

## Question 1

For each of the following statements, determine whether it is **true or false**. No justification required. [**5 × 2 Points**]

- (a) There exists a surjective function  $f : \mathbb{R} \rightarrow \mathbb{R}^2$ .
- (b) If  $(\phi \vee \psi)$  is a tautology, then either  $\phi$  is a tautology or  $\psi$  is a tautology.
- (c) Every countable linear ordering is isomorphic to a subordering of the rationals.
- (d) There are injective functions  $f, g : \mathbb{R} \rightarrow \mathbb{R}$  such that  $f(x) + g(x) = e^{-x^2} \sin x$ .
- (e) Every chain in  $(\mathcal{P}(\omega), \subseteq)$  is countable.

### Solution

- (a) True. Since  $|\mathbb{R}^2| = |\mathbb{R}|$ .
- (b) False. Consider  $(p \vee \neg p)$ .
- (c) True. See the solution to HW 11.
- (d) True. See HW 19.
- (e) False. See HW 10.

## Question 2

- (a) [**2 Points**] State the Schröder-Bernstein theorem.
- (b) [**2 Points**] Show that there is a bijection from  $[0, 1]$  to  $(0, 2)$ .
- (c) [**6 Points**] Let  $\mathcal{I}$  be the set of all bijections from  $\omega$  to  $\omega$ . Show that  $|\mathcal{I}| = \mathfrak{c}$ .

### Solution

- (a) For any two sets  $A$  and  $B$ , if there exist injections from  $A$  to  $B$  and from  $B$  to  $A$ , then there exists a bijection from  $A$  to  $B$ .
- (b)  $x \mapsto x + 1/2$  is an injection from  $[0, 1]$  to  $(0, 2)$  and  $x \mapsto x/2$  is an injection from  $(0, 2)$  to  $[0, 1]$ . By the Schröder Bernstein theorem, there is a bijection from  $[0, 1]$  to  $(0, 2)$ .
- (c) See Practice midterm (2)(b).

### Question 3

Suppose  $f : \mathbb{R} \rightarrow \mathbb{R}$  satisfies: For every  $x, y \in \mathbb{R}$ ,

$$f(x+y) + f(x)f(y) = f(x) + f(y) \quad (1)$$

- (a) [2 Points] Show that  $f(x) = 1 - 2^x$  satisfies Equation (1).
- (b) [5 Points] Suppose  $f$  is continuous. Show that **either**  $f$  is identically 1 **or**  $f(x) = 1 - a^x$  for some constant  $a > 0$ .
- (c) [3 Points] Show that there is a discontinuous  $f$  satisfying Equation (1).

#### Solution

- (a)  $f(x+y) + f(x)f(y) = 1 - 2^{x+y} + (1 - 2^x)(1 - 2^y) = 1 - 2^x 2^y + 1 - 2^x - 2^y + 2^x 2^y = 2 - 2^x - 2^y = (1 - 2^x) + (1 - 2^y) = f(x) + f(y)$ .
- (b) Assume  $f$  is continuous. Put  $g(x) = 1 - f(x)$ . Then  $g$  is continuous and  $g(x+y) = 2 - f(x+y) = 2 - f(x) - f(y) + f(x)f(y) = (1 - f(x))(1 - f(y)) = g(x)g(y)$ . By HW 17, either  $g$  is identically 0 or  $g(x) = a^x$  for some  $a > 0$ . Hence  $f$  is either identically 1 or  $f(x) = 1 - a^x$  for some  $a > 0$ .
- (c) Let  $h : \mathbb{R} \rightarrow \mathbb{R}$  be any discontinuous additive function. Define  $f(x) = 1 - e^{h(x)}$ . It is easy to check that  $f$  satisfies Equation (1). Note that  $1 - f(x) > 0$  for every  $x$  (as  $e^y > 0$  for every  $y$ ). It follows that  $f$  cannot be continuous otherwise  $h(x) = \ln(1 - f(x))$  (being a composition of two continuous functions) would also be continuous.

### Question 4

- (a) [2 Points] State the Axiom of choice.
- (b) [2 Points] State Zorn's lemma.
- (c) [6 Points] Let  $(P, \preceq)$  be a partial ordering. Let  $\mathcal{F} = \{C \subseteq P : C \text{ is a chain in } (P, \preceq)\}$ . Show that  $(\mathcal{F}, \subseteq)$  has a maximal member.

#### Solution

- (a) For every family  $\mathcal{F}$  of nonempty sets, there exists a function  $h : \mathcal{F} \rightarrow \bigcup \mathcal{F}$  such that for every  $A \in \mathcal{F}$ ,  $h(A) \in A$ .
- (b) Let  $(P, \preceq)$  be a partial ordering such that every chain in  $(P, \preceq)$  has an upper bound in  $P$ . Then  $(P, \preceq)$  has a maximal element.
- (c) We will show that every chain in  $(\mathcal{F}, \subseteq)$  has an upper bound in  $\mathcal{F}$ . Zorn's lemma will then imply that  $(\mathcal{F}, \subseteq)$  has a maximal member.

