphi \cdot g)^{-1} = \pi_\varphi \circ g \circ g^{-1} \circ \varphi^{-1} = \pi_\varphi \circ \varphi^{-1}.$$

We have  $T(u)(r_F) = T(\pi_\varphi)(s_F) = r(P)_F$  (by construction of  $r(P)$ ). The morphism  $u$  is  $\Gamma$ -equivariant, since for  $\gamma \in \Gamma$  we have by (5.2.c) and (5.1.b)

$$\begin{aligned} u \circ (\text{id}_R \otimes \gamma) &= \pi_\varphi \circ \varphi^{-1} \circ (\text{id}_R \otimes \gamma) \\ &= \pi_\varphi \circ (\text{id}_S \otimes \gamma) \circ (\gamma^{-1} \varphi^{-1}) \\ &= (\text{id}_{R(P)} \otimes \gamma) \circ \pi_{\gamma^{-1} \varphi^{-1}} \circ (\gamma^{-1} \varphi) \\ &= (\text{id}_{R(P)} \otimes \gamma) \circ u, \end{aligned}$$

where we used the independence of  $u$  in the choice of  $\varphi$  for the last step. In view of Lemma 3.4.3, the morphism  $u$  induces an isomorphism  $u^\Gamma: R \rightarrow R(P)$  such that  $T(u^\Gamma)(r) = r(P)$ . Therefore the twisted forms  $(R, r)$  and  $(R(P), r(P))$  are isomorphic.

Conversely, let  $P$  be a  $\text{Aut}(S, s)(F)$ -torsor, and write  $(R, r) = (R(P), r(P))$ . Consider the map

$$v: P \rightarrow \mathcal{I}_{(R, r)}(F)$$

sending  $p \in P$  to the map  $S_F \xrightarrow{\pi_p} {}_P S_F = R_F$ . The morphism  $v$  is  $\Gamma$ -equivariant, since for any  $p \in P$  we have by (5.1.b) and (5.2.c)

$$v(\gamma p) = (\text{id}_R \otimes \gamma) \circ v(p) \circ (\text{id}_S \otimes \gamma^{-1}) = (\gamma v)(p).$$

The morphism  $v$  is also  $\text{Aut}(S, s)(F)$ -equivariant by (5.1.c), and is therefore an isomorphism of  $\text{Aut}(S, s)(F)$ -torsors.

To conclude, it only remains to notice that these associations define functors, and that the isomorphisms  $u$  and  $v$  are functorial.  $\square$

Assume now that  $S$  is a finite-dimensional  $k$ -algebra. The multiplication in  $S$  defines an element  $s \in T(S) = \text{Hom}_k(S \otimes_k S, S)$ . The group  $\text{Aut}(S, s)(L)$  defined above coincides with  $\text{Aut}_{L\text{-alg}}(S_L)$ , when  $L/k$  is a separable field extension. Let  $A$  be a  $k$ -algebra such that  $A_F \simeq S_F$  as  $F$ -algebras. Then the  $k$ -vector space  $A$  together with the product of  $A$ , viewed as an element of  $\text{Hom}_k(A \otimes_k A, A)$ , define a twisted form of  $(S, s)$ . Conversely, let  $(R, r)$  be a twisted form of  $(S, s)$ . Then  $r$  defines a product  $R \otimes_k R \rightarrow R$ . The induced product on  $R_F$  defines a  $F$ -algebra structure (isomorphic to  $S_F$ ). This implies that the product on  $R$  is associative. For  $\gamma \in \Gamma$  and  $a, b \in R_F$ , we have  $(\gamma a)(\gamma b) = \gamma(ab)$ , hence

$$\gamma 1 = (\gamma 1)1 = (\gamma 1)(\gamma \gamma^{-1} 1) = \gamma(1 \gamma^{-1} 1) = \gamma \gamma^{-1} 1 = 1 \in R_F,$$

so that  $1 \in R \subset R_F$ , and it follows that the product on  $R$  defines a  $k$ -algebra structure.

In conclusion, a twisted form of  $(S, s)$  is precisely a  $k$ -algebra  $A$  such that  $A_F \simeq S_F$  as  $F$ -algebras. Note that if the twisted form  $(R, r)$  corresponds to the  $\text{Aut}(S, s)(F)$ -torsor  $P$  under the correspondence of Proposition 5.2.10, then the  $k$ -algebra  $R$  may be identified with  ${}_P S_F$ . We have thus proved:

**PROPOSITION 5.2.11.** *Let  $S$  be a finite-dimensional  $k$ -algebra. There is a contravariant equivalence between the category of  $k$ -algebras  $A$  such that  $A_F \simeq S_F$  as  $F$ -algebras, and the category of  $\text{Aut}_{F\text{-alg}}(S_F)$ -torsors.*

**EXAMPLE 5.2.12.** (Étales algebras) Since  $\text{Aut}_{k_s\text{-alg}}(k_s^n)$  is the symmetric group  $\mathfrak{S}_n$  with the trivial  $\text{Gal}(k_s/k)$ -action, étale  $k$ -algebras of dimension  $n$  correspond to  $\mathfrak{S}_n$ -torsors.

**EXAMPLE 5.2.13.** (Galois  $G$ -algebras) Let  $G$  be a finite group, viewed as a  $\Gamma$ -group with the trivial  $\text{Gal}(k_s/k)$ -action. Consider the split Galois  $G$ -algebra  $S$  described in Example 4.3.5. Its automorphism group is the group of  $G$ -equivariant automorphisms of the set  $\mathbf{X}(S) = G$ , which coincides with  $G$ . Therefore Galois  $G$ -algebras correspond to  $G$ -torsors. One may see that the  $G$ -torsor corresponding to a  $G$ -algebra  $A$  is isomorphic to  $\mathbf{X}(A)$ , thus recovering Proposition 4.3.6.

**EXAMPLE 5.2.14.** (Quadratic forms) Let  $n$  be an integer and assume that the characteristic of  $k$  is not 2. A basic result in quadratic form theory asserts that all nondegenerate quadratic forms of rank  $n$  are twisted forms of the “split” quadratic form  $q$  given by  $(x_1, \dots, x_n) \mapsto x_1^2 + \dots + x_n^2$ . For each separable field extension  $L/k$ , let  $\text{O}_n(L)$  be the group of isometries of the bilinear form  $q_L$ . Then  $\text{O}_n$  defines a  $k$ -group by Lemma 5.2.7, and  $\text{O}_n(k_s)$ -torsors correspond to isometry classes of nondegenerate bilinear forms of rank  $n$ .

**EXAMPLE 5.2.15.** (Central simple algebras) Let  $n$  be an integer. Setting for each separable field extension  $L/k$

$$\text{PGL}_n(L) = \text{Aut}_{L\text{-alg}}(M_n(L))$$

defines a  $k$ -group by Lemma 5.2.7. Finite-dimensional central simple  $k$ -algebras of degree  $n$  correspond to  $\mathrm{PGL}_n(k_s)$ -torsors.

REMARK 5.2.16. In all the above examples, we may replace  $k_s/k$  by an arbitrary Galois extension  $F/k$ , and obtain classifications of twisted forms “split by  $F/k$ ”.

### 3. 1-cocycles

In this section we fix a profinite group  $\Gamma$ . Let  $A$  be a  $\Gamma$ -group. As before, we denote by  $a \mapsto \gamma a$  the action on  $A$  of  $\gamma \in \Gamma$  and by  $(a, b) \mapsto a \cdot b$  the group operation in  $A$ .

DEFINITION 5.3.1. A 1-cocyle of  $\Gamma$  with values in  $A$  is a continuous map  $\xi: \Gamma \rightarrow A$  (for the discrete topology on  $A$ ) that we denote by  $\gamma \mapsto \xi_\gamma$ , and such that

$$(5.3.a) \quad \xi_{\gamma\tau} = \xi_\gamma \cdot (\gamma\xi_\tau) \quad \text{for all } \gamma, \tau \in \Gamma.$$

The set of 1-cocycles  $\Gamma \rightarrow A$  will be denoted by  $Z^1(\Gamma, A)$ . We define an equivalence relation by declaring two 1-cocycles  $\xi, \eta$  *cohomologous* if there is  $a \in A$  such that

$$\eta_\gamma = a^{-1} \cdot \xi_\gamma \cdot (\gamma a) \quad \text{for all } \gamma \in \Gamma.$$

The set of equivalence classes is denoted by  $H^1(\Gamma, A)$ .

Assume now that  $M$  is a  $\Gamma$ -module (we still use the multiplicative notation for the group operation in  $M$ ). Setting for  $\xi, \eta \in Z^1(\Gamma, M)$  and  $\gamma \in \Gamma$

$$(\xi\eta)_\gamma = \xi_\gamma \eta_\gamma,$$

turns  $Z^1(\Gamma, M)$  into an abelian group, compatibly with the equivalence relation defined above. Thus  $H^1(\Gamma, M)$  is naturally an abelian group.

REMARK 5.3.2. If  $\xi: \Gamma \rightarrow A$  is a 1-cocyle, note that  $\xi_1 = 1$ , and that

$$\xi_\gamma^{-1} = \gamma\xi_{\gamma^{-1}} \quad \text{for all } \gamma \in \Gamma.$$

REMARK 5.3.3. If the  $\Gamma$ -action on the  $\Gamma$ -group  $A$  is trivial, a 1-cocyle  $\Gamma \rightarrow A$  is just a continuous group morphism  $\Gamma \rightarrow A$ . Two 1-cocycles are cohomologous if and only if they are conjugated by an element of  $A$ . In particular if  $M$  is a  $\Gamma$ -module with trivial  $\Gamma$ -action, then  $Z^1(\Gamma, M) = H^1(\Gamma, M)$  is the group of continuous group morphisms  $\Gamma \rightarrow M$ .

Let  $\xi: \Gamma \rightarrow A$  be a 1-cocyle. Let  $X$  be a  $\Gamma$ -set with a compatible left  $A$ -action, denoted by  $(a, x) \mapsto a \cdot x$ . For  $\gamma \in \Gamma$  and  $x \in X$ , we set

$$(5.3.b) \quad \gamma \star_\xi x = \xi_\gamma \cdot (\gamma x).$$

A straight-forward verification show that this defines a  $\Gamma$ -action on  $X$ . Any  $x \in X$  is fixed by some open subgroup  $V \subset \Gamma$  (for the original action), and the 1-cocyle  $\xi$  factors through  $\Gamma/U$  for some open subgroup  $U \subset \Gamma$  by Lemma 3.2.11. Then  $\gamma \star_\xi x = x$  for all  $\gamma \in U \cap V$ , which proves the  $\Gamma$ -action defined in (5.3.b) is continuous.

DEFINITION 5.3.4. The  $\Gamma$ -action defined in (5.3.b) is called the action *twisted by  $\xi$* . The set  $X$  equipped with that action is a  $\Gamma$ -set, that we denote by  ${}_\xi X$ .

Now let  $a \in A$ , and consider the 1-cocycle  $\xi': \Gamma \rightarrow A$  defined by

$$\xi'_\gamma = a^{-1} \cdot \xi_\gamma \cdot (\gamma a) \quad \text{for } \gamma \in \Gamma.$$

A straight-forward computation shows that the left action of  $a$  on  $X$  induces an isomorphism of  $\Gamma$ -sets  ${}_\xi X \rightarrow {}_{\xi'} X$ . This shows that twisting the action by cohomologous 1-cocycles yields isomorphic  $\Gamma$ -sets.

REMARK 5.3.5. The above isomorphism depends on the choice of  $a$  (and not simply on  $\xi, \xi'$ ), hence we cannot define a  $\Gamma$ -set  ${}_{\xi}X$  for  $\xi \in H^1(\Gamma, A)$ .

PROPOSITION 5.3.6. *Let  $G$  be a  $\Gamma$ -group. The set  $H^1(\Gamma, G)$  is naturally in bijection with the set of isomorphism classes of  $G$ -torsors.*

PROOF. This follows from (i), (ii), (iii) in the more precise Lemma 5.3.7 below.  $\square$

LEMMA 5.3.7. *Let  $G$  be a  $\Gamma$ -group. We view  $G$  as a  $\Gamma$ -set, with the  $G$ -action given by right multiplication. Then*

- (i) *If  $\xi: \Gamma \rightarrow G$  is a 1-cocycle, then  ${}_{\xi}G$  is a  $G$ -torsor.*
- (ii) *Every  $G$ -torsor is isomorphic to  ${}_{\xi}G$  for some 1-cocycle  $\xi: \Gamma \rightarrow G$ .*
- (iii) *Let  $\xi, \xi'$  be 1-cocycles  $\Gamma \rightarrow G$ . Then  ${}_{\xi}G \simeq {}_{\xi'}G$  as  $G$ -torsors if and only if  $\xi$  and  $\xi'$  are cohomologous.*
- (iv) *Let  $P$  be a  $G$ -torsor and  $p \in P$ . Then there is a unique map  $\xi: \Gamma \rightarrow G$  such that  $\gamma p = p \cdot \xi_{\gamma}$  for all  $\gamma \in \Gamma$ . The map  $\xi$  is a 1-cocycle such that  $P \simeq {}_{\xi}G$  as  $G$ -torsors.*
- (v) *Let  $X$  be a  $\Gamma$ -set with a compatible left  $G$ -action. Let  $\xi: \Gamma \rightarrow G$  be a 1-cocycle, and  $P = {}_{\xi}G$ . Then  ${}_P X \simeq {}_{\xi}X$  as  $\Gamma$ -sets.*

PROOF. (i): We need to check that the  $G$ -action on itself given by right multiplication is compatible with the twisted  $\Gamma$ -action. Indeed, for  $g, h \in G$  and  $\gamma \in \Gamma$ , we have

$$\gamma \star_{\xi} (g \cdot h) = \xi_{\gamma} \cdot (\gamma(g \cdot h)) = \xi_{\gamma} \cdot (\gamma g) \cdot (\gamma h) = (\gamma \star_{\xi} g) \cdot \gamma(h).$$

(iv): The first statement follows from the simple transitivity of the  $G$ -action on  $P$ . If  $U$  is an open subgroup of  $\Gamma$  acting trivially on  $p$ , then  $\xi$  factors as  $\Gamma/U \rightarrow G$ , so that the map  $\xi$  is continuous by Lemma 3.2.11. For  $\gamma, \tau \in \Gamma$ , we have

$$\gamma \tau p = \gamma(p \cdot \xi_{\tau}) = (\gamma p) \cdot (\gamma \xi_{\tau}) = p \cdot \xi_{\gamma} \cdot (\gamma \xi_{\tau}),$$

so that  $\xi_{\gamma \tau} = \xi_{\gamma} \cdot (\gamma \xi_{\tau})$ , proving that  $\xi$  is 1-cocycle. The map  ${}_{\xi}G \rightarrow P$  given by  $g \mapsto p \cdot g$  is  $G$ -equivariant for the right  $G$ -actions. It is also  $\Gamma$ -equivariant, since for any  $\gamma \in \Gamma$  and  $g \in G$ , we have

$$p \cdot (\gamma \star_{\xi} g) = p \cdot \xi_{\gamma} \cdot (\gamma g) = (\gamma p) \cdot (\gamma g) = \gamma(p \cdot g).$$

The map  ${}_{\xi}G \rightarrow P$  is thus a morphism of  $G$ -torsors, hence an isomorphism.

(ii): Since a torsor is nonempty by definition, this follows from (iv).

(iii): One implication has already been observed. Let  $\varphi: {}_{\xi}G \rightarrow {}_{\xi'}G$  be an isomorphism of  $G$ -torsors. Set  $a = \varphi(1)$ . Then for any  $\gamma \in \Gamma$

$$\xi'_{\gamma} \cdot (\gamma a) = \gamma \star_{\xi'} a = \gamma \star_{\xi'} \varphi(1) = \varphi(\gamma \star_{\xi} 1) = \varphi(\xi_{\gamma} \cdot 1) = \varphi(1 \cdot \xi_{\gamma}) = \varphi(1) \cdot \xi_{\gamma} = a \cdot \xi_{\gamma},$$

proving that  $\xi$  and  $\xi'$  are cohomologous.

(v): Consider the element  $p \in P$  corresponding to  $1 \in G$ . Then we have  $\gamma p = p \cdot \xi_{\gamma}$  for any  $\gamma \in \Gamma$ . In view of (5.1.b) and (5.1.c), we have, for any  $x \in X$  and  $\gamma \in \Gamma$ ,

$$\pi_p(\gamma \star_{\xi} x) = \pi_p(\xi_{\gamma} \cdot (\gamma x)) = \pi_{p \cdot \xi_{\gamma}}(\gamma x) = \pi_{\gamma p}(\gamma x) = \gamma \pi_p(x)$$

proving that the map  $\pi_p: X \rightarrow {}_P X$  induces a  $\Gamma$ -equivariant bijection  ${}_{\xi}X \rightarrow {}_P X$ .  $\square$

DEFINITION 5.3.8. A *pointed set* is a set equipped with a distinguished element. We will denote by  $1$  the pointed set  $\{*\}$  with its distinguished element  $*$ . A morphism of pointed sets is a map sending the distinguished element to the distinguished element. The

image of such a map is naturally a pointed set; the kernel of a morphism of pointed sets is the preimage of the distinguished element. We say that a sequence of pointed sets

$$A_0 \xrightarrow{f_0} A_1 \xrightarrow{f_1} \cdots \xrightarrow{f_n} A_n$$

is exact if  $\ker f_i = \operatorname{im} f_{i-1}$  for  $i = 1, \dots, n$

The set  $H^1(\Gamma, A)$  is naturally pointed, the distinguished element being given by the class of the 1-cocycle  $\gamma \mapsto 1$ .

REMARK 5.3.9. Let  $A, B$  be  $\Gamma$ -groups. Then the pointed set  $H^1(\Gamma, A \times B)$  is naturally isomorphic to  $H^1(\Gamma, A) \times H^1(\Gamma, B)$ .

Composing 1-cocycles  $\Gamma \rightarrow A$  with a morphism of  $\Gamma$ -groups  $f: A \rightarrow B$  yields a morphism of pointed sets

$$f_*: H^1(\Gamma, A) \rightarrow H^1(\Gamma, B).$$

PROPOSITION 5.3.10. *Let  $B$  be a  $\Gamma$ -group, and  $A \subset B$  a  $\Gamma$ -subgroup. Denote by  $C = B/A$  the quotient of  $B$  by action of  $A$  given by right multiplication. Then  $C$  is a  $\Gamma$ -set, and we have an exact sequence of pointed sets*

$$1 \rightarrow A^\Gamma \rightarrow B^\Gamma \rightarrow C^\Gamma \xrightarrow{\delta} H^1(\Gamma, A) \rightarrow H^1(\Gamma, B).$$

For  $c \in C^\Gamma$ , the class  $\delta(c) \in H^1(\Gamma, A)$  is represented by the 1-cocycle sending  $\gamma \in \Gamma$  to  $b^{-1}(\gamma b) \in A \subset B$ , where  $b \in B$  is any preimage of  $c \in C^\Gamma$  under the map  $B \rightarrow C$  is naturally an  $A$ -torsor, whose class in  $H^1(\Gamma, A)$  is  $\delta(c)$ .

PROOF. We explain only the last statement, the rest being straight-forward. Denote by  $F \subset B$  the preimage of  $c$ . Then  $b \in F$ , and each element of  $F$  is of the form  $ba$  for a unique  $a \in A$ , so that  $F$  is an  $A$ -torsor. It follows from Lemma 5.3.7 (iv) that the corresponding element of  $H^1(\Gamma, A)$  is  $\delta(c)$ .  $\square$

COROLLARY 5.3.11. *In the situation of Proposition 5.3.10, the kernel of  $H^1(\Gamma, A) \rightarrow H^1(\Gamma, B)$  is isomorphic to the quotient of the pointed set  $C^\Gamma$  by the left action of  $B^\Gamma$ .*

PROOF. Let  $c, c' \in C^\Gamma$ , with preimages  $b, b' \in B$ . We have  $\delta(c) = \delta(c')$  if and only if the 1-cocycles  $\gamma \mapsto b^{-1}(\gamma b)$  and  $\gamma \mapsto b'^{-1}(\gamma b')$  are cohomologous, which means that there exists  $a \in A$  such that  $b'^{-1}(\gamma b') = a^{-1}b^{-1}(\gamma ba)$  for all  $\gamma \in \Gamma$ , or equivalently  $b'a^{-1}b^{-1} \in B^\Gamma$ . This is equivalent to the existence of  $\beta \in B^\Gamma$  such that  $\beta c = c'$  in  $C^\Gamma$ .  $\square$

PROPOSITION 5.3.12. *Any exact sequence of  $\Gamma$ -groups*

$$1 \rightarrow A \rightarrow B \rightarrow C \rightarrow 1$$

*induces an exact sequence of pointed sets*

$$1 \rightarrow A^\Gamma \rightarrow B^\Gamma \rightarrow C^\Gamma \xrightarrow{\delta} H^1(\Gamma, A) \rightarrow H^1(\Gamma, B) \rightarrow H^1(\Gamma, C).$$

*The morphism of pointed sets  $\delta$  is the one described in Proposition 5.3.10.*

PROOF. This is clear.  $\square$

In the situation of Proposition 5.3.12, let  $c \in C^\Gamma$  and  $\xi \in Z^1(\Gamma, A)$ . Pick a preimage  $b \in B$  of  $c$ . Then for any  $\gamma \in \Gamma$  the element

$$\xi'_\gamma = b^{-1} \cdot \xi_\gamma \cdot (\gamma b) \in B$$



is mapped to 1 in  $C$ , hence belongs to  $A$ . It is easy to verify that we have thus defined a 1-cocycle  $\xi': \Gamma \rightarrow A$ . Another choice of  $b$  yields a 1-cocycle cohomologous to  $\xi'$ , and in fact  $(\xi, c) \mapsto \xi'$  defines a right action of  $C^\Gamma$  on  $H^1(\Gamma, A)$ .

**COROLLARY 5.3.13.** *In the situation of Proposition 5.3.12, the kernel of  $H^1(\Gamma, B) \rightarrow H^1(\Gamma, C)$  is isomorphic to the quotient of the pointed set  $H^1(\Gamma, A)$  by the action of  $C^\Gamma$ .*

**PROOF.** Let  $\xi, \xi' \in Z^1(\Gamma, A)$  have the same image in  $H^1(\Gamma, B)$ . Then there exists  $b \in B$  such that  $\xi'_\gamma = b^{-1} \cdot \xi_\gamma \cdot (\gamma b)$  in  $B$  for all  $\gamma \in \Gamma$ . The image  $c \in C$  of  $b$  belongs to  $C^\Gamma$ , and the action of  $c$  transforms  $\xi$  into  $\xi'$ . The converse is clear.  $\square$

#### 4. Galois cohomology

We say that a  $k$ -group  $G$  acts on a  $k$ -set  $X$  if  $G(L)$  acts on  $X(L)$  for every separable extension  $L/k$ , compatibly with the morphisms  $G(L) \rightarrow G(L')$  and  $X(L) \rightarrow X(L')$  when  $L \rightarrow L'$  is a morphism in  $\text{Sep}_k$ .

**DEFINITION 5.4.1.** Let  $G$  be a  $k$ -group. A  $k$ -set  $X$  with an action of  $G$  is called a  $G$ -torsor if for all separable closures  $F/k$  the  $\text{Gal}(F/k)$ -set  $X(F)$  is a  $G(F)$ -torsor. A morphism of  $G$ -torsors is a morphism of functors between  $G$ -torsors which is compatible with the  $G$ -actions. The set of isomorphism classes of  $G$ -torsors will be denoted by  $H^1(k, G)$ .

**REMARK 5.4.2.** Let  $X$  be a  $k$ -set with a  $G$ -action. If  $F, F'$  are separable closures of  $k$ , there exists an isomorphism  $\varphi: F \rightarrow F'$ , which yields a bijection  $X(F) \rightarrow X(F')$  compatible with the  $G(F)$ - and  $G(F')$ -actions. Therefore  $X$  is a  $G$ -torsor as soon as  $X(k_s)$  is a  $G(k_s)$ -torsor for some separable closure  $k_s/k$ . In this case, it follows from Remark 5.2.2 that there is a canonical identification

$$H^1(k, G) = H^1(\text{Gal}(k_s/k), G(k_s)).$$

**DEFINITION 5.4.3.** Let  $f: H \rightarrow G$  be a morphism of  $k$ -groups. The kernel of  $f$  is the  $k$ -subgroup  $\ker f \subset G$ , defined by setting for every separable field extension  $L/k$

$$(\ker f)(L) = \ker(H(L) \rightarrow G(L)).$$

**DEFINITION 5.4.4.** When  $A, B, C$  are  $k$ -groups, an exact sequence

$$1 \rightarrow A \rightarrow B \rightarrow C \rightarrow 1$$

is the data of morphisms of  $k$ -groups  $A \rightarrow B$  and  $B \rightarrow C$  such that for every separable closure  $F$  of  $k$ , the sequence of groups

$$1 \rightarrow A(F) \rightarrow B(F) \rightarrow C(F) \rightarrow 1$$

is exact.

