#### Introduction

INF 551 Wensheng Wu

### Logistics

Instructor email: wenshenw@usc.edu

- Class meeting times:
  - Morning section: 10-11:50am, MW, VPD 106
  - Afternoon section: 3:30-5:20pm, MW, THH 118
- Office hours:
  - 9-9:45am, MW, GER 204 (please email me first to set up a time)

### Logistics

- TAs
  - Weijun Deng, weijunde@usc.edu (morning)
  - Siyan Cheng, <u>siyanc@usc.edu</u> (afternoon)

- Office hours
  - TBD
  - SAL computing lab (lobby)

#### Blackboard

- Discussion forums
  - You may post general and homework questions
  - Do not post solutions
  - Please actively participate in helping others!
  - Do not abuse forum (an academic misconduct!)

Check frequently for updates

### Prerequisites

- Programming skills:
  - Python (e.g., for Spark), Java (e.g., for Hadoop)
- Unix-like environment
  - E.g., Ubuntu, Virtual machine, Amazon EC2 (we will use this)
- Basic knowledge of algorithms and data structures
  - Sorting, hashing, etc. (CS 570)
- Basic probability and statistics

#### **Textbooks**

- Remzi H. Arpaci-Dusseau and Andrea C. Arpaci-Dusseau. Operating Systems: Three Easy Pieces, 2015 (selected chapters only). Available free at: <a href="http://pages.cs.wisc.edu/~remzi/OSTEP/">http://pages.cs.wisc.edu/~remzi/OSTEP/</a>
- Hector Garcia-Molina, Jeffrey D. Ullman, and Jennifer Widom. Database Systems: The Complete Book (Second Edition), Prentice Hall, 2009. (selected chapters only)
  - http://infolab.stanford.edu/~ullman/dscb.html
- Jiawei Han, Micheline Kamber, and Jian Pei. <u>Data Mining:</u> <u>Concepts and Techniques</u>. Morgan Kaufmann, 2011, 3rd Edition (selected chapters only).

# Additional readings

Posted on Blackboard

- Base your reviews on the lectures
  - I will not ask questions on the reading materials that were not covered in the lectures

# **Grading structure**

<ul> <li>Homework</li> </ul>	20%
<ul> <li>Weekly quizzes</li> </ul>	25%
<ul> <li>Midterm</li> </ul>	15%
• Final	25%
<ul><li>Lab session</li></ul>	5%
<ul> <li>Group project</li> </ul>	10%

# Grading scale

- [93, 100] = A
- [90, 93) = A-
- [87, 90) = B+
- [83, 87) = B
- [80, 83) = B-
- [77, 80) = C+
- [73, 77) = C
- ... (see Syllabus for complete breakdown)

#### Lab sessions

- Flipped:
  - Task and details posted before class
  - Bring questions to class

Typically utilize last 15-30 mins of class

### Quizzes

• First quiz on 3<sup>rd</sup> week

Last quiz on last week

Based on previous week's materials

#### **Exams**

Closed-notes & book

Midterm: Oct. 3, Wednesday, in-class

- Final: December 10, Wednesday
  - Morning: 8-10am; afternoon section: 2-4pm
  - At the same classroom as class meeting

#### Calculator

• If calculator is needed, we will announce it

Otherwise, no electronic devices are allowed

## Group project

Form a group of no more than 2 people

- Done in phases
  - Proposal
  - Midterm report
  - Final report

Will talk about project topics

## Late Policy

- Homework will be submitted to Blackboard
  - 10% for every 24 hours late
  - No credit after 3 days

- Make up for quizzes are permitted only when
  - You have a medical/family emergency
  - Let me know in advance
  - Proof (e.g., medical note) is required

## Late Policy

- Quiz will be given in the beginning of Monday's classes
  - You are responsible for missing quiz due to tardiness
  - No make up will be given for tardiness!

- You are responsible for scheduling conflicts
  - With job interviews, job fairs, etc.

## **Grading Corrections**

 All homework & quiz grades are final one week after grades are posted

 Wasting instructor and staff times on nonlegitimate regrading requests may result in losing more points

# **Academic Integrity**

Cheating will NOT be tolerated

- All parties involved will receive a grade of F for the course and be reported to SJACS WITHOUT EXCEPTION
  - USC Student Judicial Affairs and Community
     Standards

## Now, movie time 🙂

- Explain big data:
  - https://www.youtube.com/watch?v=7D1CQ

- Questions:
  - Where does big data come from?

What characteristics doe it have?
What big data technologies were mentioned?

herchoop mapreduce

#### Internet Traffic in 2012

- 4.8 zettabyte = 4.8 billion terabytes
- Zettabyte (1000 exabytes)
- Exabyte
- Petabyte
- Terabyte
- Gigabyte
- Megabyte
- Kilobyte

### Major topics

Storage systems



- File systems & file formats
- Database management systems
- Big data solution stack
- Data warehousing (if time permits)

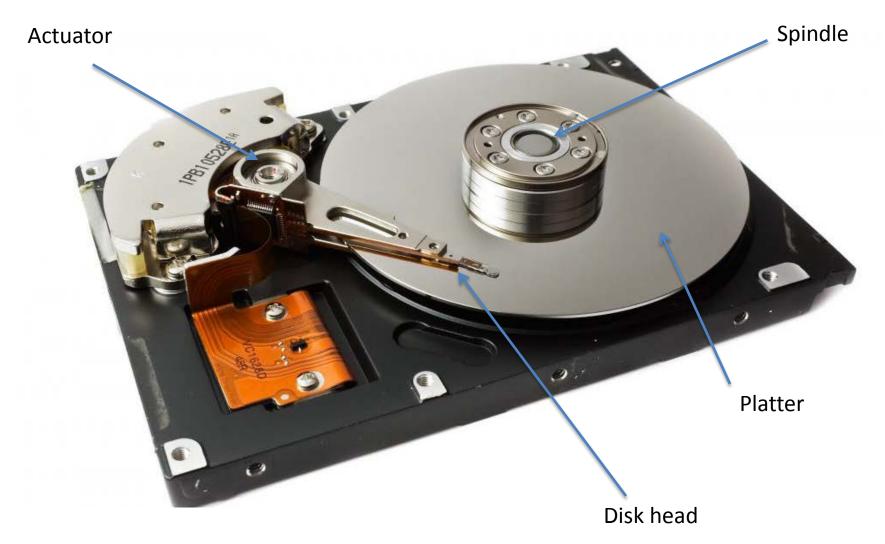
# Storage Systems

- Hard disk
- SSD (Solid state drive)

