Linking evolutionary and ecological theory illuminates non-stationary biodiversity

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¹ Abstract

Whether or not biodiversity dynamics tend toward stable equilibria remains an unsolved question in ecology and evolution with important implications for our understanding of diversity and its conservation. Phylo/population genetic models and macroecological theory represent two primary lenses through which we view biodiversity. While phylo/population genetics provide an averaged view of changes in demography and diversity over timescales of generations to geological epochs, macroecology provides an ahistorical description of commonness and rarity across co-occurring species. Our goal is to combine these two approaches to gain novel insights into the non-equilibrium nature of biodiversity. We help guide near future research with a call for bioinformatic advances and an outline of quantitative predictions made possible by our approach.

Non-equilibrium, inference, and theory in ecology and evolution

The idea of an ecological and evolutionary equilibrium has pervaded studies of biodiversity from geological to ecological, and from global to local [1–6]. The consequences of non-equilibrium dynamics for biodiversity are not well understood and the need to understand them comes at a critical time when anthropogenic pressures are forcing biodiversity into states of rapid transition [7]. Non-equilibrial processes could profoundly inform conservation, which are only just beginning to be explored [8].

Biodiversity theories based on assumptions of equilibrium, both mechanistic [3, 5, 6] and statistical [see the Glossary; 4, 9] have found success in predicting ahistorical patterns of diversity such as the species abundance distribution [3, 4, 10] and the species area relationship [3, 4]. These theories assume a macroscopic equilibrium in terms of these coarse-grained metrics, as opposed to focusing on details of species identity [such as in 7], although macroscopic and microscopic approaches are not mutually exclusive. Nonetheless, the equilibrium assumed by these theories is not realistic [11], and many processes, equilibrial and otherwise, can generate the same macroscopic, ahistorical predictions [12].

Tests of equilibrial ecological theory alone will not allow us to identify systems out of
equilibrium, nor permit us to pinpoint the mechanistic causes of any non-equilibrial processes.

The dynamic natures of evolutionary innovation and landscape change suggest that ecological
theory could be greatly enriched by synthesizing its insights with inference from population
genetic theory that explicitly accounts for history. This would remedy two shortfalls of
equilibrial theory: 1) if theory fits observed ahistorical patterns but the implicit dynamical
assumptions were wrong, we would make the wrong conclusion about the equilibrium of the
system; 2) if theories do not fit the data we cannot know why unless we have a perspective
on the temporal dynamics underlying the generation of those data.

No efforts to date have tackled these challenges. We propose that combining insights 37 from ecological theory and inference of evolutionary and demographic change from genetic 38 data will allow us to understand and predict the consequences of non-equilibrial processes in governing the current and future states of ecological assemblages. The advent of next generation sequencing approaches to biodiversity [13-22] have made unprecedented data available 41 for synthesizing insights form ecological theory and genetics/genomics. However, we need a tool set of bioinformatic methods (Box 2) and meaningful predictions (section 6) grounded in theory to make use of those data. Data will take the form of both standard ecological metrics such as species abundances, as well as summaries of demographic diversity dynamics inferred from genetics. Theory-based predictions will consist of connecting deviations from ecological theory and regions of parameter space with the dynamic processes inferred from genetics, all aided by new bioinformatic advances. We present the foundation of this tool set here.

What is it good for: metabarcode biodiversity, ecological neutral theory applied to microbial communities [23]

₅₂ 2 Ecological theories and non-equilibrium

Neutral and statistical theories in ecology focus on macroscopic patterns, and equilibrium is presumed to be relevant to those patterns, but not the finer-grained properties of ecosystems. Non-neutral and non-statistical models [e.g., 5, 6] also invoke ideas of equilibrium in their derivation. However, these equilibria focus on the micro-scale details of species interactions and therefore do not fall within our primary focus. Here, we focus explicitly on simple yet predictive theories for their utility as null models, not because of a presumption of their realism.

Our goal throughout is not to validate neutral or statistical theories—quite the opposite, we propose new data dimensions, namely genetics, to help better test alternative hypotheses against these null theories, thereby gaining insight into what non-neutral and non-statistical mechanisms are at play in systems of interest.

