# Data Representation & External Sorting

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#### Outline

• Representing data



– How are tables stored on storage devices?

- External Sorting
  - How to sort 1TB data using 1GB of memory?

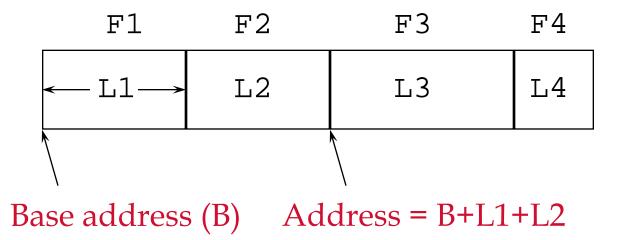
## Representing Data Elements

• Relational database elements:

```
CREATE TABLE Product (
pid INT PRIMARY KEY,
name CHAR(20),
description VARCHAR(200),
maker CHAR(10) REFERENCES Company(name))
```

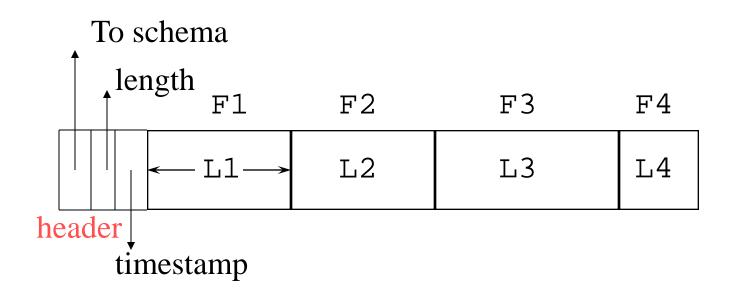
A tuple is stored as a "record"

## Record Formats: Fixed Length



- Information about field types is the same for all records in a file; stored in *system catalogs*.
- Note the importance of schema information!

#### Record Header

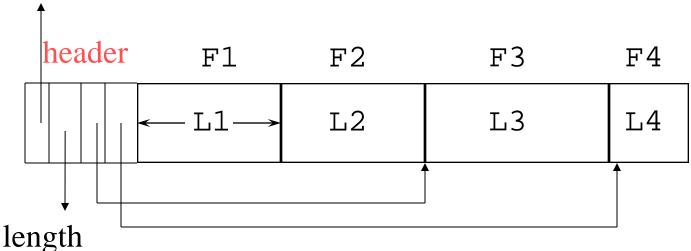


#### Header:

- Pointer to schema: help finding fields
- Length: help skipping records without consulting schema
- Timestamp: time when record last modified or read

## Variable Length Records

Other header information



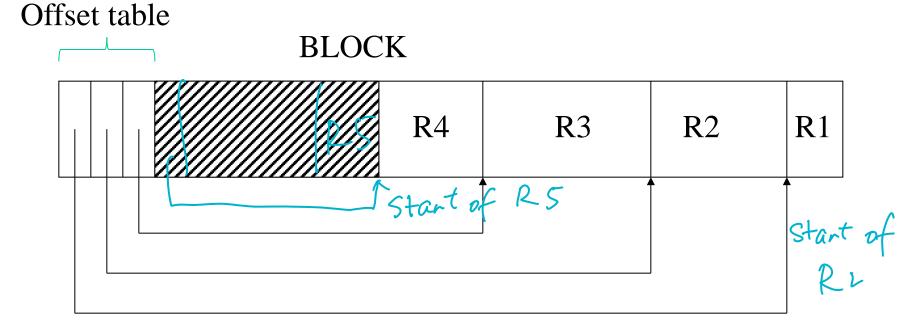
Place the fixed fields first: F1, F2

Then the variable length fields: F3, F4

Note: actually no need for pointer to F3, why?

## Storing Records in Blocks

- Blocks have fixed size (typically 4KB)
  - But records may have variable-length



Why are records placed from the end?

need space for new pointer.

### Outline

- Representing data
  - How are tables stored on storage devices?

External Sorting



- How to sort 1TB data using 1GB of memory?

