

Czech Technical University in Prague

Department of Electromagnetic Field



BACHELOR THESIS

Surveillance FMCW Radar

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Study programme: Elektronika a komunikace

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II. ÚDAJE K BAKALÁŘSKÉ PRÁCI

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Student bere na vědomí, že je povinen vypracovat bakalářskou práci samostatně, bez cizí pomoci, s výjimkou poskytnutých konzultací. Seznam použité literatury, jiných pramenů a jmen konzultantů je třeba uvést v bakalářské práci.

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Pokyny pro vypracování:

Vypracujte rešerší principu fungování a použití FMCW radarů. Popište vlastnosti radarového modulu SiRad Easy, zkonstruujte vhodnou rotační platformu s krokovými motory a použijte tento radarový modul a platformu pro konstrukci přehledového radaru. Ovládání platformy i radaru implementujte v prostředí MATLAB. Ve vyvinutém řídícím programu musí jít nastavit základní parametry vysílaných čirpů, detekce cílů a vhodně detekované cíle zobrazovat. K detekci cílů použijte dostupné mezifrekvenční radarové signály.

Seznam doporučené literatury:

- [1] Brooker, M., G.: Understanding Millimetre Wave FMCW Radars, Proceedings of 1st International Conference on Sensing Technology, New Zealand, 2005
- [2] Winkler, V.: 'Range Doppler detection for automotive FMCW radars,' 2007 European Radar Conference, 2007
- [3] Bezoušek, P., Šedivý, P.: Radarová technika, Vydavatelství ČVUT, Praha, 2004
- [4] Rohling, H.: Radar CFAR Thresholding in Clutter and Multiple Target Situations, IEEE Transactions on Aerospace and Electronic Systems, 1983

DECLARATION

Já, níže podepsaný

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prohlašuji, že jsem bakalářskou prací s názvem

Pohledový FMCW radar

vypracoval samostatně a uvedl veškeré použité informace zdroje v souladu s Metodickým pokynem o dodržování etických principů při přípravě vysokoškolských záloh na nichž prací a Rámcovými pravidly používání umělé inteligence na VUT pro studijní a pedagogické účely v Bc a NM studiu.

Prohlašuji, že jsem v průběhu přípravy a psaní zálohy na práce použil nástroje umělé inteligence. Vygenerovaný obsah jsem ověřil. Stvrdzuj, že jsem si v domě, že za obsah zálohy na práce plně zodpovídám.

V Praze dne 12.05.2025

Kryštof Havránek

.....
podpis

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Keywords: FMCW, Surveillance Radar, ESP32, MATLAB, Digital Signal Processing, 3D Printing, G-code

Abstract: This thesis presents the development of a surveillance radar system utilizing the SiRad Easy FMCW module. FMCW (Frequency-Modulated Continuous Wave) technology enables precise distance measurement of targets while maintaining low power consumption. Initially, the module's capabilities are analyzed to identify its operational limits. A custom two-axis rotary platform is then designed and constructed to enable mechanical beam steering, achieving three-dimensional spatial monitoring. The platform is controlled by an ESP32C6 microcontroller, implementing a G-code-like command interface for convenient operation. MATLAB is employed to integrate the radar module with the platform to process the acquired data. While the system's performance limitations preclude real-time tracking of moving targets, it demonstrates ability to monitor diverse static environments, ranging from high-resolution close-range applications to long-range detection of targets up to 300 meters. To accommodate these use cases, the processing pipeline is designed with flexibility in mind, enabled by great degree of configurability.

Název práce: Přehledový FMCW radar

Klíčová slova: FMCW, Přehledový radar, ESP32, MATLAB, Číselné zpracování signálů, 3D tisk, G-code

Abstrakt: Práce se zabývá vývojem přehledového radarového systému využívajícího modul SiRad Easy FMCW. Technologie FMCW (Frequency-Modulated Continuous Wave) umožňuje přesné měření vzdálenosti cílů při zachování nízké spotřeby energie. Nejprve jsou analyzovány možnosti modulu za účelem stanovení jeho provozních limitů. Následně je navržena a sestavena dvouosá rotační platforma pro natáčení radaru, což umožňuje detekci cílů v prostoru. Platforma je řízena mikrokontrolérem ESP32C6 a ovládána příkazy podobným G-kódem. Jazyk MATLAB je použit pro integraci radarového modulu s platformou a zpracování získaných dat. Přestože parametry použitého radarového modulu znemožňují sledování pohyblivých cílů, systém vykazuje schopnost monitorovat různorodé statické prostředí – od aplikací s vysokým rozlišením na krátkou vzdálenost až po detekci cílů na vzdálenost až 300 metrů. Proces zpracování dat je navržen s důrazem na flexibilitu, kdy jeho části mají nastavitelné parametry, či se dají vypnout.

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Abbreviations

Abbreviation	Meaning
AGC	Automatic Gain Control
CFAR	Constant False Alarm Rate
CW	Continuous Wave
DBSCAN	Density-Based Spatial Clustering of Applications with Noise
DFT	Discrete Fourier Transform
devkit	Development Kit
FFT	Fast Fourier Transform
FMCW	Frequency-Modulated Continuous Wave
MCPWM	Motor Control Pulse Width Modulation
MIMO	Multiple Input Multiple Output
PCNT	Pulse Counter
RCS	Radar Cross Section
RMT	Remote Control Transceiver
SIMD	Single Instruction, Multiple Data
SISO	Single Input Single Output
SNR	Signal-to-Noise Ratio
TSV	Tab Separated Values

Introduction

The following thesis si focused on realization of a generic surveillance radar system based on FMCW (Frequency-Modulated Continuous Wave) technology. Conventional FMCW-based surveillance radars often employ multiple receiving antennas or are implemented as MIMO (Multiple-Input Multiple-Output) systems. In MIMO configurations the radar beam is electronically steered using positive interference to scan the environment both in azimuth and elevation. Simpler systems, which use only multiple receiving antennas, typically enabled detection only in azimuth by analyzing the phase difference between signals received by each antenna [1]. However, all these systems generally require significant processing power, are costly and are not capable of providing comprehensive 3D spatial information, due to their limited field of view.

To address these limitations, this thesis implements a simpler approach: a radar system with just a single RX and TX antenna, where the beam is steered mechanically using a rotary platform. Initially, the capabilities of the SiRad Easy[®] evaluation board are assessed. According to these a custom two-axis rotary platform is designed. Both components are then integrated into a unified system, controlled and managed via a MATLAB desktop application. This involves both hardware – namely the rotary platform – and software – control of the platform and processing of radar data. Similar systems have been previously developed – both those using a single axis of rotation [2, 3] or complex commercial solutions enabling surveillance of a whole 3D space [4].

FMCW radar operates by transmitting a continuous signal whose frequency is modulated over time. By mixing the transmitted and received signal, harmonic components are produced, with frequencies proportional to the distance of a target [5]. Applying a Fourier transform to the mixed signal enables the determination of object distances within a scene. Compared to a pulsed radar, FMCW can provide accurate distance measurements with relatively low power consumption [6]. Velocity estimation is also possible by exploiting the Doppler effect. However it's nature is more complex than in pulsed systems.

This thesis focuses on the SiRad Easy[®] radar system – a low-cost evaluation board designed to familiarize users with FMCW technology, offering both 24 GHz and 122 GHz headers [7]. This versatility allows for a wide range of applications. High bandwidth 122 GHz header is suitable for short range use cases. While the 24 GHz header is more appropriate for long range applications, with a maximum advertised range of 400 meters [8]. However, due to its relatively low sampling rate and use of a modest microcontroller, the SiRad Easy[®] is not particularly well-suited for speed measurement: the maximum measurable velocities are well below one meter per second, and even then, measurement accuracy is limited.

To complement the radar, a custom two axis rotary platform was designed and constructed for this thesis. While commercial solutions exists [9, 10], they are often prohibitively expensive and include unnecessary features. Therefore, a more affordable platform was built from off-the-shelf and 3D-printed components. Controlled by an ESP32C6 microcontroller, that interprets G-code-like commands.

Data from both the radar and the platform are processed and visualized within MATLAB. The processing pipeline follows standard approaches, utilizing tech-

niques such as FFT (Fast Fourier Transform) and CFAR (Constant False Alarm Rate) [11]. To enable greater flexibility the pipeline is designed to be quite customizable, allowing user to adjust parameters or disable certain steps. Processed data are then stored in radar cubes – a common structure in radar applications [11]; which facilitates the implementation of more advanced post-processing algorithms. For visualization, the system supports both 2D and 3D representations.

The thesis is organized into five main chapters. The first provides the theoretical background of FMCW radar technology and its advantages over alternative radar methods. The second chapter focuses on the SiRad Easy[®] evaluation board, particularly its suitability for surveillance radar applications. The third chapter describes the design requirements and development process of the custom rotary platform, including its control software. The fourth chapter gives an overview of the MATLAB desktop application used to control the system and process radar data. Finally, the fifth chapter delves deeper into the data processing pipeline and available visualization methods.

1. FMCW Radar Fundamentals

Classical CW (Continuous Wave) radars broadcast a signal only on a single frequency, while FMCW radars make a linear sweep across a given bandwidth. This approach enables accurate range estimation at low power consumption and still allows speed measurements using the Doppler shift. However, velocity calculations in FMCW radars are more complex compared to those in single-frequency CW radars.

The "MW" suffix in FMCW radar denotes that the system operates in the microwave frequency range. These high frequencies enable the use of compact antenna arrays, which can sometimes even be integrated directly on-chip. Additionally, the millimeter-wave portion of the spectrum is typically license-free [12] and offers large bandwidths, reducing a risk of interference.

1.1 Comparison FMCW Radar to Pulse Radar

Distance measurement using radar predates FMCW technology by several decades. Early radar systems primarily relied on pulsed signals, where distance can be calculated from the time of flight, t , of the signal as

$$d = \frac{c_o \cdot t}{2}. \quad (1.1)$$

Speed calculation is also relatively straightforward, as the Doppler effect is quite clear

$$v = \frac{f_{\text{dop}} c_o}{2 f_{\text{rad}}}, \quad (1.2)$$

where f_{dop} is the Doppler frequency, c_o is the speed of light and f_{rad} is the frequency of the radar signal [6].

While this approach is conceptually straightforward, it has several limitations, particularly in applications requiring high precision at close range. Achieving fine resolution in distance measurement necessitates very short pulses. However, to maintain sufficient SNR (signal-to-noise ratio), the transmitted pulse power per pulse must remain high, regardless of its width [6].

Maintaining high average transmission power poses legal and technical challenges. Risk of interference with other devices is increased and such system demand bulky, high-power circuitry – often with high voltages and even vacuum tubes. Consequently, pulsed radar is predominantly used in applications where fine range resolution is not essential, such as long-range target detection.

One key advantage of pulsed radar is its relatively simple data processing. In contrast, FMCW radar data processing is more complex due to the interdependence of distance and velocity measurements as both manifest themselves as frequency shift.

1.2 Basic Principles of Ideal FMCW Radar

Let us picture an ideal FMCW radar system placed in a scene with a single large target that reflects all incoming signals. The radar is broadcasting with a

1. FMCW Radar Fundamentals

periodically repeated frequency sweep from f_c to $f_c + BW$ creating a sawtooth waveform. Aside from a sawtooth, other modulations are also frequently used, such as linear triangular modulation or segmented linear frequency modulation. These offer some advantages, but the nature of the beat signal, which forms a sine wave with sawtooth modulation, is more complex. This is especially true in the case of triangle modulations when multiple targets are present [6]. SiRad Easy[©] kit, used in this thesis, technically uses a segmented linear frequency modulation due to its limited computational power [13], but its mathematical principles are similar to those for sawtooth.

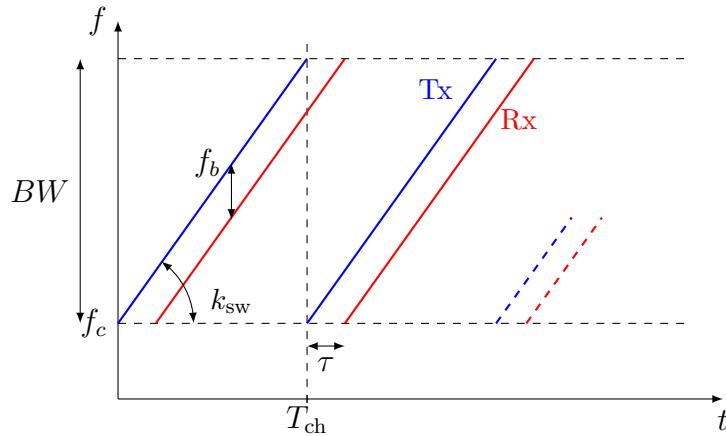


Figure 1.1: Ideal relation of frequency on time for received and sent signal [14]

We can clearly see that, in a given time t , the frequency spread from the sent signal to the received signal is proportional to the time delay τ . However, performing a simple subtraction in the spectrogram of both signals isn't really feasible; the calculation needs to take a smarter approach.