To use these theories as null models, we need a robust measure of goodness of fit. The
emerging consensus is that likelihood-based test statistics should be preferred [24]. The
"exact test" of Etienne [25] has been extended by Rominger and Merow [26] into a simple
z-score which can parsimoniously describe the goodness of fit between theory and pattern.
We advocate its use in our proposed framework.

The neutral theory of biodiversity [NTB; 3] assumes that one mechanism—demographic drift—drives community assembly. By presuming that individuals of different species within a trophic level are equivalent in regards to competition or resource use, neutrality avoids the intractability of over parameterization and arrives as an equilibrium prediction when homogeneous stochastic processes of birth, death, speciation and immigration have reached stationarity. Thus neutrality in ecology is analogous to neutral drift in population genetics [3].

Rather than assuming any one mechanism dominates the assembly of populations into 76 a community, statistical theories assume all mechanisms could be valid, but their unique 77 influence has been lost to the enormity of the system and thus the outcome of assembly is 78 a community in statistical equilibrium [4, 9]. These statistical theories are consistent with niche-based equilibria [9, 27] if complicated, individual or population level models were to be upscaled to entire communities. The maximum entropy theory of ecology [METE 4] derives its predictions by condensing the many bits of mechanistic information down into ecological state variables and then mathematically maximizing information entropy conditional on those state variables. METE can predict multiple ahistorical patterns, including distributions of species abundance, body size, spatial aggregation, and tropich links [4, 28], 85 making for a stronger test of theory [29]. However, multiple dyanamics can still map to this handful of metrics [12] and while extensive testing often supports METE's predictions [4, 10, 30] at single snapshots in time, METE fails to match observed patterns in disturbed and rapidly evolving communities [4, 28]. We cannot know why within the current framework of equilibrium theory testing without adding metrics that capture temporal dynamics.

₉₁ 3 Inferring non-equilibrium dynamics

Unlocking insight into the dynamics underlying community assembly will help us overcome
the limitations of analyzing ahistorical patterns with equilibrial theory. While the fossil
record could be used for this task, it has limited temporal, spatial, and taxonomic resolution. Here we instead focus on population/phylogenetic insights into rates of change of
populations and species because of the detailed characterization of demographic fluctuations,
immigration, selection, and speciation they provide. Bridging ecological theory with models
from population/phylogenetics has great potential [31–36] that has yet to be fully realized.
How we can best link the inferences of change through time from phylo/population genetics with inferences from macroecology depends on what specific insights we can glean from
genetic perspectives on demography and diversification.

One of the fundamental tools allowing for complex historical inference with population genetic data is coalescent theory [37, 38]. Coalescent theory allows for model-based estimation of historical parameters such as historical population size fluctuations [39], divergence and/or colonization times [40, 41], migration rates [42], selection [43], and complex patterns of historical population structure [44] and gene flow [45, 46]. This approach can also be put in a multi-species, community context via hierarchical demographic models [47–50], even when only small numbers of genetic loci are sampled from populations [51].

These modeled demographic deviations from neutral demographic equilibrium can also be condensed into multi-species summary statistics. For example, Tajima's D, which measures the strength of non-equilibrium demography in a single population [see Glossary for more details; 52–55], could be averaged over all populations in a sample.

Current efforts to integrate evolution into ecological theory

While quantitatively integrating theory from ecology, population genetics, and phylogenetics has not yet been achieved, efforts so far to synthesize perspectives from evolution and ecology point toward promising directions despite being hindered by one or more general issues: 1) lack of a solid theoretical foundation, 2) inability to distinguish multiple competing alternative hypotheses, 3) lack of comprehensive genetic/genomic data, and 4) lack of bioinformatic approaches to resolve species and their abundances.

