

# FUNDAMENTAL CLASS & THE CYCLE MAP

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Recall: On Tuesday Andrés proved a partial result of the purity theorem  
Speck,  $k = k^{\text{sep}}$ , char  $k \neq n$

Let  $(Z, X)$  be a smooth  $\mathbb{S}$ -pair of codimension  $c$ , and let  $\mathbb{F} \in \underline{\text{Sh}}(X_{\mathbb{F}})$   
be locally isomorphic to  $1$  ( $1 = \mathbb{Z}_{n\mathbb{Z}}$ ,  $\text{char } k \neq n$ ). Then

$$\Lambda = \mathbb{Z}/n\mathbb{Z}$$

$$H_2^r(X, \mathbb{F}) := R^r i^! \mathbb{F} = \begin{cases} 0 & \text{if } r \neq 2c, \\ \text{locally isomorphic to } 1 & \text{if } r = 2c. \end{cases}$$

Goal: improve this to say  $H_2^{2c}(X, 1(c)) \cong 1$

To do that, it is enough to find an element of order  $n$  in

$$T(Z, H_2^{2c}(X, 1(c))) = H_2^{2c}(X, 1(c)) \xrightarrow{s_{Z/X}} \text{FUNDAMENTAL CLASS OF } Z \text{ IN } X$$

already proved by Andrés

Indeed, such an element will define a map  $1 \rightarrow H_2^{2c}(X, 1(c))$ ,  
which will be an isomorphism on stalks.

We begin by constructing  $s_{Z/X}$  in the case  $c=1$  and  $Z$  irreducible,  
so that  $Z$  is a smooth prime divisor of  $X$ . ( $U = X \setminus Z$ )

$$\begin{array}{ccccccc}
 H^0(X, \mathbb{G}_m) & \xrightarrow{a} & H^0(U, \mathbb{G}_m) & \xrightarrow{b} & H_2^1(X, \mathbb{G}_m) & \xrightarrow{c} & H^1(X, \mathbb{G}_m) \xrightarrow{d} H^1(U, \mathbb{G}_m) \\
 \downarrow \sim & & \downarrow \sim & & \downarrow \sim & & \downarrow \sim \\
 T(X, \mathcal{O}_X^\times) & \xrightarrow{a'} & T(U, \mathcal{O}_U^\times) & \xrightarrow[b']{\text{ord}_Z} & \mathbb{Z} & \xrightarrow{c'} & \text{Pic}(X) \xrightarrow{d'} \text{Pic}(U)
 \end{array}$$

$\mathbb{Z} \xrightarrow{\sim} \mathbb{Z}/n\mathbb{Z} \xrightarrow{\sim} \mathbb{Z}$   
 $1 \longmapsto [Z]$

$$0 \rightarrow \text{im } a = \ker b \rightarrow H_2^1(X, \mathbb{G}_m) \rightarrow \text{im } c = \ker d \rightarrow 0$$

$$\text{im } a' = \ker b' = \mathbb{Z} \text{ or } 0 \quad \mathbb{Z}/n\mathbb{Z} \text{ or } 0 = \text{im } c' = \ker d'$$

If either of them is zero, we may directly conclude  $H_2^1(X, \mathbb{G}_m) = \mathbb{Z}$ . Otherwise we see a s.e.s  $[0 \rightarrow \mathbb{Z} \rightarrow H_2^1(X, \mathbb{G}_m) \rightarrow \mathbb{Z}/n\mathbb{Z} \rightarrow 0]$

$$\text{Recall: } \underline{\text{Ext}}^1(\mathbb{Z}/n\mathbb{Z}, \mathbb{Z}) = \mathbb{Z}/n\mathbb{Z}$$

Consider the LES in  $H_2^*$  associated to the Kummer sequence

$$0 \rightarrow H_2^0(X, \mu_n) \rightarrow H_2^0(X, \mathbb{G}_m) \rightarrow H_2^0(X, \mathbb{G}_m)$$

~~$H_2^0(X, \mu_n) \rightarrow H_2^1(X, \mathbb{G}_m) \xrightarrow{n} H_2^1(X, \mathbb{G}_m)$~~

(purity)  $0 \rightarrow \mathbb{Z}/(1) \rightarrow \mathbb{Z}/n\mathbb{Z} \xrightarrow{\text{injective}}$

