Name:	
Roll Number:	_

# 

(Odd Semester 2022/23, IIT Kanpur)

# INSTRUCTIONS

- 1. Write your **Name** and **Roll number** above.
- 2. This exam contains  $\mathbf{6} \, + \, \mathbf{1}$  questions and is worth  $\mathbf{60\%}$  of your grade.
- 3. Answer  $\mathbf{ALL}$  questions.

Page 2 MTH302A

## Question 1. $[5 \times 2 \text{ Points}]$

For each of the following statements, determine whether it is true or false. No justification required.

- (i) If  $(L, \prec)$  is a linear ordering and L is infinite, then there exists an infinite  $X \subseteq L$  such that X is well-ordered by  $\prec$ .
- (ii) There exists a bijection  $f: \mathbb{R} \to \mathbb{R}^2$  satisfying: For every x, y in  $\mathbb{R}$ , f(x-y) = f(x) f(y).
- (iii) If  $f:\omega\to\omega$  is a strictly increasing computable function, then range(f) is computable.
- (iv) There exists a finite  $F \subseteq TA$  such that  $PA \cup F$  is a complete  $\mathcal{L}_{PA}$ -theory.
- (v) The theory DLO (dense linear orderings without end points) is decidable.

#### Solution.

- (i) False. Take L to be the set of negative integers under the usual ordering.
- (ii) True
- (iii) True.
- (iv) False. TA is not computably axiomatizable.
- (v) True. DLO is both finitely (and hence computably) axiomatizable and complete.

Page 3 MTH302A

#### Question 2. [10 Points]

Using transfinite recursion, show that there exists  $S \subseteq \mathbb{R}^2$  such that for every line  $\ell \subseteq \mathbb{R}^2$ ,

$$|\ell \cap S| = |\ell \cap (\mathbb{R}^2 \setminus S)| = \mathfrak{c}.$$

**Solution 1.** Let  $\mathcal{L}$  be the family of all lines in plane. Note that  $|\mathcal{L}| = |\mathbb{R}^2 \times \mathbb{R}^2| = |\mathbb{R}^2| = \mathfrak{c}$ . Fix a sequence  $\langle \ell_{\alpha} : \alpha < \mathfrak{c} \rangle$  such that for every  $\ell \in \mathcal{L}$ ,  $\{\alpha < \mathfrak{c} : \ell = \ell_{\alpha}\} = \mathfrak{c}$  (Why is there such a sequence? Use the fact that  $|\mathfrak{c} \times \mathfrak{c}| = \mathfrak{c}$ ). Using transfinite recursion, construct a sequence  $\langle (A_{\alpha}, B_{\alpha}) : \alpha < \mathfrak{c} \rangle$  of pairs of **disjoint** subsets of  $\mathbb{R}^2$  such that the following hold.

- 1.  $A_0 = B_0 = 0$  and if  $\alpha < \beta < \mathfrak{c}$ , then  $A_{\alpha} \subseteq A_{\beta}$  and  $B_{\alpha} \subseteq B_{\beta}$ .
- 2. If  $\gamma < \mathfrak{c}$  is a limit ordinal, then  $A_{\gamma} = \bigcup_{\alpha < \gamma} A_{\alpha}$  and  $B_{\gamma} = \bigcup_{\alpha < \gamma} B_{\alpha}$ .
- 3.  $|A_{\alpha} \cup B_{\alpha}| \leq \max(|\alpha|, \omega) < \mathfrak{c}$ .
- 4. For every  $\alpha < \mathfrak{c}$ ,  $|(A_{\alpha+1} \setminus A_{\alpha}) \cap \ell_{\alpha}| = |(B_{\alpha+1} \setminus B_{\alpha}) \cap \ell_{\alpha}| = 1$ .

Start by defining  $A_0 = B_0 = 0$ . At every limit stage  $\gamma < \mathfrak{c}$ , Clause 2 forces us to define  $A_{\gamma} = \bigcup_{\alpha < \gamma} A_{\alpha}$  and  $B_{\gamma} = \bigcup_{\alpha < \gamma} B_{\alpha}$ . So we just need to describe  $A_{\alpha+1}, B_{\alpha+1}$  for every  $\alpha < \mathfrak{c}$ . Clause 4 says we must choose two new points  $x_{\alpha}, y_{\alpha}$  from  $\ell_{\alpha} \setminus (A_{\alpha} \cup B_{\alpha})$  and define  $A_{\alpha+1} = A_{\alpha} \cup \{x_{\alpha}\}$  and  $B_{\alpha+1} = B_{\alpha} \cup \{y_{\alpha}\}$ . Note that  $|\ell_{\alpha}| = \mathfrak{c}$  and  $|A_{\alpha} \cup B_{\alpha}| < \mathfrak{c}$  so we can choose such points.