Note that the morphism  $B(k) \rightarrow C(k)$  need not be surjective. In fact, by Proposition 5.3.12, there is an induced exact sequence of pointed sets

$$1 \rightarrow A(k) \rightarrow B(k) \rightarrow C(k) \rightarrow H^1(k, A) \rightarrow H^1(k, B) \rightarrow H^1(k, C).$$

We finally come back to the setting of §5.2, and note the following consequence from Proposition 5.2.10:

PROPOSITION 5.4.5. *Assume that  $F$  is a separable closure of  $k$ . Isomorphism classes of twisted forms of  $(S, s)$  correspond to elements of  $H^1(k, \text{Aut}(S, s))$ .*

*If  $\xi: \text{Gal}(F/k) \rightarrow \text{Aut}(S, s)(F)$  is 1-cocyle, the corresponding (up to isomorphism) twisted form  $(R, r)$  may be constructed by setting*

$$R = \{x \in S_F \mid x = \xi_\gamma \cdot (\gamma x)\}$$

*and  $r = s_F \in R \subset S_F$ .*

*Conversely let  $(R, r)$  be a twisted form of  $(S, s)$ . Choose an isomorphism  $\varphi: S_F \rightarrow R_F$  such that  $T(\varphi)(s_F) = r_F$ . A 1-cocyle corresponding to  $(R, r)$  is given by the map  $\Gamma \rightarrow \text{Aut}(S, s)(F)$  sending  $\gamma \in \text{Gal}(F/k)$  to the composite*

$$S_F \xrightarrow{\text{id}_S \otimes \gamma^{-1}} S_F \xrightarrow{\varphi} R_F \xrightarrow{\text{id}_R \otimes \gamma} R_F \xrightarrow{\varphi^{-1}} S_F.$$

PROOF. The first statement follows from Proposition 5.3.6 and Proposition 5.2.10. The explicit description of  $R$  follows from Lemma 5.3.7 (v), and the explicit description of the 1-cocyle follows from Lemma 5.3.7 (iv) (in view of the formula (5.2.c)).  $\square$

EXAMPLE 5.4.6. (Étales algebras.) The set of isomorphism classes of étale  $k$ -algebras of dimension  $n$  is  $H^1(k, \mathfrak{S}_n)$ , where  $\mathfrak{S}_n$  is considered as a  $\Gamma$ -group with trivial  $\Gamma$ -action. In view of Remark 5.3.3, this is the set of continuous group morphisms  $\text{Gal}(k_s/k) \rightarrow \mathfrak{S}_n$  modulo conjugation by elements of  $\mathfrak{S}_n$ .

EXAMPLE 5.4.7. (Galois  $G$ -algebras.) Let  $G$  be a finite group, viewed as a  $\Gamma$ -group with the trivial  $\text{Gal}(k_s/k)$ -action. The set of isomorphism classes of Galois  $G$ -algebras is in bijection with  $H^1(k, G)$ . Since  $\Gamma$  acts trivially on  $G$ , this is the set of continuous group morphisms  $\text{Gal}(k_s/k) \rightarrow G$  modulo conjugation by elements of  $G$  (Remark 5.3.3). In particular, if  $G$  is abelian, this is the set of continuous group morphisms  $\text{Gal}(k_s/k) \rightarrow G$ .

EXAMPLE 5.4.8. (Central simple algebras) Let  $n$  be an integer. Finite-dimensional central simple  $k$ -algebras of degree  $n$  are classified up to isomorphism by the pointed set  $H^1(k, \text{PGL}_n)$ .



## CHAPTER 6

**Applications of torsor theory**

In this chapter, we apply the theory of twisted forms that we have just developed. The simplest applications are the classical Kummer and Artin–Schreier theories, which describe torsors under cyclic groups (in the presence of enough roots of unity), that is, Galois algebras under those groups. These theories are consequences of Hilbert’s Theorem 90 (and its additive counterpart), a central result which is the basis of many computations of Galois cohomology sets.

The rest of the chapter concerns central simple algebras. As we have seen, such algebras of degree  $n$  correspond to torsors under the group  $\mathrm{PGL}_n$ . Thus algebras of different degrees have classes in the first cohomology set of different groups. We first briefly explain how to relate these cohomology sets in order to understand the tensor product of central simple algebras in terms of 1-cocycles.

The next application concerns the so-called cyclic algebras. Those algebras may be thought of as higher degree generalisations of quaternion algebras, and provide a concrete way of constructing central simple algebras. This section culminates with a computation of the relative Brauer group of a cyclic Galois extension.

The last application is a construction of the reduced norm and trace, which are twisted versions of the determinant and trace of matrices. The reduced norm may be thought of as a higher degree generalisation of the quaternion norm. We relate the image of the reduced norm to the images of the norms of splitting fields of finite degrees.

**1. Kummer theory**

Let  $V$  is a finite-dimensional  $k$ -vector space. Recall from Example 5.2.8 that  $\mathrm{GL}(V)$  denoted the  $k$ -group defined by  $\mathrm{GL}(V)(L) = \mathrm{Aut}_L(V_L)$  for any separable field extension  $L/k$ .

**PROPOSITION 6.1.1** (Hilbert’s Theorem 90). *For any Galois field extension  $F/k$ , we have  $H^1(\mathrm{Gal}(F/k), \mathrm{GL}(V)(F)) = \{*\}$ . In particular  $H^1(k, \mathrm{GL}(V)) = \{*\}$ .*

**PROOF.** This follows at once from Proposition 5.4.5, since all twisted forms of the  $k$ -vector space  $V$  have the same dimension, hence are isomorphic.  $\square$

The above statement is in fact due to Speiser. Hilbert proved the following consequence:

**COROLLARY 6.1.2.** *Let  $L/k$  be a Galois field extension of finite degree such that  $\mathrm{Gal}(L/k)$  is cyclic generated by  $\sigma$ . Let  $\alpha \in L$ . Then  $N_{L/k}(\alpha) = 1$  if and only if  $\alpha = (\sigma\beta)\beta^{-1}$  for some  $\beta \in L$ .*

**PROOF.** Let  $n = [L : k]$ . Recall that, by Proposition 4.3.10,

$$N_{L/k}(\alpha) = \alpha(\sigma\alpha) \cdots (\sigma^{n-1}\alpha).$$

Certainly  $N_{L/k}((\sigma\beta)\beta^{-1}) = 1$ . Conversely, assume that  $N_{L/k}(\alpha) = 1$ . Then the map

$$\xi: \text{Gal}(L/k) \rightarrow L^\times \quad ; \quad \sigma^i \mapsto \alpha(\sigma\alpha) \cdots (\sigma^{i-1}\alpha)$$

is a 1-cocyle. By Hilbert's Theorem 90 (Proposition 6.1.1), this 1-cocyle is cohomologous to the trivial 1-cocyle. This yields an element  $\beta \in L^\times$  such that  $\xi_{\sigma^i} = (\sigma^i\beta)\beta^{-1}$ , and the statement follows by taking  $i = 1$ .  $\square$

**DEFINITION 6.1.3.** Let  $n$  be an integer. We denote by  $\mu_n$  the kernel of the morphism of  $k$ -groups  $\mathbb{G}_m \rightarrow \mathbb{G}_m$  given by  $x \mapsto x^n$ . Thus  $\mu_n$  is a  $k$ -group such that, for any separable field extension  $L/k$ , we have

$$\mu_n(L) = \{x \in L^\times \mid x^n = 1\}.$$

**LEMMA 6.1.4.** *Assume that  $n$  is not divisible by the characteristic of  $k$ . Then we have an exact sequence of  $k$ -groups*

$$1 \rightarrow \mu_n \rightarrow \mathbb{G}_m \xrightarrow{x \mapsto x^n} \mathbb{G}_m \rightarrow 1.$$

**PROOF.** We only need to prove surjectivity of the last morphism. If  $a \in k_s^\times$ , then the polynomial  $X^n - a$  is separable, hence has a root in  $b \in k_s^\times$ . The element  $b$  is the required preimage of  $a$ .  $\square$

**PROPOSITION 6.1.5** (Kummer's theory). *Assume that  $n$  is not divisible by the characteristic of  $k$ . Then there is a natural group isomorphism*

$$k^\times / k^{\times n} \simeq H^1(k, \mu_n),$$

*mapping  $a \in k^\times$  to the class of the 1-cocyle  $\gamma \mapsto (\gamma\alpha)\alpha^{-1}$ , where  $\alpha \in k_s$  is any element such that  $\alpha^n = a$ .*

*Every  $\mu_n(k_s)$ -torsor is of the form  $\{x \in k_s \mid x^n = a\}$ , where  $\omega \in \mu_n(k_s)$  acts by  $x \mapsto \omega x$ , for a uniquely determined element  $a \in k^\times / k^{\times n}$ .*

**PROOF.** By Proposition 5.3.12, the exact sequence of  $k$ -groups of Lemma 6.1.4 yields an exact sequence of groups

$$1 \rightarrow \mu_n(k) \rightarrow k^\times \xrightarrow{x \mapsto x^n} k^\times \xrightarrow{\delta} H^1(k, \mu_n) \rightarrow H^1(k, \mathbb{G}_m).$$

The group on the right is trivial by Hilbert's Theorem 90 (Proposition 6.1.1), so the required isomorphism is induced by  $\delta$ . The remaining statements follow from the explicit descriptions of  $\delta$  provided in Proposition 5.3.10.  $\square$

**COROLLARY 6.1.6.** *Assume that  $k$  contains a root of unity  $\omega$  of order  $n$ . Then*

$$H^1(k, \mathbb{Z}/n) \simeq k^\times / k^{\times n}.$$

*The class of an element  $a \in k^\times$  corresponds to the isomorphism class of the Galois  $\mathbb{Z}/n$ -algebra  $R_a = k[X]/(X^n - a)$ , with the action of  $i \in \mathbb{Z}/n$  given by  $X \mapsto \omega^i X$ .*

**PROOF.** The assumption implies that  $n$  is not divisible by the characteristic of  $k$  and that there is an isomorphism of  $\text{Gal}(k_s/k)$ -groups  $\mathbb{Z}/n \rightarrow \mu_n(k_s)$  given by  $i \mapsto \omega^i$ . We have  $\mathbf{X}(R_a) = \{x \in k_s \mid x^n = a\}$  as  $\mathbb{Z}/n$ -torsors, where  $i \in \mathbb{Z}/n$  acts by  $x \mapsto \omega^i x$ . Since  $\dim_k R_a = n$ , this implies that  $R_a$  is the Galois  $\mathbb{Z}/n$ -algebra (unique up to isomorphism) corresponding to the  $\mu_n(k_s)$ -torsor  $\{x \in k_s \mid x^n = a\}$ , where  $\omega$  acts by  $x \mapsto \omega x$ . Thus the statement follows from Proposition 6.1.5.  $\square$

## 2. Artin–Schreier theory

Proposition 6.1.1 has the following “additive” counterpart.

PROPOSITION 6.2.1. *We have  $H^1(k, \mathbb{G}_a) = \{*\}$ .*

PROOF. Consider the  $k$ -vector space  $S = k^2$ . The element  $s = (1, 0) \in S$  and the map  $\sigma \in \text{Hom}_k(S, k)$  given by  $\sigma(x, y) = y$  for all  $x, y \in F$  define an element

$$(s, \sigma) \in T(S) = S \oplus \text{Hom}_k(S, k).$$

We will abuse notation and denote the pair  $(S, (s, \sigma))$  by  $(S, s, \sigma)$ . Let  $F/k$  be a Galois extension and  $\Gamma = \text{Gal}(F/k)$ . Any element  $\varphi \in \text{Aut}(S, s, \sigma)(F)$  is given by a matrix

$$\begin{pmatrix} a_\varphi & b_\varphi \\ c_\varphi & d_\varphi \end{pmatrix} \in M_2(F).$$

The condition  $\varphi(s_F) = s_F$  means that  $a_\varphi = 1$  and  $c_\varphi = 0$ . The condition  $\sigma_F \circ \varphi = \sigma_F$  means that  $d_\varphi = 1$  and  $c_\varphi = 0$ . The remaining coefficient  $b_\varphi$  may be freely chosen in  $F$ , and we have, for any  $x, y \in F$ ,

$$\varphi(x, y) = (x + b_\varphi y, y).$$

If  $\psi$  is another automorphism of  $(S_F, s_F, \sigma_F)$ , we have  $b_{\varphi \circ \psi} = b_\varphi + b_\psi$ . This proves that  $\text{Aut}(S, s, \sigma)(F)$  is the group  $F$ . Moreover if  $\gamma \in \text{Gal}(F/k)$ , and  $\psi = (\text{id} \otimes \gamma) \circ \varphi \circ (\text{id} \otimes \gamma^{-1})$ , we have for any  $x, y \in F$

$$\psi(x, y) = (\text{id} \otimes \gamma) \circ \varphi(\gamma^{-1}x, \gamma^{-1}y) = (\text{id} \otimes \gamma)(\gamma^{-1}x + b_\varphi \gamma^{-1}y, \gamma^{-1}y) = (x + (\gamma b_\varphi)y, y),$$

so that  $b_\psi = \gamma b_\varphi$ . We have proved that the  $\Gamma$ -group  $\text{Aut}(S, s, \sigma)(F)$  is isomorphic to  $\mathbb{G}_a(F)$ .

Let now  $(R, r, \rho)$  and  $(R', r', \rho')$  be twisted forms of  $(S, s, \sigma)$  over  $k$ . Note that all the elements  $r, r', \rho, \rho'$  are nonzero, since they are so after extending scalars to  $F$ . Let  $e \in R$ , resp.  $e \in R'$ , be such that  $\rho(e) = 1$ , resp.  $\rho'(e') = 1$ . Then  $(r, e)$ , resp.  $(r', e')$ , is a  $k$ -basis of  $R$ , resp.  $R'$ . The  $k$ -linear map  $R \rightarrow R'$  given by  $r \mapsto r'$  and  $e \mapsto e'$  is then an isomorphism  $(R, r, \rho) \rightarrow (R', r', \rho')$ . We have proved that all twisted forms of  $(S, s, \sigma)$  are isomorphic. Therefore  $H^1(\text{Gal}(k_s/k), \text{Aut}(S, s, \sigma)(k_s)) = H^1(k, \mathbb{G}_a) = \{*\}$  by Proposition 5.4.5.  $\square$

LEMMA 6.2.2. *If  $k$  has characteristic  $p > 0$ , we have an exact sequence of  $k$ -groups*

$$0 \rightarrow \mathbb{Z}/p \rightarrow \mathbb{G}_a \xrightarrow{\wp} \mathbb{G}_a \rightarrow 0,$$

where, for every separable field extension  $L/k$ ,

$$\wp: L \rightarrow L \quad ; \quad x \mapsto x^p - x.$$

PROOF. Note that  $\wp$  defines a morphism of  $k$ -groups, and that  $\ker \wp: L \rightarrow L$  coincides with the prime field  $\mathbb{F}_p \subset L$  for every separable field extension  $L/k$ . Thus  $\ker \wp$  is isomorphic to the constant group  $\mathbb{Z}/p$ . The morphism  $\wp: k_s \rightarrow k_s$  is surjective because for any  $a \in k_s$  the polynomial  $X^p - X - a \in k_s[X]$  is separable; if  $b$  is a root of that polynomial, then  $\wp(b) = a$ .  $\square$

PROPOSITION 6.2.3 (Artin–Schreier’s theory). *Assume that  $k$  has characteristic  $p > 0$ . There is a natural group isomorphism*

$$k/\wp(k) \simeq H^1(k, \mathbb{Z}/p),$$

mapping  $a \in k$  to the class of the 1-cocycle  $\gamma \mapsto \gamma\alpha - \alpha$ , where  $\alpha \in k_s$  is any element such that  $\alpha^p - \alpha = a$ .

Every  $\mathbb{Z}/p$ -torsor is of the form  $\{x \in k_s | x^p - x = a\}$ , where  $i \in \mathbb{Z}/p$  acts by  $x \mapsto x + i$ , for a uniquely determined  $a \in k/\wp(k)$ .

PROOF. By Proposition 5.3.12, the exact sequence of  $k$ -groups of Lemma 6.2.2 yields an exact sequence of groups

$$1 \rightarrow \mathbb{Z}/p \rightarrow k \xrightarrow{\varphi} k \xrightarrow{\delta} H^1(k, \mathbb{Z}/p) \rightarrow H^1(k, \mathbb{G}_a).$$

The group on the right is trivial by Proposition 6.2.1, so the required isomorphism is induced by  $\delta$ . The remaining statements follow from the explicit descriptions of  $\delta$  provided in Proposition 5.3.10.  $\square$

COROLLARY 6.2.4. *Assume that  $k$  has characteristic  $p > 0$ . Every Galois  $\mathbb{Z}/p$ -algebra is of the form  $T_a = k[X]/(X^p - X - a)$  for a uniquely determined  $a \in k/\wp(k)$ , where the action of  $i \in \mathbb{Z}/p$  is given by  $X \mapsto X + i$ .*

PROOF. We have  $\mathbf{X}(T_a) = \{x \in k_s | x^p - x = a\}$  as  $\mathbb{Z}/p$ -torsors, where  $i \in \mathbb{Z}/p$  acts by  $x \mapsto x + i$ . Since  $\dim_k T_a = p$ , this implies that  $T_a$  is the Galois  $\mathbb{Z}/p$ -algebra (unique up to isomorphism) corresponding to the  $\mathbb{Z}/p$ -torsor  $\{x \in k_s | x^p - x = a\}$ . Thus the statement follows from Proposition 6.2.3.  $\square$

### 3. Tensor product and 1-cocycles

In this short section, we explain how the tensor product of central simple algebras can be expressed in terms of 1-cocycles.

Let  $m, n \in \mathbb{N} - 0$  and set  $V = k^n$  and  $W = k^m$ . A morphism  $\mathrm{GL}(V) \times \mathrm{GL}(W) \rightarrow \mathrm{GL}(V \otimes_k W)$  can be defined by sending  $(\varphi, \psi)$  to  $\varphi \otimes \psi$ . This yields a morphism of  $k$ -groups  $\mathrm{GL}_m \times \mathrm{GL}_n \rightarrow \mathrm{GL}_{mn}$  fitting into a commutative diagram of  $k$ -groups, with exact rows

$$(6.3.a) \quad \begin{array}{ccccccc} 1 & \longrightarrow & \mathbb{G}_m \times \mathbb{G}_m & \longrightarrow & \mathrm{GL}_m \times \mathrm{GL}_n & \longrightarrow & \mathrm{PGL}_m \times \mathrm{PGL}_n \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & \mathbb{G}_m & \longrightarrow & \mathrm{GL}_{mn} & \longrightarrow & \mathrm{PGL}_{mn} \longrightarrow 1 \end{array}$$

where the vertical map on the left is the group operation in  $\mathbb{G}_m$ .

Let us denote by  $[A] \in H^1(k, \mathrm{PGL}_n)$  the class of a finite-dimensional central simple  $k$ -algebra of degree  $n$ .

PROPOSITION 6.3.1. *Let  $A, B$  be a finite-dimensional central simple  $k$ -algebras, and  $m = \deg(A), n = \deg(B)$ . Then  $([A], [B])$  is mapped to  $[A \otimes_k B]$  under the composite*

$$H^1(k, \mathrm{PGL}_m) \times H^1(k, \mathrm{PGL}_n) \rightarrow H^1(k, \mathrm{PGL}_m \times \mathrm{PGL}_n) \rightarrow H^1(k, \mathrm{PGL}_{mn}).$$

PROOF. Let  $\varphi: A_{k_s} \rightarrow M_m(k_s)$  and  $\psi: B_{k_s} \rightarrow M_n(k_s)$  be isomorphisms of  $k_s$ -algebras. Those yield an isomorphism of  $k_s$ -algebras

$$(A \otimes_k B)_{k_s} = A_{k_s} \otimes_{k_s} B_{k_s} \xrightarrow{\varphi \otimes \psi} M_m(k_s) \otimes_{k_s} M_n(k_s) = M_{mn}(k_s).$$

To conclude, we use the explicit description of the 1-cocycle associated to a twisted form given in Proposition 5.4.5. Indeed, for any  $\gamma \in \mathrm{Gal}(k_s/k)$  we have

$$(\varphi \otimes \psi)^{-1} \circ \gamma \circ (\varphi \otimes \psi) \circ \gamma^{-1} = (\varphi^{-1} \circ \gamma \circ \varphi \circ \gamma^{-1}) \otimes (\psi^{-1} \circ \gamma \circ \psi \circ \gamma^{-1}). \quad \square$$

#### 4. Cyclic algebras

When  $L$  is a Galois  $\mathbb{Z}/n$ -algebra, we will denote by  $\rho: L \rightarrow L$  the action of  $1 \in \mathbb{Z}/n$ .

DEFINITION 6.4.1. Let  $L$  be a Galois  $\mathbb{Z}/n$ -algebra over  $k$ , and  $a \in k^\times$ . We define the  $k$ -algebra  $(L, a)$  as

$$(L, a) = \bigoplus_{i=0}^{n-1} Lz^i$$

where the element  $z$ , that we call the *standard element*, is subject to the relations

$$z^n = a \quad \text{and} \quad zl = \rho(l)z \text{ for all } l \in L.$$

Algebras of the form  $(L, a)$  are called *cyclic algebras*.

Observe that  $\dim_k(L, a) = n^2$ .

LEMMA 6.4.2. Let  $A$  be a  $k$ -algebra containing  $L$  as a subalgebra and  $\alpha \in A$  such that

$$\alpha^n = a \quad \text{and} \quad \alpha l = \rho(l)\alpha \text{ for all } l \in L.$$

Then there exists a unique morphism of  $k$ -algebras  $(L, a) \rightarrow A$  mapping  $z$  to  $\alpha$ , whose restriction on  $L$  is the inclusion  $L \subset A$ .

PROOF. This is clear from the definition.  $\square$

REMARK 6.4.3. If  $b \in k^\times$ , then  $z \mapsto bz$  induces an isomorphism  $(L, a) \rightarrow (L, ab^n)$ , hence the isomorphism class of  $(L, a)$  depends only on the class of  $a$  in  $k^\times/k^{\times n}$ .

REMARK 6.4.4. If  $\omega \in k^\times$  is a root of unity of order  $n$ , then Galois  $\mathbb{Z}/n$ -algebras are classified by elements of  $k^\times/k^{\times n}$  by Corollary 6.1.6, hence we may associate a cyclic algebra to each pair  $(a, b) \in (k^\times/k^{\times n})^2$  (which depends on  $\omega$ ). When  $n = 2$  and  $k$  has characteristic different from two (thus  $\omega = -1$ ), this is of course the quaternion algebra  $(a, b)$  of Definition 1.1.1. This suggests how to define quaternion algebras when  $k$  has characteristic two: in this case Artin–Schreier theory (Proposition 6.2.3) asserts that Galois  $\mathbb{Z}/2$ -algebras are classified by  $k/\wp(k)$ , so that one may associate a cyclic algebra of degree 2 to each pair  $(a, b) \in (k/\wp(k)) \times (k^\times/k^{\times 2})$ .

For  $a \in k^\times$ , consider the matrix (blank entries are zero)

$$Z_a = \begin{pmatrix} 0 & \cdots & 0 & a \\ 1 & & & 0 \\ & \ddots & & \vdots \\ & & 1 & 0 \end{pmatrix} \in M_n(k).$$

Using the notation  $\text{diag}$  for the diagonal matrices, observe that

$$(6.4.a) \quad (Z_a)^n = \text{diag}(a, \dots, a)$$

and that, if  $x_1, \dots, x_n \in k$ , then

$$(6.4.b) \quad Z_a \cdot \text{diag}(x_1, \dots, x_n) \cdot Z_a^{-1} = \text{diag}(x_n, x_1, \dots, x_{n-1}).$$

PROPOSITION 6.4.5. Let  $L$  be a Galois  $\mathbb{Z}/n$ -algebra over  $k$  and  $a \in k^\times$ .

- (i) The  $k$ -algebra  $(L, a)$  is central and simple.
- (ii) If  $L$  is split, then  $(L, a) \simeq M_n(k)$ .



(iii) Let  $\Gamma = \text{Gal}(k_s/k)$  and  $\lambda: \Gamma \rightarrow \mathbb{Z}/n$  be a 1-cocycle whose class in  $H^1(\Gamma, \mathbb{Z}/n)$  is the class of the Galois  $\mathbb{Z}/n$ -algebra  $L$ . The class of the finite-dimensional central simple  $k$ -algebra  $(L, a)$  in  $H^1(\Gamma, \text{PGL}_n(k_s))$  is given by the 1-cocycle  $z_a \circ \lambda$ , where  $z_a: \mathbb{Z}/n \rightarrow \text{PGL}_n(k_s)$  is the group morphism mapping  $1 \in \mathbb{Z}/n$  to the automorphism of  $M_n(k_s)$  given by  $M \mapsto Z_a M Z_a^{-1}$ .

PROOF. (ii): We may assume that  $L_{k_s} = (k_s)^n$  with the  $\mathbb{Z}/n$ -action given by

$$\rho(x_1, \dots, x_n) = (x_n, x_1, \dots, x_{n-1}) \quad \text{for } x_1, \dots, x_n \in k_s.$$

In view of (6.4.b) and (6.4.a) (and Lemma 6.4.2), we may define a morphism of  $k_s$ -algebras  $\varphi: (L, a) \rightarrow M_n(k_s)$  by

$$(x_1, \dots, x_n) \in L \mapsto \text{diag}(x_1, \dots, x_n) \quad \text{and} \quad z \mapsto Z_a.$$

Let  $j \in \{1, \dots, n\}$  and  $u_j = (\delta_{1,j}, \dots, \delta_{n,j}) \in L$  (where  $\delta_{i,j}$  is the Kronecker delta). For  $i \in \{1, \dots, n\}$ , we have

$$\varphi(u_j z^i) = \begin{cases} e_{j,j-i} & \text{if } j > i, \\ a e_{j,n+j-i} & \text{if } j \leq i, \end{cases}$$

where  $e_{u,v}$  denotes the matrix in  $M_n(k_s)$  whose only nonzero entry is in position  $(u, v)$  and has value 1. It follows that  $\varphi$  is surjective, hence bijective by dimensional reasons.