So we have a morphism of short exact sequences + snake lemma

$$\begin{array}{ccccccc} 0 & \longrightarrow & \textcircled{0} & \longrightarrow & \textcircled{0} & \longrightarrow & \mathbb{Z}/n\mathbb{Z} \\ \downarrow n & & \downarrow n & & \downarrow n & & \text{purple arrow} \\ 0 & \longrightarrow & \mathbb{Z} & \longrightarrow & H_2^1(X, \mathbb{G}_m) & \longrightarrow & \mathbb{Z}/n\mathbb{Z} \rightarrow 0 \\ \downarrow & & & & & & \\ 0 & \longrightarrow & \mathbb{Z} & \longrightarrow & H_2^1(X, \mathbb{G}_m) & \longrightarrow & \mathbb{Z}/n\mathbb{Z} \rightarrow 0 \\ \downarrow & & & & & & \\ \mathbb{Z}/n\mathbb{Z} & \xrightarrow{\sim} & \text{Coker } n & \xrightarrow{\sim} & \mathbb{Z}/n\mathbb{Z} & \longrightarrow & 0 \end{array}$$

Since  $\mathbb{Z}/n\mathbb{Z}$  is finite, injective  $\Rightarrow$  bijective and we conclude that the cokernel of multiplication by  $n$  on  $H_2^1(X, \mathbb{G}_m)$  is  $\mathbb{Z}/n\mathbb{Z}$ .

$$0 \rightarrow \mathbb{Z} \rightarrow H_2^1(X, \mathbb{G}_m) \rightarrow \mathbb{Z}/n\mathbb{Z} \rightarrow 0 \quad \xrightarrow{\text{in}} \quad \xrightarrow{\text{bi}} \quad \Rightarrow \quad H_2^1(X, \mathbb{G}_m) = \mathbb{Z} \oplus T, T \text{ torsion}$$

Since the cokernel of multiplication by  $n$  is  $\mathbb{Z}/n\mathbb{Z}$ , we must have  $\frac{T}{nT} = 0$ .  
 But  $nT = 0$  because  $\forall t \in T [nt] = 0 \in \mathbb{Z}/n\mathbb{Z} \Rightarrow nt \text{ comes from } \mathbb{Z}$  by exactness, but injectivity + torsion  $\Rightarrow nt = 0$

$$H_2^1(X, \mathbb{G}_m) \cong \mathbb{Z}$$

$$nT = 0$$

So now we may consider once again the Kummer LES for  $H_2^*$

$$\begin{array}{ccccc} \mathbb{Z}_{\text{II}S} & & \mathbb{Z}_{\text{II}S} & & \mu_n \\ H_2^1(X, \mathbb{G}_m) & \xrightarrow{n} & H_2^1(X, \mathbb{G}_m) & \xrightarrow{\delta} & H_2^2(X, \Lambda(1)) \\ & & \downarrow \text{def} & & \\ & & S_{Z/X} & & \end{array}$$

THEOREM. [EC, VI.6.1] There is a unique function  $(Z, X) \mapsto S_{Z/X}$  associating to each smooth S-pair of codimension c a FUNDAMENTAL CLASS  $S_{Z/X} \in H_2^{2c}(X, \Lambda(c))$  satisfying the following:

- (a)  $S_{Z/X}$  has order n
- (b) If  $c=1$  and  $Z$  is irreducible,  $S_{Z/X}$  is as defined above
- (c) if  $\phi: (Z', X') \rightarrow (Z, X)$  is a morphism of smooth S-pairs of codimension c ( $Z' = Z \times_X X'$ ) then  $\phi^*(S_{Z/X}) = S_{Z'/X'}$

$$\phi^*: H_2^{2c}(X, \Lambda(c)) \rightarrow H_{Z'}^{2c}(X', \Lambda(c)) \quad Z = \coprod Z_j \Rightarrow H_2^{2c}(X) = \bigoplus H_{Z_j}^{2c}(X)$$

(d) if  $Z \xrightarrow{v} Y$  are smooth pairs of codimensions  $a, b, c$   
*i* ↗  $\nwarrow u$  then there is an isomorphism  $H_2^{2c}(X, \Lambda(c)) \cong H_2^{2a}(Y, \Lambda(a)) \otimes H_Y^{2b}(X, \Lambda(b))$

and under this isomorphism  $S_{Z/X} = S_{Z/Y} \otimes S_{Y/X}$

And with this, we have proved our "baby" Purity Theorem.