Phylogenetic information has been incorperated into studies of the NTB to better un-121 derstand its ultimate equilibrium [56, 57]. However, kindered phylogenetic reasoning also 122 points out the flaws in the NTB's presumed equilibrium [11]. Attempts to correct the as-123 sumed dynamics of NTB through "protracted speciation" [58] are promising, and while their 124 implications for diversification have been considered [59], these predictions have not been 125 integrated with demographic and phylogeographic approaches [e.g., 40, 41, 44] that have 126 the potential to validate or falsify presumed mechanisms of lineage divergence. Such demo-127 graphic studies, particularly phylogeographic investigations of past climate change [60, 61], 128 have highlighted the non-equilibrium responses of specific groups to perturbations that must 120 be confronted by ecological theory, but no attempt has been made to scale up these ob-130 servations to implications at the level of entire communities. The recent growth in joint 131 studies of genetic and species diversity [35, 62, 63] have been useful in linking population 132 genetics with ecological and biogeographic theory. These correlative studies could be bol-133 stered by developing full joint models that link community assembly, historical demography 134 and coalescent-based population genetics combined with next generation sequencing based community analysis approaches. 136

Studies have also used chronosequences or the fossil record in combination with neutral

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and/or statistical theory to investigate changes over geologic time in community assembly mechanisms [28, 64]. While these studies have documented interesting shifts in assembly mechanisms, including departures from equilibrium, likely resulting from evolutionary innovations, understanding exactly how the evolution of innovation is responsible for these departures cannot be achieved without more concerted integration with genetic data.

5 What is needed now

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A key limitation of using ahistorical theory to infer dynamic mechanisms is that multiple mechanisms, from simple and equilibrial to complex, can map onto the same ahistorical pattern [29, 65]. This means that even when a theory describes the data well, we do not really know the dynamics that led to that good fit [11].

Quantitatively integrating the dynamics inferred from population and phylogenetic approaches with ahistorical, equilibrial ecological theory can break this many-to-one mapping
of mechanism onto prediction and contextualize whether a match between ahistorical pattern
and theory truely results form equilibrial dynamics or only falsely appears to. There are two
complementary approaches to achieve this integration (both discussed further in Box 2):

- Option 1: using dynamics from genetic inference to predict and understand deviations from ahistorical theories. This amounts to separately fitting ahistorical theory to typical macroecological data, while also fitting population genetic and/or phylogenetic models to genetic data captured for the entire community. Doing so requires substantial bioinformatic advances that would allow the joint capture of genetic or genomic data from entire community samples, while also estimating accurate abundances for each species in those same samples.
- Option 2: building off existing theories, develop new joint models that simultaneously predict macroecological and population genetic patterns. This amounts to building

hierarchical models that take genetic data as input and integrate over all possible community states given explicit models of community assembly and population coalescence.

Such a model approach also represents a major bioinformatic challenge.

165 5.1 What we could gain from this framework

Using our proposed framework, we can finally understand why ahistorical theories fail when 166 they do—is it because of rapid population change, or evolution/long-distance dispersal of 167 novel ecological strategies? We could predict whether a system that obeys the ahistorical 168 predictions of equilibrial ecological theory is in fact undergoing major non-equilibrial evolu-169 tion. We could better understand and forecast how/if systems out of equilibrium are likely 170 to relax back to equilibrial patterns. With such a framework we could even flip the direction 171 of causal inference and understand ecological drivers of diversification dynamics. This last 172 point bears directly on long-standing debates about the importance of competitive limits on 173 diversification. Competition and limiting similarity have a long history of study as drivers 174 of diversification. This has culminated in ideas of diversity-dependent diversification[2], 175 but lacks a link back to ecological assembly mechanisms. Conclusions about phylogenetic 176 patterns (e.g. diversification slowdowns) would be more believable and robust if combined 177 with population genetic inference (e.g. declining populations) and community patterns (e.g. 178 deviation from equilibrium).

6 Evo-ecological predictions for systems out of equilibrium

The data needed to fully test a non-equilibrial theory of ecology and evolution, synthesizing historical and contemporary biodiversity patterns, are unprecedented in scale and depth.

We require knowing the species identities of each individual in a sample as well as infor-

mation on some portion of their genomes such that we can estimate historical demography and diversification. In Box 2 we highlight two promising routes: 1) estimating abundance from targeted capture high throughput sequencing data (i.e. metabarcoding) to be used in ahistorical ecological theory testing, and then separately fitting models of demography and diversification; and 2) jointly estimating the parameters of coupled models of community assembly and community-level population genetics. Assuming these two approaches are within reach (as we demonstrate in Box 2), we now discuss hypotheses to be tested in our non-equilibrium framework.