Let us define the chirp slope k_{sw} with which we can describe the change in frequency of the broadcasted signal as

$$\Delta f_s(t) = k_{\text{sw}}t = \frac{BW}{T_{\text{ch}}}t, \quad (1.3)$$

where t is the time, ranging 0 to chirp length T_{ch} . The standard equation of an frequency modulated signal can be written as

$$s_t(t) = A \cos \left(\omega_c t + 2\pi \int_0^t f_s(t) dt \right), \quad (1.4)$$

where A is the amplitude of the signal, ω_c is the carrier frequency and $f(s)$ is the frequency of the signal. Substituting (1.3) into (1.4) we get the signal broadcasted by the radar [15]

$$s_t(t) = A \cos(\omega_c t + \pi k_{\text{sw}} t^2). \quad (1.5)$$

The signal bounced back from a target will have the same equation, only shifted by the time delay τ ,

$$s_r(t) = A \cos(\omega_c(t - \tau) + \pi k_{\text{sw}}(t - \tau)^2). \quad (1.6)$$

1. FMCW Radar Fundamentals

Now we can calculate the product of the two signals; this can be done easily in the real world using a frequency mixer. The result of the multiplication is [15]

$$s(t) = s_r(t) \cdot s_t(t) = \frac{A^2}{2} \cos(2(\omega_c - 2\pi k_{sw} T_\tau)t + 2\pi k_{sw} t^2 + (\pi k_{sw} \tau^2 - \omega_c \tau)) + \\ + \frac{A^2}{2} \cos(2\pi k_{sw} \tau t + (\omega_c \tau - \pi k_{sw} \tau^2)). \quad (1.7)$$

The first additive term will lead to a signal with a very high frequency, well above $2\omega_c$. This term doesn't carry any useful information and is usually filtered out – either by a low-pass filter or the frequency mixer itself. The second term is the so-called beat signal, whose frequency is directly proportional to the time delay τ . Deriving the second argument by time, we get the frequency of the beat signal [5]

$$f_b = \frac{1}{2\pi} \frac{\partial}{\partial t} (2\pi k_{sw} \tau t + (\omega_c \tau - \pi k_{sw} \tau^2)) = k_{sw} \tau. \quad (1.8)$$

Calculating the distance to a target is now trivial as the delay τ is equal to the time it takes for the signal to travel to a target and back [5]

$$R = \frac{c_0 \tau}{2}, \quad (1.9)$$

By substituting (1.8) into (1.9), we get the equation for a distance [5]

$$R = \frac{c_0 f_b}{2k_{sw}} = \frac{c_0 f_b T_{ch}}{2BW}. \quad (1.10)$$

1.2.1 Limits of Range Measurement

The maximal distance is given by the time it takes for the signal to travel from the radar to a target and back. If the distance were greater than the time of a single chirp, the signal would be interpreted as coming from a closer target. This gives us a maximal limit on the beat frequency, $f_b = BW$.

However, in most cases, the limit will be imposed not by T_{ch} respectively BW but by the sampling frequency f_s . In order to avoid aliasing, the Nyquist-Shannon theorem must be satisfied, thus limiting the maximal beat frequency to $f_s/2$ and resulting in a maximal distance of [6]

$$R = \frac{c_0 f_s}{4k_{sw}}. \quad (1.11)$$

While sampling with frequency f_s we get $N = f_s T_{ch}$ samples. Applying a DFT to the signal we get N samples in spectrum with frequency resolution of

$$\Delta f_b = \frac{f_s}{N} = \frac{1}{T_{ch}}. \quad (1.12)$$

We can see that the resolution of the spectrum is only inversely proportional to the chirp length and doesn't have any relation to the sampling frequency [6]. Now we can substitute Δf_b into (1.10) to get the minimal distance that can be measured as

$$\Delta R = \frac{c_0}{2BW} \quad (1.13)$$

Thus, in order to increase resolution in range, a wider bandwidth is needed [5].

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There are, of course, other effects impeding the resolution of the radar system, such as phase noise around targets or sweep nonlinearity. Sweep nonlinearity can be either in the ramp itself, leading to decreasing resolution with range (with both linear and quadratic errors present) [5], or in sweep recovery (time to return to the start of the sweep), which leads to a constant decrease in resolution [16]. Both are, however, largely compensated in modern radar systems by using a closed feedback loop [5].

1.2.2 Speed Measurement

In order to demonstrate the effect of moving target on the beat frequency, we can redefine the time delay τ as

$$\tau = \frac{2(R_0 + vt)}{c_0} \quad (1.14)$$

where R_0 is the initial distance to a target and v is the radial speed of a target. Within a single chirp, there is no way to distinguish between the effects of distance and speed of the target; thus, multiple chirps are needed [15]. We can rewrite the equation (1.14) as

$$\tau = \frac{2(R_0 v(nT_{\text{ch}} + t_s))}{c_0} \quad (1.15)$$

where n is the number of chirps, T_{ch} is the chirp length (or in case of segmented signal whole cycle duration) and t_s denotes time within a single chirp ($0 \leq t_s \leq T_{\text{ch}}$). Substituting (1.15) into low-frequency part (1.7) leads to a very complex equation. However, according to [15], most of its terms can be neglected, with result being

$$s(t_s, n) = \frac{A^2}{2} \cos \left(\frac{4\pi k_{\text{sw}} R_0}{c_0} t_s + \frac{2\omega_c v n}{c_0} T_{\text{ch}} + \varphi_0 \right), \quad (1.16)$$

where φ_0 is a phase shift given by the initial distance to a target. It is clear that the first element describes predominantly the distance to a target, and the second one describes the speed of a target. We can also see that speed will not affect the beat frequency in a single spectrum but will lead to a phase shift across multiple spectra [15].

In order to calculate the Doppler shift frequency,

$$f_d = \frac{2f_c v}{c_0}, \quad (1.17)$$

where f_c is the center frequency, a 2D Fourier transform can be used. First, an FFT is run on each individual chirp, and then another FFT is applied to these individual outputs in order to determine the phase shift. Given that the FFT spectrum is calculated from complex data, both halves of the spectrum contain useful information. After applying `fftshift`, we get a spectrum from negative radial speeds (moving away from the radar) to positive (moving towards the radar) with zero in the middle. This will lead to a so-called range-Doppler map, which on one axis contains information about speed and on the second axis contains information about the distance of the target.

Speed resolution is derived from the number of chirps N we are analyzing and their length T_{ch} as [15]

$$\Delta v = \frac{c_0}{2f_c} \frac{1}{NT_{\text{ch}}}. \quad (1.18)$$

1. FMCW Radar Fundamentals

The maximal speed is dictated by how large of a phase shift we can measure without ambiguity, that is $\pm\pi$. From (1.16), we can formulate the maximal speed measurable as

$$v_{\max} = \frac{c_0}{4f_c T_{\text{ch}}},$$

according to [17]. But given the nature of FFT, this speed applies to the negative side of the spectrum – that is, for targets moving away from the radar. In the case where the target is moving towards the radar, the speed limit is smaller by a single speed bin width

1.3 Radar Equation

Fundamental to all radar systems is the so-called radar equation. This formula establishes a relation between the transmitted/received power, the Radar Cross Section (RCS) of the target, and other system parameters to the maximal range of the radar. It can be written as

$$R_{\max}^4 = \left(\frac{P_{\text{CW}} G_T G_R \lambda^2}{(4\pi)^3 L k T F_R B_{\text{Ro}} (SNR_{\text{Ro}})} \sigma_T \right), \quad (1.19)$$

where P_{CW} is the average system power in watts, G_T and G_R gain of transmitting and receiving antennas, σ_T is the radar cross section of a target, λ is wavelength, L is the system loss, k is the Boltzmann's constant, T is the effective temperature of the system in Kelvin, F_R is the receiver noise figure, B_{Ro} is the receiver bandwidth and SNR_{Ro} is required SNR [6].

Knowing all parameters, or calibrating the system based on a known reference, we can calculate the target's RCS. This value gives us a much clearer understanding of the target as opposed to just displaying the power received or its logarithm. This is due to RCS being a constant value for a given target and its orientation, regardless of the distance [11].

1.4 Angle of Arrival/Spatial Information

Commonly, in order to get spatial information, radars rely on multiple RX antennas. This allows for the estimation of the angle of arrival (AoA) of the target by analyzing phase differences between signals received on different antennas [15]. However, usually, only a single linear series of RX antennas is employed; therefore, only one angle can be estimated.

More complex MIMO systems employ not only multiple RX antennas but also multiple TX antennas. Multiple TX antennas enable beamforming, which is the process of steering the radar beam in a specific direction using positive interference. If antennas are still arranged in a linear array, this only makes the angle of estimation more accurate by effectively creating virtual RX antennas. However, if a 2D arrangement of antennas is used, the system can estimate both azimuth and elevation angles [1].

While these systems allow for superb performance, they are also very expensive and require a lot of processing power. Therefore, this thesis works with a simpler and older solution: a system with a single RX antenna and TX antenna, where the radar is pointed in a given direction mechanically. This approach is

1. FMCW Radar Fundamentals

not only cheaper but also allows for the gathering of information about the whole 3D space with just a single radar.

1.5 CFAR

CFAR is a simple algorithm that enables basic target detection in noisy radar data. For each range bin, its neighborhood's power level is calculated. This neighborhood is separated by a number of guard cells from the cell under test, which do not partake in the calculation. If the bin under test is greater than the scaled average power level of the neighborhood, we can assume that there is a target present [6].

There are many different variations of CFAR, each with its own advantages and disadvantages. What was described is a basic cell averaging CFAR (CA-CFAR). This algorithm works best in homogeneous environments, where the noise level is relatively constant across the neighborhood. In cases where this cannot be assumed, for example, CFAR with the greatest of the neighborhood (CAGO-CFAR) can be used. This algorithm works by taking the maximum value of the neighborhood and comparing it to the bin under test instead of the mean [18].

2. SiRad Easy[®]

Indie Semiconductor's SiRad Easy[®] is a FMCW radar system development kit designed primarily for automotive applications. Out of the box, it offers two headers a 24 GHz and a 122 GHz both based on ICs from Indie Semiconductor (TRX-024-007 and TRA-120-001, respectively). Both are strictly SISO transceivers with two antennas: one for receiving and another for transmitting. So azimuth estimation is not possible out of the box.

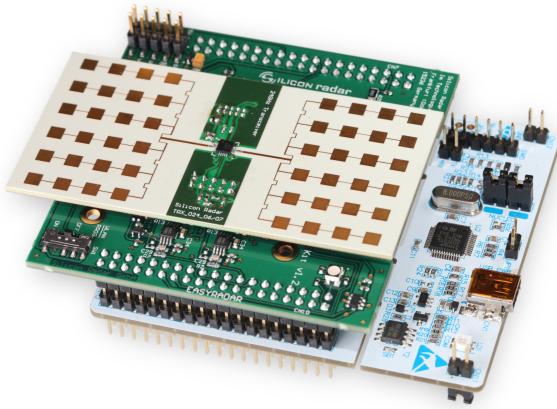


Figure 2.1: SiRad Easy[®] 24 GHz configuration [8]

Direct communication with the radar header is not possible, or at least, the communication interface is undocumented. Instead, there is always an intermediary in the form of an STM32 Nucleo series microcontroller. To this microcontroller, the user connects either directly with UART over USB or with WiFi, enabled by an on-board ESP32. Both are relatively low-bandwidth communication methods, with the serial maxing out at a baud rate of 1,000,000 [7].

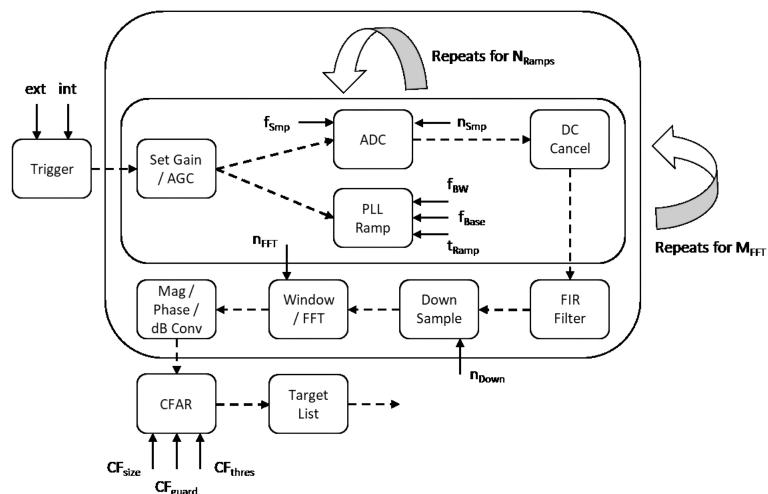


Figure 2.2: Flow of Radar Measurement on SiRad Easy[®] [13]

The devkit is designed for easy integration into existing projects; however, this unfortunately poses limitations for this thesis. In normal operation, the radar system functions as a black box (processing schema shown in 2.2), implementing

2. SiRad Easy[®]

its own filtering, FFT, and CFAR algorithms while only reporting a target list to the user.

This entire sequence started either by internal trigger or with external input (Be it via a GPIO pin or UART.). Once the trigger is received, the device carries out a user-configured number of chirps. Each with length determined by the number of sampling steps and the ADC sampling time as follows

$$t_{\text{ramp}} = \frac{t_{\text{ADC}} \cdot (N_{\text{samples}} + 85)}{36 \text{ MHz}} \mu\text{s}. \quad (2.1)$$

The manufacturer recommends keeping r_{ramp} around 1 ms for a good SNR [13].

SiRad is designed to leverage coherent averaging to improve SNR of the output. This is achieved by broadcasting multiple ramps in series and averaging the results. While this technique was found to aid in measurement in a cluttered environments averaging effectively destroys the information about the Doppler shift. Additionally, due to the devkit's limited computational resources instead of a sawtooth waveform the radar broadcasts a segmented one with significant delays in between [13].

The devkit is clearly focused primarily on range measurement with little to no regard payed for speed calculation. Not only are chirps spaced with large delays (at minimum some 20 ms) but the radar doesn't even maintain constant intervals between chirps. This is the case even when relying on manual triggering of the radar. When using radar's self trigger results are even worse.

When measuring reporting interval with 20 ms trigger the radar achieved average time between updates of 20.5 ms with standard deviation of 1.3 ms. However from time to time they were outliers when report came in only after 30 ms in the worst case or just 16 ms in the best case. This was on relatively small dataset of 5000 samples. In order to rule out that the problem isn't only in reporting output signal from the radar was captured by Rohde & Schwarz FSW26 Signal and Spectrum Analyzer. By analyzing some 40 samples, broadcasted with the same trigger interval of 20 ms, average time between chirps was 20.7 ms with standard deviation of 0.7876 ms thus proving that broadcast itself is irregular.