It is worth noting that according to [EC, VI.6.5.(a)], we may express the fundamental class using the Gysin map

$$\boxed{H^0(Z, \Lambda) \cong \Lambda \xrightarrow{\text{Gysin}} H^{2c}(X, \Lambda(c)) \quad 1 \xrightarrow{\text{thm}} S_{Z/X}}$$

Now we are going to use the fundamental class with a different purpose

Our goal is to define a functorial graded ring homomorphism

$$cl_X : CH^*(X) \longrightarrow H^{2*}(X), \quad H^*(X) := \bigoplus_{\text{r}} H^r(X, \Lambda(L^{\frac{r}{2}}))$$

CYCLE MAP

So we are going to review the Chow group and the ring structure on  $H^*$ .

CUP-PRODUCT: Using [EC, V.1.16] we may extend

$$\left[ \begin{array}{ccc} T(X, \mathbb{F}_1) \times T(X, \mathbb{F}_2) & \longrightarrow & T(X, \mathbb{F}_1 \otimes \mathbb{F}_2) \\ (s_1, s_2) & \longmapsto & s_1 \otimes s_2 \end{array} \right]$$

to a functorial pairing called cup product. (works for  $R\pi_*$  and  $H_2$ )

$$\left[ \begin{array}{ccc} H^r(X, \mathbb{F}_1) \times H^s(X, \mathbb{F}_2) & \longrightarrow & H^{r+s}(X, \mathbb{F}_1 \otimes \mathbb{F}_2) \\ (\gamma_1, \gamma_2) & \longmapsto & \gamma_1 \cup \gamma_2 \end{array} \right]$$

which satisfies  $\rightsquigarrow d(\gamma_1 \cup \gamma_2) = d(\gamma_1 \cup \gamma_2)$   $\gamma_1 \cup \gamma_2 = (-1)^{rs} \gamma_2 \cup \gamma_1$   
 $\rightsquigarrow \gamma_1 \cup d\gamma_2 = (-1)^r d(\gamma_1 \cup \gamma_2)$

It is also possible to give other constructions that lead to this same product: Čech cohomology, Godement resolutions, Ext pairing (see [EC, 171-174])  
This makes  $H^*(X)$  into an associative anticommutative graded ring.

Chow RING: We work with quasi-projective smooth varieties over  $k = k^{\text{alg}}$ .

$\rightsquigarrow$  PRIME  $r$ -CYCLE = closed irreducible subvariety of codim  $r$

$\rightsquigarrow$   $r$ -CYCLE  $\in C^r(X)$  := free ab. group generated by prime  $r$ -cycles

$\rightsquigarrow$  CYCLE  $\in C^*(X)$  :=  $\bigoplus_r C^r(X)$

For any closed subscheme  $Z$  of codimension  $r$ , let  $\gamma_1, \dots, \gamma_s$  be the irreducible components of codim  $r$  and define the cycle associated to  $Z$

$$Z = \sum_{i=1}^s n_i \gamma_i, \quad n_i = \text{length } \mathcal{O}_{\gamma_i, Z}$$

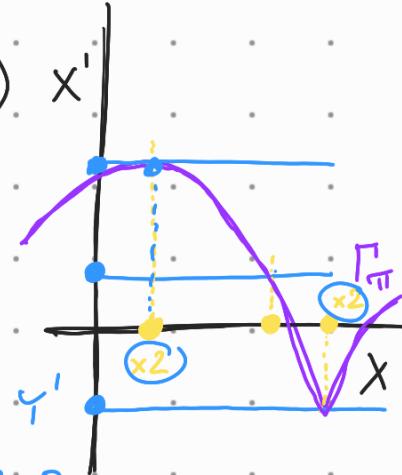
For any morphism of varieties  $\pi: X \rightarrow X'$  we define two operations

$\gamma \in X$  prime  $r$ -cycle  $\rightsquigarrow \pi_{*} \gamma =$   
(extend linearly to cycles)

$$\begin{cases} 0 & \text{if } \dim \pi(Y) < \dim Y \\ [K(Y):K(\pi(Y))] \overline{\pi(Y)} & \text{if } \dim \pi(Y) = \dim Y \\ \text{degree of } \pi \text{ along } Y \end{cases}$$

$\gamma' \subset X'$  subvariety  $\rightsquigarrow \pi^{*} \gamma' = p_{1,*} (\pi_{*}^{-1} (X \times \gamma'))$

$$\begin{array}{ccc} X \times X' & & \\ \downarrow p_1 & & \downarrow p_2 \\ X & & X' \end{array}$$



We also define the concept of rational equivalence:

$$f: \tilde{V} \xrightarrow{\text{normalize}} V \xleftarrow{\text{subvariety}} X$$