(i): This follows from (ii) by extending scalars to  $k_s$  (in view of Lemma 2.4.1).

(iii): Consider the isomorphism of  $k_s$ -algebras  $\varphi: (L, a)_{k_s} \rightarrow M_n(k_s)$  constructed above. We twist the  $\Gamma$ -action on  $M_n(k_s)$  via the 1-cocycle  $\xi = z_a \circ \lambda$ . Every element  $\gamma \in \Gamma$  acts trivially on  $z$ , and  $\xi_\gamma = Z_a^{\lambda_\gamma}$ , so that

$$\gamma \star_\xi \varphi(z) = Z_a^{\lambda_\gamma} \cdot (\gamma \varphi(z)) \cdot Z_a^{-\lambda_\gamma} = Z_a^{\lambda_\gamma} \cdot Z_a \cdot Z_a^{-\lambda_\gamma} = Z_a = \varphi(z) = \varphi(\gamma z).$$

Now the  $\Gamma$ -action on  $L_{k_s}$  is given by twisting the componentwise  $\Gamma$ -action on  $(k_s)^n$  by the 1-cocycle  $\lambda$ . Thus if  $l = (x_1, \dots, x_n) \in L_{k_s} = (k_s)^n$ , we have for any  $\gamma \in \Gamma$

$$\gamma \star_\lambda l = \rho^{\lambda_\gamma}(\gamma x_1, \dots, \gamma x_n) = \rho^{\lambda_\gamma}(\gamma l).$$

We also have  $\varphi(\gamma l) = \gamma \varphi(l)$ , and, in view of (6.4.b)

$$\gamma \star_\xi \varphi(l) = Z_a^{\lambda_\gamma} \cdot (\varphi(\gamma l)) \cdot Z_a^{-\lambda_\gamma} = \varphi(\rho^{\lambda_\gamma}(\gamma l)) = \varphi(\gamma \star_\lambda l).$$

We have proved that the morphism  $\varphi$  is  $\Gamma$ -equivariant, hence induces an isomorphism of  $k$ -algebras  $(L, a) \simeq_\xi (M_n(k_s))$ , as required.  $\square$

LEMMA 6.4.6. Let  $a, b \in k^\times$ . Then there exists an invertible element  $U \in M_n(k) \otimes_k M_n(k)$  such that

$$Z_a \otimes Z_b = U^{-1}(Z_1 \otimes Z_{ab})U \in M_n(k) \otimes_k M_n(k).$$

PROOF. Let  $e_1, \dots, e_n$  be a  $k$ -basis of  $V = k^n$ . Letting  $U$  correspond to the endomorphism of  $V \otimes_k V$  given by

$$e_i \otimes e_j \mapsto \begin{cases} e_i \otimes e_j & \text{if } i \geq j, \\ a^{-1} e_i \otimes e_j & \text{if } i < j, \end{cases}$$

one verifies that  $Z_a \otimes Z_b$  and  $U^{-1}(Z_1 \otimes Z_{ab})U$  both correspond to the endomorphism of  $V \otimes_k V$  given by

$$e_i \otimes e_j \mapsto \begin{cases} e_{i+1} \otimes e_{j+1} & \text{if } i < n \text{ and } j < n, \\ ae_1 \otimes e_{j+1} & \text{if } j < i = n, \\ be_{i+1} \otimes e_1 & \text{if } i < j = n, \\ abe_1 \otimes e_1 & \text{if } i = j = n. \end{cases} \quad \square$$

PROPOSITION 6.4.7. *Let  $L$  be a Galois  $\mathbb{Z}/n$ -algebra over  $k$  and  $a, b \in k^\times$ . Then*

$$(L, a) \otimes_k (L, b) \simeq (L, ab) \otimes_k M_n(k).$$

PROOF. As in §3, we identify  $M_n(k) \otimes_k M_n(k)$  with  $M_{n^2}(k)$ , which yields a group morphism

$$\mathrm{PGL}_n(k_s) \times \mathrm{PGL}_n(k_s) \rightarrow \mathrm{PGL}_{n^2}(k_s) \quad ; \quad (f, g) \mapsto f \otimes g.$$

Let  $U \in M_{n^2}(k)$  be as in Lemma 6.4.6, and  $u \in \mathrm{PGL}_{n^2}(k) \subset \mathrm{PGL}_{n^2}(k_s)$  be the automorphism given by  $M \mapsto U^{-1}MU$ . Then for every  $M \in M_{n^2}(k)$  and  $i \in \mathbb{Z}/n$ ,

$$(Z_1 \otimes Z_{ab})^i M (Z_1 \otimes Z_{ab})^{-i} = U(Z_a \otimes Z_b)^i U^{-1} M U (Z_a \otimes Z_b)^{-i} U^{-1}.$$

We now apply Proposition 6.4.5 (iii) and use its notation. The above formula implies that, for all  $i \in \mathbb{Z}/n$

$$(6.4.c) \quad (z_1 \otimes z_{ab})(i) = u^{-1} \cdot (z_a(i) \otimes z_b(i)) \cdot u.$$

Since  $U$  is defined over  $k$ , the automorphism  $u$  is  $\Gamma$ -invariant, so that (6.4.c) shows that the 1-cocycles  $(z_a \otimes z_b) \circ \lambda$  and  $(z_1 \otimes z_{ab}) \circ \lambda$  in  $Z^1(\Gamma, \mathrm{PGL}_{n^2}(k_s))$  are cohomologous, hence represent isomorphic  $k$ -algebras. Since  $(L, 1) \simeq M_n(k)$  (Proposition 6.4.5 (i)), the statement follows from Proposition 6.3.1.  $\square$

REMARK 6.4.8. It follows from Proposition 6.4.7, Remark 6.4.3 and Proposition 6.4.5 (ii) that the algebra  $(L, a)^{\otimes n}$  splits.

LEMMA 6.4.9. *Let  $L$  be a Galois  $\mathbb{Z}/n$ -algebra and  $a \in k^\times$ . Consider the cyclic algebra  $(L, a)$  and its standard element  $z \in (L, a)$ . Let  $i \in \{0, \dots, n-1\}$  be an integer. Any element  $x \in (L, a)$  such that  $\rho^i(l)x = xl$  for all  $l \in L$  is of the form  $uz^i$  for some  $u \in L$ .*

PROOF. Write  $x = x_0 + x_1 z + \dots + x_{n-1} z^{n-1}$  with  $x_j \in L$ . The condition  $\rho^i(l)x = xl$  implies that for all  $j = 0, \dots, n-1$

$$\rho^i(l)x_j z^j = x_j z^j l = x_j \rho^j(l) z^j = \rho^j(l)x_j z^j.$$

Thus if  $x_j \neq 0$ , we obtain  $\rho^i(l) = \rho^j(l)$  for all  $l \in L$ , which implies  $j = i$  (as  $L^{\mathbb{Z}/n} = k$ ).  $\square$

We will now need a variant of Skolem–Noether’s Theorem 2.3.3. When  $h: B \rightarrow A$  is a morphism of  $k$ -algebras, we denote by  $A^h$  the set  $A$  viewed as  $B$ -module via  $h$ .

PROPOSITION 6.4.10. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra, and  $B = B_1 \times \dots \times B_n$  where each  $B_i$  is a simple  $k$ -algebra. If  $f, g: B \rightarrow A$  are morphisms of  $k$ -algebras such that the  $B$ -modules  $A^f$  and  $A^g$  are isomorphic, then there exists  $u \in A$  such that  $g(b) = u^{-1}f(b)u$  for all  $b \in B$ .*

PROOF. Let  $R = R_1 \times \cdots \times R_n$ , where  $R_i$  are rings. Then every  $R$ -module  $M$  is of the form  $M_1 \times \cdots \times M_n$ , where  $M_i$  are uniquely determined  $R_i$ -modules. Moreover two  $R$ -modules  $M, N$  are isomorphic if and only if the  $R_i$ -modules  $M_i, N_i$  are isomorphic for each  $i = 1, \dots, n$ .

Let  $C = B \otimes_k A^{\text{op}}$ . As in §2.3, we may view  $A^f$  and  $A^g$  as  $C$ -modules. We have  $C = C_1 \times \cdots \times C_n$  as  $k$ -algebras, where  $C_i = B_i \otimes_k A^{\text{op}}$ . Then for each  $i = 1, \dots, n$ , the  $B_i$ -modules  $(A^f)_i$  and  $(A^g)_i$  are isomorphic by the assumption, and in particular have the same finite dimension over  $k$ . Since each  $k$ -algebra  $C_i$  is simple by Proposition 2.2.6, it follows from Lemma 2.3.1 that  $(A^f)_i$  and  $(A^g)_i$  are isomorphic as  $C_i$ -modules. We deduce that  $A^f \simeq A^g$  as  $C$ -modules, and the statement follows from Lemma 2.3.2.  $\square$

PROPOSITION 6.4.11. *Let  $L$  be a Galois  $\mathbb{Z}/n$ -algebra over  $k$  and  $a, b \in k^\times$ . The cyclic algebras  $(L, a)$  and  $(L, b)$  are isomorphic if and only if  $ab^{-1} \in N_{L/k}(L^\times)$ .*

PROOF. Let  $y$  and  $z$  be the respective standard elements of  $(L, a)$  and  $(L, b)$ . For any  $u \in L$ , we have  $(uz)^n = u\rho(u) \cdots \rho^{n-1}(u)z^n$ , so that by Proposition 4.3.10

$$(6.4.d) \quad (uz)^n = bN_{L/k}(u).$$

Now assume that  $u \in L^\times$  is such that  $a = bN_{L/k}(u)$ . Then  $(uz)^n = a$  by (6.4.d), so that by Lemma 6.4.2 we may define a morphism of  $k$ -algebras  $\varphi: (L, a) \rightarrow (L, b)$  satisfying  $\varphi(y) = uz$  and  $\varphi(l) = l$  for all  $l \in L$ . This morphism is injective by simplicity of  $(L, a)$ , and an isomorphism by dimensional reasons.

Conversely, assume given an isomorphism of  $k$ -algebras  $\varphi: (L, a) \rightarrow (L, b)$ . The  $k$ -algebra  $L$  being étale, it is a finite product of fields (Proposition 4.2.11), hence of simple  $k$ -algebras by Remark 2.1.6. The  $L$ -modules  $(L, a)$  and  $(L, b)$  are isomorphic, being both free of rank  $n$  by construction. Applying Proposition 6.4.10 to the inclusion  $L \subset (L, b)$  and the composite  $L \subset (L, a) \xrightarrow{\varphi} (L, b)$ , we obtain an element  $v \in (L, b)$  such that  $v\varphi(l)v^{-1} = l$  for all  $l \in L$ . Replacing  $\varphi$  by the isomorphism  $x \mapsto v\varphi(x)v^{-1}$ , we may assume that  $\varphi(l) = l$  for all  $l \in L$ . Then for all  $l \in L$

$$\varphi(y)l = \varphi(y)l = \varphi(\rho(l)y) = \rho(l)\varphi(y),$$

so that by Lemma 6.4.9 (with  $i = 1$ ) we have  $\varphi(y) = uz$  for some  $u \in L$ . Then, by (6.4.d),

$$a = \varphi(z^n) = \varphi(z)^n = (uz)^n = bN_{L/k}(u). \quad \square$$

Here are is a statement that should have figured in §2.

PROPOSITION 6.4.12. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra, and  $L/k$  an extension of finite degree  $n$  splitting  $A$ . Then the algebra  $A$  is Brauer-equivalent to a finite-dimensional central simple  $k$ -algebra of degree  $n$  containing  $L$  as a subalgebra.*

PROOF. Let  $d = \deg(A)$  and  $V = L^{\oplus d}$ . We view  $L$  as a subalgebra of  $\text{End}_L(V)$  by mapping  $l \in L$  to the endomorphism  $x \mapsto lx$ . The isomorphisms of  $L$ -algebras  $A^{\text{op}} \otimes_k L \simeq M_d(L)^{\text{op}} \simeq M_d(L) \simeq \text{End}_L(V)$  allow us to view  $A^{\text{op}}$  as a  $k$ -subalgebra of  $\text{End}_L(V)$ ; in the algebra  $\text{End}_L(V)$ , every element of  $L$  commutes with  $A^{\text{op}}$ . Let us view  $\text{End}_L(V)$  as a subalgebra of  $\text{End}_k(V)$ , and set  $B = \mathcal{Z}_{\text{End}_k(V)}(A^{\text{op}})$ . Then  $L \subset B$ . It follows from Proposition 2.2.10 (i) and (v) that  $B$  is a central simple  $k$ -algebra. By Proposition 2.2.10 (iii) we have  $\dim_k A^{\text{op}} \cdot \dim_k B = \dim_k \text{End}_k(V)$ . Since  $\dim_k A^{\text{op}} = d^2$  and  $\dim_k V = dn$ , we deduce that  $\deg(B) = n$ . Finally Proposition 2.2.10 (ii) implies that  $B$  is Brauer-equivalent to  $A$ .  $\square$

PROPOSITION 6.4.13. *Let  $L$  be a Galois  $\mathbb{Z}/n$ -algebra over  $k$  and  $A$  a finite-dimensional central simple  $k$ -algebra of degree  $n$  containing  $L$  as a subalgebra. Assume that  $L$  is a field. Then there exists  $a \in k^\times$  such that  $A \simeq (L, a)$ .*

PROOF. The ring  $L$  being simple (Remark 2.1.6), by Skolem–Noether’s Theorem 2.3.3 applied the morphisms  $L \subset A$  and  $L \xrightarrow{\rho} L \subset A$ , we find  $\alpha \in A^\times$  such that  $\alpha l \alpha^{-1} = \rho(l)$  for all  $l \in L$ . Let  $a = \alpha^n$ . Then  $a \in \mathcal{Z}_A(L)$  (as  $\rho^n = \text{id}_L$ ). Since  $L = \mathcal{Z}_A(L)$  by Lemma 2.5.3, it follows that  $a \in L$ . We have

$$\rho(a) = \alpha^{-1} a \alpha = \alpha^{-1} \alpha^n \alpha = \alpha^n = a,$$

hence  $a \in L^{\mathbb{Z}/n} = k$ . By Lemma 6.4.2, we may define a morphism of  $k$ -algebras  $\varphi: (L, a) \rightarrow A$  satisfying  $\varphi(z) = \alpha$  and  $\varphi(l) = l$  for  $l \in L$ . This morphism is injective by simplicity of  $(L, a)$ , and bijective by dimensional reasons.  $\square$

THEOREM 6.4.14. *Let  $L$  be a Galois  $\mathbb{Z}/n$ -algebra. Assume that  $L$  is a field. Then mapping  $a \in k^\times$  to the cyclic algebra  $(L, a)$  yields a group isomorphism*

$$k^\times / N_{L/k}(L^\times) \simeq \text{Br}(L/k).$$

PROOF. Mapping  $a$  to  $(L, a)$  induces a group morphism  $k^\times \rightarrow \text{Br}(k)$  by Proposition 6.4.7. The image of this morphism is contained in  $\text{Br}(L/k)$  by Corollary 4.3.9 and Proposition 6.4.5 (ii). This morphism induces an injective morphism  $k^\times / N_{L/k}(L^\times) \rightarrow \text{Br}(L/k)$  by Proposition 6.4.11. Its surjectivity is proved by combining Proposition 6.4.12 with Proposition 6.4.13.  $\square$

COROLLARY 6.4.15. *If  $L/k$  is a finite Galois extension such that  $\text{Gal}(L/k)$  is cyclic, then*

$$\text{Br}(L/k) \simeq k^\times / N_{L/k}(L^\times).$$

PROOF. Choosing a generator of  $\text{Gal}(L/k)$  makes  $L$  a Galois  $\mathbb{Z}/n$ -algebra over  $k$ , where  $n = [L : k]$  (Example 4.3.4), and we may apply Theorem 6.4.14.  $\square$

## 5. The reduced characteristic polynomial

When  $L$  is a field and  $n$  an integer, we denote by

$$\chi_L: M_n(L) \rightarrow L[X] \quad ; \quad M \mapsto \det(XI_n - M)$$

the map sending a matrix to its characteristic polynomial (where  $I_n \in M_n(k)$  is the unit matrix). Observe that, for any field extension  $E/L$  the following diagram commutes

$$(6.5.a) \quad \begin{array}{ccc} M_n(L) & \xrightarrow{\chi_L} & L \\ \downarrow & & \downarrow \\ M_n(E) & \xrightarrow{\chi_E} & E \end{array}$$

DEFINITION 6.5.1. Let  $A$  be a  $k$ -algebra, and  $M$  an  $A$ -module of finite dimension over  $k$ . The *characteristic polynomial* of an element  $a \in A$  is the polynomial

$$\text{Cp}_{M/k}(a) = \det(X \text{id}_M - l_a) \in k[X],$$

where  $l_a: M \rightarrow M$  is the map given by  $x \mapsto ax$  (viewed as a  $k$ -linear map).

Observe that if  $f: M \rightarrow N$  is an isomorphism of  $A$ -modules, then  $l_{f(a)} = f \circ l_a \circ f^{-1}$ , so that

$$(6.5.b) \quad \text{Cp}_{M/k}(f(a)) = \text{Cp}_{N/k}(a).$$

If  $M, N$  are  $A$ -modules of finite dimensions over  $k$ , then for any  $a \in A$

$$(6.5.c) \quad \text{Cp}_{M \oplus N/k}(a) = \text{Cp}_{M/k}(a) \text{Cp}_{N/k}(a).$$

LEMMA 6.5.2. *For any  $M \in M_n(k)$ , we have  $\chi(M)^n = \text{Cp}_{M_n(k)/k}(M)$ .*

PROOF. For  $1 \leq i, j \leq n$ , let us set  $x_{i+(n-1)j} = e_{i,j} \in M_n(k)$  (the matrix whose only nonzero entry is 1, in the position  $(i, j)$ ). The elements  $x_1, \dots, x_{n^2}$  form a  $k$ -basis of  $M_n(k)$ . If  $M \in M_n(k)$  has coefficients  $m_{i,j} \in k$ , we have

$$Mx_{k+(n-1)l} = Me_{k,l} = \sum_{i,j=1}^n m_{i,j} e_{i,j} e_{k,l} = \sum_{i=1}^n m_{i,k} e_{i,l} = \sum_{i=1}^n m_{i,k} x_{i+(n-1)l},$$

showing that, in the basis  $x_1, \dots, x_{n^2}$ , the matrix of the map  $M_n(k) \rightarrow M_n(k)$  given by  $x \mapsto Mx$  is

$$\begin{pmatrix} M & 0 & \cdots & 0 \\ 0 & M & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots \\ 0 & \cdots & 0 & M \end{pmatrix}.$$

Its characteristic polynomial is  $\chi(M)^n$ .  $\square$

LEMMA 6.5.3. *Let  $A$  be a simple  $k$ -algebra. If  $f, g: A \rightarrow M_n(k)$  are morphisms of  $k$ -algebras, then  $\chi_k \circ f = \chi_k \circ g: A \rightarrow k[X]$ .*

PROOF. By Skolem–Noether’s Theorem 2.3.3 there exists  $b \in M_n(k)$  such that  $f(a) = b^{-1}g(a)b$  for all  $a \in A$ , so that the matrices  $f(a)$  and  $g(a)$  have the same characteristic polynomial.  $\square$

LEMMA 6.5.4. *Let  $A$  be a central simple  $k$ -algebra, and  $F/k$  a Galois extension. Let  $f: A_F \rightarrow M_n(F)$  be a morphism of  $F$ -algebras. Then the composite*

$$A \rightarrow A_F \xrightarrow{f} M_n(F) \xrightarrow{\chi_F} F[X]$$

*has image contained in  $k[X]$ .*

PROOF. Let  $\Gamma = \text{Gal}(F/k)$ . In view of the diagram (6.5.a) when  $E = L$  and  $\gamma \in \Gamma$ , the map  $\chi_F: M_n(F) \rightarrow F$  is  $\Gamma$ -equivariant. If  $\gamma \in \Gamma$ , the map  $g: A_F \rightarrow M_n(F)$  given by  $x \mapsto \gamma^{-1}f(\gamma x)$  is a morphism of  $F$ -algebras, hence by Lemma 6.5.3 we have for any  $x \in A_F$ ,

$$\chi_F \circ f(x) = \chi_F \circ g(x) = \chi_F(\gamma^{-1}f(\gamma x)) = \gamma^{-1}(\chi_F \circ f(\gamma x)).$$

Thus the morphism  $\chi_F \circ f$  is  $\Gamma$ -equivariant, hence maps  $A = (A_F)^\Gamma$  to  $k[X] = (F[X])^\Gamma$  (see Lemma 3.4.3).  $\square$

Let now  $A$  be a finite-dimensional central simple  $k$ -algebra of degree  $n$ . Choose a Galois extension  $F/k$  and a morphism of  $F$ -algebras  $f: A_F \rightarrow M_n(F)$  (this is possible, since  $A_{k_s} \simeq M_n(k_s)$ ). Let  $a \in A$ . The polynomial  $\chi_F \circ f(a \otimes 1) \in F[X]$  belongs to  $k[X]$  by Lemma 6.5.4. Let now  $F'/k$  be a Galois extension and  $f': A_{F'} \rightarrow M_n(F')$  a morphism of  $F'$ -algebras. Let  $E$  be a separable closure of  $F'$ . Then  $F$  can be embedded into  $E$  by

Lemma 3.3.11, and using Lemma 6.5.3 and the commutativity of the diagram (6.5.a), we have in  $k[X] \subset E[X]$

$$\chi_F \circ f_F(a \otimes 1) = \chi_E \circ f_E(a \otimes 1) = \chi_E \circ f'_E(a \otimes 1) = \chi_{F'} \circ f'_{F'}(a \otimes 1).$$

This proves that the map

$$(6.5.d) \quad A \rightarrow k[X] \quad ; \quad a \mapsto \chi_F \circ f(a \otimes 1)$$

does not depend on the choices of the Galois extension  $F/k$  and the morphism  $f: A_F \rightarrow M_n(F)$ .

DEFINITION 6.5.5. The map (6.5.d) is called the *reduced characteristic polynomial* and denoted by

$$\text{Cprd}_A: A \rightarrow k[X].$$

Writing this map as  $a_n X^n + \dots + a_0$  where  $a_0, \dots, a_n$  are maps  $A \rightarrow k$  and  $n = \deg(A)$ , we define the *reduced norm* and *reduced trace* as

$$\text{Nrd}_A = (-1)^n a_0 \quad \text{and} \quad \text{Trd}_A = -a_{n-1}.$$

If  $L/k$  is a separable field extension, it follows from the construction that the following diagram commutes

$$(6.5.e) \quad \begin{array}{ccc} A & \xrightarrow{\text{Nrd}_A} & k[X] \\ \downarrow & & \downarrow \\ A_L & \xrightarrow{\text{Nrd}_{A_L}} & L[X] \end{array}$$

PROPOSITION 6.5.6. Let  $A$  be a finite-dimensional central simple  $k$ -algebra of degree  $n$ .

(i) For any  $a \in A$ , we have  $\text{Cp}_{A/k}(a) = \text{Cprd}_A(a)^n$ . In particular

$$\text{N}_{A/k}(a) = \text{Nrd}_A(a)^n \quad \text{and} \quad \text{Tr}_{A/k}(a) = n \text{Trd}_A(a).$$

(ii) Let  $L$  be a subalgebra of  $A$ , and assume that  $L$  is a field. Then  $n = r[L : k]$  for some integer  $r$ , and for any  $l \in L$  we have  $\text{Cprd}_A(l) = \text{Cp}_{L/k}(l)^r$ . In particular

$$\text{Nrd}_A(l) = \text{N}_{L/k}(l)^r \quad \text{and} \quad \text{Trd}_A(l) = r \text{Tr}_{L/k}(l).$$

PROOF. (i) : Let  $f: A_F \rightarrow M_n(F)$  be a morphism of  $F$ -algebras, where  $F/k$  is a Galois extension. Then  $\text{Cp}_{A/k}(a) \in k[X]$  maps to  $\text{Cp}_{A_F/F}(a \otimes 1) \in F[X]$ . By (6.5.b) and Lemma 6.5.2, we have in  $k[X] \subset F[X]$

$$\text{Cp}_{A_F/F}(a \otimes 1) = \text{Cp}_{M_n(F)/F} \circ f(a \otimes 1) = (\chi_F \circ f(a \otimes 1))^n = \text{Cprd}(a)^n.$$

(ii) : The first statement follows from Lemma 2.5.3. Let  $d = [L : k]$ . Since  $n^2 = \dim_k A = d \cdot \dim_L A$ , we have  $\dim_L A = r^2 d = nr$ . Thus the  $L$ -vector space  $A$  is isomorphic to  $L^{\oplus nr}$ , and it follows from (6.5.b) and (6.5.c) that  $\text{Cp}_{A/k}(l) = \text{Cp}_{L/k}(l)^{nr}$ . By (i), we deduce that  $\text{Cprd}_A(l)^n = \text{Cp}_{L/k}(l)^{nr}$ . We conclude using Lemma 6.5.7 below.  $\square$

LEMMA 6.5.7. Let  $P, Q \in k[X]$  be monic polynomials, and  $s \in \mathbb{N} - \{0\}$  such that  $P^s = Q^s$ . Then  $P = Q$ .

PROOF. Let  $\mathcal{R}$  be the set of monic irreducible polynomials in  $k[X]$ . Since  $k[X]$  is factorial, there are uniquely determined integers  $p_R, q_R$  for each  $R \in \mathcal{R}$  such that

$$P = \prod_{R \in \mathcal{R}} R^{p_R} \quad ; \quad Q = \prod_{R \in \mathcal{R}} R^{q_R}.$$

For each  $R \in \mathcal{R}$ , we have  $sp_R = sq_R$ , hence  $p_R = q_R$ , and  $P = Q$ .  $\square$

We will be mostly interested in the reduced norm. Let us first collect some of its elementary properties.