Unfortunately as the radar doesn't maintain constant intervals between chirps speed measurement precision is severely limited. This could be partially mitigated if we knew when the chirp was broadcasted, giving us ability to introduce a correction. However no such information is provided by the radar. Thus each chip is timestamped with it's time of arrival which is assumed to be the time of chirp broadcast. Using this timing information speed spectrum can still be estimated using non-uniform DFT.

According to the radar's manual [13], the following equation was used to calculate the width of a single range bin:

$$\Delta R = \frac{c_0(N_{\text{samples}} + 85)}{2 \cdot BW \cdot NFFT}, \quad (2.2)$$

where the variable N denotes the number of samples and $NFFT$ the number of DFT points used to calculate the spectrum. Except the corrective constant of 85 it closely follows the formula (1.10).

As for velocity the manufacturer doesn't recommend any formula so (1.18) was used with T_{ch} substituted by the user-chosen trigger period. However, as the minimal period of chirps is rather high, not to mention the radar doesn't

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maintain constant intervals between chirps, the velocity measurement is not very practical. Were we to use 24 GHz header with 25 ms trigger we get maximal speed of 0.124 m/s and on low 8 NFFT steps and the resolution is only 0.031 ms^{-1} .

This lack of performance severely limits the radar's usability with regard to surveillance applications. Most surveillance radars are designed to monitor dynamic environments, where targets are moving, usually at much higher speeds than the SiRad Easy[®] can measure. This radar is completely unsuitable for such applications and is mainly applicable to static environments. For example, in a parking lot, an operator can detect if a car is parked in a given spot or not. However, besides such binary information about the presence of a target and its distance, not much more can be said.

2.1 Outline of the Chosen Configuration

For the purpose of this project, the devkit was configured to output raw data from the 12-bit ADC in the form of in-phase and quadrature components of the signal. This allows for more complex processing than if the spectrum was calculated on the radar itself. However it must be said that regardless of the output format the reported values do not use the full 12-bit range, highest values reported were around ± 60 .

Given the unreliability of the radar's internal internal trigger the device was set up to be triggered externally. In order to not complicate the system too much, trigger over serial line was used.

Another parameter to consider in relation to surveillance application was AGC. Using it adds two additional ramps that are used solely to set the gain value, leading to some 3 ms delay. However, a bigger problem is the fact that the radar doesn't report the gain value. Using AGC could then lead to situations where the weighting of the data is not constant across rotation. Thus, it was deemed safest to turn AGC off and set the gain manually, depending on how the readings appear after processing.

It is also important to note that the radar system is not well-suited for on-the-fly configuration changes. Applying a new configuration takes some time, and the radar does not provide any feedback to indicate when a new configuration was applied. As a result, commonly used techniques such as alternating chirp slope are not feasible.

In regard to the output format, the radar system supports two options: binary and TSV. Since the output speed does not differ significantly between the two, the primary deciding factor was ease and speed of parsing. Even when MATLAB is not particularly optimized for parsing binary data, it still processes binary output approximately 40% faster than human-readable TSV data.

Other parameters, such as sampling frequency and the number of samples, were left to the user to configure. Setting these strongly depends on which header is used and the environment in which the radar is in. Thus, making their configuration static wouldn't be a good idea.

2.2 24 GHz Header

The core block of 24 GHz header is a SISO TRX-024-007 transceiver, which integrates low noise amplifier, frequency mixer, filters and VCO into a single package. It is primarily designed to operate in the ISM band (24.0–24.25 GHz), but also supports an additional ultra-wideband mode with a frequency range from 23 GHz to 26 GHz [19]. On the SiRad Easy[®] there is no distinction made between those two modes and the user is free to set any bandwidth they want [13]. The transmitter output power ranges from 2.5 dBm to 6 dBm, depending on the configuration [19]. A maximal range of 400 m is advertised [8], though in practice only ranges of around 300 m were achieved, with target being a multistory building.

As shown in figure 2.1, the chip is connected to two microstrip patch antennas. The patches are arranged in a relatively standard configuration, forming a 6×4 array with spacing approximately equal to half the wavelength at 24 GHz.

Since the manufacturer did not provide any information about the radiation pattern of the array, a simulation was conducted using the CST simulation suite. Additionally, the manufacturer did not disclose the substrate parameters for the radar board specifically. Fortunately, the TRX-024-007 datasheet includes a board stack-up for the chip's evaluation board. It was assumed that the same stack-up would be used: 18 μm copper for the traces and ground plane, with a 250 μm thick Rogers RO4350B substrate [19].

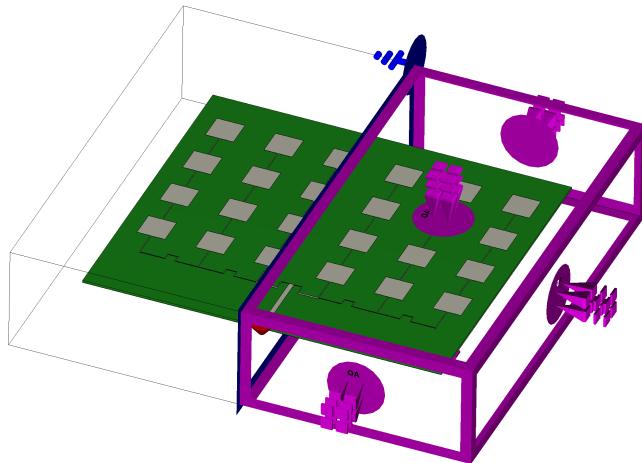


Figure 2.3: Simulated 24 GHz header with boundary conditions showed

After measuring the dimensions of the array using an optical microscope, the entire array was redrawn in CST Studio. Figure 2.3 shows the applied boundary conditions: the antenna was placed in open space, and a $H_{\text{norm}} = 0$ condition was set along the symmetry plane to speed up the simulation.

After performing a standard time-domain simulation with an excitation signal ranging from 0 to 26GHz, the antenna array exhibited a minimum reflection coefficient $s_{11} \doteq -26.4$ dB at 23.478 GHz with second minimum at 24.518 GHz (Figure 2.4). The lack of minimum at 24 GHz is likely due neglecting imperfection in the manufacturing process where roughness of the copper traces manifests as a change in the effective dielectric constant of the substrate.

At both frequencies with minimal reflection, a far-field radiation pattern was calculated. For clarity, only the 24.518 GHz pattern is shown in figure 2.5. The

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main lobe width was measured at approximately 16 degrees (Figure 2.6) along the 180-degree norm, with a peak gain of 18.6 dBi and side-lobe suppression of -13 dB. For the 90-degree norm, the main lobe width (Figure 2.7) was 30 degrees, with side-lobe suppression of -10 dB.

It's clear that, especially in the 90-degree norm, the beam isn't that narrow. Thus, this header is not suitable for applications where accurate elevation information is needed. However, if only a low degree of accuracy is required or a wider field of view would be beneficial, this header is a good choice. With wider beam the radar can gather more information about the environment faster compared to one with a narrower beam. In industry, some surveillance radars are also designed to have a wide beam in elevation, such as some Air Traffic Control radars [20] or even some ground radars [4]. Still, usually, solutions such as low/high beam switching are preferred instead of relying purely on a wide beam [21].

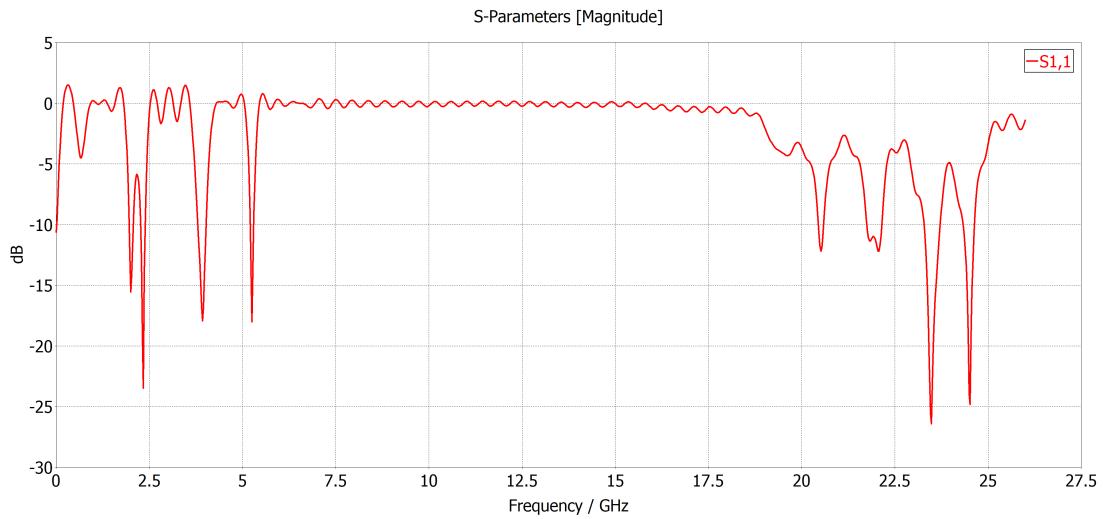


Figure 2.4: s_{11} parameter of the 24 GHz header

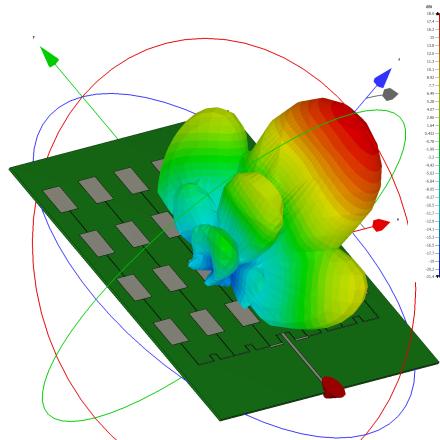


Figure 2.5: Radiation pattern of 24 GHz header – 3D view

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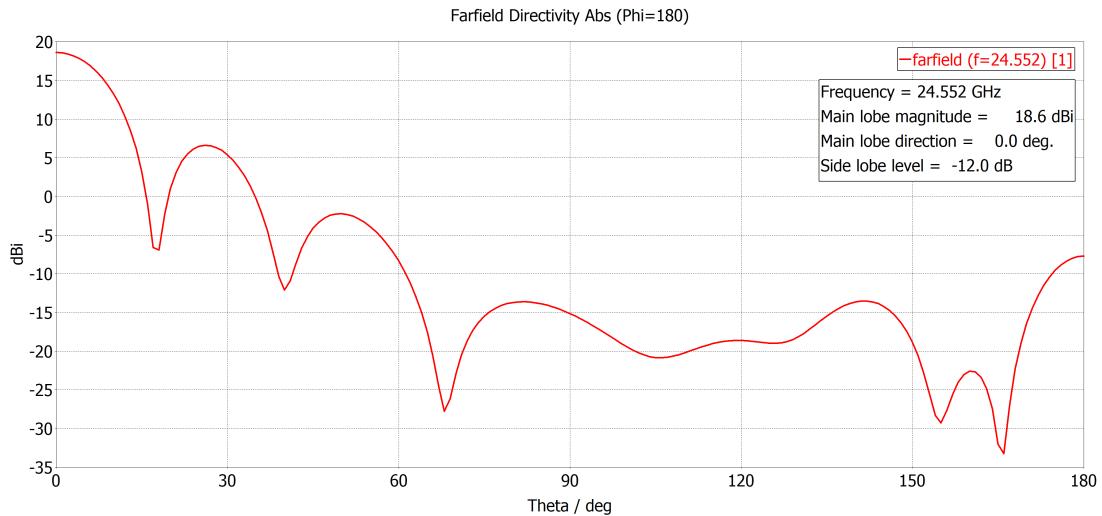


Figure 2.6: Radiation pattern of 24 GHz header – 180° norm

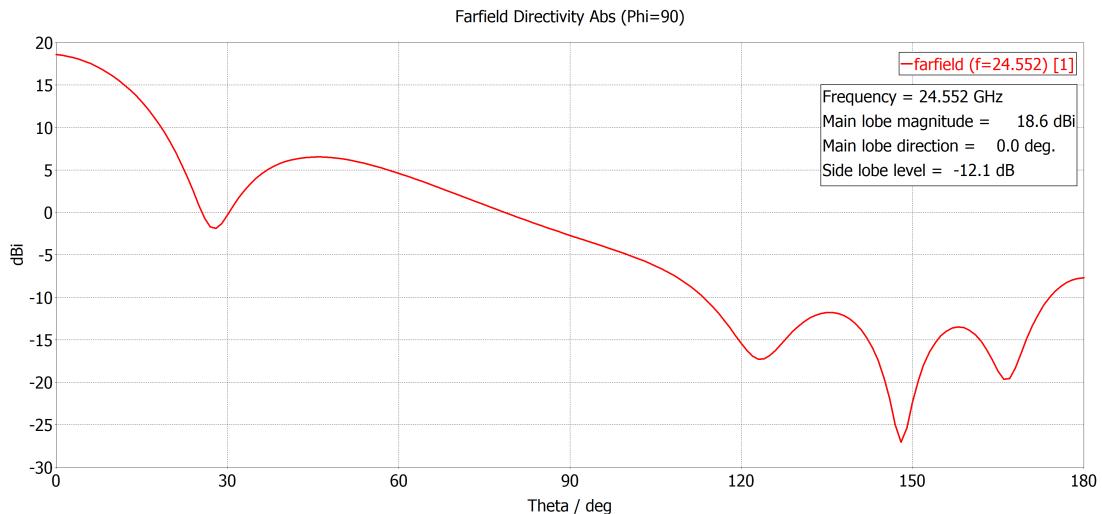


Figure 2.7: Radiation pattern of 24 GHz header – 90° norm

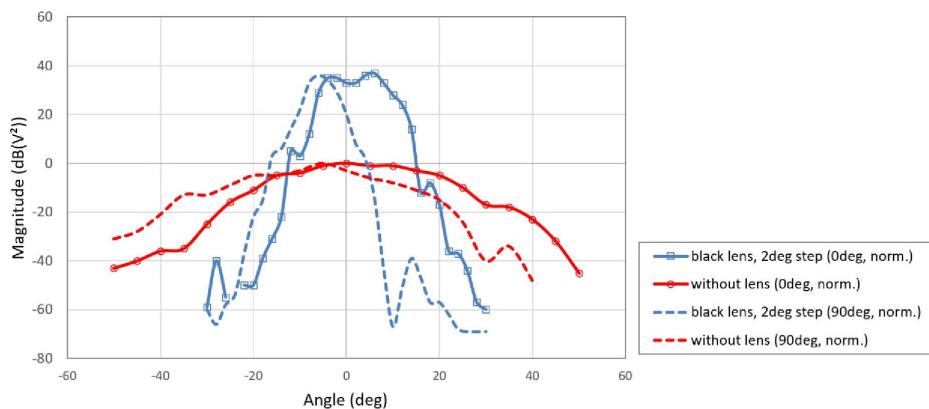


Figure 2.8: Radiation pattern of 122 GHz header comparison [22]

2.3 122 GHz Header

The 122 GHz header is based on the TRX-120-001 transceiver, which, in addition to the essential components required for RF transmission and reception, also incorporates two on-chip antennas. It's designed to operate in the 122-123 GHz band, with an output power ranging from -7 dBm to 1 dBm [23]. The chip is advertised as capable of detecting large targets at distances of up to 40 m [8].

