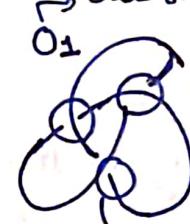


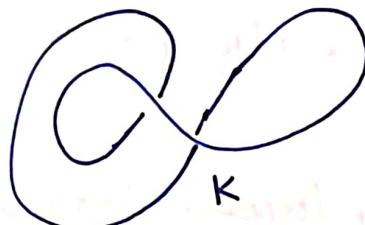
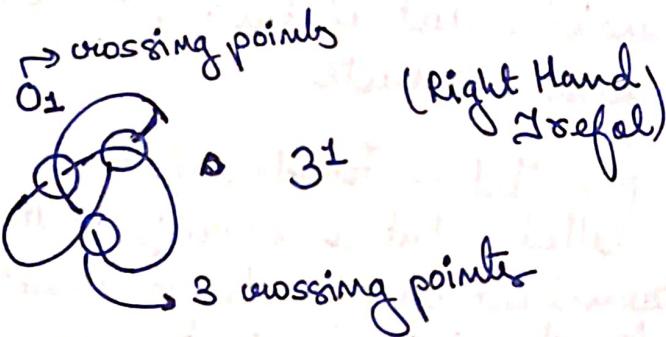
TOPICS IN TOPOLOGY

KNOT THEORY

Definition: let S : unit circle $x^2 + y^2 = 1$. Then, a knot K is an embedding of S^1 into \mathbb{R}^3 .

Example: ① unknot 

② trefoil knot 



→ same as unknot. (concent by twisting)

$$K \cong O_1$$

$$3_1 \neq O_1$$

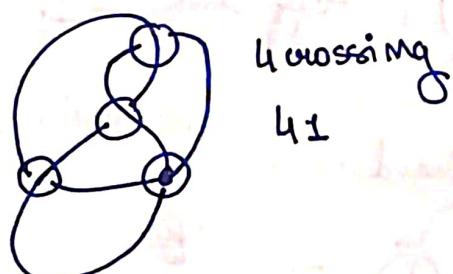
③



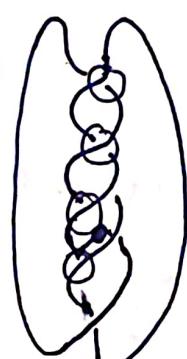
left hand trefoil
 \neq (not equivalent
to the right hand trefoil)

$$3_1 \neq 3_1^*$$

④ Figure 8



⑤



History Of Knot Theory

Began in 1880, chemist William Thomson (Lord Kelvin) proposed that atomic structures were knots in ether. This persuaded his friend P.G. Tait, who decided to make a list of knots, he correctly classified up to the first 300 knots.

P.G. Tait - Founder of knot theory. made 4 conjectures called Tait conjectures. At the end of his study, it turned out that atomic structures did not need knots so, chemists / physicists lost interest in knot theory. At this point, mathematicians began studying knots.

Classical Knot Theory (1930 - 1984)

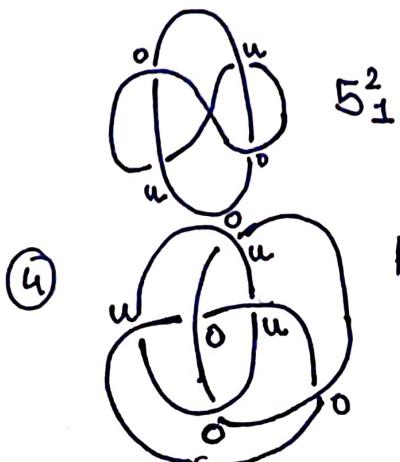
In 1984, a mathematician in operator theory V. Jones discovered a new knot: 'Jones polynomial'. He got a Fields medal in 1990 for this.

LINKS: A link is a finite ordered collection of knots which do not intersect each other.

Examples: ① Unlink  O^2

②  Hopf link $\begin{cases} 2 \rightarrow 2 \text{ component} \\ 1 \rightarrow \text{first non-trivial link} \end{cases}$
crossings

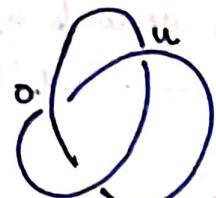
③ Whitehead link



Any 2 components are unlinked
Borromean Ring
alternating

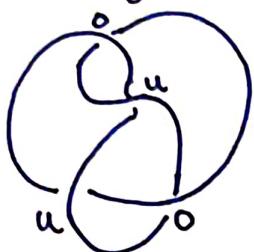
Definition: Alternating knot/link
if atleast one diagram which goes over under alternately

3.1



→ alternating

4.1



$8_{19}, 8_{20}, 8_{21} \rightarrow$ only non alternating

Definition: Let S^1 be the

set S^1 be the unit circle $x^2 + y^2 = 1$. Then,

(a) K is the image of a map S from $S^1 \rightarrow \mathbb{R}^3$ which is one-one and continuous.

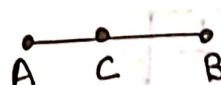
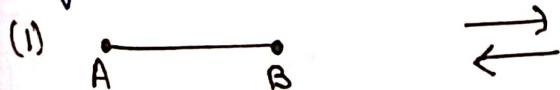
(b) (links): A link is a finite ordered collection of knots which do not intersect each other.

$$L = \{K_1, \dots, K_n\}.$$

First Question: (a) When are two knots K_1 and K_2 equivalent?

(b) When are two links L_1 and L_2 equivalent.

Definition: (~~Elementary~~ Elementary Knot Moves)



* Defn: Knots K_1 and K_2 are said to be equivalent if we can go from K_1 to K_2 by finitely many knot moves.

Note: (1) If $K_1 \cong K_2$, we mean there are many knot moves which take K_1 to K_2 and vice versa.

(2) If $K_1 \neq K_2$, how do we prove it?

If we want to prove $K_1 \neq K_2$, we need knot invariants.

Definition: A knot invariant $u(K)$ can be a number, polynomial or a property such that, if $K_1 \cong K_2 \Rightarrow u(K_1) = u(K_2)$

\Rightarrow If $u(K_1) \neq u(K_2)$, then $K_1 \neq K_2$

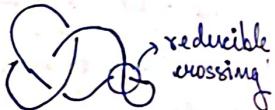
KNOT INVARIANT

① $c(K)$: Crossing number

= minimum number of crossing points of K over all possible diagrams of K .



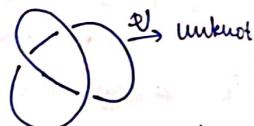
$$c(K) = 3$$



② $u(K)$: unknotting number



switch any one crossing

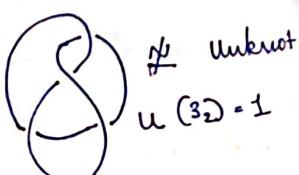


$\xrightarrow{?}$ unknot

The minimum number of exchanges needed to make K trivial (unknot).

$$u(3_1) = 1$$

Find unknotting numbers for:



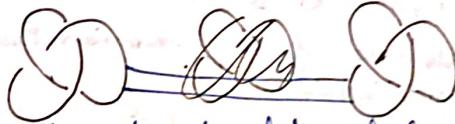
\neq unknot

$$u(3_2) = 1$$

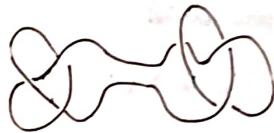


\cong unknot
 $u(6_2) = 1$

Definition: Connected sum of knots K_1 and K_2



cut a small arc from K_1 and K_2 and glue the ends.



\rightarrow connected sum of 2 trefoil knots.

Defn: Prime Knot: A knot K is prime whenever $K = K_1 \# K_2$ either $K_1 \cong O_1$ or $K_2 \cong O_2$.

Theorem:

(Prime Decomposition Theorem):

Any knot K has a unique decomposition $K \cong K_1 \# K_2 \# \dots \# K_n$ where each K_i is prime.

$$\text{Q1} \quad c(K_1 \# K_2) = c(K_1) + c(K_2)$$

Proved to be true for the class of alternating knots, but the question is open for non-alternating knots.

$$\text{Q2} \quad u(K_1 \# K_2) = u(K_1) + u(K_2)$$

Open problem: even for alternating knots.

→ HOMEWORK

Find unknotting numbers for $k = 5_2, 6_2, 0_3, 1_2, 0_1, 2_1$
An 1928, a topologist Alexander discovered a invariant - Alexander Polynomial

$$\Delta_{K(t)}$$

→ All polynomials are symmetric.

- $3_1 : t(1)(1+1) = t^1(1-t+t^2)$
The first 35 knots have different polynomials. However, it is not a complete invariant.

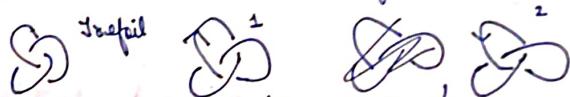
$$\exists k_1, k_2, k_1 \neq k_2, \Delta_{k_1}(t) = \Delta_{k_2}(t)$$

#1950: Alexander-Conway polynomial.

