

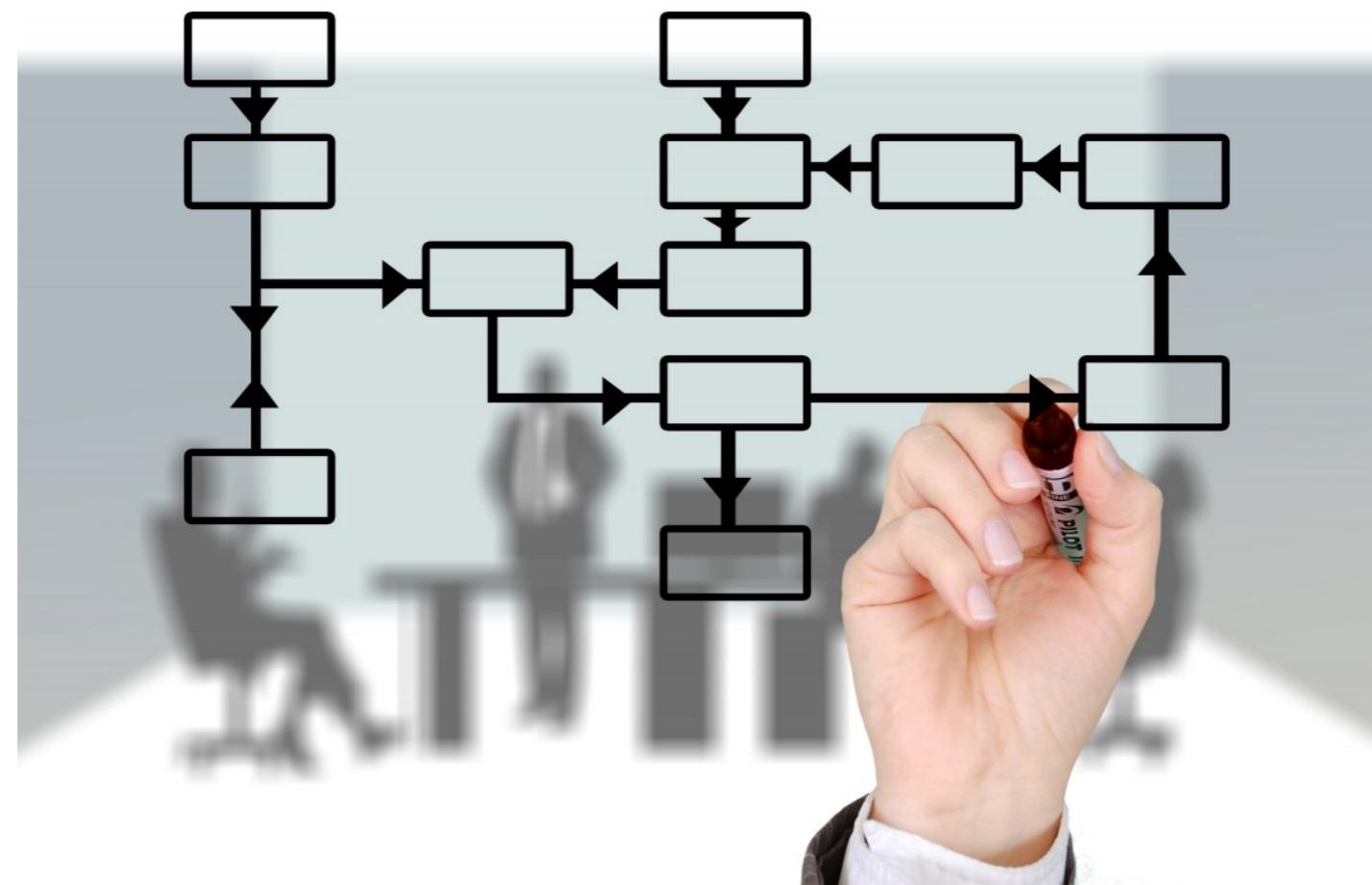


## BUSINESS PROCESS ANALYTICS IN R

# Introduction to Process Analytics

Gert Janssenswillen  
Creator of bupaR

# Business Processes



# Event data



# Process data

Why

What

Who

# Why?

Why

What

Who



# What?

Why

What

Who



# Who?

Why



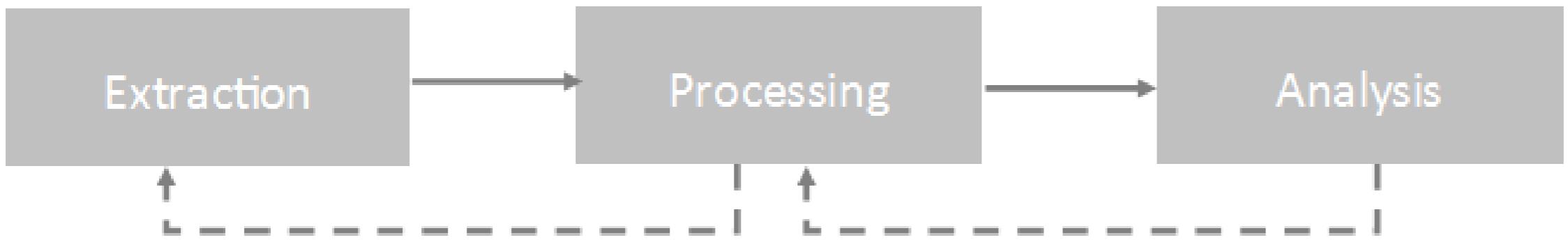
What



Who



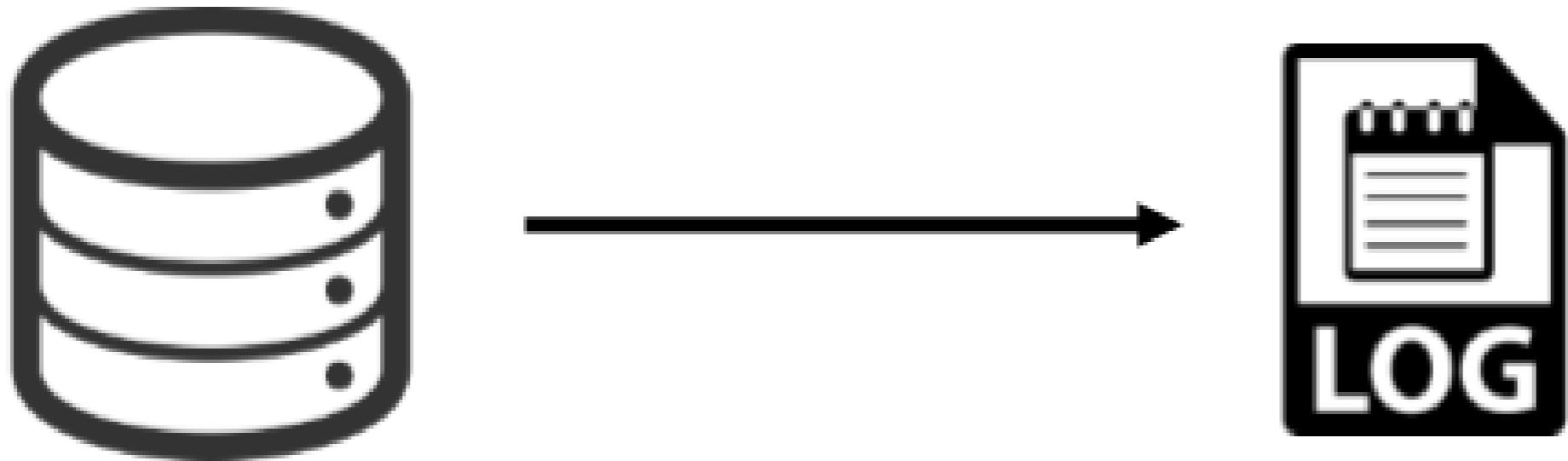
# Process analysis workflow



1. **Extraction:** transform raw data into event data
2. **Processing:** enrich and filter event data
3. **Analysis:** gain useful insights in the process

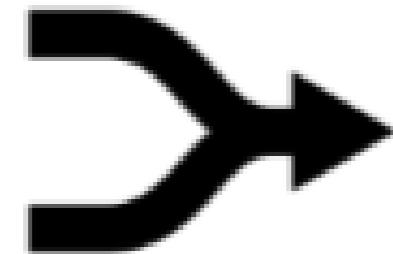
# Event Data Extraction

**From raw data to event data**



# Event Data Preprocessing

**Aggregation:** remove redundant details



**Enrichment:** add useful data attributes

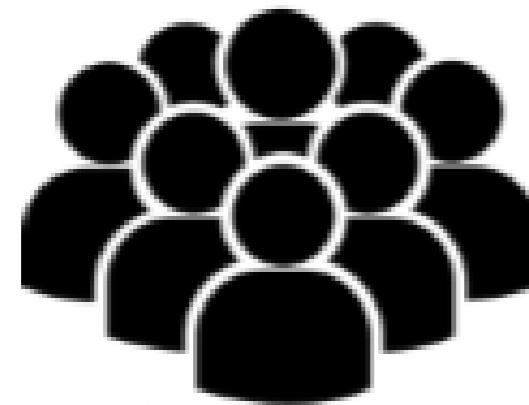


**Filtering:** focus your analysis

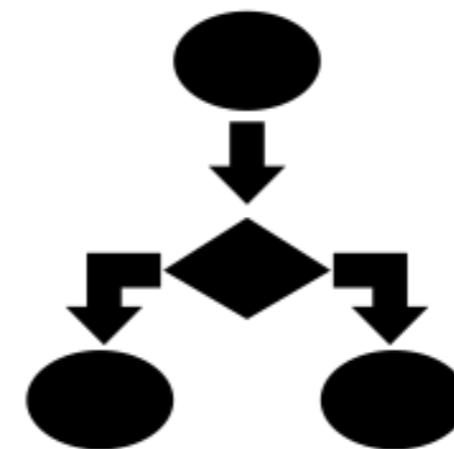


# Event Data Analysis

Organizational



Control-flow



Performance



And also

- Multivariate analysis
- Include additional data attributes



## BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**



## BUSINESS PROCESS ANALYTICS IN R

# Activities as cornerstones of processes

Gert Janssenswillen  
Creator of bupaR

# Example: Online learning



# A first glimpse of the event log

Getting an idea about the event log scope

- How many **cases** are described?
- How many distinct **activities** are performed?
- How many **events** are recorded?
- What is the **time period** in which the data is recorded?

# A first glimpse of the event log

```
library(bupaR)
```

This information can be viewed by printing the summary of an event log

```
summary(learning)
```

or using count functions.

```
> n_cases(learning)
498
> n_activities(learning)
10
> n_events(learning)
3645
```

# Activities

Activities describe the flow of the process

- Which actions are performed?
- In what order are they performed?

# Exploring activities

```
> activity_labels(learning)
```

```
[1] "Consult Dictionary"   "Consult Theory Pages" "Exercise 1"  
[4] "Exercise 2"          "Exercise 3"           "Exercise 4"  
[7] "Exercise 5"          "Exercise 6"           "Exercise 7"  
[10] "Assessment"
```

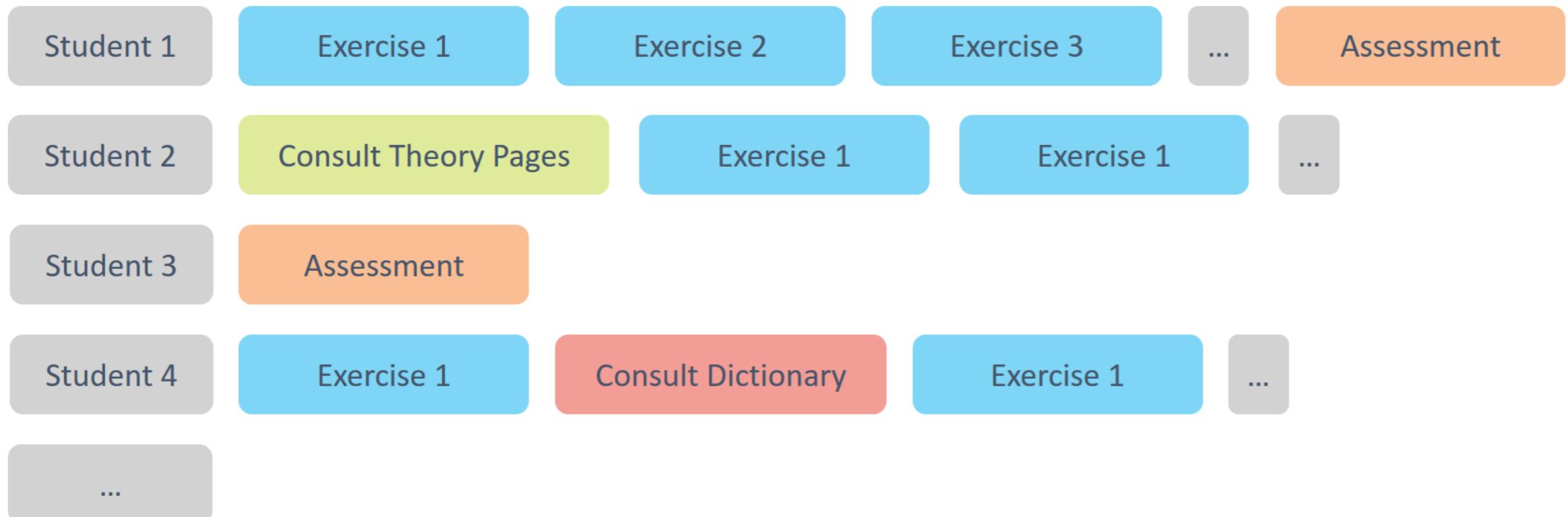
# Exploring activities

```
activities(learning)
```

```
# A tibble: 10 x 3
  action      absolute_frequency relative_frequency
  <chr>            <dbl>              <dbl>
1 Exercise 1        516                0.142
2 Assessment       498                0.137
3 Exercise 2        493                0.135
4 Exercise 4        442                0.121
5 Exercise 3        436                0.120
6 Exercise 5        360                0.0988
7 Exercise 6        302                0.0829
8 Exercise 7        299                0.0820
9 Consult Dictionary 165                0.0453
10 Consult Theory Pages 134               0.0368
```

# Exploring sequences of activities

Each case is described by a sequence of activities, its **trace**.



# Exploring sequences of activities

- A frequency table of traces can be retrieved with the `traces` function

```
traces(learning)
```

- They can be visualized using the `trace_explorer` function

```
trace_explorer(learning)
```



## BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**

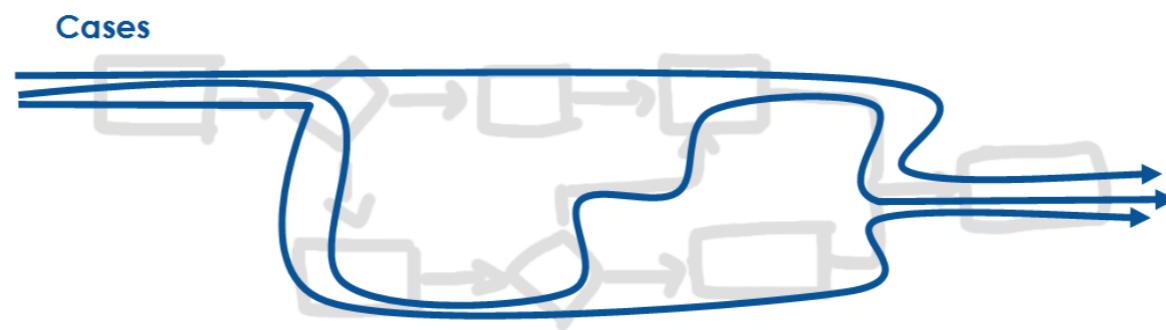
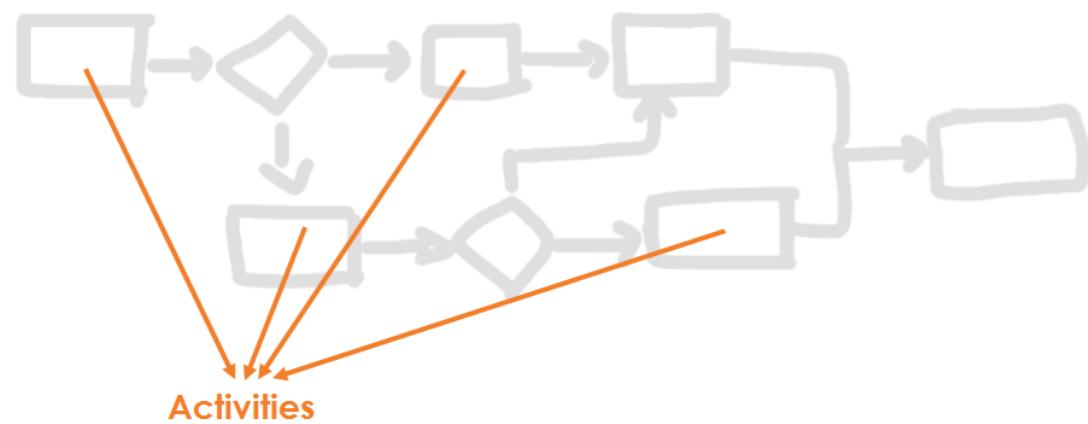


## BUSINESS PROCESS ANALYTICS IN R

# Components of process data

Gert Janssenswillen  
Creator of bupaR

# Cases and activities



# Activity instances

Activity instance = **occurrence** of an activity

	Patient	Activity	Started at
1	John	Registration	2018-01-10 09:41
2	Emily	Registration	2018-01-10 10:36
3	John	X-Ray	2018-01-10 10:42

# Events

John  
X-Ray

Scheduled

2018-01-10 09:51

Started

2018-01-10 10:42

Completed

2018-01-10 10:58

# Event log

Instance	Patient	Activity	Status	Time
1	John	Registration	Start	2018-01-10 09:41
3	John	X-Ray	Schedule	2018-01-10 09:51
2	Emily	Registration	Start	2018-01-10 10:36
3	John	X-Ray	Start	2018-01-10 10:42
3	John	X-Ray	Complete	2018-01-10 10:58

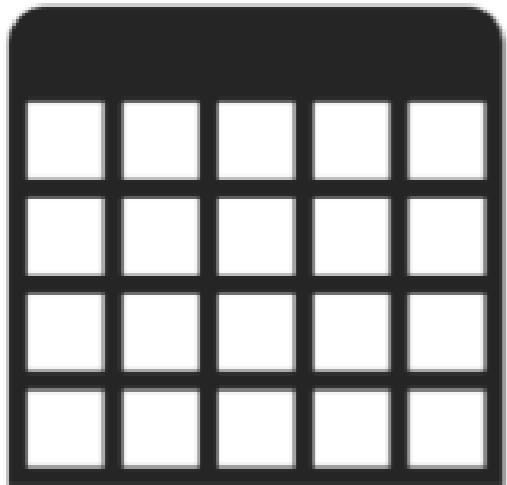
# Resources

Instance	Patient	Activity	Status	Time	Resource
1	John	Registration	Start	2018-01-10 09:41	Mr. Owens
3	John	X-Ray	Schedule	2018-01-10 09:51	Dr. Russell
2	Emily	Registration	Start	2018-01-10 10:36	Mr. Fleming
3	John	X-Ray	Start	2018-01-10 10:42	Dr. Russell
3	John	X-Ray	Complete	2018-01-10 10:58	Dr. Russell

# Recap: event log



# Create event log object



eventlog(...)



```
event_data %>%  
  eventlog(case_id = "patient",  
          activity_id = "handling",  
          activity_instance_id = "handling_id",  
          timestamp = "time",  
          lifecycle_id = "registration_type",  
          resource = "employee")
```



## BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**



## BUSINESS PROCESS ANALYTICS IN R

# Organizational analysis

Gert Janssenswillen  
Creator of bupaR

# Looking at the actors in the process

- Who executes the work?
- Who specializes in certain task?
- Is there a risk of brain drain?
- Who transfers work to whom?

# Data: Hospital process



# Who executes the work?

## Resources labels

```
resource_labels(log_hospital)

[1] Clerk Susan
[2] Dr. Sandra
[3] Dr. Lindsey
[4] Dr. John
[5] Nurse Carol
[6] Clerk Kimberly
[7] Nurse William
[8] Nurse James
[9] Emergency Dr. Helen
[10] Emergency Nurse Laura
[11] Emergency Nurse Robert
[12] Emergency Nurse David
```

# Who executes the work?

## Resource frequencies

```
resources(log_hospital)
# A tibble: 12 x 3
  employee      absolute_frequency relative_frequency
  <fct>            <int>                  <dbl>
  1 Dr. John        1101                  0.189
  2 Dr. Lindsey     1055                  0.181
  3 Dr. Sandra       955                  0.164
  4 Clerck Kimberly  694                  0.119
  5 Clerck Susan     677                  0.116
  6 Nurse William    345                  0.0591
  7 Nurse Carol      313                  0.0536
  8 Nurse James       263                  0.0451
  9 Emergency Dr. Helen 210                  0.0360
 10 Emergency Nurse Laura 145                  0.0249
 11 Emergency Nurse Robert  68                  0.0117
 12 Emergency Nurse David   9                  0.00154
```

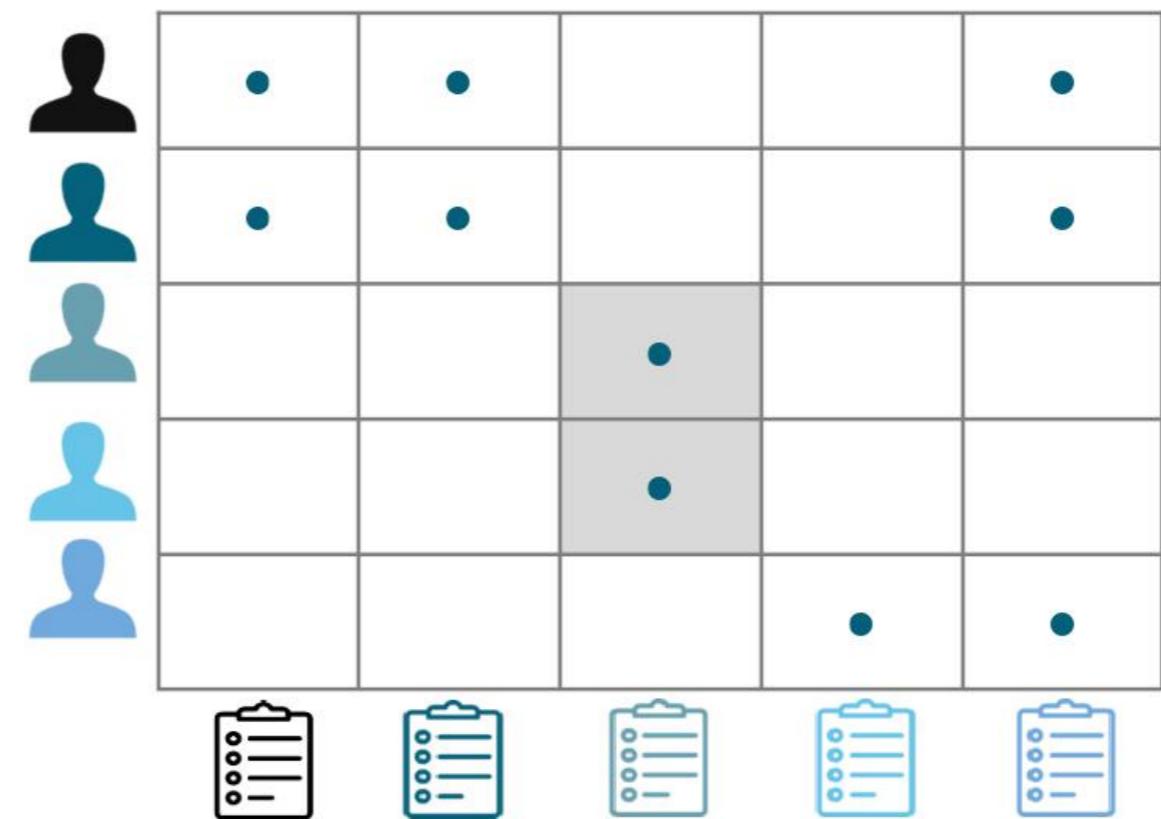
# Resource-activity Matrix



# Specialization and brain drain

## Specialization

- When a person only performs a **single** activity



# Specialization and brain drain

## Specialization

- When a person only performs a **limited set of activities**



## Brain drain

- When an activity is performed by only a **limited set of resources**

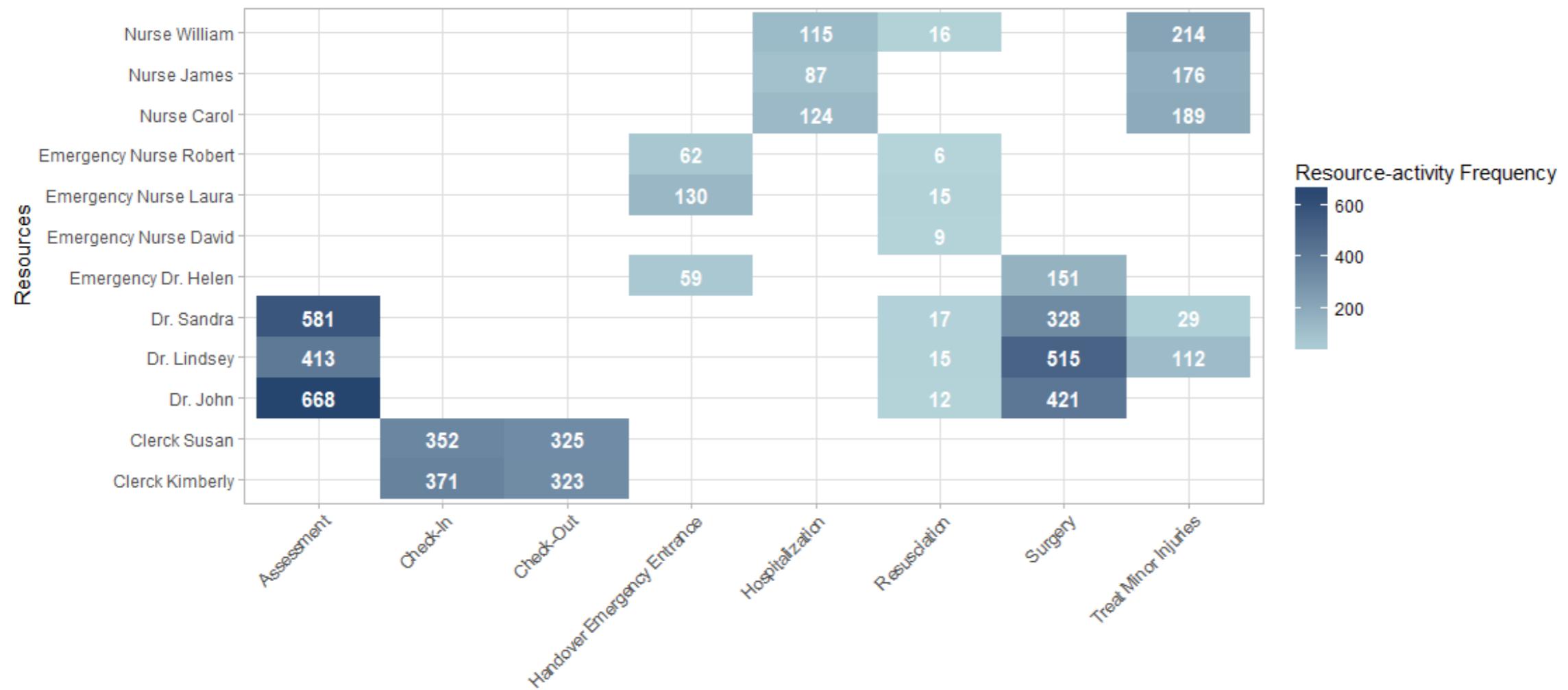
# Resource activity matrix

```
log_hospital %>%  
  resource_frequency(level = "resource-activity")
```

```
log_hospital %>%  
  resource_frequency(level = "resource-activity") %>% plot()
```

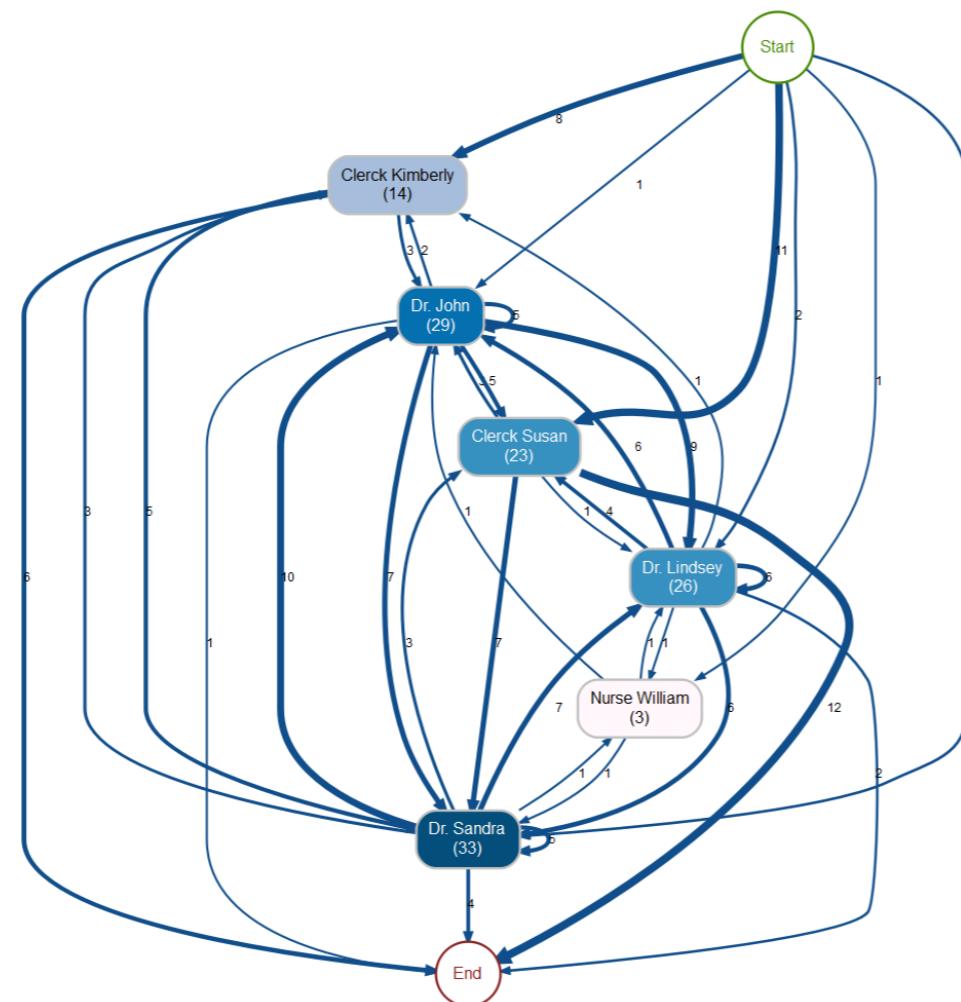
`resource_frequency` is a *process metric*, where the `level` argument indicates at which level of detail you want to calculate it.