Having completed the construction, define  $A = \bigcup_{\alpha < \mathfrak{c}} A_{\alpha}$  and  $B = \bigcup_{\alpha < \mathfrak{c}} B_{\alpha}$ . It should be clear that A, B are disjoint subsets of  $\mathbb{R}^2$ . So the only thing to check is  $|\ell \cap A| = |\ell \cap B| = \mathfrak{c}$  for every  $\ell \in \mathcal{L}$ . But this follows from Clause 4 and the fact that each  $\ell \in \mathcal{L}$  occurs  $\mathfrak{c}$ -times in the sequence  $\langle \ell_{\alpha} : \alpha < \mathfrak{c} \rangle$ .

**Solution 2.** For each  $n < \omega$ , let  $C_n = \{x \in \mathbb{R}^2 : n < ||x|| < n+1\}$  (||x|| is the distance of x from the origin). Define  $A_n = \bigcup_{n < \omega} C_{2n}$  and  $B_n = \bigcup_{n < \omega} C_{2n+1}$ . Note that for any line  $\ell$ ,  $\{||x|| : x \in \ell\} = [d, \infty)$  where d is the distance of  $\ell$  from the origin. It follows that both  $\ell \cap A$  and  $\ell \cap B$  contain line segments of positive length and hence have size continuum.

**Remark.** Although Solution 2 is simpler, the method of Solution 1 will also work for families other than lines. For example, circles, squares, rectangles, line segments etc.

Page 4 MTH302A

## Question 3. [10 Points]

Let  $\mathcal{L} = \{ \prec \}$  be the first order language with a binary relation symbol  $\prec$ .

- (a) [2 Points] Write down the axioms of the L-theory DLO (dense linear ordering without end points).
- (b) [4 Points] Show that the  $\mathcal{L}$ -structures  $(\mathbb{R}, <)$  and  $(\mathbb{R} \setminus \{0\}, <)$  are not isomorphic. Here < is the usual ordering of real numbers.
- (c) [4 Points] Show that DLO is not c-categorical.

#### Solution.

- (a) See Lecture Slide 138.
- (b) Suppose not and let  $h: \mathbb{R} \setminus \{0\} \to \mathbb{R}$  be an order isomorphism. Put  $L = \{h(x): x < 0\}$  and  $R = \{h(x): x > 0\}$ . As  $L \subseteq \mathbb{R}$  is bounded from above, it has a least upper bound (supremum) say b. Fix  $a \in \mathbb{R} \setminus \{0\}$  such that h(a) = b. Note that a < 0 or a > 0.
  - If a < 0, then a < a/2 < 0. So b = h(a) < h(a/2) and  $h(a/2) \in L$ . So b is not an upper bound of L which is a contradiction. If a > 0, then 0 < a/2 < a. So h(a/2) < h(a) = b and  $h(a/2) \in R$ . So b is not the least upper bound of L which is also a contradiction.
- (c) First check that both  $(\mathbb{R}, <)$  and  $(\mathbb{R} \setminus \{0\}, <)$  are models of DLO. By part (b), they are not isomorphic. So DLO is not  $\mathfrak{c}$ -categorical.

Page 5 MTH302A

#### Question 4. [10 Points]

Let  $\mathcal{L}$  be the empty language. For each  $n \geq 2$ , recall that  $\exists_{\geq n}$  denotes the following  $\mathcal{L}$ -sentence:

$$(\exists x_1)(\exists x_2)\dots(\exists x_n)\left(\bigwedge_{i< j\leq n}\neg(x_i=x_j)\right)$$

Define  $T = \{\exists_{\geq n} : n \geq 2\}.$ 

- (a) [4 Points] Show that T is a complete  $\mathcal{L}$ -theory.
- (b) [6 Points] Show that T is not finitely axiomatizable.
- (a) Note that  $\mathcal{M}$  is a model of T iff its domain M is infinite. Furthermore, if  $\mathcal{M}, \mathcal{N}$  are two models of T with |M| = |N|, then  $\mathcal{M} \cong \mathcal{N}$  (every bijection  $h: M \to N$  is an isomorphism as  $\mathcal{L}$  is empty). So T is  $\kappa$ -categorical for every  $\kappa \geq \omega$ . Hence T is a complete  $\mathcal{L}$ -theory (Lecture slide 137).
- (b) Suppose not and towards a contradiction, fix a finite set  $F = \{\psi_k : 1 \le k \le n\}$  of  $\mathcal{L}$ -sentence such that for every  $\mathcal{L}$ -sentence  $\phi$ ,  $F \vdash \phi$  iff  $T \vdash \phi$ . Note that for each k,  $T \vdash \psi_k$  so we can fix a finite  $S_k \subseteq T$  such that  $S_k \vdash \psi_k$ . Then  $S = \bigcup_{1 \le k \le n} S_k$  is finite.

Let  $S = \{\exists_{n_k} : 1 \leq k \leq m\}$ . Define  $n = \max(\{n_k : 1 \leq k \leq m\}) + 5$ . As  $T \vdash \exists_{\geq n}$ , we also have  $F \vdash \exists_{\geq n}$ . As each sentence in F is a theorem of S, it follows that  $S \vdash \exists_{\geq n}$  and therefore  $S \models \exists_{\geq n}$ . But this is impossible since if  $\mathcal{M}$  is an  $\mathcal{L}$ -structure with domain M of size  $|M| = \max(\{n_k : 1 \leq k \leq m\})$ , then  $\mathcal{M} \models S$  and  $\mathcal{M} \not\models \exists_{\geq n}$ .

