

# Indian Institute of Technology Kanpur

## Department of Mathematics and Statistics

### Complex Analysis (MTH 403)

#### Exercise Sheet 9

##### 1. CONFORMAL EQUIVALENCE

1.1. Let  $\alpha \in [0, 1]$  and  $\mathbb{D}_\alpha \stackrel{\text{def}}{=} \mathbb{D} \setminus [\alpha, 1]$ .

(a) Show that  $\mathbb{D}_\alpha$  is conformally equivalent to  $\mathbb{D}_0$ .

**Hint.** Would some automorphism of  $\mathbb{D}$  be of any help?

(b) Is  $\mathbb{D}_\alpha$  conformally equivalent to the upper-half of the unit disc  $\mathbb{D}^+ \stackrel{\text{def}}{=} \mathbb{D} \cap \mathbb{H}$ ?

**Hint.** The map  $z \mapsto z^2$  may be useful.

1.2. Let  $f(z) \stackrel{\text{def}}{=} \exp(2\pi iz)$ , for all  $z \in \mathbb{H}$ .

(a) Show that  $f(\mathbb{H}) \subseteq \mathbb{D} \setminus \{0\}$ .

(b) For  $r > 0$ , find the image of  $\{z \in \mathbb{H} : \text{Im } z > r\}$ .

(c) Is  $\{z \in \mathbb{H} : \text{Im } z > r\}$  conformally equivalent to its image under  $f$ ? If not, what needs to be done so as to obtain a conformal equivalence?

1.3. In each of the following, exhibit a bijective holomorphic map between the given subsets:

(a) The first quadrant  $\{z \in \mathbb{C} : \text{Re } z, \text{Im } z > 0\}$  and  $\mathbb{H}$ .

(b) The quarter disc  $\{z \in \mathbb{D} : \text{Re } z, \text{Im } z > 0\}$  and  $\mathbb{D}^+$ .

(c)  $\mathbb{D}^+$  and the first quadrant  $\{z \in \mathbb{C} : \text{Re } z, \text{Im } z > 0\}$ .

(d) The quarter disc  $\{z \in \mathbb{D} : \text{Re } z, \text{Im } z > 0\}$  and  $\mathbb{H}$ .

(e)  $\mathbb{D}^+$  and the half strip  $\{z \in \mathbb{C} : \text{Re } z < 0, 0 < \text{Im } z < \pi\}$ .

(f)  $\mathbb{H}$  and the strip  $\{z \in \mathbb{H} : 0 < \text{Im } z < \pi\}$ .

(g)  $\{z \in \mathbb{D} : \text{Re } z > 0\}$  and  $\mathbb{D}$ .

(h)  $\{z \in \mathbb{C} : r_1 < |z| < r_2\}$  and  $\{z \in \mathbb{C} : R_1 < |z| < R_2\}$ , where  $r_1, r_2, R_1$  and  $R_2 > 0$  and  $\frac{r_1}{r_2} = \frac{R_1}{R_2}$ .

1.4.\* (a) Let  $\alpha \in [0, \pi]$ . Show that  $\mathbb{H} \setminus \{e^{it} : t \in [0, \alpha]\}$  is conformally equivalent to  $\mathbb{H} \setminus \{it : 0 \leq t \leq \frac{1}{2} \tan \frac{\alpha}{2}\}$ .

(b) Let  $\beta \geq 0$ . Show that  $\{z \in \mathbb{C} : \text{Re } z > 0\} \setminus [0, \beta]$  is conformally equivalent to  $\{z \in \mathbb{C} : \text{Re } z > 0\}$ .

**Hint.** What is the image of  $\{z \in \mathbb{C} : \text{Re } z > 0\}$  under the map  $z \mapsto z^2$ ? Can you use an analytic square root function?

(c) Show that, for any  $a > 0$ ,  $\mathbb{H} \setminus \{it : 0 \leq t \leq a\}$  is conformally equivalent to the right half plane  $\{z \in \mathbb{C} : \text{Re } z > 0\}$ .

1.5. Show that the following map establishes a conformal equivalence between  $\{z \in \mathbb{H} : |z| > 1\}$  and  $\mathbb{H}$ :

$$f : \{z \in \mathbb{H} : |z| > 1\} \longrightarrow \mathbb{H}, f(z) \stackrel{\text{def}}{=} z + \frac{1}{z}.$$

##### 2. FAMILIES OF ANALYTIC FUNCTIONS

Recall that, for  $U \subseteq_{\text{open}} \mathbb{C}$ , one has  $U = \bigcup_{n=1}^{\infty} K_n$ , where

$$K_n \stackrel{\text{def}}{=} \overline{D(0; n)} \cap \left\{ z \in U : |w - z| \geq \frac{1}{n}, \forall w \in \mathbb{C} \setminus U \right\}.$$

These compact sets  $K_n$ 's have the following properties:

(i) For all  $n \in \mathbb{N}$ ,  $K_n$  is contained in the interior of  $K_{n+1}$ .