# Resource activity matrix: example



# Who transfers work to whom?

```
resource_map(log_hospital)
```





## BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**

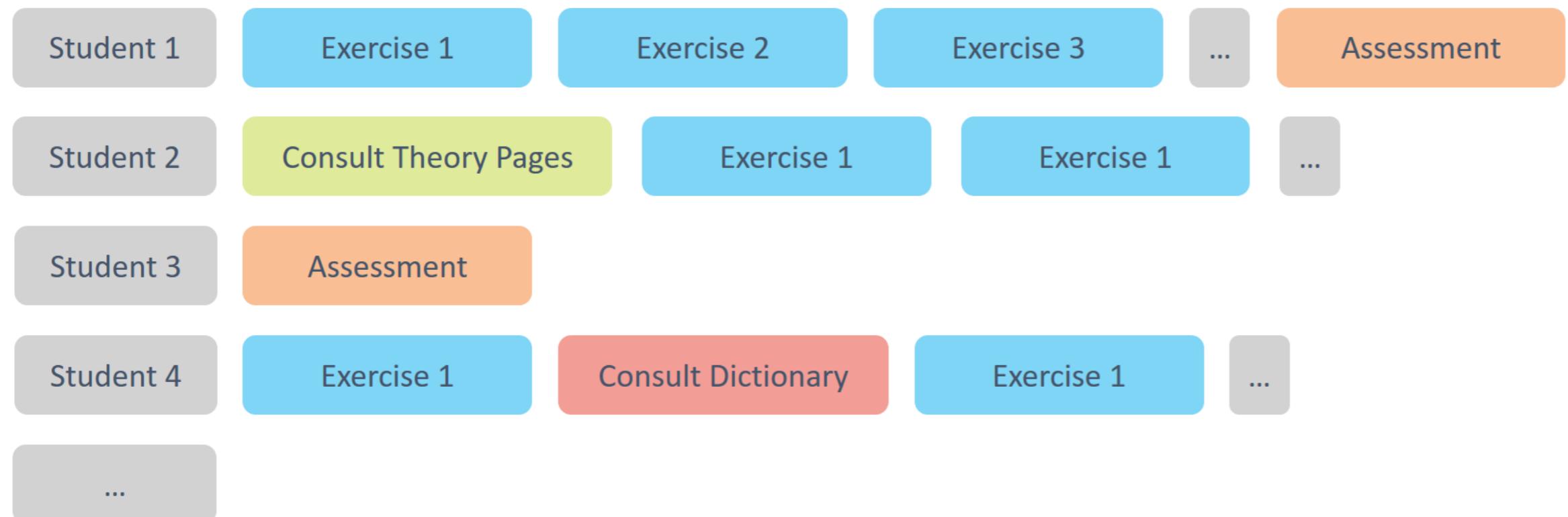


## BUSINESS PROCESS ANALYTICS IN R

# Structuredness

Gert Janssenswillen  
Creator of bupaR

# Control-flow



# Further analysis

## Metrics

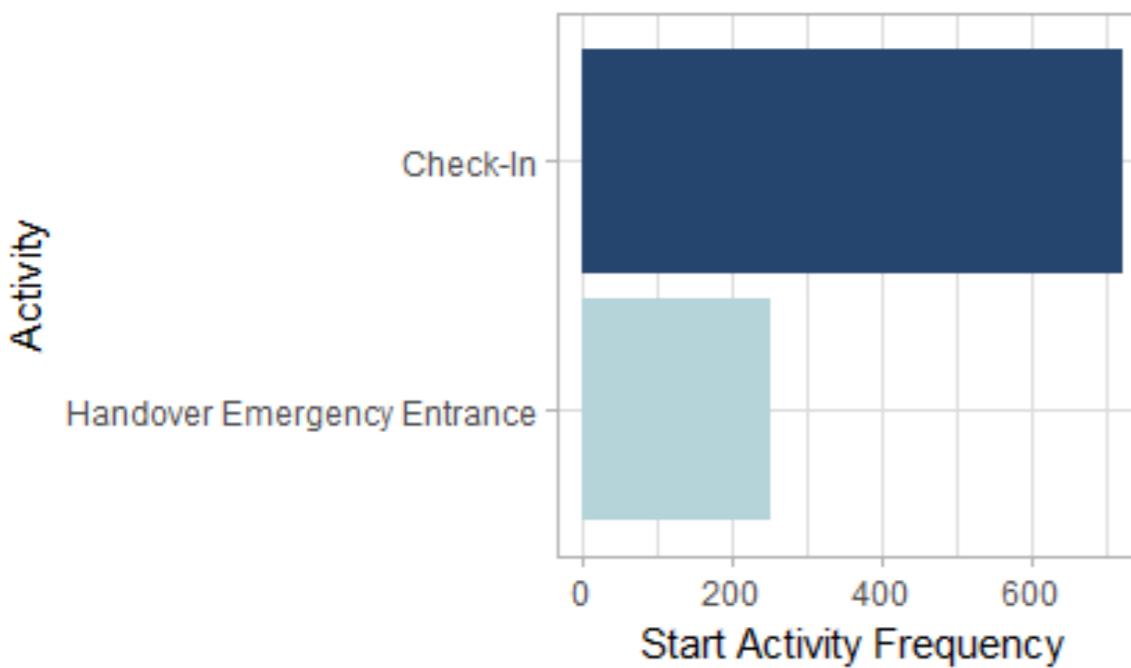
- Entry and exit points
- Length of cases
- Presence of activities
- Rework

## Visuals

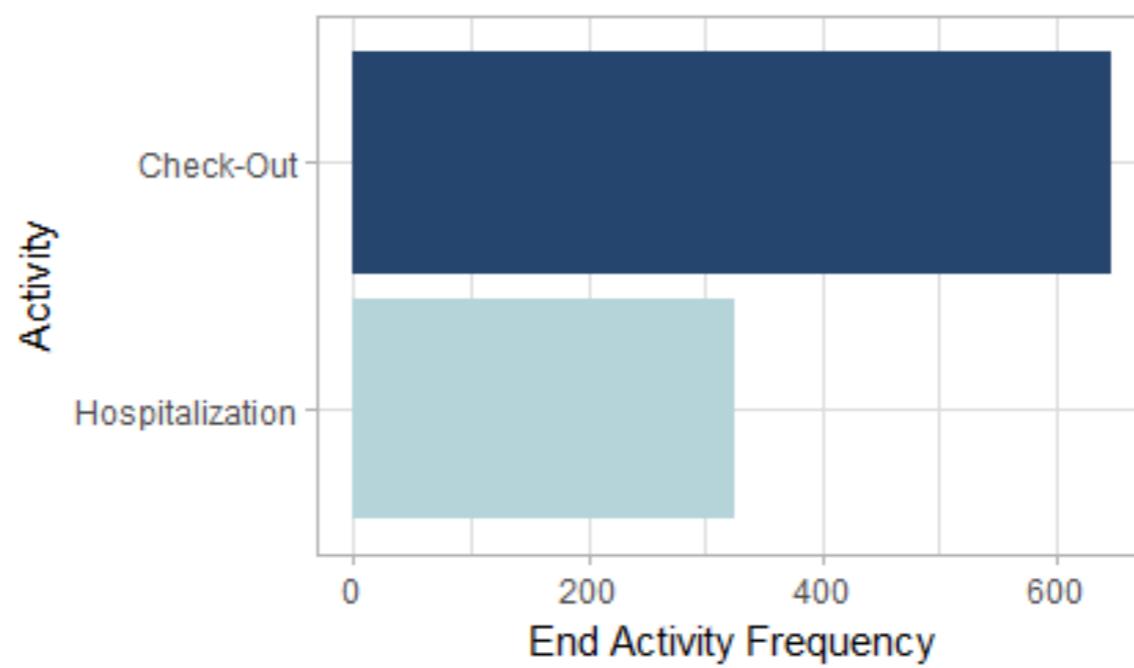
- Process map
- Trace explorer
- Precedence matrix

# Entry & Exit points

```
log_healthcare %>%  
  start_activities("activity") %>%  
  plot()
```



```
log_healthcare %>%  
  end_activities("activity") %>%  
  plot()
```



# Rework

An example patient history



- Repetitions
  - Surgery > ... > Surgery
- Self-loop
  - Assessment > Assessment

# Precedence matrix

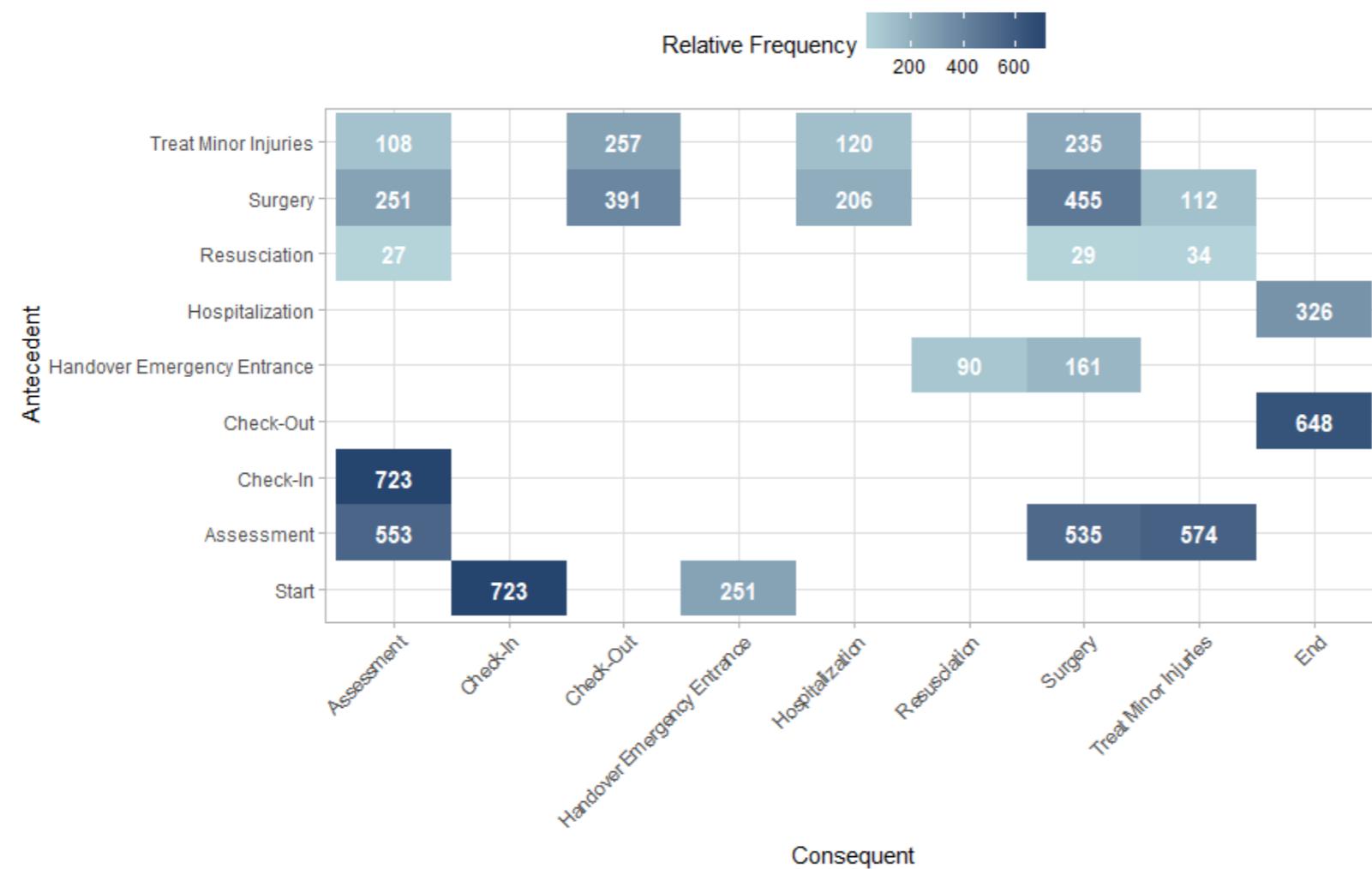


# Precedence matrix

## Creating precedence matrices

```
eventlog %>% precedence_matrix(type = "absolute") %>% plot()
```

# Precedence matrix Example





## BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**



## BUSINESS PROCESS ANALYTICS IN R

# Performance analysis

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Creator of bupaR

# Performance analysis

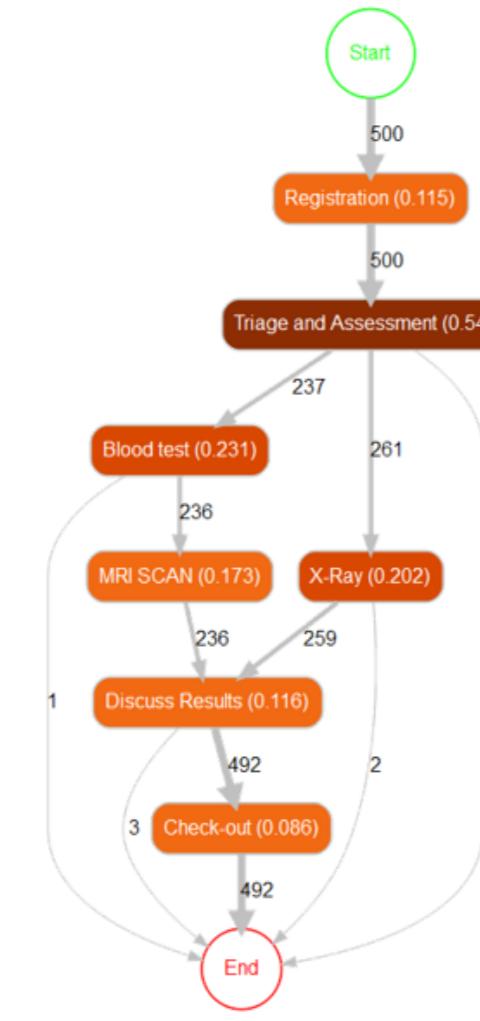
## Visuals

- Performance process map
- Dotted chart

## Metrics

- Throughput time
- Processing time
- Idle time

# Performance process map



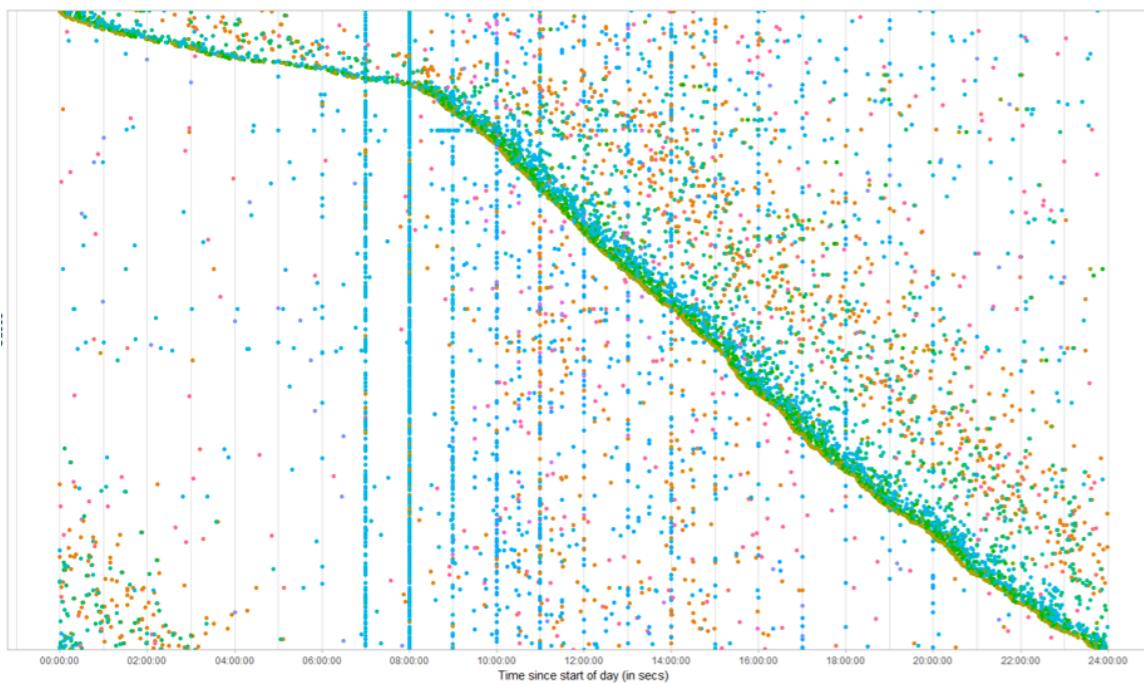
A *normal* process map

```
eventlog %>%  
  process_map(type = frequency())
```

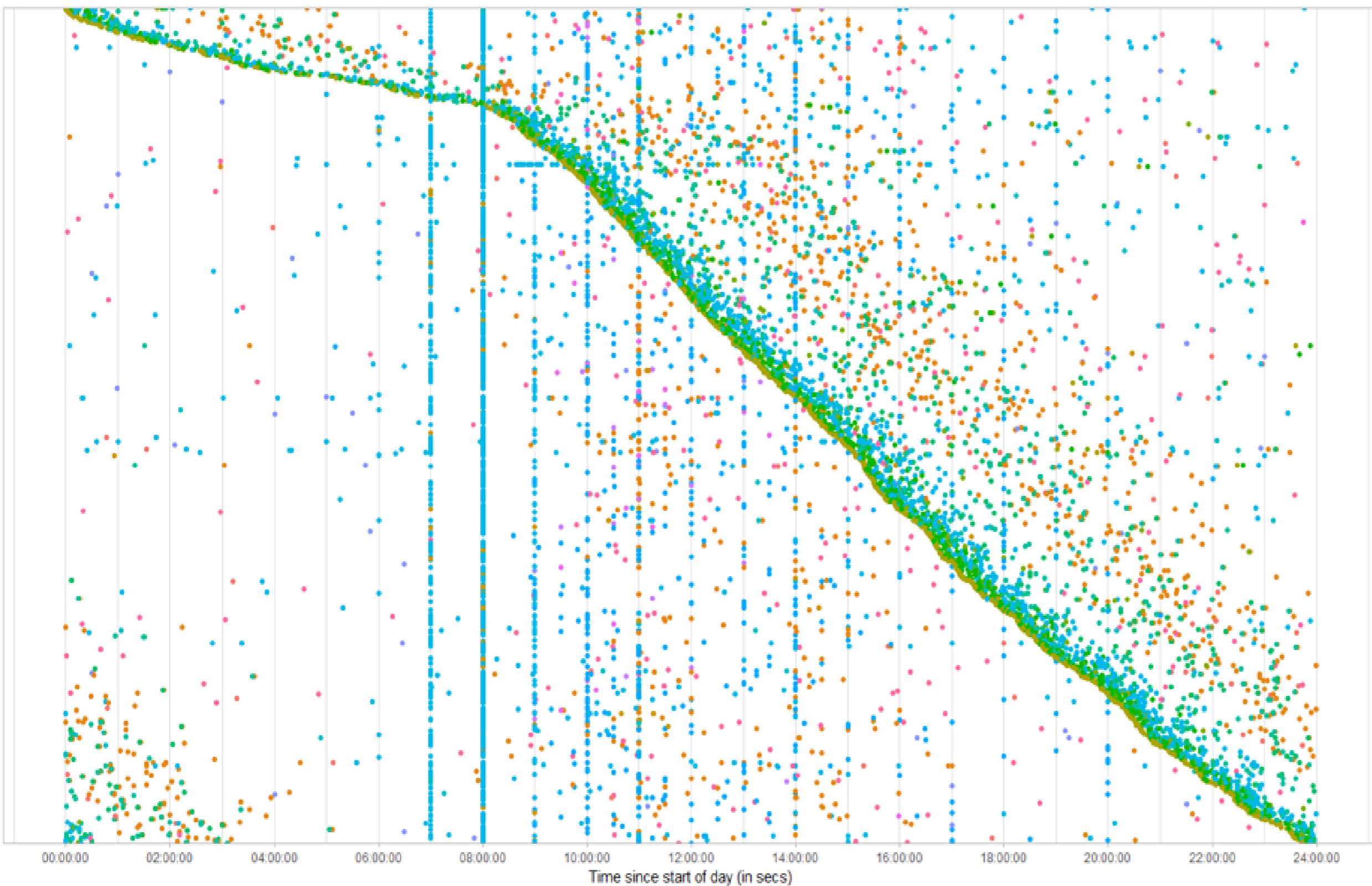
A *performance* process map

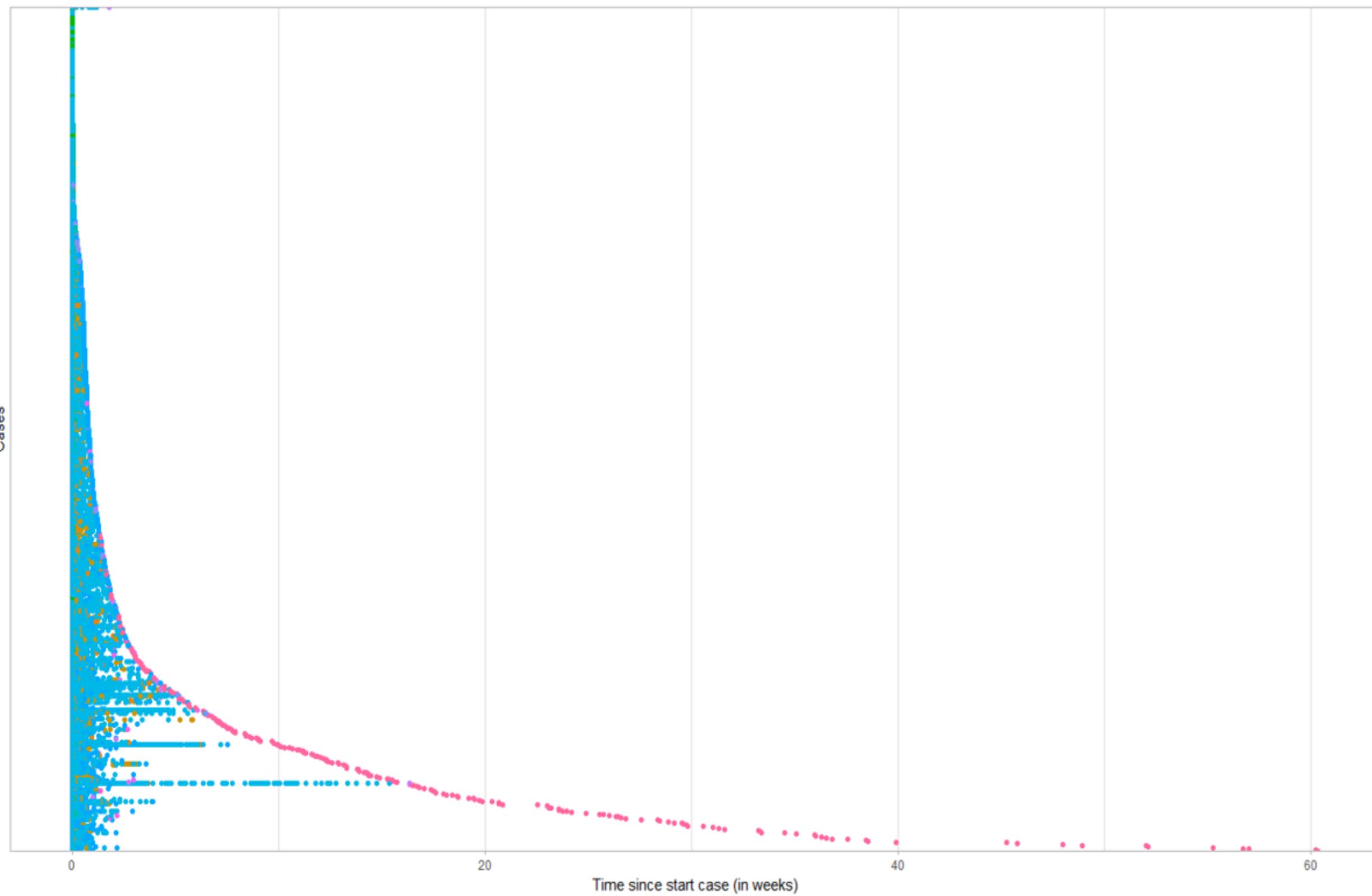
```
eventlog %>%  
  process_map(type = performance())
```

# Dotted chart

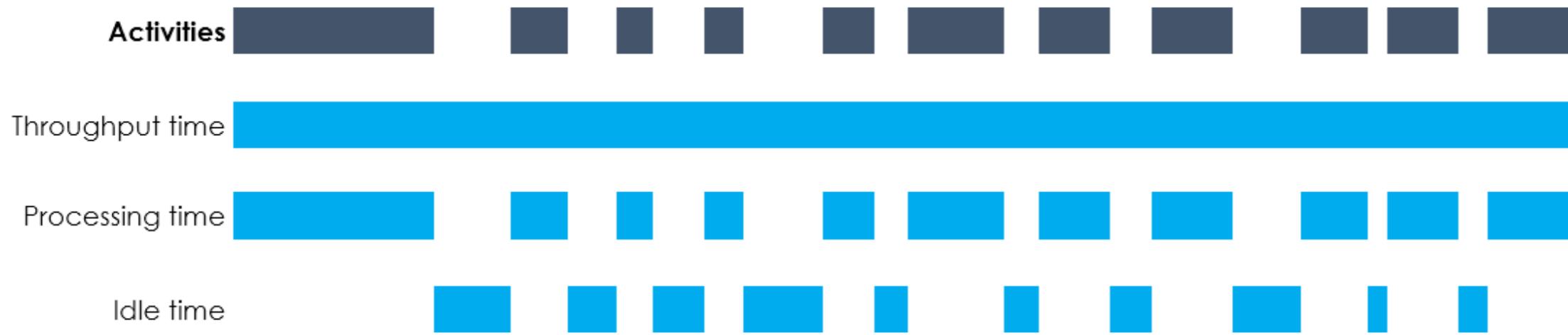


- each dot represents activity
- x-axis: time
- y-axis: cases





# Performance metrics



- throughput\_time
- processing\_time
- idle\_time



BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**

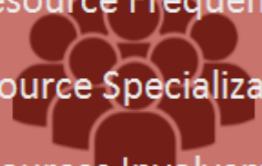


BUSINESS PROCESS ANALYTICS IN R

# Linking perspectives

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Creator of bupaR

# Recap

	Organizational	Structuredness	Performance
Metrics	Resource Frequency  Resource Specialization Resources Involvement	Start Activities End Activities Trace Coverage Trace Length Repetitions Self-loops Activity Presence	Processing Time Throughput Time Idle Time
Visuals	Resource Map	Process Map Trace Explorer Precedence Matrix	Performance Map Dotted Chart