Out of the box performance of the system is quite bad (Figure 2.8) with the width of the main lobe being roughly $\pm 40^\circ$ in both E-plane and H-plane [23]. However, this can be significantly improved using the supplied collimator lens, reducing the main lobe width to $\pm 4^\circ$ [22] (Figure 2.8).

3. Rotary Platform

The following chapter outlines the design process and operational principles of a rotary platform specifically developed for the SiRad Easy[®] radar system.

3.1 Platform Construction

As the platform needs to transmit data from the rotating section to the stationary base a slip ring is required. Due to the relatively low transmission speed of the radar and the absence of special requirements such as waterproofing, an affordable model, UH3899-01-0810 from Senring, was selected. It is a classic contact slip ring that features a dedicated USB 2.0 connection along with 8 additional signal wires. Advertised insertion is less than 2 dB [24]. More problematic than the loss, however, is crosstalk between signal wires when the pitch control stepper motor is running. This necessitated the addition of a small capacitor to the endstop signal wire to filter out high-frequency noise.

While the USB 2.0 connection does not seem affected by the crosstalk, its connection still isn't ideal. Without a signal conditioner connected directly to the slip ring output, signal quality is poor enough that a direct connection to the PC is required – thus, the radar needs to be some 20 cm from the PC. Even then, depending on the PC's USB port wiring, some ports might exhibit issues. In addition, the manufacturer opted for a non-standard male-male USB 2.0 connection, requiring a female-female adapter to connect the radar to the slip ring.

Due to the relatively low angular resolution of the radar, high platform precision was not a requirement. A basic 200-step stepper motor with a step size of 1.8° was deemed sufficient. In case the user requires more granular control over the position, it can be facilitated by microstepping.

Similarly, high-speed movement was unnecessary for this application. As was already outlined, the radar is capable at best of some 50 Hz update rate. To put things into perspective at low 8 RPM a degree of angle is traversed in 21 ms, thus any faster speed would lead to having less than one sample per degree. At these speeds, standard 40 mm NEMA17 stepper motors were found to be sufficient. However if faster rotation or higher levels of microstepping would be needed a stronger motor would be required. Due to the slip ring's stiffness the motor is already working close to its limits.

Rest of the design is relatively simple. The slip ring is mounted to a 3D printed base that elevates it slightly above ground. Stepper motor is then mounted directly under the slip ring, with a shaft connecting it to the rotating base. The connection is secured using long M4 set screws that pass through the base, through the slip ring, and hold the shaft in place. A 3D-printed housing serves only as a centering guide and is not under any load from the shaft connection.

The rotating section features a simple A-frame design that elevates the radar, which is mounted on two ball bearings, allowing it to tilt freely. To control the pitch, a second stepper motor is mounted on the rotating platform and linked to the radar via a 2:1 down-gearing ratio using a standard 8 mm belt. An optical endstop, used for homing the platform, is attached to the second support strut.

Unfortunately, while the design offers some ability to tension the belt, in the currently printed configuration the adjustment range is not sufficient to fully

3. Rotary Platform

tension the belt. While slippage is not a problem, there is some slack in the radar's tilt. However, as the radar's capabilities in the pitch direction are not particularly high, it was decided not to reprint the whole assembly, but only to fix the issue in supplied 3D models.

Given that mechanical stresses are minimal, most parts can be 3D-printed using standard PLA filament. The only non-3D-printed components are the screws, shaft, bearings and stepper motors. The final assembly (Figure 3.1) measures approximately 36 cm in height and has a footprint of roughly 20×20 cm.

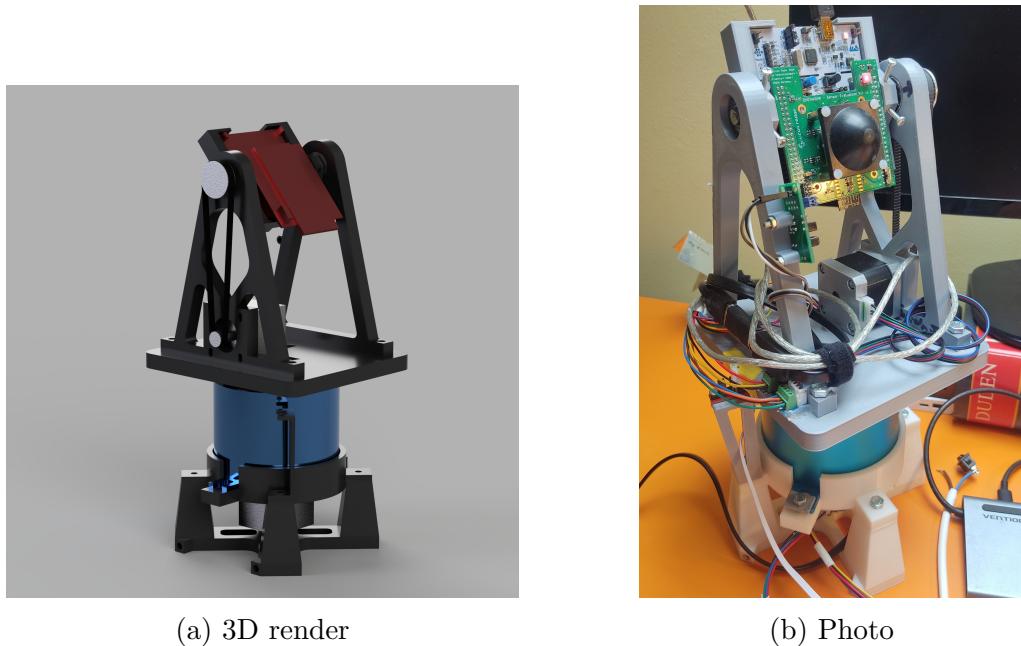


Figure 3.1: Form of the final assembly

3.1.1 Platform Electronics

The electronic side of the platform is relatively simple. Only two problems needed to be tackled: driving the stepper motors and implementing a homing mechanism.

Given the platform cannot generate any significant momentum that could potentially lead to skipping steps a basic stepper driver without feedback control was deemed sufficient. In the project A4988 stepper driver was chosen due to its low cost, up to 1:16 microstepping capabilities, and basic current control. A minor drawback of it is the lack of any feedback from a driver to the microcontroller, including stall detection [25].

Since the number of components is quite low and there aren't any special requirements for the circuit, just a simple prototyping board (Figure 3.2) was used instead of designing a custom PCB. This decision also makes it easier to replace a driver in case of failure. Or even enables an upgrade path via more modern chips, such as the TMC2208 or TMC2209 as their devkits are pin-compatible with the A4988 [26]. This might be necessary in use cases where the platform's volume level would be a serious problem. The A4988 produces a rather noisy driving signal and more modern drivers exhibit up to 20 dB lower noise levels [26].

For homing implementation, two potential solutions were considered: Hall effect sensors and optical gates. Hall effect sensors offer the advantage of angle

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sensing, allowing correction for positional drift during operation; however, they require precise alignment. If the Hall effect sensor is not perfectly placed along the axis of rotation, calibration becomes necessary [27]. While feedback would be beneficial, the microcontroller already tracks each step taken by the motor during normal operation, making it possible to determine the platform's position purely in software. Thus, for simplicity and ease of integration, optical gates were selected.

The system is controlled by an ESP32 microcontroller. The ESP32-C6 version was chosen due to the author's extensive experience with this particular model. Still, since the system does not require specialized peripherals or high processing power, most other microcontrollers could have been used instead.

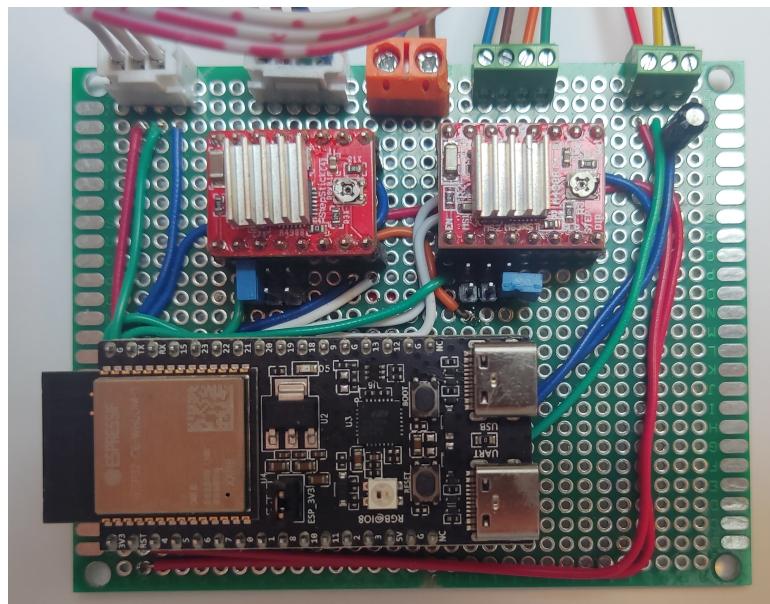


Figure 3.2: Driving circuit of the platform

3.2 Platform Software

As already stated the platform is controlled by an ESP32 microcontroller. There are two main frameworks available for programming the ESP32: Arduino and ESP-IDF. As Arduino integration on ESP32 is rather poorly written and is primarily designed for much simpler projects, that do not leverage ESP32's capabilities, the ESP-IDF framework was chosen. The code needs to handle three main tasks - process incoming commands, control the stepper motors and report their position.

Given its adoption as an industry standard for controlling similar machines, G-code over serial was a natural choice for the platform's communication format. Beyond the basic functionality of moving steppers a given number of steps, the platform needed to support additional features to reduce the user's manual control burden. These include things such as defining movement limits, absolute/relative positioning modes, spindle mode (That is continuos rotation for a infinite number of steps.) or automatic homing. Lastly, in order to offload platform management from the PC the platform needed to support a programming interface. User should be able to send an entire choreography of movements to the platform at

3. Rotary Platform

once, after which the platform should execute it without any further input from the user. Only providing feedback about the current position.

To maximize efficiency in processing commands and ensure accurate stepper motor control, the program workflow was divided into three distinct layers, as illustrated by figure 3.3. The commonly used two-component architecture – where one component handles communication and command parsing, and the other manages execution – was deemed unsuitable for this use case. Such an approach would complicate integration of a programming interface and require just-in-time processing of commands, which could lead to performance issues.

In the chosen architecture, the degree of abstraction decreases with each successive layer, simplifying processing at each step. This design allows the final layer to operate with maximum efficiency, where the transition from one command to the next is nearly instantaneous.

It is necessary to note that the platform does not store any permanent configuration, including microstepping settings. This approach was taken because the platform does not offer any easy way to display the current settings and is expected to be operated only from a computer application. Thus, instead of needing to keep track of which settings are on the PC and which are stored on the platform, a simpler approach was taken.