Page 6 MTH302A

## Question 5. [10 Points]

- (a) [5 Points] Suppose  $A \subseteq \omega$  and  $B \subseteq \omega$  are both computable. Show that  $\{x + y : x \in A \text{ and } y \in B\}$  is computable.
- (b) [5 Points] Let  $E \subseteq \omega$  be computable. Show that  $\{|x-y|: x, y \in E\}$  is c.e.

#### Solution.

(a) Put  $C = \{x + y : x \in A \text{ and } y \in B\}$ . Fix programs P and Q such that P computes  $1_A$  and Q computes  $1_B$ . Consider a program R that on input n does the following.

For each  $0 \le k \le n$ , run P with input k and Q with input n-k. If both P and Q output 1 for some  $0 \le k \le n$ , then R outputs 1. Otherwise R outputs 0.

It is clear that R computes  $1_C$ . So C is computable.  $\clubsuit$ 

(b) Put  $W = \{|x - y| : x, y \in E\}$ . Fix a program P that computes  $1_E$ . Consider a program R that on input n does the following: Search for the least  $k < \omega$  such that P outputs 1 on each of the inputs k and n + k. R halts as soon as such a k is found.

It is clear that R halts on input n iff  $n \in W$ . So W is c.e.  $\clubsuit$ 

Page 7 MTH302A

## Question 6. [10 Points]

Let  $\mathcal{N} = (\omega, 0, S, +, \cdot)$  be the standard model of PA.

- (a) [6 Points] Define  $False_{\mathcal{N}} = \{ \ulcorner \psi \urcorner : \mathcal{N} \models \neg \psi \}$ . Show that  $False_{\mathcal{N}}$  is not definable in  $\mathcal{N}$ .
- (b) [4 Points] Show that there are  $\mathcal{L}_{PA}$ -sentences  $\phi$  and  $\psi$  such that PA does not prove either one of the following four sentences.
  - (i)  $\phi$ .
  - (ii)  $\neg \phi$ .
  - (iii)  $\phi \implies \psi$ .
  - (iv)  $\phi \implies (\neg \psi)$ .

#### Solution.

(a) Towards a contradiction, assume  $False_{\mathcal{N}}$  is definable in  $\mathcal{N}$  via the formula  $\phi(x)$ . So for every  $n < \omega$ ,  $n \in False_{\mathcal{N}}$  iff  $\mathcal{N} \models \phi(n)$ . Define  $f : \omega \to \omega$  as follows. If  $n = \lceil \psi \rceil$  for some  $\mathcal{L}_{PA}$ -sentence  $\psi$ , then  $f(n) = \lceil (\neg \psi) \rceil$ . Otherwise, f(n) = 0. It is clear that f is computable and hence definable in  $\mathcal{N}$  say via the  $\mathcal{L}_{PA}$ -formula  $\eta(y, x)$ .

Now consider the formula  $\theta(x) \equiv (\exists y)(\eta(y,x) \land \phi(y))$ . It is easy to check that  $n \in True_{\mathcal{N}}$  iff  $\mathcal{N} \models \theta(n)$ . So  $True_{\mathcal{N}}$  is definable in  $\mathcal{N}$ . A contradiction.

(b) Choose  $\phi \in TA$  such that  $PA \nvdash \phi$ . Note that  $PA \nvdash \neg \phi$  since if  $PA \vdash \neg \phi$ , then as  $PA \subseteq TA$ , both  $\phi$  and  $(\neg \phi)$  are in TA which is impossible.

Since  $PA \cup \{\phi\}$  is a computable subset of TA, it cannot axiomatize TA. So we can choose  $\psi \in TA$  such that  $PA \cup \{\phi\} \nvdash \psi$ . By deduction theorem,  $PA \nvdash (\phi \implies \psi)$ . Finally, to see that PA does not prove  $\phi \implies (\neg \psi)$ , use the fact that  $PA \cup \{\phi\} \nvdash (\neg \psi)$  (otherwise TA would prove both  $\psi$  and  $\neg \psi$ ).

Page 8 MTH302A

# Bonus Question [5 Points]

Show that

$$\left|\left\{x>0: \lim_{n\to\infty}\operatorname{frac}((n!)x)=0\right\}\right|=\mathfrak{c}.$$

Here frac(x) denotes the fractional part of x. For example,  $frac(\pi) = \pi - 3$  and frac(7) = 0.

Solution sketch. Let  $G = \{x > 0 : \lim_{n \to \infty} \mathsf{frac}((n!)x) = 0\}$ . For each  $f \in 2^{\omega}$ , define

$$x_f = \sum_{n>1} \frac{f(n)}{n!}$$

Put  $W=\{x_f: f\in 2^\omega\}$ . Check that  $W\subseteq G$  and  $|W|=|2^\omega|=\mathfrak{c}$ .