193 6.1 Cycles of non-equilibrium

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Ecosystems experience regular disturbances which can occur on ecological time-scales, such as primary succession, or evolutionary time scales, such as evolution of novel innovations that lead to new ecosystem processes [66]. We hypothesize that these regular disturbances can lead to cycles of non-equilibrium in observed biodiversity patterns.

Figure 1 derives from comparing summaries of deviation from neutral/statistical equilibrium on the y-axis and deviations from equilibrial demography/diversification on the x-axis. Trajectories of biodiversity assemblages through this space shows how we hypothesize biodiversity to transition between different phases of equilibrium and non-equilibrium. A clockwise cycle through this space would indicate:

- Panel I \rightarrow Panel II: following rapid ecological disturbance, ecological metrics diverge from equilibrium
- Panel II \rightarrow III: ecological non-equilibrium spurs evolutionary non-equilibrium leading to both ecological and evolutionary metrics diverging from equilibrium values
 - \bullet Panel III \to IV: ecological relaxation to equilibrium after evolutionary innovations provide the means for populations to re-equilibrate to their environments

• Panel IV \rightarrow I: finally a potential return to equilibrium of both ecological and evolutionary metrics once evolutionary processes have also relaxed to their equilibrium

Cycles could also be much shorter, with a system only transitioning back and forth between Panel I and Panel II. This scenario corresponds to the system being driven only by rapid ecological disturbance, and this disturbance itself following a stationary dynamic leading to no net evolutionary response.

Cycles through this space could also occur in a counterclockwise direction, being initiated by an evolutionary innovation. Under such a scenario we hypothesize the cycle to proceed:

- Panel I \rightarrow IV: non-equilibrium evolution (including sweepstakes dispersal) leading to departure from evolutionary equilibrium before departure from ecological equilibrium
- Panel IV \rightarrow III: non-equilibrial ecological response to non-equilibrium evolutionary innovation
- Panel III \rightarrow I: ecological and evolutionary relaxation

We hypothesize that the final transition will be directly to a joint equilibrium in ecological and evolutionary metrics (Panel I) because a transition from panel III to panel II is unlikely, given that ecological rate are faster than evolutionary rates.

A complete cycle cannot be observed without a time machine, but by combining ahistorical ecological theory and population/phylogenetic inference methods with community-level
genetic data we can identify where on the cycle our focal systems are located. Such an
approach assumes that abundance data have been estimated from sequence data, ahistorical
ecological theories have been fit to those abundance data, and models of population demography and/or diversification have been separately fit to the underlying sequence data. To
better under how our focal systems have transitioned between different equilibrium and nonequilibrium phases, we must more deeply explore the joint inference of community assembly

and evolutionary processes. In the following sections we do just that for each transition shown in Figure 1. We bring to bear other aspects of joint eco-evolutionary inference, in particular the 1) relationship between lineage age (inferred from molecular data) and lineage abundance; 2) the nature of deviation from ecological metrics, specifically the shape of the species abundance distribution; and 3) the nature of deviation from evolutionary metrics, specifically inference of past population change and selection.

239 6.2 Systems undergoing rapid ecological change

For systems whose metrics conform to demographic predictions of equilibrium, but deviate from equilibrial ecological theory, we predict that rapid ecological change underlies their 241 dynamics. However, more information is needed to confirm that the system is being driven 242 primarily by rapid ecological change. The first line of evidence could come from a lack 243 of correlation between lineage age and lineage abundance—this would indicate that slow 244 eco-evolutionary drift is interrupted by frequent perturbations to populations, making their 245 size independent of age (Fig. 2). Actual abundance should similarly be uncorrelated with 246 inference of effective population size from genetic data. Further support for the ecology-247 only hypothesis could come from a lack of directional selection detected in community-wide 248 surveys of large genomic regions (see Box 1). Taken as a whole, systems in which ecological metrics deviate from equilibrial theory while demographic and macroevolutionary metrics 250 conform to equilibrial theory presents an opportunity to understand and test hypotheses 251 relating to disturbance, assembly, and the shape of the species abundance distribution [e.g., 252 4]. 253