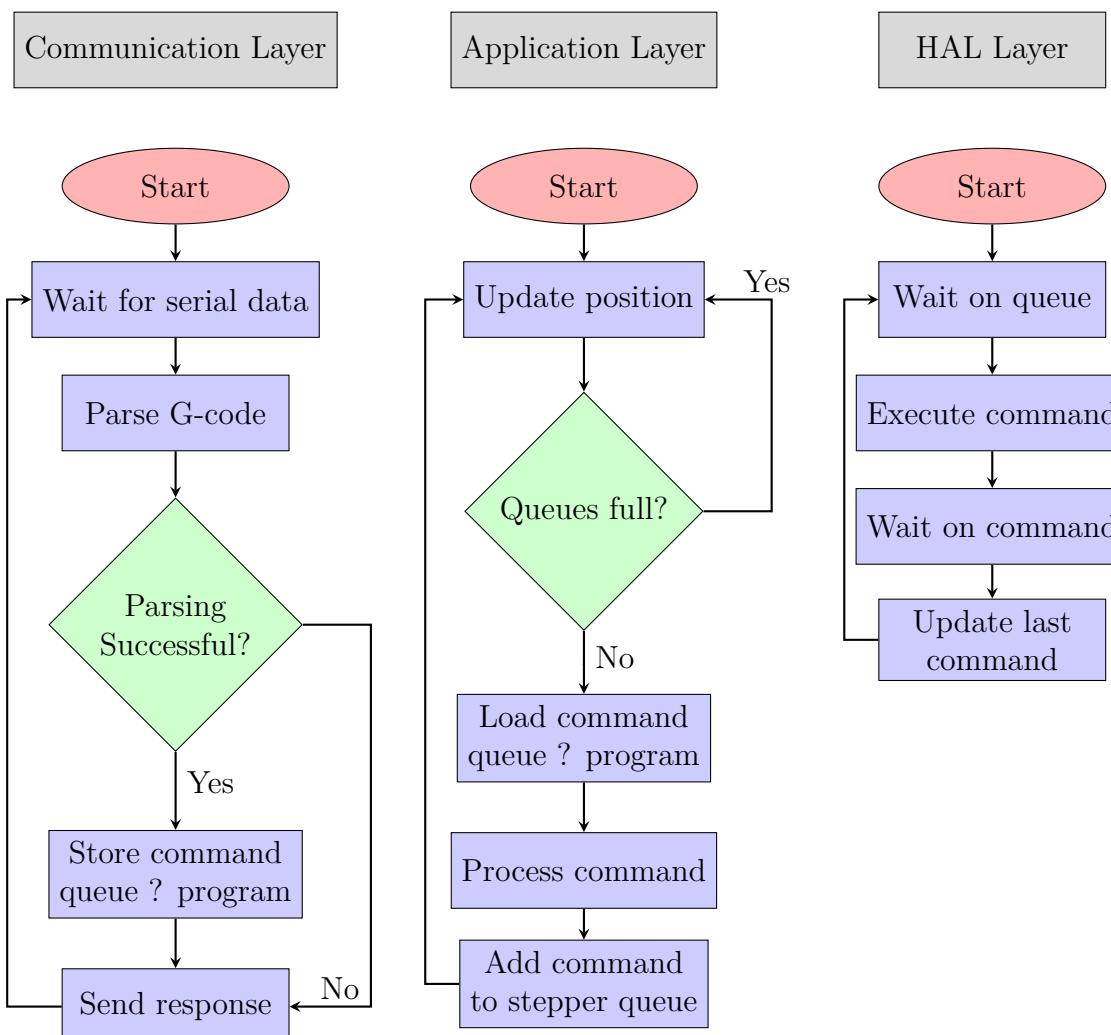


Figure 3.3: Program flow diagram

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3.2.1 Communication Layer

The communication layer processes incoming data over the serial line. Its efficient handling is facilitated with the aid of RTOS queues. Upon receiving a command, the string is parsed and either pushed to a queue to be executed later or added to a program declaration, in case the user is currently writing a program. Some special commands, such as those for emergency shut-off, are executed immediately. For a complete overview of supported commands consult Appendix A.

Immediately after parsing, a response is sent to the user confirming whether the command was parsed correctly or not. However, as the communication layer does not and cannot check the command within the context of all previous commands, it is possible that a command will be parsed correctly but its execution will fail in the application layer.

3.2.2 Application layer

The application layer performs two primary functions: tracking the current device position and preparing commands to be sent to the stepper motors. Aside from the current position, the program also keeps track of the end position of the last scheduled command. Thanks to this, the application layer is able to make all necessary calculations to facilitate absolute positioning or enforce movement limits.

A key departure from standard G-code interpreters, such as [28], is how the platform handles single-axis move commands. When a move command targets only one axis, the other axis remains free to read the next command and begin its execution. If this behavior is undesirable, the user must issue commands for both axes simultaneously. In relative positioning mode, a zero value results in no motion; in absolute positioning mode, the command must specify the current position to prevent movement.

This behavior is a necessary side effect of the spindle regime, which typically can only be toggled on/off and cannot act as a stepper [28]. Another consequence of spindle mode is the requirement for separate positioning modes for each axis. Continuous rotation prevents calculating a move's end position, making it impossible to compute commands in absolute positioning, thus necessitating relative positioning. However, it would be rather restrictive to force the user to use relative positioning on the second axis; therefore, the positioning modes are independent.

To support other possible applications, a manual override mode was also implemented. This enables the user to manually push a move command directly to the stepper queues, totally skipping the application layer. The primary use case for this mode is to allow tracking of targets or use in other applications that require real-time control over the platform. However, user must keep in mind that in this regime, no limits are enforced and the platform operates strictly in relative positioning mode.

3.2.3 HAL Layer

The final layer manages stepper motor control and provides the application layer with essential data for position calculations. In its loop, the program waits for the next command in the stepper queue. Upon receiving a command, it sets up execution, waits for one or both steppers to complete their movement, and then

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proceeds to the next command. Since limit and absolute positioning calculations are handled in the application layer, the whole routine remains highly efficient – the layer just moves stepper a given number of steps, or let's it rotate in case of spindle mode.

The main challenge was the generation of precise PWM signals (used to control stepper motor drivers) and stopping the signal generation after a specific number of steps. As generating a PWM signal in software is impractical, dedicated ESP32C6 peripherals needed to be leveraged. There are two main options for this task: Remote Controlled Transceiver (RMT) and Motor Control Pulse Width Modulation (MCPWM) combined with Pulse Counter (PCNT). While RMT allows smooth PWM frequency adjustments, it has several drawbacks: for example, generating a specific number of pulses is supported only on newer ESP32 models [29], synchronization is restricted to its proprietary API, and there is no straightforward way to track progress during a move [30].

For these reasons, combination of MCPWM and PCNT was chosen. MCPWM handles pulse generation, while PCNT counts steps, enabling easy control over movement and providing a robust API for step count tracking [31]. The only limitation is the PCNT's 15-bit counter, which caps the maximum steps per move at $\pm 32,767$.

Performance of the HAL Layer

Table 3.1 illustrates the stability of PWM generation by the MCPWM module at various speeds. Measurements were conducted using a Saleae Logic Pro 16 logic analyzer, with no microstepping enabled.

The results show that frequency deviation is minimal, though the generated speed is consistently marginally faster than the target and the error increases slightly at higher speeds. Nevertheless, when measuring the time for 24,000 steps at 120 RPM, the relative error in time duration (or speed) was only $\varepsilon = -0.004\%$, demonstrating excellent accuracy.

Table 3.1: Stability of PWM generation

RPM	f_{desired} (Hz)	f_{low} (Hz)	f_{high} (Hz)	f_{avg} (Hz)
10	33.334	33.334	33.334	33.334
30	100	100	100.003	100.002
60	200	200	200.01	200.004
120	400	400	400.02	400.007

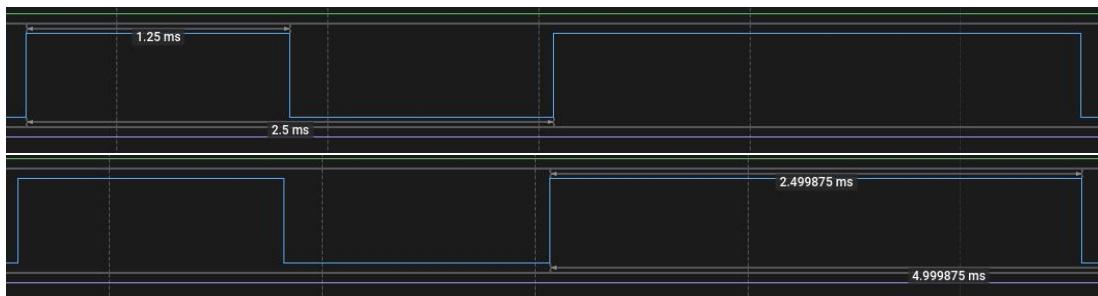


Figure 3.4: Moment of change between commands (120RPM \Rightarrow 60RPM)

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An attempt was made to also measure the delay between switching commands, as displayed in figure 3.4. The results indicate that the delay between commands is imperceptible. Similar outcomes were also observed for other command combinations.

This demonstrates the efficiency of the HAL layer in managing stepper motor control and transitioning seamlessly between commands. As long as the stepper queues are supplied with commands in advance, the platform can operate without noticeable interruptions.

4. Control Application

As previously stated, the control application for the whole system is written in MATLAB. There are two main parts to it: one is managing the platform and the other for processing radar data. Alongside these, there are some shared components, such as the preferences menu.

4.1 Main Window

Upon starting the application, the user is presented with the main window (Figure 4.1). This window is primarily used to display radar data and control the basic functionality of the application. On the sidebar, the user can enable serial connections to the radar and the platform, pause processing, save the current visualization to JPG, and stop the platform in case of an emergency. Lastly, the top bar allows access preferences and the platform control interface.

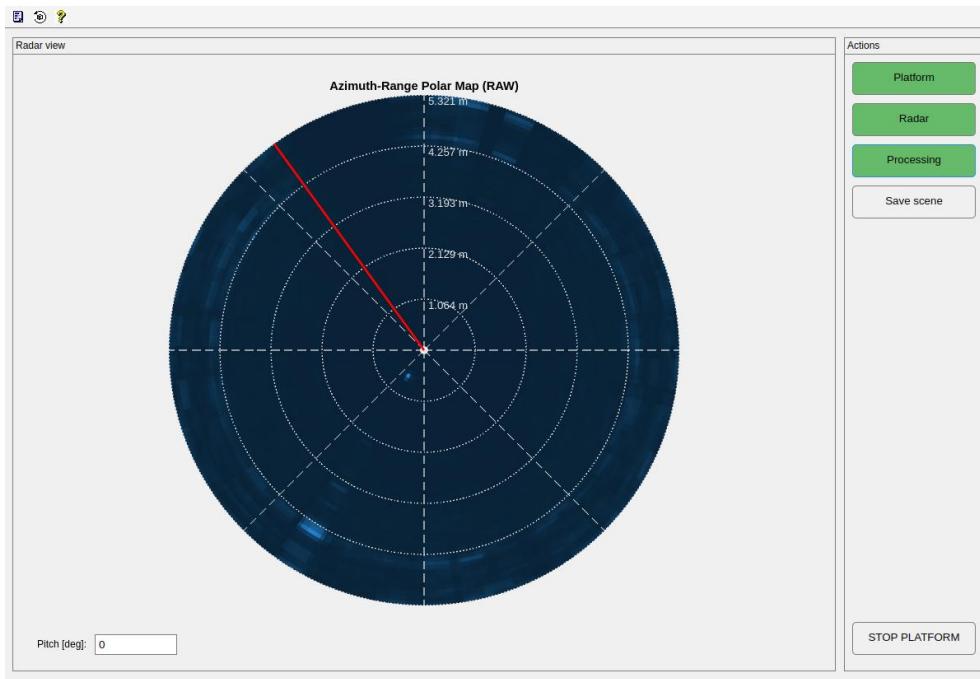


Figure 4.1: Main application window

4.1.1 Application Configuration

As this thesis concerns itself with a rather generic implementation of a surveillance radar system, the application is designed to be as flexible as possible. For this purpose, a GUI menu was designed to enable configuration of all important elements – configuring the platform, radar, data processing, and data visualization. However, it is necessary to point out that, given the extensive configuration options, the user is expected to have some knowledge about the subject to achieve satisfactory results. Firstly, configuration options are not checked against each other to verify if they are mutually exclusive. For example, for speed calculation, ramp averaging must be turned off, but as things stand, the application will allow

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the user to continue even with it enabled. Secondly, configuration of components such as CFAR or DBSCAN strongly depends on bandwidth, number of samples, FFT point count, and other parameters. A CFAR guard parameter of 3 might be too small on a 5 GHz bandwidth with a single range bin width of 50 mm, but with $BW = 500$ MHz it could be way too large. To aid in choosing correct parameters, the application provides some basic information, like the dimensions of the bins. Still, it is up to the user to make the final decision.

Figure 4.2 shows the preferences menu; as one can see, there are four main sections. The first configures serial port connections to the radar and the platform. The second section handles configuration of the platform, followed by a section designated for radar configuration. The last section configures how the data are processed and via which method they are visualized.

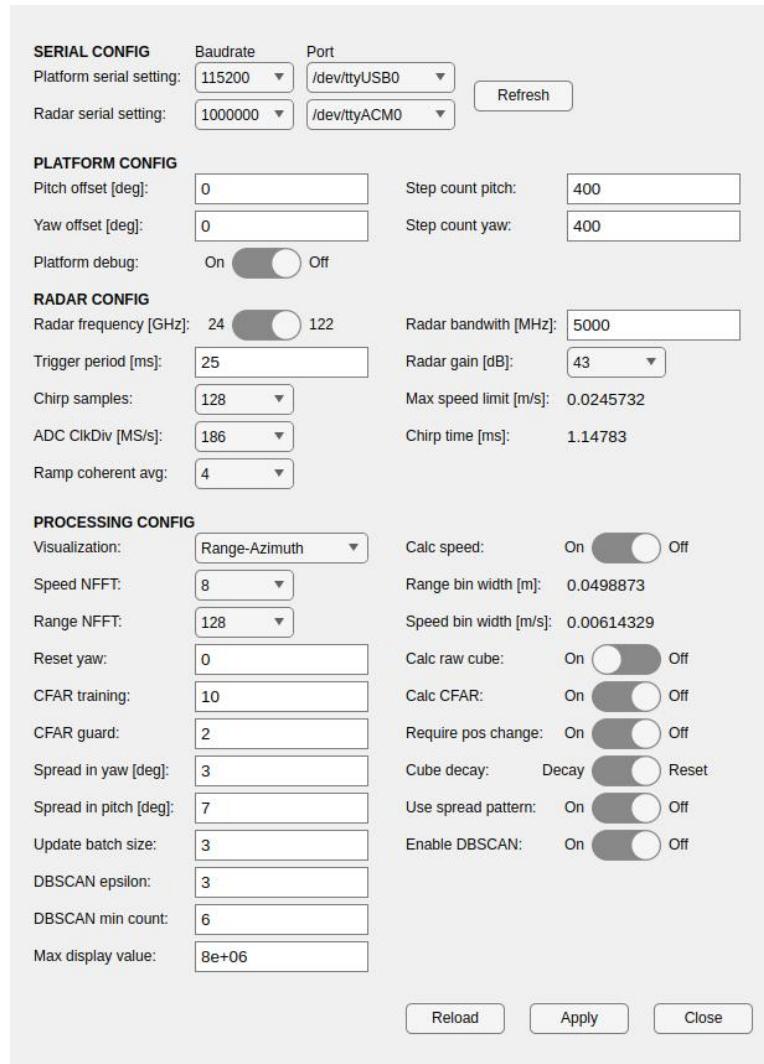


Figure 4.2: Preferences menu

User preferences are stored in an INI file in a directory whose path depends on the operating system used—`%APPDATA%/Local/fmcw/fmcw.conf` on Windows and `$HOME/.config/fmcw/fmcw.conf` for Unix-based systems. As INI format is easily human-readable, it is possible to edit the file directly.

