Binary Search Trees

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A Motivating Problem

- Runway Reservation System
  - Airport with a single runway
  - Reservations for future landing
  - Reserve requests specify a landing time *t*
  - Algorithm ideas:
    - Add *t* to Set **R** if no other landings scheduled within *k* min, i.e. job duration, e.g. k=3
    - Remove t from R when finishing a job

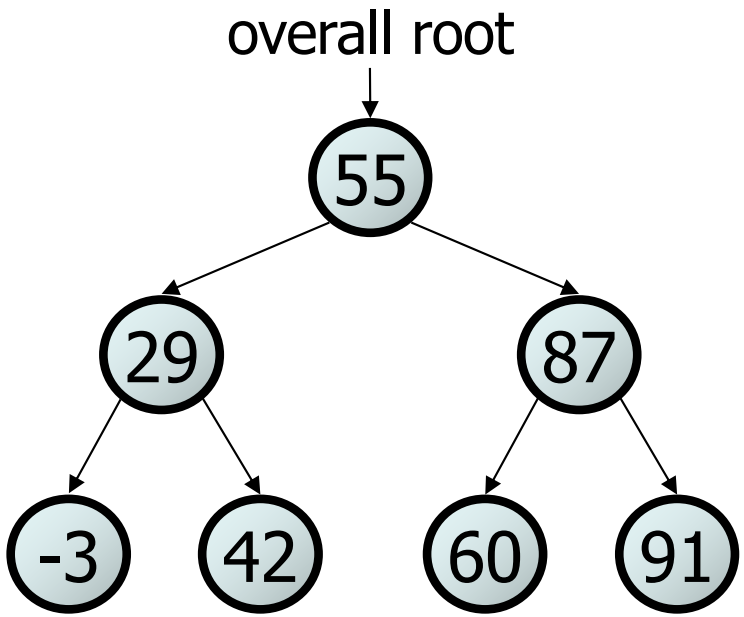
Alternative Data Structures	Algorithm Complexity
Unsorted list/array	Search: ?
Sorted list	Search: ?; Insertion: ?
Sorted array	Search: ?; Insertion: ?

Binary search trees

- **A binary search tree** ("BST") is either:
  - empty (`null`), or
  - a root node R such that:
    - every element of R's left subtree contains data "less than" R's data,
    - every element of R's right subtree contains data "greater than" R's,
    - R's left and right subtrees are also binary search trees.

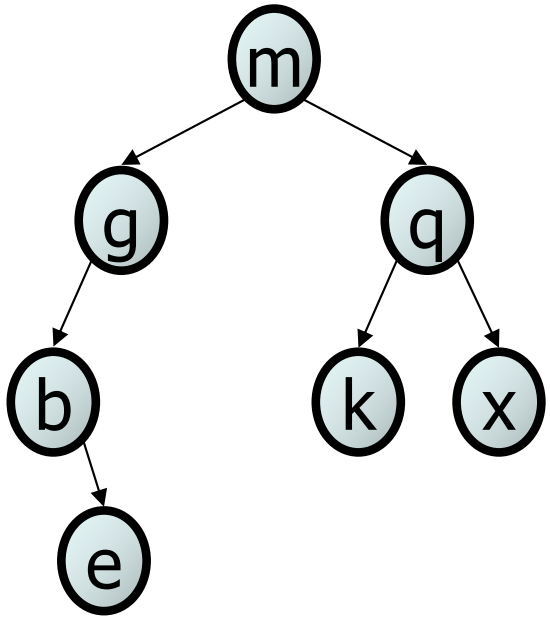
BST order constraint!

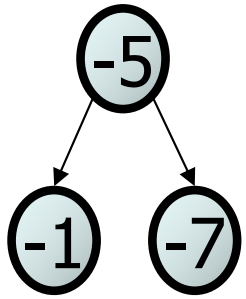
- BSTs store their elements in sorted order, which is helpful for searching/sorting tasks.