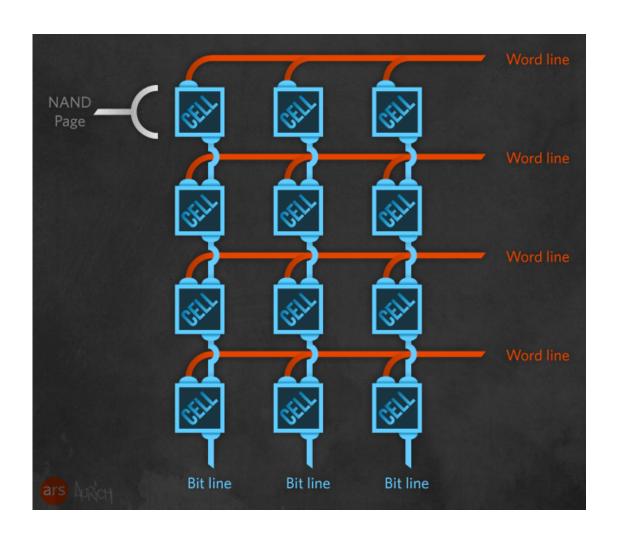




### Internal of hard disk



### NAND flash



# Major topics

- Storage systems
- File systems & file formats



- Database management systems
- Big data solution stack
- Data warehousing

# File Systems

- Standalone
  - Single machine

- Network
  - Client-server

- Distributed (e.g., Hadoop)
  - A number of data servers

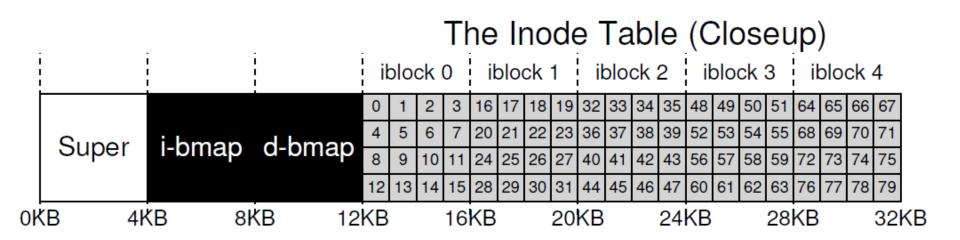
## Standalone file systems

- Data structures
  - Data blocks
  - Metadata blocks (Inodes)
  - Bitmap blocks (for space allocation)

- Access paths
  - Read
  - write

# Inode (index node)

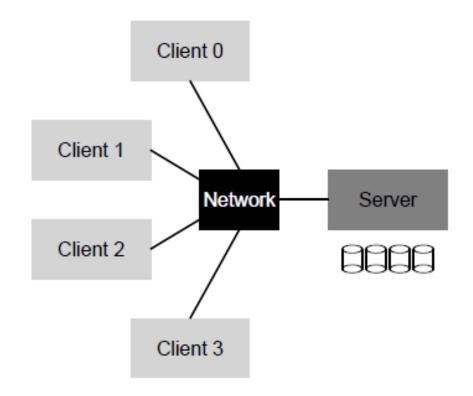
- Each is identified by a number
  - Low-level number of file name: inumber
- Can figure out location of inode from inumber



## Network file system

- Client-server architecture
  - Sun's network file system

- Key concept:
  - stateless file handle

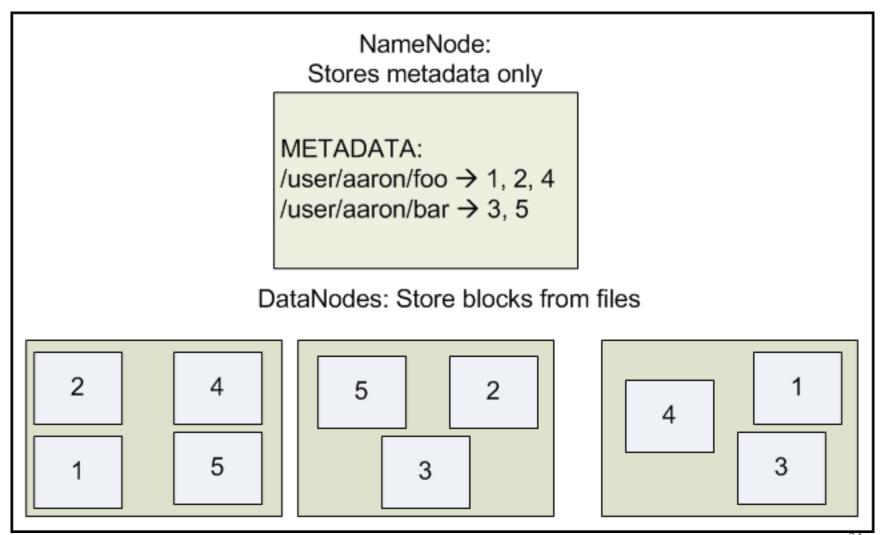


## Distributed file systems

- Hadoop HDFS (after GFS)
  - Data are distributed among data nodes
- Replication
  - Automatic creation of replica (typically 2 or 3 copies/data)

- Fault-tolerant
  - Automatic recovery from node failure

#### HDFS architecture



## Major topics

- Storage systems
- File systems & file formats



- Database management systems
- Data warehousing
- Big data solution stack

#### File Formats

JSON

```
"firstName": "John",
"lastName": "Smith",
"isAlive": true,
"age": 25,
"address": {
  "streetAddress": "21 2nd Street",
  "city": "New York",
  "state": "NY",
  "postalCode": "10021-3100"
},
"phoneNumbers": [
    "type": "home",
    "number": "212 555-1234"
  },
    "type": "office",
    "number": "646 555-4567"
"children": [],
"spouse": null
```

#### HTML

```
<h1> Bibliography </h1>
<i> Foundations of Databases </i>
     Abiteboul, Hull, Vianu
     <br/>
<br/>
ddison Wesley, 1995
<i> Data on the Web </i>
     Abiteoul, Buneman, Suciu
     <br/>
<br/>
dr> Morgan Kaufmann, 1999
```