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4.2 Platform Control Interface

It is necessary to emphasize that the platform control is entirely independent of the radar. The user loads a program onto the platform and starts its execution. From then on, the application only processes current position reports coming from the platform. There is no feedback from the radar to the platform based on processed data, although, as the platform enables direct access to the stepper queues, it would be possible to implement such feature. Nor does processing take into account which movements comprise the platform's current program.

In the preferences menu, the user can configure static offsets to the platform with the **Pitch offset** and **Yaw offset** options. This is useful in case the zero position of the platform is not aligned with what the user wants to be zero in the data. Aside from offset, step count configuration is also available here with **Step count pitch** and **Step count yaw**. Step count takes into account both microstepping and the physical down-gearing ratio. It is important to note that, as the platform doesn't store the step count in non-volatile memory, this setting is essential to maintain proper operation of the platform. The step count command is sent to the platform each time it is connected or the configuration is changed. Lastly, the **Platform debug** toggle enables full debug output to be visible in the platform control window.



Figure 4.3: GUI of the platform control

Managing platform programs is done via a separate window (Figure 4.3). This interface enables the user to load, edit, and send programs to the platform. The left sidebar allows the user to load stored programs by clicking on their name. The middle text boxes are used to edit the program header (top part) and program body (middle part), with the bottom text field being used to display the platform's debug output. On the right side are buttons that handle basic operations on the program: load, save, store to file, upload, and start.

Commands are written one per line. Control commands used to denote the start of a program, end of header, and so on are automatically sent to the device when the rest of the program is uploaded. To validate whether the program was

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parsed correctly, the user can check the text window at the bottom of the screen, which forwards the platform’s debug output. If the user clicks the store button, the program is stored in the same INI file as the preferences.

Backend side of the platform control is rather straightforward. After a connection is established, the MATLAB serial interface calls a callback each time a terminator sequence is found in received data. The received message is then parsed, with debug output being forwarded to the user and positions logged with a timestamp in a circular buffer. The radar data processing part can then request a list of positions for a given time interval.

Platform control can also emit an event when the platform finds itself close to a predetermined position. This angle is specified in the `Reset yaw` option, with a tolerance zone fixed at two degrees on either side. In order to prevent multiple triggering of the event, the program will only emit it once per 1 s. When the `Cube decay` option is set to ‘reset’, if this event is used to clear stored data after a cycle is completed,

4.3 Radar Configuration

Given that the radar’s output is displayed in the main window, there isn’t any specialized interface dedicated just to the radar. Still, in the preferences menu, there are some parameters that can be configured.

The `Radar frequency` toggle chooses between the 24 GHz and 122 GHz headers. However, this only affects the configuration sent to the radar; it does not reflash the firmware, which is also necessary. After the base frequency, `Radar bandwidth` can also be configured; both positive and negative values are accepted, however manual verification is required to ensure that the radar is working as expected. While the manufacturer states a 3 GHz limit on the 24 GHz header and 5 GHz on the 122 GHz header [13], in practice—especially with the 24 GHz header—achievable bandwidth was usually much lower and its limit was rather inconsistent.

The user can also configure the number of samples per chirp via `Chirp samples` and the ADC rate with `ADC ClkDiv`. These two parameters determine the time of a single ramp, which is calculated with equation (2.1). Together with gain, ramp time plays a crucial role in maintaining a good signal-to-noise ratio.

The drop-down menu `Ramp coherent avg` allows the user to pick how many ramps will be averaged together. However, use of this feature is generally not recommended unless the surrounding environment is too cluttered. Each ramp adds about 2 ms overhead to the radar’s operation and makes speed calculation impossible.

The last parameter is `Trigger period`, which dictates how often the application will send a trigger command to the radar. As previously stated, the radar is at best capable of a chirp roughly every 20 ms.

5. Radar Data Processing

Firstly, it is necessary to outline the inputs and outputs of the entire processing pipeline. Data are arrive to the application from two sources: serial connections to the radar and to the platform. These two data streams are then paired together based on timestamps logged when the data are received. Program then performs user-selected calculations – components such as CFAR calculation, speed calculation, and so on can be enabled or disabled as needed. After processing finishes, the output data are stored in a radar cube, a common method for managing radar data that enables easy integration of more complex algorithms in the future [11].

Given that both CFAR and raw data (that is, individual range-Doppler maps) calculations might be enabled at the same time, the application maintains two radar cubes. One is a 4D structure with dimensions of fast time \times slow time \times yaw \times pitch, used to store the complete range-Doppler map for each spatial degree. In this thesis, this structure is only used for visualizing raw data for a given fixed pitch angle or for showing the range-Doppler map for a given yaw and pitch. Any 3D visualization style of the raw data would be too cluttered and hard to interpret. The second cube is a 3D structure with dimensions of range \times yaw \times pitch; this one is used to store CFAR output.

In each case, the yaw resolution is fixed at 1 degree, thus the cube is 360° wide. Given the quality of the radar mounting bracket, the 1.8° resolution of the stepper, and the tightness of the radiation pattern, a 1° resolution was deemed sufficient. As for pitch, the same resolution was kept, with angles ranging from -20° to +80° being covered. The lower bound is limited by the radar's mounting bracket, and the upper bound is more or less arbitrary, slightly motivated by trying to prevent the radar from seeing behind itself.

The main complicating factor in the processing was the need to keep the main thread responsive. The most time-critical responsibility of the main thread is the timely readout of data from the serial ports. If data cannot be read immediately upon arrival, the assigned timestamps will be incorrect and, therefore, the data will be attributed to a wrong position. In addition, the GUI becomes quite unresponsive if the main thread's resources are stretched too thin.

To solve this problem, processing heavily leverages MATLAB's Parallel Computing Toolbox, offloading as much as possible to different cores. Throughout the entire processing pipeline, there are mechanisms for buffering data into larger batches, and if needed, discarding some in case the software is unable to keep up. Unfortunately, as MATLAB's parallelization capabilities with threads are rather limited – external language interfaces do not work, nor do certain file or memory mapping functions [32] – the parallelization needed to be done with processes. This restricts the application to machines with at least 16 GB of RAM, as each process (of which there are three) requires around 2 GB of RAM.

The processing pipeline can be divided into several distinct steps as follows:

1. Data acquisition: Data are read, a simple FFT is calculated, and spectra are buffered into a circular buffer.
2. Data processing: Spectra are retrieved from the circular buffer, paired with positions, and processed.
3. Cube update: Processed data are buffered into a ping-pong buffer. If the buffer is full, the cube update routine is launched.

5. Radar Data Processing

4. Visualization: After cubes are updated, data are visualized.

5.1 Data Acquisition

The basic workflow of this step is shown in figure 5.1. The process starts as soon as MATLAB detects a line terminator within the incoming radar data stream. After the entire frame from the radar is read, the data are timestamped and the I and Q channels are buffered. The observer `dataProcessor.m` is then notified and left to retrieve the data when the main thread is free.

After retrieval, the in-phase and quadrature channels are merged to form a complex signal, which is multiplied by a Hann window to prevent spectral leakage. A basic 1D FFT is then calculated, with the number of points set by the `Range NFFT` parameter in the preferences. If the FFT order is larger than the number of samples, zero padding is added. The resulting spectrum is again buffered in its complex form. Following this step, the program has in memory multiple FFT spectra – these can be leveraged to enable range-Doppler map computation.

After the spectrum is stored, the program verifies if the platform has moved. This check helps save processing resources by avoiding computation of an R-D map that would be immediately overwritten. After movement is detected, the code then takes all spectra (Except the last one when the platform has changed position.) and launches another processing step in a separate thread. If the user wishes for processing to always take place (e.g., when verifying radar settings while the platform is static) this check can be skipped by toggling `Require pos change`.

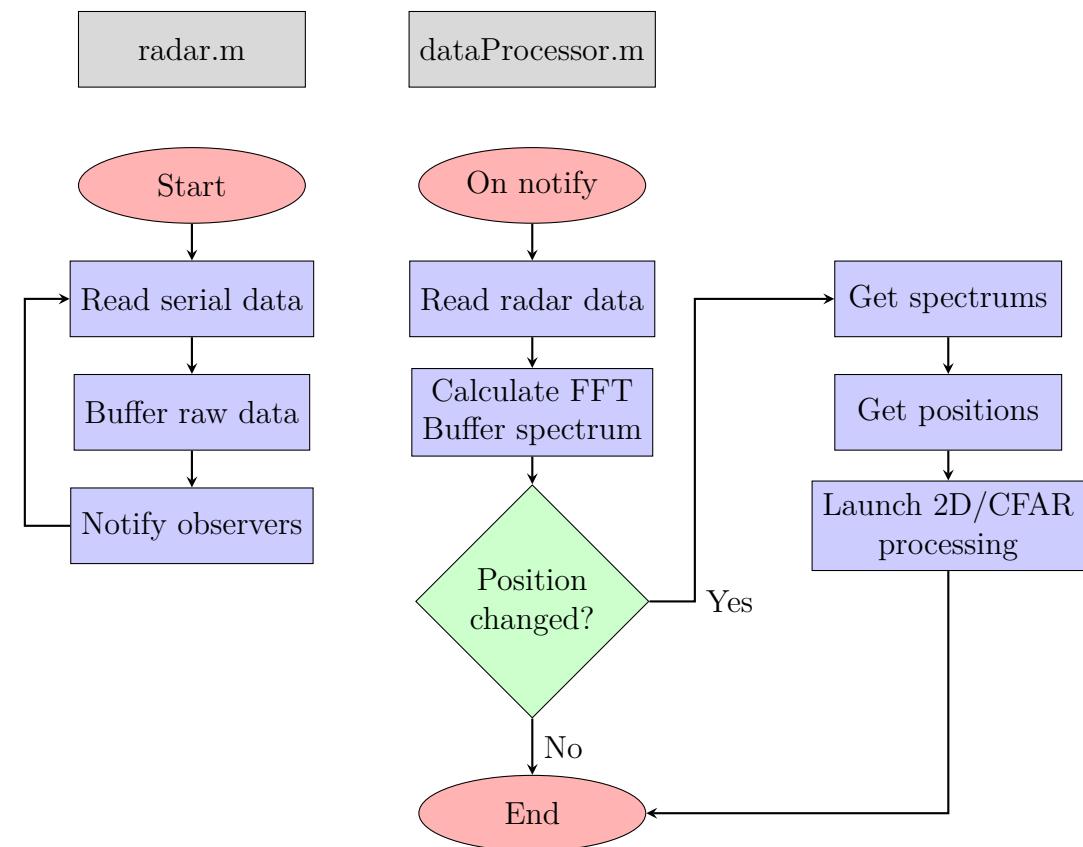


Figure 5.1: Processing flow - Data acquisition

5.2 Data Processing

A parallel process takes calculated FFT spectra and their accompanying positions and processes them into a single range-Doppler map and an array with CFAR output. A basic outline of this step is shown in figure 5.2.

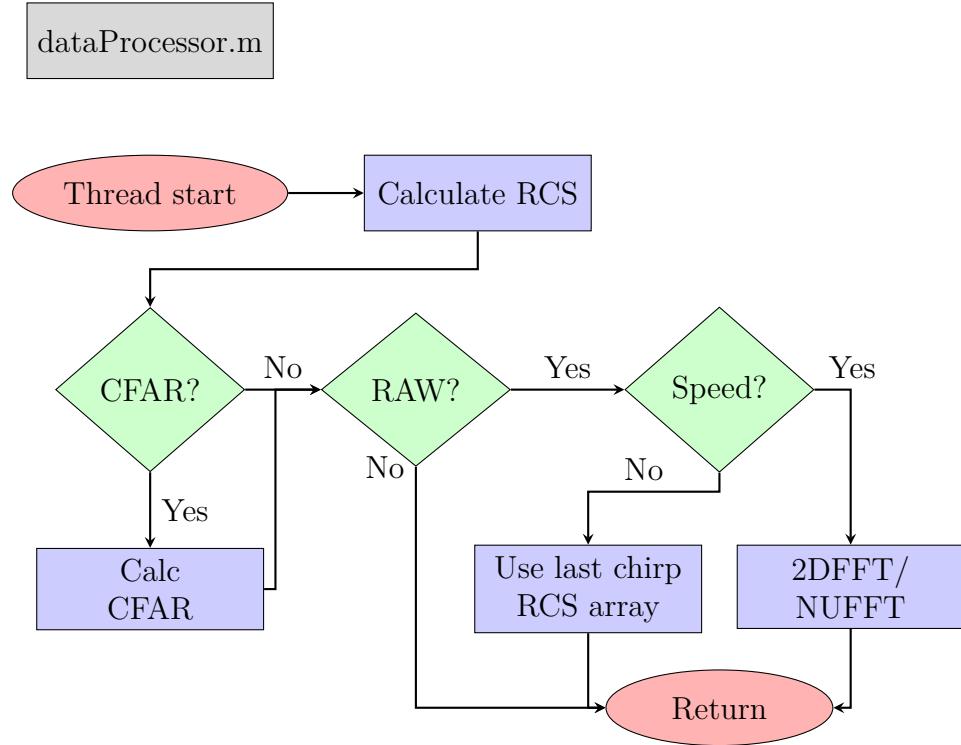


Figure 5.2: Processing flow - Data processing

In the first step, the last spectrum is converted to values proportional to the radar cross section (RCS) of the target. Starting with equation (1.19), we can see that the target's RCS is proportional to the received power divided by the fourth power of the distance to the target. Range can be calculated from the manufacturer-supplied equation (2.2), and power is proportional to the square of values reported from the radar. Given that the constants of the radar equation change based on configuration, calibration would be required in order to obtain real RCS values. However, this calibration is not supported, and thus onward, when referring to RCS we refer to values proportional to the RCS, not the actual RCS of the object.