LEMMA 6.5.8. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra.*

- (i) *For any  $a, b \in A$ , we have  $\text{Nrd}_A(ab) = \text{Nrd}_A(a) \text{Nrd}_A(b)$ .*
- (ii) *We have  $\text{Nrd}_A(1) = 1$ .*

PROOF. Extending scalars, we may assume that  $A \simeq M_n(k)$ . Since the map  $\text{Nrd}_{M_n(k)}$  sends a matrix to its determinant, the lemma follows from the properties of the determinant.  $\square$

PROPOSITION 6.5.9. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra, and  $a \in A$ . Then  $a \in A^\times$  if and only if  $\text{Nrd}_A(a) \neq 0$ .*

PROOF. If  $a \in A^\times$ , then  $\text{Nrd}_A(a)$  must be nonzero by Lemma 6.5.8. Conversely assume that  $\text{Nrd}_A(a) \neq 0$ . Then  $\text{N}_{A/k}(a) \neq 0$  by Proposition 6.5.6 (i), hence left multiplication by  $a$  is an isomorphism  $A \rightarrow A$ . In particular 1 lies in its image, showing that  $a$  admits a right inverse, which is also a left inverse by Remark 1.1.11.  $\square$

Let  $A$  be a finite-dimensional central simple  $k$ -algebra. Recall from Example 5.2.9 that the  $k$ -group  $\text{GL}_1(A)$  is defined by setting  $\text{GL}_1(A)(L) = (A_L)^\times$  for every separable field extension  $L/k$ . The reduced norms induce group morphisms  $\text{Nrd}_{A_L}: (A_L)^\times \rightarrow L^\times$  by Lemma 6.5.8, and thus, by the commutativity of the diagram (6.5.e), a morphism of  $k$ -groups

$$\text{Nrd}_A: \text{GL}_1(A) \rightarrow \mathbb{G}_m.$$

DEFINITION 6.5.10. We define the  $k$ -group  $\text{SL}_1(A)$  as the kernel of the morphism  $\text{Nrd}_A: \text{GL}_1(A) \rightarrow \mathbb{G}_m$ .

LEMMA 6.5.11. *We have an exact sequence of  $k$ -groups*

$$1 \rightarrow \text{SL}_1(A) \rightarrow \text{GL}_1(A) \xrightarrow{\text{Nrd}_A} \mathbb{G}_m \rightarrow 1.$$

PROOF. Let  $F$  be a separable closure of  $k$ . Then there exist an isomorphism of  $F$ -algebras  $M_n(F) \simeq A_F$  for some  $n$ . The composite  $\text{GL}_n(F) \simeq (A_F)^\times \xrightarrow{\text{Nrd}_{A_F}} F^\times$  sends a matrix to its determinant, and is therefore surjective.  $\square$

PROPOSITION 6.5.12. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra. Then*

$$H^1(k, \text{GL}_1(A)) = \{*\}.$$

PROOF. If  $M$  is an  $A$ -module such that the  $A_{k_s}$ -module  $M_{k_s}$  is isomorphic to  $A_{k_s}$ , then  $\dim_k M = \dim_k A$ , so that the  $A$ -module  $M$  is isomorphic to  $A$  by Lemma 2.3.1. Therefore all twisted forms of the  $A$ -module  $A$  are isomorphic, and the statement follows from Proposition 5.4.5  $\square$

COROLLARY 6.5.13. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra. There is a natural isomorphism of pointed sets*

$$H^1(k, \mathrm{SL}_1(A)) \simeq k^\times / \mathrm{Nrd}_A(A^\times).$$

PROOF. In view of the sequence of Lemma 6.5.11, this follows by combining Proposition 6.5.12 with Corollary 5.3.11.  $\square$

LEMMA 6.5.14. *The subset  $\mathrm{Nrd}_A(A^\times) \subset k^\times$  depends only on the Brauer-equivalence class of the finite-dimensional central simple  $k$ -algebra  $A$ .*

PROOF. When  $R$  is a ring and  $r$  an integer, a matrix  $P \in M_r(R)$  is called *permutation* if there exists a permutation  $\sigma \in \mathfrak{S}_r$  such that the  $(i, j)$ -th coefficient of  $P$  is equal to 1 if  $j = \sigma(i)$  and zero otherwise. Such  $\sigma$  is then unique, and we define the *signature*  $\varepsilon$  of  $P$  in  $\{-1, 1\}$  as the signature of the permutation  $\sigma$ . If  $R$  is a field, then  $\det(P) = \varepsilon$ .

In order to prove the statement, by Wedderburn's Theorem 2.1.12, we may assume that  $A = M_n(D)$  for some finite-dimensional central simple division  $k$ -algebra  $D$  and integer  $n$ . It will suffice to prove that  $\mathrm{Nrd}_D(D^\times) = \mathrm{Nrd}_A(A^\times)$ . The same proof as over fields shows that every matrix in  $M_n(D) = A$  can be made upper triangular by elementary rows operations. Any invertible upper triangular matrix can then be made diagonal by elementary rows operations. This implies that the group  $A^\times$  is generated by elementary matrices (those matrices whose diagonal coefficients are equal to 1, and having a unique nonzero coefficient off the diagonal), diagonal matrices, and permutation matrices. Let  $F/k$  be a Galois extension and  $\varphi: D_F \rightarrow M_d(F)$  an isomorphism of  $F$ -algebras. Consider the isomorphism  $f: M_n(M_d(F)) \rightarrow M_{nd}(F)$  given by viewing a matrix with coefficients in  $M_d(F)$  as a block matrix with coefficients in  $F$ . If  $B_1, \dots, B_n \in M_d(F)$ , then  $\det(f(\mathrm{diag}(B_1, \dots, B_n))) = \det(B_1) \cdots \det(B_n)$ . If  $E \in M_n(M_d(F))$  is an elementary matrix, then  $f(E)$  is an upper or lower triangular matrix whose diagonal coefficients are equal to 1, so that  $\det(f(E)) = 1$ . If  $P \in M_n(M_d(F))$  is a permutation matrix with signature  $\varepsilon \in \{-1, 1\}$ , then  $f(P) \in M_{nd}(F)$  is a permutation matrix with signature  $\varepsilon^d$ , so that  $\det(f(P)) = \varepsilon^d = \det(\varepsilon I_d)$  (where  $I_d = 1 \in M_d(F)$  is the unit matrix). We deduce that the composite

$$A^\times = M_n(D)^\times \subset M_n(D_F) \xrightarrow{M_n(\varphi)} M_n(M_d(F)) \xrightarrow{f} M_{nd}(F) \xrightarrow{\det} F$$

has the same image as the composite

$$D^\times \subset D_F \xrightarrow{\varphi} M_d(F) \xrightarrow{\det} F,$$

as required (observe that  $\varepsilon I_d \in M_d(F)$  is the image of  $\varepsilon \in k^\times \subset D^\times$  under  $\varphi$ ).  $\square$

PROPOSITION 6.5.15. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra. Then*

$$\mathrm{Nrd}_A(A^\times) = \bigcup_L \mathrm{N}_{L/k}(L^\times) \subset k^\times$$

where  $L/k$  runs over the extensions of finite degree splitting  $A$ .

PROOF.  $\subset$  : By Lemma 6.5.14, we may assume that  $A$  is division. Let  $a \in A$ . Then any  $a \in A$  is contained in some maximal subfield  $L$  of  $A$ , and  $\mathrm{Nrd}_A(a) = \mathrm{N}_{L/k}(a)$  by Proposition 6.5.6 (ii).

$\supset$  : Let  $L/k$  be a splitting field of  $A$ . By Proposition 6.4.12 and Lemma 6.5.14, we may assume that  $L \subset A$  and that  $\deg(A) = [L : k]$ . It then follows from Proposition 6.5.6 (ii) that  $\mathrm{N}_{L/k}(L^\times) \subset \mathrm{Nrd}_A(A^\times)$  in  $k^\times$ .  $\square$



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REMARK 6.5.16. With some more work, one may prove that it suffices to consider finite separable splitting fields in Proposition 6.5.15.

## Part 3

# Cohomology



## CHAPTER 7

## The Brauer group and 2-cocycles

In this chapter, we define the second cohomology group of a commutative discrete group equipped with a continuous action of a profinite group, using a concrete approach in terms of cocycles. A more sophisticated approach will be developed in the next chapter, but this will not make this chapter obsolete. In fact, it is crucial to use this down-to-earth approach in order to make the connections with first cohomology sets of noncommutative groups, that is, with torsors.

We have seen that central simple algebras of degree  $n$  may be described using the first cohomology set of  $\mathrm{PGL}_n$ . We will obtain an alternative description of the Brauer group, as the second cohomology group of  $\mathbb{G}_m$ . It is in fact a recurring situation that objects can be described either as low-degree cohomology of a complicated group, or as higher-degree cohomology of a simpler group. This new description of the Brauer group has several advantages; in particular this is a group on the nose, and the classes of algebras of different degrees live in the same cohomology set.

In this chapter we only prove that the Brauer group can be embedded into the second cohomology group of  $\mathbb{G}_m$ . This fact still has substantial consequences that can be expressed in an elementary fashion involving central simple algebras, but are difficult to prove without cohomology. In particular we show that the class of every central simple algebra is torsion in the Brauer group, and that its order (called the period of the algebra) divides its index. We deduce a primary decomposition theorem for division algebras, due to Brauer.

It is actually not very difficult to finish the identification Brauer group with the second cohomology group of  $\mathbb{G}_m$ , using the methods of this chapter via the so-called crossed-product construction. We prefer to leave this result to the next chapters, where more sophisticated methods will allow us to give a somewhat more natural proof.

## 1. 2-cocycles

We fix a profinite group  $\Gamma$ . We will still use the multiplicative notation for the group law of a  $\Gamma$ -module, even though it is commutative.

**DEFINITION 7.1.1.** Let  $M$  be a  $\Gamma$ -module. A 2-cocycle of  $\Gamma$  with values in  $M$  is a continuous map  $\alpha: \Gamma \times \Gamma \rightarrow M$  (for the discrete topology on  $M$ ) that we denote by  $(\sigma, \tau) \mapsto \alpha_{\sigma, \tau}$ , and such that

$$(7.1.a) \quad (\gamma \alpha_{\sigma, \tau}) \cdot \alpha_{\gamma, \sigma\tau} = \alpha_{\gamma\sigma, \tau} \cdot \alpha_{\gamma, \sigma} \quad \text{for all } \gamma, \sigma, \tau \in \Gamma.$$

We denote the set of 2-cocycles of  $\Gamma$  with values in  $M$  by  $Z^2(\Gamma, M)$ . It is naturally an abelian group, for the operation defined by setting, for  $\xi, \eta \in Z^2(\Gamma, M)$

$$(\xi \cdot \eta)_{\sigma, \tau} = \xi_{\sigma, \tau} \cdot \eta_{\sigma, \tau} \quad \text{for all } \sigma, \tau \in \Gamma.$$

The 2-cocycles  $\alpha, \alpha'$  are called *cohomologous* if there exists a continuous map  $a: \Gamma \rightarrow M$ , denoted by  $\sigma \mapsto a_\sigma$ , such that

$$\alpha'_{\sigma,\tau} = (\sigma a_\tau) \cdot a_{\sigma\tau}^{-1} \cdot a_\sigma \cdot \alpha_{\sigma,\tau} \quad \text{for all } \sigma, \tau \in \Gamma.$$

PROPOSITION 7.1.2. *Let  $B$  be a  $\Gamma$ -group and  $A \subset B$  a  $\Gamma$ -subgroup such that  $a \cdot b = b \cdot a$  for all  $a \in A$  and  $B \in B$ . Then the quotient  $C = B/A$  is a  $\Gamma$ -group, and there is an exact sequence of pointed sets*

$$1 \rightarrow A^\Gamma \rightarrow B^\Gamma \rightarrow C^\Gamma \xrightarrow{\delta} H^1(\Gamma, A) \rightarrow H^1(\Gamma, B) \rightarrow H^1(\Gamma, C) \xrightarrow{\delta^2} H^2(\Gamma, A).$$

If  $x \in H^1(\Gamma, C)$  is represented by a 1-cocycle  $\xi: \Gamma \rightarrow C$ , then  $\delta^2(x) \in H^2(\Gamma, A)$  is represented by the 2-cocycle  $\alpha: \Gamma \times \Gamma \rightarrow A$  defined by setting

$$\alpha_{\sigma,\tau} = \beta_\sigma \cdot (\sigma \beta_\tau) \cdot \beta_{\sigma\tau}^{-1} \quad \text{for } \sigma, \tau \in \Gamma,$$

where  $\beta: \Gamma \rightarrow B$  is any continuous map such that  $\beta_\gamma \in B$  maps to  $\xi_\gamma \in C$  for all  $\gamma \in \Gamma$ .

PROOF. First note that such a continuous map  $\beta$  exists. Indeed choosing a preimage  $s(c) \in B$  of each  $c \in C$  defines a map  $s: C \rightarrow B$ , which is continuous because  $C$  has the discrete topology, and we may take  $\beta = s \circ \xi$ .

Next observe that  $\alpha_{\sigma,\tau}$  belongs to  $A \subset B$ , because its image in  $C$  is  $\xi_\sigma \cdot (\xi \beta_\tau) \cdot \xi_{\sigma\tau}^{-1} = 1$ , as  $\xi$  is a 1-cocycle. The continuity of  $\alpha$  follows from Lemma 3.2.11, for if  $\beta$  factors as  $\Gamma/U \rightarrow B^U$  for some open normal subgroup  $U$  of  $\Gamma$ , then  $\alpha$  factors as  $(\Gamma/U) \times (\Gamma/U) \rightarrow B^U$ . Now, for  $\gamma, \sigma, \tau \in \Gamma$ , we have in  $A \subset B$

$$\begin{aligned} (\gamma \alpha_{\sigma,\tau}) \cdot \alpha_{\gamma,\sigma\tau} &= (\gamma \alpha_{\sigma,\tau}) \cdot \beta_\gamma \cdot (\gamma \beta_{\sigma\tau}) \cdot \beta_{\gamma\sigma\tau}^{-1} \\ &= \beta_\gamma \cdot (\gamma \alpha_{\sigma,\tau}) \cdot (\gamma \beta_{\sigma\tau}) \cdot \beta_{\gamma\sigma\tau}^{-1} \\ &= \beta_\gamma \cdot (\gamma \beta_\sigma) \cdot (\gamma \sigma \beta_\tau) \cdot (\gamma \beta_{\sigma\tau}^{-1}) \cdot (\gamma \beta_{\sigma\tau}) \cdot \beta_{\gamma\sigma\tau}^{-1} \\ &= \beta_\gamma \cdot (\gamma \beta_\sigma) \cdot (\gamma \sigma \beta_\tau) \cdot \beta_{\gamma\sigma\tau}^{-1} \\ &= \beta_\gamma \cdot (\gamma \beta_\sigma) \cdot \beta_{\gamma\sigma}^{-1} \cdot \beta_{\gamma\sigma} \cdot (\gamma \sigma \beta_\tau) \cdot \beta_{\gamma\sigma\tau}^{-1} \\ &= \alpha_{\gamma,\sigma} \cdot \alpha_{\gamma,\sigma\tau}, \end{aligned}$$

proving that  $\alpha$  is 2-cocycle.

If  $\beta': \Gamma \rightarrow B$  is another map lifting  $\xi$ , then for each  $\gamma \in \Gamma$  we have  $\beta'_\gamma = a_\gamma \cdot \beta_\gamma$ , where  $a_\gamma \in A$ . Thus, for  $\sigma, \tau \in \Gamma$ , we have in  $A$

$$\alpha'_{\sigma,\tau} = \beta'_\sigma \cdot (\sigma \beta'_\tau) \cdot \beta_{\sigma\tau}^{-1} = a_\sigma \cdot (\sigma a_\tau) \cdot a_{\sigma\tau}^{-1} \cdot \beta_\sigma \cdot (\sigma \beta_\tau) \cdot \beta_{\sigma\tau}^{-1} = a_\sigma \cdot (\sigma a_\tau) \cdot a_{\sigma\tau}^{-1} \cdot \alpha_{\sigma,\tau},$$

proving that  $\alpha'$  and  $\alpha$  are cohomologous. We have proved that the class of  $\alpha$  in  $H^2(\Gamma, A)$  does not depend on the choice of the map  $\beta$ .

Now assume that  $\xi': \Gamma \rightarrow C$  is cohomologous to  $\xi$ . Then there is  $c \in C$  such that

$$\xi'_\gamma = c^{-1} \cdot \xi_\gamma \cdot (\gamma c) \quad \text{for all } \gamma \in \Gamma.$$

Let  $b \in B$  be a preimage of  $C$ . Then the map  $\beta': \Gamma \rightarrow B$  defined by setting  $\beta'_\gamma = b^{-1} \cdot \beta_\gamma \cdot (\gamma b)$  for all  $\gamma \in \Gamma$  is a lifting of  $\xi'$ , and for  $\sigma, \tau \in \Gamma$ , we have in  $A$

$$\begin{aligned} \beta'_\sigma \cdot (\sigma \beta'_\tau) \cdot \beta_{\sigma\tau}^{-1} &= b^{-1} \cdot \beta_\sigma \cdot (\sigma b) \cdot (\sigma b^{-1}) \cdot (\sigma \beta_\tau) \cdot (\sigma \tau b) \cdot (b^{-1} \cdot \beta_{\sigma\tau} \cdot (\sigma \tau b))^{-1} \\ &= b^{-1} \cdot \beta_\sigma \cdot (\sigma \beta_\tau) \cdot \beta_{\sigma\tau}^{-1} \cdot b \\ &= b^{-1} \cdot \alpha_{\sigma,\tau} \cdot b = \alpha_{\sigma,\tau}, \end{aligned}$$

as  $\alpha_{\sigma,\tau}$  is central in  $B$ . We have proved that the class of  $\alpha$  in  $H^2(\Gamma, A)$  depends only on the class of  $\xi$  in  $H^1(\Gamma, C)$ .

Assume that  $\alpha$  is cohomologous to the trivial 2-cocycle. Then there is  $a: \Gamma \rightarrow A$  such that, for all  $\sigma, \tau \in \Gamma$ ,

$$\beta_\sigma \cdot (\sigma\beta_\tau) \cdot \beta_{\sigma\tau}^{-1} = a_\sigma \cdot (\sigma a_\tau) \cdot a_{\sigma\tau}^{-1}.$$

Setting  $\zeta_\gamma = \beta_\gamma \cdot a_\gamma^{-1}$  for  $\gamma \in \Gamma$  defines a map  $\zeta: \Gamma \rightarrow B$ . This map is 1-cocycle, since for all  $\sigma, \tau \in \Gamma$ ,

$$\zeta_\sigma \cdot (\sigma\zeta_\tau) = \beta_\sigma \cdot a_\sigma^{-1} \cdot (\sigma\beta_\tau) \cdot (\sigma a_\tau)^{-1} = \beta_{\sigma\tau} \cdot a_{\sigma\tau}^{-1} = \zeta_{\sigma\tau}.$$

Since for  $\gamma \in \Gamma$ , the element  $a_\gamma$  lies in  $A = \ker(B \rightarrow C)$ , the image of  $\zeta_\gamma$  in  $C$  is  $\xi_\gamma$ . Thus the class of  $\zeta$  in  $H^1(\Gamma, B)$  maps to the class of  $\xi$  in  $H^1(\Gamma, C)$ , proving the exactness of the sequence.  $\square$

The exact sequence of Proposition 7.1.2 is functorial in the following sense. To a commutative diagram of  $\Gamma$ -groups with exact rows

$$\begin{array}{ccccccc} 1 & \longrightarrow & A & \longrightarrow & B & \longrightarrow & C \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & A' & \longrightarrow & B' & \longrightarrow & C \longrightarrow 1 \end{array}$$

such that  $a \cdot b = b \cdot a$  for all  $a \in A, b \in B$ , resp.  $a \in A', b \in B'$ , corresponds a commutative diagram of pointed sets with exact rows

$$\begin{array}{ccccccccccc} 1 & \longrightarrow & A^\Gamma & \longrightarrow & B^\Gamma & \longrightarrow & C^\Gamma & \longrightarrow & H^1(\Gamma, A) & \longrightarrow & H^1(\Gamma, B) & \longrightarrow & H^1(\Gamma, C) & \longrightarrow & H^2(\Gamma, A) \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & A'^\Gamma & \longrightarrow & B'^\Gamma & \longrightarrow & C'^\Gamma & \longrightarrow & H^1(\Gamma, A') & \longrightarrow & H^1(\Gamma, B') & \longrightarrow & H^1(\Gamma, C') & \longrightarrow & H^2(\Gamma, A'). \end{array}$$

This assertion may be verified using the explicit formula for the connecting maps  $\delta$  and  $\delta^2$ .

If  $G$  is a  $k$ -group such that  $G(k_s)$  is abelian, we will write

$$H^2(k, G) = H^2(\text{Gal}(k_s/k), G(k_s)).$$

Thus if

$$1 \rightarrow A \rightarrow B \rightarrow C \rightarrow 1$$

is an exact sequence of  $k$ -groups such that  $a \cdot b = b \cdot a$  for all  $a \in A(k_s)$  and  $b \in B(k_s)$ , we have by Proposition 7.1.2 an exact sequence of pointed sets

$$1 \rightarrow A(k) \rightarrow B(k) \rightarrow C(k) \rightarrow H^1(k, A) \rightarrow H^1(k, B) \rightarrow H^1(k, C) \xrightarrow{\delta^2} H^2(k, A)$$

which is functorial in the sense described above.

**PROPOSITION 7.1.3.** *Let  $B$  be a  $\Gamma$ -module and  $A \subset B$  a  $\Gamma$ -submodule. Let  $C = B/A$ . Then the exact sequence of Proposition 7.1.2 may be extended to an exact sequence*

$$1 \rightarrow A^\Gamma \rightarrow B^\Gamma \rightarrow C^\Gamma \rightarrow H^1(\Gamma, A) \rightarrow H^1(\Gamma, B) \rightarrow H^1(\Gamma, C) \rightarrow H^2(\Gamma, A) \rightarrow H^2(\Gamma, B).$$

**PROOF.** The only point to verify is exactness at  $H^2(\Gamma, A)$ . So let  $\alpha: \Gamma^2 \rightarrow A$  be a 2-cocycle whose image in  $Z^2(\Gamma, B)$  is cohomologous to the trivial 2-cocycle. This means that there exists a continuous map  $\beta: \Gamma \rightarrow B$  such that

$$\alpha_{\sigma,\tau} = \beta_{\sigma\tau}^{-1} \cdot \beta_\sigma \cdot (\sigma\beta_\tau) \quad \text{for all } \sigma, \tau \in \Gamma.$$

Since  $\alpha$  takes values in  $A$ , the image of  $\beta_{\sigma\tau}^{-1} \cdot \beta_\sigma \cdot (\sigma\beta_\tau)$  in  $C$  vanishes for every  $\sigma, \tau \in \Gamma$ , which proves that the composite  $\xi: \Gamma \xrightarrow{\beta} B \rightarrow C$  is a 1-cocycle. It follows from the formula for  $\delta^2$  given in Proposition 7.1.2 that the class of  $\xi$  in  $H^1(\Gamma, C)$  is mapped to the class of  $\alpha$  in  $H^2(\Gamma, A)$ .  $\square$

## 2. The Brauer group, II

Let  $L/k$  be a separable extension. Since every automorphism of  $M_n(L)$  is inner by Skolem–Noether’s Theorem 2.3.3, and the center of  $M_n(L)$  is  $L$ , we have an exact sequence of groups

$$(7.2.a) \quad 1 \rightarrow L^\times \rightarrow \mathrm{GL}_n(L) \rightarrow \mathrm{PGL}_n(L) \rightarrow 1.$$

This yields an exact sequence of  $k$ -groups

$$1 \rightarrow \mathbb{G}_m \rightarrow \mathrm{GL}_n \rightarrow \mathrm{PGL}_n \rightarrow 1,$$

and thus by Proposition 7.1.2 an exact sequence of pointed sets

$$(7.2.b) \quad H^1(k, \mathrm{GL}_n) \rightarrow H^1(k, \mathrm{PGL}_n) \xrightarrow{\delta_n} H^2(k, \mathbb{G}_m).$$

LEMMA 7.2.1. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra of degree  $n$ . Then  $\delta_n[A] = 0$  if and only if  $A$  is split.*

PROOF. Since  $\delta_n$  is a morphism of pointed sets, we have  $\delta_n[A] = 0$  when  $A$  is split. The converse follows from the exact sequence (7.2.b), since  $H^1(k, \mathrm{GL}_n)$  vanishes by Hilbert’s Theorem 90 (Proposition 6.1.1).  $\square$

LEMMA 7.2.2. *Let  $A, B$  be finite-dimensional central simple  $k$ -algebras. Set  $m = \deg(A)$  and  $n = \deg(B)$ . Then*

$$\delta_m([A]) + \delta_n([B]) = \delta_{mn}([A \otimes_k B]) \in H^2(k, \mathbb{G}_m)$$

PROOF. By Proposition 7.1.2 (and the discussion just below), the diagram (6.3.a) yields a commutative diagram

$$\begin{array}{ccccc} H^1(k, \mathrm{PGL}_m) \times H^1(k, \mathrm{PGL}_n) & \longrightarrow & H^1(k, \mathrm{PGL}_m \times \mathrm{PGL}_n) & \longrightarrow & H^1(k, \mathrm{PGL}_{mn}) \\ \downarrow (\delta_m, \delta_n) & & \downarrow & & \downarrow \delta_{mn} \\ H^2(k, \mathbb{G}_m) \times H^2(k, \mathbb{G}_m) & \longrightarrow & H^2(k, \mathbb{G}_m \times \mathbb{G}_m) & \longrightarrow & H^2(k, \mathbb{G}_m) \end{array}$$

Since the map  $\mathbb{G}_m \times \mathbb{G}_m \rightarrow \mathbb{G}_m$  in the diagram (6.3.a) is the group operation of  $\mathbb{G}_m$ , it follows that the lower composite in the above diagram is the operation in the group  $H^2(k, \mathbb{G}_m)$ . The upper composite maps  $([A], [B])$  to  $[A \otimes_k B]$  by Proposition 6.3.1, and the statement follows.  $\square$

PROPOSITION 7.2.3. *Mapping a finite-dimensional central simple  $k$ -algebra  $A$  to the element  $\delta_{\deg(A)}([A])$  yield an injective group morphism*

$$\mathrm{Br}(k) \rightarrow H^2(k, \mathbb{G}_m).$$

PROOF. Let  $A$  be a finite-dimensional central simple  $k$ -algebra of degree  $m$ . Since  $\delta_n([M_n(k)]) = 0$  for any integer  $n$ , it follows from Lemma 7.2.2 that  $\delta_m[A] = \delta_{mn}(M_n(A))$ . Thus  $A \mapsto \delta_m[A]$  induces a group morphism  $\mathrm{Br}(k) \rightarrow H^2(k, \mathbb{G}_m)$  which is injective by Lemma 7.2.1.  $\square$

REMARK 7.2.4. We will prove later that this morphism is in fact bijective.