254 6.3 Non-equilibrium ecological communities fostering nonequilibrium evolution

A lack of equilibrium in an ecological assemblage means that the system will experience 256 change on its trajectory toward a future possibility of equilibrium. If ecological relaxation 257 does not occur—by chance, or because no population present is equipped with the adap-258 tations to accommodate the new environment—then the system is open to evolutionary 259 innovation. Such innovation could take the form of elevated speciation or long-distance 260 immigration, relating to the idea that community assembly is a race between processes 261 with potentially different, but stochastic rates [62]. Speciation and sweepstakes immigra-262 tion/invasion will yield very different phylogenetic signals, however their population genetic signals in a non-equilibrium community may be very similar (e.g. rapid population expansion). Thus where non-equilibrium communities foster non-equilibrium diversification (either through speciation or invasion) we expect to see a negative relationship between lineage age 266 and abundance (Fig. 2). 267

268 6.4 Non-equilibrium evolution fostering non-equilibrium ecological 269 dynamics

If evolutionary processes, or their counterpart in the form of sweepstakes immigration/invasion, generate new ecological strategies in a community, this itself constitutes a form of disturbance pushing the system to reorganize (Fig. 1 Panel I to IV to III). Evolutionary change would have to be extremely rapid to force ecological metrics out of equilibrium, thus we would expect to see phylogenetic signals of adaptive radiation, and corresponding signals of strong selection in genomic-scale sequence data.

76 6.5 Ecological and evolutionary relaxation

Ecological metrics can return to equilibrium either by ecological means or by evolutionary means. In either case, communities are likely to return to equilibrium given enough time without disturbance. Because ecological rates are typically faster than evolutionary rates, this ecological relaxation is likely to happen more quickly than evolutionary relaxation, and thus genetic inference may reveal a time-averaged demographic signature of non-equilibrium.

Given sufficient time in ecological equilibrium, this time averaged demographic record revealed by genetic inference will likely also re-equilibrate.

Harnessing evo-ecological measures of non-equilibrium for a changing world

- Inference of community dynamics needs to expand the dimensions of data it uses to draw conclusions about assembly of biodiversity and whether/when this is an equilibrial or non-equilibrial process. The need for more data dimensions is supported by others [12]; however, accounting for the complexities of history by explicitly linking theories of community assembly with theories of evolutionary genetics is novel, and made possible by advances in:
- 1. high throughput sequencing (Box 1) that allow genetic samples to be economically and time-effectively produced on unprecedented scales
- 293 2. bioinformatic methods (Box 2) that allow us to make sense of these massive community-294 wide genetic/genomic datasets
- 3. theory development (section 6) that provides meaningful predictions to test our new bioinformatic approaches

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This approach is a fertile cross pollination of two fields that, while successful in their

own right, are enhanced by their integration. While comparative historical demographic models are advancing [47–50], testing community-scale hypotheses with multi-taxa data 299 would be profoundly improved and enriched if population genetic model were grounded in 300 macroecological and biogeographic theory. What is more, it has been long recognized that 301 models in community ecology have been overly reliant on ahistorical patterns, such as the 302 species abundance distribution, which are by themselves often insufficient for distinguishing 303 competing models of assembly [12]. The field is ready to fully merge these two approaches 304 using the wet lab, bioinformatic, and theoretical-conceptual approaches we have promoted 305 here. This time to do so is now, as scientists face an increasingly non-equilibrium world and 306 its consequences for our fundamental understanding of what forces govern the diversity of 307 life and how we can best harmonize human activities with it. 308

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$_{479}$ Boxes

Box 1: Wetlab techniques

Next generation sequencing technology has ushered in a revolution in evolutionary biology and ecology. The large scale recovery from bulk samples (e.g. passive arthropod traps) of species richness, food web structure, and cryptic species promise unprecedented new insights into ecosystem function and assembly [13–15, 67]. Two approaches, differing in cost and effectiveness, have emerged.