After RCS conversion, if `Calc CFAR` is enabled, the CFAR algorithm will be executed on the latest chirp in the batch. In this application, a simple 1D CA-CFAR from MATLAB's Phased Array System Toolbox is used, which is parameterized by two variables: the number of guard bins (those are excluded from the average and are right next to the bin being tested) and the number of training bins (those used to calculate the average) [33]. Both these parameters are configurable from the preferences menu with `CFAR training` and `CFAR guard`.

If raw data calculation is enabled (using the `Calc raw cube` toggle), the program takes one of two paths depending on whether speed calculation is toggled on or off with `Calc speed`. If speed calculation is disabled, the program simply returns half of the original spectrum converted to RCS.

5. Radar Data Processing

When speed calculation is enabled, the program first cuts off samples that are too far away from the position of the latest chirp in the batch. After that, the timing of chirps is analyzed to determine whether NUFFT needs to be used. The decision about which transformation to use is based solely on the ratio of the maximal deviation of the chirp intervals to the median of the chirp intervals; if this ratio is over 20 %, NUFFT is used. For more accurate measurement, a more complex method should be used, but as the radar timing data are not very precise – not to mention the radar can track only very slow-moving objects – this method was deemed sufficient. For similar reasons, no correction for the platform’s movement is applied. The output range-Doppler map is then shifted in order to create a 2D array with Range NFFT \times Speed NFFT elements, with speed ranging from $-\frac{\text{Speed NFFT}}{2} \cdot w$ to $(\frac{\text{Speed NFFT}}{2} - 1) \cdot w$, where w is the speed bin width calculated with (1.18).

5.3 Cube Update

The last step of the processing pipeline handles updates of the radar cubes (Figure 5.3). Range-Doppler maps and CFAR data are first buffered in a ping-pong buffer – where one buffer is used to update the cube while the other one is being filled with new data. Once the buffer is filled, they switch roles and the cube update routine is launched.

As MATLAB processes do not share the same memory space by default, to avoid redundant copies of the cube, MATLAB’s memory mapping is used. Using the `memmapfile` function, the cube is mapped to a file on a disk which can be shared between two processes [34]. This approach might lead to some performance degradation if the cube is too large and cannot be held in RAM, but testing showed that for cubes of size 512 MB (roughly a cube for a range-Doppler map of 256×16), the program was still able to keep up with the radar.

The update routine for cubes storing CFAR and raw data is quite similar in the case the spread pattern is disabled. New data are simply added to the cube at their respective positions, replacing the old data. If the cube is set to decay (The `Cube decay` option is set to ‘decay’.), the entire cube is multiplied by a decay factor derived from the platform’s movement speed. The slower the platform moves, the slower the data decay. Using this option leads to an output that more visually resembles traditional analogue radar systems, where the most recent data are brighter than the older ones. However, the user needs to keep in mind that color changes do not mean a change in RCS at that position. In addition, this operation is rather computationally expensive and is the primary reason updates to the cube need to be buffered.

Even on larger cubes of around 256 MB, this operation takes in the worst case about 40 ms to complete on a laptop with an i7-10210U CPU. Still if the decay would be undesirable, it can be turned off in the settings in favor of a hard reset of the cube based on a trigger at a specified yaw angle. The rest of the processing is then fast enough to keep up with the data stream without any buffering.

Enabling the spread pattern leads to degradation of the quality of the data in exchange for better visualization quality – especially in Range-Azimuth visualization style. Instead of adding new data to just one position in the cube, the range-Doppler map is spread over a larger area with the aid of a 2D matrix

5. Radar Data Processing

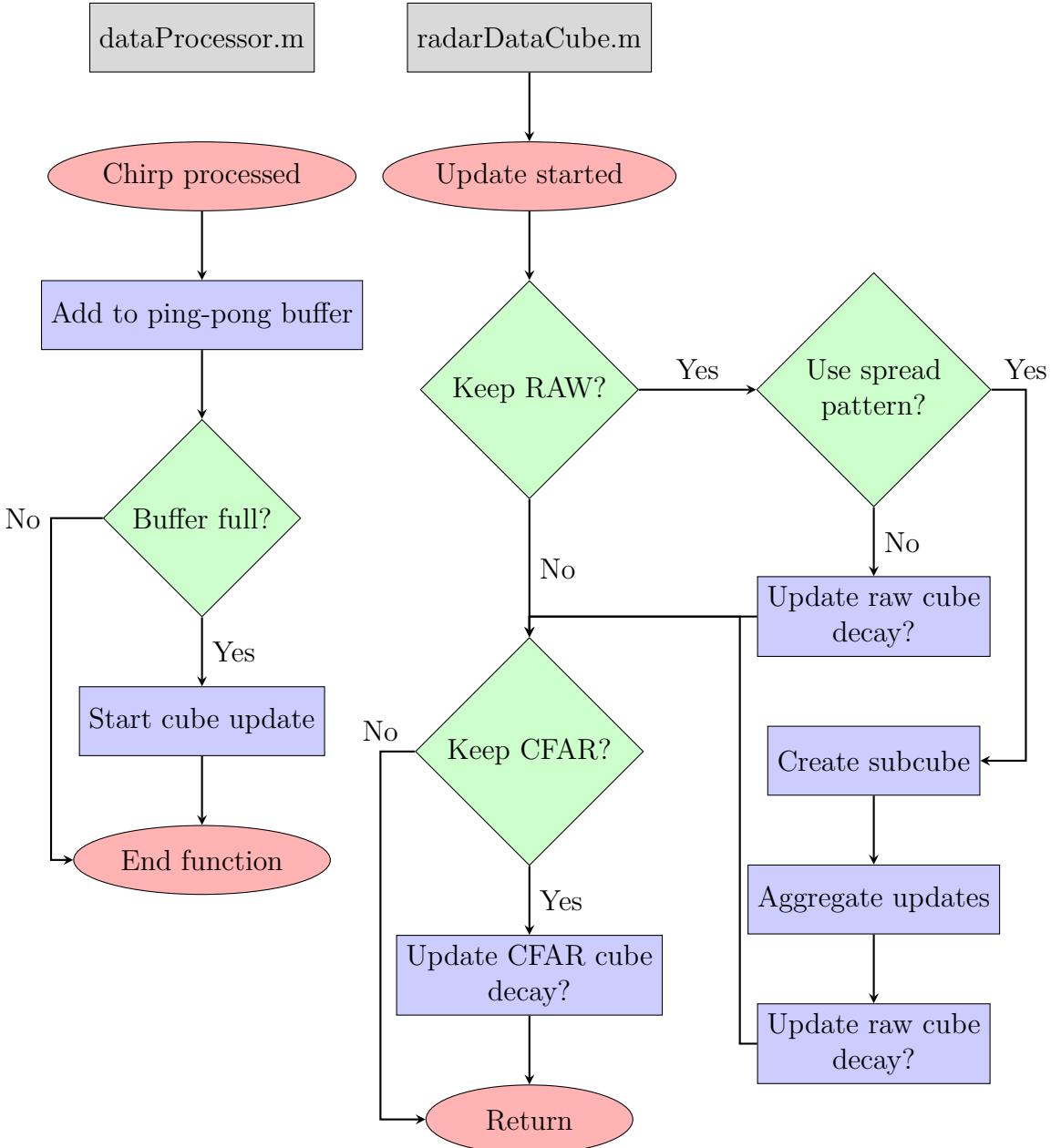


Figure 5.3: Processing flow - Cube update

made up of a 2D Gaussian function. As the data in series are usually close to each other in terms of position, the update process can be made more efficient. Instead of directly adding these contributions to the full cube, a much smaller cube is created. That cube covers only the area that will be updated with the new data and is later added to the full cube in one go. This leads to significant speedup, as the smaller memory size enables better caching. Still, in the case of a more performant radar system, this method would be unnecessary, not to mention it has very little basis in radar data processing theory.

Due to the limited performance of MATLAB on the author's machine, some parts of the cube update routine were implemented in C++ with the aid of MATLAB's MEX interface. This enabled the use of the AVX2 x86 instruction set extension to speed up floating point operations. Chiefly, decaying of the cube exhibited roughly a twofold performance increase thanks to SIMD instructions.

5.4 Visualization

After the cube update finishes, the program returns to the main thread and the data are visualized. The only way the user can see the data is via visualization. Text output or access via API is not available. However, the application offers a number of different visualization styles to suit the user's requirements.

1. Range-Azimuth: Polar plot with range on the radius and azimuth on the angle.
2. Range-Doppler: Classical xy plot with range on the x-axis and Doppler on the y-axis; if speed is not calculated, the y-axis is replaced with the RCS value.
3. Target-3D: CFAR data are displayed in 3D Cartesian system.

5.4.1 Range-Azimuth

This visualization style is used to display the data in a polar plot for a fixed pitch angle, which is chosen by a text box at the bottom of the screen. A demonstration of a Range-Azimuth map can be seen in figure 4.1, which captures testing with the 122 GHz header and a rather large bandwidth of 3 GHz, providing only about 5 meters of range. In the picture, only raw data are displayed; however, this visualization style is also able to display CFAR data or overlay both.

Instead of calculating a projection into the horizontal plane, the data are displayed in a conical projection. That is, no additional calculations are made and the cone obtained by fixing the pitch angle is simply stretched to a circle. To aid with orientation in the plot, simple line markers are added to provide range and angle reference points. Also, to prevent one larger reflection to drown out smaller ones, before the visualization the data are capped at `Max display value`. To set optimal value for this parameter user must check the application debug output where maximal values of the scene are printed.

This visualization style might be especially useful when paired with the 24 GHz header. Due to its rather wide radiation pattern, the radar is able to detect objects in quite a large area. This can be extended even further if the spread pattern is enabled, where more pitch angles will influence the data. Thus, for a realization of a classical surveillance radar, this method of visualization might be the most versatile.

5.4.2 Range-Doppler

Picking this visualization style from the preferences menu will display the data in a classical x-y plot for a fixed yaw and pitch angle. Just as with the Range-Azimuth map, both angles are configurable via text boxes at the bottom of the screen. In cases when speed calculation is disabled, the y-axis is replaced with the RCS value. On figure 5.4 we can see both of these cases, calculated for the same static scene.

The main purpose of this visualization style is to provide a method for verifying radar data processing and settings. As the devkit does not report many useful errors and its technical documentation is sometimes incorrect (e.g., in allowed bandwidth values), it is useful to have a way to verify that the radar is working as expected.

5. Radar Data Processing

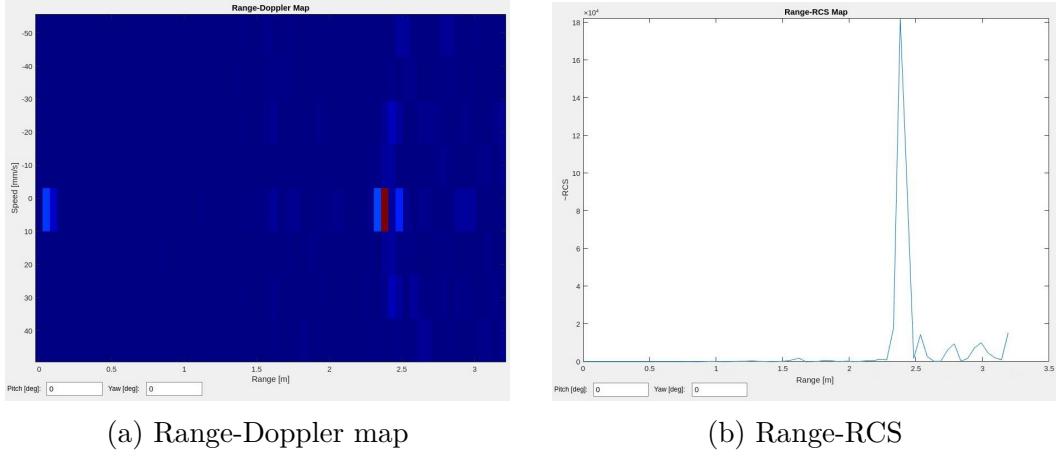


Figure 5.4: Range-Doppler and Range-RCS maps

5.4.3 Target-3D

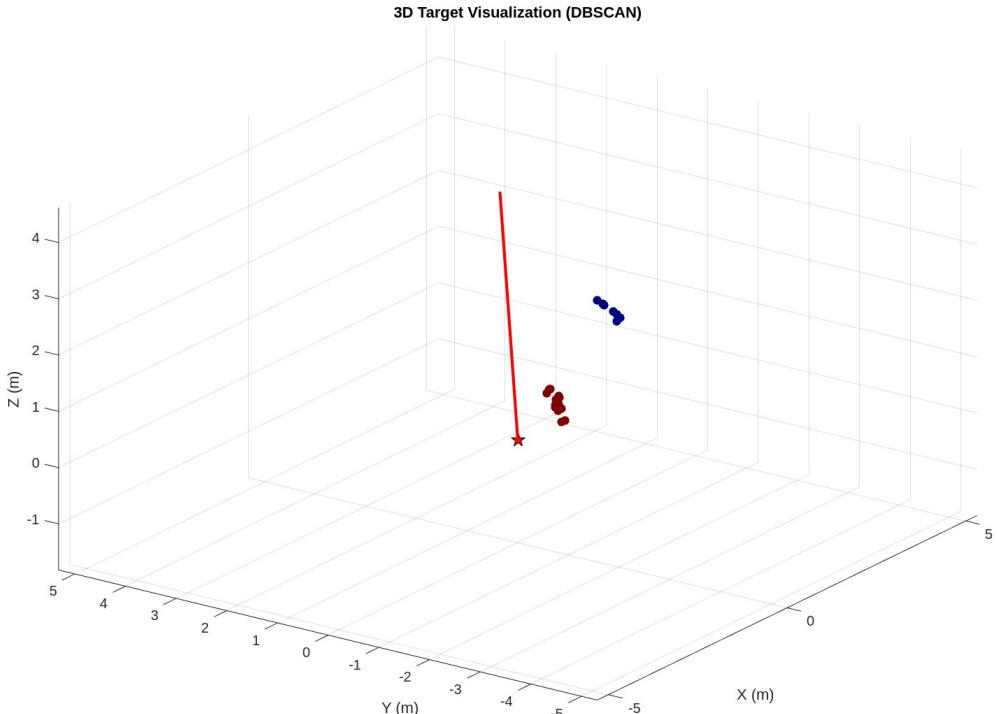


Figure 5.5: 3D Space visualization method

This visualization style is used to display CFAR data in a 3D Cartesian coordinate system (Figure 5.5). The visualization method is rather simple, with just positions being recalculated from the range, azimuth, and pitch angles to x, y, and z coordinates using trigonometric functions. If decay is enabled, then a small threshold is used to remove points whose values have sufficiently degraded. To aid with orientation within the plot, the current heading of the platform is displayed as a red line.