We can obtain $\Delta_K(t)$ from $\nabla_K(z)$ by:

$$z = \sqrt{t} - \frac{1}{\sqrt{t}}$$

Oriented knot. (Orientation: choice of direction)



Given an oriented knot/link, we assign AC polynomial $\nabla_K(z)$ by 2 axioms.

① If k is the trivial knot, $\nabla_k(z) = 1$

$$\rightarrow \begin{array}{c} \diagdown \\ \diagup \end{array} \quad \begin{array}{c} \diagup \\ \diagdown \end{array} \quad \begin{array}{c} \diagdown \\ \diagup \end{array} \quad \begin{array}{c} \diagup \\ \diagdown \end{array} \quad \begin{array}{c} \diagup \\ \diagdown \end{array} \quad \begin{array}{c} \diagdown \\ \diagup \end{array}$$

K^+ K^- K_0 K^+ K^-

If a knot differs at only one crossing point,

$$\nabla_{K^+}(z) - \nabla_{K^-}(z) = z \nabla_{K_0}(z)$$

$$\nabla_K(z) = 1 \text{ for } 0_1$$

$$\begin{array}{ccc} \text{Diagram} & \xrightarrow{\quad} & \text{Diagram} \\ \text{Trefoil} & \xrightarrow{\quad} & \text{Trefoil with crossing} \end{array}$$

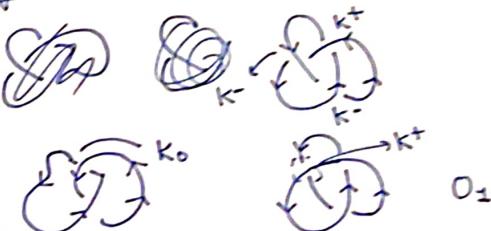
K^+
 K_0 0_2

$$\nabla_{K^+} - \nabla_{K^-} = z \nabla_{0_2}$$

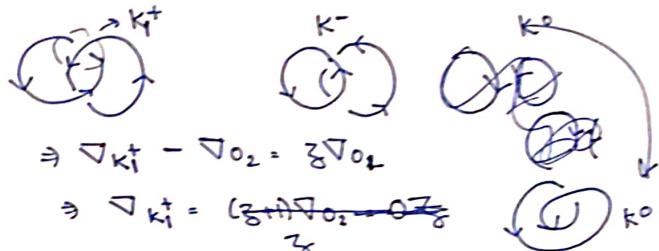
$$\nabla_{0_1} = \nabla_{0_1} - z \nabla_{0_2}$$

$$\Rightarrow \nabla_{0_2} = 0$$

Trefoil



$$\begin{aligned} \nabla_{K^-} &= \nabla_{K^+} - z \nabla_{K_0} \\ &= 1 - z \nabla_{K_0} \quad (*) \end{aligned}$$



$$\Rightarrow \nabla_{K^+} - \nabla_{0_2} = z \nabla_{0_2}$$

$$\Rightarrow \nabla_{K^+} = \frac{(z+1)\nabla_{0_2} - z\nabla_{0_2}}{z}$$

$$\Rightarrow \nabla_{K^+} = z$$

$$(*) : \nabla_{K^+} = \frac{1 - z \nabla_{K_0}}{z} = \frac{1}{z}$$

$$\nabla_{K^+} = \frac{1 - z \nabla_{K_0}}{z} ?$$

$$\Rightarrow \Delta = t + \frac{1}{t} - 1$$

$$\# \nabla_{5_1} = t \nabla_{3_1} + z^2 \nabla_{3_1} + z^4 V_{0_1} - 0 \cdot v_{0_2}$$

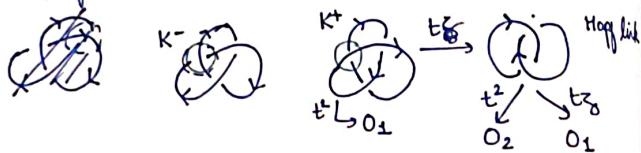
$$\nabla_{3_1} = t + z^2 \xrightarrow{\uparrow}$$

$$V_{5_1} = t^{-2} \nabla_{3_1} + t^2 z^2 \nabla_{3_1} + t^{-4} z^2 V_{0_1} - t^{-5} z V_{0_2}.$$

THEOREM: Suppose K^* is the mirror image of a knot (or link) K , then $V_{K^*}(t) = V_K(\frac{1}{t})$.

PROOF: Suppose D is a diagram of K and D^* is its mirror image. If the skein tree diagram of D is R (say), we form the skein tree diagram of D^* (R^*) as follows.

When we perform a skein operation at a crossing point c of D to make R , at the equivalent crossing point of D^* , also perform a skein operation, so forming R^* .



For the mirror image, diagram will unravel the same way. The only difference in R and R^* is in the coefficients assigned.

$$\begin{array}{ccc} t^2 \rightarrow t^2 & | & t^2 \rightarrow t^2 \\ & | & \\ t^2 = \frac{1}{\sqrt{t}} t & \rightarrow & -\frac{3}{t} = \frac{1}{t} \left(\frac{1}{\sqrt{t}} - \frac{1}{\sqrt{t}} \right) \\ & | & | \\ & = t\sqrt{t} - \frac{1}{\sqrt{t}} & \rightarrow \frac{1}{t\sqrt{t}} - \frac{1}{\sqrt{t}} \end{array}$$

This is equivalent to $t \rightarrow \frac{1}{t}$ everywhere

$$\Rightarrow V_{K^*}(t) = V_K\left(\frac{1}{t}\right)$$

DEFINITION: Homfly polynomial (1985) is defined as follows—
 $P_K(V, z)$ a variable polynomial for an oriented knot K (or link) is defined as

Axiom 1: If V is an unknot, then $P_K(V, z) = 1$

Axiom 2: $\cancel{\curvearrowright} K^+ \cancel{\curvearrowleft} K^- \rightarrow P_K$.

$$\frac{1}{\sqrt{z}} P_{K^+}(V, z) - \sqrt{z} P_{K^-}(V, z) = z P_K(V, z)$$

(Corollary 1): If $V = 1 \rightarrow z = \sqrt{t} - \frac{1}{\sqrt{t}}$, $P_K(1, \sqrt{t} - \frac{1}{\sqrt{t}}) = \Delta_K(t)$

(Corollary 2): If $V = t$, $z = \sqrt{t} - \frac{1}{\sqrt{t}}$, $P_K(t, \sqrt{t} - \frac{1}{\sqrt{t}}) = V_K(t)$

OPEN PROBLEM (Jones Unknotting Conjecture)

If $K \cong O_1 \Rightarrow V_{O_1} = 1$

If $V_K = 1$, is $K \cong O_1$?

PROPERTIES OF JONES POLYNOMIAL

① If K is an alternating knot (or link) and $V_K(t)$ is its Jones polynomial.

$$V_K(t) = a_m t^{-m} + a_{-m} t^{m+1} + c + a_1 t + \dots + a_n t^n$$

Max degree of $V_K(t) = n$

Min degree of $V_K(t) = -m$

Then, $\text{span } V_K(t) = c(K)$.

$$\text{span } V_K(t) = n - (-m) = n + m$$

f (K Murasugi (1984)): The Jones Polynomial & classical conjectures in Knot theory.

PROPERTY 3

If $K = K_1 \# K_2$, then $V(K) = V(K_1) \cdot V(K_2)$

Lemma 1 If O_m is the trivial n -component links $O_m = O \cdot O \cdots O$,
then $V_{O_m}(t) = (-1)^{m+1} \left(\sqrt{t} + \frac{1}{\sqrt{t}} \right)^{m-1}$.

Defn : We denote by $X \amalg Y$ (the disjoint union of X and Y)
the union of 2 sets X and Y with no points in common.

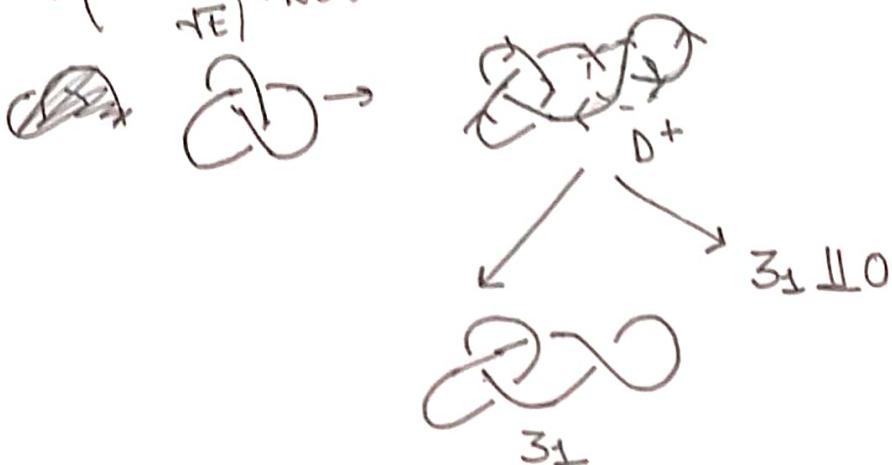
$$O_2 = O \cdot O = O_1 \amalg O_1$$

Lemma 2 $V_{K \amalg O_m} = (-1)^m \left(\sqrt{t} + \frac{1}{\sqrt{t}} \right)^m V_K(t) \quad (*)$

PROOF By INDUCTION :

$$V_{K \amalg O} = (-1) \left(\sqrt{t} + \frac{1}{\sqrt{t}} \right) V_K(t)$$

$$K = 3_1$$



Writing the Jones polynomial,

$$\frac{1}{t} V_{3_1} - t V_{3_1} = \left(\sqrt{t} - \frac{1}{\sqrt{t}} \right) V_{3_1 \amalg O}$$

$$V_3(-1)(t - \frac{1}{t}) = \left(\sqrt{t} - \frac{1}{\sqrt{t}} \right) V_{3_1 \amalg O}$$

$$\Rightarrow (-1) V_{3_1} \left(\sqrt{t} + \frac{1}{\sqrt{t}} \right) = V_{3_1 \amalg O}$$

$$\Rightarrow V_{3_1 \amalg O} = (-1) \left(\sqrt{t} + \frac{1}{\sqrt{t}} \right) V_{3_1}$$

By induction, we first prove (*) for $m=1$.