Metabarcoding describes the targeted PCR amplification and next generation sequencing 486 of short DNA barcode markers (typically 300-500 bp) from community samples [16]. The 487 resulting amplicon sequences can be clustered into OTUs or grafted onto more well supported 488 phylogenies. Even minute traces of taxa in environmental samples can be detected using 489 metabarcoding [18]. Amplicon sequencing is cheap, requires a small workload, and thus 490 allows rapid inventories of species composition and species interactions in whole ecosystems 491 [14, 20]. However, the preferential amplification of some taxa during PCR leads to highly 492 skewed abundance estimates [68] from metabarcoding libraries. 493

Metagenomic approaches , in contrast, avoid marker specific amplification bias by se-494 quencing libraries constructed either from untreated genomic DNA [19, 21], or after targeted 495 enrichment of genomic regions [22]. While being more laborious, expensive and computa-496 tionally demanding than metabarcoding, metagenomics thus offers improved accuracy in 497 detecting species composition [17]. Moreover, the assembly of high coverage metagenomic 498 datasets recovers large contiguous sequence stretches, even from rare members in a commu-499 nity, offering high phylogenetic resolution at the whole community level [69]. Due to large 500 genome sizes and high genomic complexity, metazoan metagenomics is currently mostly 501 limited to the assembly of fairly short high copy regions. Particularly mitochondrial and 502

chloroplast genomes as well as nuclear ribosomal clusters are popular targets [21, 69]. In contrast, microbial metagenomic studies now routinely assemble complete genomes and char-504 acterize gene content and metabolic pathways even from complex communities [70]. This 505 allows unprecedented insights into functional genetic process underlying community assem-506 bly and evolutionary change of communities to environmental stress. Such whole genome 507 based community analysis is not yet feasible for macroorganisms. However, considering the 508 ever increasing throughput and read length of next generation sequencing technology, as well 509 as growing number of whole genomes, it might well become a possibility in the near future, 510 opening up unprecedented new research avenues for community ecology and evolution. 511

₅₁₂ Box 2: Bioinformatic advances

While species richness can be routinely identified by sequencing bulk samples using high throughput methods, estimating species abundance remains challenging [68] and severely limits the application of high throughput sequencing methods to many community-level studies. We propose two complementary approaches to estimate species abundance from high throughput data. The first approach estimates abundance free from any models of community assembly, the second jointly estimates the parameters of a specific assembly model of interest along with the parameters of a coalescent-based population genetic model.

Model-free abundance estimation. We propose a pipeline (Fig. I) where raw reads are generated and assembled into a phylogeny using standard approaches, and potentially aided by additionally available sequence data in a super tree or super matrix approach. The numbers of sequences assigned to each terminal tip are then used in a Bayesian hierarchical model which seeks to estimate the true number of organisms representing each terminal tip, accounting for sequencing biases originating from, e.g. primer affinity and copy number differences between taxa. Information on phylogenetic relatedness can inform modeled correlations in biases between taxa [71, e.g. copy number is known to be phylogenetically

conserved at least in microbes]. This approach is particularly tailored to metabarcoding data.

In a potentially powerful extension, and thanks to the proposed Bayesian framework, information from sequencing experiments that seek to calibrate metabarcoding studies [e.g., 67]

can be used to build meaningfully informative priors and improve model accuracy. Through

a simulation study (described in the supplement) we show that true underlying abundances

can be accurately estimated (Fig. II).

Joint inference of community assembly and population genetic models. 534 individual-based, forward-time models of community assembly with backwards-time hierar-535 chical multi-taxa coalescent models permits inference about the values of the parameters in both models. This framework is flexible enough to incorporate multiple refugia, colonization routes, ongoing migration and both neutral and deterministic processes of assembly 538 on time scales of hundreds of thousands of years (Fig. III). A forthcoming implementation 539 $[gimmeSAD\pi; 72]$ simulates an individual-based forward time community dispersal model 540 [73] linked with the msPrime coalescent simulator [74]. This has been accomplished by 541 rescaling the time dependent local abundance distributions into time dependent effective 542 population size distributions while allowing for heterogeneity in migration and colonization 543 rates. This simulation model can be combined with random forest classifiers and hierarchi-544 cal ABC to enable testing alternative assembly models, including models that have not yet 545 reached their theoretical equilibria. 546