# Leveraging granularity levels

```
<process_metric>(level = "log",
                    "trace",
                    "case",
                    "activity",
                    "resource",
                    "resource-activity")
```

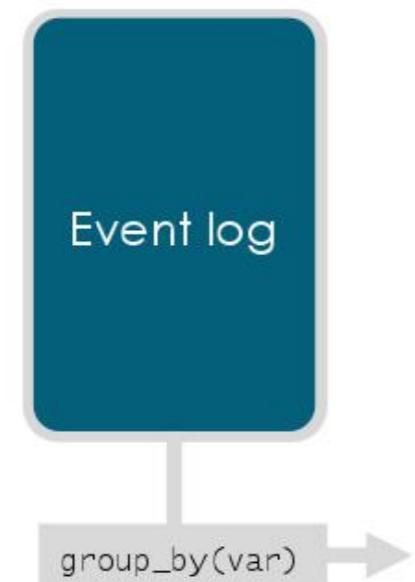
- Performance <> Organizational

```
processing_time(level = "resource")
```

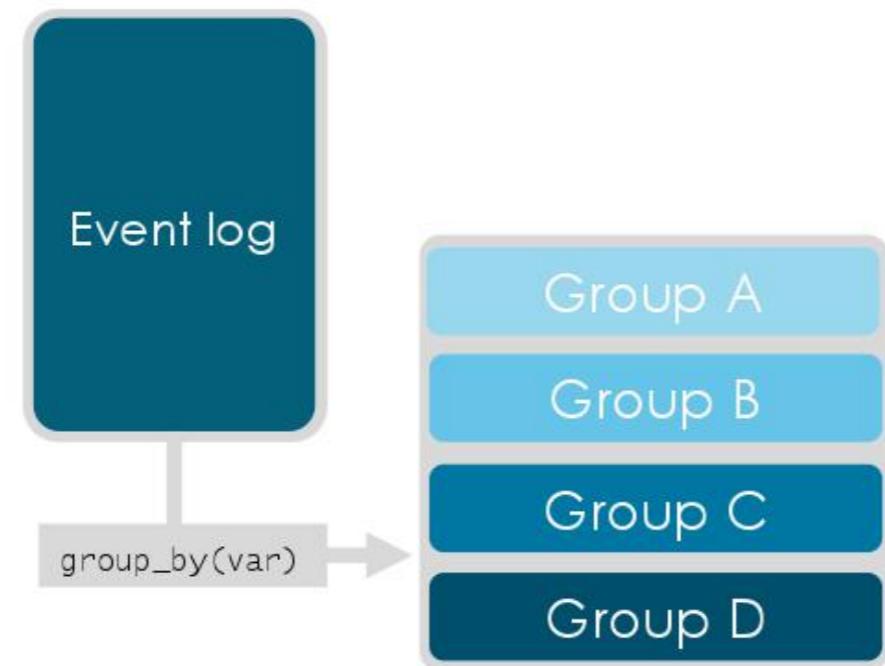
- Control-flow <> Organizational

```
number_of_repetitions(level = "resource")
```

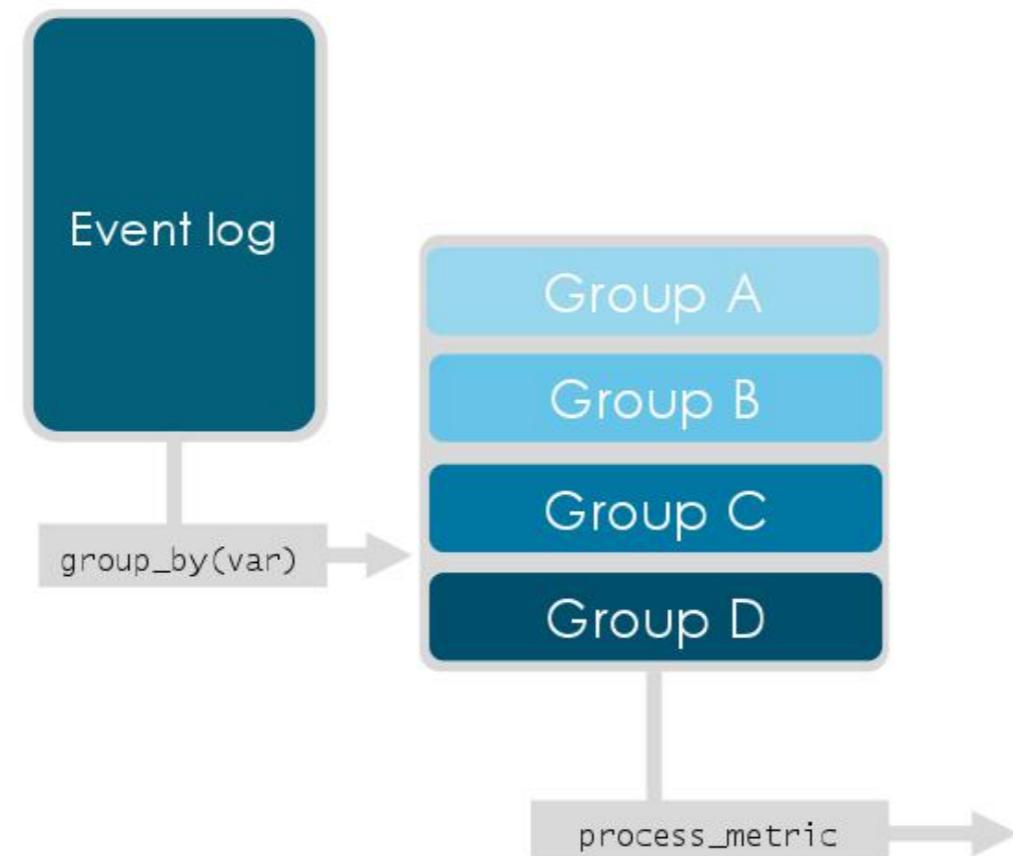
# Grouping data



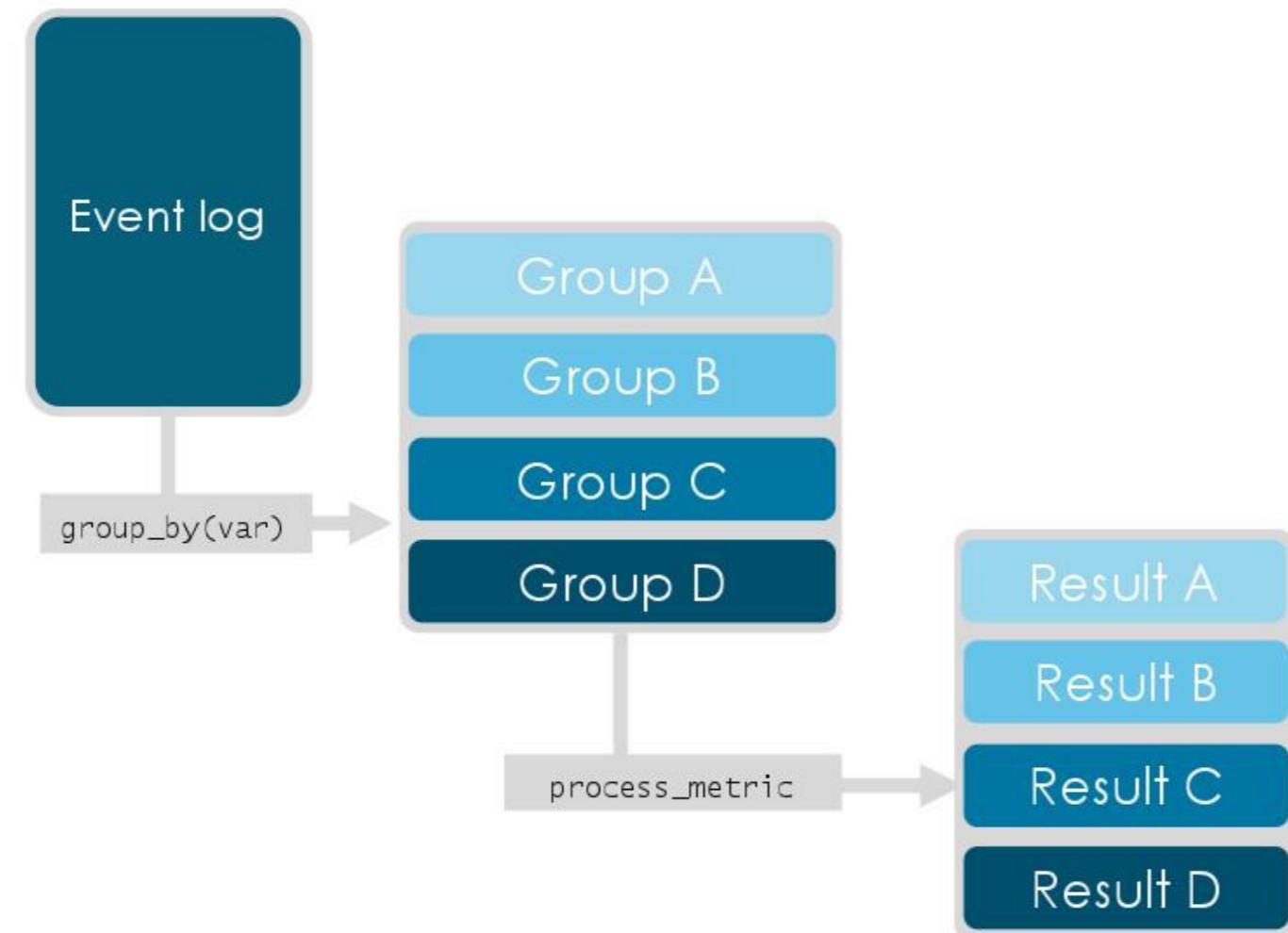
# Grouping data



# Grouping data



# Grouping data



# Grouping data: Example



# Combining elements

Data  
Attributes

Metrics

Levels

Plot

# Combining elements

Data  
Attributes

Metrics

Levels

Plot

Flexible way to answer any process-related question

```
eventlog %>%  
  group_by(priority) %>%  
  number_of_repetitions(level = "resource") %>%  
  plot()
```



## BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**



## BUSINESS PROCESS ANALYTICS IN R

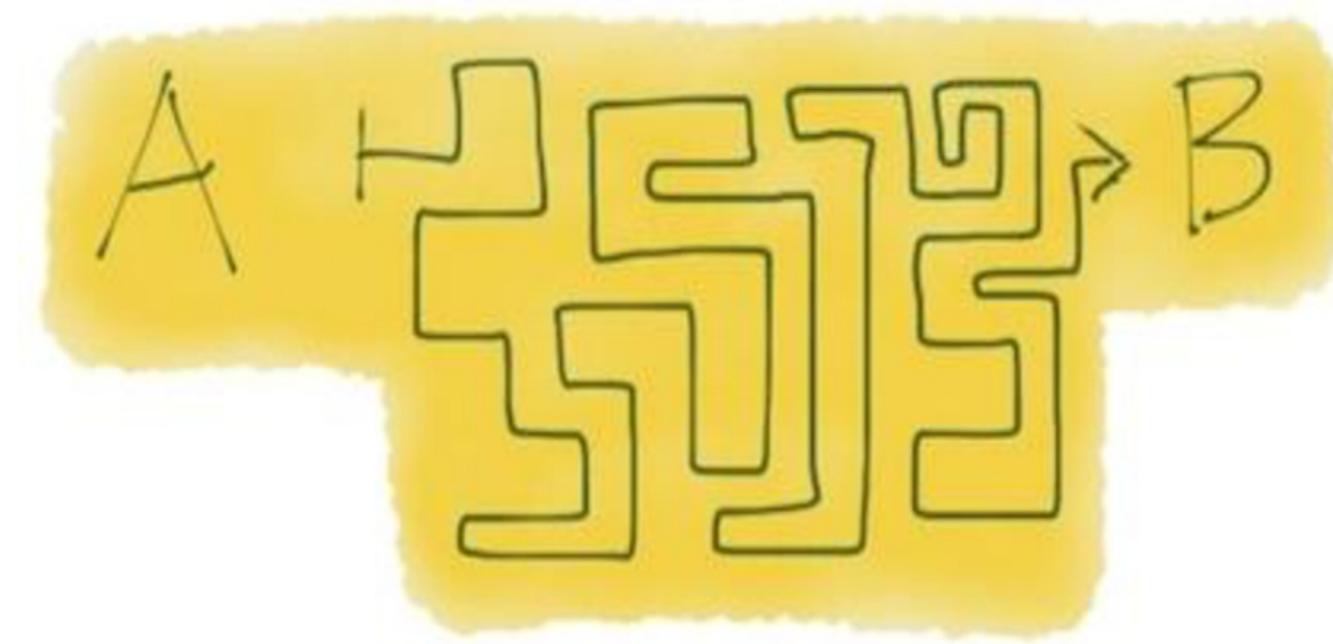
# Filtering cases

Gert Janssenswillen  
Creator of bupaR

# Theory



# Practice





Filter



Filter

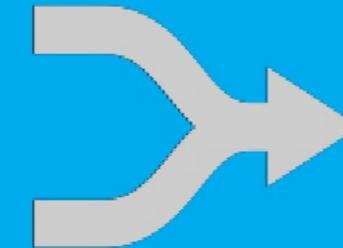


Aggregate

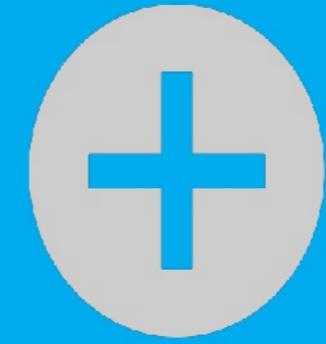
Filter

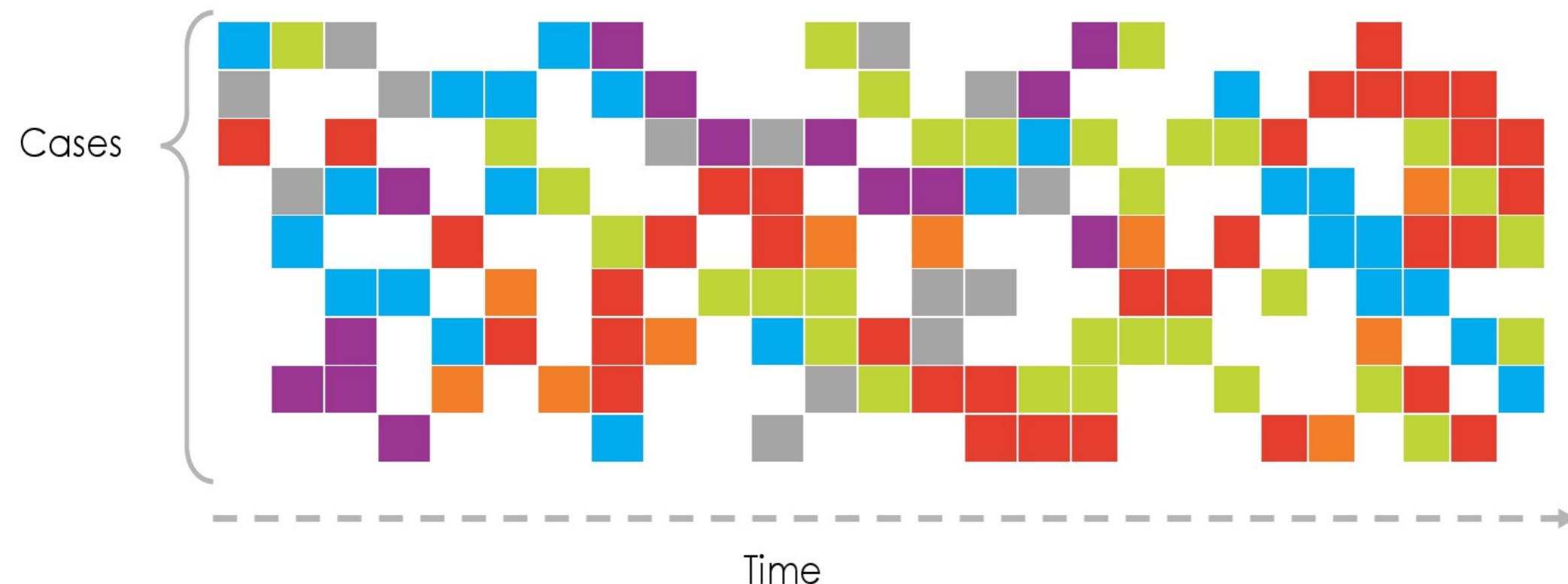


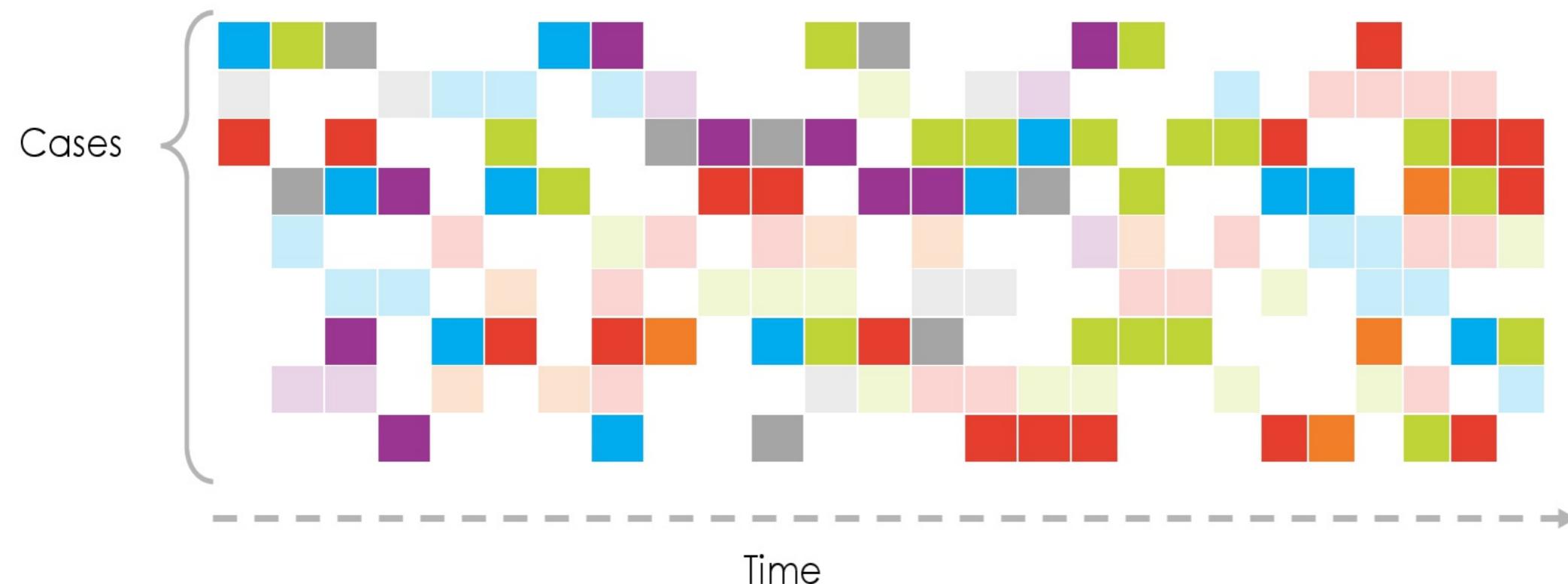
Aggregate

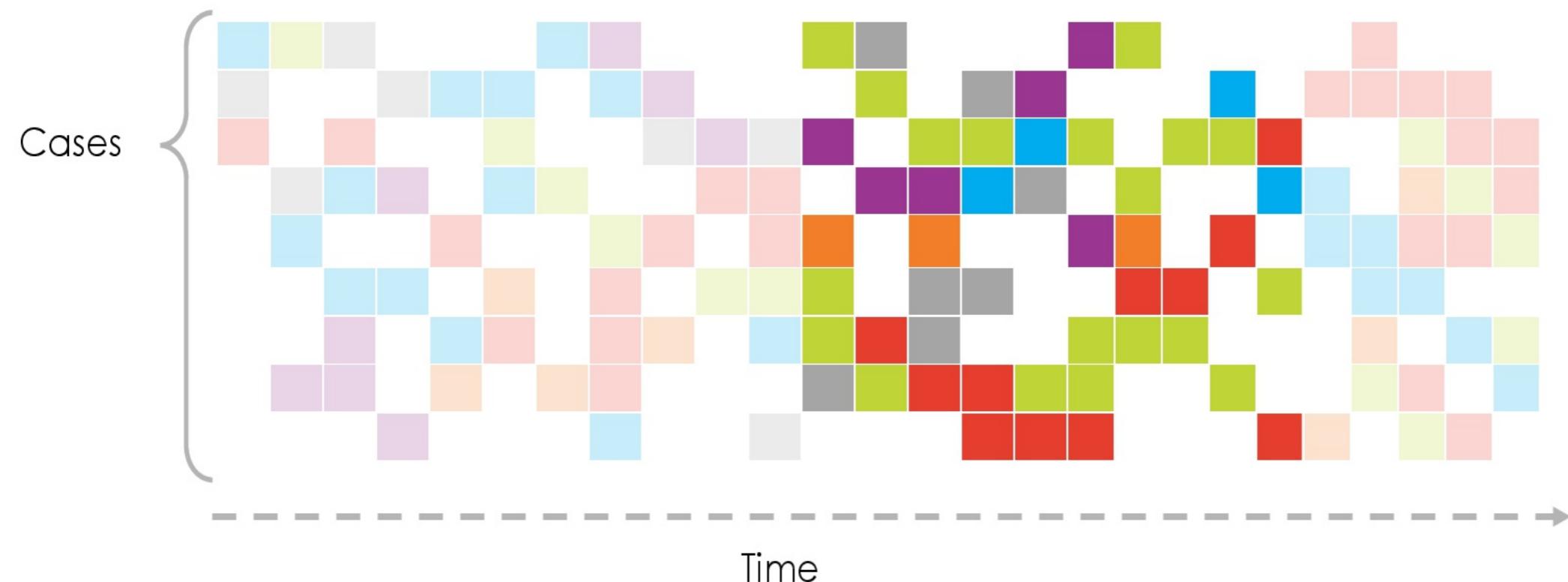


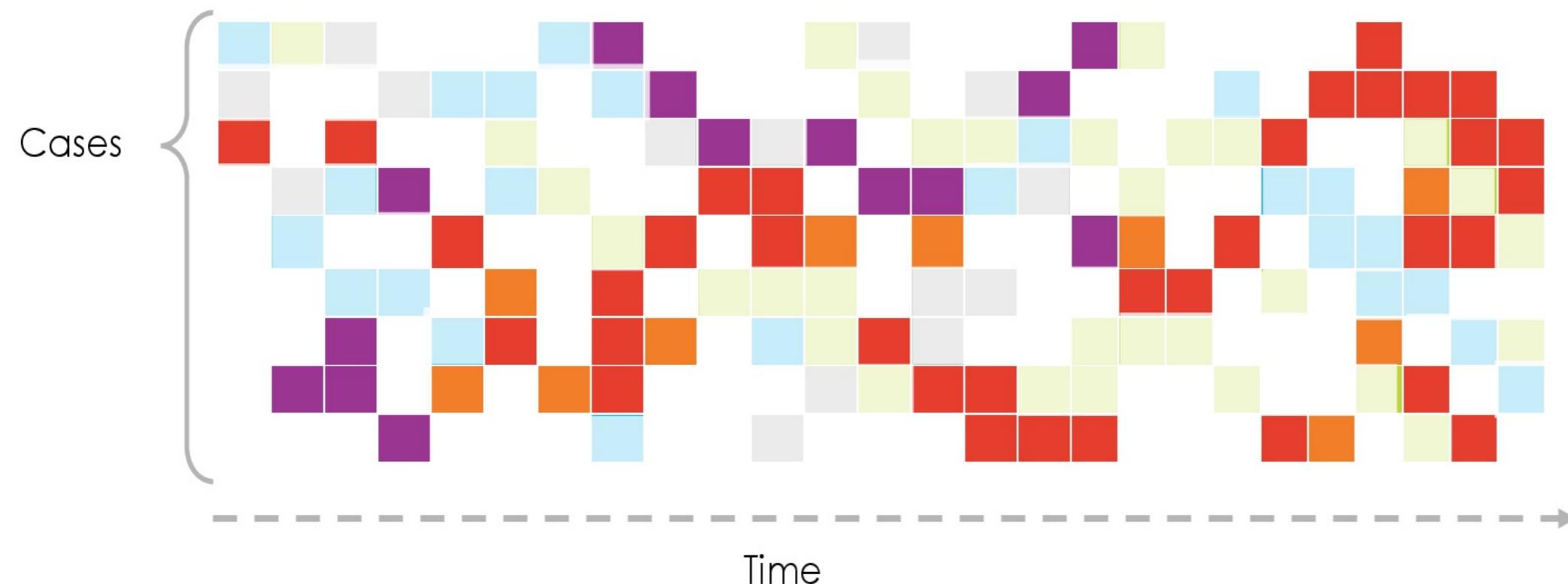
Enrich







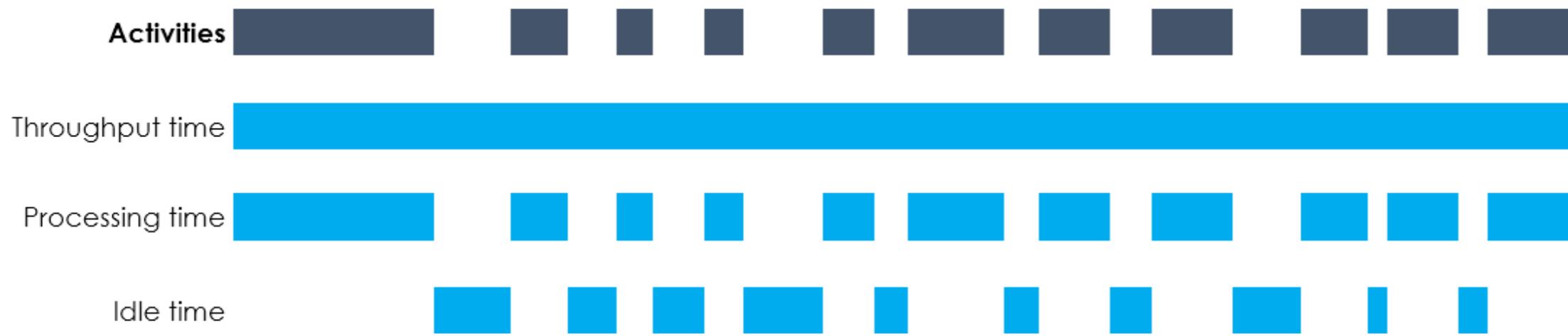




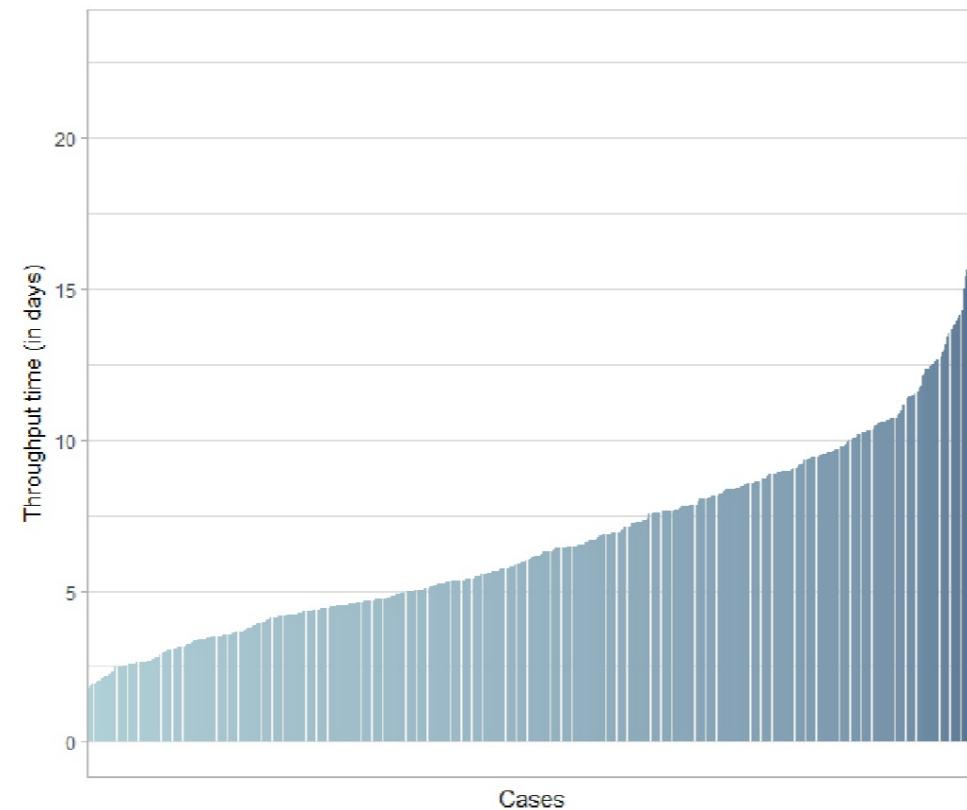
# Categories of Case Filters

- Performance
- Control-flow characteristics
- Time period

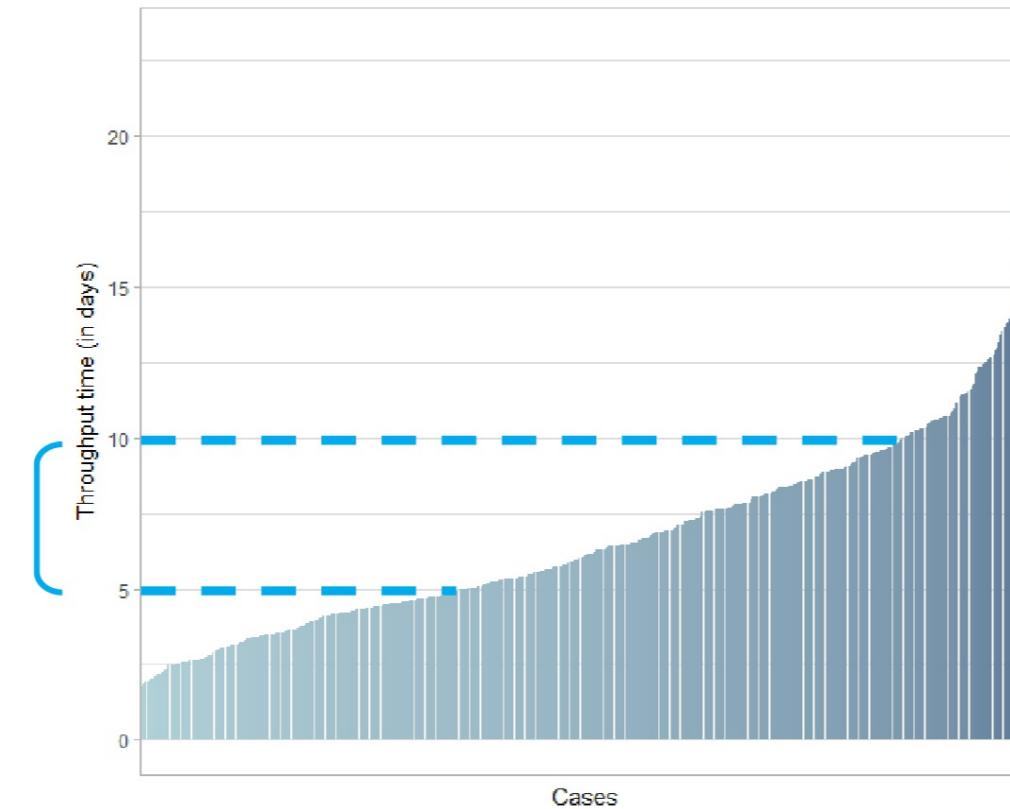
# Performance filters



# Performance filters

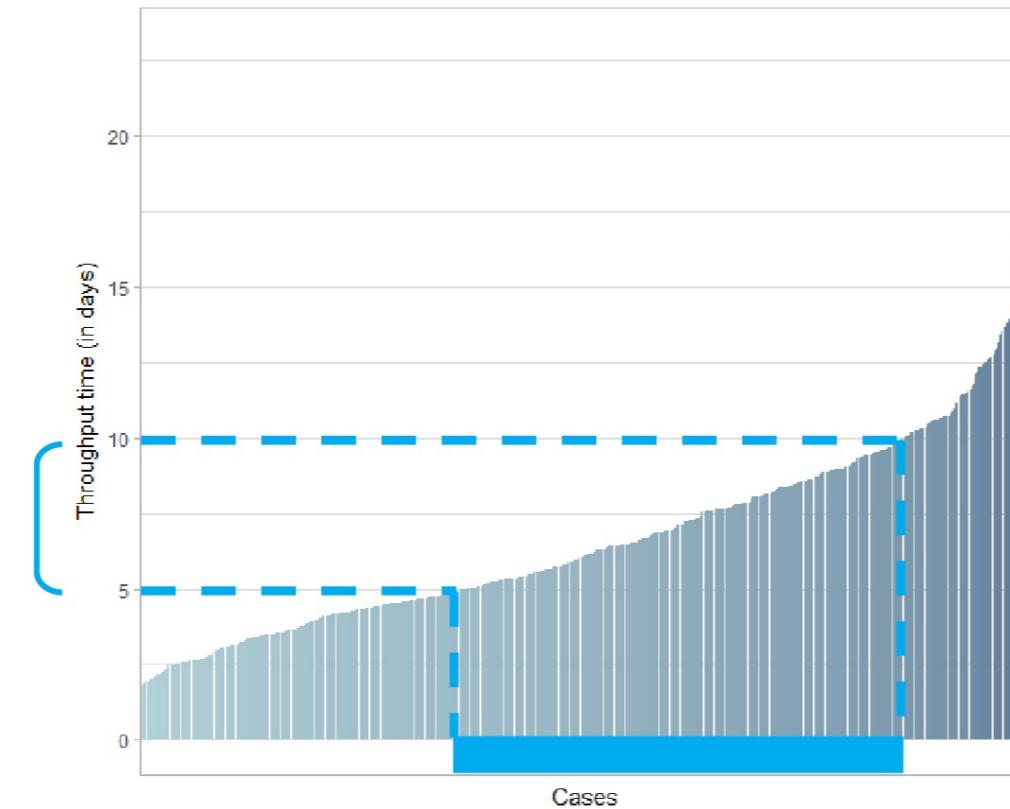


# Filter by absolute interval



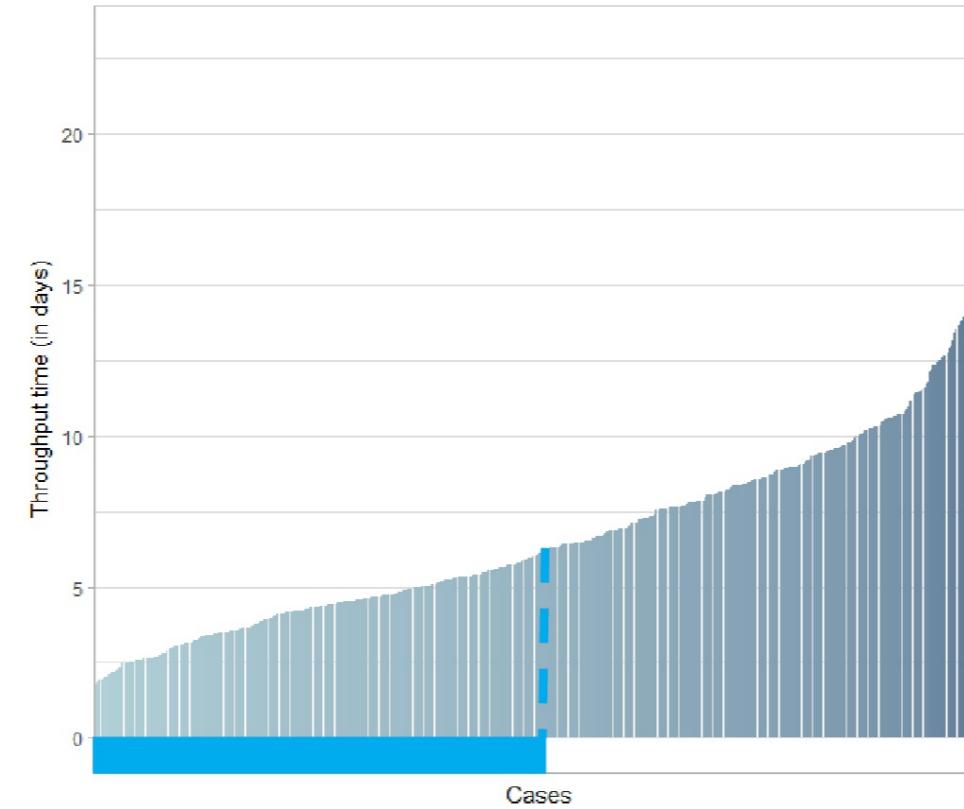
```
filter_throughput_time(log, interval = c(5,10))
```

# Filter by absolute interval



```
filter_throughput_time(log, interval = c(5,10))
```

# Filter by Relative Percentage



```
filter_throughput_time(log, percentage = 0.5)
```

# Adjusting filter configurations

## Negate the filter

- Cases shorter than 5 days, or longer than 10 days

```
filter_throughput_time(log, interval = c(5,10), units = "days", reverse =TRUE)
```

- The 50% longest cases

```
filter_throughput_time(log, percentage = 0.5, reverse = TRUE)
```

## Use half-open intervals

- Select cases with throughput time longer than 5 days.

```
filter_throughput_time(log, interval = c(5,NA), units = "days")
```

# Control-flow filters

- Activity presence/absence
- Precendence requirements
- Start and/or End points
- Frequency of the trace

# Time filters

Select cases that

- **started** in a specific time window
- **ended** in a specific time window
- are **contained** in a specific time window
- **intersect**, i.e. had at least one activity in a specific time window



## BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**



BUSINESS PROCESS ANALYTICS IN R

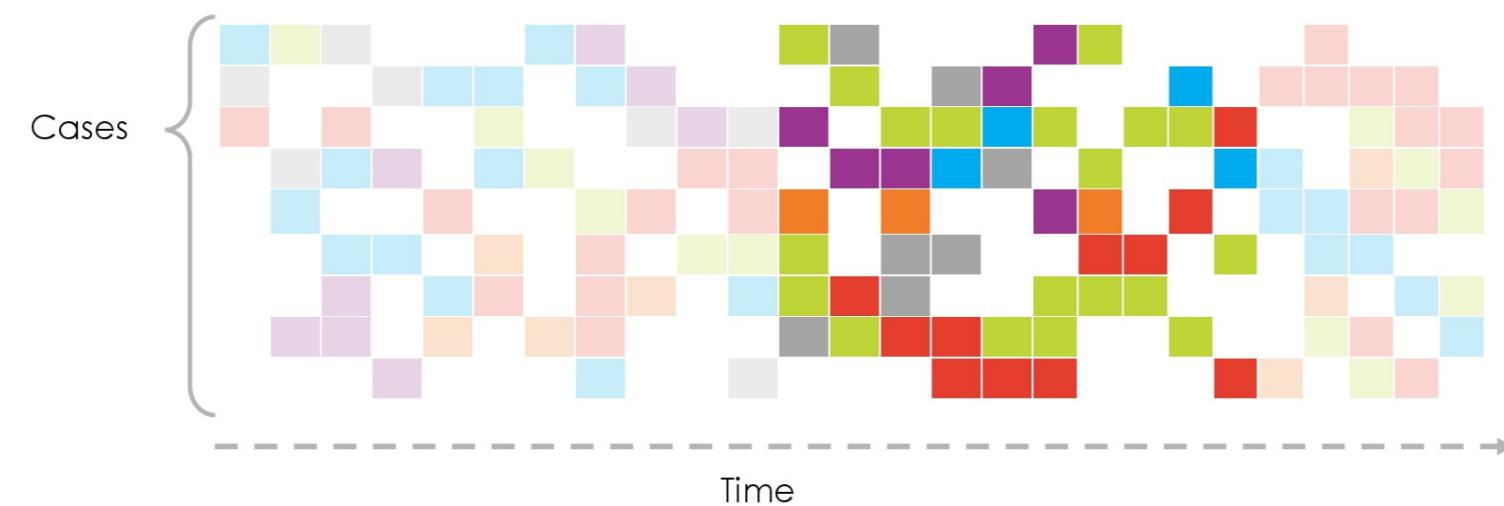
# Filtering events

Gert Janssenswillen  
Creator of bupaR

# Categories of event filters

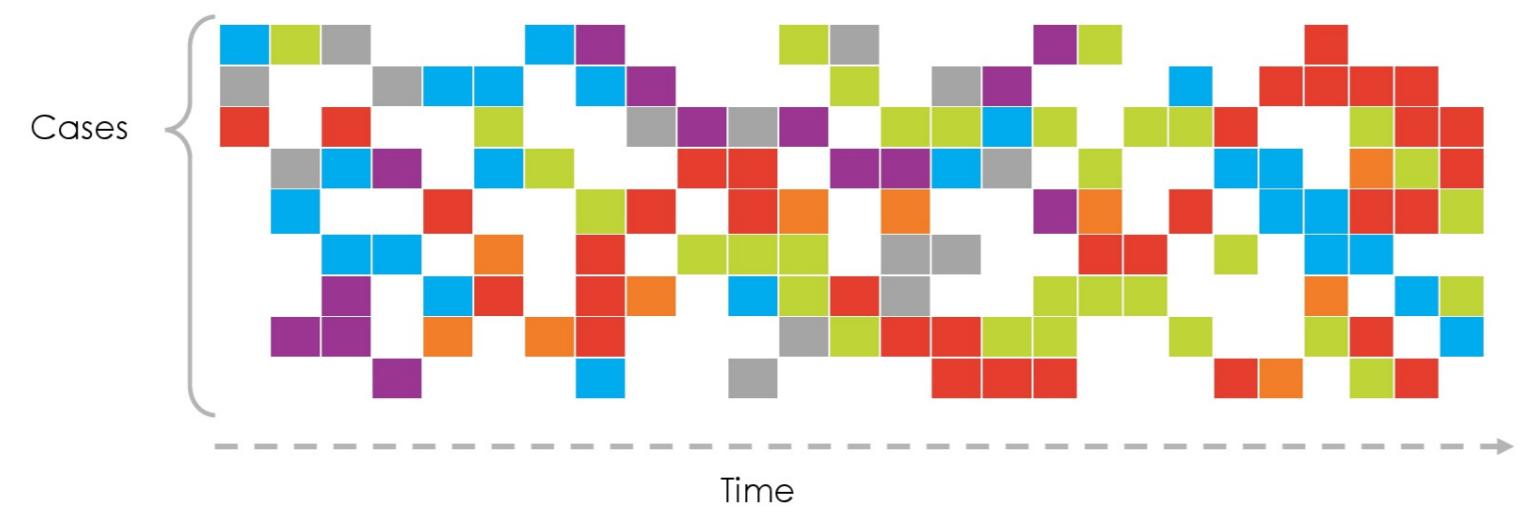
- Trim filters
- Frequency filters
- Label filters
- General Attribute filters

# Trim to time period



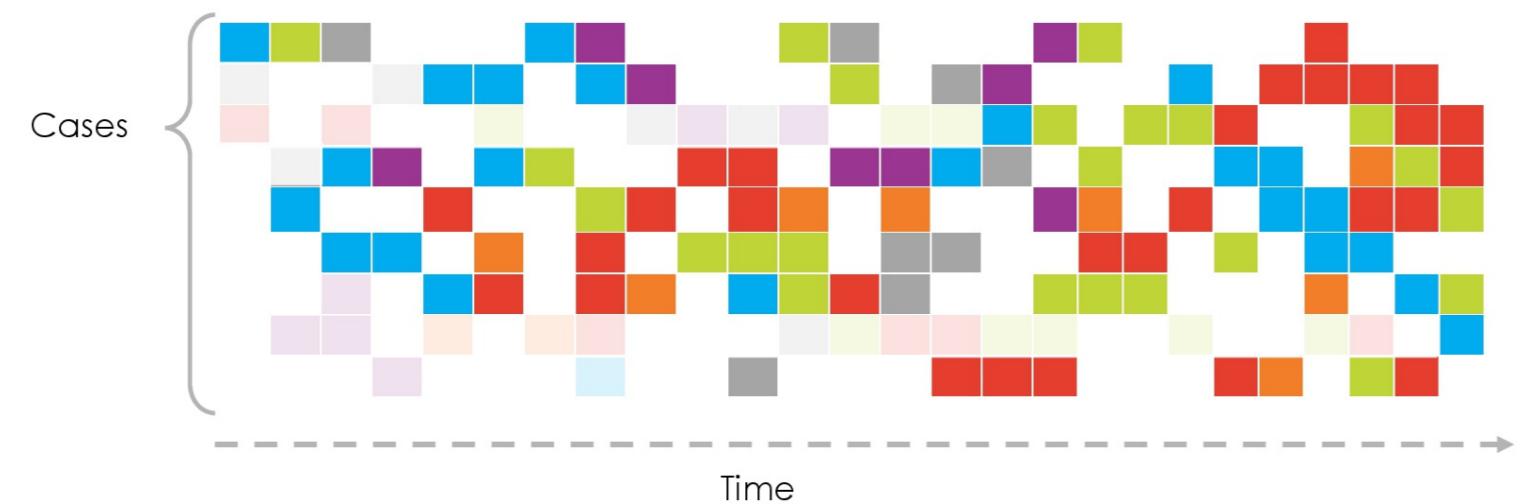
```
filter_time_period(log, interval = ymd(c("20180110", "20180122")),  
                  filter_method = "trim")
```

# Trim to start and end points



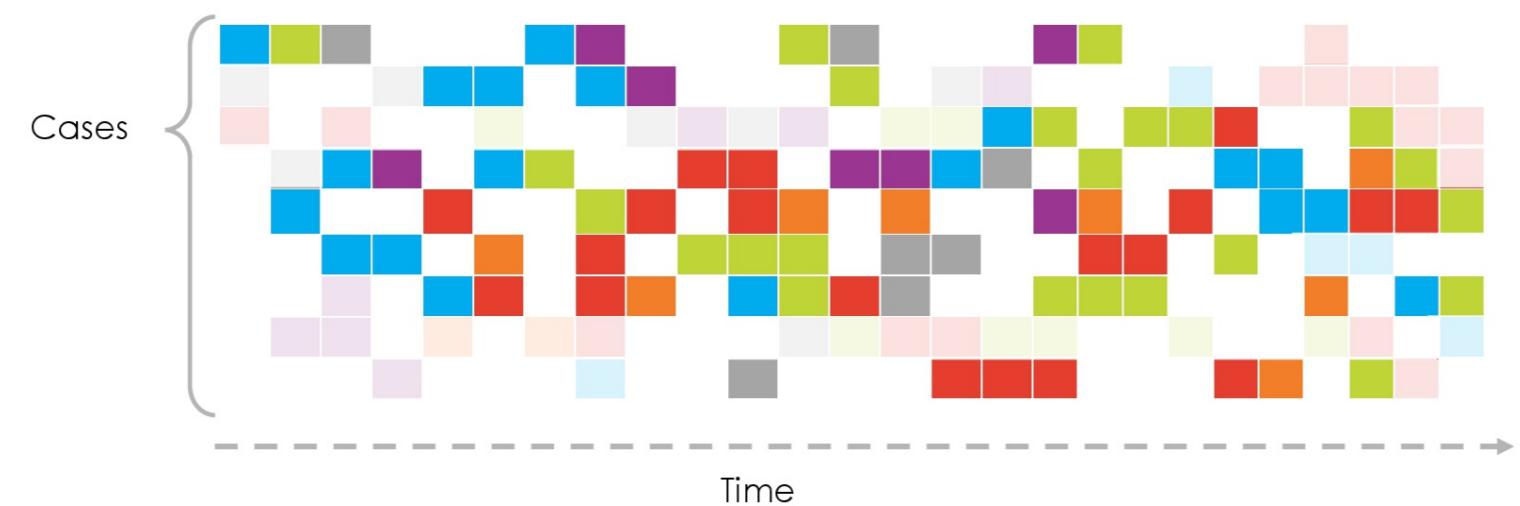
```
filter_trim(start_activities = "blues")
```

# Trim to start and end points



```
filter_trim(start_activities = "blues", end_activities = "greens")
```

# Trim to start and end points



# Filter by frequencies

- Activity frequency

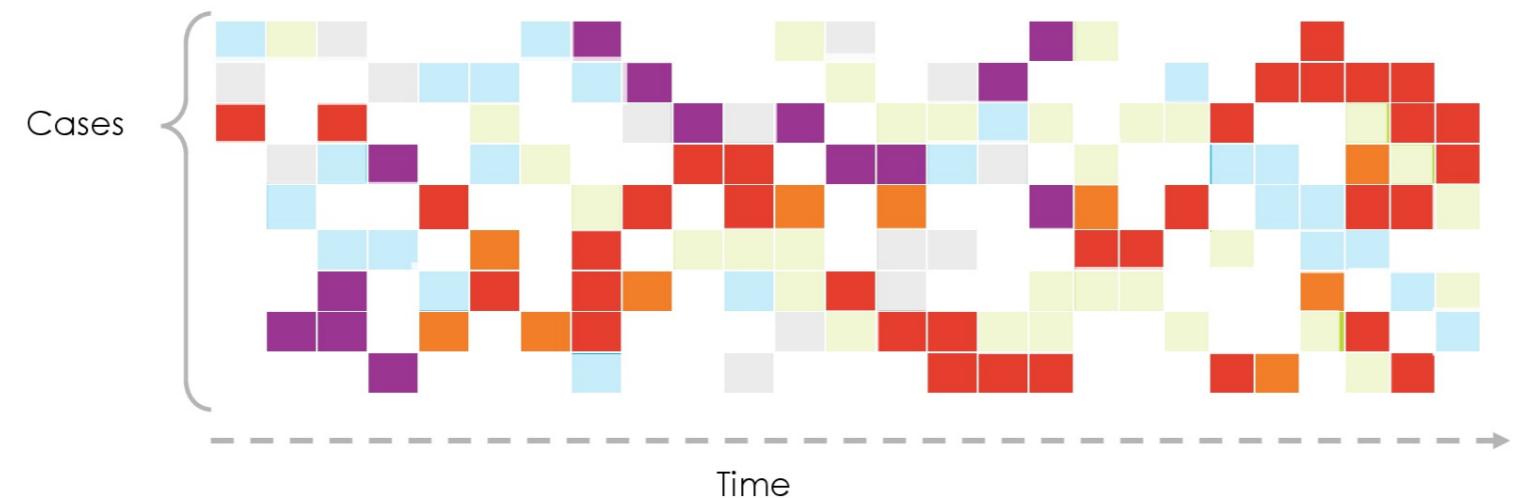
```
filter_activity_frequency(log, interval = c(50,100))  
filter_activity_frequency(log, percentage = 0.8)
```

- Resource frequency

```
filter_resource_frequency(log, interval = c(60,900))  
filter_resource_frequency(log, percentage = 0.6)
```

# Filter by labels

```
filter_activity(log, activities = c("reds", "oranges", "purples")))
```



# Filter by conditions

```
filter(log, cost > 1000, priority == "High", ...)
```

- Any condition using data attributes can be used
- Multiple conditions can be combined using &, |, !, etc.



## BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**



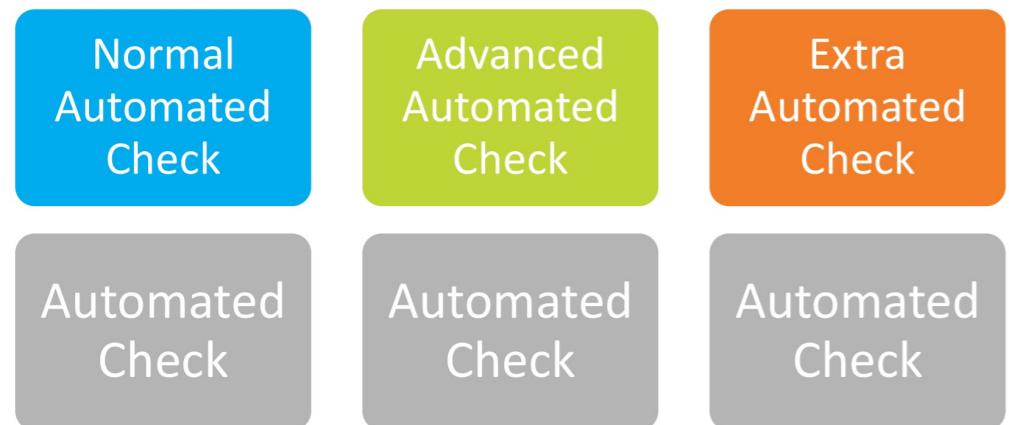
## BUSINESS PROCESS ANALYTICS IN R

# Aggregating events

Gert Janssenswillen  
Creator of bupaR

# Aggregation types

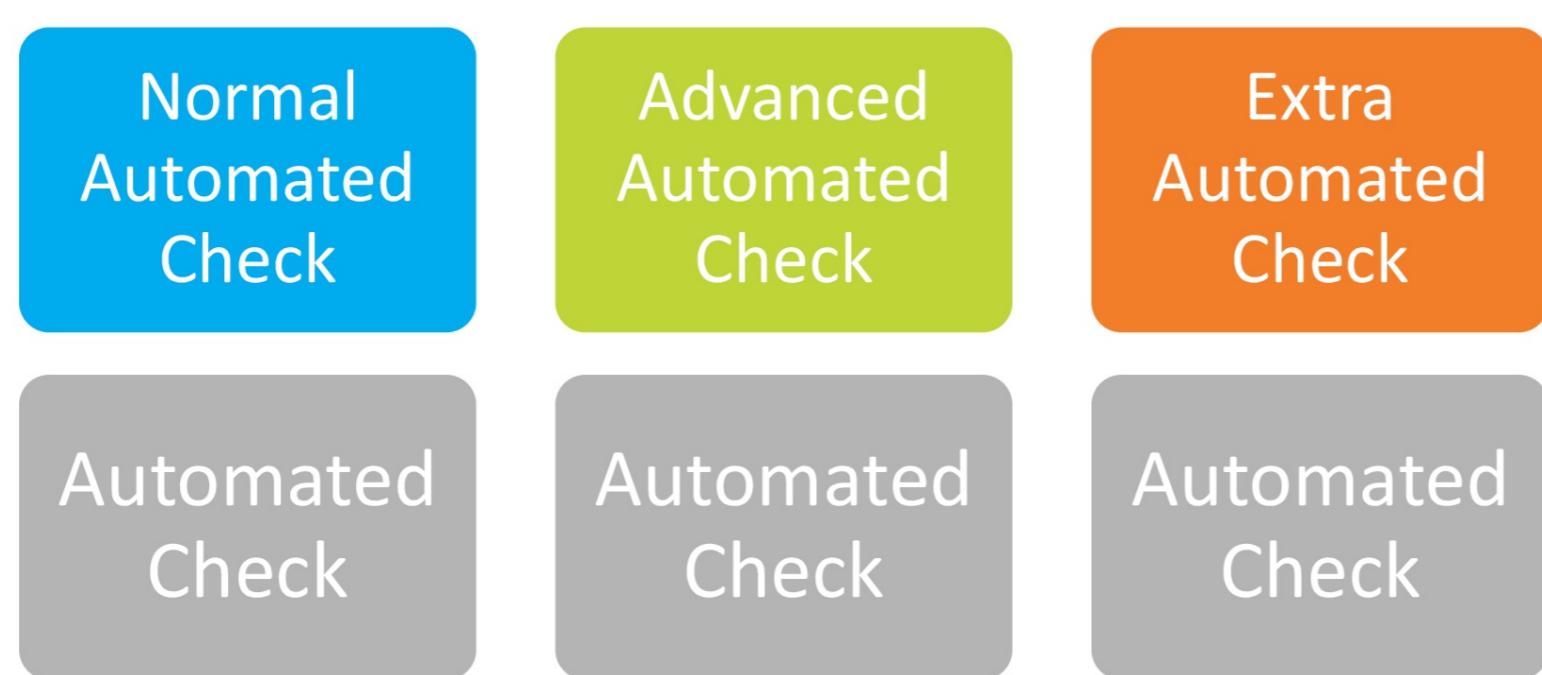
Is-A



Part-of



# Is-a aggregation



# Is-a aggregation

```
act_unite(log, "New name" = c("Old Variant 1", "Old Variant 2", "Old Variant 3"),  
          ...)
```

# Part-of aggregation



# Part-of aggregation

```
actCollapse(log, "Sub process" = c("Part 1", "Part 2", "Part 3"),  
            ...)
```

# Impact on Activity Types and Instances

Is-a

Part-of

- Decreased number of activity **types**
- Equal number of activity **instances**
- Decreased number of activity **types**
- Decreased number of activity **instances**



BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**



## BUSINESS PROCESS ANALYTICS IN R

# Enriching events

Gert Janssenswillen  
Creator of bupaR

# Mutate new variables

## Make New Variables



# Mutate new variables

```
log %>%
  group_by_case() %>%
  mutate(total_cost = sum(cost, na.rm = T))
```

# Mutate new variables

```
log %>%
  group_by_case %>%
  mutate(total_cost = sum(cost, na.rm = T) %>%
  mutate(impact = case_when(cost <= 1000 ~ "Low",
                            cost <= 5000 ~ "Medium",
                            T ~ "High"))
```

# Mutate new variables

```
log %>%
  group_by_case %>%
  mutate(refund_made = any(str_detect(activity, "Pay Claim")))
```

# Adding process metrics

Adding information about a case to the original data

- Its throughput time
- Its length
- Its amount of rework
- ...

Adding information about activities

- Its frequency
- Its specialization by resources
- ...