### 3. The period

**THEOREM 7.3.1.** *We have  $\text{ind}(A) \cdot [A] = 0$  in  $\text{Br}(k)$ .*

**PROOF.** We may assume that  $A$  is division, and let  $n = \deg(A)$ . The commutative diagram of  $k$ -groups with exact rows

$$\begin{array}{ccccccc} 1 & \longrightarrow & \mathbb{G}_m & \longrightarrow & \text{GL}_n & \longrightarrow & \text{PGL}_n \longrightarrow 1 \\ & & \downarrow n & & \downarrow \det & & \downarrow \\ 1 & \longrightarrow & \mathbb{G}_m & \xrightarrow{=} & \mathbb{G}_m & \longrightarrow & 1 \longrightarrow 1 \end{array}$$

gives rise to a commutative diagram of pointed sets

$$\begin{array}{ccc} H^1(k, \text{PGL}_n) & \xrightarrow{\delta_n} & H^2(k, \mathbb{G}_m) \\ \downarrow & & \downarrow n \\ \{*\} & \longrightarrow & H^2(k, \mathbb{G}_m) \end{array}$$

It follows that  $n\delta_n([A]) = 0$  in  $H^2(k, \mathbb{G}_m)$ , so that by Proposition 7.2.3 we have  $n[A] = 0$  in  $\text{Br}(k)$ .  $\square$

**COROLLARY 7.3.2.** *For every finite-dimensional central simple  $k$ -algebra  $A$ , there exist integers  $r, n \in \mathbb{N} - \{0\}$  such that  $A^{\otimes n} \simeq M_r(k)$ .*

**COROLLARY 7.3.3.** *Let  $L/k$  be a finite field extension. Then the map  $\text{Br}(L/k) \rightarrow \text{Br}(L/k)$  given by multiplication by  $[L : k]$  is zero.*

**PROOF.** This follows from Proposition 2.5.2 and Theorem 7.3.1.  $\square$

**PROPOSITION 7.3.4.** *Assume that the field  $k$  has positive characteristic  $p$  and is perfect (i.e. every algebraic extension of  $k$  is separable). Then the map  $\text{Br}(k) \rightarrow \text{Br}(k)$  given by multiplication by  $p$  is an isomorphism.*

**PROOF.** The map  $k_s^\times \rightarrow k_s^\times$  given by  $x \mapsto x^p$  is injective because  $k_s$  has characteristic  $p$ , and surjective because  $k_s$  is algebraically closed. It follows that multiplication by  $p$  is bijective in  $H^2(\text{Gal}(k_s/k), k_s^\times) = H^2(k, \mathbb{G}_m)$ , hence injective in  $\text{Br}(k)$  by Proposition 7.2.3. Now for every element  $x \in \text{Br}(k)$ , there exists a nonzero integer  $n$  such that  $nx = 0$  by Theorem 7.3.1. If  $n = pm$  for some integer  $m$ , we must have  $mx = 0$ , as multiplication by  $p$  is injective in  $\text{Br}(k)$ . We may thus assume that  $n$  is prime to  $p$ . Writing  $1 = un + vp$  with  $u, v \in \mathbb{Z}$ , we have  $x = unx + vpx = p(vx)$ , proving that multiplication by  $p$  is surjective in  $\text{Br}(k)$ .  $\square$

**DEFINITION 7.3.5.** Let  $A$  be a finite-dimensional central simple  $k$ -algebra. The order of the class of  $A$  in the group  $\text{Br}(k)$  is called the *period* of  $A$ , and is denoted by  $\text{per}(A)$ .

The period of  $A$  is thus the smallest integer  $n > 0$  such that  $A^{\otimes n}$  splits. By Theorem 7.3.1, we have

$$\text{per}(A) \mid \text{ind}(A).$$

If  $L/k$  is a field extension, observe that  $\text{per}(A_L) \mid \text{per}(A)$ .

**PROPOSITION 7.3.6.** *The integers  $\text{per}(A)$  and  $\text{ind}(A)$  have the same prime divisors.*



PROOF. Let  $L/k$  be a separable field extension splitting  $A$  and such that  $[L : k] = \text{ind}(A)$  (see Corollary 2.5.14). Then  $L$  is contained in some finite Galois extension  $E/k$  by Lemma 3.3.7. Let  $p$  be a prime divisor of  $\text{ind}(A)$ , let  $H$  be a  $p$ -Sylow subgroup of  $\text{Gal}(E/k)$ , and set  $K = E^H$ . Then  $[K : k]$  is prime to  $p$  and  $[E : K]$  is a power of  $p$ . The integer  $\text{ind}(A)$  divides  $[K : k] \text{ind}(A_K)$  by Proposition 2.5.7, hence  $\text{ind}(A_K)$  is divisible by  $p$ . Moreover  $\text{ind}(A_K)$  divides  $[E : K]$  (by Proposition 2.5.2), hence  $\text{ind}(A_K)$  is a power of  $p$ . Thus  $\text{per}(A_K)$  is a power of  $p$  by Theorem 7.3.1. Since  $A_K$  is not split (as its index is divisible by  $p$ ), it follows that  $\text{per}(A_K) \neq 1$ , so that  $p \mid \text{per}(A_K) \mid \text{per}(A)$ .  $\square$

PROPOSITION 7.3.7 (Brauer). *Let  $D$  be a finite-dimensional central division  $k$ -algebra. Write*

$$\text{ind}(D) = q_1 \cdots q_n$$

*where  $q_1, \dots, q_n$  are powers of pairwise distinct prime numbers. Then there are finite-dimensional central division  $k$ -algebras  $D_i$  such that  $\text{ind}(D_i) = q_i$  for  $i = 1, \dots, n$  and*

$$D \simeq D_1 \otimes_k \cdots \otimes_k D_n.$$

PROOF. For  $i = 1, \dots, n$ , let  $p_i$  be the prime divisor of  $q_i$ . By Theorem 7.3.1 we may write  $\text{per}(D) = b_1 \cdots b_n$ , where  $b_i \mid q_i$  for each  $i = 1, \dots, n$ . The elements  $r_i = \text{per}(D)/b_i$  for  $i = 1, \dots, n$  are coprime, hence there exist integers  $a_1, \dots, a_n \in \mathbb{Z}$  such that  $a_1 r_1 + \cdots + a_n r_n = 1$ . For each  $i = 1, \dots, n$ , let  $D_i$  be a finite-dimensional central division  $k$ -algebra whose class in  $\text{Br}(k)$  is  $a_i r_i [D]$ . Then  $D_1 \otimes_k \cdots \otimes_k D_n$  is Brauer equivalent to  $D$ . Also for each  $i = 1, \dots, n$  we have  $\text{per}(D_i) \mid b_i$ , hence  $\text{ind}(D_i)$  is a power of  $p_i$  by Proposition 7.3.6. It follows from Corollary 2.5.10 (applied  $n-1$  times) that the  $k$ -algebra  $D_1 \otimes_k \cdots \otimes_k D_n$  is division, hence isomorphic to  $D$ . Since  $\text{ind}(D_1) \cdots \text{ind}(D_n) = q_1 \cdots q_n$ , we see that  $\text{ind}(D_i) = q_i$  for all  $i = 1, \dots, n$  (by looking at the  $p_i$ -adic valuation).  $\square$

## CHAPTER 8

## Cohomology of groups

In this chapter we present the classical construction of group cohomology (with abelian coefficients) using homological methods. The aim is to define cohomology groups in degrees higher than two, in a way which permits to extend the long exact sequences obtained earlier.

Beside providing long exact sequences, this approach has two important consequences, including for cohomology groups in degrees 1 and 2; both of those can be obtained directly in low degrees, but the homological approach is particularly effective in these situations. The first such consequence is Shapiro's Lemma computing the cohomology coinduced modules. Like Hilbert's Theorem 90, this lemma provides vanishing results, which are at the basis of many computations of Galois cohomology groups. The second consequence is the construction of corestriction morphisms, together with the associated projection formula. This is a very useful tool when trying to control torsion phenomena in the cohomology groups and passing to subgroups (the so-called "transfer" or "restriction-corestriction" arguments).

We start with the cohomology of finite groups, and more generally, discrete groups. This is done using projective resolutions of the module  $\mathbb{Z}$  equipped with the trivial group action. The cohomology of profinite groups (the main case of interest for Galois cohomology) can then be obtained as the direct limit of the cohomology of its finite quotients. These cohomology groups cannot simply be constructed using projective resolutions as in the finite case, because such resolutions need not exist in the category of discrete modules when the group is not discrete. They could, however, be constructed directly using injective resolutions of the coefficient module, a strategy that we did not pursue here.

## 1. Projective Resolutions

In this section, we fix a (unital associative) ring  $R$ . As before, an  $R$ -module means a left  $R$ -module.

**DEFINITION 8.1.1.** A *chain complex* (of  $R$ -modules)  $C$  is a collection of  $R$ -modules  $C_i$  and morphisms of  $R$ -modules  $d_i^C: C_i \rightarrow C_{i-1}$  for  $i \in \mathbb{Z}$ , satisfying  $d_{i-1}^C \circ d_i^C = 0$  for all  $i \in \mathbb{Z}$ . The chain complex  $C$  is called *exact* if  $\ker d_i^C = \operatorname{im} d_{i+1}^C$  for all  $i \in \mathbb{Z}$ . A morphism of chain complexes  $f: C \rightarrow C'$  is a collection of morphisms  $f_i: C_i \rightarrow C'_i$  such that  $f_{i-1} \circ d_i = d_i' \circ f_i$  for all  $i \in \mathbb{Z}$ .

**DEFINITION 8.1.2.** We say that the morphisms of chain complexes  $f, g: C \rightarrow C'$  are *homotopic* if there exists a collection of morphisms  $s_i: C_i \rightarrow C'_{i+1}$  for  $i \in \mathbb{Z}$  such that

$$f_i - g_i = d_{i+1}' \circ s_i + s_{i-1} \circ d_i^C.$$

A morphism of chain complexes  $f: M \rightarrow N$  is a *homotopy equivalence* if there exists a morphism of chain complexes  $g: N \rightarrow M$  such that  $f \circ g$  is homotopic to the identity of  $N$  and  $g \circ f$  is homotopic to the identity of  $M$ .

DEFINITION 8.1.3. An  $R$ -module  $P$  is called *projective* if for every surjective  $R$ -module morphism  $M \rightarrow N$ , the natural morphism  $\text{Hom}_R(P, M) \rightarrow \text{Hom}_R(P, N)$  is surjective.

LEMMA 8.1.4. *An  $R$ -module is projective if and only if it is a direct summand of a free module.*

PROOF. Let  $P$  be a projective  $R$ -module. Let  $F$  be the free  $R$ -module on the basis  $e_p$  for  $p \in P$ . The morphism of  $R$ -modules  $f: F \rightarrow P$  given by  $e_p \mapsto p$  is visibly surjective. By projectivity of  $P$ , we find a morphism of  $R$ -modules  $s: P \rightarrow F$  such that  $f \circ s = \text{id}_P$ . We have proved that  $P$  is a direct summand of  $F$ .

Let now  $L$  be a free  $R$ -module, with basis  $l_\alpha$  for  $\alpha \in A$ . Let  $M \rightarrow N$  be a surjective morphism of  $R$ -modules and  $f: L \rightarrow N$  a morphism of  $R$ -modules. For each  $\alpha \in A$ , pick a preimage  $m_\alpha \in M$  of  $f(l_\alpha) \in N$ . Then  $l_\alpha \mapsto m_\alpha$  defines a morphism of  $R$ -modules  $F \rightarrow M$  such that the composite  $F \rightarrow M \rightarrow N$  is  $f$ . We have proved that  $L$  is projective.

Finally, let  $P$  be a projective  $R$ -module, and  $B$  a direct summand of  $P$ . Let  $M \rightarrow N$  be a surjective morphism of  $R$ -modules and  $f: B \rightarrow N$  a morphism of  $R$ -modules. By projectivity of  $P$ , the composite  $P \rightarrow B \rightarrow N$  lifts to a morphism  $P \rightarrow M$ . Then the composite  $B \rightarrow P \rightarrow M$  is a lifting of  $f$ . We have proved that  $B$  is projective.  $\square$

DEFINITION 8.1.5. Let  $M$  be an  $R$ -module. A *resolution*  $C \rightarrow M$  is a chain complex  $C$  such that  $C_i = 0$  for  $i < 0$ , together with a morphism  $C_0 \rightarrow M$  such that the augmented chain complex

$$\cdots \rightarrow C_1 \rightarrow C_0 \rightarrow M \rightarrow 0$$

is exact. A resolution  $C \rightarrow M$  is said to be projective if each  $C_i$  is so.

LEMMA 8.1.6. *Every  $R$ -module admits a projective resolution.*

PROOF. Set  $M = P_{-1}$  and  $P_i = 0$  for  $i < -1$ . We proceed by induction, and assume that the exact sequence  $P_i \rightarrow P_{i-1} \rightarrow \cdots \rightarrow P_0 \rightarrow P_{-1} \rightarrow 0$  is constructed for some  $i \geq -1$ . Let  $N = \ker(P_i \rightarrow P_{i-1})$ , and let  $P_{i+1}$  be the free module on the basis  $e_n$  for  $n \in N$ . Then  $P_{i+1}$  is projective by Lemma 8.1.4. The morphism of  $R$ -modules  $P_{i+1} \rightarrow N$  given by sending  $e_n \mapsto n$  is surjective, and the composite  $P_{i+1} \rightarrow N \subset P_i$  fits into the required exact sequence.  $\square$

PROPOSITION 8.1.7. *Let  $E$  and  $P$  be chain complexes of  $R$ -modules. Assume that*

- (i)  $P_i = E_i = 0$  for  $i < -1$ .
- (ii)  $P_i$  is projective for  $i \geq 0$ .
- (iii)  $E$  is exact.

*Let  $g: P_{-1} \rightarrow E_{-1}$  be a morphism of  $R$ -modules. Then there exists a morphism of chain complexes of  $R$ -modules  $f: P \rightarrow E$  such that  $f_{-1} = g$ . This morphism is unique up to homotopy.*

PROOF. We construct  $f_i: P_i \rightarrow E_i$  inductively, starting with  $f_{-1} = g$ . Assume that  $i \geq 0$  and that  $f_{i-1}$  is constructed. The composite  $f_{i-1} \circ d_i^P: P_i \rightarrow E_{i-1}$  has image contained into  $\ker d_{i-1}^E$ , because

$$d_{i-1}^E \circ f_{i-1} \circ d_i^P = f_{i-2} \circ d_{i-1}^P \circ d_i^P = 0.$$

By exactness of the chain complex  $E$ , the morphism  $E_i \rightarrow \ker d_{i-1}^E$  induced by  $d_i^E$  is surjective, hence by projectivity of  $P_i$ , we may find a morphism of  $R$ -modules  $f_i: P_i \rightarrow E_i$  such that  $d_i^E \circ f_i = f_{i-1} \circ d_i^P$ .

Now let  $f, f': P \rightarrow E$  be two morphisms of chain complexes extending  $g$ . We construct for each  $i \in \mathbb{Z}$  a morphism of  $R$ -modules  $s_i: P_i \rightarrow E_{i+1}$  such that

$$f_i - f'_i = d_{i+1}^E \circ s_i + s_{i-1} \circ d_i^P$$

by induction on  $i$ . We let  $s_i = 0$  for  $i < -1$ . Assume that  $s_{i-1}$  is constructed. Then

$$\begin{aligned} d_i^E \circ (f_i - f'_i) &= (f_{i-1} - f'_{i-1}) \circ d_i^P \\ &= d_i^E \circ s_{i-1} \circ d_i^P + s_{i-2} \circ d_{i-1}^P \circ d_i^P \\ &= d_i^E \circ s_{i-1} \circ d_i^P, \end{aligned}$$

so that the morphism  $(f_i - f'_i) - s_{i-1} \circ d_i^P: P_i \rightarrow E_i$  has image in  $\ker d_i^E$ . By exactness of the chain complex  $E$ , the morphism  $E_{i+1} \rightarrow \ker d_i^E$  is surjective. By projectivity of  $P_i$ , we obtain a morphism  $s_i: P_i \rightarrow E_{i+1}$  such that  $d_{i+1}^E \circ s_i = (f_i - f'_i) - s_{i-1} \circ d_i^P$ , as required.  $\square$

**COROLLARY 8.1.8.** *Let  $M$  be an  $R$ -module, and  $P \rightarrow M, P' \rightarrow M$  projective resolutions. Then there exists a morphism of chain complexes  $P \rightarrow P'$  such that the composites  $P_0 \rightarrow P'_0 \rightarrow M$  and  $P_0 \rightarrow M$  coincide. Such a morphism is unique up to homotopy, and is a homotopy equivalence.*

**PROOF.** By Proposition 8.1.7, the identity of  $M$  extends to morphisms of chain complexes  $P \rightarrow P'$  and  $P' \rightarrow P$ , which are unique up to homotopy. The composite  $P \rightarrow P' \rightarrow P$  and the identity of  $P$  are both extensions of the identity of  $M$ . They must be homotopic by the unicity part of Proposition 8.1.7. For the same reason, the composite  $P' \rightarrow P \rightarrow P'$  is homotopic to the identity of  $P'$ .  $\square$

## 2. Cochain complexes

**DEFINITION 8.2.1.** A *cochain complex* (of  $R$ -modules)  $C$  is a collection of  $R$ -modules  $C^i$  and morphisms of  $R$ -modules  $d_C^i: C^i \rightarrow C^{i+1}$  for  $i \in \mathbb{Z}$ , called *coboundary morphisms*, satisfying  $d_C^{i+1} \circ d_C^i = 0$  for all  $i \in \mathbb{Z}$ . The  $R$ -module

$$H^i(C) = \ker d_C^i / \operatorname{im} d_C^{i-1}$$

is called the  *$i$ -th cohomology* of the cochain complex  $C$ . A morphism of cochain complexes  $f: C \rightarrow C'$  is a collection of morphisms of  $R$ -modules  $f^i: C^i \rightarrow C'^i$  such that  $f^{i+1} \circ d^i = d'^i \circ f^i$  for all  $i \in \mathbb{Z}$ . Such a morphism induces morphisms of  $R$ -modules  $H^i(C) \rightarrow H^i(C')$  for all  $i \in \mathbb{Z}$ .

**DEFINITION 8.2.2.** We say that the morphisms of cochain complexes  $f, g: C \rightarrow C'$  are *homotopic* if there is a collection of morphisms  $s^i: C^i \rightarrow C'^{i-1}$  for  $i \in \mathbb{Z}$  such that

$$f^i - g^i = d_{C'}^{i-1} \circ s^i + s^{i+1} \circ d_C^i.$$

A morphism of cochain complexes  $f: C \rightarrow C'$  is a *homotopy equivalence* if there exists a morphism of cochain complexes  $g: C' \rightarrow C$  such that  $f \circ g$  is homotopic to the identity of  $C'$  and  $g \circ f$  is homotopic to the identity of  $C$ .

**PROPOSITION 8.2.3.** *Homotopic morphisms induce the same morphism in cohomology.*

PROOF. In the notation of Definition 8.2.2, the morphism  $d_{C'}^{i-1} \circ s^i$  has image contained in  $\text{im } d_{C'}^{i-1}$  and the kernel of the morphism  $s^{i+1} \circ d_C^i$  contains  $\ker d_C^i$ . These morphisms thus induce the zero morphism in cohomology by construction.  $\square$

COROLLARY 8.2.4. *A homotopy equivalence induces isomorphisms in cohomology.*

DEFINITION 8.2.5. A sequence of cochain complexes

$$0 \rightarrow C' \rightarrow C \rightarrow C'' \rightarrow 0$$

is called *exact* if the sequence

$$0 \rightarrow C'^i \rightarrow C^i \rightarrow C''^i \rightarrow 0$$

is exact for each  $i \in \mathbb{Z}$ .

PROPOSITION 8.2.6. *An exact sequence of cochain complexes of  $R$ -modules*

$$0 \rightarrow C' \rightarrow C \rightarrow C'' \rightarrow 0$$

*induces an exact sequence of  $R$ -modules*

$$\dots \rightarrow H^{i-1}(C'') \rightarrow H^i(C') \rightarrow H^i(C) \rightarrow H^i(C'') \rightarrow H^{i+1}(C) \rightarrow \dots$$

*Given a commutative diagram of cochain complexes of  $R$ -modules*

$$\begin{array}{ccccccccc} 0 & \longrightarrow & C' & \longrightarrow & C & \longrightarrow & C'' & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & D' & \longrightarrow & D & \longrightarrow & D'' & \longrightarrow & 0 \end{array}$$

*having exact rows, the induced diagram of  $R$ -modules*

$$\begin{array}{ccccccccc} \dots & \longrightarrow & H^{i-1}(C'') & \longrightarrow & H^i(C') & \longrightarrow & H^i(C) & \longrightarrow & H^i(C'') & \longrightarrow & H^{i+1}(C) & \longrightarrow & \dots \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\ \dots & \longrightarrow & H^{i-1}(D'') & \longrightarrow & H^i(D') & \longrightarrow & H^i(D) & \longrightarrow & H^i(D'') & \longrightarrow & H^{i+1}(D') & \longrightarrow & \dots \end{array}$$

*is commutative.*

PROOF. (The is the so-called “snake lemma”; details are left as an exercise.) Given  $a \in H^{i-1}(C'')$ , choose a representative  $b \in C''^{i-1}$  such that  $d_{C''}^{i-1}(b) = 0$ . Pick a preimage  $c \in C^{i-1}$  of  $b$ , and let  $e = d_C^{i-1}(c) \in C^i$ . Then  $e$  is mapped to zero in  $C''^i$ , hence is the image of an element  $f \in C'^i$ . One may then check that the class of  $f$  in  $H^i(C')$  does not depend on any of the choices made, and that the map  $\partial: H^{i-1}(C'') \rightarrow H^i(C')$  given sending  $x$  to the class of  $f$  is a morphism of  $R$ -modules fitting into the above exact sequences and diagrams.  $\square$

### 3. Cohomology of discrete groups

In this section  $G$  is a group (endowed with the discrete topology). We consider the ring  $\mathbb{Z}[G]$  defined as the free abelian group on the basis  $e_g$  for  $g \in G$ , with the multiplication given by  $e_g e_h = e_{gh}$  for  $g, h \in G$ . Observe that a  $\mathbb{Z}[G]$ -module structure on an abelian group  $A$  is the same thing as an action of the group  $G$  by group automorphisms, the action of  $g \in G$  corresponding to left multiplication by  $e_g$ . In order to lighten the notation, we will usually denote the element  $e_g \in \mathbb{Z}[G]$  simply by  $g$ . We will use the additive notation

for the group action on a  $\mathbb{Z}[G]$ -module  $A$ , and denote the action of an element  $g \in G$  on  $A$  by  $x \mapsto gx$ .

**3.1. The cohomology groups.** Let  $A$  be a  $\mathbb{Z}[G]$ -module and  $C$  a chain complex of  $\mathbb{Z}[G]$ -modules. We denote by  $\text{Hom}_{\mathbb{Z}[G]}(C, A)$  the chain complex of abelian groups (i.e.  $\mathbb{Z}$ -modules) such that  $(\text{Hom}_{\mathbb{Z}[G]}(C, A))^i = \text{Hom}_{\mathbb{Z}[G]}(C_i, A)$  for all  $i \in \mathbb{Z}$ , and

$$d_{\text{Hom}_{\mathbb{Z}[G]}(C, A)}^i : \text{Hom}_{\mathbb{Z}[G]}(C_i, A) \rightarrow \text{Hom}_{\mathbb{Z}[G]}(C_{i+1}, A)$$

is the morphism induced by composition with  $d_{i+1}^C$ .