547 Glossary

ahistorical Patterns or theories which do not contain information about the historical processes that gave rise to them

Approximate Bayesian computation (ABC) . A method of calculating an approximate posterior sample of parameters in a complex model whose likelihood function cannot

be analytically solved by simulating realizations of the model, computing summary statistics from those realizations, and probabilistically accepting or rejecting the parameter values leading to those summary statistics based on their agreement with the observed statistics computed from the real data.

coalescent A backwards in time model approach in population genetics in which alleles in
the sample are traced to their ancestors under demographic models of interest.

equilibrium Equilibrium is often reserved for systems in thermodynamic equilibrium—
which all life violates. By "biodviersity equilibrium" we make an analogy to thermodynamics
and say that biodiversity is in equilibrium if its marcrosopic state (e.g. richness of species
abundance distribution, but not neccesarily specific species compositions) is steady, and
across arbitrary subsystems, the same steady state applies.

hierarchical model A modeling approach that facilitates complex hypotheses and causal relationships by allowing model parameters at one level to be dependent on parameters at another level.

statistical equilibrium In the context of biodiversity, a description of a steady state
arrived at not by the force of one or a few deterministic mechanisms but by the stationary,
statistical behavior of very large collections of mechanistic drivers acting on large assemblages
of organisms.

Tajima's D A metric of non-stationary evolution computed as the difference between two distinct derivations of theoretical genetic diversity. If neutral molecular evolution in a constant population holds, both derivations should be equal, and otherwise if assumptions of constant demography and neutral selection are violated, will be unequal.

Outstanding Questions

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- 1. Can we learn by synthesizing macroecologial and population genetic theory whether observed non-equilibrium states are driven by natural disturbance regimes or by anthropogenic forces?
- 2. Can we learn the relative roles of evolutionary processes (speciation, extinction) vs. successional processes (driven by, e.g., competition, mutualisms, dispersal) as drivers of non-equilibrium macroecology?
 - 3. How can functional genomics be used to better distinguish between purely demographic and niche-based drivers of non-equilibrium? Can understanding the functional content of genomes across taxa in a community help predict potential for non-equilibrium responses to future perturbations? Functional genomics is still very much in development (see Box 1), but future prospects are exciting.
- 4. How can relative abundance data derived from ancient DNA and fossil data be lever-586 aged within a joint model that generates predictions of spatiotemporal distributions 587 of genetic polymorphism and species abundances? One such opportunity is the avail-588 ability of highly resolved estimates of relative abundance distributions of forest tree 589 assemblages that are derived from paleo-pollen data [75] which could allow for joint 590 inference in conjunction with assemblage-level genomic sampling. Likewise, obtaining 591 community-level DNA preserved in lake sediments sampled at different late Pleistocene 592 and Holocene could provide for a whole new lense for testing models that account for 593 historical dynamics at both evolutionary and ecological time scales [76]. 594

595 Figures

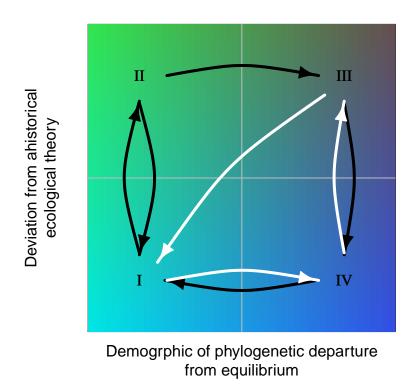


Figure 1: Hypothesized cycles between different states of equilibrium and non-equilibrium in ecological theory (y-axis) and evolutionary demography/diversification (x-axis). Deviations from ecological theory can be quantified by the previously discussed exact tests [25] and z-scores [26], while many statistics are available to quantify departure from demographic/diversification steady state including the previously discussed Tajima's D. Panels I–IV are discussed in the text. Colors correspond to deviation from ahistorical ecological theory and evolutionary equilibrium. Black cycle corresponds to non-equilibrium initiated by ecological disturbance (with potential to continue to evolutionary non-equilibrium or relaxation to equilibrium). White cycle is initiated by evolutionary innovation.