Weil = Cartier  
and we have linear  
equivalence

CHOW GROUP

$$CH^*(X) := C^*(X)$$

$\sim$  rational  
equivalence

$D, D'$   
linearly equiv

$$\xrightarrow{\text{DEF}} f_{*} D, f_{*} D' \quad \text{rationally equivalent}$$

An intersection theory (on  $\mathcal{B} = \{ \text{quasiprojective varieties over } k = \mathbb{C} \text{ and } \mathbb{R} \}$ ) consists of giving a pairing  $CH^r(X) \times CH^s(X) \rightarrow CH^{r+s}(X)$  for each  $r, s$  and for each  $X \in \mathcal{B}$  satisfying the following axioms [Har, A.1.1]

- (A1) The intersection pairing makes  $CH(X)$  into a commutative, associative ring with 1 for every  $X \in \mathcal{B}$ . This is called the Chow ring of  $X$ .
- (A2)  $\forall \pi: X \rightarrow X'$ ,  $\pi^{*}: CH^*(X') \rightarrow CH^*(X)$  is a ring homomorphism and  $\pi_{*} \circ \pi^{*} = (\pi \circ \pi)^{*}$
- (A3)  $\forall \pi: X \rightarrow X'$  proper  $\pi_{*}: CH^*(X) \rightarrow CH^*(X')$  is a homomorphism of graded groups and  $\pi_{*} \circ \pi_{*} = (\pi \circ \pi)_{*}$

(A4) PROJECTION FORMULA:  $\forall \pi: X \rightarrow X'$  proper,  $x \in CH^*(X)$ ,  $y \in CH^*(X')$

$$\pi_*(x \cdot \pi^*y) = \pi_*x \cdot y$$

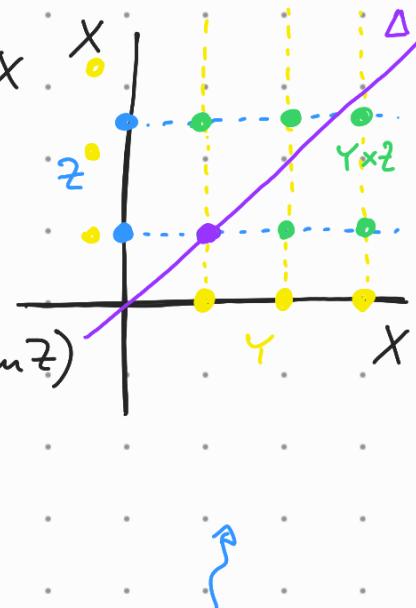
(A5) REDUCTION TO THE DIAGONAL: if  $\gamma, \tau$  are cycles on  $X$  and  $\Delta: X \rightarrow X \times X$  is the diagonal morphism then  $\gamma \cdot \tau = \Delta^*(\gamma \times \tau)$

(A6) LOCAL NATURE: if  $\gamma, \tau$  are subvarieties of  $X$

which intersect properly ( $\text{codim}(\gamma \cap \tau) = \text{codim} \gamma + \text{codim} \tau$ )

$$\text{then } \gamma \cdot \tau = \sum i(\gamma, \tau; W_j) W_j$$

local intersection multiplicity  
of  $\tau$  and  $\gamma$  along  $W_j$



where  $W_j$  are the irreducible components of  $\gamma \cap \tau$  and  $i(\gamma, \tau; W_j)$  depends only on a nbhd of the generic point of  $W_j$  on  $X$ .

(A7) NORMALIZATION: If  $\gamma$  is a subvariety of  $X$  and  $\tau$  is an effective Cartier divisor meeting  $\gamma$  properly, then  $\gamma \cdot \tau$  is just the cycle associated to the Cartier divisor  $\gamma \cap \tau$  on  $\gamma$ .

THEOREM. - [Hart, A.1.1] There is a unique intersection theory on  $\mathcal{B}$ .

So now we know what it means for

$$cl_X: CH^*(X) \longrightarrow H^{2*}(X)$$

to be a functorial graded ring homomorphism.

We define the map as follows:

- if  $\tau$  is nonsingular then we may associate it its fundamental class  $s_{\tau/X} \in H^{2c}(X, \Lambda(c)) \subset H^{2*}(X)$ , which is the image of 1 under the Gysin map

$$1 \cong H^0(\tau, \Lambda) \longrightarrow H^{2c}(X, \Lambda(c))$$

- if  $Z$  is singular, let  $Y = \underline{\text{Sing } X}$  be its singular locus, which is a subvariety of strictly greater codimension. Then

$$1 \cong H^0(Z \setminus Y, \Lambda) \xrightarrow{\text{Gysin}} H_{Z \setminus Y}^{2c}(X \setminus Y, \Lambda(c)) \xrightarrow{(*)} H_Z^{2c}(X, \Lambda(c)) \xrightarrow{k=k \text{ locally}} H^{2c}(X, \Lambda(c))$$

and we define  $\text{cl}_X(Z)$  to be the image of 1 under these maps.