For any knot K , we can create an artificial (reducible) crossing
as before.



$$\Rightarrow \frac{1}{t} V_{K^+} - t V_{K^-} = \left(\sqrt{t} - \frac{1}{\sqrt{t}} \right) V_{K \parallel 0},$$

$$\Rightarrow -V_{K^-} \left(t - \frac{1}{t} \right) = \left(\sqrt{t} - \frac{1}{\sqrt{t}} \right) V_{K \parallel 0},$$

$$\Rightarrow -V_{K^-} \left(\sqrt{t} + \frac{1}{\sqrt{t}} \right) = V_{K \parallel 0},$$

$$\Rightarrow V_{K \parallel 0} = (-1) \left(\sqrt{t} + \frac{1}{\sqrt{t}} \right) V_{K^-}$$

∴ We have shown (*) for $m=1$.
Let us assume by induction that (*) is true for $i \leq m-1$ and we will prove it for $i=m$. \Rightarrow By induction (*) holds for all m .

$$\begin{aligned} & \frac{1}{t} V_{K^+} - t V_{K^-} = \left(\sqrt{t} - \frac{1}{\sqrt{t}} \right) V_{K \parallel 0} \\ \Rightarrow & \left(\frac{1-t}{t} \right) V_{K \parallel 0_{m-1}} \left(\sqrt{t} - \frac{1}{\sqrt{t}} \right) V_{K \parallel 0_m} \\ \Rightarrow & \left(\frac{1-t}{t} \right) V_{K \parallel 0_{m-1}} = \left(\sqrt{t} - \frac{1}{\sqrt{t}} \right) V_{K \parallel 0_m} \\ \Rightarrow & \left(\frac{1-t}{t} \right) (-1)^{m-1} \left(\sqrt{t} + \frac{1}{\sqrt{t}} \right)^{m-1} = \left(\sqrt{t} - \frac{1}{\sqrt{t}} \right) V_{K \parallel 0_m} \\ \Rightarrow & (-1)^m \left(\sqrt{t} + \frac{1}{\sqrt{t}} \right)^{m-1} \left(\sqrt{t} - \frac{1}{\sqrt{t}} \right) \left(\sqrt{t} + \frac{1}{\sqrt{t}} \right) = \left(\sqrt{t} - \frac{1}{\sqrt{t}} \right) V_{K \parallel 0_m} \\ \Rightarrow & \boxed{(-1)^m \left(\sqrt{t} + \frac{1}{\sqrt{t}} \right)^m = V_{K \parallel 0_m}} \end{aligned}$$

∴ By induction, lemma 2 is true.

$$\underline{\text{Theorem:}} \quad V_{K_1 \# K_2}(t) = V_{K_1}(t) \circ V_{K_2}(t)$$

Proof:



$$K_2 \quad 3_1 \# 3_2$$

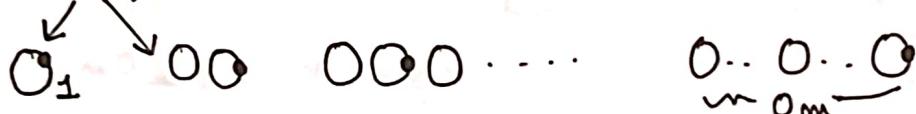
Just for a while represent K_2 by a single dot.



Using this representation, (ignoring K_2 for a short while) we create a skein tree diagram for the Jones polynomial of K_1 as follows.

$$V_{K_1}(t) = f_1(t) V_{O_1}(t) + f_2(t) V_{O_2}(t) + \dots + f_m(t) V_{O_m}$$

$$V_{K_1 \# K_2}(t) = V_{K_1}(t) \circ V_{K_2}(t)$$



Now, we replace the dot back by K_2 .

$$V_{K_1 \# K_2}(t) = f_1(t) V_{K_2}(t) + f_2(t) V_{K_2 \amalg O_1} + f_3(t) V_{K_2 \amalg O_2} + \dots + f_m(t) V_{K_2 \amalg O_{m-1}}$$

By lemma 2,

$$V_{K_2 \amalg O_k}(t) = (-1)^k \left(\sqrt{t} + \frac{1}{\sqrt{t}} \right)^k V_{K_2}$$

$$\begin{aligned} V_{K_1 \# K_2}(t) &= V_{K_2}(t) \{ f_1(t) V_{O_1}(t) + f_2(t) V_{O_2}(t) + \dots + f_m(t) V_{O_m}(t) \} \\ &= V_{K_2}(t) V_{K_1}(t) \end{aligned}$$

$$K_1 = 4_1 \# 4_1 \quad (\text{Kanenobu 1985})$$

$$K_2 = 8_9$$

$$V_{K_1}(t) = V_{K_2}(t)$$

$$V_{4_1}(t) = \frac{1}{t^2} - \frac{1}{t} + 1 - t + t^2$$

$$\cancel{V_{4_1 \# 4_1}} V_{4_1 \# 4_1}(t) = \left(\frac{1}{t^2} - \frac{1}{t} + 1 - t + t^2 \right)^2 = V_{8_9}(t)$$

$$\Delta K_1(t) \neq \Delta K_2(t)$$

$$\Delta K_1 \# K_2(t) = \Delta K_1(t) \Delta K_2(t)$$

$$\Delta_{K_1 \# K_2}(t) = \frac{1}{t}(-1+3t-t^2) \times \frac{1}{t}(1+3t-t^2)$$

$$\Delta_{S_9} = \frac{1}{t^3}(-1+3t-5t^2+7t^3-5t^4+3t^5-t^6)$$

$$\Delta_{K_1 \# K_2}(t) = \frac{1}{t^2}(t^2-3t+1)^2$$

$$\Delta_{S_9} = -\frac{t^3}{t^2} -t^3 + 3t^2 - 5t + 7 - \frac{5}{t} + \frac{3}{t^2} - \frac{1}{t^3}$$

$$\downarrow \frac{1}{t^2}(t^4 + 9t^2 + 1 - 6t^3 - 6t + 2t^2)$$

$$= t^2 - 6t + 11 - \frac{6}{t} + \frac{1}{t^2} \neq \Delta_{S_9}$$

$$= (-2)(1 - 6 + 11 - 6 + 1)$$

$$\Delta_{S_9} = (-3)(-1 + 3 - 5 + 7 - 5 + 3 - 1)$$

$$\sqrt{\Delta_{K_1}(t)} = \left(t^2 - t - \frac{1}{t} + \frac{1}{t^2} + 1 \right) \left(t^2 - t - \frac{1}{t} + \frac{1}{t^2} + 1 \right)$$

$$t^4 - t^3 - t^2 + t + t^2 - t^3 + t^2 + 1 - \cancel{t} - \cancel{t} - t + t + \cancel{t} + \cancel{t} - \frac{1}{t} - \frac{1}{t}$$

$$+ 1 - \cancel{t} - \frac{1}{t^3} + \frac{1}{t^4} + \cancel{t}^2 + t^2 - \cancel{t} - \cancel{t} + \frac{1}{t} + \cancel{t} + \cancel{t}$$

$$= t^4 - 2t^3 + 3t^2 - 4t + 5 - \frac{1}{t} + \frac{3}{t^2} - \frac{2}{t^3}$$

$$= (-3)(-2 + 3 - 4 + 5 - 4 + 3 - 2 + 1)$$

BRAID GROUP (B_n)

→ We defined n -braids α, β . Given we defined a product of braids $\alpha \cdot \beta$, and we showed the set of n -braids B_n with the product composition satisfies the group properties.