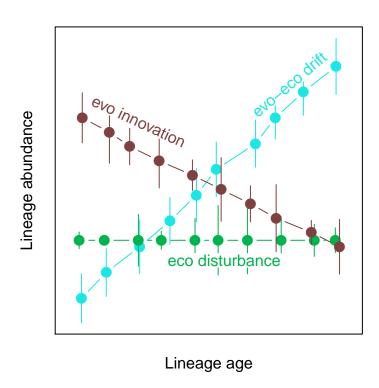


Figure 2: Hypothesized relationships between lineage age and abundance under different evo-ecological scenarios. Colors correspond to panels in Figure 1: teal is evo-ecological equilibrium; green is rapid transition to ecological non-equilibrium following short timescale disturbance; dark brown is non-equilibrium in both ecological and evolutionary metrics.

Box 2 figures

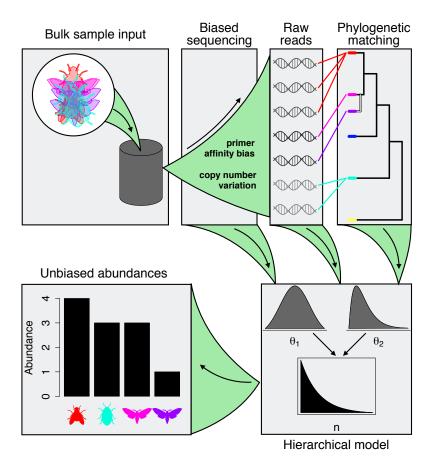


Figure I: Pipeline to estimate true abundances from metabarcoding data. The pipeline follows sequence generation, matching sequences to a phylogeny (generated from the sequences themselves, or better yet from higher coverage data) and finally Bayesian hierarchical modeling leading to abundance estimates.

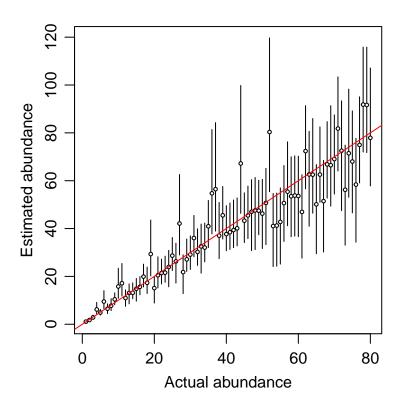


Figure II: Demonstration of agreement between actual and estimated abundances. Actual (simulated) abundances are on the x-axis, which the y-axis shows estimated abundances (error bars are 95% maximum credible intervals). The simulation study is described in the supplement.

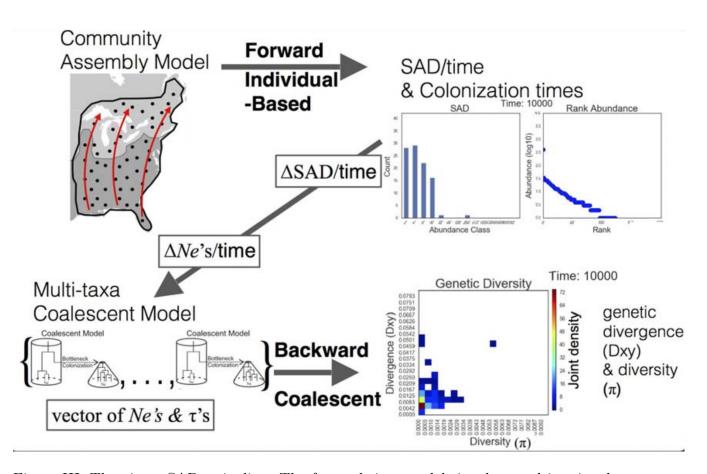


Figure III: The gimmeSAD π pipeline. The forward time models involves multi-regional expansion generating local abundance distributions over time with heterogeneity in colonization times. These temporally dynamic local abundances are re-scaled into local n_e distributions over time to generate multi-species genetic data the coalescent, which is summarized here with a time-dependent joint spectrum of genetic diversity statistics.