(\*) LEMMA. - (Semi-purity) For any closed subvariety  $Z \hookrightarrow X$  of codim = c we have  $H_Z^r(X, \Lambda) = 0 \quad \forall r < 2c$ .

Proof. -  $Z$  regular  $\Rightarrow$  follows from Gysin. / Induction on  $\dim Z$

$\dim Z = 0 \Rightarrow Z$  regular ✓

$\dim Z > 0 \Rightarrow$  take the LES associated to a triple  $X = U \supset V$

$$\cdots \rightarrow H_Y^r(X, \Lambda) \rightarrow H_Z^r(X, \Lambda) \rightarrow H_{Z \setminus Y}^{2c}(X \setminus Y, \Lambda) \rightarrow \cdots$$

$\xrightarrow{\quad \text{for } r < 2c+2 \quad}$  by induction  
 $\text{codim } Y \geq c+1$

$\xrightarrow{\quad \text{for } r < 2c \quad}$  by purity ( $Z \setminus Y$  smooth)

$$\Rightarrow H_Z^r(X, \Lambda) = 0 \quad \forall r < 2c.$$

The above sequence for  $r=2c$  gives the desired isomorphism.

This way we have defined the map  $\text{cl}_X$ , but it is hard to see from here that it has the properties we want it to have. We are going to give an alternative definition. (See [EC, VI.9.2-5] for some more nice properties of the cycle map)

CHERN CLASSES: The idea is to

associate to each vector bundle (locally free sheaf of finite rank) a cohomology class  $c_* : \text{VB}(X) \rightarrow H^{2*}(X)$  satisfying

(a) Functoriality:  $\pi : Y \rightarrow X$  morphism,  $E$  vector bundle on  $X$

$$c_r(\pi^{-1}E) = \pi^*(c_r E).$$

(b) Normalization: If  $E$  is a line bundle on  $X$ , then

$$c_0 E = 1, \quad c_1 E \text{ is the image of } E \text{ under } \text{Pic } X \rightarrow H^2(X, \Lambda(1)).$$

$$H^1(X, \mathbb{G}_{m, \text{Kummer}}) \quad (\text{Kummer})$$

(c) Additivity: If  $0 \rightarrow E' \rightarrow E \rightarrow E'' \rightarrow 0$  is a SES of vector bundles on  $X$ , then  $c_t(E) = c_t(E') \cdot c_t(E'')$  (Chern polynomials)

$$c_t E = \sum_{i=0}^r c_i E t^i$$

These properties characterize the Chern classes uniquely (Grothendieck).

Idea of the construction of the cycle map:

- for each  $Z \hookrightarrow X$  consider  $\mathcal{O}_Z$  as an  $\mathcal{O}_X$ -module
- resolve it by vector bundles

$$0 \rightarrow E_n \rightarrow \dots \rightarrow E_0 \rightarrow \mathcal{O}_Z \rightarrow 0$$

$$\text{and define } \gamma(Z) = \sum (-1)^i [E_i], \quad \gamma: C^*(X) \rightarrow K_0(VB(X))$$

- by additivity,  $c_\infty$  factors through  $K_0(VB(X))$  and then

$$C^*(X) \xrightarrow{\gamma} K_0(VB(X)) \xrightarrow{c_\infty} H^{2*}(X)$$

is the desired map.

- passing to the assoc. graded of  $K_0$  and relabeling shows that we have a functorial graded ring homomorphism. [EC, VI.10.7].  
(if  $(d_X - 1)!$  is invertible in  $n$ )

Proposition:- [EC, VI.10.6] (Wrong proof) Both constructions coincide

$$(\mathcal{O}(1))$$

$E$  v.b. on  $X$   
of rank  $r$

$$P(E) \rightarrow X$$

$$H^*(X) \longrightarrow H^*(P(E))$$

$$1, \xi, \dots, \xi^{r-1}$$

$$\left\{ \begin{array}{l} c_0 E = 1 \\ \sum_{i=0}^r c_i E \xi^{r-i} = 0 \end{array} \right. \quad 1 - \xi^r$$

$\xi$  class of a  
hyperplane section