If the environment is too cluttered and CFAR generates too many points, the user can enable basic clustering with MATLAB's built-in DBSCAN function [35]. The general idea of DBSCAN is that, for a given point in the dataset, distances to other points are calculated and compared with a user-defined threshold ε .

5. Radar Data Processing

If a sufficient number N of points is found within the threshold, the point is marked as part of a cluster [36]. In MATLAB's implementation, the distance threshold and minimum number of points are both configurable variables, with the other input being just the list of points [35]. As the original data are in a polar coordinate system, a problem arises with calculating distances given that, in such a system, the distance between two points of given fixed yaw and pitch varies widely with range. Not to mention, with increasing distance, the density of data points decreases.

These problems can be overcome using many different methods, such as using adaptive thresholds in relation to radius [36] or relying on more clever axis systems than just polar or Cartesian [37]. However, as in this thesis DBSCAN is more of an illustration of possible postprocessing, no such complex methods were implemented. Both ε and N parameters are left to the user to configure with DBSCAN `epsilon` and DBSCAN `min count`. As for the distance metric, points are first converted to Cartesian coordinates, then distance is calculated using the standard Euclidean formula. This distance is then divided by the average radii of the points. It needs to be said that, while dividing by the mean of distances is sometimes used when working with spherical data [38], the author is not aware of any literature that would suggest its use in DBSCAN. Especially at longer distances, this method will lead to significant error.

Conclusion

The goal of this thesis was to develop a surveillance radar system based on FMCW technology. This technology enables accurate distance measurements of targets with relatively low power consumption. Rather than adopting a more conventional MIMO system, a simpler solution was chosen: a single RX and TX antenna, with mechanical beam steering provided by a custom rotary platform.

Using off-the-shelf components and 3D-printed parts, a two-axis rotary platform was designed and constructed. Despite minor issues with belt tension on the pitch axis, the platform reliably controls the radar's position in both yaw and pitch, with a standard accuracy of 1.8° , further improvable via microstepping. Additional features such as automatic homing and rotation limits were implemented for improved usability. Thanks to its similarity to other G-code-based systems, the platform is readily adaptable to other applications. Moreover, the platform was designed with capabilities beyond the requirements of this thesis, and during testing it executed commands accurately and without noticeable delay.

The capabilities of the SiRad Easy[©] evaluation board were analyzed and found to be rather limited for use in surveillance radar. Its low and inconsistent reporting rate (50 Hz) restricts the maximum detectable speed well below one meter per second. This limitation effectively prevents the tracking of moving targets – a common use case for surveillance radars – and thus significantly reduced the scope of this thesis. The system is therefore mainly suitable for static scenes, providing binary information about target presence. Nevertheless, within this limitation, the radar still provides good resolution across a variety of ranges, thanks to the ability to switch between 24 GHz and 122 GHz headers. Both close range applications with high resolution or long range (up to some 300 meters) are possible.

A MATLAB-based control application was developed to integrate both rotary platform management and radar data processing in a single package. The data processing pipeline follows a standard approach, employing techniques such as FFT, CFAR, and DBSCAN, and storage of data in radar cubes. Extensive parallelization was used to ensure timely processing. This enables the application to maintain speed, even if costly operations, entailing millions of floating point operations, such as a decaying of the whole cube, are performed.

Due to rather generic design requirements, the processing pipeline is highly customizable. Things such as the number of FFT points, step count per rotation, and CFAR parameters can all be tailored for specific applications. However, this flexibility requires from the user a solid understanding of underlying algorithms and principles.

Only visual output for the data was implemented, with both 2D and 3D visualization options available. The classical 2D Range-Azimuth map is likely the most useful, providing information about a significant portion of the environment, given the radar's radiation pattern. 2D visualization can be enabled both for raw and CFAR data, 3D visualization is however only available for CFAR data. If picked, each CFAR detection is displayed in a Cartesian coordinate system with the radar at the origin. In cases where just CFAR output would be too cluttered the DBSCAN algorithm can be used to filter out even more unwanted noise in

the 3D space. However in this thesis, its implementation is mainly illustrative and not thoroughly optimized or correctly configured.

Importantly, the processing pipeline was designed such that, if the radar module were replaced with a faster unit, the system's capabilities could be extended without significant changes to the codebase. More complex operations, such as 2D FFT or cube updates, are executed in parallel and are triggered by platform movement rather than on each radar update. Therefore, increasing the number of chirps would not significantly impact performance.

However, if the number of FFT points (for speed or range) were to increase substantially, beyond what was tested (up to about 500 MB cubes), a different cube update strategy would be needed. One possibility would be to leverage GPU acceleration for cube operations. Alternatively, with only a CPU, the cube could be split into smaller chunks, loading only the relevant portions into RAM/cache according to the platform's position and direction. However, the decay operation would be nearly impossible to realize quickly in case of large cubes without GPU acceleration.

In conclusion, a basic surveillance radar system was successfully designed and implemented. It is capable of detecting targets over a wide area, with a basic resolution of 1 degree in yaw and pitch, and range accuracy from centimeters to decimeters. The processing pipeline offers extensive customization, allowing users to fine-tune the system to their needs. However, due to limitations of the radar module, the system is not suitable for applications requiring tracking of moving targets.

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Appendix

Appendix A – G-code glossary

Following sections act as a glossary of all G-code commands used in the platform control. More in-depth descriptions of the commands can be found in the source code documentation.

Constants

- Parameters defined in Kconfig (require firmware recompilation):
- STEPPER_Y_STEP_COUNT, STEPPER_P_STEP_COUNT: Steps per rotation (modifiable via M92, non-persistent)
- STEPPER_[Y/P]_PIN_DIR, STEPPER_[Y/P]_PIN_STEP: GPIO pins for direction/step signals
- STEPPER_[Y/P]_PIN_ENDSTOP: Endstop detection pins
- STEPPER_MAX_SPEED: Maximum RPM (hardware limit)
- STEPPER_DEFAULT_SPEED: Default motion RPM
- STEPPER_MIN_SPINDLE_TIME: Minimum step interval of rotation in spindle mode
- STEPPER_HAL_TIMER_PERIOD/RESOLUTION: PWM timer period/resolution, affects achievable RPM range

Features

- **Units:** Switch between degrees and steps using G20/G21 respectively
- **Positioning Modes:**
 - Absolute (G90): Automatically normalizes angles to $[0, 360^\circ]$ or $[0, \text{STEP_COUNT}]$
 - Relative (G91): Limited to ± 32767 steps per command, or respective angle counterpart
 - in absolute positioning devices moves in the shortest path to the target
- **Spindle:** Continuous rotation mode
- **Limits:** Limits on rotation can be imposed on both axes
 - Limits are applied only to one rotation—it is not possible to restrict to, e.g., two full rotations in one direction and one in the other
 - `low < high`: Valid range $[\text{low}, \text{high}]$
 - `low > high`: Valid range $[\text{low}, 360^\circ] \cup [0, \text{high}]$
 - Out-of-range targets snap to nearest valid position
- **Programming:** G-code program can be uploaded to the device and executed
- **Synchronization:** If a command is issued to both axes, they will wait for each other; otherwise, the other axis will be free to execute the next command

Motion Control Commands

Axes are denoted as Y for yaw and P for pitch. The S parameter is used for speed for both axes, while SY and SP are used for the speed of yaw and pitch respectively. In the case of spindle, Y or P are not followed by step count/angle but instead by rotation direction.

M80 Enable high-voltage power (enables drivers)

M81 Disable high-voltage power (disables drivers)

M82 Emergency stop (clears command queues)

G20 Set units to degrees

G21 Set units to steps

G90 [Y/P] Set absolute positioning Mode

- Absolute positioning is not available in spindle mode; if you issue an M03 command to a stepper in absolute positioning mode, it will be automatically switched to relative positioning and throw an error
- If no argument is provided, both axes are switched to relative positioning

G91 [Y/P] Set relative positioning Mode

G28 [Y/P] Auto-home specified axis(es)

G92 [Y/P] Set current position as zero

G0 Y<val> P<val> [SY/SP<rpm>] Stepper-mode movement

M03 Y/P<+/-> [SY/SP<rpm>] Start spindle mode (continuous rotation)

M05 [Y/P] Stop spindle mode

M201 LY/HY/LP/HP<angle> Set angular limits (degrees/steps)

M202 [Y/P] Disable limits

Special Commands

These commands bypass certain sections and safety checks of the firmware. Use with caution.

G3 Y/P<steps> [SY/SP<rpm>] Bypass scheduler and adds movement directly to the queue

- Limits are not and cannot be checked
- Absolute positioning is not available
- All values are interpreted as steps regardless of current unit setting
- *Warning:* Use M82 before G3 to maintain position integrity

W3 T<ms> Application-layer delay

- Useful to wait for end of homing process

Programming Commands

P90 <id> Start programming mode (overwrites existing program if any)

P91 Transition from header to main program body

P92 Finalize program (discarded if loops unclosed)

P21 I<iterations> For-loop declaration

P22 End loop block

P29 Infinite loop marker (header only) - main body will be executed infinitely

W0 Y/P<sec> Wait in seconds

W1 Y/P<ms> Wait in milliseconds

Uplink Protocol

- **!P <timestamp>, <yaw>, <pitch>**: Position update (20ms interval)
- **!R OK**: Command acknowledgment
- **!R ERR <code>**: Command error

Code	Description
1	Malformed command syntax
2	Invalid arguments
3	Queue lock failure
4	Unsupported command
5	System busy (homing/program running)
6	Runtime exception
7	Unclosed loop in program
8	Invalid context

Example Programs

Keep in mind that when using the interface from the application commands P90, P91, P92 should not be entered. The program will be automatically finalized when user starts upload to the platform.

Example 1: Continuos rotation in yaw, up and down in pitch

Command	Mode	Purpose
P90 rotTilt	Header	Initialize program rotTilt
G91	Header	Set relative positioning
G21	Header	Set units to steps
G28	Header	Start auto home routine
G0 P-50 S6	Header	Move pitch 50steps
W3 T5000	Header	Wait five second
P92	Header	Set current position as home
P29	Header	Enable infinite looping
M03 SY6 Y+	Header	Start Yaw spindle (6 RPM)
P92	Header	Finalize header declaration
G0 S5 P40	Body	Pitch movement
G0 S5 P-40	Body	Return pitch
P92	Body	Finalize program

Example 2: Continuos rotation in yaw, static in pitch

Command	Mode	Purpose
P90 rot	Header	Initialize program rot
G91	Header	Set relative positioning
G21	Header	Set units to steps
G28	Header	Start auto home routine
G0 P-50 S6	Header	Move pitch 50steps
W3 T5000	Header	Wait five second
G92	Header	Set current position as home
P29	Header	Enable infinite looping
M03 SY6 Y+	Header	Start Yaw spindle (6 RPM)
P91	Header	Finalize header declaration
P92	Body	Finalize program

Example 3: Half rotation in yaw, static in pitch

Command	Mode	Purpose
P90 halfrot	Header	Initialize program halfrot
G91	Header	Set relative positioning
G21	Header	Set units to steps
G28	Header	Start auto home routine
G0 P-50 S6	Header	Move pitch 50steps
W3 T5000	Header	Wait five second
G92	Header	Set current position as home
G0 Y-50 S6	Header	Shift 50 steps so that movement is around zero
P29	Header	Enable infinite looping
P91	Header	Finalize header declaration
G0 Y100 S6	Body	Move clockwise
G0 Y-100 S6	Body	Move counterclockwise
P92	Body	Finalize program

Example 4: Partial rotation in yaw, static in pitch, absolute mode

Command	Mode	Purpose
P90 parrot	Header	Initialize program parrot
G91	Header	Set relative positioning
G20	Header	Set units to degrees
G28	Header	Start auto home routine
G0 P-30 S6	Header	Move pitch 30 degrees
W3 T5000	Header	Wait five second
G92	Header	Set current position as home
G90	Header	Set absolute positioning mode
G0 Y80 S6	Header	Move to 80 degrees
P29	Header	Enable infinite looping
P91	Header	Finalize header declaration
G0 Y280 S6	Body	Move to 280 degrees
G0 Y80 S6	Body	Move to 80 degrees
P92	Body	Finalize program

Example 5: Squares

Command	Mode	Purpose
P90 square	Header	Initialize program square
G91	Header	Set relative positioning
G20	Header	Set units to degrees
G28	Header	Start auto home routine
G0 P-30 S6	Header	Move pitch 30 degrees
W3 T5000	Header	Wait five second
G92	Header	Set current position as home
P29	Header	Enable infinite looping
P91	Header	Finalize header declaration
G0 Y20 P0 S6	Body	Move yaw, fix pitch
G0 Y0 P20 S10	Body	Move pitch, fix yaw
G0 Y20 P0 S6	Body	Move yaw, fix pitch
G0 Y0 P-20 S10	Body	Move pitch, fix yaw
P92	Body	Finalize program