- ① Closure: If α, β are n -braids i.e. $\alpha, \beta \in B_n$, then $\alpha \cdot \beta \in B_n$
- ② Associativity: $\alpha, \beta, \gamma \rightarrow n$ -braids $\Rightarrow \alpha \cdot (\beta \cdot \gamma) = (\alpha \cdot \beta) \cdot \gamma$
- ③ Existence of identity: \exists an n -braid denoted by e_n such that $\alpha \cdot e_n = e_n \cdot \alpha = \alpha$
- ④ Existence of inverse: Given $\alpha \in B_n$, \exists an n -braid α^{-1} in B_n such that $\alpha \cdot \alpha^{-1} = e_n \cdot \alpha^{-1} \cdot \alpha$

$\{B_n, \cdot\}$ is a group (n^{th} Braid Group)

Among the n -braids in B_n , \exists some special braids $A_1, A_2, \dots, A_n \rightarrow$ the generators of B_n .

$$A_1, A_2, \dots, A_i, A_{i+1}, \dots, A_n \xrightarrow{\text{generators}} \tau_i \quad | \quad A_1, A_2, \dots, A_i, A_{i+1}, \dots, A_n$$

$$\begin{matrix} A_1 \\ / \\ A_2 \\ / \\ A_i \\ / \\ A_{i+1} \\ / \\ A_n \end{matrix}$$

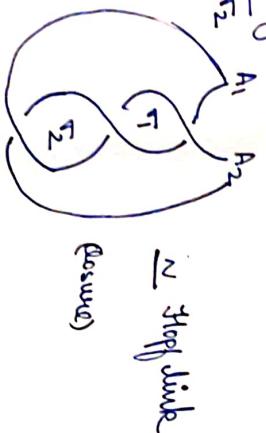
* B_n has $n!$ generators: $\tau_1, \tau_2, \dots, \tau_{n-1}$, and it has $n!$ elements.

(Claim): Any n -braid $\alpha \in B_n$ is a finite product of generators and has three invariants.

Given an n -braid α , we form its closure $\#$ by connecting A_1 to A_1 , A_2 to A_2 , ..., A_n to A_n by non-interacting arcs outside the braid diagram. $\#$ (knot or link).

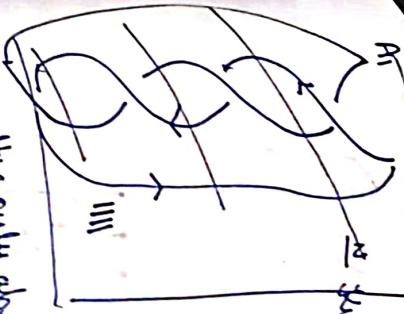
$$\textcircled{1} \quad \overline{\tau_1 \tau_2} = \overline{\tau_1 \tau_2}$$

\cong Hopf link



(closure)

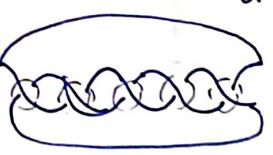
$$\textcircled{2} \quad \overline{\tau_1 \tau_3} = \overline{\tau_1 \tau_2 \tau_3} \rightarrow$$



$$\textcircled{3} \quad n=2 \quad B_2 \rightarrow \text{generated by } \tau_1 \text{ & } \tau_{-1} \quad \alpha \in B_2 \Rightarrow \alpha = \tau_1^m \circ \tau_{-1}^n, m, n \in \mathbb{Z}$$

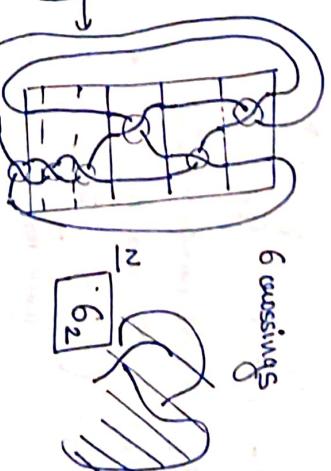
B_2 is the only abelian braid group.
 $B_2 \cong (\mathbb{Z}, +)$ (isomorphic) ($\phi: \mathbb{Z} \longrightarrow B_2$)

$$\# \overline{\tau_1 \tau_2} \cong 5_1 \quad \text{Similarly, } \overline{\tau_1 \tau_2} = 7_2$$



$$\# B_3$$

$$\rightarrow \overline{\tau_1 \tau_2 \tau_1 \tau_2} \quad \rightarrow \overline{\tau_1 \tau_2 \tau_1 \tau_2} = 4_1$$



6 crossings

Theorem (Alexander): Any knot or link can always be written as the closure of some n -braid α .

$$\text{eg } \overline{\tau_1 \tau_2 \tau_3}$$

$$\cong 0_2 \text{ (unknot)}$$



$$B_4 \quad \tau_1 \tau_3 \quad \begin{array}{c} \text{Diagram of } \tau_1 \tau_3 \text{ braid} \\ \text{Two strands } i=1, j=3 \end{array} \xrightarrow{N} \tau_3 \tau_1 \quad \begin{array}{c} \text{Diagram of } \tau_3 \tau_1 \text{ braid} \\ \text{Two strands } i=3, j=1 \end{array}$$

* For B_n , $\tau_i \tau_j = \tau_j \tau_i$ for all $|i-j| \geq 2$.

$$B_3 \quad \alpha = \tau_1 \tau_2 \tau_1 \quad \begin{array}{c} \text{Diagram of } \tau_1 \tau_2 \tau_1 \text{ braid} \\ \text{Three strands } i=1, 2, 3 \end{array} = \begin{array}{c} \text{Diagram of } \tau_2 \tau_1 \tau_2 \text{ braid} \\ \text{Three strands } i=2, 1, 3 \end{array}$$

mirror image about axis

$$\therefore \tau_i \tau_{i+1} \tau_i = \tau_{i+1} \tau_i \tau_{i+1}$$

BRAID GROUP B_n

B_n is a free group on n -generators $\tau_1, \tau_2, \dots, \tau_{n-1}$ and 2 fundamental relations

$$(1) \tau_i \tau_j = \tau_j \tau_i \text{ if } |i-j| \geq 2$$

$$(2) \tau_i \tau_{i+1} \tau_i = \tau_{i+1} \tau_i \tau_{i+1}$$

- $B_1 \rightarrow$ Trivial group = $\{e\}$
- $B_2 = \{\tau_1^m \mid m \in \mathbb{Z}\} \xrightarrow{N} (\mathbb{Z}, +)$ (Abelian)
- $B_3 = \left\{ \underbrace{\tau_1 \tau_2}_{\text{generators}} \mid \underbrace{\tau_1 \tau_2 \tau_1 = \tau_2 \tau_1 \tau_2}_{\text{fundamental relations}} \right\}$

- $B_4 = \left\{ \tau_1, \tau_2, \tau_3 \mid \tau_1 \tau_3 = \tau_3 \tau_1, \tau_1 \tau_2 \tau_1 = \tau_2 \tau_1 \tau_2, \tau_2 \tau_3 \tau_2 = \tau_3 \tau_2 \tau_3 \right\}$
- $B_m = \left\{ \tau_1, \dots, \tau_{m-1} \mid \tau_i \tau_j = \tau_j \tau_i \text{ for } |i-j| \geq 2, \tau_i \tau_{i+1} \tau_i = \tau_{i+1} \tau_i \tau_{i+1} \right\}$

Ex: $W_1 = \tau_1 \tau_2 \tau_4^{-1} \tau_1 \tau_2 \tau_4$. Show that $W_1 \xrightarrow{N} W_2$

$$= \overbrace{\tau_1 \tau_2 \tau_4}^1 \overbrace{\tau_4^{-1} \tau_1 \tau_2}^2$$

$$\begin{aligned} W_1 &= \tau_1 \tau_2 \tau_4^{-1} \tau_1 \tau_2 \tau_4 \\ &= \tau_1 \tau_2 \tau_4^{-1} \tau_1 \tau_4 \tau_2 \\ &= \tau_1 \tau_2 \tau_4^{-1} \tau_4 \tau_1 \tau_2 \\ &= \underline{\tau_1 \tau_2 \tau_1} \tau_2 = \tau_1 \circ \boxed{\tau_2 \tau_1 \tau_2} \end{aligned}$$

ALEXANDER THEOREM:

* Definition: Given a braid $\alpha \in B_m$, we form its closure by joining A_1 to A_1' , A_2 to A_2' , ..., A_n to A_n' by large arcs intersecting sets which do not intersect the braid diagram. $\bar{\alpha}$ is a knot or link.

Alexander Theorem (1930)

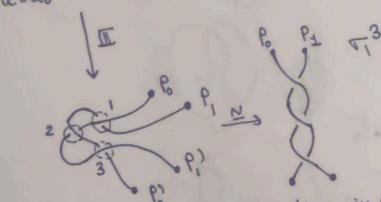
Any knot (or link) K is the closure of some braid α , i.e. $\bar{\alpha} = K$.

Proof:

Step 1: Cut K at a point P (not a crossing point) and pull the loose ends apart, call them P_0 and P_0' .

Step 2: If there is a local maxima, (b) then there exists a local minima (a). Call $a = a_0$. The arc ab - whenever it meets a crossing point, call it $a_1, a_2, \dots, a_m = b$

Step 3: Replace the arc $a_0 a_1$ by the larger arc $a_0 P_0' P_0 a_1$.
(cut at a_1)

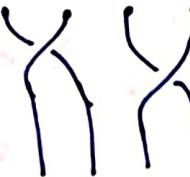


Step 4: Continue in this manner till there is no maxima or minima left. What we have left then is a braid such that $\bar{\alpha} = K$.