A morphism of chain complexes of  $\mathbb{Z}[G]$ -modules  $f: C \rightarrow C'$  induces a morphism of cochain complexes of abelian groups

$$\text{Hom}_{\mathbb{Z}[G]}(f, A) : \text{Hom}_{\mathbb{Z}[G]}(C, A) \rightarrow \text{Hom}_{\mathbb{Z}[G]}(C', A).$$

If  $f$  is homotopic to  $g$ , then  $\text{Hom}_{\mathbb{Z}[G]}(f, A)$  is homotopic to  $\text{Hom}_{\mathbb{Z}[G]}(g, A)$ . Thus a homotopy equivalence  $C \rightarrow C'$  induces a homotopy equivalence  $\text{Hom}_{\mathbb{Z}[G]}(C, A) \rightarrow \text{Hom}_{\mathbb{Z}[G]}(C', A)$ , and therefore isomorphisms  $H^q(\text{Hom}_{\mathbb{Z}[G]}(C, A)) \rightarrow H^q(\text{Hom}_{\mathbb{Z}[G]}(C', A))$  for all  $q$  by Corollary 8.2.4.

**DEFINITION 8.3.1.** Let  $A$  be a  $\mathbb{Z}[G]$ -module. We view  $\mathbb{Z}$  as a  $\mathbb{Z}[G]$ -module with trivial  $G$ -action, and choose a projective resolution  $P \rightarrow \mathbb{Z}$ . By the discussion above and Corollary 8.1.8, for each  $q \in \mathbb{Z}$  the group  $H^q(\text{Hom}_{\mathbb{Z}[G]}(P, A))$  is independent of the choice of the projective resolution  $P$ , up to a canonical isomorphism. We denote this group by  $H^q(G, A)$ .

It follows from the construction that  $H^q(G, A) = 0$  for  $q < 0$ .

**REMARK 8.3.2.** If  $G = 1$ , then  $H^0(G, A) = A$  and  $H^q(G, A) = 0$  for all  $q > 0$ . Indeed a projective resolution  $P$  of  $\mathbb{Z}$  is given by setting  $P_0 = \mathbb{Z}$  and  $P_i = 0$  for  $i \neq 0$ , where the morphism  $P_0 \rightarrow \mathbb{Z}$  is the identity.

**LEMMA 8.3.3.** For any  $\mathbb{Z}[G]$ -module  $A$ , we have  $H^0(G, A) = A^G$ .

**PROOF.** Observe that  $A^G = \text{Hom}_{\mathbb{Z}[G]}(\mathbb{Z}, A)$ . Let  $P \rightarrow \mathbb{Z}$  be a projective resolution, as  $\mathbb{Z}[G]$ -module. The exact sequence of  $\mathbb{Z}[G]$ -modules

$$P_1 \rightarrow P_0 \rightarrow \mathbb{Z} \rightarrow 0$$

induces an exact sequence of abelian groups (exercise)

$$0 \rightarrow \text{Hom}_{\mathbb{Z}[G]}(\mathbb{Z}, A) \rightarrow \text{Hom}_{\mathbb{Z}[G]}(P_0, A) \rightarrow \text{Hom}_{\mathbb{Z}[G]}(P_1, A),$$

so that

$$\text{Hom}_{\mathbb{Z}[G]}(\mathbb{Z}, A) = \ker(\text{Hom}_{\mathbb{Z}[G]}(P_0, A) \rightarrow \text{Hom}_{\mathbb{Z}[G]}(P_1, A)) = H^0(\text{Hom}_{\mathbb{Z}[G]}(P, A)). \quad \square$$

Let now  $f: A \rightarrow A'$  be a morphism of  $\mathbb{Z}[G]$ -modules. Composition with  $f$  induces a morphism of cochain complex of abelian groups

$$\text{Hom}_{\mathbb{Z}[G]}(P, A) \rightarrow \text{Hom}_{\mathbb{Z}[G]}(P, A')$$

and thus morphisms of abelian groups for all  $q$

$$f_* : H^q(G, A) \rightarrow H^q(G, A').$$

Observe that for every  $q$ , the map

$$\text{Hom}_{\mathbb{Z}[G]}(A, A') \rightarrow \text{Hom}_{\mathbb{Z}}(H^q(G, A), H^q(G, A')) \quad ; \quad f \mapsto f_*$$

is a morphism of abelian groups, and that the associations  $A \mapsto H^q(G, A)$  and  $f \mapsto f_*$  define a functor from the category of  $\mathbb{Z}[G]$ -modules to the category of abelian groups.

PROPOSITION 8.3.4. *Every exact sequence of  $\mathbb{Z}[G]$ -modules*

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$$

*induces an exact sequence of abelian groups*

$$\cdots \rightarrow H^{q-1}(G, C) \rightarrow H^q(G, A) \rightarrow H^q(G, B) \rightarrow H^q(G, C) \rightarrow \cdots$$

*Given a commutative diagram of  $\mathbb{Z}[G]$ -modules*

$$\begin{array}{ccccccc} 0 & \longrightarrow & A & \longrightarrow & B & \longrightarrow & C \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & A' & \longrightarrow & B' & \longrightarrow & C' \longrightarrow 0 \end{array}$$

*having exact rows, the induced diagram of abelian groups*

$$\begin{array}{ccccccc} \cdots & \longrightarrow & H^{q-1}(G, C) & \longrightarrow & H^q(G, A) & \longrightarrow & H^q(G, B) \longrightarrow H^q(G, C) \longrightarrow \cdots \\ & & \downarrow & & \downarrow & & \downarrow \\ \cdots & \longrightarrow & H^{q-1}(G, C') & \longrightarrow & H^q(G, A') & \longrightarrow & H^q(G, B') \longrightarrow H^q(G, C') \longrightarrow \cdots \end{array}$$

*is commutative.*

PROOF. Let  $P \rightarrow \mathbb{Z}$  be a projective resolution. Since each  $\mathbb{Z}[G]$ -module  $P_i$  is projective, we have an exact sequence of cochain complexes of abelian groups

$$0 \rightarrow \text{Hom}_{\mathbb{Z}[G]}(P, A) \rightarrow \text{Hom}_{\mathbb{Z}[G]}(P, B) \rightarrow \text{Hom}_{\mathbb{Z}[G]}(P, C) \rightarrow 0.$$

The statement (including the fact that the long exact sequence obtained does not depend on the choice of  $P$ ) is then a consequence of Proposition 8.2.6.  $\square$

Let now  $\psi: G \rightarrow G'$  be a group morphism and  $A$  a  $\mathbb{Z}[G']$ -module. We may view any  $\mathbb{Z}[G']$ -module as a  $\mathbb{Z}[G]$ -module using  $\psi$ . Choose a projective resolution  $P$  of the  $\mathbb{Z}[G]$ -module  $\mathbb{Z}$ , and a projective resolution  $P'$  of the  $\mathbb{Z}[G']$ -module  $\mathbb{Z}$ . Then by Proposition 8.1.7 there exists a morphism of chain complexes of  $\mathbb{Z}[G]$ -modules  $f: P \rightarrow P'$ , which is unique up to homotopy. This morphism induces a morphism of cochain complexes of abelian groups

$$\text{Hom}_{\mathbb{Z}[G']} (P', A) = \text{Hom}_{\mathbb{Z}[G]} (P', A) \xrightarrow{\text{Hom}_{\mathbb{Z}[G]}(f, A)} \text{Hom}_{\mathbb{Z}[G]} (P, A).$$

Taking the cohomology, we obtain morphisms of abelian groups

$$\psi^*: H^q(G', A) \rightarrow H^q(G, A)$$

for all  $q$ , which does not depend on the choices of  $P, P'$  or  $f$  by Proposition 8.2.3.

In particular, when  $H \subset G$  is a subgroup, we have thus constructed the *restriction morphisms*

$$\text{Res}_H^G: H^q(G, A) \rightarrow H^q(H, A).$$

**3.2. Explicit resolutions.** We now describe a canonical projective resolution of the  $\mathbb{Z}[G]$ -module  $\mathbb{Z}$  (endowed with the trivial  $G$ -action). For each  $i \in \mathbb{N}$ , let  $L_i$  be the free abelian group on the basis  $G^{i+1}$ . A  $G$ -action is given by  $g(g_0, \dots, g_i) = (gg_0, \dots, gg_i)$ . The  $\mathbb{Z}[G]$ -module  $L_i$  is free, a basis being given by the elements  $(1, g_1, \dots, g_i)$ . For  $i \geq 1$ , consider the morphism of abelian groups  $d_i^L: L_i \rightarrow L_{i-1}$  defined by

$$d_i^L(g_0, \dots, g_i) = \sum_{j=0}^i (-1)^j (g_0, \dots, \widehat{g_j}, \dots, g_i),$$

where the notation  $\widehat{g_j}$  means that the element  $g_j$  is omitted. Let us write  $L_{-1} = \mathbb{Z}$  with the trivial  $G$ -action, and  $L_i = 0$  for  $i < -1$ . We define a morphism of abelian groups  $d_0^L: L_0 \rightarrow L_{-1} = \mathbb{Z}$  by  $(g_0) \mapsto 1$  for all  $g_0 \in G$ , and set  $d_i^L = 0$  for  $i < 0$ . The morphism  $d_i^L$  are  $\mathbb{Z}[G]$ -linear, and a straightforward computation shows that  $d_i^L \circ d_{i+1}^L = 0$  for all  $i \in \mathbb{Z}$ . We have thus constructed a chain complex of  $\mathbb{Z}[G]$ -modules  $L$ .

LEMMA 8.3.5. *The complex  $L$  is exact.*

PROOF. Consider the group morphisms (which are not  $\mathbb{Z}[G]$ -linear)

$$s_i: L_i \rightarrow L_{i+1}: (g_0, \dots, g_i) \mapsto (1, g_0, \dots, g_i)$$

for  $i \geq 0$ . Let  $s_{-1}: \mathbb{Z} = L_{-1} \rightarrow L_0$  be given by  $n \mapsto n(1)$ , and set  $s_i = 0$  for  $i < -1$ . Then  $d_{i+1}^L \circ s_i + s_{i-1} \circ d_i^L = \text{id}_{L_i}$  for all  $i$ , which implies the statement.  $\square$

REMARK 8.3.6. We just proved that  $L$  is homotopic to 0, when viewed as a complex of  $\mathbb{Z}$ -modules. Of course this is in general not true as a complex of  $\mathbb{Z}[G]$ -modules.

When  $A$  is a  $\mathbb{Z}[G]$ -module, we obtain a cochain complex of abelian groups  $C(G, A) = \text{Hom}_{\mathbb{Z}[G]}(L, A)$ . For  $i \in \mathbb{Z}$ , we write  $C^i(G, A) = \text{Hom}_{\mathbb{Z}[G]}(L_i, A)$ , and set  $Z^i(G, A) = \ker d_{C(G,A)}^i$  and  $B^i(G, A) = \text{im } d_{C(G,A)}^i$ . Since  $L$  is a projective resolution of the  $\mathbb{Z}[G]$ -module  $\mathbb{Z}$  (Lemma 8.3.5), it follows that

$$H^i(G, A) = Z^i(G, A) / B^i(G, A).$$

For  $g_1, \dots, g_i \in G$ , the elements

$$[g_1, \dots, g_i] = (1, g_1, g_1 g_2, \dots, g_1 \cdots g_i)$$

for a basis of the  $\mathbb{Z}[G]$ -module  $L_i$ . An element  $f \in C^i(G, A)$  then corresponds to a map  $a: G^i \rightarrow A$  given by

$$(g_1, \dots, g_i) \mapsto a_{g_1, \dots, g_i} = f[g_1, \dots, g_i].$$

The element  $d_{C(G,A)}^i(f) \in C^{i+1}(G, A)$  is then given by the map  $b: G^{i+1} \rightarrow A$  defined by

$$(8.3.a) \quad b_{g_1, \dots, g_{i+1}} = g_1 a_{g_2, \dots, g_{i+1}} + \sum_{j=1}^i (-1)^j a_{g_1, \dots, g_j g_{j+1}, \dots, g_{i+1}} + (-1)^{i+1} a_{g_1, \dots, g_i}.$$

EXAMPLE 8.3.7 (1-cocycles). An element  $\xi: g \mapsto a_g$  of  $C^1(G, A)$  belongs to  $Z^1(G, A)$  if and only if  $g_1 \xi_{g_2} - \xi_{g_1 g_2} + \xi_{g_1} = 0$  for all  $g_1, g_2 \in G$ , which is exactly (5.3.a) written additively. The element  $\xi$  belongs to  $B^1(G, A)$  if and only if there exists  $a \in A$  such that  $\xi_{g_1} = g_1 a - a$  for all  $g_1 \in G$ , which means that the 1-cocycle  $\xi$  is cohomologous to the trivial 1-cocycle. Thus we recover the group  $H^1(G, A)$  defined earlier when  $G$  is finite.



EXAMPLE 8.3.8 (2-cocyles). An element  $\alpha: (g, h) \mapsto a_{g,h}$  of  $C^2(G, A)$  belongs to  $Z^2(G, A)$  if and only if

$$0 = g_1 \alpha_{g_2, g_3} - \alpha_{g_1 g_2, g_3} + \alpha_{g_1, g_2 g_3} - \alpha_{g_1, g_2}$$

for all  $g_1, g_2, g_3 \in G$ , which is exactly (7.1.a) written additively. The element  $\alpha$  belongs to  $B^2(G, A)$  if and only if there exists a map  $a: G \rightarrow A$  denoted by  $g \mapsto a_g$  such that

$$\alpha_{g_1, g_2} = g_1 a_{g_2} - a_{g_1 g_2} + a_{g_1}$$

for all  $g_1, g_2 \in G$ , which means that the 2-cocyle  $\alpha$  is cohomologous to the trivial 2-cocyle. Thus we recover the group  $H^2(G, A)$  defined earlier when  $G$  is finite.

REMARK 8.3.9. Under the identifications of Example 8.3.7 and Example 8.3.8, the long exact sequence of Proposition 7.1.2 coincides with the relevant part of the long exact sequence of Proposition 8.3.4 (this may be checked using (8.3.a)).

EXAMPLE 8.3.10 (Cohomology of cyclic groups). Assume that the group  $G$  is finite and cyclic, generated by  $\sigma$ . Consider the elements

$$N = \sum_{g \in G} g \in \mathbb{Z}[G] \quad ; \quad D = \sigma - 1 \in \mathbb{Z}[G].$$

We define a projective resolution  $C$  of the  $\mathbb{Z}[G]$ -module  $\mathbb{Z}$  as follows. Set  $C_i = 0$  if  $i < 0$  and  $C_i = \mathbb{Z}[G]$  if  $i \geq 0$ . For  $i > 0$ , the morphism  $d_i^C: C_i \rightarrow C_{i-1}$  is defined as the multiplication with  $D$  if  $i$  is odd, and the multiplication with  $N$  if  $i$  is even. The morphism  $C_0 = \mathbb{Z}[G] \rightarrow \mathbb{Z}$  is given by  $g \mapsto 1$  for all  $g \in G$ . One verifies easily that the complex  $C$  is exact (observe that  $\mathbb{Z}[G] \simeq \mathbb{Z}[X]/(X^n - 1)$  with  $\sigma \mapsto X$  and  $n = |G|$ ).

Let now  $A$  be a  $\mathbb{Z}[G]$ -module. Then for  $i \geq 0$ , we have  $\text{Hom}_{\mathbb{Z}[G]}(C_i, A) = A$ , and the morphism  $\text{Hom}_{\mathbb{Z}[G]}(C_i, A) \rightarrow \text{Hom}_{\mathbb{Z}[G]}(C_{i+1}, A)$  corresponds to the endomorphism of  $A$  given by the action of  $N$  if  $i$  is odd, and by the action of  $D$  if  $i$  is even. Noting that  $A^G$  is the kernel of  $D: A \rightarrow A$ , and letting  ${}_N A$  be the kernel of  $N: A \rightarrow A$ , we obtain for  $q \geq 0$

$$H^q(G, A) = \begin{cases} A^G / N A & \text{if } q \text{ is even,} \\ {}_N A / D A & \text{if } q \text{ is odd.} \end{cases}$$

### 3.3. Coinduced modules.

DEFINITION 8.3.11. Let  $H$  be a subgroup of  $G$ , and  $B$  a  $\mathbb{Z}[H]$ -module. We define a  $\mathbb{Z}[G]$ -module

$$M_H^G(B) = \text{Hom}_{\mathbb{Z}[H]}(\mathbb{Z}[G], B),$$

where the  $G$ -action is defined by letting  $\sigma f: \mathbb{Z}[G] \rightarrow B$  be the map given by  $g \mapsto f(g\sigma)$ , when  $\sigma \in G$  and  $f: \mathbb{Z}[G] \rightarrow B$ .

Equivalently, one may think of  $M_H^G(B)$  as the set of maps  $f: G \rightarrow B$  such that  $f(hx) = hx$  for all  $h \in H$  and  $x \in G$ , with the group structure given by pointwise operations on  $B$ , and the  $G$ -action given by  $(gf)(x) = f(xg)$  for  $g, x \in G$ .

EXAMPLE 8.3.12. Assume that  $G$  is finite, and let  $S$  be the split Galois  $G$ -algebra of Example 4.3.5 over the field  $k$ . Then  $S \simeq M_1^G(k)$  as  $\mathbb{Z}[G]$ -modules. Moreover, a map  $G \rightarrow k$  is invertible in  $S$  if and only if it takes values in  $k^\times$ . Thus  $S^\times \simeq M_1^G(k^\times)$  as  $\mathbb{Z}[G]$ -modules.

Let us consider the morphism of  $\mathbb{Z}[H]$ -modules

$$\rho: M_H^G(B) \rightarrow B \quad ; \quad f \mapsto f(1).$$

LEMMA 8.3.13. *Let  $A$  be a  $\mathbb{Z}[G]$ -module and  $B$  a  $\mathbb{Z}[H]$ -module. Then the morphism of abelian groups*

$$\mathrm{Hom}_{\mathbb{Z}[G]}(A, M_H^G(B)) \rightarrow \mathrm{Hom}_{\mathbb{Z}[H]}(A, B) \quad ; \quad f \mapsto \rho \circ f$$

*is bijective.*

PROOF. Let  $\varphi: A \rightarrow B$  be a morphism of  $\mathbb{Z}[H]$ -modules. Consider the map  $\psi: A \rightarrow M_H^G(B)$  sending  $a \in A$  to the morphism of  $\mathbb{Z}[H]$ -modules  $\mathbb{Z}[G] \rightarrow B$  given by  $g \mapsto \varphi(ga)$ . The map  $\psi$  is a morphism of  $\mathbb{Z}[G]$ -modules, so that  $\varphi \mapsto \psi$  defines a morphism of abelian groups  $\mathrm{Hom}_{\mathbb{Z}[H]}(A, B) \rightarrow \mathrm{Hom}_{\mathbb{Z}[G]}(A, M_H^G(B))$ .

Clearly  $\rho \circ \psi = \varphi$ . Conversely, assume that  $\varphi = \rho \circ f$  for some  $f \in \mathrm{Hom}_{\mathbb{Z}[G]}(A, M_H^G(B))$ . Then for any  $a \in A$  and  $g \in G$ ,

$$\psi(a)(g) = \varphi(ga) = \rho(f(ga)) = \rho(g(f(a))) = (g(f(a)))(1) = f(a)(g)$$

so that  $\psi = f$ .  $\square$

PROPOSITION 8.3.14 (Shapiro's Lemma). *Let  $H$  be a subgroup of  $G$  and  $B$  a  $\mathbb{Z}[H]$ -module. Then the composite*

$$H^q(G, M_H^G(B)) \xrightarrow{\mathrm{Res}_H^G} H^q(H, M_H^G(B)) \xrightarrow{\rho_*} H^q(H, B)$$

*is bijective.*

PROOF. The  $\mathbb{Z}[H]$ -module  $\mathbb{Z}[G]$  is free, a basis being given by (the elements corresponding to) a set of representatives of  $G/H$ . It follows from Lemma 8.1.4 that any projective  $\mathbb{Z}[G]$ -module is also projective as a  $\mathbb{Z}[H]$ -module. Thus if  $P$  is a projective resolution of the  $\mathbb{Z}[G]$ -module  $\mathbb{Z}$ , it is also a projective resolution of the  $\mathbb{Z}[H]$ -module  $\mathbb{Z}$ . Lemma 8.3.13 shows that that morphism of complexes of abelian groups

$$\mathrm{Hom}_{\mathbb{Z}[G]}(P, M_H^G(B)) \rightarrow \mathrm{Hom}_{\mathbb{Z}[H]}(P, M_H^G(B)) \rightarrow \mathrm{Hom}_{\mathbb{Z}[H]}(P, B)$$

is an isomorphism, and the result follows by passing to cohomology.  $\square$

COROLLARY 8.3.15. *Let  $B$  be an abelian group. Then  $H^q(G, M_1^G(B)) = 0$  for  $q > 0$ .*

PROOF. This follows from Remark 8.3.2 and Proposition 8.3.14.  $\square$

Now assume that  $H \subset G$  is a subgroup of finite index, and let  $A$  be a  $\mathbb{Z}[G]$ -module. Let  $f \in M_H^G(A)$ . If  $X \subset G$  is a set of representatives of  $G/H$ , consider the element

$$(8.3.b) \quad u = \sum_{x \in X} x f(x^{-1}) \in A.$$

From the fact that  $f$  is a morphism of  $\mathbb{Z}[H]$ -modules follows that  $u$  does not depend on the choice of the set of representatives  $X \subset G$ . If  $g \in G$ , then

$$\sum_{x \in X} x(gf)(x^{-1}) = \sum_{x \in X} x f(x^{-1}g) = g \sum_{y \in g^{-1}X} y f(y^{-1}) = gu,$$

since  $g^{-1}X \subset G$  is again a set of representatives of  $G/H$ . We have thus defined a morphism of  $\mathbb{Z}[G]$ -modules

$$(8.3.c) \quad \mu: M_H^G(A) \rightarrow A \quad ; \quad f \mapsto u$$

which using Proposition 8.3.14 induces the *corestriction morphisms* for all  $q$

$$\mathrm{Cores}_H^G: H^q(H, A) = H^q(G, M_H^G(A)) \xrightarrow{\mu_*} H^q(G, A).$$

PROPOSITION 8.3.16. *Let  $H$  be a subgroup of finite index in  $G$ . Let  $A$  be a  $\mathbb{Z}[G]$ -module. Then the composite*

$$H^q(G, A) \xrightarrow{\text{Res}_H^G} H^q(H, A) \xrightarrow{\text{Cores}_H^G} H^q(G, A)$$

*coincides with multiplication by  $[G : H]$ .*

PROOF. Consider the morphism of  $\mathbb{Z}[G]$ -modules

$$\sigma: A \rightarrow M_H^G(A)$$

sending  $a \in A$  to the constant map  $G \rightarrow A$  with value  $a$ . Since  $\rho \circ \sigma$  is the identity of the  $\mathbb{Z}[H]$ -module  $A$ , it follows from Proposition 8.3.14 that the induced morphism

$$H^q(G, A) \xrightarrow{\sigma_*} H^q(G, M_H^G(A)) = H^q(H, A)$$

coincides with  $\text{Res}_H^G$ . Now it follows from the formula (8.3.b) that the composite

$$A \xrightarrow{\sigma} M_H^G(A) \xrightarrow{\mu} A$$

is multiplication by  $[G : H]$ .  $\square$

COROLLARY 8.3.17. *Let  $H$  be a subgroup of finite index in  $G$  and  $A$  a  $\mathbb{Z}[G]$ -module. If the morphism  $A \rightarrow A$  given by multiplication by  $[G : H]$  is bijective, then the maps  $\text{Res}_H^G: H^q(G, A) \rightarrow H^q(H, A)$  are injective.*

PROOF. Denote by  $f: A \rightarrow A$  the morphism given by multiplication by  $[G : H]$ . Then the morphisms  $H^q(G, A) \rightarrow H^q(G, A)$  given by  $(f^{-1})_*$  and  $f_*$  are mutually inverse. Since the morphism  $f_*$  is given by multiplication by  $[G : H]$  in  $H^q(G, A)$ , it follows from Proposition 8.3.16 that  $\text{Res}_H^G$  must be injective.  $\square$

COROLLARY 8.3.18. *If  $G$  is finite, then the morphisms  $H^q(G, A) \rightarrow H^q(G, A)$  given by multiplication by  $|G|$  are zero for all  $q > 0$ .*

PROOF. By Proposition 8.3.16 multiplication by  $|G|$  in  $H^q(G, A)$  factors through  $H^q(1, A)$ , which vanishes when  $q > 0$  by Remark 8.3.2.  $\square$

## 4. Cohomology of profinite groups

**4.1. Direct limits.** We now discuss the notion of direct limit, which is in a sense dual to the notion of inverse limit introduced earlier.

DEFINITION 8.4.1. A *directed set* is a nonempty, partially ordered set  $(\mathcal{A}, \leq)$  such that for any  $\alpha, \beta \in \mathcal{A}$ , there exists  $\gamma \in \mathcal{A}$  such that  $\alpha \leq \gamma$  and  $\beta \leq \gamma$ .

DEFINITION 8.4.2. Let  $(\mathcal{A}, \leq)$  be a directed set. A *direct system* of abelian groups (indexed by  $\mathcal{A}$ ) is the data of:

- for each  $\alpha \in \mathcal{A}$  an abelian group  $E_\alpha$ ,
- for each  $\alpha \leq \beta$  in  $\mathcal{A}$  a group morphism  $f_{\alpha\beta}: E_\alpha \rightarrow E_\beta$  (called *transition morphism*).

These data must satisfy the following conditions:

- (i) For each  $\alpha \in \mathcal{A}$ , we have  $f_{\alpha\alpha} = \text{id}_{E_\alpha}$ .
- (ii) For each  $\alpha \leq \beta \leq \gamma$  in  $\mathcal{A}$ , we have  $f_{\beta\gamma} \circ f_{\alpha\beta} = f_{\alpha\gamma}$ .