# Adding process metrics

```
log %>%
  throughput_time(level = "case", units = "days", append = TRUE)
```

```
log %>%
  throughput_time(level = "case", units = "days", append = TRUE) %>%
  mutate(on_time = processing_time_case <= 7)
```



## BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**



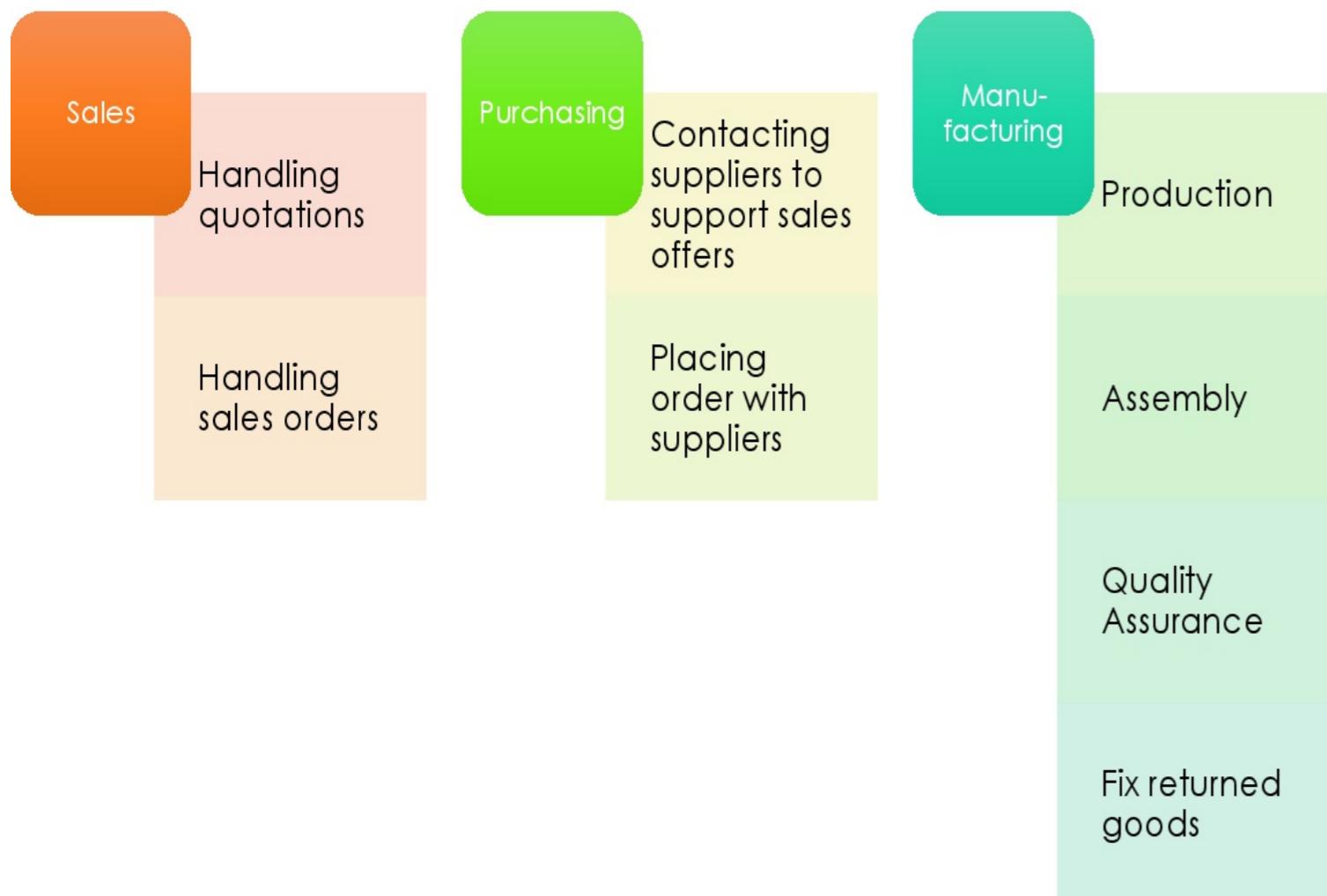
## BUSINESS PROCESS ANALYTICS IN R

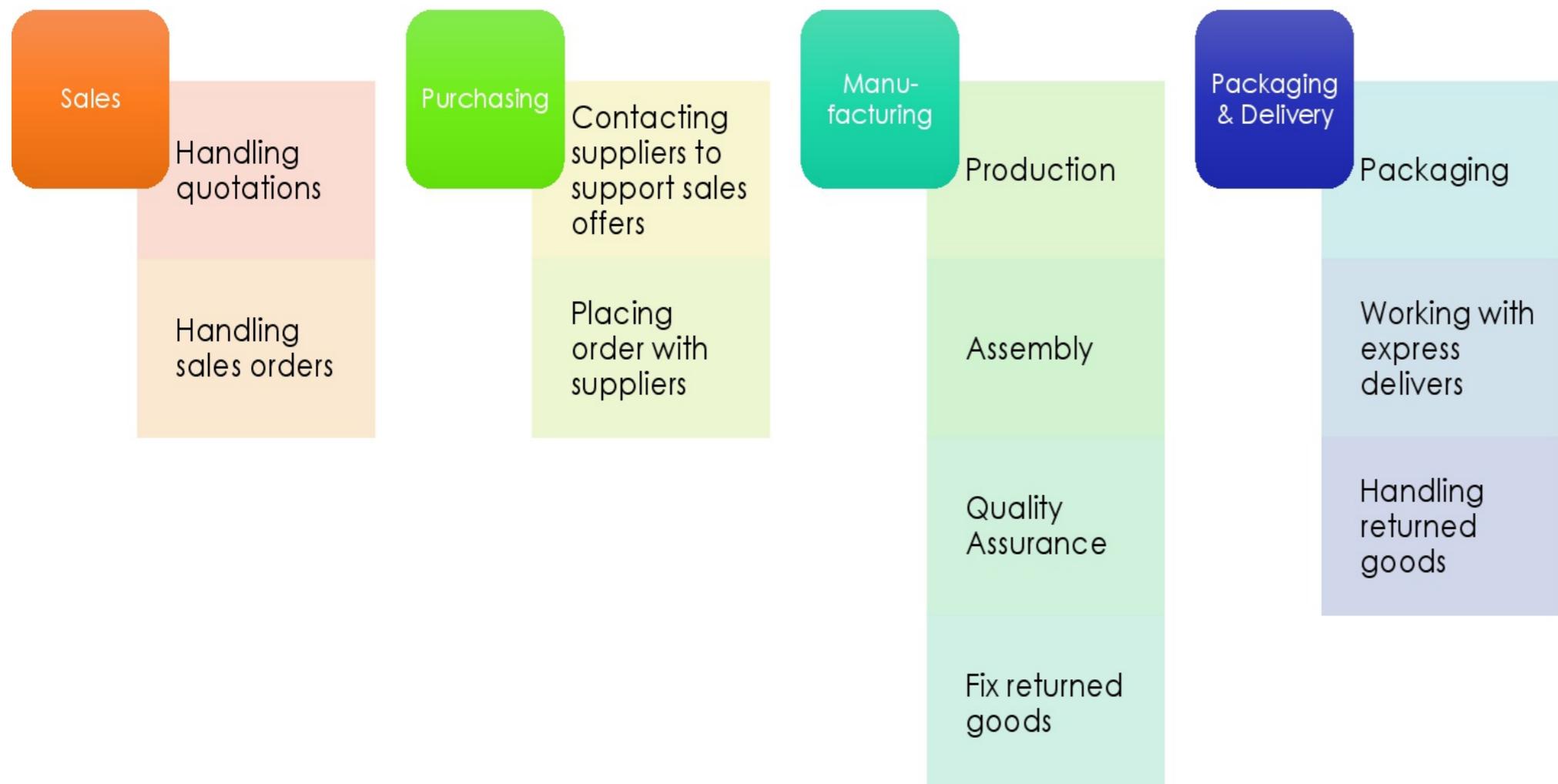
# Preparing the event data

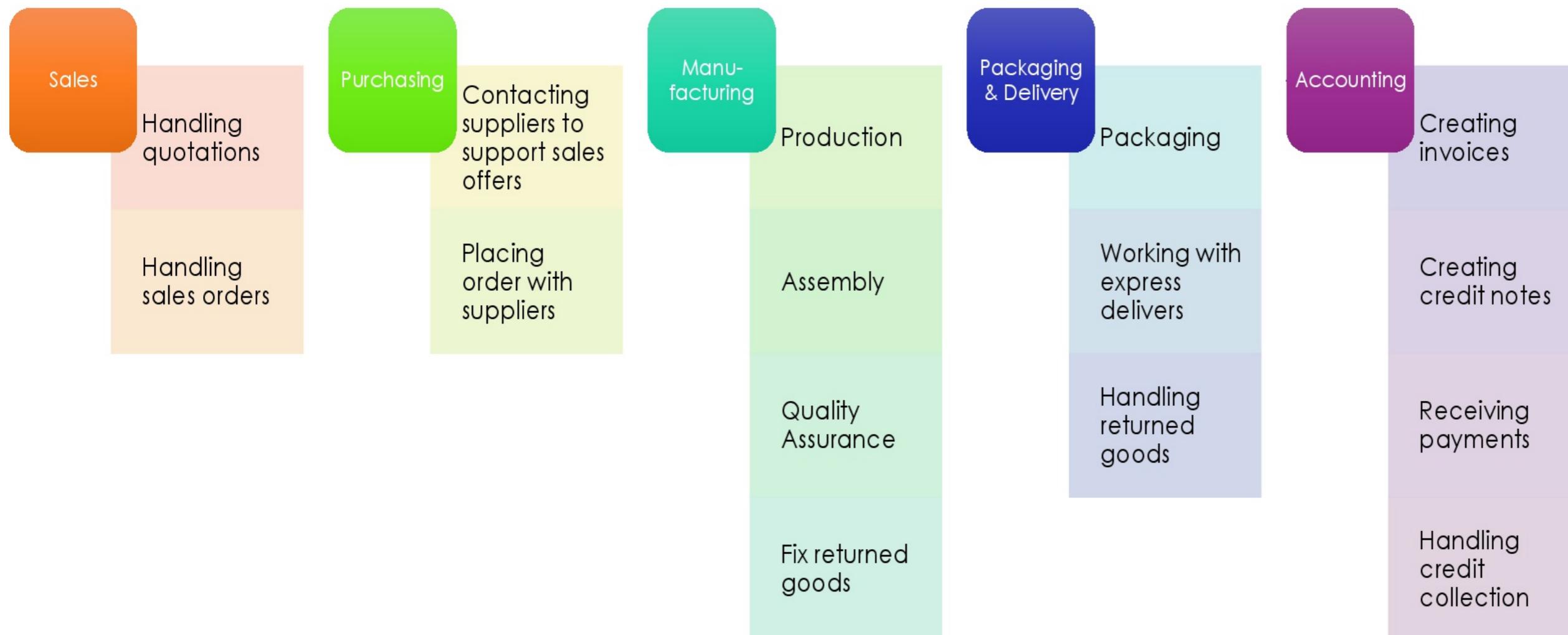
Gert Janssenswillen  
Creator of bupaR

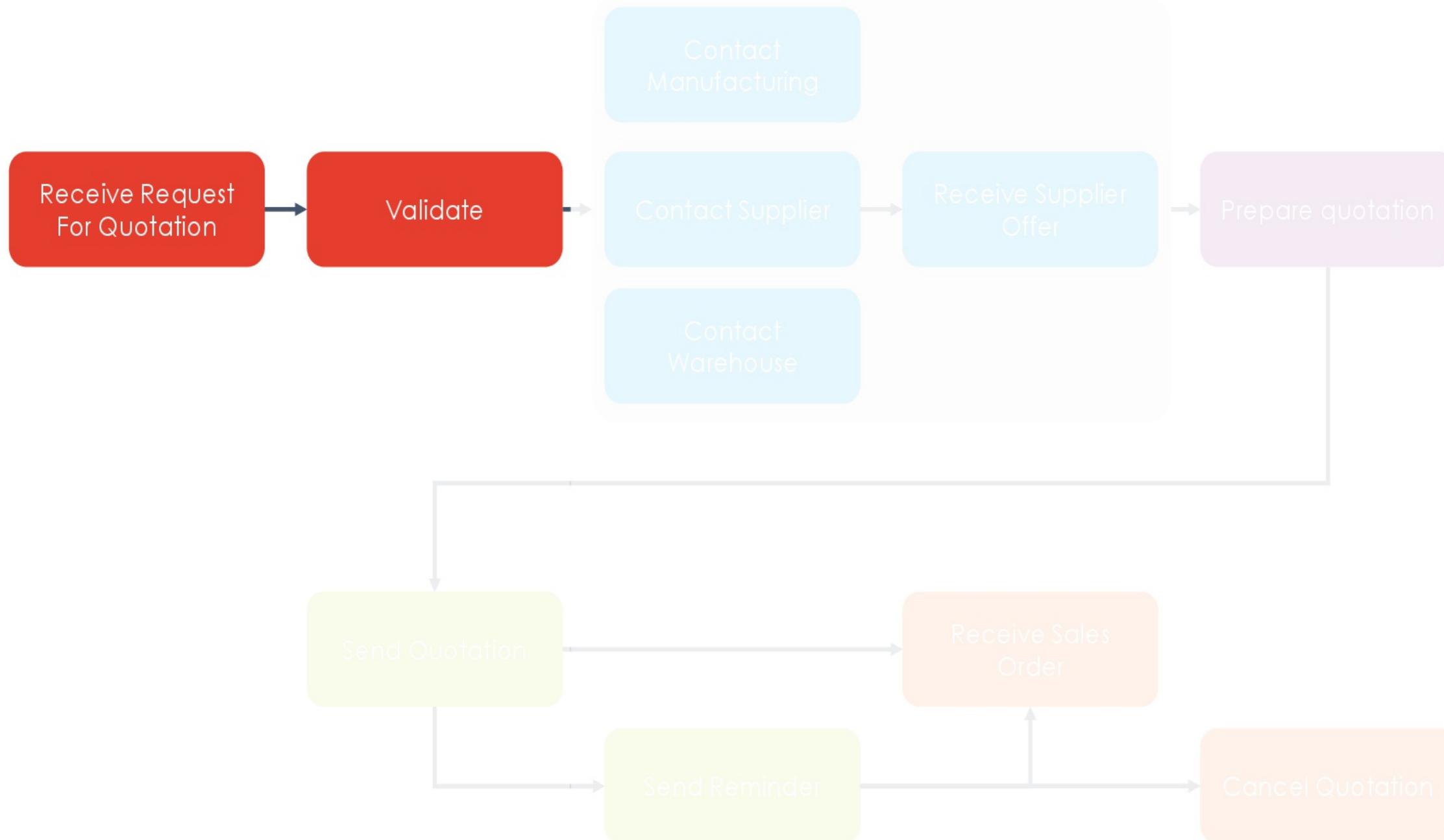


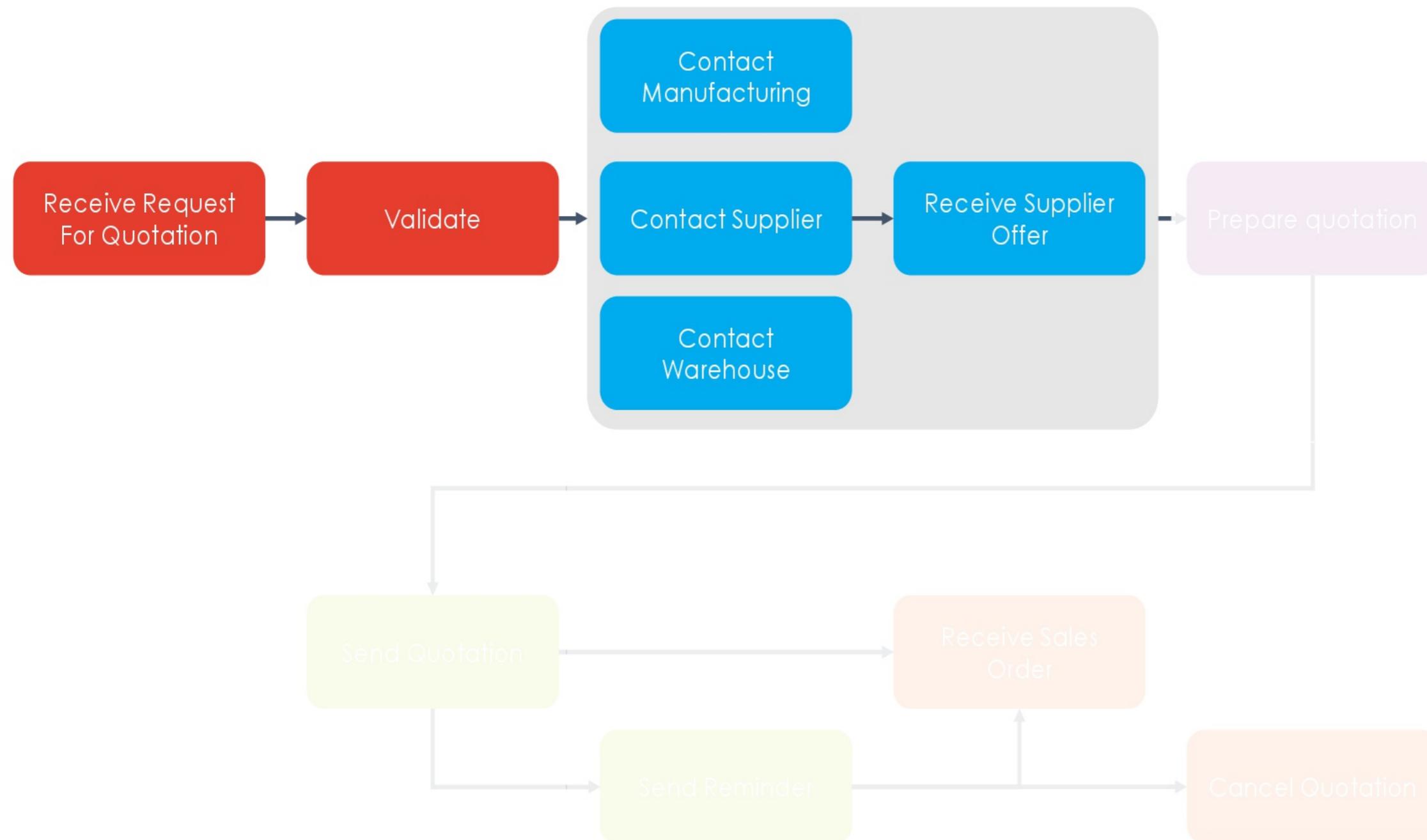


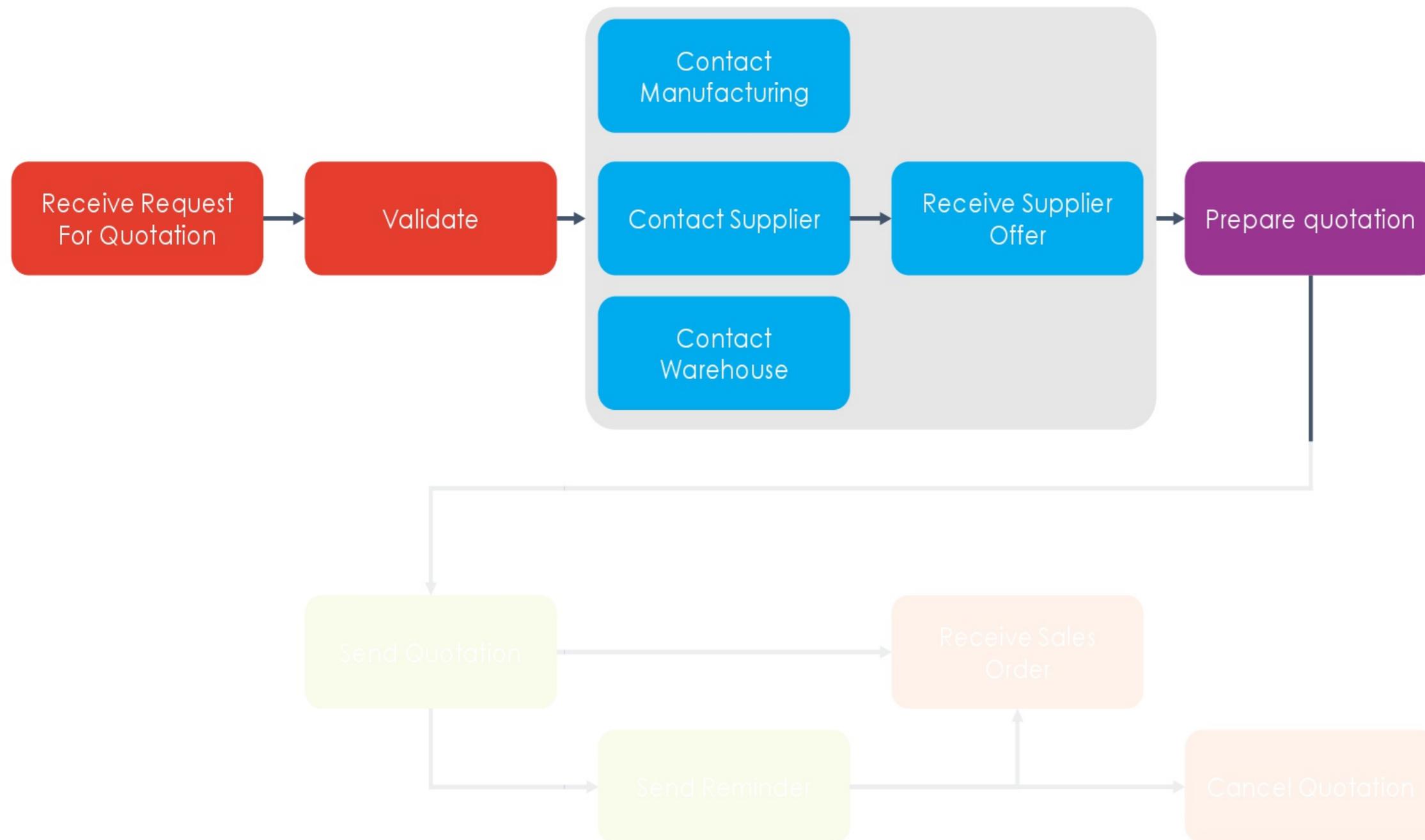


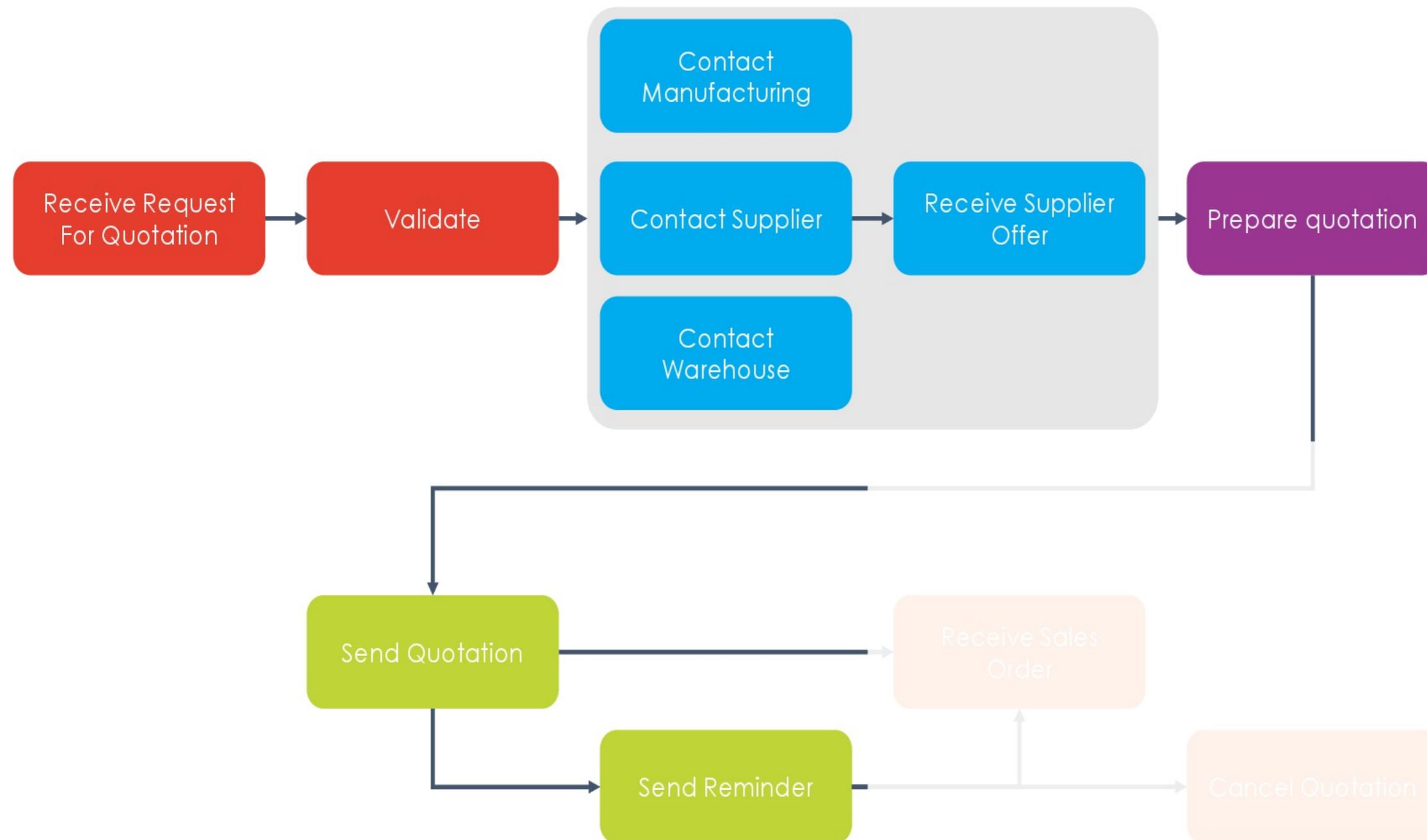


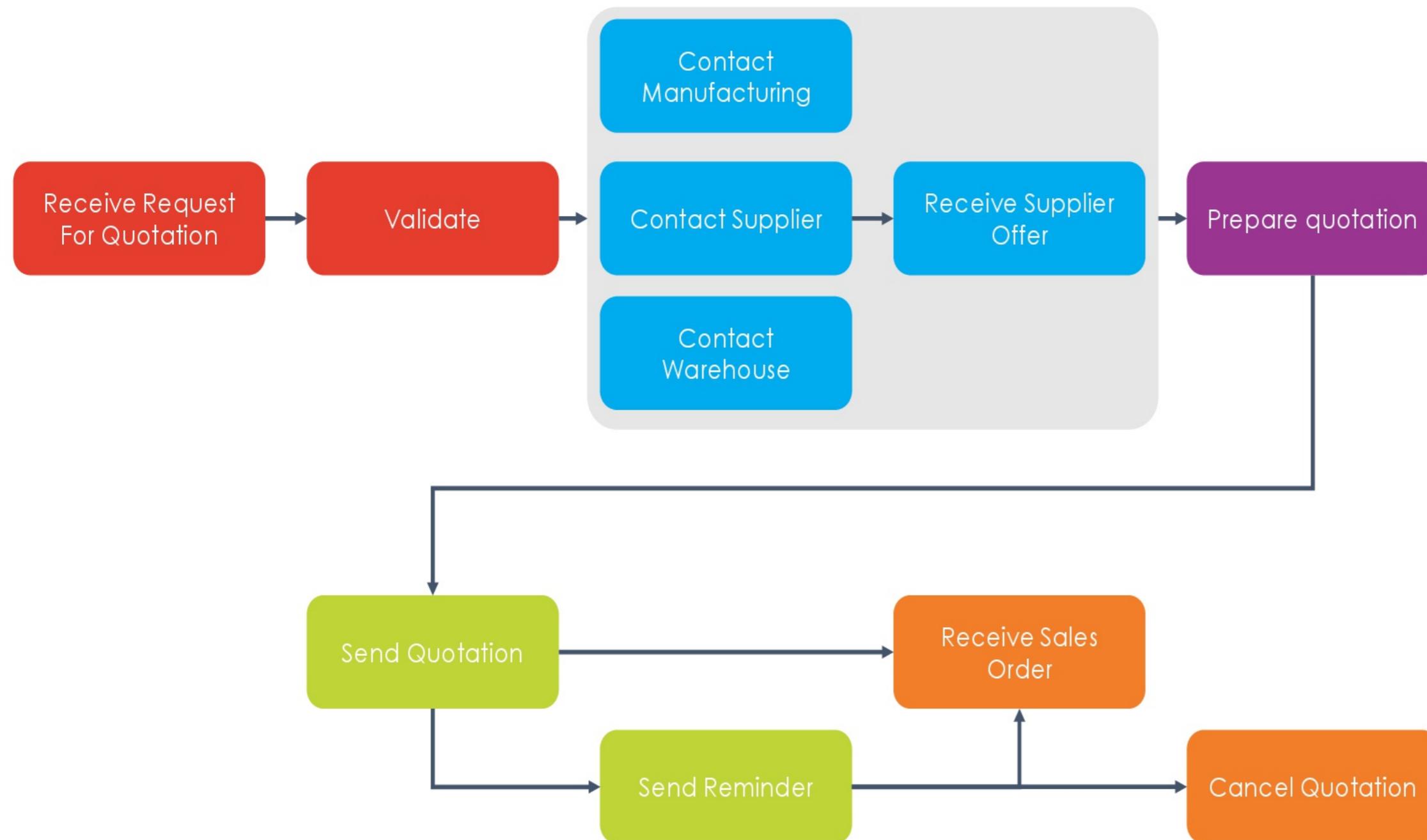




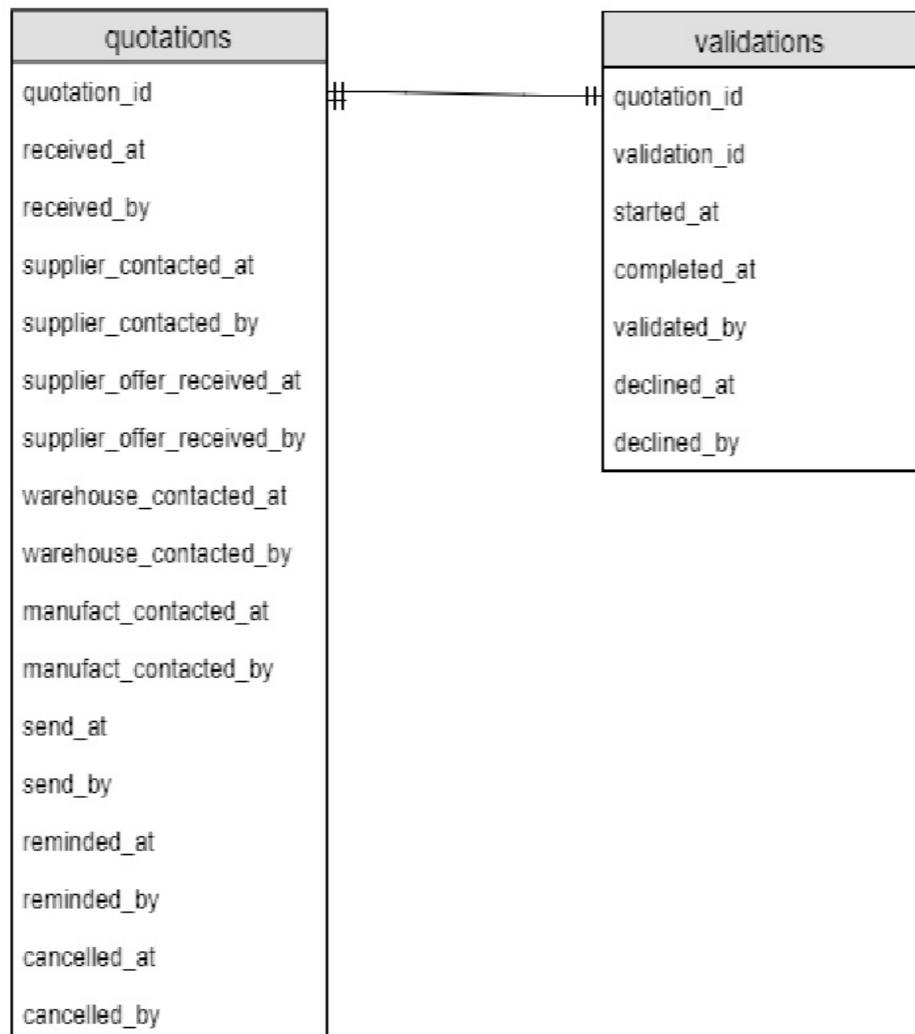


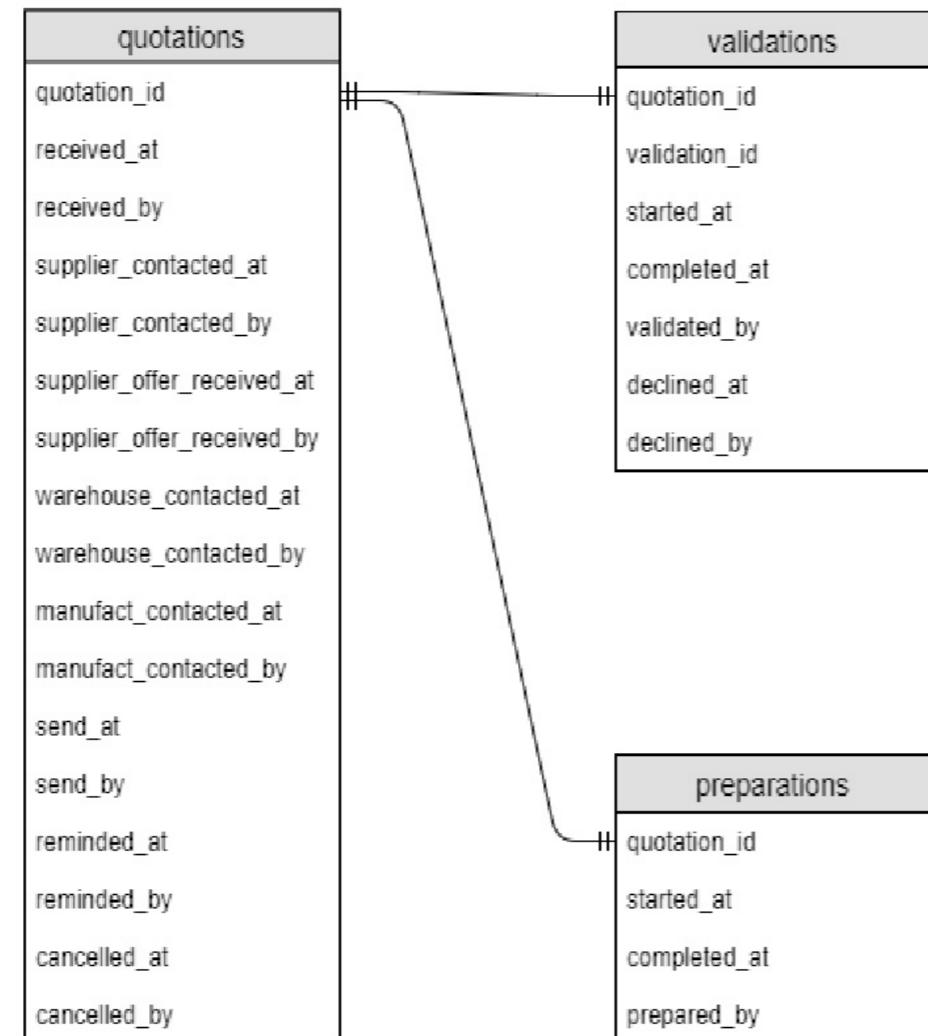


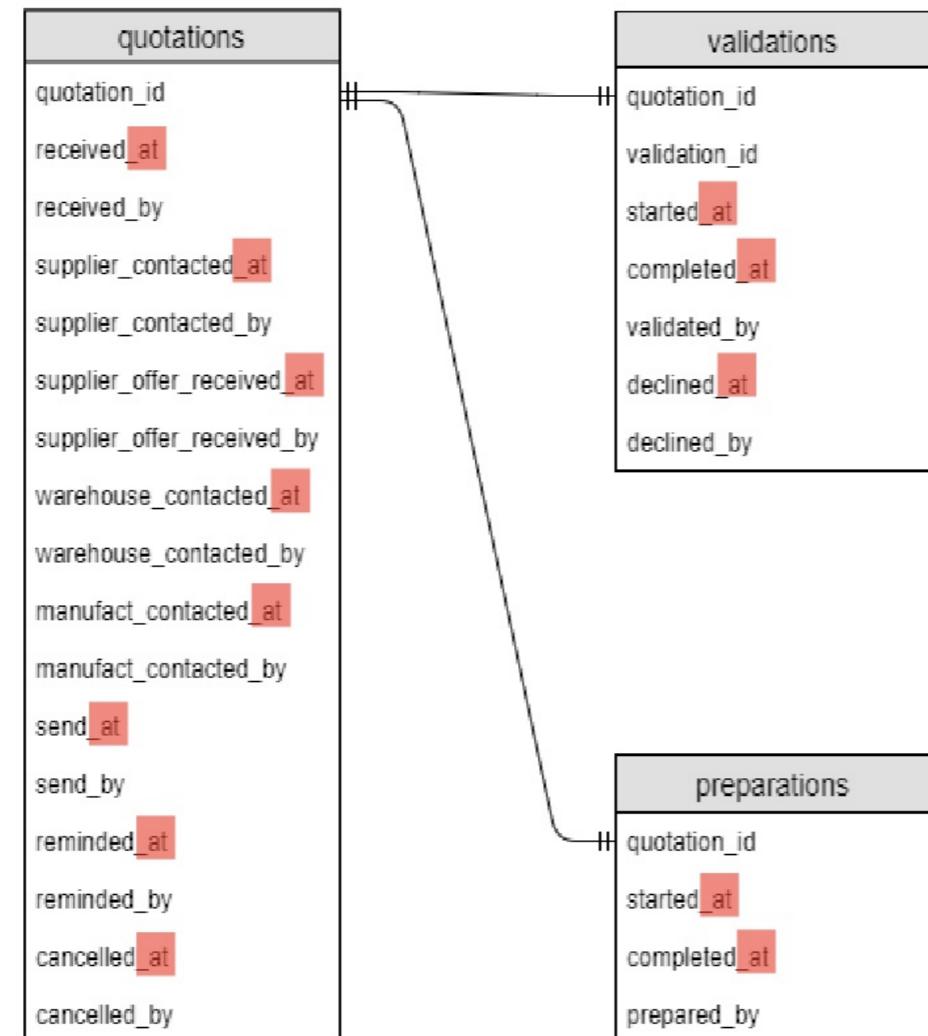


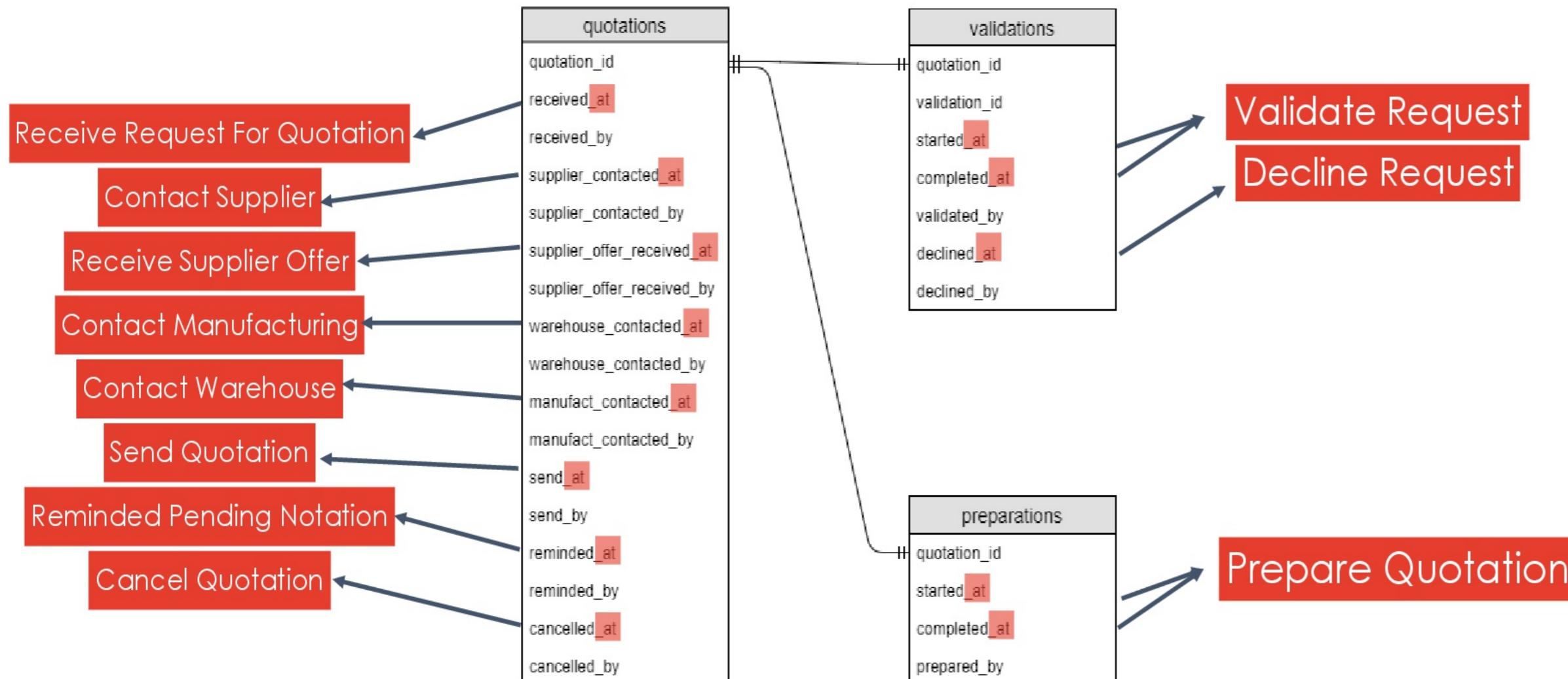


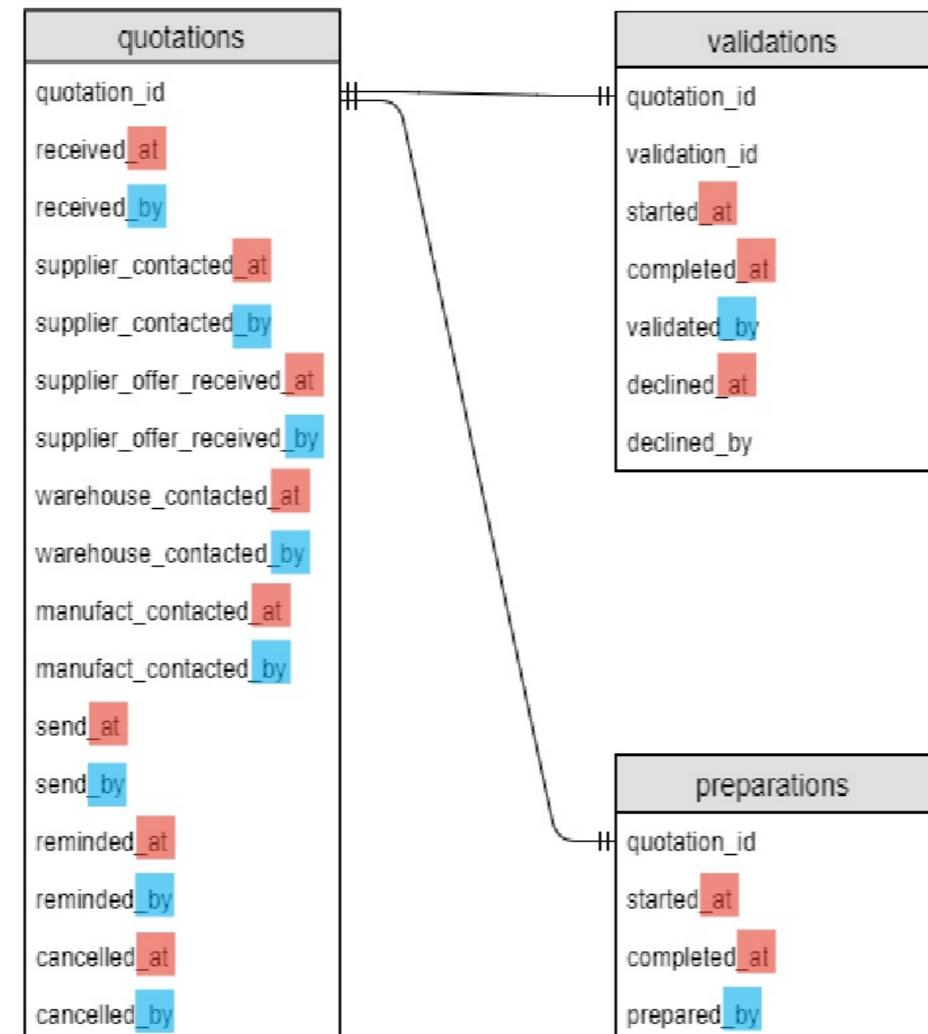
quotations
quotation_id
received_at
received_by
supplier_contacted_at
supplier_contacted_by
supplier_offer_received_at
supplier_offer_received_by
warehouse_contacted_at
warehouse_contacted_by
manufact_contacted_at
manufact_contacted_by
send_at
send_by
reminded_at
reminded_by
cancelled_at
cancelled_by





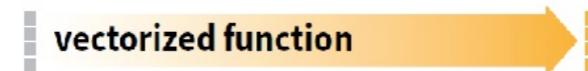






## MAKE NEW VARIABLES

These apply **vectorized functions** to columns. Vectorized funs take vectors as input and return vectors of the same length as output (see back).



→ **mutate(.data, ...)**  
Compute new column(s).  
`mutate(mtcars, gpm = 1/mpg)`

## COMBINE VARIABLES

Use a "**Mutating Join**" to join one table to columns from another, matching values with the rows that they correspond to. Each join retains a different combination of values from the tables.

**left\_join(x, y, by = NULL, copy=FALSE, suffix=c(".x",".y"),...)**  
Join matching values from y to x.

**right\_join(x, y, by = NULL, copy = FALSE, suffix=c(".x",".y"),...)**  
Join matching values from x to y.

**inner\_join(x, y, by = NULL, copy = FALSE, suffix=c(".x",".y"),...)**  
Join data. Retain only rows with matches.

**full\_join(x, y, by = NULL, copy=FALSE, suffix=c(".x",".y"),...)**  
Join data. Retain all values, all rows.

## COMBINE CASES

**bind\_rows(..., .id = NULL)**  
Returns tables one on top of the other as a single table. Set `.id` to a column name to add a column of the original table names (as pictured)

# Reshape Data

- change the layout of values in a table

Use **gather()** and **spread()** to reorganize the values of a table into a new layout.