#### **XML**

```
<br/>
<br/>
dibliography>
    <book> <title> Foundations... </title>
             <author> Abiteboul </author>
             <author> Hull </author>
             <author> Vianu </author>
             <publisher> Addison Wesley </publisher>
             <year> 1995 
    </book>
</bibliography>
```

### XML usages

- Software configurations files
  - E.g., HDFS

- Android app development
  - Layout resource files, e.g., activity\_main.xml

- Java archive (.jar file)
  - Manifest.xml

# Android app resource file

```
<?xml version="1.0" encoding="utf-8"?>
><RelativeLayout xmlns:android="http://schemas.android.com/apk/res/android"</pre>
    xmlns:tools="http://schemas.android.com/tools"
     android:layout width="match parent"
     android:layout height="match parent"
     tools:context=".MainActivity">
     <android.support.design.widget.TabLayout</pre>
         android:id="@+id/tabs"
         android:layout width="match parent"
         android:layout height="wrap content" />
     <android.support.v4.view.ViewPager</pre>
         android:id="@+id/container"
         android:layout width="match parent"
         android:layout height="match parent"
         android:layout below="@id/tabs" />
```

## Manifest.xml

```
<?xml version="1.0" encoding="utf-8"?>
<manifest xmlns:android="http://schemas.android.com/apk/res/android"</pre>
    package="com.google.firebase.quickstart.database">
    <uses-permission android:name="android.permission.INTERNET" />
    <application
        android:allowBackup="true"
        android:icon="@mipmap/ic launcher"
        android: label="Firebase Database"
        android:supportsRtl="true"
        android: theme="@style/AppTheme">
        <activity
            android:name=".MainActivity"
            android:label="Firebase Database"
            android:theme="@style/AppTheme" />
        <activity android:name=".NewPostActivity" />
        <activity android:name=".SignInActivity">
            <intent-filter>
                <action android:name="android.intent.action.MAIN" />
                <category android:name="android.intent.category.LAUNCHER" />
            </intent-filter>
```

# Major topics

- Storage systems
- File systems & file formats
- Database management systems



- Big data solution stack
- Data warehousing

## Relational DBMS

- Data models
  - ER
  - Relational

- Schema
  - Normal forms: BCNF

- Query languages
  - Relational algebra
  - SQL, constraints, views

- Data organization
  - Records and blocks
  - Index structure: B+-tree

- Query execution algorithms
  - External sorting
  - One-pass algorithms
  - Nested-loop join
  - Multiple-pass algorithms

Rigid schema

- Strong consistency is the key design goal
  - Never read old data
  - Suitable for mission-critical applications, e.g., banking

But may suffer from low availability

- Hard to scale out
  - Horizontal partitioning/sharding possible
  - But would need distributed storage & computing support like Hadoop & MapReduce

# RDBMS Examples

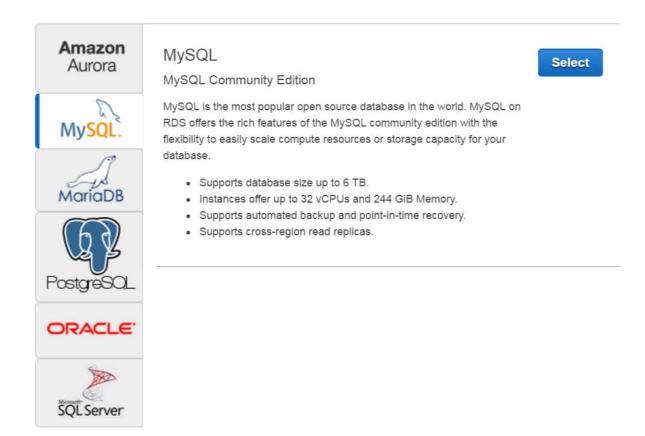
MySQL (can be installed in Amazon AWS EC2)

- Amazon RDS (Relational database service)
  - DBMS in the cloud
  - Database as a service

- Data warehouse on RDBMS
  - OLAP

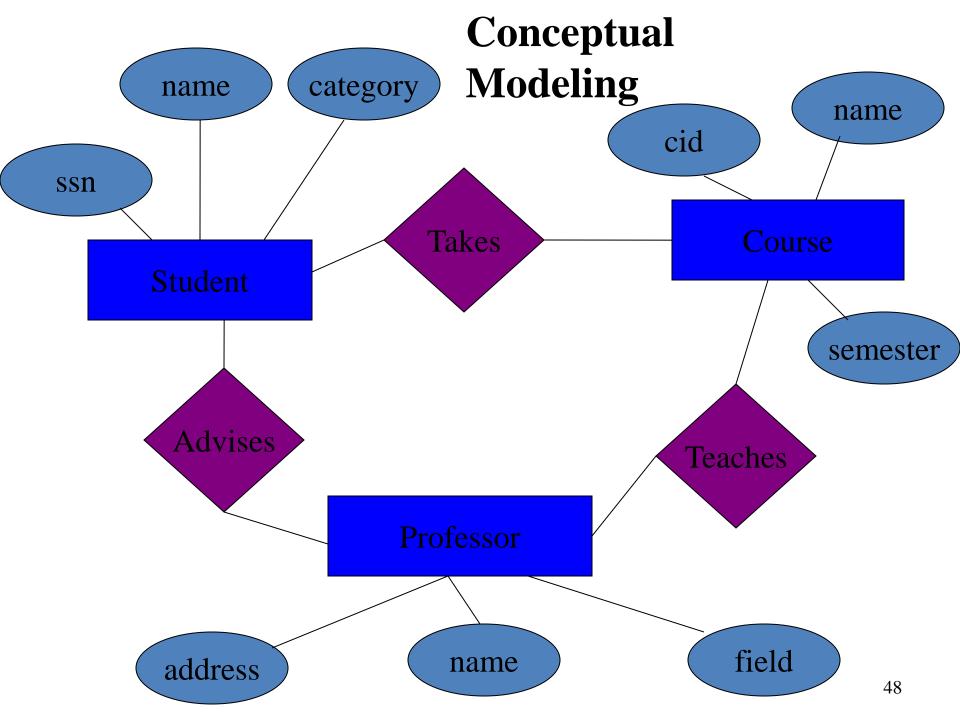
### Amazon RDS: Database-as-a-service

MySQL, PostgreSQL, Oracle, SQL Server, etc.