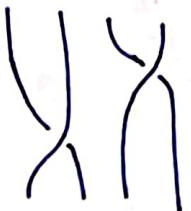
Examples: ① 3_1 (done above)

② 5_1 .

$$B_4 \quad \sigma_1 \sigma_3 \quad \cup \quad j^{-\frac{N}{2}} \quad \sigma_3 \sigma_1$$



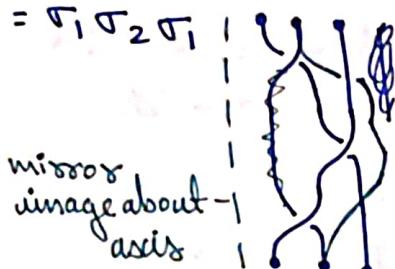
$$\frac{N}{\tau_3 \tau_1}$$



* For B_n , $\sigma_i \sigma_j = \sigma_j \sigma_i$ for all $|i-j| \geq 2$.

B₃

$$\alpha = \sigma_1 \circ$$



$$B = \sigma_2 \sigma_1 \sigma_2$$



$$\therefore \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$$

BRAID GROUP B_n

B_n is a free group on n -generators $\tau_1, \tau_2, \dots, \tau_{n-1}$ and 2 fundamental relations

$$(1) \quad \sigma_i \sigma_j = \sigma_j \sigma_i \quad \text{if} \quad |i-j| \geq 2$$

$$(2) \tau_i \tau_{i+1} \tau_i = \tau_{i+1} \tau_i \tau_{i+1}$$

- $B_1 \rightarrow \text{Trivial group} = \{e\}$
 - $B_2 = \{\tau_1^m \mid m \in \mathbb{Z}\} \xrightarrow{N} (\mathbb{Z}, +)$ (Abelian)
 - $B_3 = \{ \underbrace{\tau_1, \tau_2}_{\text{generators}} \mid \underbrace{\tau_1 \tau_2 \tau_1 = \tau_2 \tau_1 \tau_2}_{\text{fundamental relations}} \}$
 - $B_4 = \{ \tau_1, \tau_2, \tau_3 \mid \tau_1 \tau_3 = \tau_3 \tau_1, \tau_1 \tau_2 \tau_1 = \tau_2 \tau_1 \tau_2, \tau_2 \tau_3 \tau_2 = \tau_3 \tau_2 \tau_3 \}$
 - $B_m = \{ \tau_1, \dots, \tau_m \mid \tau_i \tau_j = \tau_j \tau_i \text{ for } |i-j| \geq 2, \tau_i \tau_{i+1} \tau_i = \tau_{i+1} \tau_i \tau_i \}$

Ex : $\omega_1 = \sigma_1 \sigma_2 \sigma_4^{-1} \sigma_1 \sigma_2 \sigma_4$. Show that $\omega_1 \leq \omega_2$

$$\omega_2 = \sigma_2 \sigma_1 \sigma_2^{-2}$$

$$= -\frac{F}{2} \int_{-L}^L G(x) dx$$

$$W_1 = \sigma_1 \sigma_2 \sigma_4^{-1} \sigma_1 \sigma_2 \underline{\sigma_4}$$

$$= \sigma_1 \sigma_2 \sigma_4^{-1} \underline{\sigma_1 \sigma_4} \sigma_2$$

$$= \sigma_1 \sigma_2 \sigma_4^{-1} \sigma_4 \sigma_1 \sigma_2$$

$$= \frac{\sigma_1 \sigma_2 \sigma_1 \sigma_2}{\sigma_1 \sigma_2} = \sigma_1$$

ALEXANDER THEOREM:

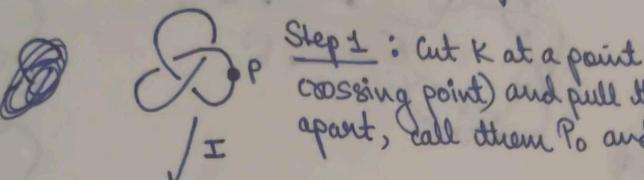
Definition: Given a braid $\alpha \in B_m$, we form its closure by joining A_1 to A'_1 , A_2 to A'_2 , ..., A_n to A'_n by large non intersecting arcs which do not intersect the braid diagram. \mathcal{Z} is a knot or link.

Alexander Theorem (1930)

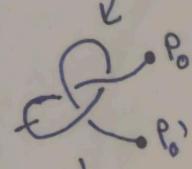
Any knot (or link) K is the closure of some braid α , i.e.

$\mathcal{Z} = K$.

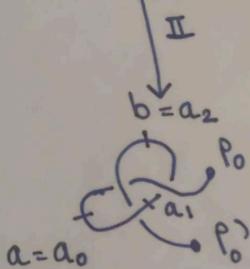
Proof:



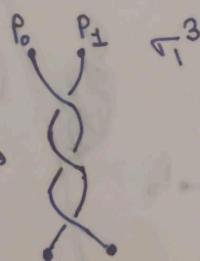
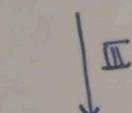
Step 1: Cut K at a point P (not a crossing point) and pull the loose ends apart, call them P_0 and P_0' .



Step 2: If there is a local maxima, (b) then there exists a local minima (a). Call $a = a_0$. The arc a - whenever it meets a crossing point, call it $a_1, a_2, \dots, a_m = b$



Step 3: Replace the arc $\overline{a_0 a_1}$ by the larger arc $a_0 P_0' P_1 a_1$.
(cut at a)



Step 4: Continue in this manner till there is no maxima or minima left. What we have left then is a braid such that $\mathcal{Z} = K$.

Examples: ① 3_1 (done above)
② 5_1 .

ALEXANDER THEOREM:

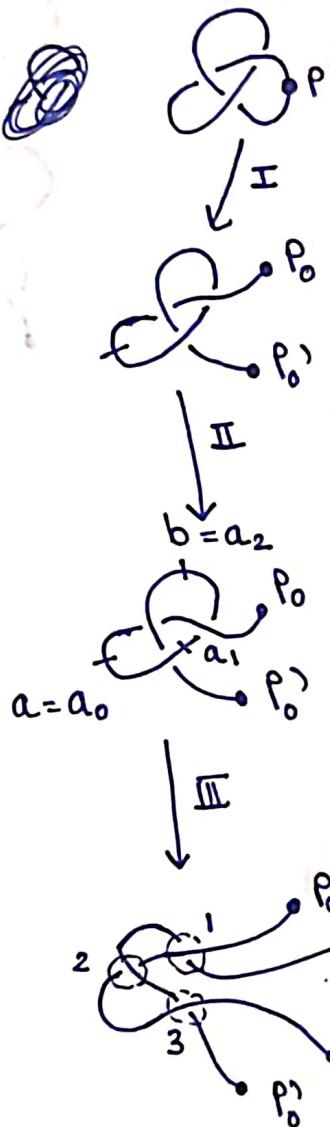
* Definition : Given a braid $\alpha \in B_m$, we form its closure by joining A_1 to A'_1 , A_2 to A'_2 , . . . A_n to A'_n by large non intersecting arcs which do not intersect the braid diagram. $\bar{\alpha}$ is a knot or link.

Alexander Theorem (1930)

Any knot (or link) K is the closure of some braid α , i.e.

$$\bar{\alpha} = K.$$

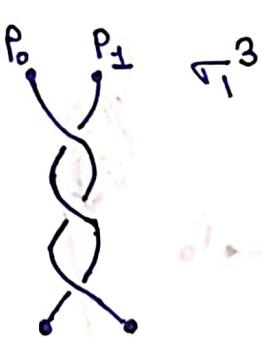
Proof :



Step 1 : Cut K at a point P (not a crossing point) and pull the loose ends apart, call them P_0 and P_0' .

Step 2 : If there is a local maxima, (b) then there exists a local minima (a). Call $a = a_0$. The arc a - whenever it meets a crossing point, call it $a_1, a_2, \dots, a_m = b$

Step 3 : Replace the arc $\overline{a_0 a_1}$ by the larger arc $a_0 P_1' P_1 a_1$.
(cut at a_1)



Step 4 : Continue in this manner till there is no maxima or minima left. What we have left then is a braid such that $\bar{\alpha} = K$.

- Examples :
- ① 3_1 (done above)
 - ② 5_1 .

Theorem: $\alpha \in B_n$, $\gamma \in B_n$

$M_1: \alpha \xrightarrow{\exists \alpha \gamma^{-1}} \text{then } \overline{\alpha} \cong \overline{\gamma \alpha \gamma^{-1}}$

$M_2: \alpha \xrightarrow{\exists \tau_n} \alpha \tau_n \text{ or } \alpha \tau_n^{-1} \text{ then } \overline{\alpha} \cong \overline{\alpha \tau_n}$

$\alpha \cong_{M, B}$ if we get α from β by finitely many Markov moves
 $\overline{\alpha} \cong \overline{\beta}$.