- (ii) For every compact subset  $K$  of  $U$ , there exists  $n \in \mathbb{N}$  such that  $K \subseteq K_n$ .

Let  $C(U)$  denote the set of all complex valued continuous functions on  $U$ . For  $f, g \in C(U)$ , define

$$d(f, g) = \sum_{n=1}^{\infty} \frac{1}{2^n} \left( \frac{\|f - g\|_{K_n}}{1 + \|f - g\|_{K_n}} \right), \quad (2.1)$$

where for any  $n \in \mathbb{N}$ ,

$$\|f - g\|_{K_n} \stackrel{\text{def}}{=} \begin{cases} \sup_{z \in K_n} |f(z) - g(z)| & \text{if } K_n \neq \emptyset \\ 0 & \text{if } K_n = \emptyset \end{cases}.$$

- 2.1. Show that  $d$ , defined as above in (2.1), is a metric on  $C(U)$ .

**Hint.** If  $a, b, c \geq 0$  with  $a \leq b + c$  then  $\frac{a}{1+a} \leq \frac{b}{1+b} + \frac{c}{1+c}$ . This can be seen considering the function  $\frac{x}{1+x}$ , for all  $x \geq 0$ .

- 2.2. Show that the metric  $d$  on  $C(U)$  is bounded.

From now on, unless otherwise mentioned,  $C(U)$  is will always be endowed with the metric  $d$ .

- 2.3.\* Let  $\{f_n\}_{n=1}^{\infty}$  in  $C(U)$  be a sequence in  $C(U)$ .

- (a) Show that  $\{f_n\}_{n=1}^{\infty}$  is convergent (with respect to the metric  $d$ ) if and only if it is uniformly convergent on each compact subset of  $U$ .
- (b) Show that  $\{f_n\}_{n=1}^{\infty}$  is Cauchy (with respect to the metric  $d$ ) if and only if it is uniformly Cauchy on each compact subset of  $U$ .

- 2.4. (a) Show that  $C(U)$  is a complete metric space.  
 (b) Show that  $H(U)$  is closed in  $C(U)$ .  
 (c) Conclude that  $H(U)$  is a complete metric space.

- 2.5. Show that a sequence  $\{f_n\}_{n=1}^{\infty}$  in  $H(\mathbb{D})$  converges to  $f$  if and only if  $\int_{C(0;1)} |f_n(z) - f(z)| |dz| \xrightarrow{n \rightarrow \infty} 0$ , for all  $0 < r < 1$ .

- 2.6. Let  $U$  and  $V$  are open subsets of  $\mathbb{C}$ .

- (a) Suppose  $\varphi : U \rightarrow V$  is a bijective holomorphic map. Show that, if  $\mathcal{F} \subseteq H(V)$  is relatively compact, then so is  $\{f \circ \varphi : f \in \mathcal{F}\}$ .
- (b)\* Let  $\mathcal{F} \subseteq H(U)$  be relatively compact. Assume that  $f(U) \subseteq V$ , for all  $f \in \mathcal{F}$ . Show that, for any  $g \in H(V)$ ,  $\{g \circ f : f \in \mathcal{F}\}$  is relatively compact.

- 2.7. Let  $\mathcal{F} \stackrel{\text{def}}{=} \{f \in H(\mathbb{D}) : \operatorname{Re} f > 0 \text{ and } |f(0)| \leq 1\}$ . Show that  $\mathcal{F}$  is relatively compact, but not compact.

- 2.8. Let  $U \subseteq_{\text{open}} \mathbb{C}$ ,  $w \in \mathbb{C}$  and  $r > 0$ . Consider  $\mathcal{F} \stackrel{\text{def}}{=} \{f \in H(U) : |f(z) - w| \geq r\}$ . Show that for any sequence  $\{f_n\}_{n=1}^{\infty}$  in  $\mathcal{F}$ , one has a subsequence  $\{f_{n_k}\}_{k=1}^{\infty}$  which either converges (in  $H(U)$ ) to some  $f \in H(U)$  or diverges to  $\infty$  uniformly on every compact subset of  $U$ .

- 2.9. Let  $U \subseteq_{\text{open}} \mathbb{C}$  and  $\mathcal{F} \subseteq H(U)$ . Denote  $\mathcal{F}' \stackrel{\text{def}}{=} \{f' : f \in \mathcal{F}\}$ .