# Access MySQL from EC2

```
ssh -i "weixin.pem" ec2-user@ec2-107-22-155-60.compute-1.amazonaws.com
Last login: Sat Aug 6 07:43:38 2016 from 211.162.33.156
                     Amazon Linux AMI
https://aws.amazon.com/amazon-linux-ami/2016.03-release-notes/
[ec2-user@ip-172-31-50-20 ~]$ mysql
ERROR 2002 (HY000): Can't connect to local MySQL server through socket
/var/lib/mysql/mysql.sock' (2)
[ec2-user@ip-172-31-50-20 ~]$ sudo service mysgld start
Starting mysqld:
                                                           Г ок 1
[ec2-user@ip-172-31-50-20 ~]$ mysql
ERROR 1045 (28000): Access denied for user 'ec2-user'@'localhost' (using
password: NO)
[ec2-user@ip-172-31-50-20 ~]$ mysql -h inf551.chdcdeeogxf5.us-east-1.rd
s.amazonaws.com -P 3306 -u inf551 -p
Enter password:
Welcome to the MySQL monitor. Commands end with ; or \gluon.
Your MySQL connection id is 111
Server version: 5.6.27-log MySQL Community Server (GPL)
Copyright (c) 2000, 2015, Oracle and/or its affiliates. All rights reser
ved.
Oracle is a registered trademark of Oracle Corporation and/or its
affiliates. Other names may be trademarks of their respective
owners.
Type 'help;' or '\h' for help. Type '\c' to clear the current input stat
ement.
mysql>
```



# Schema Design and Implementation

#### • Tables:

#### Students:

SSN	Name	Category
123-45-6789	Charles	undergrad
234-56-7890	Dan	grad
	•••	•••

#### Takes:

SSN	CID
123-45-6789	CSE444
123-45-6789	CSE444
234-56-7890	CSE142
	•••

#### Courses:

CID	Name	Semster
CSE444	Databases	fall
CSE541	Operating systems	spring

 Separates the logical view from the physical view of the data.

# Querying a Database

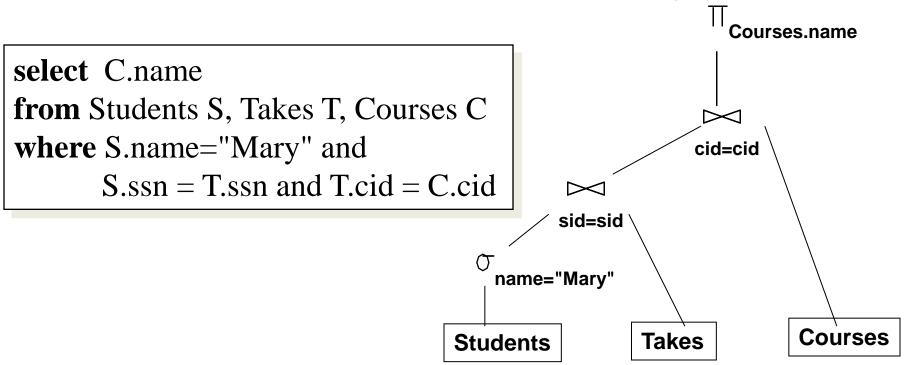
- Find all courses that "Mary" takes
- S(tructured) Q(uery) L(anguage)

 Query processor figures out how to answer the query efficiently.

# **Query Optimization**

#### Goal:

Declarative SQL query • Imperative query execution plan:



<u>Plan:</u> tree of Relational Algebra operators, choice of algorithms at each operator

# Major topics

- Storage systems
- File systems & file formats
- Database management systems
- Big data solution stack



Data warehousing

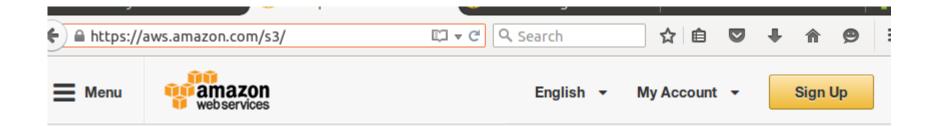
# **Topics**

- Big data management & analytics
  - Cloud data storage (Amazon S3)
  - NoSQL (Amazon DynamoDB, Cassandra, MongoDB, Google Firebase)
  - MapReduce
  - Apache Hadoop
  - Apache Spark
  - Apache Hive (if time permits)

# Cloud data storage

- Amazon S3 (simple storage service)
  - Ideal for storing large binary files
  - E.g., audio, video, image
  - Simple RESTful web service

Eventual consistency for high availability



#### PRODUCTS & SERVICES Amazon S3 > **Product Details** > Storage Classes > Pricing Getting Started > **FAQs** Resources > Amazon S3 SLA > RELATED LINKS AWS Management Console Documentation

Release Notes

#### Amazon S3

Amazon Simple Storage Service (Amazon S3), provides developers and IT teams with secure, durable, highly-scalable object storage. Amazon S3 is easy to use, with a simple web service interface to store and retrieve any amount of data from anywhere on the web. With Amazon S3, you pay only for the storage you actually use. There is no minimum fee and no setup cost.

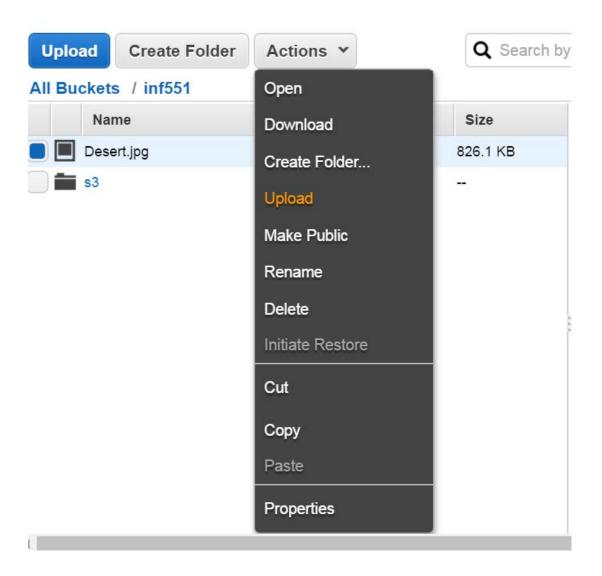
Amazon S3 offers a range of storage classes designed for different use cases including Amazon S3 Standard for generalpurpose storage of frequently accessed data, Amazon S3 Standard - Infrequent