Eg $\sigma_1^{-1} \sigma_2 \sigma_1^{-1} \sigma_2 \sigma_3$

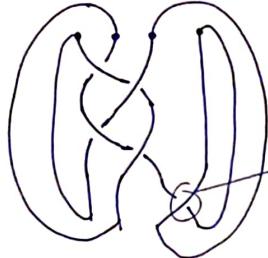
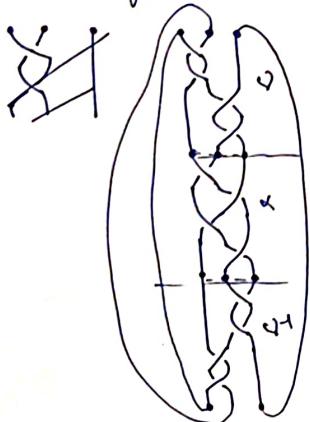


Figure 8 knot

Eg $\sigma_1^{-2} \sigma_2^{-2} \sigma_1^{-1} \sigma_2 \sigma_1^{-1} \sigma_2 \sigma_2^{-2} \sigma_1^{-2}$

\cong figure 8 knot

$\xrightarrow{4} \xrightarrow{4_1} \xrightarrow{2-1}$
 $\Rightarrow \alpha \cong \alpha'$ By first Markov move,
equivalent to 4_1 .



$\alpha \& \alpha'$ when connected, get unlinked. (See)

$$\begin{aligned} w_1 &= \sigma_2^{-1} \sigma_1^{-2} \sigma_1^{-1} \sigma_2^{-1} \in B_5 \\ w_2 &= \sigma_2^2 \sigma_3 \sigma_1^{-1} \sigma_3^{-1} \sigma_4 \in B_5 \end{aligned}$$

$$w_1 = \sigma_2 \sigma_1 \sigma_2 \sigma_1 \sigma_2^{-1} \quad (\text{remove } \sigma_4 \text{ (M2)}) \quad (M_1)$$

$$\sigma_2 (\sigma_1 \sigma_2 \sigma_1) \sigma_2^{-1}$$

$$\downarrow \quad \quad \quad (M_1)$$

$$\Rightarrow w_1 \cong \sigma_1 \sigma_2 \sigma_1$$

$$w_2 = \sigma_2^2 \sigma_3 \sigma_1 \sigma_3^{-1} \sigma_4 \quad \nabla$$

$$\downarrow \quad \quad \quad (M_2)$$

$$\sigma_2^2 \sigma_3 \sigma_1 \sigma_3^{-1} \quad (\sigma_i \sigma_j = \sigma_j \sigma_i \quad 1 \leq i, j \leq 2)$$

$$\downarrow$$

$$\sigma_2^2 \sigma_1$$

$$\Rightarrow w_2 \cong \sigma_2^2 \sigma_1$$

thus a given braid knot K can be formed as the closure of infinitely many distinct braids (Markov equivalent). Among them, \exists a braid α with minimum number of strings. The number of strings of α is called the braid index of K .

Braid index : $b(K)$ is an invariant of K (1930)
 $\rightarrow K$ is a knot formed as a closure of $\alpha \in B_n$; $P_K(N, B) \rightarrow$ Homfly polynomial of K .

- * $V\text{-span } P_K(N, B) \leq 2(n-1)$
- * $b(K) \geq \frac{1}{2} [V\text{-span } P_K(N, B)] + 1$
- * $b(K) = \frac{1}{2} (V\text{-span } P_K) + 1$

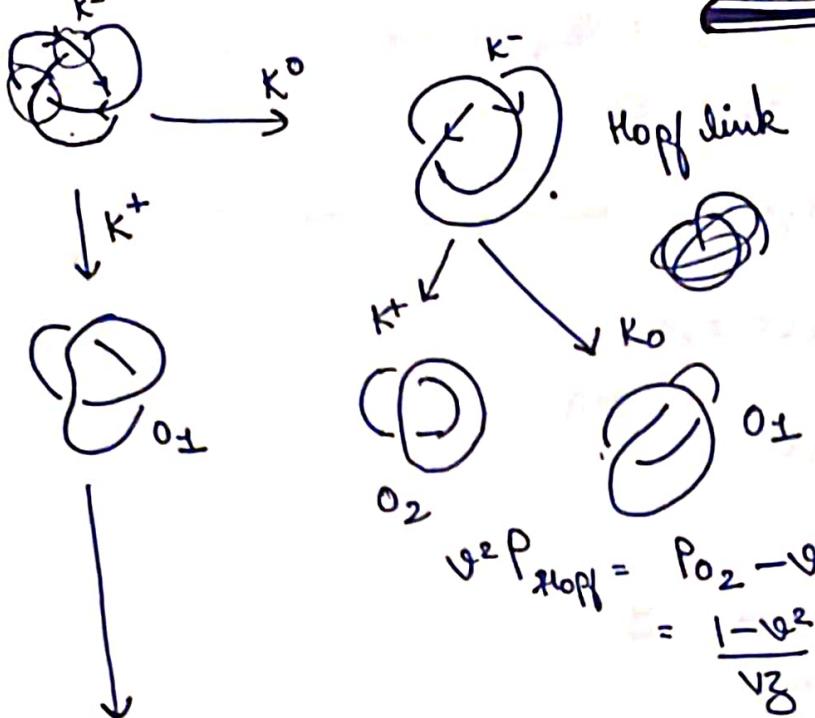
→ EXAMPLES

$$\textcircled{1} P_{O_2} \quad \textcircled{2} K^+ \quad \left(\frac{1}{V} p_{K^+} - \frac{1}{V} p_{K^-} = 3 p_{K_0} \right)$$

$$\begin{array}{ccc} \textcircled{3} Q & \textcircled{4} O & K^- \\ & & (P_{O_1} = 1) \end{array}$$

$$\begin{aligned} P_{O_1} &= V^2 P_{O_2} + V^3 P_{O_3} \\ \Rightarrow P_{O_2} &= \frac{1 - V^2}{V^3} \end{aligned}$$

(2)



$$\nu^2 P_{\text{Hoff}} = P_{O_2} - \nu z \\ = \frac{1 - \nu^2}{\nu z} - \nu z$$

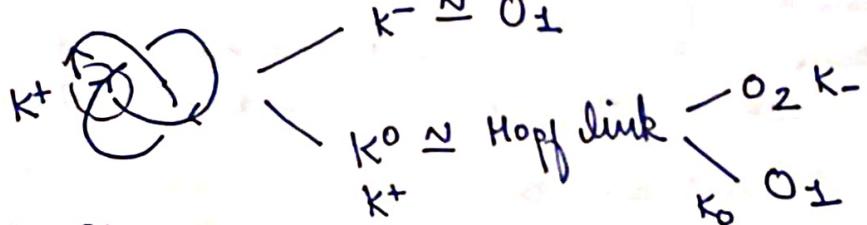
$$\nu^2 P_{31} = P_{K^+} - \nu z \left(\frac{1 - \nu^2}{\nu z} - \nu z \right) \\ = 1 - (1 - \nu^2 - \nu^2 z^2) \\ = \nu^2 (1 + z^2)$$

$$P_z = \boxed{1 + z^2}$$

$$\boxed{\nu^2 z^2 + 2\nu^2 z - \nu^4}$$

max v degree = 4
min v degree = 2
v-span = 2

(2) Tefoil



$$P_H = \nu^2 \left(\frac{1 - \nu^2}{\nu z} \right) + \nu z$$

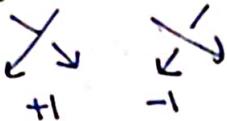
$$= \frac{\nu - \nu^3 + \nu z^2}{z}$$

$$P_{31} = \nu^2 + \nu^2 - \nu^4 + \nu^2 z^2 \\ = 2\nu^2 + \nu^2 z^2 - \nu^4$$

$$b(K) = \frac{1}{2} (\text{v-span}) + 1 = \frac{1}{2} (2) + 1 = \boxed{2}$$

Linking Number:

Def^r
(linking number)

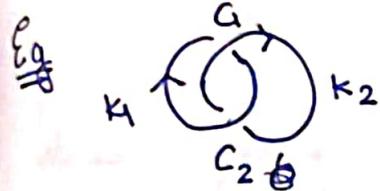


Let $L = \{K_1, K_2\}$ be a diagram of a 2-component link.

Suppose crossing points between $K_1 \times K_2$ are c_1, c_2, \dots, c_m , then

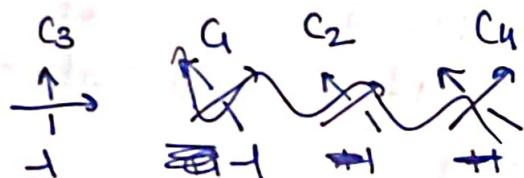
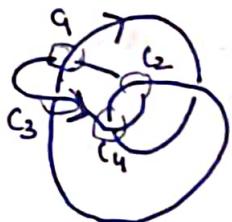
$$\text{the linking number } (K_1, K_2) = \frac{1}{2} \{ \text{sgn } c_1 + \text{sgn } c_2 + \dots + \text{sgn } c_m \}$$

Theorem: $\text{lk}(K_1, K_2)$ is an invariant of the link ~~for~~ $L = \{K_1, K_2\}$.



$$\begin{aligned} &\text{sgn}(c_2) = -1 & \text{lk}(K_1, K_2) = \\ &\text{sgn}(c_1) = 1 & \frac{1}{2}(-1) = -1 \end{aligned}$$

(only count the crossings where 2 knots intersect each other).



$$\text{lk}(K_1, K_2) = \frac{1}{2}(3-1) = 0 - 2$$



$$\text{lk}(K_1, K_2) = 0$$

$$\rightarrow L = (K_1, K_2)$$

L^* is got by ~~rot~~ exchanging all the crossings keeping orientations the same.