## The I/O Model of Computation

- In main memory algorithms:
  - we care about CPU time
- In databases
  - time is dominated by I/O cost

- Assumption: cost is given only by I/O
- Consequence: need to redesign certain algorithms, e.g. sorting

#### Notes

- A block on storage devices loaded into a page in main memory
  - We sometimes interchange page with block

- Buffer pages
  - Often refer to pages in main memory used to store input, output, and intermediate data for an algorithm

• Run: a sorted sublist of input data

#### Notes

- Make a pass through data:
  - Loading the entire data from disk once

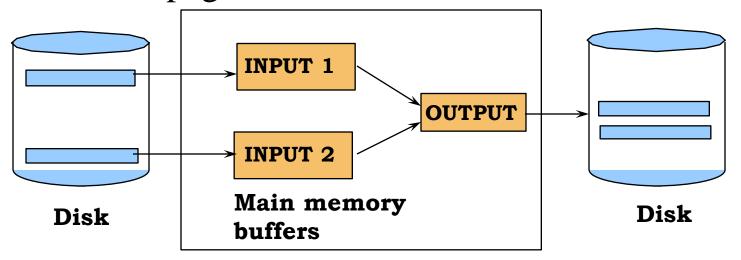
## Sorting

- Illustrates the difference in algorithm design when your data is not in main memory:
  - Problem: sort 1TB of data with 1GB of RAM

- Arises in many places in database systems:
  - Data requested in sorted order (ORDER BY)
  - Needed for grouping operations
  - First step in sort-merge join algorithm
  - Duplicate removal
  - Bulk loading technique for creating B+-tree indexes

## 2-Way Merge-sort: Requires 3 Buffers

- Pass 0: Read a page, sort it, write it
  - only one buffer page is used
- Pass 1, 2, ..., etc.: merging two runs at a time
  - three buffer pages used.



## Two-Way External Merge Sort

- Each pass we read + write each page in file.
- N pages in the file => the number of passes

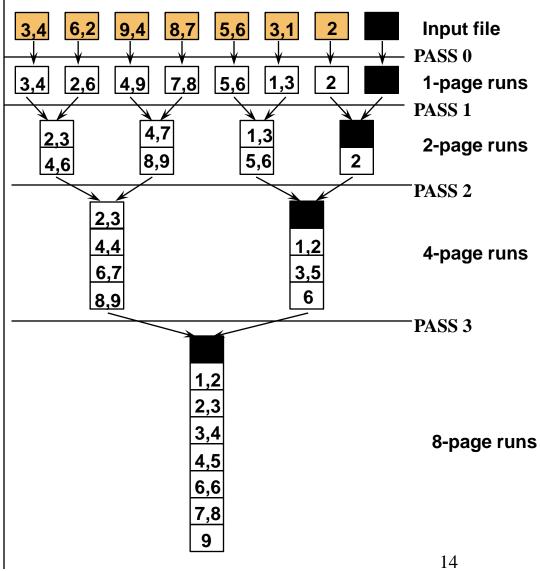
$$= \lceil \log_2 N \rceil + 1$$

So total cost is:

$$2N\left(\left\lceil \log_2 N \right\rceil + 1\right)$$

• Sort 4MB with buffer page size = 4KB: needs11 passes





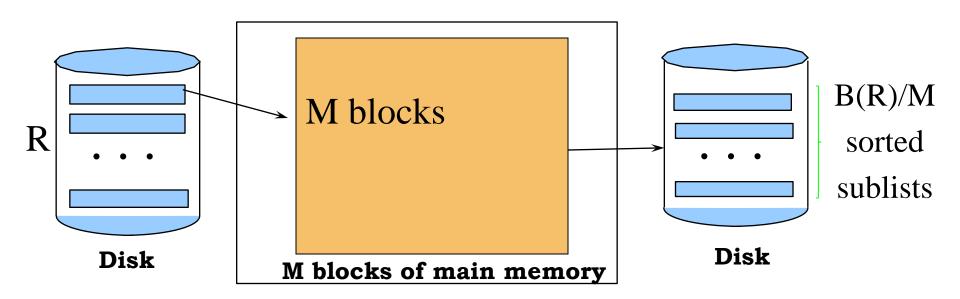
#### Can We Do Better?