Let  $\mathcal{C}$  be a chain in  $(\mathcal{F}, \subseteq)$ . Put  $W = \bigcup \mathcal{C}$ . We claim that  $W \in \mathcal{F}$  or equivalently,  $W$  is a chain in  $(P, \preceq)$ . Clearly,  $W \subseteq P$ . Suppose  $x, y \in W$ . Choose  $A, B \in \mathcal{C}$  such that  $x \in A$  and  $y \in B$ . Since  $\mathcal{C}$  is a  $\subseteq$ -chain, either  $A \subseteq B$  or  $B \subseteq A$ . WLOG assume  $A \subseteq B$ . Then both  $x, y \in B$ . As  $B \in \mathcal{F}$ ,  $B$  is a chain in  $(P, \preceq)$ . So either  $x \preceq y$  or  $y \preceq x$ . It follows that any two members in  $W$  are  $\preceq$ -comparable. Hence  $W \in \mathcal{F}$ . It is clear that for every  $A \in \mathcal{C}$ ,  $A \subseteq W$ . Hence  $W$  is an upper bound for  $\mathcal{C}$  in  $(\mathcal{F}, \subseteq)$ .

## Bonus problem

Show that  $\mathbb{R}^3$  can be partitioned into circles of unit radius. [5 Points]

### Solution

Let  $\mathcal{C}$  be the family of all circles of unit radius in  $\mathbb{R}^3$ . Let  $\langle x_\alpha : \alpha < \mathfrak{c} \rangle$  be an injective sequence whose range is  $\mathbb{R}^3$ . Using transfinite recursion, construct  $\langle \mathcal{C}_\alpha : \alpha < \mathfrak{c} \rangle$  such that the following hold.

(1) Each  $\mathcal{C}_\alpha \subseteq \mathcal{C}$  consists of pairwise disjoint circles of unit radius and  $\mathcal{C}_0 = \emptyset$ .

(2) If  $\alpha < \beta < \mathfrak{c}$ , then  $\mathcal{C}_\alpha \subseteq \mathcal{C}_\beta$ .

(3) If  $\alpha < \mathfrak{c}$  is limit, then  $\mathcal{C}_\alpha = \bigcup \{\mathcal{C}_\beta : \beta < \alpha\}$ .

(4) For every  $\alpha < \mathfrak{c}$ ,  $|\mathcal{C}_\alpha| \leq \max(\{\omega, |\alpha|\})$ .

(5) For every  $\alpha < \mathfrak{c}$ ,  $x_\alpha \in \bigcup \mathcal{C}_{\alpha+1}$ .

At limit stages  $\alpha < \mathfrak{c}$ , we simply define  $\mathcal{C}_\alpha$  by Clause (3) above. Having constructed  $\mathcal{C}_\alpha$ , we define  $\mathcal{C}_{\alpha+1}$  as follows. If  $x_\alpha \in \bigcup \mathcal{C}_\alpha$ , then we put  $\mathcal{C}_{\alpha+1} = \mathcal{C}_\alpha$ . Now assume that  $x_\alpha$  does not lie on any circle in  $\mathcal{C}_\alpha$ .

**Claim:** There is a circle  $C$  of radius 1 such that  $C$  passes through  $x_\alpha$  and for every circle  $T \in \mathcal{C}_\alpha$ ,  $T \cap C = \emptyset$ .

**Proof of Claim:** Let  $\mathcal{P}_\alpha$  be the family of all planes  $P$  such that some circle in  $\mathcal{C}_\alpha$  lies completely within  $P$ . Then  $|\mathcal{P}_\alpha| \leq |\mathcal{C}_\alpha| \leq \max(\{\omega, |\alpha|\}) < \mathfrak{c}$ . Choose a plane  $P$  such that  $x_\alpha \in P$  and  $P \notin \mathcal{P}_\alpha$ . This can be done because there are continuum many planes passing through  $x_\alpha$ . Let  $B$  be the set of all points in  $P$  which also lie on some circle in  $\mathcal{C}_\alpha$ . Since each circle in  $\mathcal{C}_\alpha$  meets  $P$  at  $\leq 2$  points, we get  $|B| < \mathfrak{c}$ . Note that  $x_\alpha \notin B$  as  $x_\alpha \notin \bigcup \mathcal{C}_\alpha$ .

Consider the family  $\mathcal{E}$  of all circles of unit radius inside the plane  $P$  that pass through  $x_\alpha$ .

Observe that  $|\mathcal{E}| = \mathfrak{c}$ . Define a function  $H$  with domain  $B$  by  $H(y) = \{S \in \mathcal{E} : y \in S\}$ . Note that for every  $y \in B$ ,  $H(y)$  contains at most two circles from  $\mathcal{E}$ . Put  $\mathcal{R} = \bigcup \text{range}(H)$ . Then

$|\mathcal{R}| \leq \max(\{2 \cdot |B|, \omega\}) < \mathfrak{c}$ . Hence we can choose  $C \in \mathcal{E} \setminus \mathcal{R}$ . □

Let  $C$  be as in the claim. Define  $\mathcal{C}_{\alpha+1} = \mathcal{C}_\alpha \cup \{C\}$  and note that  $x_\alpha \in \bigcup \mathcal{C}_{\alpha+1}$ . This completes the construction. Let  $\mathcal{F} = \bigcup \{\mathcal{C}_\alpha : \alpha < \mathfrak{c}\}$ . By Clause (1), it is clear that  $\mathcal{F}$  is a disjoint family of circles. Also, by Clause (5),  $\bigcup \mathcal{F} = \mathbb{R}^3$ . Hence  $\mathcal{F}$  is a partition of  $\mathbb{R}^3$  into circles of unit radius.