#### In Recent News

New: Amazon VPC

# Upload a file







### 



## NoSQL

- Not only SQL
- Flexible schemas
  - e.g., JSON documents or key-value pairs
  - Ideal for managing a mix of structured, semistructured, and unstructured data
- High availability
- Weaker (e.g., eventual) consistency model

# Example NoSQL databases

- Amazon DynamoDB
  - Row store
  - row = item = a collection of key-value pairs
- MongoDB, Firebase, etc.
  - Manage JSON documents
- Apache Cassandra
  - Wide column store
  - Google's Bigtable clone

# Key techniques

- Consistent hashing (Cassandra, Dynamo)
  - Avoid moving too much data when adding new machines

- Efficient writes
  - Append-only
  - No overwrites
  - Avoid random seek
  - But compaction needed later

# Key techniques

- Compaction
  - Introduced in Google "Bigtable" paper
  - Merge multiple versions of data
  - Remove expired or deleted data

# DynamoDB

 https://console.aws.amazon.com/dynamodb/ home?region=us-east-1#gettingStarted:

# Amazon DynamoDB

Amazon DynamoDB is a fast and flexible NoSQL database service for all applications that need consistent, single-digit millisecond latency at any scale. Its flexible data model and reliable performance make it a great fit for mobile, web, gaming, ad-tech, IoT, and many other applications.

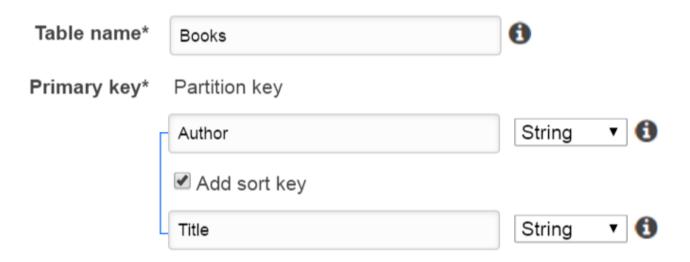
Create table

### Create DynamoDB table

Tutorial



DynamoDB is a schema-less database that only requires a table name and primary key. The table's primary key is made up of one or two attributes that uniquely identify items, partition the data, and sort data within each partition.

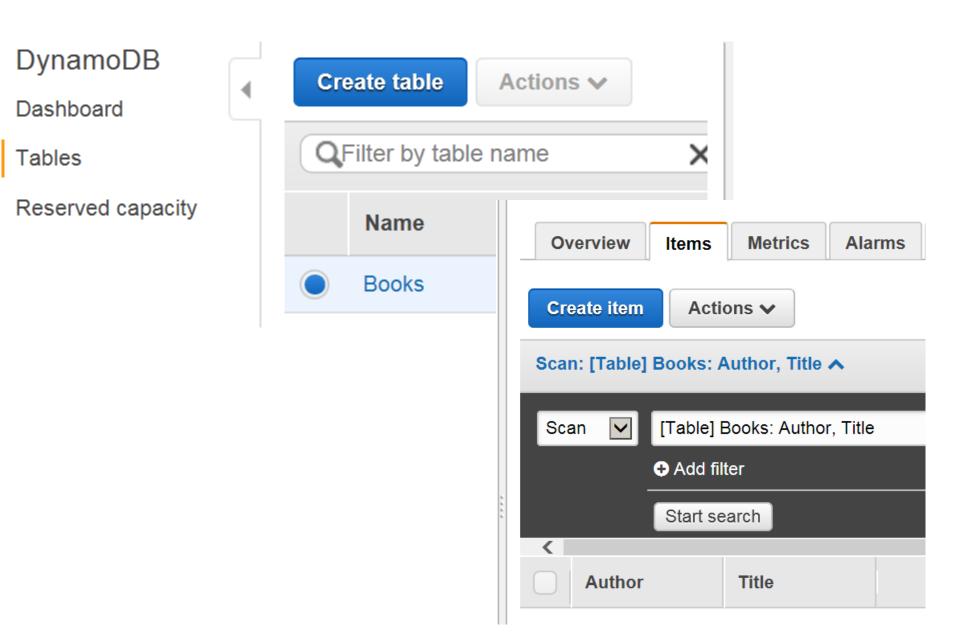


#### Table settings

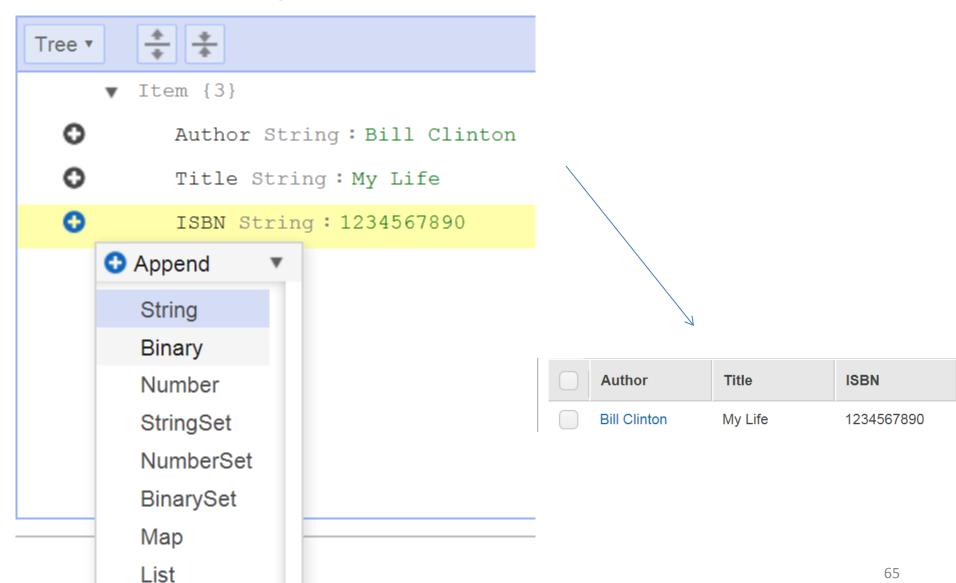
Default settings provide the fastest way to get started with your table. You can modify these default settings now or after your table has been created.