DEFINITION 8.4.3. The *direct limit* of a direct system  $(E_\alpha, f_{\alpha\beta})$  is defined as

$$E = \varinjlim E_\alpha = \left( \bigoplus_{\alpha \in \mathcal{A}} E_\alpha \right) / F$$

where  $F$  is the subgroup generated by the elements  $x - f_{\alpha\beta}(x)$ , for  $x \in E_\alpha$  and  $\alpha \leq \beta$  in  $\mathcal{A}$ .

The direct limit  $E$  is equipped with group morphisms  $\iota_\alpha: E_\alpha \rightarrow E$  for every  $\alpha \in \mathcal{A}$ , such that  $\iota_\beta \circ f_{\alpha\beta} = \iota_\alpha$  for all  $\alpha \leq \beta$  in  $\mathcal{A}$ . It enjoys the following universal property: if  $s_\alpha: E_\alpha \rightarrow S$  for  $\alpha \in \mathcal{A}$  is a collection of group morphisms satisfying  $s_\beta \circ f_{\alpha\beta} = s_\alpha$  for all  $\alpha \leq \beta$  in  $\mathcal{A}$ , then there is a unique map  $s: E \rightarrow S$  such that  $s_\alpha = s \circ \iota_\alpha$  for all  $\alpha \in \mathcal{A}$ .

LEMMA 8.4.4. (i) We have  $E = \bigcup_{\alpha \in \mathcal{A}} \iota_\alpha(E_\alpha)$ .  
(ii) For all  $\alpha \in \mathcal{A}$  we have  $\ker \iota_\alpha = \bigcup_{\alpha \leq \beta} \ker f_{\alpha\beta}$ .

PROOF. (i) : By construction, any element  $e \in E$  may be written as  $\iota_{\alpha_1}(e_1) + \cdots + \iota_{\alpha_n}(e_n)$  for some  $\alpha_i \in \mathcal{A}$  and  $e_i \in E_{\alpha_i}$  for  $i = 1, \dots, n$ . As  $\mathcal{A}$  is directed, we may find  $\alpha \in \mathcal{A}$  such that  $\alpha_i \leq \alpha$  for all  $i = 1, \dots, n$ . Then

$$e = \iota_{\alpha_1}(e_1) + \cdots + \iota_{\alpha_n}(e_n) = \iota_\alpha(f_{\alpha_1\alpha}(e_1) + \cdots + f_{\alpha_n\alpha}(e_n)).$$

(ii) : Certainly  $\ker f_{\alpha\beta} \subset \ker \iota_\alpha$  for all  $\alpha \leq \beta$  in  $\mathcal{A}$ . Conversely, let  $x \in \ker \iota_\alpha$ . Then we may find indices  $\alpha_i \leq \beta_i$  in  $\mathcal{A}$  and elements  $x_i \in E_{\alpha_i}$  for  $i = 1, \dots, n$  such that

$$(8.4.a) \quad x = \sum_{i=1}^n (x_i - f_{\alpha_i\beta_i}(x_i)) \in \bigoplus_{\gamma \in \mathcal{A}} E_\gamma.$$

Pick  $\beta \in \mathcal{A}$  such that  $\alpha \leq \beta$  and  $\beta_i \leq \beta$  for all  $i = 1, \dots, n$ . The morphisms  $f_{\gamma\beta}$  for  $\gamma \leq \beta$  in  $\mathcal{A}$  define a morphism  $\varphi_\beta: \bigoplus_{\gamma \leq \beta} E_\gamma \rightarrow E_\beta$ . Taking the image of (8.4.a) under  $\varphi_\beta$  yields

$$f_{\alpha\beta}(x) = \sum_{i=1}^n (f_{\alpha\beta}(x_i) - f_{\beta_i\beta} \circ f_{\alpha_i\beta_i}(x_i)) = 0. \quad \square$$

EXAMPLE 8.4.5. Let  $A$  be an abelian group. Let  $(\mathcal{A}, \leq)$  be a directed set, and  $A_\alpha \subset A$  a collection of subgroups such that  $A_\alpha \subset A_\beta$  when  $\alpha \leq \beta$ . Then the family  $A_\alpha$  defines a direct system, where the transition morphisms are the inclusions  $A_\alpha \subset A_\beta$ . The morphism  $\varinjlim A_\alpha \rightarrow A$  is injective, and its image coincides with  $\bigcup_{\alpha \in \mathcal{A}} A_\alpha$ .

Observe that if  $(E_\alpha), (E'_\alpha)$  are direct systems of abelian groups indexed by the same directed set  $\mathcal{A}$ , and  $E'_\alpha \rightarrow E_\alpha$  for all  $\alpha \in \mathcal{A}$  are group morphisms compatible with the transition maps, there is a unique group morphism  $\varinjlim E'_\alpha \rightarrow \varinjlim E_\alpha$  compatible with the morphisms  $\iota_\alpha$ .

LEMMA 8.4.6. Let  $\mathcal{A}$  be a directed set, and  $(E_\alpha), (E'_\alpha), (E''_\alpha)$  direct systems of abelian groups indexed by  $\mathcal{A}$ . Assume given exact sequence of groups

$$E'_\alpha \rightarrow E_\alpha \rightarrow E''_\alpha$$

for all  $\alpha \in \mathcal{A}$ , compatibly with the transition morphisms. Then the induced sequence

$$\varinjlim E'_\alpha \rightarrow \varinjlim E_\alpha \rightarrow \varinjlim E''_\alpha$$

is exact.

PROOF. By Lemma 8.4.4 (i), every element  $x \in \varinjlim E_\alpha$  is represented by  $e \in E_\alpha$  for some  $\alpha \in \mathcal{A}$ . Let  $e'' \in E''_\alpha$  be the image of  $e$ . If the image of  $x$  in  $\varinjlim E''_\alpha$  vanishes, then by Lemma 8.4.4 (ii) there exists  $\beta \geq \alpha$  in  $\mathcal{A}$  such that the image of  $e''$  vanishes in  $E''_\beta$ , which implies that the image of  $e$  in  $E_\beta$  is the image of an element  $e' \in E'_\beta$ , by the assumption of exactness. The image of  $e'$  in  $\varinjlim E'_\alpha$  defines the required preimage of  $x$ .  $\square$

**4.2. Continuous cohomology.** We now fix a profinite group  $\Gamma$ . Let  $A$  be a  $\Gamma$ -module.

DEFINITION 8.4.7. Let  $n \in \mathbb{N}$ . Let  $C^n(\Gamma, A)$  be the group of maps  $\Gamma^n \rightarrow A$  which are continuous for the discrete topology on  $A$ . Denoting by  $\Gamma_{\text{dis}}$  be the group  $\Gamma$  endowed with the discrete topology, we may view  $C^n(\Gamma, A)$  as a subgroup of  $C^n(\Gamma_{\text{dis}}, A)$  (the group of all maps  $\Gamma^n \rightarrow A$ ). The morphism  $d^n: C^n(\Gamma_{\text{dis}}, A) \rightarrow C^{n+1}(\Gamma_{\text{dis}}, A)$  (see §8.3.2) maps  $C^n(\Gamma, A)$  into  $C^{n+1}(\Gamma, A)$ . We have thus constructed a cochain complex of abelian groups  $C(\Gamma, A)$ , and we denote by  $H^n(\Gamma, A)$  its cohomology groups.

REMARK 8.4.8. As observed in Example 8.3.7 and Example 8.3.8, we recover the cohomology groups previously defined for  $n = 0, 1, 2$ .

PROPOSITION 8.4.9. *An exact sequence of  $\Gamma$ -modules*

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$$

*induces an exact sequence of abelian groups*

$$\cdots \rightarrow H^{q-1}(\Gamma, C) \rightarrow H^q(\Gamma, A) \rightarrow H^q(\Gamma, B) \rightarrow H^q(\Gamma, C) \rightarrow \cdots$$

*Given a commutative diagram of  $\Gamma$ -modules*

$$\begin{array}{ccccccccc} 0 & \longrightarrow & A & \longrightarrow & B & \longrightarrow & C & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & A' & \longrightarrow & B' & \longrightarrow & C' & \longrightarrow & 0 \end{array}$$

*having exact rows, the induced diagram of abelian groups*

$$\begin{array}{ccccccccc} \cdots & \longrightarrow & H^{q-1}(\Gamma, C) & \longrightarrow & H^q(\Gamma, A) & \longrightarrow & H^q(\Gamma, B) & \longrightarrow & H^q(\Gamma, C) & \longrightarrow & \cdots \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\ \cdots & \longrightarrow & H^{q-1}(\Gamma, C') & \longrightarrow & H^q(\Gamma, A') & \longrightarrow & H^q(\Gamma, B') & \longrightarrow & H^q(\Gamma, C') & \longrightarrow & \cdots \end{array}$$

*is commutative.*

PROOF. This will follow from Proposition 8.2.6 once we have proved that the sequences of cochain complexes

$$0 \rightarrow C^n(\Gamma, A) \rightarrow C^n(\Gamma, B) \rightarrow C^n(\Gamma, C) \rightarrow 0$$

are exact. The only nontrivial point is the surjectivity of the last morphism. To prove this, choose a map (not a group morphism!)  $C \rightarrow B$  such that the composite  $C \rightarrow B \rightarrow C$  is the identity. This map is continuous for the discrete topologies (as is any map), hence induces a map  $C^n(\Gamma, C) \rightarrow C^n(\Gamma, B)$  such that the composite  $C^n(\Gamma, C) \rightarrow C^n(\Gamma, B) \rightarrow C^n(\Gamma, C)$  is the identity. This concludes the proof.  $\square$

PROPOSITION 8.4.10. *Let  $\mathcal{A}$  be a directed set, and  $U_\alpha$  for  $\alpha \in \mathcal{A}$  an inverse system of open normal subgroups of  $\Gamma$ . Assume that each open normal subgroup of  $\Gamma$  contains  $U_\alpha$  for some  $\alpha \in \mathcal{A}$ . Let  $A$  be a  $\Gamma$ -module, and for each  $\alpha \in \mathcal{A}$  let  $A_\alpha \subset A^{U_\alpha}$  be a  $\Gamma$ -submodule. Assume that  $A_\alpha \subset A_\beta$  for each  $\alpha \leq \beta$  in  $\mathcal{A}$ , and that  $A = \bigcup_{\alpha \in \mathcal{A}} A_\alpha$ .*

*Then there are natural identifications*

$$\varinjlim C^n(\Gamma/U_\alpha, A_\alpha) = C^n(\Gamma, A) \quad \text{and} \quad \varinjlim H^n(\Gamma/U_\alpha, A_\alpha) = H^n(\Gamma, A).$$

PROOF. We may view  $C^n(\Gamma/U_\alpha, A_\alpha)$  as a subgroup of  $C^n(\Gamma_{\text{dis}}, A)$ , and identify  $\varinjlim C^n(\Gamma/U_\alpha, A_\alpha)$  with  $\bigcup_\alpha C^n(\Gamma/U_\alpha, A_\alpha)$  (Example 8.4.5). By Lemma 3.2.11, every  $f \in C^n(\Gamma, A)$  lies in  $C^n(\Gamma/U_\beta, A^{U_\beta})$  for some  $\beta$ . Since the image of  $f$  is finite (because  $\Gamma/U_\beta$  is finite), it is contained in  $A_\alpha$  for some  $\beta \leq \alpha$ . This proves that  $\bigcup_\alpha C^n(\Gamma/U_\alpha, A_\alpha) = C^n(\Gamma, A)$ , so that  $\varinjlim C^n(\Gamma/U_\alpha, A_\alpha) = C^n(\Gamma, A)$ . Since this identification is compatible with the coboundary morphisms, it induces an isomorphism in cohomology.  $\square$

Let  $H \subset \Gamma$  be a closed subgroup and  $A$  a  $\Gamma$ -module. The natural morphisms  $C^q(\Gamma, A) \rightarrow C^q(H, A)$  are compatible with the coboundary morphisms, hence induce morphisms in cohomology

$$\text{Res}_H^\Gamma: H^q(\Gamma, A) \rightarrow H^q(H, A).$$

Observe that every open normal subgroup of  $H$  contains a subgroup of the form  $U \cap H$ , where  $U$  is an open normal subgroup of  $\Gamma$  (see Lemma 3.2.5 (i)). Thus it follows from Proposition 8.4.10 that  $\text{Res}_H^\Gamma: H^q(\Gamma, A) \rightarrow H^q(H, A)$  may be identified with the direct limit of the morphisms

$$(8.4.b) \quad \text{Res}_{H/(U \cap H)}^{\Gamma/U}: H^q(\Gamma/U, A^U) \rightarrow H^q(H/(U \cap H), A^U),$$

where  $U$  runs over the open normal subgroups of  $\Gamma$ .

PROPOSITION 8.4.11. *Let  $H \subset \Gamma$  be a closed subgroup and  $A$  a  $\Gamma$ -module. Assume that for each open normal subgroup  $U$  of  $\Gamma$ , the map  $A^U \rightarrow A^U$  given by multiplication by  $[\Gamma/U : H/(U \cap H)]$  is bijective. Then the morphism  $\text{Res}_H^\Gamma: H^q(\Gamma, A) \rightarrow H^q(H, A)$  is injective for every  $q$ .*

PROOF. Since each morphism (8.4.b) is injective by Corollary 8.3.17, the statement follows from Lemma 8.4.6.  $\square$

Let now  $B$  be a  $H$ -module. We let  $M_H^\Gamma(B)$  be the set of continuous maps  $f: \Gamma \rightarrow B$  such that  $f(hx) = hx$  for all  $h \in H$  and  $x \in \Gamma$ , with the group structure given by pointwise operations on  $B$ , and the  $\Gamma$ -action given by  $(gf)(x) = f(xg)$  for  $g, x \in \Gamma$ . Any  $f \in M_H^\Gamma(B)$  factors as  $\Gamma/U \rightarrow B$  for some normal open subgroup  $U$  of  $\Gamma$ , hence is fixed by  $U$ . We have thus constructed a  $\Gamma$ -module  $M_H^\Gamma(B)$ . Observe that  $M_H^\Gamma(B)$  is naturally a  $\Gamma_{\text{dis}}$ -submodule of  $M_{H_{\text{dis}}}^{\Gamma_{\text{dis}}}(B)$ . We define a morphism of  $H$ -modules

$$\rho: M_H^\Gamma(B) \rightarrow B \quad ; \quad f \mapsto f(1).$$

PROPOSITION 8.4.12 (Shapiro's Lemma — profinite version). *Let  $H \subset \Gamma$  be a closed subgroup and  $B$  a  $H$ -module. Then for every  $q$  the composite*

$$H^q(\Gamma, M_H^\Gamma(B)) \xrightarrow{\text{Res}_H^\Gamma} H^q(H, M_H^\Gamma(B)) \xrightarrow{\rho_*} H^q(H, B)$$

*is bijective.*

PROOF. Let  $U$  be an open normal subgroup of  $\Gamma$ , and set  $V = U \cap H$ . Let  $f \in M_H^\Gamma(B)^U$ . For any  $u \in V$  and  $x \in \Gamma$  we have  $v = x^{-1}ux \in U$ , hence

$$f(x) = (vf)(x) = f(ux) = uf(x),$$

proving that the image of  $f$  is contained in  $B^V$ . This yields an identification, as  $\Gamma/U$ -modules

$$M_{H/V}^{\Gamma/U}(B^V) = M_H^\Gamma(B)^U.$$

We may thus view the morphism  $\rho: M_H^\Gamma(B) \rightarrow B$  as the direct limit of the morphisms  $\rho: M_{H/V}^{\Gamma/U}(B^V) \rightarrow B^V$  defined in §8.3. Now each composite

$$H^q(\Gamma/U, M_{H/V}^{\Gamma/U}(B^V)) \xrightarrow{\text{Res}_{U/V}^{\Gamma/U}} H^q(H/V, M_{H/V}^{\Gamma/U}(B^V)) \xrightarrow{\rho_*} H^q(H/V, B^V)$$

is an isomorphism by Proposition 8.3.14, and the statement follows by passing to the limit, in view of Proposition 8.4.10.  $\square$

Assume now that  $H \subset \Gamma$  is an open subgroup, and let  $A$  be a  $\Gamma$ -module. We define a map

$$\mu: M_H^\Gamma(A) \rightarrow A \quad ; \quad f \mapsto \sum_{x \in X} xf(x^{-1}),$$

where  $X \subset \Gamma$  is a set of representatives of  $\Gamma/H$ . We show as before (see just below the formula (8.3.b)) that  $\mu$  is a morphism of  $\Gamma$ -modules which does not depend on the choice of  $X$ . Alternatively, we may deduce these facts from §8.3, since the map  $\mu$  above factors as  $M_H^\Gamma(A) \subset M_{H_{\text{dis}}}^{\Gamma_{\text{dis}}}(A) \rightarrow A$ , where the last map is the morphism of  $\Gamma_{\text{dis}}$ -modules  $\mu$  defined in (8.3.c). Using Proposition 8.4.12 we obtain for each  $q$  the *corestriction morphism*

$$\text{Cores}_H^\Gamma: H^q(H, A) = H^q(\Gamma, M_H^\Gamma(A)) \xrightarrow{\mu_*} H^q(\Gamma, A).$$

PROPOSITION 8.4.13. *Let  $H$  be an open subgroup of  $\Gamma$  and  $A$  a  $\Gamma$ -module. Then for every  $q$  the composite*

$$H^q(\Gamma, A) \xrightarrow{\text{Res}_H^\Gamma} H^q(H, A) \xrightarrow{\text{Cores}_H^\Gamma} H^q(\Gamma, A)$$

*coincides with multiplication by  $[\Gamma : H]$ .*

PROOF. Consider the morphism of  $\Gamma$ -modules  $\sigma: A \rightarrow M_H^\Gamma(A)$  sending  $a \in A$  to the constant map  $\Gamma \rightarrow A$  with value  $a$ . Since  $\rho \circ \sigma$  is the identity of the  $H$ -module  $A$ , it follows from Proposition 8.4.12 that the induced morphism

$$H^q(\Gamma, A) \xrightarrow{\sigma_*} H^q(\Gamma, M_H^\Gamma(A)) = H^q(H, A)$$

coincides with  $\text{Res}_H^\Gamma$ . Now the composite

$$A \xrightarrow{\sigma} M_H^\Gamma(A) \xrightarrow{\mu} A$$

is multiplication by  $[\Gamma : H]$ .  $\square$

## CHAPTER 9

## Cohomological dimension

In the final chapter, we apply the homological methods of the previous chapter. We first finish the proof of the identification of the Brauer group with the second cohomology of  $\mathbb{G}_m$ , using Shapiro's Lemma. We deduce the existence of corestriction morphisms (sometimes called transfer maps) for Brauer groups. When  $L/k$  is a finite separable extension, this morphism associates to each finite-dimensional central simple  $L$ -algebra a central division  $k$ -algebra.

Next we briefly discuss the notions of cohomological dimension of a profinite group, and of a field. This concept encodes the complexity of a field from a certain cohomological point of view. The first interesting case is that of fields of cohomological dimension at most one. We provide several characterisation of such fields, including the fact that the Brauer group of every separable extension vanishes.

The source of most classical examples of such fields are the so-called  $C_1$ -fields, also called "quasi-algebraically closed fields". We prove that  $C_1$ -fields have cohomological dimension at most one (the converse does not hold, but seeing this requires some work). Finite fields are  $C_1$ -fields by Chevalley-Warning's Theorem, which provides another reason for the commutativity of finite division rings (Wedderburn's Theorem proved earlier). The chapter ends with a proof of Tsen's Theorem (assuming basic algebraic geometry), which asserts that fields of transcendence degree one over an algebraically closed field are  $C_1$ -fields, providing another interesting example of vanishing of Brauer groups. The third important examples of  $C_1$ -fields, which will not be discussed, are the complete discretely valued fields with algebraically closed residue fields, for instance power series fields over an algebraically closed field.

## 1. The Brauer group, III

LEMMA 9.1.1. *Let  $L/k$  be a finite Galois extension of degree  $n$ , and set  $G = \text{Gal}(L/k)$ . Then the connecting morphism of pointed sets*

$$H^1(G, \text{PGL}_n(L)) \rightarrow H^2(G, L^\times)$$

*arising from the sequence (7.2.a) is bijective.*

PROOF. As in Lemma 7.2.1, injectivity follows from the vanishing of  $H^1(G, \text{GL}_n(L))$  by Hilbert's Theorem 90 (Proposition 6.1.1). Let us denote by  $K$  the field  $L$  equipped with the trivial  $G$ -action. Sending an element of  $a \in K_L$  to the endomorphism  $x \mapsto ax$  of  $K_L$  yields an injective morphism of  $L$ -algebras  $K_L \rightarrow \text{End}_L(K_L)$ . Choosing a basis of  $K$ , we thus view  $K_L$  as an  $L$ -subalgebra of  $M_n(L)$ . This yields a commutative diagram



of  $G$ -groups, having exact rows

$$\begin{array}{ccccccc} 1 & \longrightarrow & L^\times & \longrightarrow & (K_L)^\times & \longrightarrow & (K_L)^\times / L^\times \longrightarrow 1 \\ & & \downarrow = & & \downarrow & & \downarrow \\ 1 & \longrightarrow & L^\times & \longrightarrow & \mathrm{GL}_n(L) & \longrightarrow & \mathrm{PGL}_n(L) \longrightarrow 1 \end{array}$$

and thus by Proposition 7.1.2 and Proposition 7.1.3 a commutative diagram of pointed sets, where the upper row is exact

$$(9.1.a) \quad \begin{array}{ccccc} H^1(G, (K_L)^\times / L^\times) & \longrightarrow & H^2(G, L^\times) & \longrightarrow & H^2(G, (K_L)^\times) \\ & \downarrow & \downarrow = & & \\ H^1(G, \mathrm{PGL}_n(L)) & \longrightarrow & H^2(G, L^\times) & & \end{array}$$

Now we may view  $K_L = L_K$  as a Galois  $G$ -algebra over  $K$ , which is split by Corollary 4.3.9. It follows from Example 8.3.12 that  $(L_K)^\times \simeq M_1^G(K^\times)$  as  $\mathbb{Z}[G]$ -modules, hence by Shapiro's Lemma (Corollary 8.3.15), we have

$$H^2(G, (K_L)^\times) = H^2(G, (L_K)^\times) = 1.$$

In view of the diagram (9.1.a), we deduce that the map  $H^1(G, \mathrm{PGL}_n(L)) \rightarrow H^2(G, L^\times)$  is surjective.  $\square$

PROPOSITION 9.1.2. *The morphism of Proposition 7.2.3 is a group isomorphism*

$$\mathrm{Br}(k) \simeq H^2(k, \mathbb{G}_m).$$

PROOF. Injectivity has been proved in Proposition 7.2.3. By Lemma 3.2.11, every 2-cocycle  $\mathrm{Gal}(k_s/k) \times \mathrm{Gal}(k_s/k) \rightarrow k_s^\times$  factors as 2-cocycle  $\alpha: \mathrm{Gal}(L/k) \times \mathrm{Gal}(L/k) \rightarrow (k_s^\times)^{\mathrm{Gal}(k_s/L)} = L^\times$ , where  $L/k$  is a finite Galois extension. Let  $n = [L : k]$  and  $G = \mathrm{Gal}(L/k)$ . By Lemma 9.1.1, the class of  $\alpha$  in  $H^2(G, L^\times)$  admits a preimage in  $H^1(G, \mathrm{PGL}_n(L))$ , whose image in  $H^1(k, \mathrm{PGL}_n)$  is the required preimage of the class of the original 2-cocycle in  $H^2(k, \mathbb{G}_m)$  (here we have used Remark 8.3.9).  $\square$

COROLLARY 9.1.3. *If  $n$  is an integer prime to the characteristic of  $k$ , then the kernel of the morphism  $\mathrm{Br}(k) \rightarrow \mathrm{Br}(k)$  given by multiplication by  $n$  is isomorphic to  $H^2(k, \mu_n)$ .*

PROOF. The exact sequence of Lemma 6.1.4 yields an exact sequence of pointed sets

$$H^1(k, \mathbb{G}_m) \rightarrow H^2(k, \mu_n) \rightarrow H^2(k, \mathbb{G}_m) \xrightarrow{n} H^2(k, \mathbb{G}_m).$$

Since  $H^1(k, \mathbb{G}_m) = \{*\}$  by Hilbert's Theorem 90 (Proposition 6.1.1), the statement follows from the identification of Proposition 9.1.2.  $\square$

Let  $L/k$  be a separable extension. The morphism

$$\mathrm{Cores}_{\mathrm{Gal}(k_s/L)}^{\mathrm{Gal}(k_s/k)}: H^2(\mathrm{Gal}(k_s/L), L^\times) \rightarrow H^2(\mathrm{Gal}(k_s/k), k^\times)$$

yields, in view of Proposition 9.1.2, a group morphism

$$\mathrm{Cores}_{L/k}: \mathrm{Br}(L) \rightarrow \mathrm{Br}(k).$$

PROPOSITION 9.1.4. *The composite*

$$\mathrm{Br}(k) \rightarrow \mathrm{Br}(L) \xrightarrow{\mathrm{Cores}_{L/k}} \mathrm{Br}(k)$$

is multiplication by  $[L : k]$ .