**gather(data, key, value, ..., na.rm = FALSE, convert = FALSE, factor\_key = FALSE)**

gather() moves column names into a **key** column, gathering the column values into a single **value** column.

table4a

country	1999	2000
A	0.7K	2K
B	37K	80K
C	212K	213K



country	year	cases
A	1999	0.7K
B	1999	37K
C	1999	212K
A	2000	2K
B	2000	80K
C	2000	213K

key value

```
gather(table4a, '1999', '2000',
      key = "year", value = "cases")
```

**spread(data, key, value, fill = NA, convert = FALSE, drop = TRUE, sep = NULL)**

spread() moves the unique values of a **key** column into the column names, spreading the values of a **value** column across the new columns.

table2

country	year	type	count
A	1999	cases	0.7K
A	1999	pop	19M
A	2000	cases	2K
A	2000	pop	20M
B	1999	cases	37K
B	1999	pop	172M
B	2000	cases	80K
B	2000	pop	174M
C	1999	cases	212K
C	1999	pop	1T
C	2000	cases	213K
C	2000	pop	1T



country	year	cases	pop
A	1999	0.7K	19M
A	2000	2K	20M
B	1999	37K	172M
B	2000	80K	174M
C	1999	212K	1T
C	2000	213K	1T

key value

```
spread(table2, type, count)
```



## BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**



## BUSINESS PROCESS ANALYTICS IN R

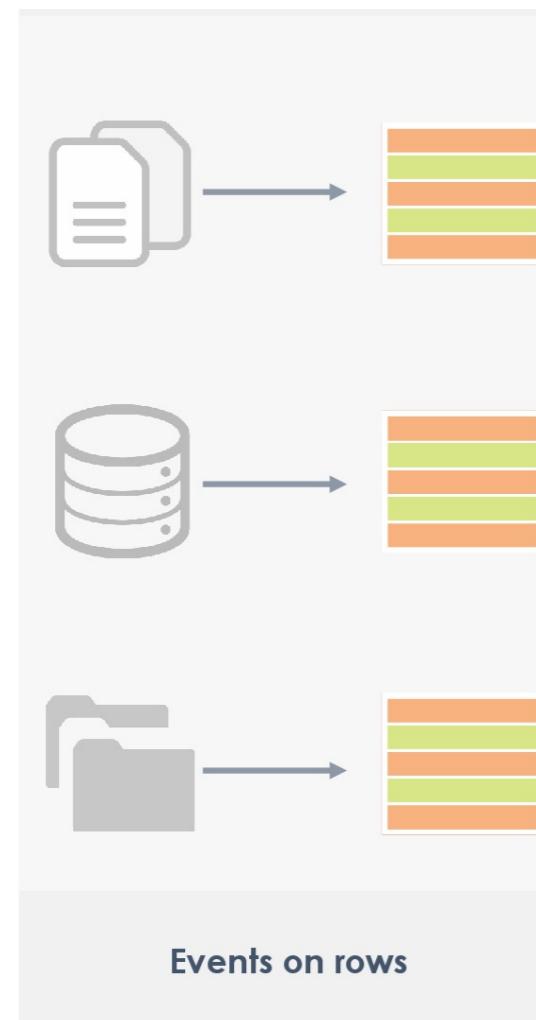
# Getting to know the process

Gert Janssenswillen  
Creator of bupaR

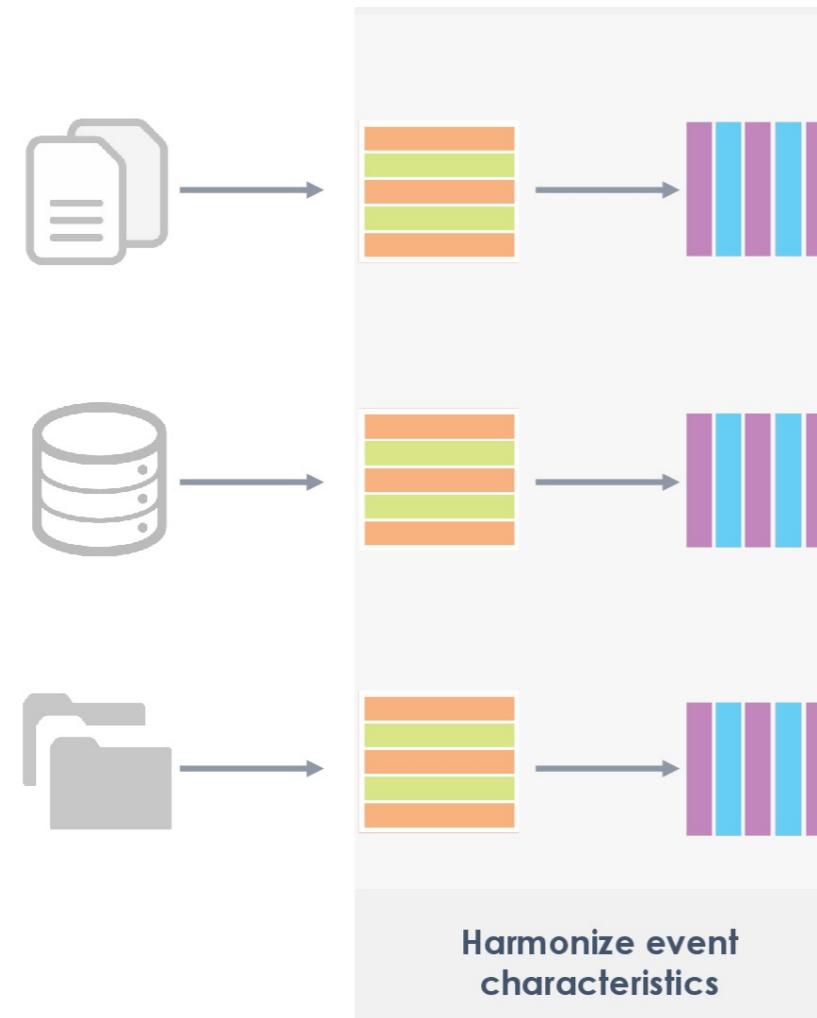
# Identify data sources



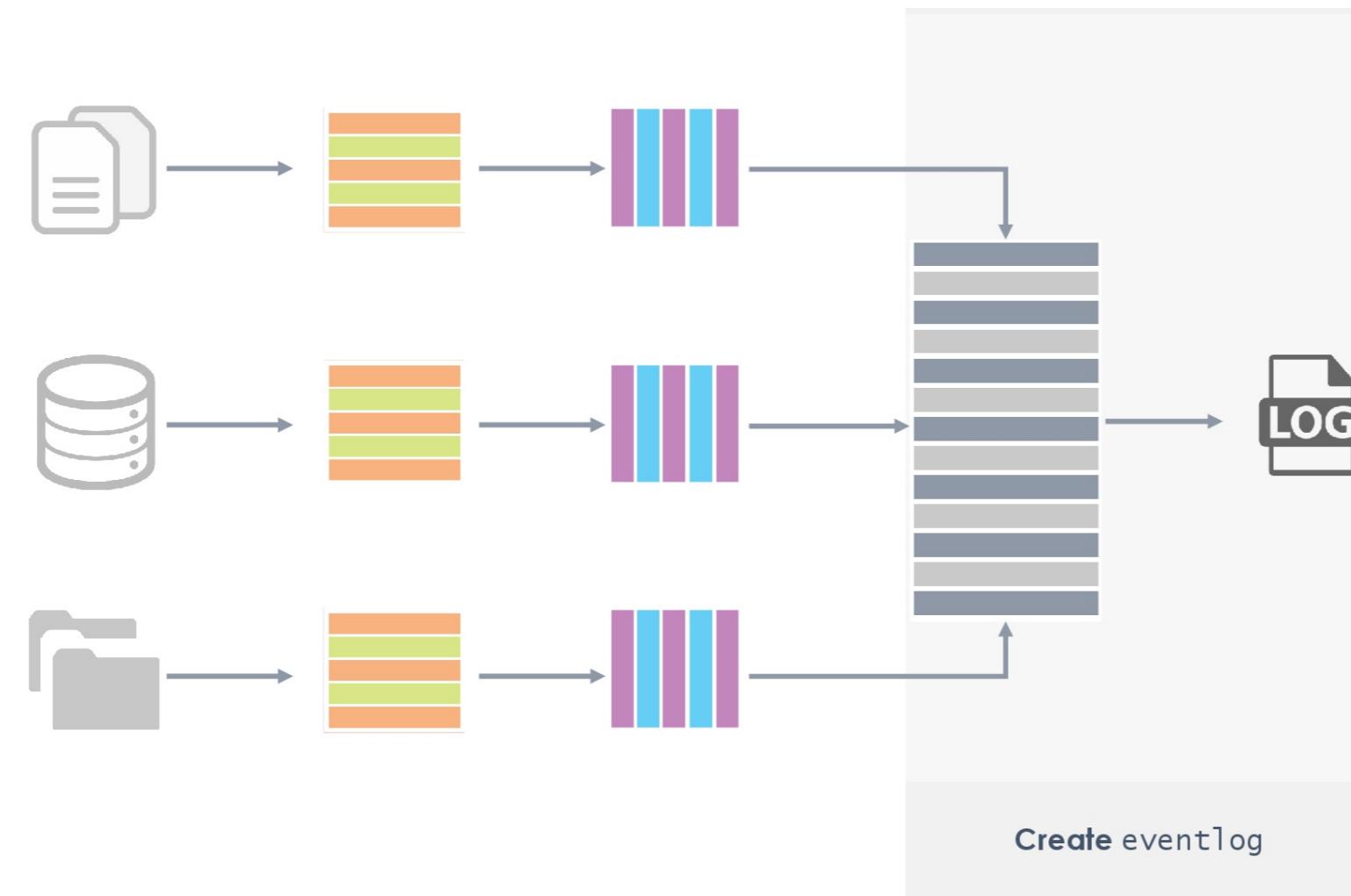
# Reshape them



# Harmonize them



# Create event data



# Inspect event data

```
summary(otc)
```

```
Number of events: 75499
Number of cases: 3651
Number of traces: 1656
Number of distinct activities: 37
Average trace length: 20.67899

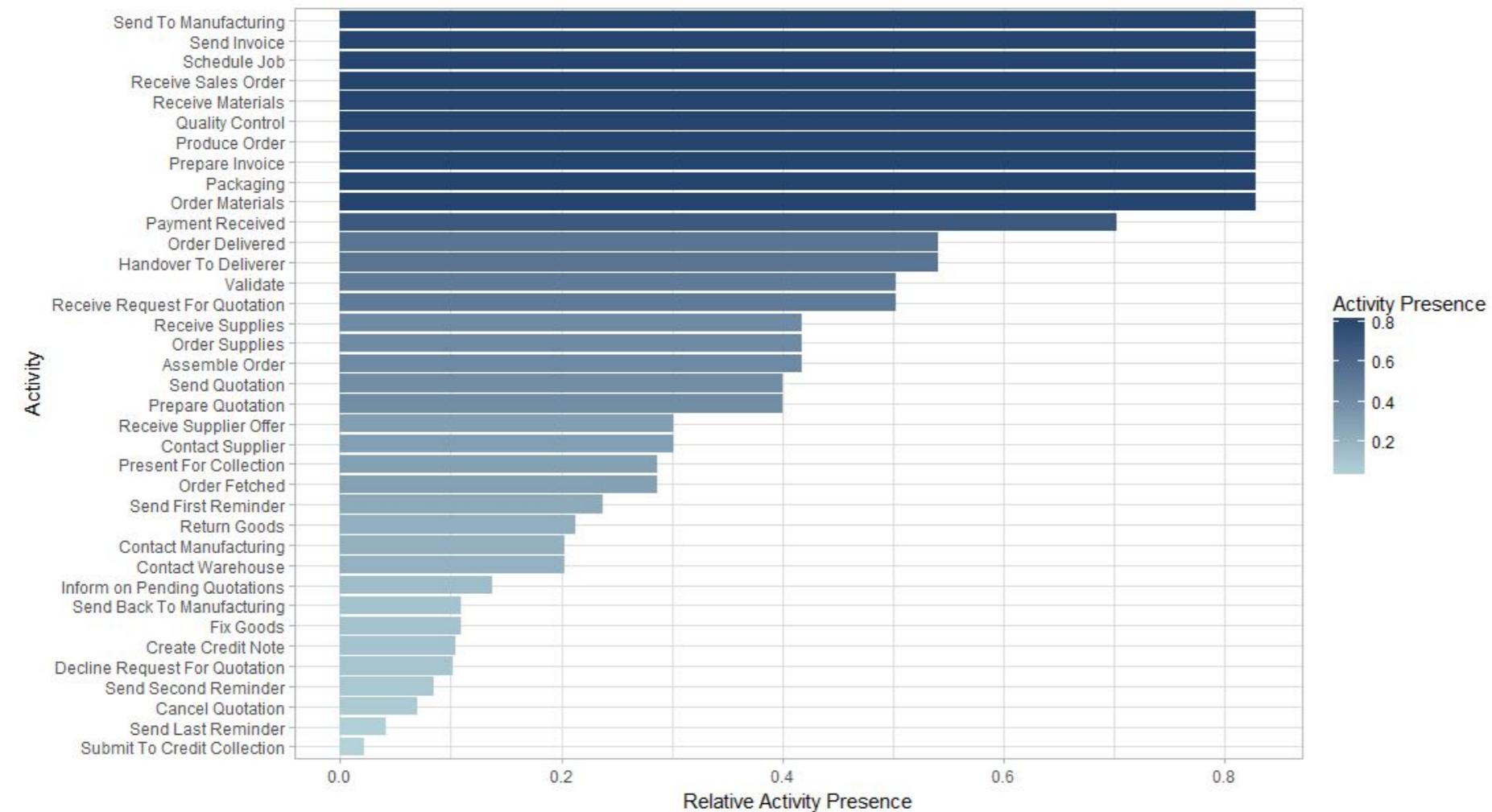
Start eventlog: 2017-01-01 12:13:56
End eventlog: 2018-04-22 19:56:13

quotation_id      resource          activity      action      lifecycle
Length:75499    George : 7186   Packaging : 6048 Length:75499 complete:25420
class :character Andrea : 6594   Prepare Invoice: 6048 class :character start   :50079
Mode  :character Virginia: 6235   Produce Order : 6048 Mode  :character
Jonathan: 6047   Quality Control: 6048
Cheryl : 5381    Validate : 3666
Louis  : 5039    Assemble Order : 3048
(other) :39017   (other)  :44593

timestamp        sales_order_id    case_id       .order
Min.  :2017-01-01 12:13:56 Length:75499    Length:75499 Min.   : 1
1st Qu.:2017-05-07 14:26:12 class :character class :character 1st Qu.:18876
Median :2017-08-07 15:30:29 Mode  :character Mode  :character Median :37750
Mean   :2017-08-07 23:16:03
3rd Qu.:2017-11-09 17:27:47
Max.   :2018-04-22 19:56:13
```