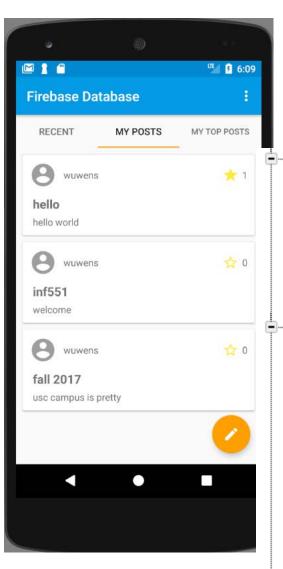
Use default settings

### Insert items



# May add new attributes



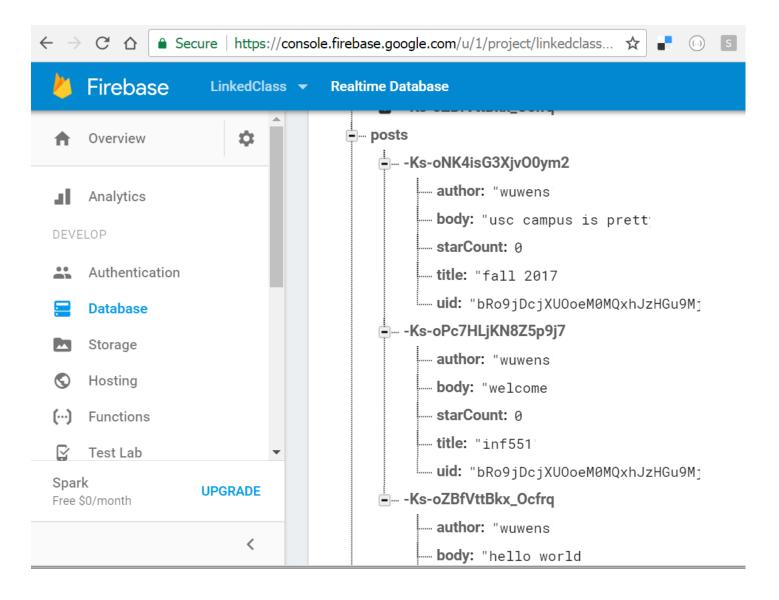


## Firebase: a cloud database

```
impost-comments
    -Ks-oZBfVttBkx_Ocfrq
        -Ks-otimnHiahFzpzqvY
               author: "wuwens
              -- text: "hello hello
              -- uid: "bRo9jDcjXUOoeM0MQ
- posts
    -Ks-oNK4isG3XjvO0ym2 + ×
   -Ks-oPc7HLjKN8Z5p9j7
    -Ks-oZBfVttBkx_Ocfrq
           author: "wuwens
           body: "hello world
           starCount: 1
        ca... stars
          -- title: "hello'
           uid: "bRo9jDcjXU0oeM0MQxhJz
```

```
"post-comments" : {
  "-Ks-oZBfVttBkx Ocfrq" : {
    "-Ks-otimnHiahFzpzqvY" : {
      "author" : "wuwens",
      "text" : "hello hello",
      "uid" : "bRo9jDcjXUOoeM0MQxhJzHGu9Mj2"
"posts" : {
  "-Ks-oNK4isG3XjvO0ym2" : {
    "author" : "wuwens",
    "body": "usc campus is pretty",
    "starCount" : 0,
    "title" : "fall 2017",
    "uid" : "bRo9jDcjXUOoeM0MQxhJzHGu9Mj2"
  "-Ks-oPc7HLjKN8Z5p9j7" : {
    "author" : "wuwens",
    "body" : "welcome",
    "starCount" : 0,
    "title": "inf551",
    "uid" : "bRo9jDcjXUOoeM0M0xhJzHGu9Mj2"
  "-Ks-oZBfVttBkx Ocfrq" : {
    "author" : "wuwens",
    "body" : "hello world",
    "starCount" : 1,
    "stars" : {
      "bRo9jDcjXUOoeM0MQxhJzHGu9Mj2" : true
    },
    "title" : "hello",
    "uid" : "bRo9jDcjXUOoeM0MQxhJzHGu9Mj2"
```

## **Firebase**



# **Topics**

- Big data management & analytics
  - Cloud data storage (Amazon S3)
  - NoSQL (Amazon DynamoDB, Cassandra, MongoDB)
  - MapReduce



- Apache Hadoop
- Apache Spark
- Apache Hive

# Roots in functional programming

- Functional programming languages:
  - Python, Lisp (list processor), Scheme, Erlang, Haskell
- Two functions:
  - Map: mapping a list => list
  - Reduce: reducing a list => value
- map() and reduce() in Python
  - https://docs.python.org/2/library/functions.html#map

# map() and reduce() in Python

- list = [1, 2, 3]
- def sqr(x): return x \*\* 2
- list1 = map(sqr, list)

What are the value of list1 and z?

- def add(x, y): return x + y
- z = reduce(add, list)

## Lambda function

Anonymous function (not bound to a name)

• list = [1, 2, 3]

- list1 = map(lambda x: x \*\* 2, list)
- z = reduce(lambda x, y: x + y, list)

# How is reduce() in Python evaluated?

z = reduce(f, list) where f is add function

- Initially, z (an accumulator) is set to list[0]
- Next, repeat z = add(z, list[i]) for each i > 0
- Return final z