- (a) Show that, if  $\mathcal{F}$  is relatively compact, then so is  $\mathcal{F}'$ .
- (b) Is the converse of 2.9.a true?
- (c)\* Prove the converse of 2.9.a when  $U$  is an open disc under the additional hypothesis that there exists  $z_0 \in U$  such that the set  $\{f(z_0) : f \in \mathcal{F}\}$  is bounded.

**Hint.** Let  $U = D(a; R)$ . One can choose a convergent subsequence  $\{f_{n_k}\}_{n_k=1}^\infty$  in such a way that  $\{f_{n_k}(z_0)\}_{n_k=1}^\infty$  also converges. Show that  $\{f_{n_k}\}_{n_k=1}^\infty$  is uniformly Cauchy on  $\overline{D(a; r)}$ , for every  $0 < r < R$ .

- (d)\* Let the additional assumption be as above in 2.9.c. Denote by  $V$  the set of all  $z \in U$  such that  $\{f|_{D(z_0; r)} : f \in \mathcal{F}\}$  is relatively compact in  $H(D(z_0; r))$ , for some  $r > 0$ . Show that  $V$  is nonempty and both open and closed in  $U$ .
- (e)\* Assume that  $U$  is connected. Prove the converse of 2.9.a under the additional assumption mentioned in 2.9.c.

2.10. Show that  $\mathcal{F} \subseteq H(\mathbb{D})$  is relatively compact if and only if there exists a sequence  $\{M_n\}_{n=0}^\infty$  of nonnegative reals such that  $\limsup_{n \rightarrow \infty} M_n^{\frac{1}{n}} \leq 1$  and  $\left| \frac{f^{(n)}(0)}{n!} \right| \leq M_n$ , for all  $f \in \mathcal{F}$  and  $n = 0, 1, 2, \dots$ .

2.11.\* Let  $U \subseteq_{\text{open}} \mathbb{C}$  and  $L : H(U) \rightarrow \mathbb{C}$  is a linear map. Assume that  $L$  is *multiplicative*, i.e.,  $L(fg) = L(f)L(g)$ , for all  $f, g \in H(U)$ . Suppose that  $L$  is nonzero.

- (a) Show that, if  $f \equiv 1$ , then  $L(f) = 1$ .
- (b) Denote the identity map on  $U$  by  $I$ . Show that  $L(I) \in U$ .

**Hint.** Assume  $z_0 \stackrel{\text{def}}{=} L(I) \notin U$ . Then the function  $I - z_0$  is nowhere vanishing, so that you can consider the holomorphic function  $\frac{1}{I - z_0}$  on  $U$ .

- (c) Show that, for every  $f \in H(U)$ ,  $L(f) = f(z_0)$ .

**Hint.** Consider  $g : U \rightarrow \mathbb{C}$ ,  $g(z) \stackrel{\text{def}}{=} \begin{cases} \frac{f(z) - f(z_0)}{z - z_0} & \text{if } z \neq z_0 \\ f'(z_0) & \text{if } z = z_0. \end{cases}$  Is  $g$  analytic?  $g(I - z_0)$  might be useful.

- (d) Find all linear maps from  $H(U)$  to  $\mathbb{C}$  that are multiplicative.

### 3. RIEMANN MAPPING THEOREM

- 3.1. Let  $U$  be a nonempty proper simply connected region in  $\mathbb{C}$  and  $z_0 \in U$ . If  $f$  is the Riemann map from  $U$  to  $\mathbb{D}$ , i.e.,  $f$  is bijective, holomorphic,  $f(z_0) = 0$  and  $f'(z_0) > 0$ . Express any arbitrary bijective holomorphic map  $g : U \rightarrow \mathbb{D}$  in terms of  $f$ .
- 3.2. Let  $U$  and  $V$  be nonempty proper simply connected open subsets of  $\mathbb{C}$ . Show that, for any  $z_1 \in U$  and  $z_2 \in V$ , there exists a unique bijective holomorphic map  $f : U \rightarrow V$  such that  $f(z_1) = z_2$  and  $f'(z_1) > 0$ .
- 3.3. Let  $U, V, z_1$  and  $z_2$  be as above in 3.2. Suppose that  $g : U \rightarrow V$  is a bijective holomorphic map with  $g(z_1) = z_2$  and  $h : U \rightarrow V$  be any holomorphic map satisfying  $h(z_1) = z_2$ . Show that  $|h'(z_1)| \leq |g'(z_1)|$ . What about the equality case?
- 3.4. Let  $U, V \subseteq \mathbb{C}$  be open and connected. Assume further that  $V \neq \mathbb{C}$  and it is simply connected. Show that the family  $\{f \in H(U) : f(U) \subseteq V\}$  is relatively compact.