- We have more main memory
- Should use it to improve performance

- M: # of blocks (i.e., pages) in main memory
- B(R): # of blocks of relation R

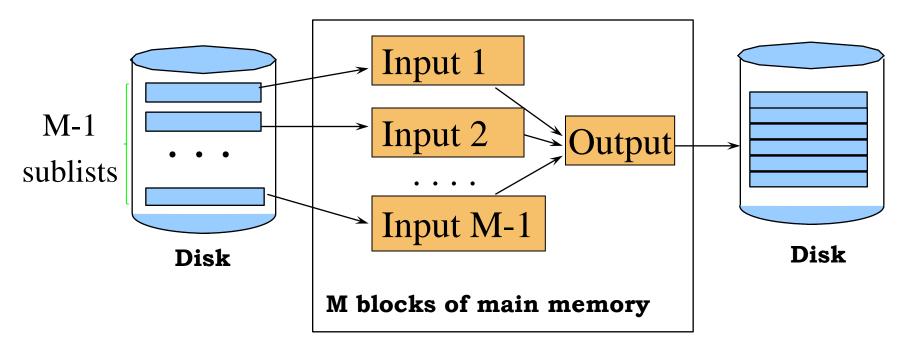
## External Merge-Sort

- Pass 0: load M blocks in memory, sort
  - Result: B(R)/M sorted sublists of size M
  - Each sorted sublist is a run



#### Pass One

- Merge M − 1 runs into a new run
- Result: each run has now M (M-1) blocks

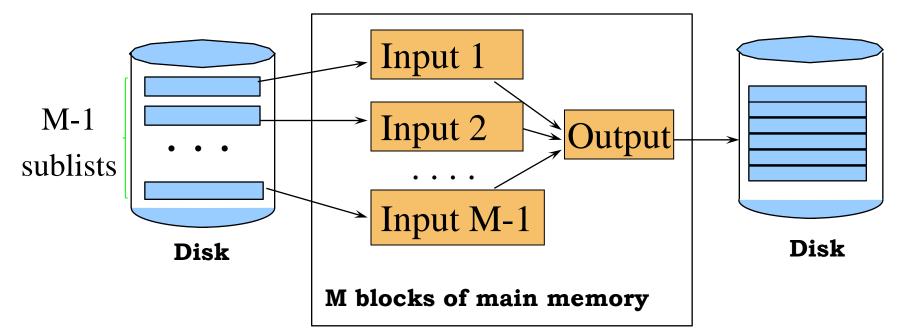


## Cost of Two-Pass, Multiway Merge Sort

- Pass 0: sort B/M sublists of size M, write
  - Cost: 2B(R)
- Pass 1: merge B/M sublists, write
  - Cost: 2B(R)
- Total cost: 4B(R)
- Assumption:  $B(R) \le M^2$ 
  - $B/M \le M 1 \text{ or}$
  - $B \le M(M-1) \sim M^2$

Generalized to k Passs

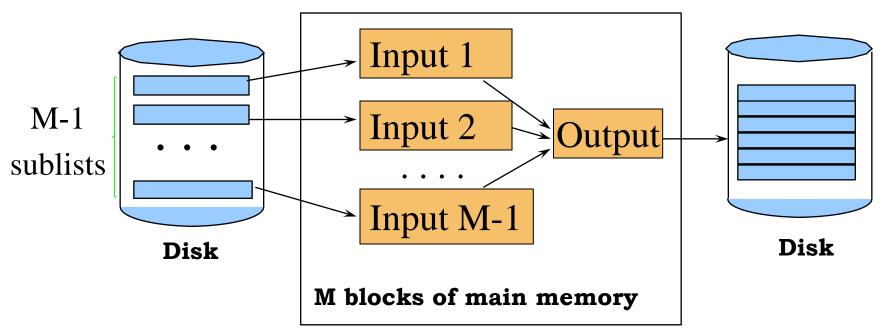
- Merge every M 1 runs into a new run
- Result: each run has now M  $(M-1)^k$  blocks



### If k is the last pass

- Merge M − 1 runs into a single run
- We must have  $M (M-1)^k >= B \Longrightarrow$

$$k = \lceil \log_{M-1} \lceil B / M \rceil \rceil$$



## Cost of External Merge Sort

• Number of passes:

$$1 + \lceil \log_{M-1} \lceil B / M \rceil \rceil$$

- Cost = 2B \* (# of passes)
- E.g., with 5 buffer pages, to sort 108 page file:
  - Pass 0: produces \[ 108/5 \] = 22 runs (21 sorted runs of 5 pages each + last run of only 3 pages)
  - Pass 1:  $\lceil 22/4 \rceil = 6$  (5 sorted runs of 20 pages each + last run or only 8 pages)
  - Pass 2: 2 sorted runs, 80 pages and 28 pages
  - Pass 3: Sorted file of 108 pages