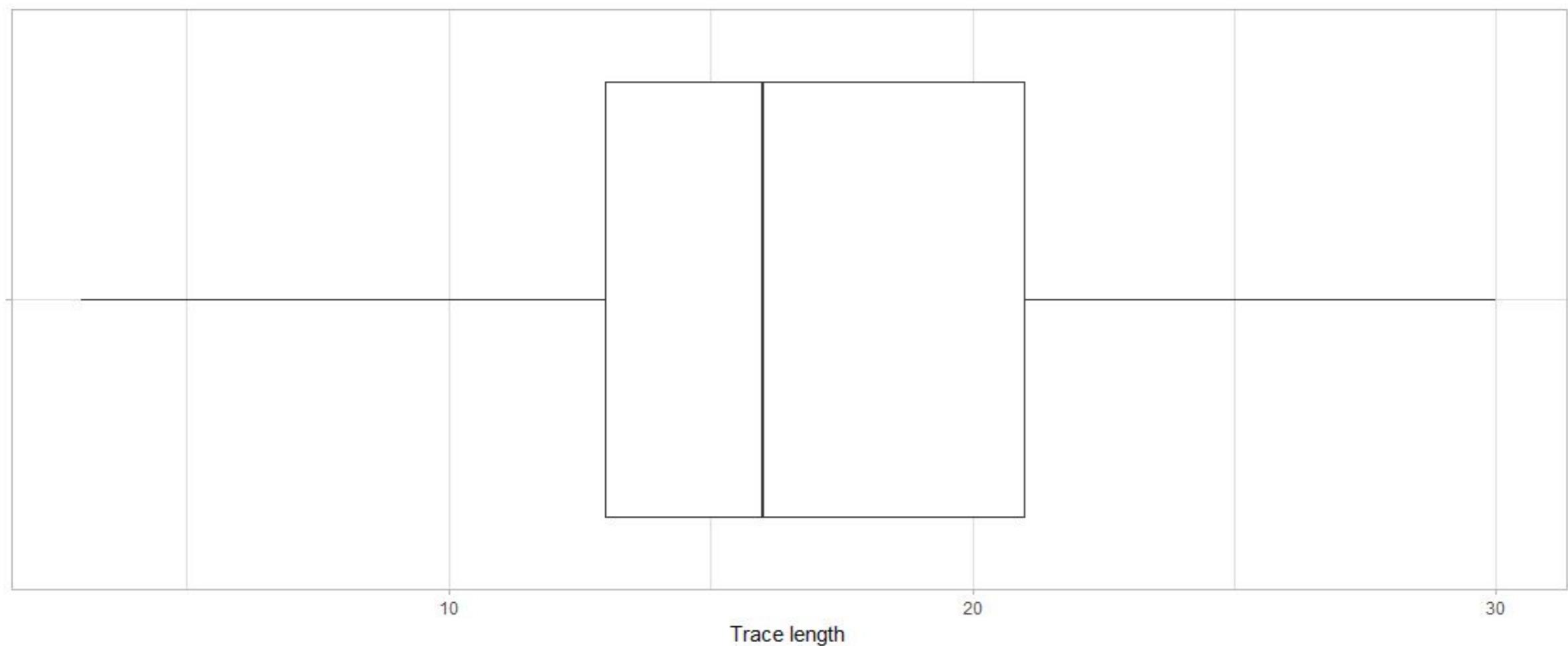
# Activity presence

```
activity_presence(otc) %>% plot()
```



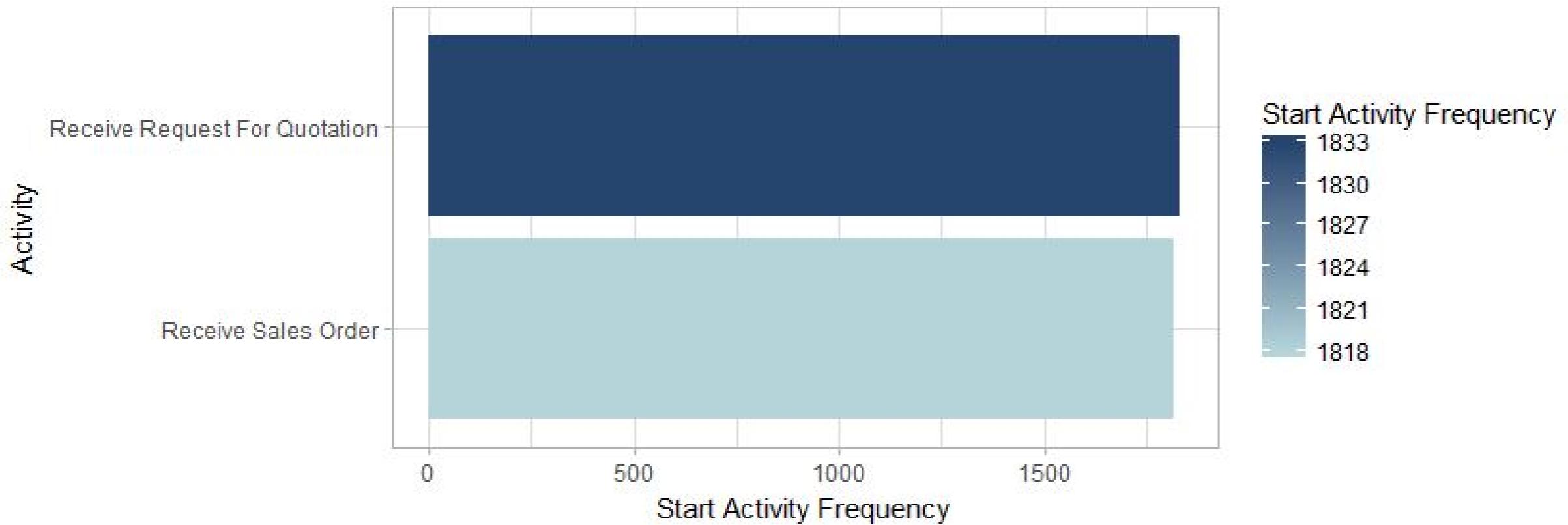
# Trace lengths

```
trace_length(otc) %>% plot()
```



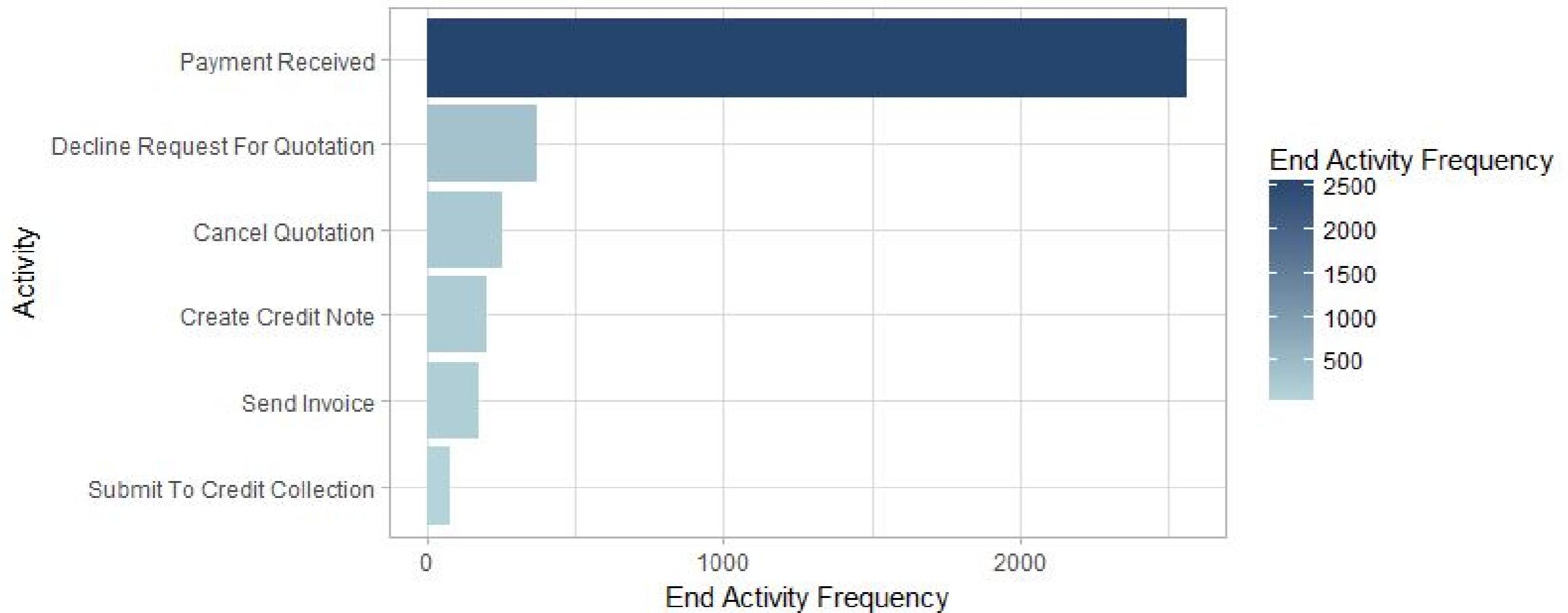
# Entry points

```
start_activities(otc, "activity") %>% plot()
```