Example: z = reduce(add, [1, 2, 3])
 - i = 0, z = 1; i = 1, z = 3; i = 2, z = 6

# Hadoop MapReduce

Map

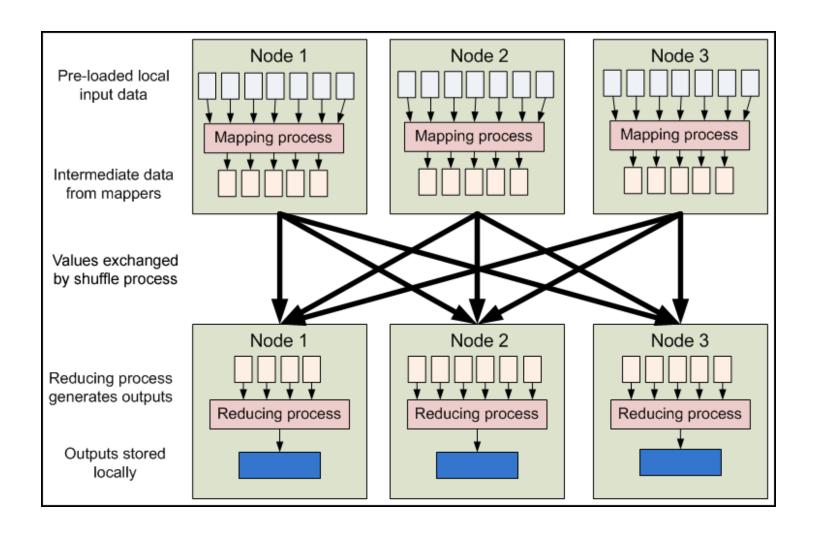
$$- < k, v > = > list of < k', v' >$$

• Reduce:

$$- < k'$$
, list of  $v' > = >$  list of  $< k''$ ,  $v'' >$ 

- Write MapReduce programs on Hadoop
  - Using Java

### MapReduce



#### WordCount: mapper

Object can be replaced with LongWritable

```
Data types of input key-value
public class WordCount {
                                             Data types of output key-value
  public static class Tokenizer Mapper
       extends Mapper Object, Text, Text, IntWritable >{
    private final static IntWritable one = new IntWritable(1);
    private Text word = new Text();
    public void map(Object key, Text value,/Context context
                       throws IOException, interruptedException {
      StringTokenizer itr = new StringTokenizer(value.toString());
      while (itr.hasMoreTokens()) {
        word.set(itr.nextToken());
        context.write(word, one);
                           Key-value pairs with specified data types
```

#### WordCount: reducer

#### Data types of input key-value

```
Data types of output key-value
public static class IntSumReducer
     extends Reducer<Text,IntWritable,Text,IntWritable>
  private IntWritable result = new IntWritable();
  public void reduce(Text key, Iterable<IntWritable> values,
                      Context context
                      ) throws IOException, InterruptedException {
    int sum = 0:
    for (IntWritable val : values) {
      sum += val.get();
                                                     A list of values
    result.set(sum);
    context.write(key, result);
```

### Limitations of MapReduce

- Acyclic dataflow
  - difficult to reuse computed data
  - ill-suited for iterative algorithms
  - e.g., k-means, PageRank, logistic regression

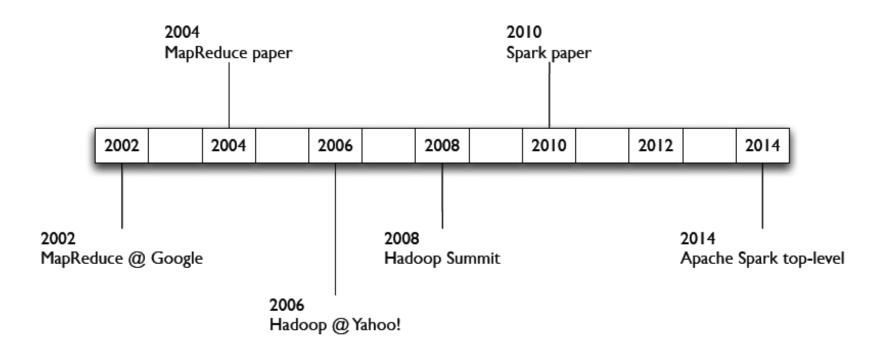
- Batch processing
  - Not suitable for interactive data analysis

# In-memory MapReduce (Spark)

Key concepts

- resilient distributed system
- RDD (resilient distributed dataset)
- Transformations
- Actions

# Apache Spark: history



#### Spark

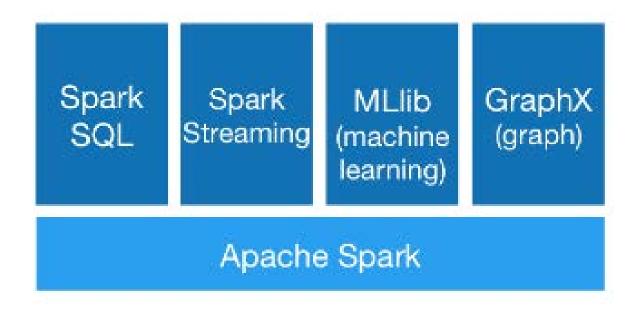
- Support working sets through RDD
  - reuse & fault-tolerance

10x faster than Hadoop in iterative jobs

Interactively explore 39GB with sub-second response time

#### Spark

Combine SQL, streaming, and complex analytics



#### Spark

Run on Hadoop, Cassandra, HBase, etc.











#### wc.py

```
from pyspark import SparkContext
from operator import add
sc = SparkContext(appName="inf551")
lines = sc.textFile('hello.txt')
counts = lines.flatMap(lambda x: x.split(' ')) \
       .map(lambda x: (x, 1)) \
       .reduceByKey(add)
output = counts.collect()
for v in output:
  print '%s, %s' % (v[0], v[1])
```

#### Major topics

- Storage systems
- File systems & file formats
- Database management systems
- Big data solution stack
- Data warehousing (if time permits)



#### Data warehousing

- Multidimensional data model
  - Star vs snowflake schema
- OLAP operations: rollup, drill-down, etc.
- Materialized views
- Index (we will cover this if time permits)
  - Bitmap
  - Run-length encoding
  - Join index