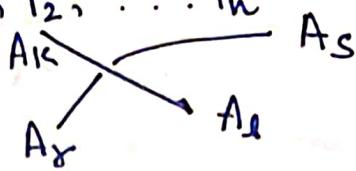
$$\text{lk}(L) = -\text{lk}(L^*)$$

$$\text{if } L \cong L^* \text{ (achiral)} \Rightarrow \text{lk } L = 0$$

$$\text{if } \text{lk } (L) \neq 0 \Rightarrow L \text{ is not achiral}$$

→ TRICOLOURABILITY

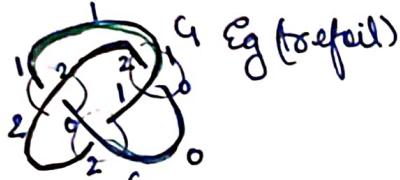
Suppose K is a knot or link with n crossing points P_1, P_2, \dots, P_n . At each crossing point P_i , we assign 3 colours:



such that

- ① $A_K \neq A_S$ have the same colour
- ② A_K, A_S, A_L either have all have the same colour OR all have different colours.

Definition: If we can do this tricolouration at all crossing points of K (using atleast 2 diff colours), K is said to be tricolourable.



Eg (trefoil)

Definition: Given a prime p , we assign a number from the set $\{0, 1, \dots, p-1\}$. We want that $\lambda_K = \lambda_L$

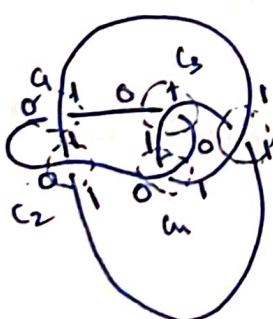
- ① $\lambda_K = \lambda_L$
- ② $\lambda_S + \lambda_L \equiv \lambda_K + \lambda_L \pmod{p}$

Eg - Trefoil done above. $p = 3$ here

$$C_1: (0+0) \pmod{3} = 0 \quad 0+0 = (1+2) \pmod{3}$$

$$C_2: (2+0) = (1+1) \pmod{3}$$

$$C_3: (0+1) = (2+2) \pmod{3}$$



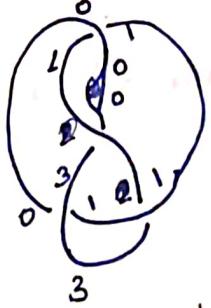
$$C_1: (1+1) = (0+0) \pmod{2}$$

$$C_2: (1+1) = (0+0) \pmod{2}$$

$$C_3: (0+0) = (1+1) \pmod{2}$$

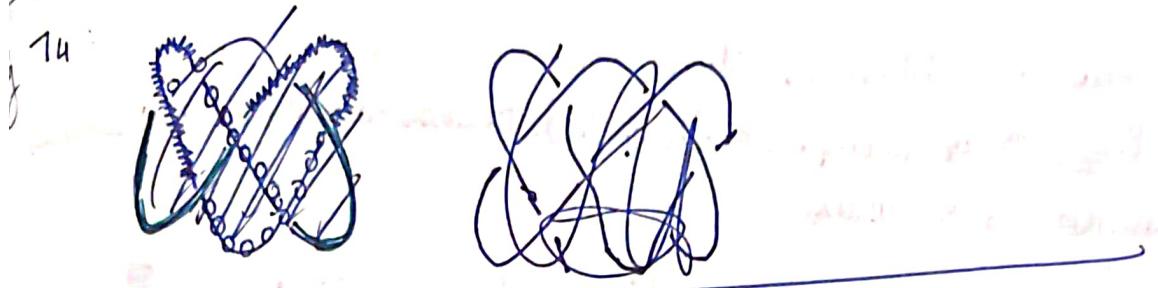
$$C_4: (0+0) = (1+1) \pmod{2}$$

FIGURE-8 - 5 colourable (congruent mod 5)

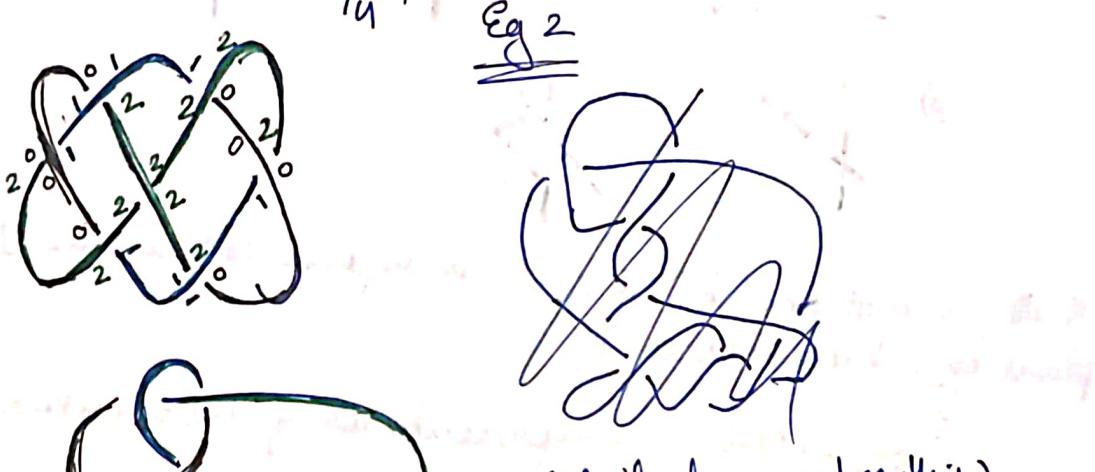


0, 1, 2, 3

→ CLASSICAL KNOT INVARIANTS - linking number and tricolorability



T₄ T_{4'}



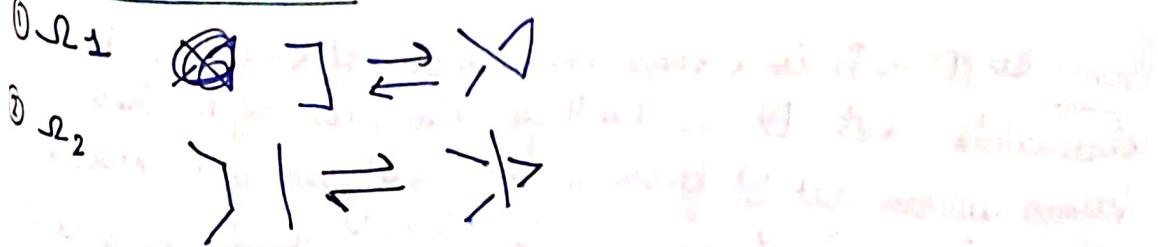
Eg 2



(similarly number this)

→ Definition:
two knots k_1 and k_2 are equivalent if we can go from k_1 to k_2 in
many knot moves.
Representing k in 2D is called a knot diagram.

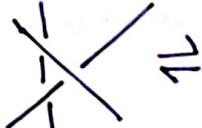
→ Reidemeister Moves



③ \rightarrow



(3rd R-move)



Definition: If D, D' are knot diagrams, we can get D from D' by finitely many of 3-R moves, then we say $D \cong D'$.

Reidemeister theorem

Suppose K, K' are knots with diagrams D, D' . Then $K \cong K' \Leftrightarrow D \cong D'$

Theorem: Tricolorability is a knot invariant

Proof: It is enough to show that tricolorability is preserved under 3 R-moves.

$$\textcircled{1} \quad \begin{array}{c} \diagup \\ \diagdown \end{array} \rightleftharpoons \begin{array}{c} \diagdown \\ \diagup \end{array}$$

$$\textcircled{2} \quad \begin{array}{c} \diagup \\ \diagdown \end{array} \rightleftharpoons \begin{array}{c} \diagdown \\ \diagup \end{array} =$$

$$\textcircled{3} \quad \begin{array}{c} \diagup \\ \diagdown \end{array} \rightleftharpoons \begin{array}{c} \diagup \\ \diagdown \end{array}$$

$K \in \mathbb{C}P^3$, a knot (or link) $\in \mathbb{C}P^3$, is represented on the 2D plane by a knot diagram D .



→ This is a representation of the 3 dim knot 3, on the 2D plane!