# Exit points

```
end_activities(otc, "activity") %>% plot()
```





BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**

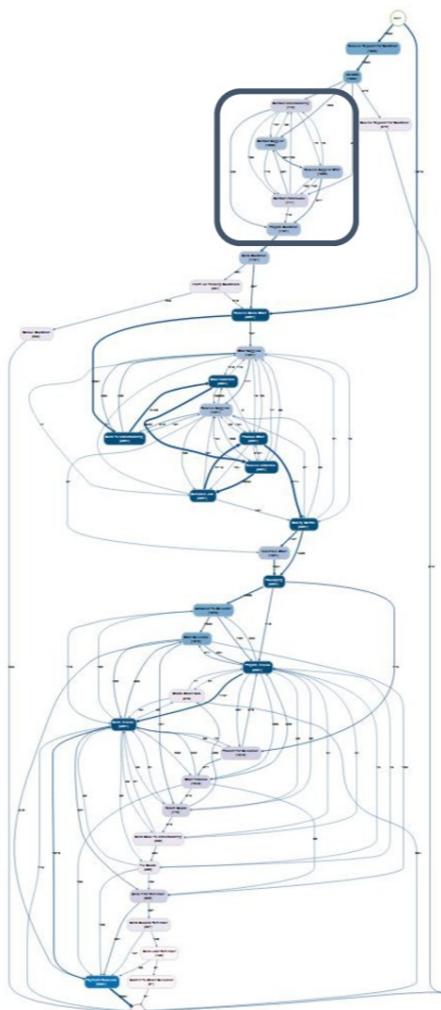


## BUSINESS PROCESS ANALYTICS IN R

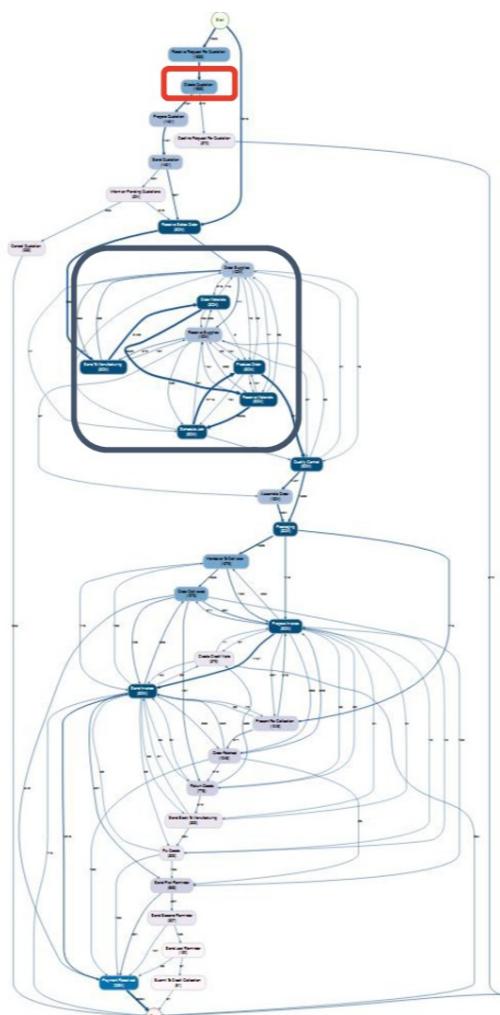
# Roles & Rules

Gert Janssenswillen  
Instructor

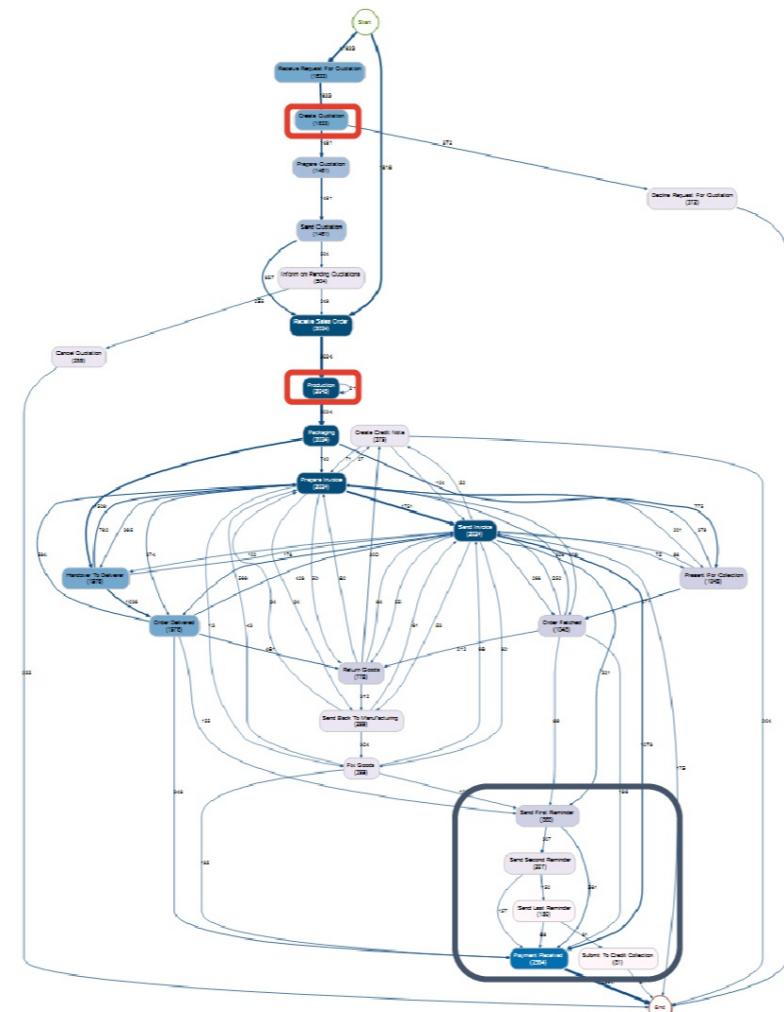
# Zooming out



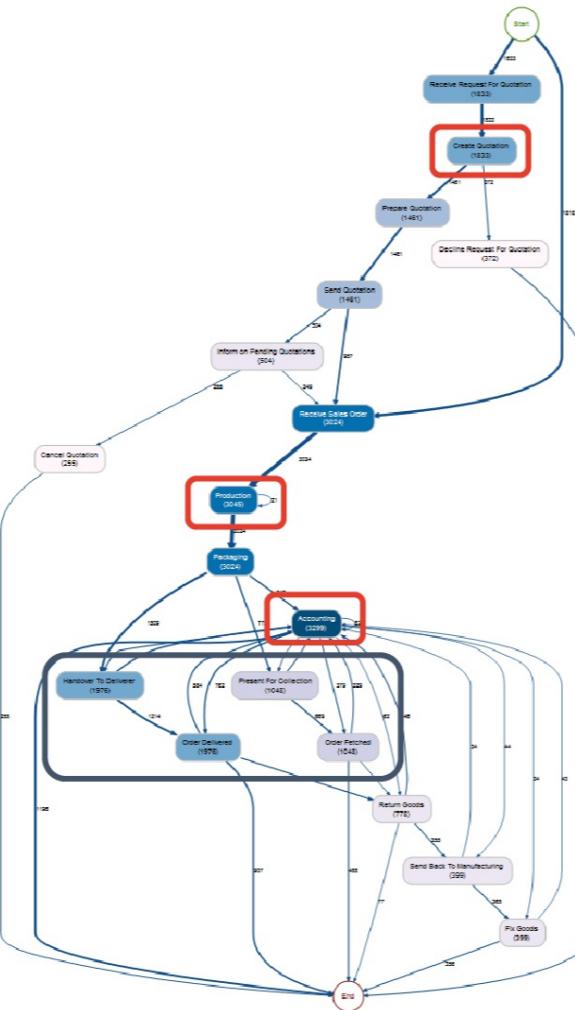
# Zooming out



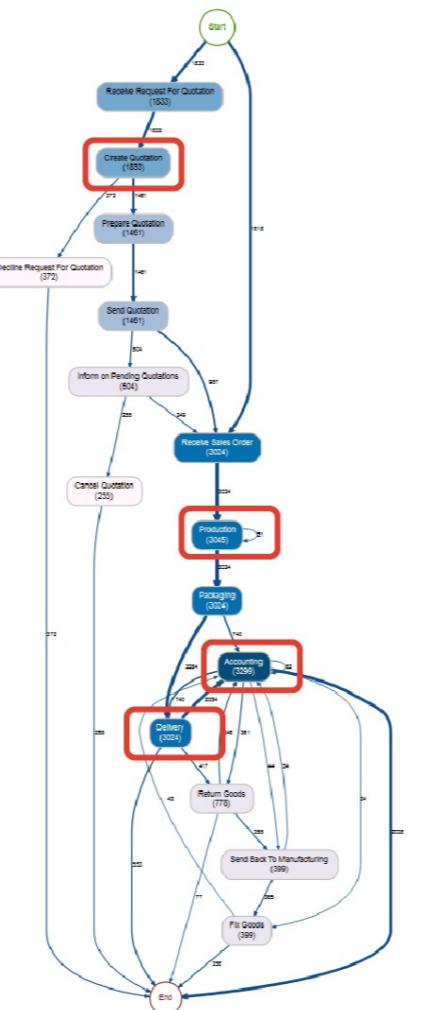
# Zooming out



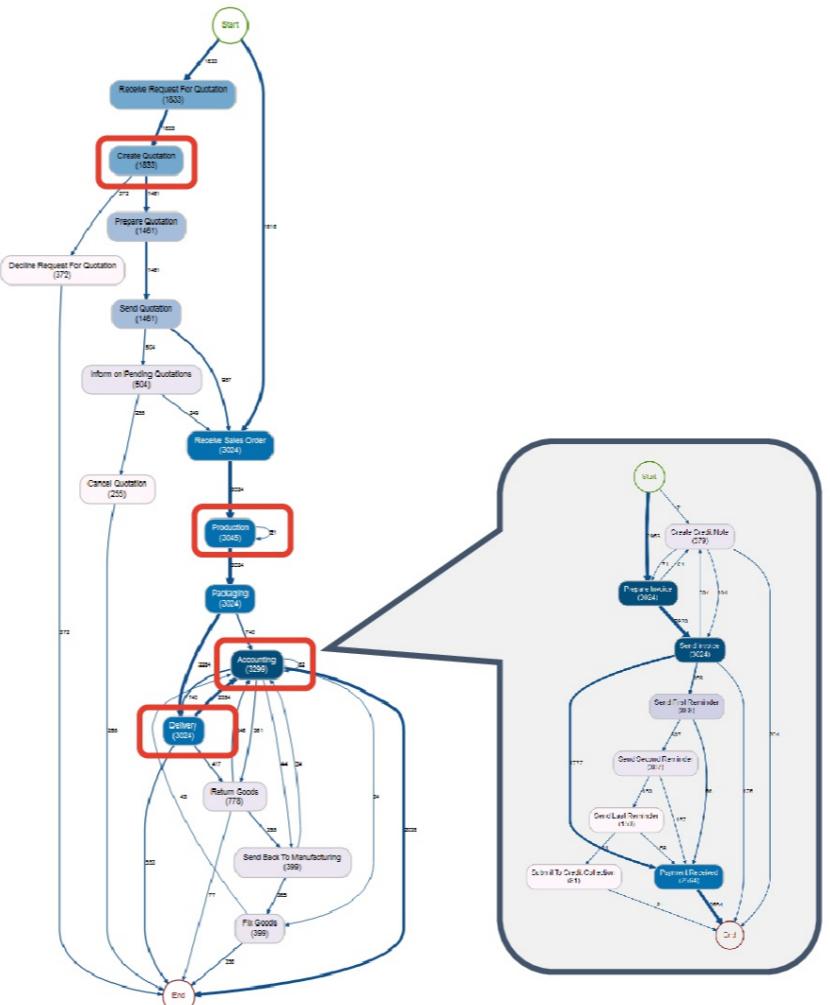
# Zooming in



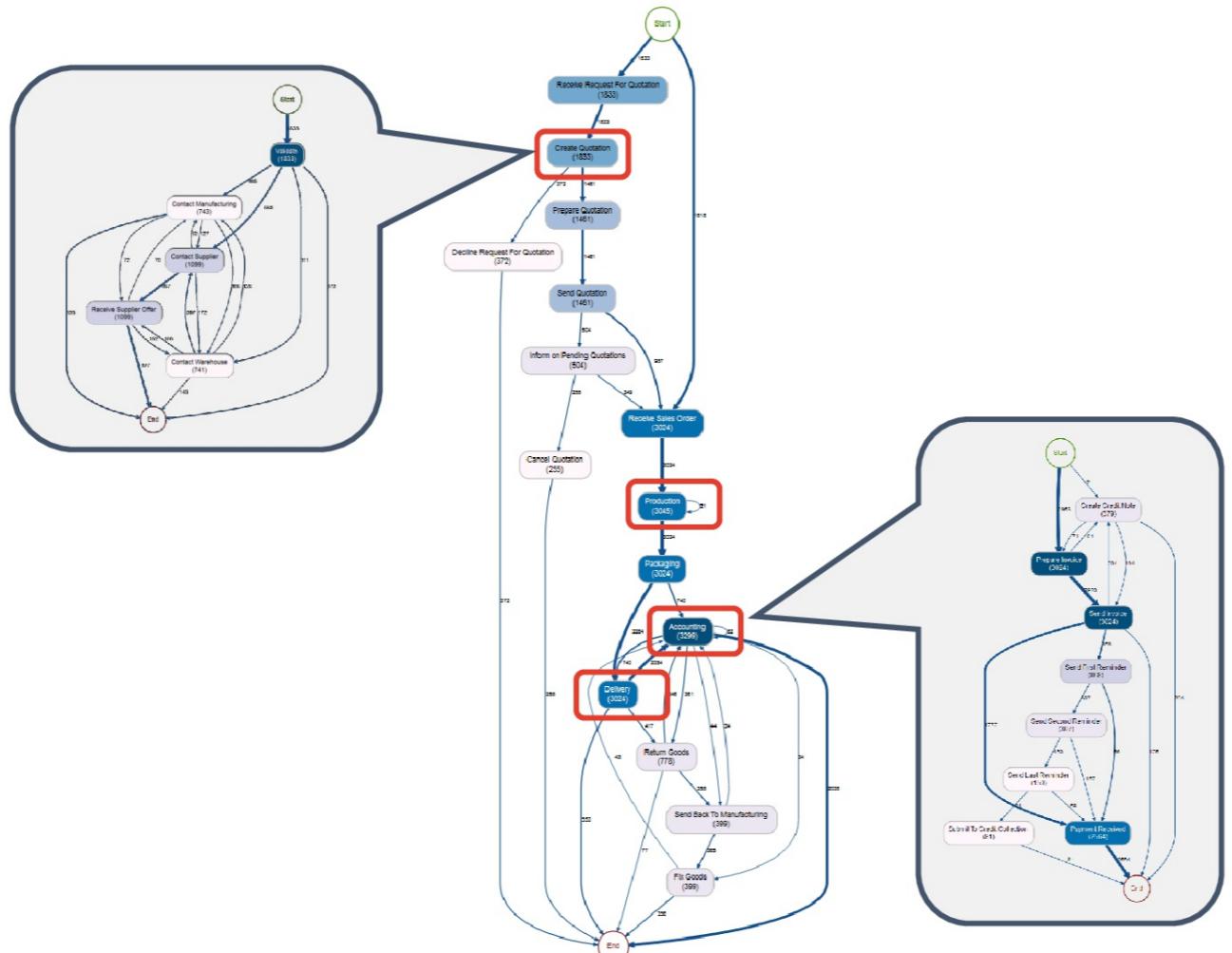
# Zooming out



# Zooming in



# Zooming in



# Answering Research Questions



Performance

# Answering Research Questions



Performance



Compliance

# Roles & Rules

- Resource Roles
- 4-eye principle
- Control-flow deviations



## BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**



BUSINESS PROCESS ANALYTICS IN R

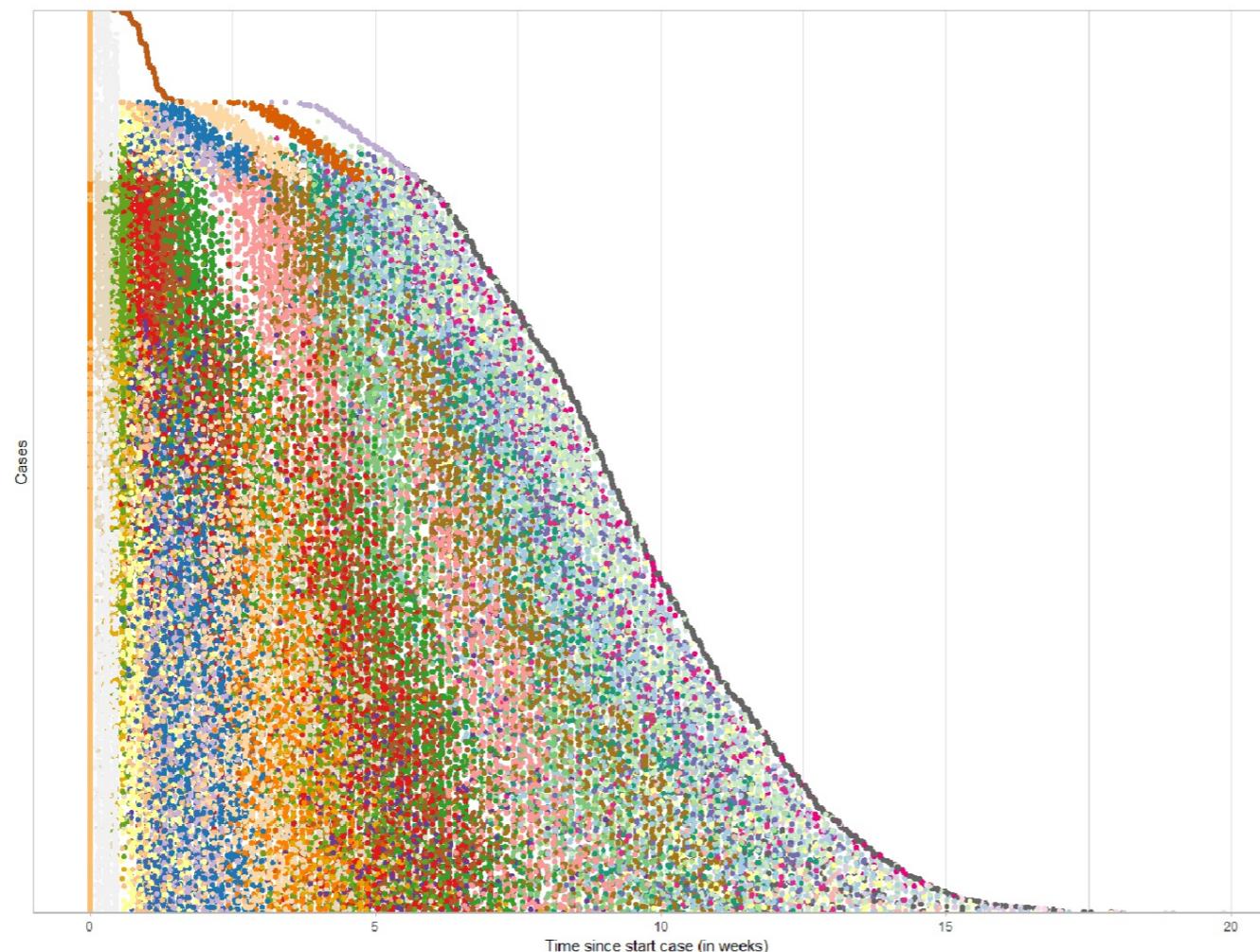
# Fast Production, Fast Delivery

Gert Janssenswillen  
Creator of bupaR

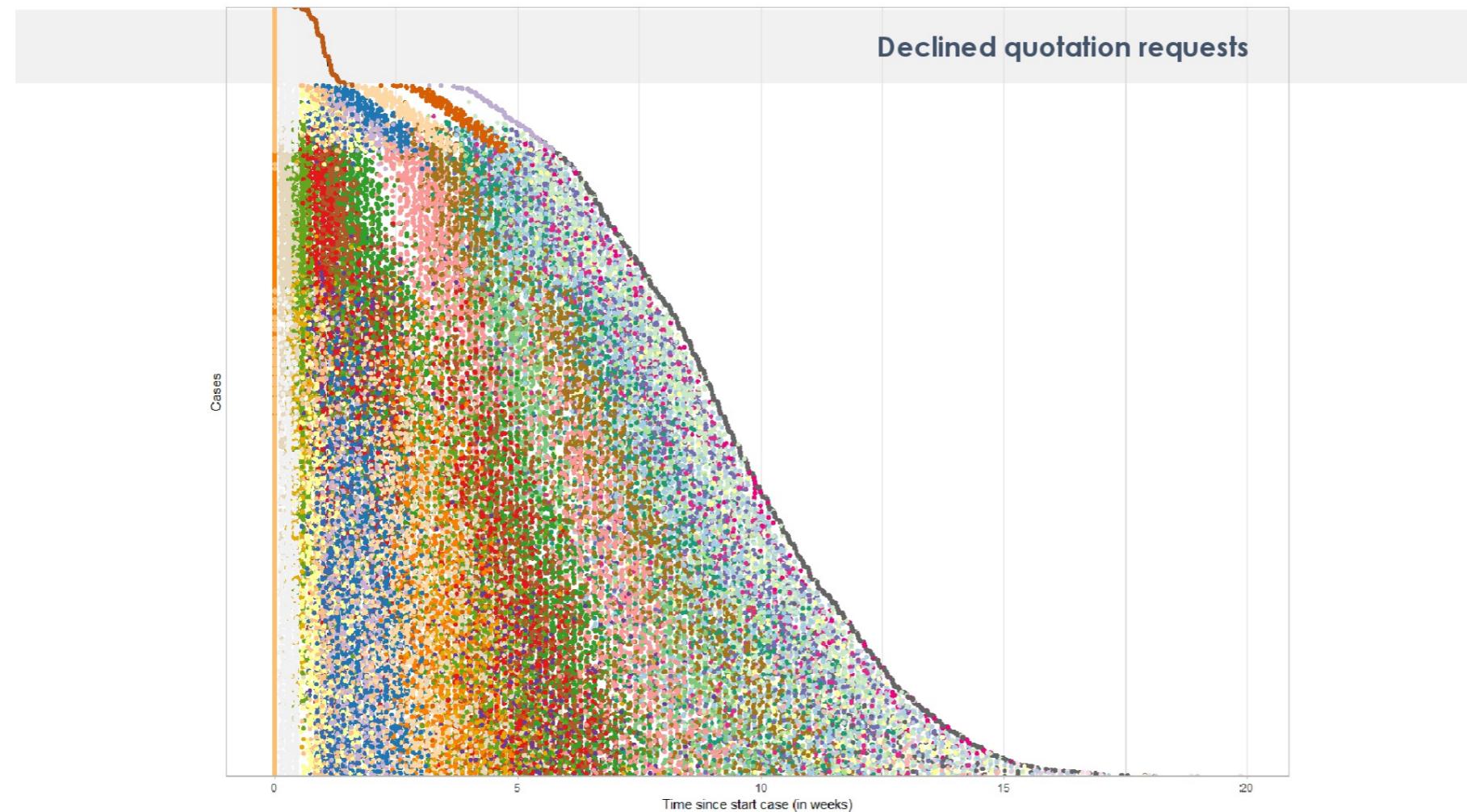
# Dotted chart

```
otc %>% dotted_chart(x = "relative", sort = "duration")
```

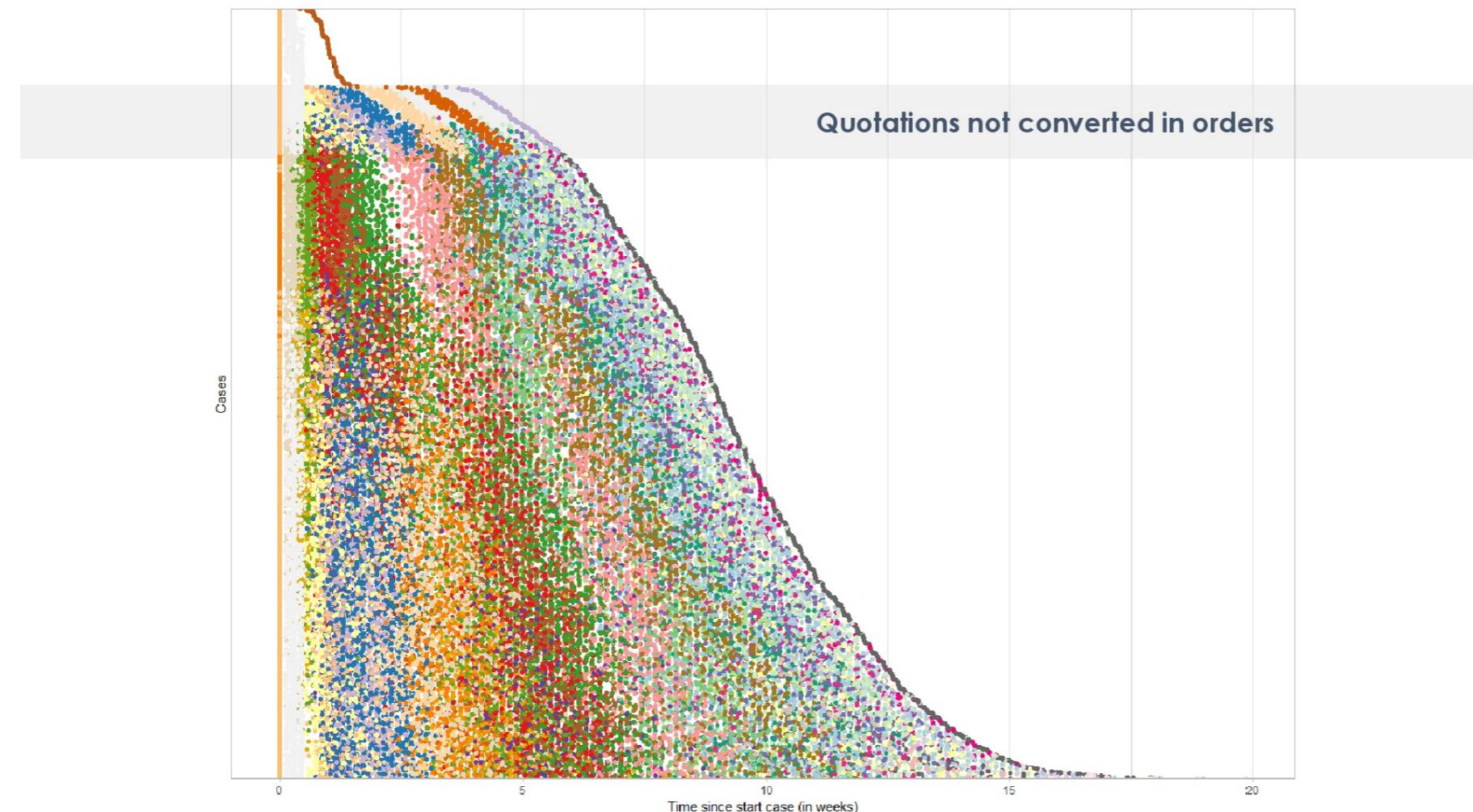
# Dotted chart



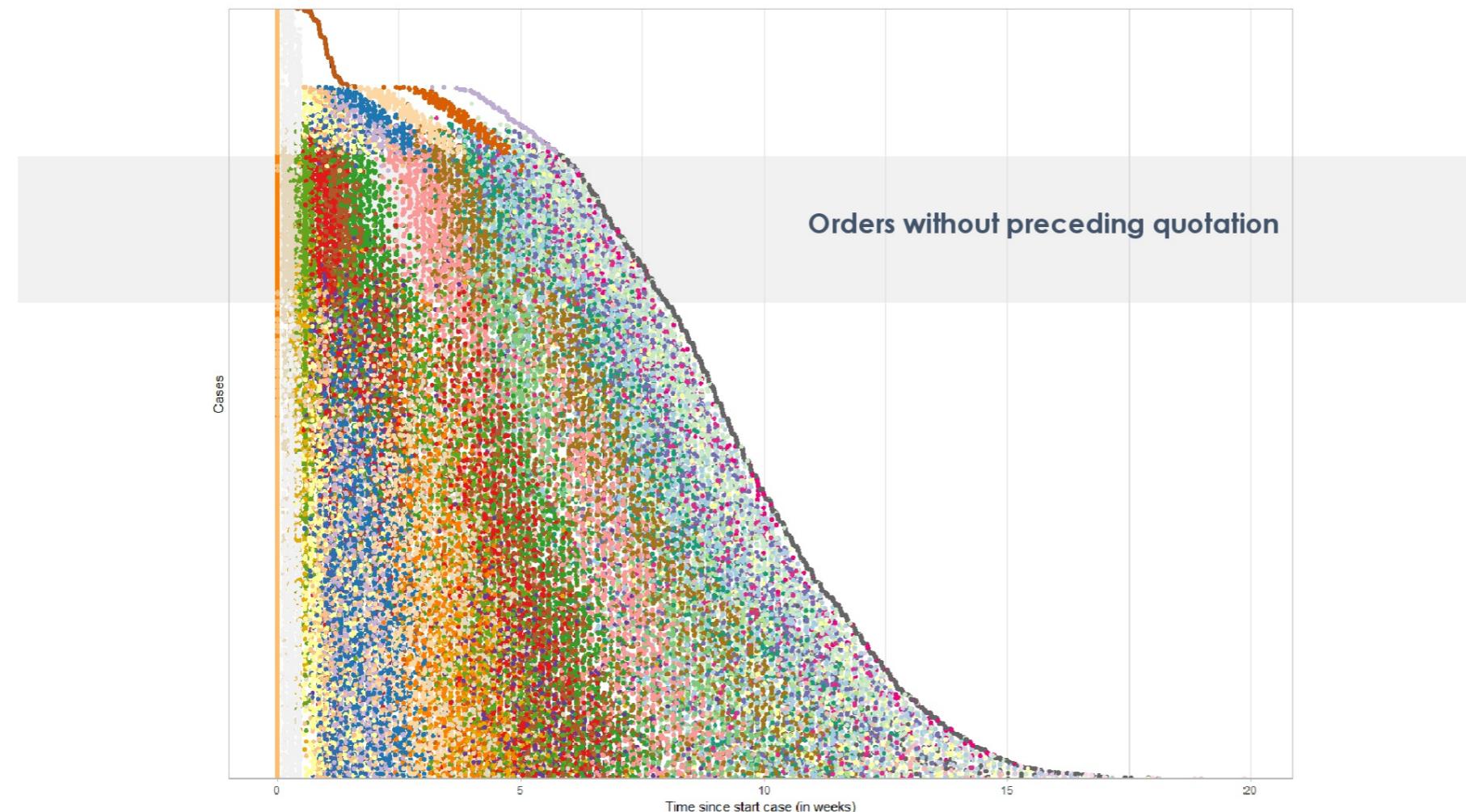
# Dotted chart



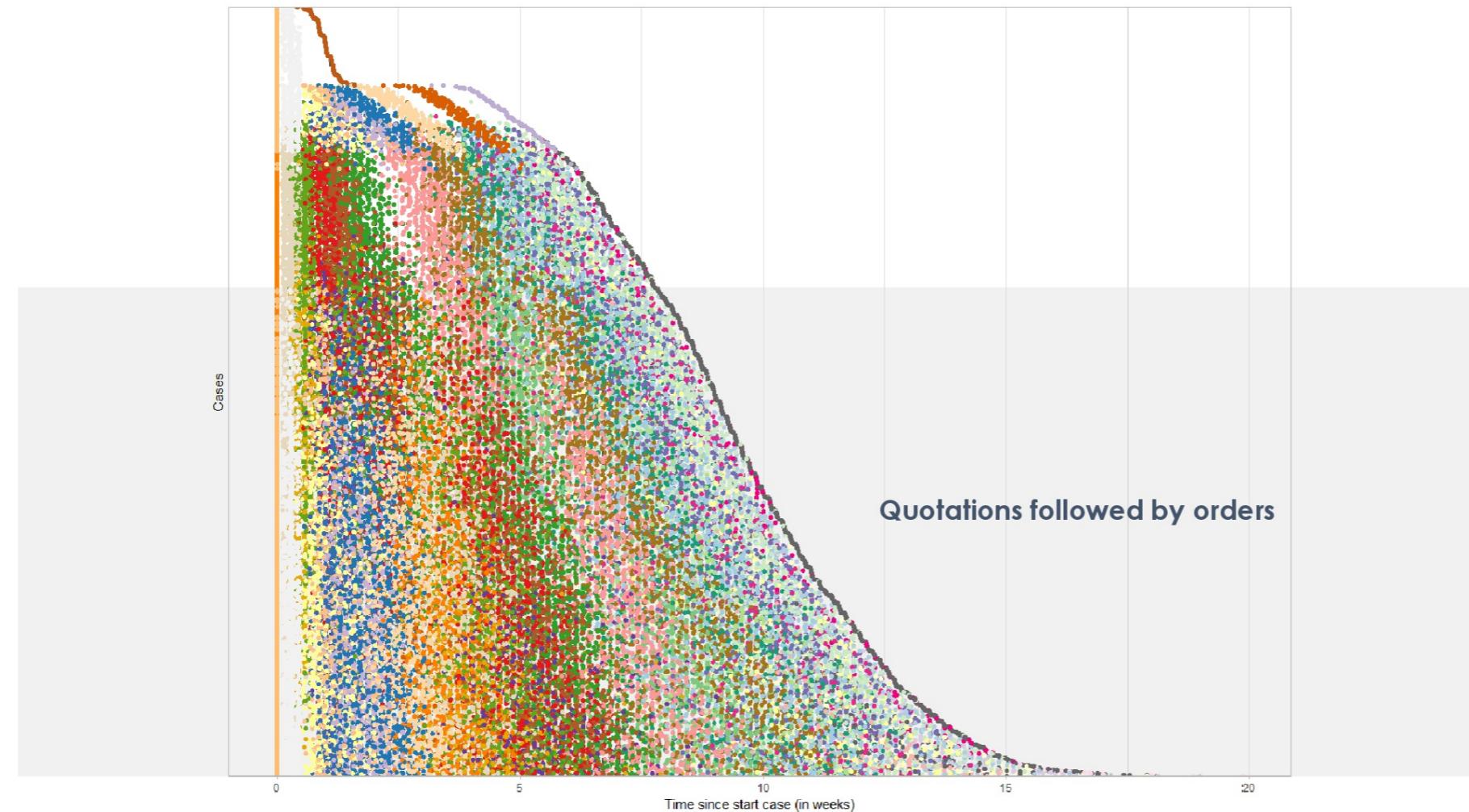
# Dotted chart



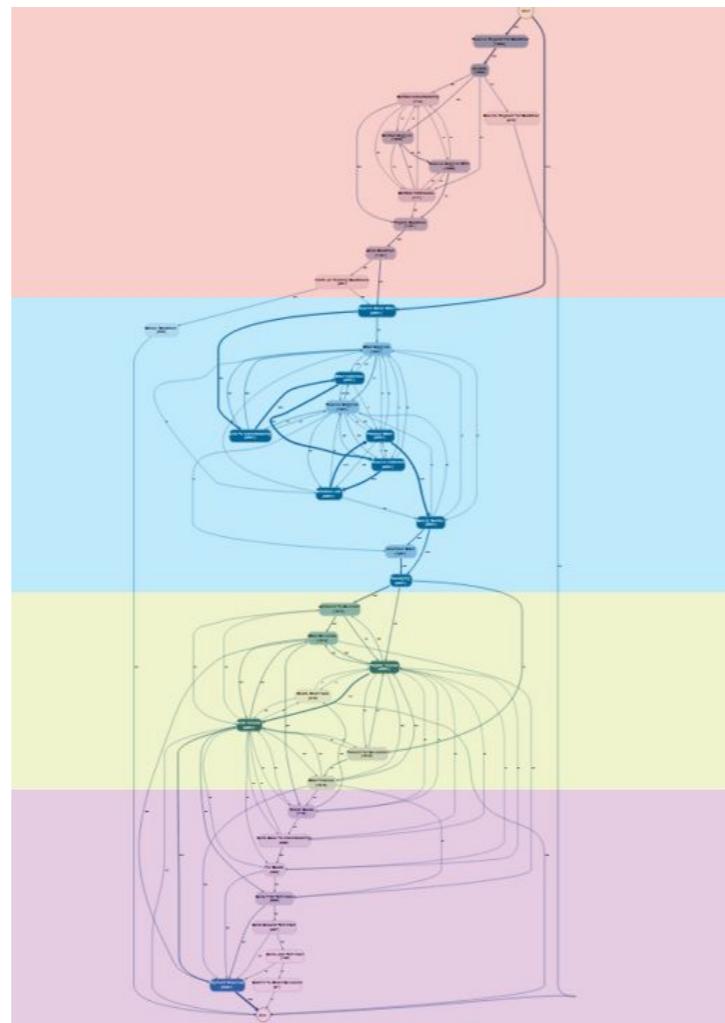
# Dotted chart



# Dotted chart



# Process stages





## BUSINESS PROCESS ANALYTICS IN R

**Let's practice!**



## BUSINESS PROCESS ANALYTICS IN R

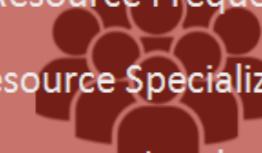
# Congratulations

Gert Janssenswillen  
Creator of bupaR

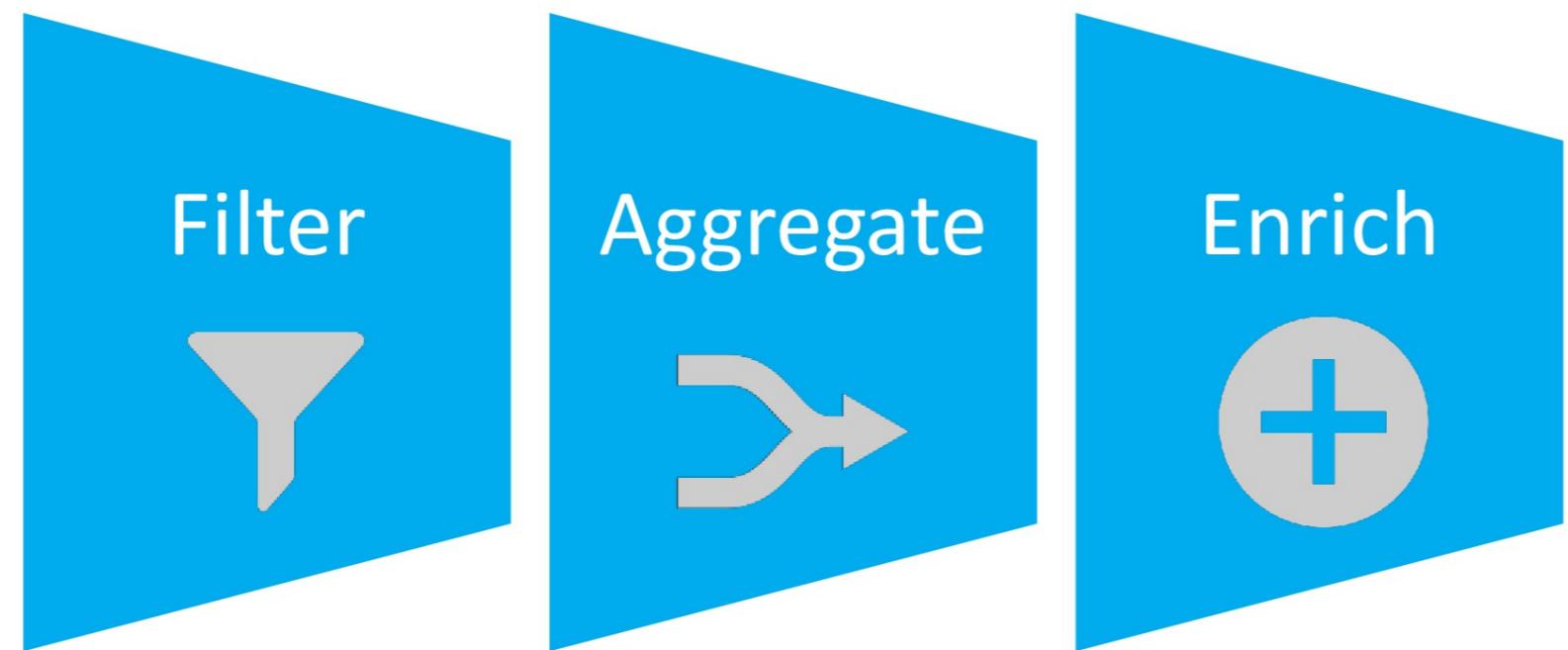
# Process data



# Process Analytics

	Organizational	Structuredness	Performance
Metrics	Resource Frequency  Resource Specialization Resources Involvement	Start Activities End Activities Trace Coverage Trace Length  Self-loops Activity Presence	Processing Time Throughput Time  Idle Time
Visuals	Resource Map	Process Map Trace Explorer Precedence Matrix	Performance Map Dotted Chart

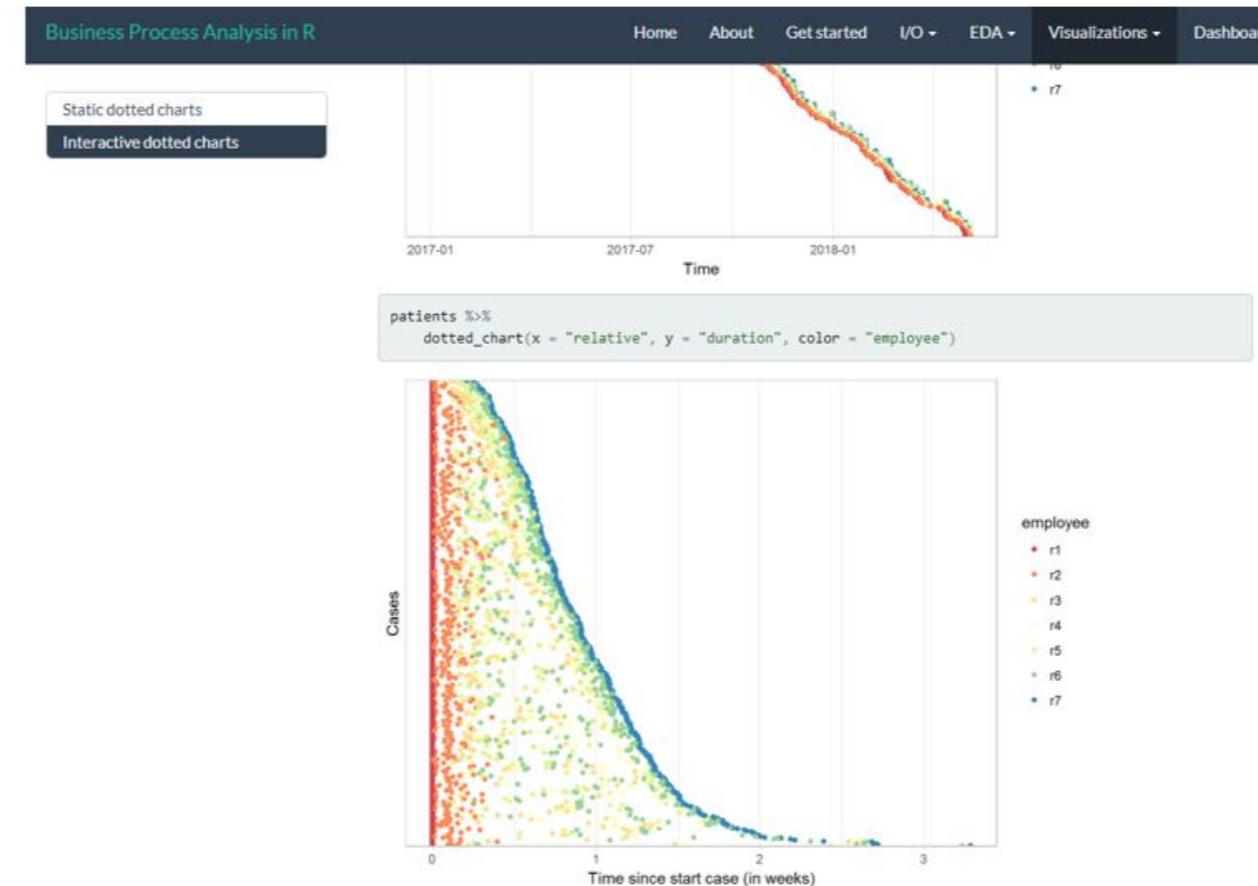
# Process Data Preprocessing



# There's more



[www.bupar.net](http://www.bupar.net)



## Interactive dotted charts

The function `idotted_chart` opens a shiny app which can be used to modify the arguments of the dotted chart interactively.



BUSINESS PROCESS ANALYTICS IN R

# Congratulations!