#### What is a Warehouse?

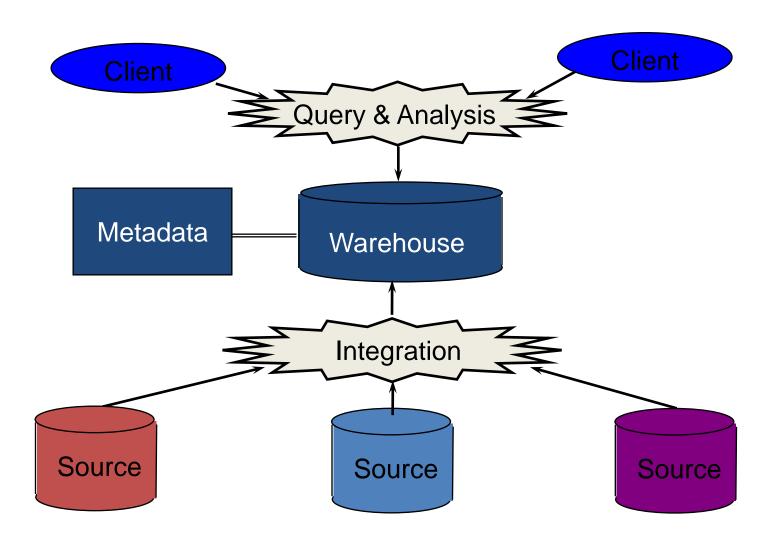
- Collection of diverse data
  - subject oriented, e.g., sales
  - aimed at executive, decision maker
  - often a copy of operational data
  - with value-added data (e.g., summaries, history)
  - integrated
  - time-varying: historical data, discovering trend
  - non-volatile: once in warehouse, data do not change



#### What is a Warehouse?

- Collection of tools
  - gathering data
  - cleansing, integrating, …
  - querying, reporting, analysis
  - data mining
  - monitoring, administering warehouse

#### Warehouse Architecture

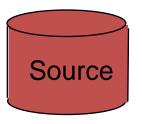


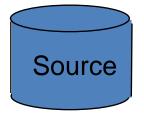
# Why a Warehouse?

- Two Approaches to Integration:
  - Warehouse (Eager)
  - Query-Driven (Lazy)

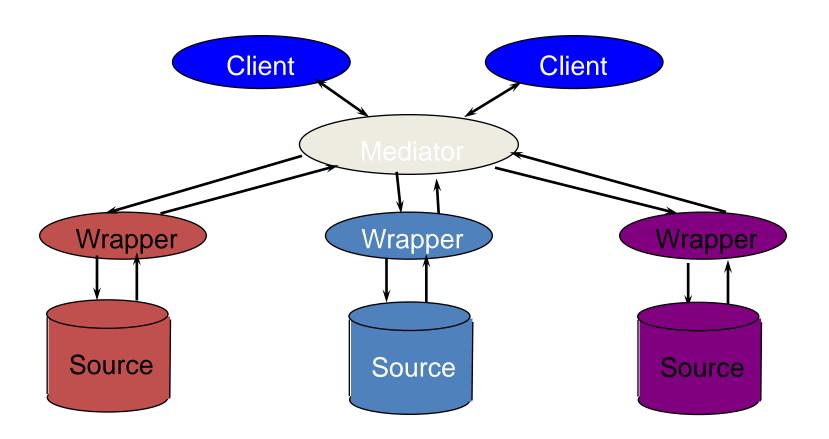








# Query-Driven Approach



# Advantages of Warehousing

- High query performance
- Local processing at sources unaffected
- Can operate when sources unavailable
- Extra information at warehouse
  - Modify, summarize (store aggregates)
  - Add historical information

# Disadvantages of Warehousing

- May require hefty storage space
- Need to decide what to store in advance
- Can only query data stored in warehouse
  - Data get stale
- Must detect source changes & update warehouse

#### Advantages of Query-Driven

- No need to copy data, less storage
- No need to purchase data
- More up-to-date data
- Query needs can be unknown

#### Disadvantages of Query-Driven

- Inefficient/delay in query processing
  - source unreliable
  - slow network
  - expensive translation, filtering, merging
- Sources might not permit ad-hoc queries
  - Examples?

#### OLTP vs. OLAP

- OLTP: On Line Transaction Processing
  - Describes processing at operational sites (order entry in POS/online, banking transactions, etc.)

<u>Nualytican</u>

OLAP: On Line Analytical Processing

 Describes processing (answering analytical queries: aggregation, rollup/drilldown, slice/dice, etc.) at warehouse

#### OLTP vs. OLAP

#### OLTP

- Mostly updates
- Many small transactions
- Mb-Tb of data
- Raw data
- Clerical users
- Up-to-date data
- Consistency, recoverability critical

#### **OLAP**

- Mostly reads
- Queries long, complex
- Gb-Tb of data
- Summarized, consolidated data
- Decision-makers, analysts as users
- Historical data
- Query performance critical

#### Big data ETL & Warehousing

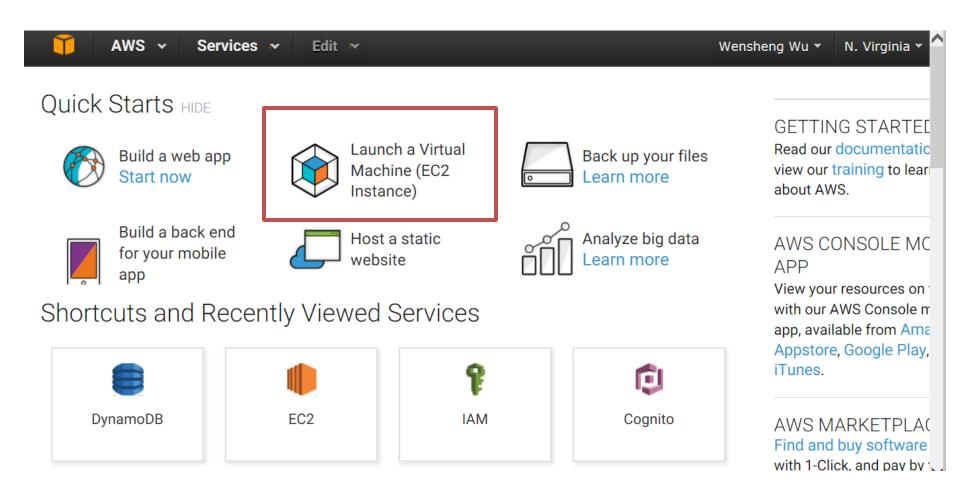
- Apache pig
  - Focus on ETL & data transformations
  - Compile transformations into MapReduce jobs
  - Pig latin script is procedural (step-by-step)

- Apache Hive
  - Declarative HiveQL (SQL-like)
  - Queries are turned into MapReduce jobs

# After class: sign up for AWS



# Dashboard after logging on



#### Lab session

Task: Setting up an EC2 instance

• Details: see lab session slides to be posted...