Knot diagram D of 3

→ Knot Diagrams:

$D_1 \cong D_2$ if we can go from D_1 to D_2 by finitely many R-moves

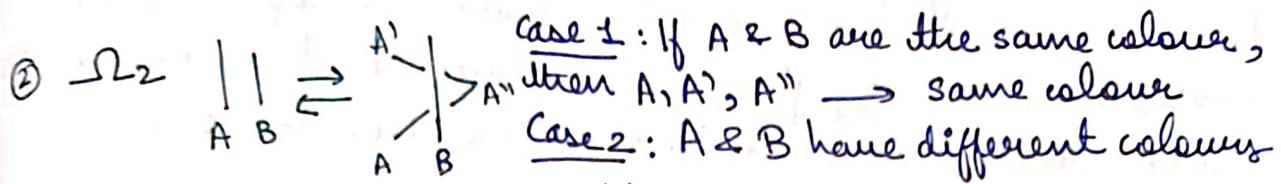
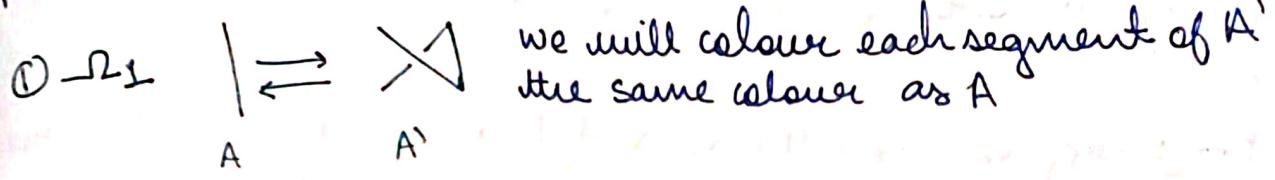
→ Reidemeister's theorem:

$$K_1 \cong K_2 \iff D_1 \cong D_2$$

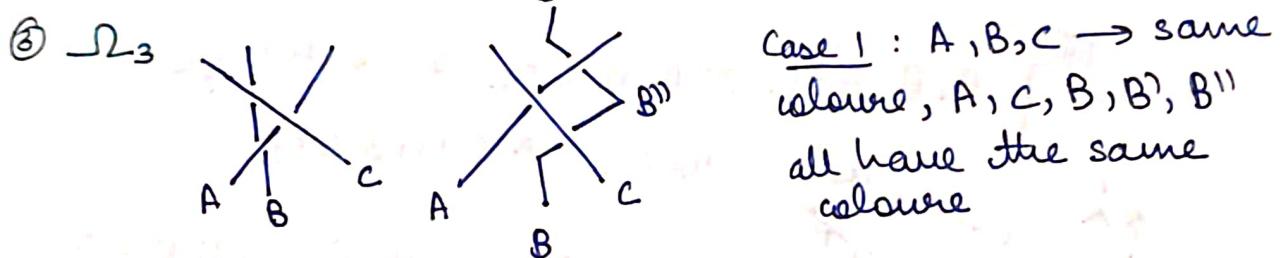
Let K be a knot (or link). If there is a regular diagram of K which is 3 colorable, then every diagram D' of K is 3 colorable. Such a knot K is 3 colorable.

Proof: Suppose D is a regular diagram of K which is 3 colorable. Let D' be another diagram of K , then we know we can get D' from D by finitely many R-moves. & these inverses. To prove 3 colorability, ~~it is~~ is a knot

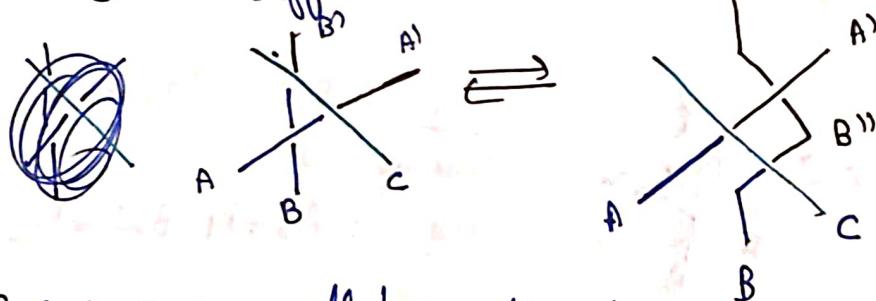
invariant, it is enough to show tricolourability is preserved under 3 moves.



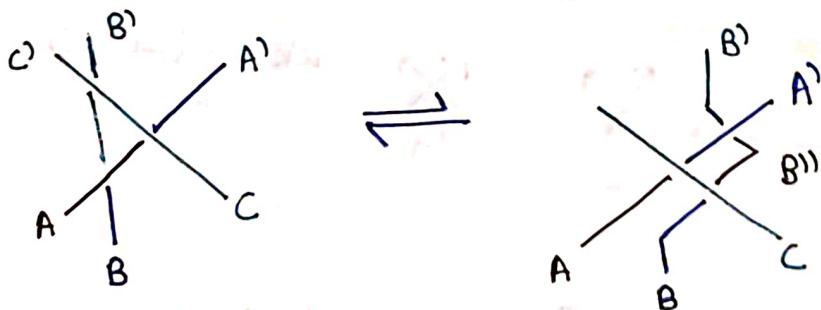
A & B retain their old colours, & A'' is given the third colour



Case 2: $A, B \rightarrow$ same colour
 $C \rightarrow$ diff



Case 3: $A, B, C \rightarrow$ all have diff colours



\therefore Tricolourability is preserved.

Theorem: Let $L = \{K_1, K_2\}$ be a 2 component link. Then, the linking number $\text{lk}(L) = \frac{1}{2}(\text{sgn}a + \dots + \text{sgn}c_n)$ is a link invariant.

Proof: Suppose D is a diagram of L and D' is another diagram of L . We know that we can get D' from D by applying 3 R-moves $\omega_1, \omega_2, \omega_3$ finitely many times. So it is enough to show that L is invariant under ω_1, ω_2 & ω_3 .

$$\textcircled{1} \quad \omega_1 \quad | \iff \quad \text{No effect on linking number} \quad \boxed{\text{lk} = 0}$$

$$\textcircled{2} \quad \omega_2 \quad A, B \in K_1. \quad A, B \in K_2 \Rightarrow \text{no change}$$

case 2: $A \in K_1, B \in K_2$

$$\begin{array}{ccc} \text{lk} = 0 & \xrightarrow{\quad} & \begin{array}{c} \nearrow c_2 \\ \searrow a \\ \nearrow c_2 \\ \searrow a \end{array} \\ \xrightarrow{\quad} & & \begin{array}{c} \nearrow c_2 \\ \searrow a \\ \nearrow c_2 \\ \searrow a \end{array} \quad \therefore \text{lk}(L) = \frac{1}{2}(1-1) = 0 \end{array}$$

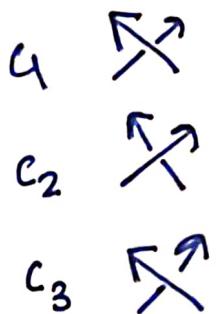
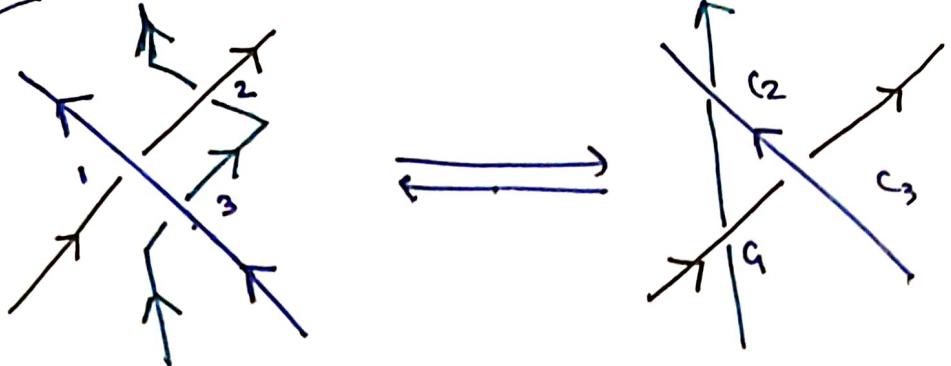
$$\textcircled{3} \quad \omega_3:$$

$$\begin{array}{ccc} \begin{array}{c} \nearrow c_1 \\ \searrow a \\ \nearrow c_1 \\ \searrow b \\ \nearrow c \end{array} & \xrightarrow{\quad} & \begin{array}{c} \nearrow c_1 \\ \searrow a \\ \nearrow c_1 \\ \searrow b \\ \nearrow c \end{array} \\ \text{case 1: } A, B, C \in K_1 / K_2 \Rightarrow \text{no} \\ \text{change (0)} & & \text{case 2: } A \in K_1, B, C \in K_2 \end{array}$$

$$\begin{array}{ccc} \begin{array}{c} \nearrow c_1 \\ \searrow a \\ \nearrow c_1 \\ \searrow b \\ \nearrow c \end{array} & \xrightarrow{\quad} & \begin{array}{c} \nearrow c_2 \\ \searrow c \\ \nearrow c_2 \\ \searrow c \end{array} \\ \text{lk} = \frac{1}{2}(1-1) = 0 & & \end{array}$$

$$\begin{array}{ccc} \begin{array}{c} \nearrow c_1 \\ \searrow a \\ \nearrow c_1 \\ \searrow b \\ \nearrow c \end{array} & \xrightarrow{\quad} & \begin{array}{c} \nearrow c_1 \\ \searrow c \\ \nearrow c_2 \\ \searrow c \end{array} \\ \text{lk} = \frac{1}{2}(1-1) = 0 & & \end{array}$$

Case 3 $A \in K_1, B \in K_2, C \in K_3$.



$$l = \frac{1}{2}(1-1+1) = \boxed{\frac{1}{2}}$$