PROOF. This follows from Proposition 8.4.13.  $\square$

COROLLARY 9.1.5. *Let  $A$  be a finite-dimensional central simple  $k$ -algebra. If  $L/k$  is a separable extension, we have*

$$\mathrm{per}(A_L) \mid \mathrm{per}(A) \mid \mathrm{per}(A_L)[L : k].$$

PROOF. The first divisibility has already been observed. If  $n \cdot [A_L] \in \mathrm{Br}(L)$  vanishes, then by Proposition 9.1.4 so does  $n[L : k] \cdot [A] = \mathrm{Cores}_{L/k}(n \cdot [A_L]) \in \mathrm{Br}(k)$ .  $\square$

REMARK 9.1.6. Proposition 9.1.4 yields a new proof of Theorem 7.3.1. Indeed by Corollary 2.5.14, we find a separable extension  $L/k$  of degree  $\mathrm{ind}(A)$  splitting  $A$ , so that  $\mathrm{ind}(A) \cdot [A] = [L : k] \cdot [A] = \mathrm{Cores}_{L/k}([A_L]) = 0$  by Proposition 9.1.4.

## 2. Cohomological dimension

Let  $p$  be a prime number and  $\Gamma$  a profinite group. When  $A$  is an abelian group, let us denote by  $A\{p\} \subset A$  the subgroup of those  $a \in A$  such that  $p^n a = 0$  for some integer  $n$ . We say that  $A$  is of  *$p$ -primary torsion* if  $A\{p\} = A$ , and that  $A$  has *no  $p$ -primary torsion* if  $A\{p\} = 0$ . We say that a  $\Gamma$ -module is of  *$p$ -primary torsion* if the underlying abelian group is so.

DEFINITION 9.2.1. We define the  *$p$ -cohomological dimension* of  $\Gamma$  as

$$\inf\{n \in \mathbb{N} \mid H^q(\Gamma, A) = 0 \text{ for all } q > n \text{ and } \Gamma\text{-modules } A \text{ of } p\text{-primary torsion}\},$$

and denoted by  $\mathrm{cd}_p(\Gamma) \in \mathbb{N} \cup \{\infty\}$ . The *cohomological dimension* of  $\Gamma$ , denoted by  $\mathrm{cd}(\Gamma) \in \mathbb{N} \cup \{\infty\}$ , is defined as the supremum of the  $p$ -cohomological dimensions of  $\Gamma$ , where  $p$  runs over the prime numbers.

Observe that

$$\mathrm{cd}_p(\Gamma) = \sup\{q \in \mathbb{N} \mid H^q(\Gamma, A) \neq 0 \text{ for some } \Gamma\text{-module } A \text{ of } p\text{-primary torsion}\}$$

LEMMA 9.2.2. *If  $n \in \mathbb{N}$  is such that  $H^{n+1}(\Gamma, A) = 0$  for all  $\Gamma$ -modules  $A$  of  $p$ -primary torsion, then  $\mathrm{cd}_p(\Gamma) \leq n$ .*

PROOF. We prove that  $H^q(\Gamma, A) = 0$  for all  $\Gamma$ -modules  $A$  of  $p$ -primary torsion when  $q > n$ . We proceed by induction on  $q$ , the case  $q = n+1$  being the assumption. Let  $A$  be a  $\Gamma$ -module of  $p$ -primary torsion. We may view  $A$  as the  $\Gamma$ -submodule of  $M_1^\Gamma(A)$  consisting of the constant maps  $\Gamma \rightarrow A$ . Since the  $\Gamma$ -module  $M_1^\Gamma(A)$  is of  $p$ -primary torsion, so is its quotient  $Q = M_1^\Gamma(A)/A$ . Part of the long exact sequence of Proposition 8.4.9 reads

$$H^{q-1}(\Gamma, Q) \rightarrow H^q(\Gamma, A) \rightarrow H^q(\Gamma, M_1^\Gamma(A))$$

Since  $H^q(\Gamma, M_1^\Gamma(A)) = H^q(1, A) = 0$  by Shapiro's Lemma (Proposition 8.4.12), and  $H^{q-1}(\Gamma, Q) = 0$  by induction, we conclude that  $H^q(\Gamma, A) = 0$ , as required.  $\square$

LEMMA 9.2.3. *Let  $\Gamma$  be a pro- $p$ -group and  $A$  a  $\Gamma$ -module of  $p$ -primary torsion. If  $A \neq 0$ , then  $A$  admits a  $\Gamma$ -submodule isomorphic to  $\mathbb{Z}/p$  with trivial  $\Gamma$ -action.*

PROOF. Let  $a$  be a nonzero element of  $A$  and  $U$  an open normal subgroup of  $\Gamma$  acting trivially on  $a$ . The set  $E = \{\gamma a, \gamma \in \Gamma\}$  is finite, being a quotient of the finite set  $\Gamma/U$ . The subgroup  $B \subset A$  generated by  $E$  is a  $\Gamma$ -submodule, and the  $\Gamma$ -action on  $B$  factors through the quotient  $G = \Gamma/U$ . The assumption that  $A$  is of  $p$ -primary torsion implies that  $B$  is finite and that  $|B|$  is a power of  $p$ . Since  $G$  is a finite  $p$ -group and  $B \neq 0$ , it follows that  $B^G \neq 0$  (otherwise  $B$  would be the disjoint union of  $\{0\}$  and nontrivial orbits of the  $p$ -group  $G$ , which have cardinality divisible by  $p$ ). Let  $b$  be a nonzero element of  $B^G$ , and  $n$  the smallest integer such that  $p^n b = 0$ . Then  $p^{n-1}b$  generates a subgroup of  $B^G$  isomorphic to  $\mathbb{Z}/p$ , which is a  $\Gamma$ -submodule of  $A$ .  $\square$

LEMMA 9.2.4. *Let  $\Gamma$  be a pro- $p$ -group. Consider  $\mathbb{Z}/p$  as a  $\Gamma$ -module with trivial action. If  $n \in \mathbb{N}$  is such that  $H^{n+1}(\Gamma, \mathbb{Z}/p) = 0$  then  $\text{cd}_p(\Gamma) \leq n$ .*

PROOF. Let  $A$  be a  $\Gamma$ -module of  $p$ -primary torsion. By Lemma 9.2.2, it will suffice to prove that  $H^{n+1}(\Gamma, A) = 0$ . Assume that  $H^{n+1}(\Gamma, A) \neq 0$ . We order the set  $\mathcal{S}$  of  $\Gamma$ -submodules of  $A$  by inclusion. If  $(A_\alpha)$  is a totally ordered subset of  $\mathcal{S}$ , then  $B = \bigcup_\alpha A_\alpha$  is a  $\Gamma$ -module, and we have by Proposition 8.4.10

$$H^{n+1}(\Gamma, B) = \varinjlim H^{n+1}(\Gamma, A_\alpha).$$

Thus if  $H^{n+1}(\Gamma, A_\alpha) = 0$  for all  $\alpha$ , we have  $H^{n+1}(\Gamma, B) = 0$ . Applying Zorn's lemma we obtain a maximal  $\Gamma$ -submodule  $B$  of  $A$  such that  $H^n(\Gamma, B) = 0$ . Then  $A \neq B$ . By Lemma 9.2.3, the  $\Gamma$ -module  $A/B$  contains a  $\Gamma$ -submodule isomorphic to  $\mathbb{Z}/p$ . This yields a  $\Gamma$ -submodule  $C$  of  $A$  fitting into an exact sequence of  $\Gamma$ -modules

$$0 \rightarrow B \rightarrow C \rightarrow \mathbb{Z}/p \rightarrow 0.$$

Part of the associated long exact sequence of Proposition 8.4.9 reads

$$H^{n+1}(\Gamma, B) \rightarrow H^{n+1}(\Gamma, C) \rightarrow H^{n+1}(\Gamma, \mathbb{Z}/p).$$

The extreme terms vanish, hence so does the middle one, contradicting the maximality of  $B$ .  $\square$

LEMMA 9.2.5. *Let  $H \subset \Gamma$  be a closed subgroup.*

- (i) *We have  $\text{cd}_p(H) \leq \text{cd}_p(\Gamma)$ .*
- (ii) *If for each open normal subgroup  $U$  of  $\Gamma$ , the index  $[\Gamma/U : H/(U \cap H)]$  is prime to  $p$ , then  $\text{cd}_p(H) = \text{cd}_p(\Gamma)$ .*

PROOF. (i): If  $B$  is an  $H$ -module of  $p$ -primary torsion, then  $C = M_H^\Gamma(B)$  is a  $\Gamma$ -module of  $p$ -primary torsion: to see this, recall that every element  $f \in C$  is a continuous map  $\Gamma \rightarrow B$ . The image  $f(\Gamma)$  is compact by Proposition 3.1.6 and discrete (as  $B$  is so), hence finite. Therefore  $f(\Gamma) \subset B$  is annihilated by some power of  $p$ , hence so is  $f$ . If moreover  $H^q(H, B) \neq 0$ , then  $H^q(\Gamma, C) \neq 0$  by Shapiro's Lemma (Proposition 8.4.12).

(ii): Let  $A$  be a  $\Gamma$ -module of  $p$ -primary torsion such that  $H^q(\Gamma, A) \neq 0$ . It follows from Proposition 8.4.11 that  $H^q(\Gamma, A) \rightarrow H^q(H, A)$  is injective, and (ii) follows.  $\square$

Proposition 6.2.1 admits a generalisation to cohomology groups of higher degrees:

PROPOSITION 9.2.6. *We have  $H^q(k, \mathbb{G}_a) = 0$  for all  $q \geq 1$ .*

PROOF. Let  $L/k$  be a finite Galois extension and  $G = \text{Gal}(L/k)$ . Let  $K$  be the field  $L$  with trivial  $G$ -action. Then the Galois  $G$ -algebra  $L_K$  over  $K$  is split (Corollary 4.3.9), hence  $L_K \simeq M_1^G(K)$  as  $\mathbb{Z}[G]$ -modules (Example 8.3.12), so that  $H^q(G, L_K) = 0$  by

Shapiro's Lemma (Corollary 8.3.15). Now the  $k$ -vector space  $k$  is a direct summand of  $K$ , hence the  $\mathbb{Z}[G]$ -module  $L$  is a direct summand of  $L_K$ . It follows that the group  $H^q(G, L)$  is a direct summand of  $H^q(G, L_K) = 0$ , hence vanishes.  $\square$

REMARK 9.2.7. The normal basis theorem asserts that, in fact, we have  $L \simeq M_1^G(k)$  as  $\mathbb{Z}[G]$ -module, when  $L/k$  is a finite Galois extension and  $G = \text{Gal}(L/k)$ .

COROLLARY 9.2.8. *Let  $k$  be a field of characteristic  $p > 0$ . Then  $\text{cd}_p(\text{Gal}(k_s/k)) \leq 1$ .*

PROOF. The exact sequence of  $k$ -groups of Lemma 6.2.2 yields an exact sequence

$$H^1(k, \mathbb{G}_a) \rightarrow H^2(k, \mathbb{Z}/p) \rightarrow H^2(k, \mathbb{G}_a).$$

The extreme terms vanish by Proposition 9.2.6, hence so does the middle one, which proves the statement by Lemma 9.2.4.  $\square$

PROPOSITION 9.2.9. *Let  $p$  be a prime number. The following are equivalent.*

- (i) *For all separable field extensions  $K/k$ , the group  $\text{Br}(K)$  has no  $p$ -primary torsion.*
- (ii) *The norm map  $N_{L/K}: L^\times \rightarrow K^\times$  is surjective for all separable field extension  $K/k$  and Galois extensions  $L/K$  such that  $[L : K] = p$ .*

*If the characteristic of  $k$  is not equal to  $p$ , these conditions are equivalent to:*

- (iii) *We have  $\text{cd}_p(\text{Gal}(k_s/k)) \leq 1$ .*

PROOF. (i)  $\Rightarrow$  (ii) : The  $k$ -algebra  $L$  is a Galois  $\mathbb{Z}/p$ -algebra (Example 4.3.4), hence  $Q = K^\times / N_{L/K}(L^\times)$  injects into  $\text{Br}(K)$  by Proposition 6.4.11. Moreover we have  $x^p = N_{L/K}(x)$  for any  $x \in K$ , which implies that  $Q$  is of  $p$ -primary torsion. By (i) the group  $Q$  is trivial, so that  $N_{L/K}: L^\times \rightarrow K^\times$  is surjective.

(ii)  $\Rightarrow$  (i) : We may assume that  $K = k$ . Let  $P$  be a pro- $p$ -Sylow subgroup of  $\text{Gal}(k_s/k)$  (see Proposition 3.2.8), and  $E = (k_s)^P$ . Since every finite subextension of  $E/k$  has degree prime to  $p$ , it follows from Lemma 2.6.8 and Corollary 7.3.3 that for each element  $x \in \text{Br}(E/k)$  there exists an integer  $m$  prime to  $p$  such that  $mx = 0$ . Therefore  $\text{Br}(E/k)$  has no  $p$ -primary torsion.

Let now  $L/E$  be a finite separable extension. The finite group  $\text{Gal}(L/E)$ , being a quotient of the pro- $p$ -group  $P$ , is a  $p$ -group, hence admits a composition series with factor groups cyclic of order  $p$ . Thus there exists subextensions  $E = K_0 \subset \cdots \subset K_n = L$  such that  $K_i/K_{i-1}$  is Galois and  $\text{Gal}(K_i/K_{i-1}) \simeq \mathbb{Z}/p$  for  $i = 1, \dots, n$ . The groups  $\text{Br}(K_i/K_{i-1})$  vanish by (ii) and Theorem 6.4.14, hence  $\text{Br}(L/E) = 0$ . It follows that  $\text{Br}(E) = 0$ , so that  $\text{Br}(k) = \text{Br}(E/k)$  has no  $p$ -primary torsion.

(iii)  $\Rightarrow$  (i) : If  $a \in \text{Br}(k)$  is such that  $p^n a = 0$  for some integer  $n$ , it is the image of an element of  $H^2(k, \mu_{p^n})$  by Corollary 9.1.3. The  $\Gamma$ -module  $\mu_{p^n}$  is of  $p$ -primary torsion, hence  $H^2(k, \mu_{p^n}) = 0$  by (iii), and thus  $a = 0$ .

(i)  $\Rightarrow$  (iii) : Let  $P$  be a pro- $p$ -Sylow subgroup of  $\text{Gal}(k_s/k)$  (see Proposition 3.2.8). Then  $\text{cd}_p(\text{Gal}(k_s/k)) = \text{cd}_p(P)$  by Lemma 9.2.5 (ii). Replacing  $k$  with  $(k_s)^P$ , we may thus assume that  $\text{Gal}(k_s/k)$  is a pro- $p$ -group. Let us write  $X^p - 1 = (X - 1)Q \in k[X]$ , where  $Q \in k[X]$ . Then  $Q$  is a separable polynomial of degree  $p - 1$ , hence has a root in  $k$  (otherwise  $k[X]/Q$  would be a field, separable of degree prime to  $p$  over  $k$ ). Therefore  $k$  contains a root of unity of order  $p$ , so that  $\mathbb{Z}/p \simeq \mu_p$  as  $k$ -groups. Since  $H^2(k, \mu_p) = 0$  by (i) and Corollary 9.1.3, it follows that  $H^2(k, \mathbb{Z}/p) = 0$ , which implies that  $\text{cd}_p(\text{Gal}(k_s/k)) \leq 1$  by Lemma 9.2.4.  $\square$

PROPOSITION 9.2.10. *The following are equivalent:*

- (i) We have  $\text{cd}(\text{Gal}(k_s/k)) \leq 1$ , and if  $k$  is of characteristic  $p > 0$  the group  $\text{Br}(K)$  has no  $p$ -primary torsion for every separable extension  $K/k$ .
- (ii) For every separable extension  $K/k$ , we have  $\text{Br}(K) = 0$ .
- (iii) For every separable extension  $K/k$ , and every finite Galois extension  $L/K$ , the norm map  $N_{L/K}: L^\times \rightarrow K^\times$  is surjective.

PROOF. Let  $K/k$  be a separable extension. We claim that  $\text{Br}(K) = 0$  if and only if  $\text{Br}(K)$  has no  $p$ -primary torsion for every prime  $p$ . Indeed by Theorem 7.3.1 for any  $x \in \text{Br}(K)$ , there exists a nonzero element  $n \in \mathbb{N}$  such that  $nx = 0$ . Let us assume that  $n$  is chosen minimal. If  $x \neq 0$ , then we may write  $n = pm$  for some prime  $p$  and integer  $m$ . Then  $mx \in \text{Br}(K)\{p\}$ , hence vanishes if  $\text{Br}(K)$  has no  $p$ -primary torsion, contradicting the minimality of  $n$ . This proves the claim.

In view of Proposition 9.2.9 and Corollary 9.2.8, it will thus suffice to prove that the condition (iii) holds if it holds under the additional assumption that  $[L : K]$  is prime. First assume that  $G = \text{Gal}(L/K)$  is a  $p$ -group for some prime  $p$ , and proceed by induction on  $[L : K]$ , the case  $[L : K] = 1$  being trivial. If  $[L : K] > 1$ , there exists a normal subgroup  $H \subset G$  such that  $G/H \simeq \mathbb{Z}/p$  (as  $G$  is a  $p$ -group). Let  $E = L^H$ . Then  $E/K$  is Galois and  $\text{Gal}(E/K) \simeq \mathbb{Z}/p$ , hence  $N_{E/K}: E^\times \rightarrow K^\times$  is surjective by assumption. By the induction hypothesis  $N_{L/E}: L^\times \rightarrow E^\times$  is surjective. By transitivity of the norm maps (Corollary 4.2.22) we deduce that  $N_{L/K}: L^\times \rightarrow K^\times$  is surjective, concluding the inductive proof.

We now return to the general case, when  $\text{Gal}(L/K)$  is arbitrary. Let  $n = [L : k]$  and  $x \in K^\times$ . Then there exists an integer  $m > 0$  such that  $x^m \in N_{L/K}(L^\times)$  (because  $x^n = N_{L/K}(x)$ ). Let us pick  $m$  minimal. If  $m > 1$ , then  $m = pu$  for some prime number  $p$  and integer  $u$ . Let  $H$  be a  $p$ -Sylow subgroup of  $\text{Gal}(L/K)$ , and consider the subfield  $E = L^H$ . Let  $d = [E : K]$  and  $y = x^u$ . By the case considered above, we know that  $N_{L/E}: L^\times \rightarrow E^\times$  is surjective. Thus  $y \in N_{L/E}(L^\times) \subset E^\times$ . Using the transitivity of the norm maps (Corollary 4.2.22) we obtain

$$y^d = N_{E/K}(y) \in N_{E/K} \circ N_{L/E}(L^\times) = N_{L/K}(L^\times).$$

Since  $y^p = x^m \in N_{L/K}(L^\times)$  and  $d$  is prime to  $p$ , we deduce that  $y \in N_{L/K}(L^\times)$ . As  $y = x^u$ , this contradicts the minimality of  $m$ . Thus  $m = 1$  and  $x \in N_{L/K}(L^\times)$ .  $\square$

DEFINITION 9.2.11. We say that the field  $k$  has cohomological dimension  $\leq 1$  if it satisfies the conditions of Proposition 9.2.10.

### 3. $C_1$ -fields

DEFINITION 9.3.1. The field  $k$  is called a  $C_1$ -field if for every homogeneous polynomial  $P \in k[X_1, \dots, X_n]$  of degree  $d < n$ , there exist  $x_1, \dots, x_n \in k$  such that  $P(x_1, \dots, x_n) = 0$  and at least one  $x_i$  is nonzero.

DEFINITION 9.3.2. Let  $R \rightarrow S$  be a morphism of commutative rings. Assume that the  $R$ -module  $S$  is free of rank  $r$ . For any  $s \in S$ , denote by  $l_s: S \rightarrow S$  the map given by  $x \mapsto lx$ , and define  $N_{S/R}(s) = \det(l_s) \in R$ .

LEMMA 9.3.3. In the situation of Definition 9.3.2, let  $e_1, \dots, e_r$  be an  $R$ -basis of  $S$ . Then there exists a homogeneous polynomial  $D$  of degree  $r$  having coefficients in  $R$ , and such that for any  $s_1, \dots, s_r \in R$  we have

$$N_{S/R}(s_1 e_1 + \dots + s_r e_r) = D(s_1, \dots, s_r).$$

PROOF. Set  $s = s_1e_1 + \cdots + s_re_r$ . The determinant of a matrix in  $M_r(R)$  is a homogeneous polynomial of degree  $r$  in the coefficients of the matrix with coefficients in  $R$  (this follows for instance from the Leibniz formula). The coefficients of the matrix in  $M_r(R)$  of the endomorphism  $l_s$  (say in the basis  $e_1, \dots, e_r$ ) are  $R$ -linear combinations of the elements  $s_1, \dots, s_r$ . The statement follows.  $\square$

LEMMA 9.3.4. *If  $k$  is a  $C_1$ -field, then so is every algebraic field extension of  $k$ .*

PROOF. Let  $L/k$  be an algebraic extension, and  $f \in L[X_1, \dots, X_n]$  a homogeneous polynomial of degree  $d < n$ . Replacing  $L$  with the subextension of  $k$  generated by the finitely many coefficients of  $f$ , we may assume that  $L/k$  is finite. Let  $e_1, \dots, e_r$  be a  $k$ -basis of  $L$ . Consider the variables  $Y_{i,j}$  for  $i \in \{1, \dots, r\}$  and  $j \in \{1, \dots, n\}$ , and denote the polynomial algebra in these variables by  $L[Y]$ , resp.  $k[Y]$ . Consider the polynomial

$$g = \sum_{i=1}^r g_i e_i = f\left(\sum_{i=1}^r e_i Y_{1,i}, \dots, \sum_{i=1}^r e_i Y_{n,i}\right) \in L[Y],$$

where each  $g_i \in k[Y]$  is homogeneous of degree  $d$ . Then  $N_{L[Y]/k[Y]}(g)$  is a homogeneous polynomial of degree  $r$  in the variables  $g_1, \dots, g_r$  by Lemma 9.3.3, hence a homogeneous polynomial of degree  $dr$  in the  $nr$  variables  $Y_{1,1}, \dots, Y_{n,r}$ . Since  $dr < nr$  and  $k$  is a  $C_1$ -field, it follows that there exist  $y_{1,1}, \dots, y_{n,r} \in k$  such that

$$0 = N_{L[Y]/k[Y]}(g)(y_{1,1}, \dots, y_{n,r}) = N_{L/k}(g(y_{1,1}, \dots, y_{n,r})),$$

which implies that

$$0 = g(y_{1,1}, \dots, y_{n,r}) = f\left(\sum_{i=1}^r e_i y_{1,i}, \dots, \sum_{i=1}^r e_i y_{n,i}\right) \in L. \quad \square$$

PROPOSITION 9.3.5. *If  $k$  is a  $C_1$ -field, then  $k$  has cohomological dimension  $\leq 1$ .*

PROOF. By Lemma 9.3.4, it will suffice to prove that  $N_{L/k}: L^\times \rightarrow k^\times$  is surjective when  $L/k$  is a finite Galois extension. Let  $a \in k^\times$ , and choose a  $k$ -basis  $e_1, \dots, e_d$  of  $L$ . Let us write  $k[X] = k[X_1, \dots, X_d]$  and  $L[X] = L[X_1, \dots, X_d]$ . Then the polynomial

$$aY^d - N_{L[X]/k[X]}\left(\sum_{i=1}^d X_i e_i\right) \in k[Y, X_1, \dots, X_d]$$

in  $d+1$  variables is homogeneous of degree  $d$  by Lemma 9.3.3. Since  $k$  is a  $C_1$ -field, we find elements  $y, x_1, \dots, x_d \in k$  which are not all zero and satisfy  $ay^d = N_{L/k}(x)$ , where  $x = x_1e_1 + \cdots + x_de_d$ . If  $y = 0$ , then  $N_{L/k}(x) = 0$ , hence  $x = 0$ . Thus  $y \neq 0$ , and  $N_{L/k}(xy^{-1}) = a$ .  $\square$

PROPOSITION 9.3.6 (Chevalley–Warning). *Every finite field is a  $C_1$ -field.*

PROOF. This can be proved by elementary (and clever) manipulations, see e.g. [Ser73, I, §2].  $\square$

PROPOSITION 9.3.7 (Tsen). *Assume that  $k$  is algebraically closed, and consider its purely transcendental extension in one variable  $k(t)$ . Then  $k(t)$  is a  $C_1$ -field.*

PROOF. Let  $f \in k(t)[X_1, \dots, X_n]$  a homogeneous polynomial of degree  $d < n$ . Multiplying  $f$  with an appropriate power of  $t$ , we may assume that  $f \in k[t][X_1, \dots, X_n]$ . Let  $r$  be the maximal degree (as a polynomial in  $t$ ) of a coefficient in  $k[t]$  of  $f$ . Since  $d < n$ ,

we may an integer  $N$  such that  $dN + r < n(N + 1)$ . For each  $i = 1, \dots, n$  consider the polynomial

$$P_i = \sum_{j=0}^N X_{i,j} t^j \in k[X_{i,0}, \dots, X_{i,N}].$$

Then

$$f(P_1, \dots, P_n) = \sum_{l=0}^{dN+r} f_l(X_{1,0}, \dots, X_{n,N}) t^l,$$

where each  $f_l$  is a homogeneous polynomial (of degree  $d$ ). Since  $k$  is algebraically closed, there exists elements  $x_{1,0}, \dots, x_{n,N} \in k$  not all zero and such that  $f_l(x_{1,0}, \dots, x_{n,N}) = 0$  for all  $l = 0, \dots, dN + r$  (this follows from basic algebraic geometry: the intersection of  $a$  hypersurfaces in the projective space  $\mathbb{P}^b$  is nonempty when  $b \geq a$ ; here  $a = dN + r + 1$  and  $b = n(N + 1)$ ).  $\square$

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