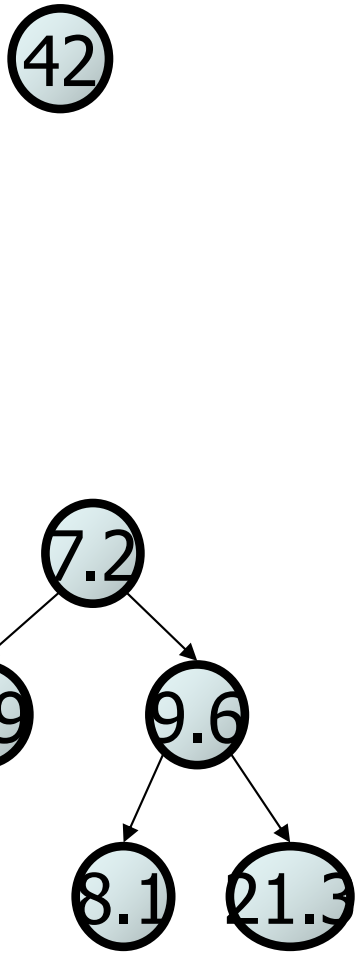


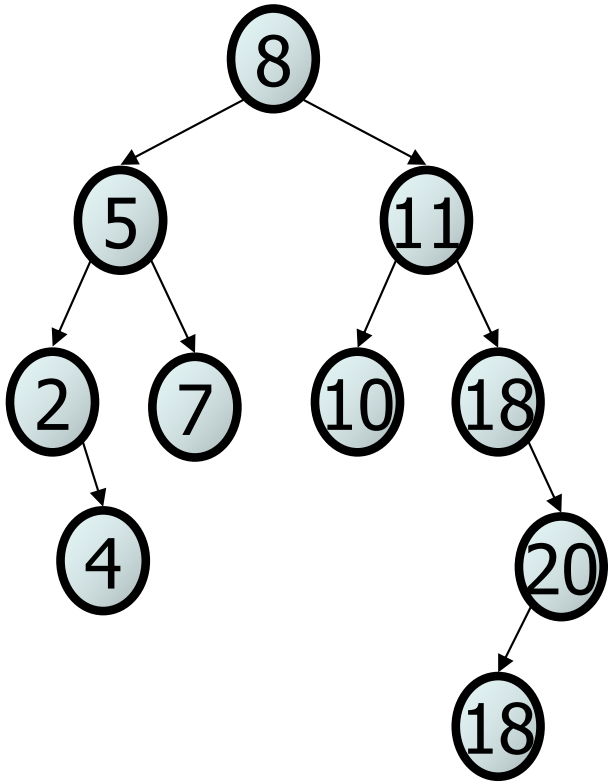
Exercise

- Which of the trees shown are legal binary search trees?



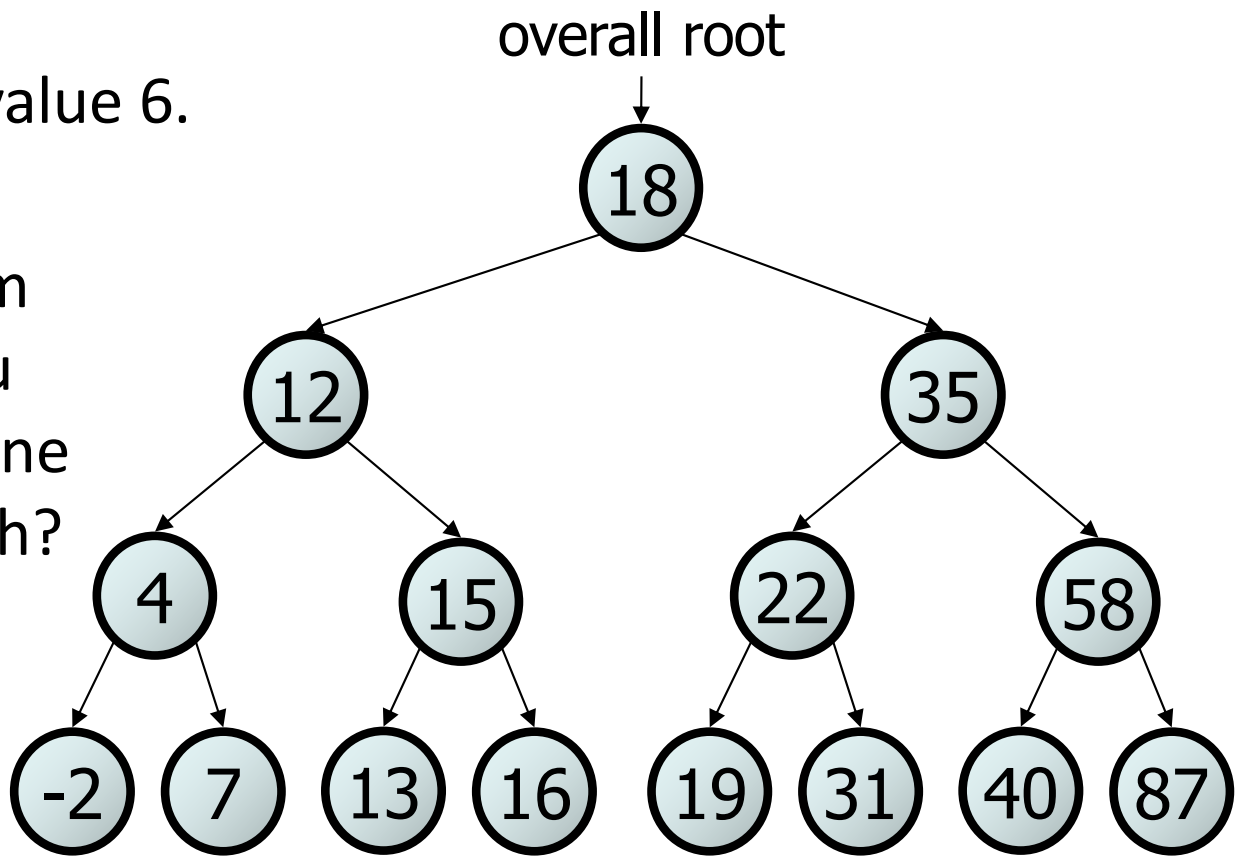






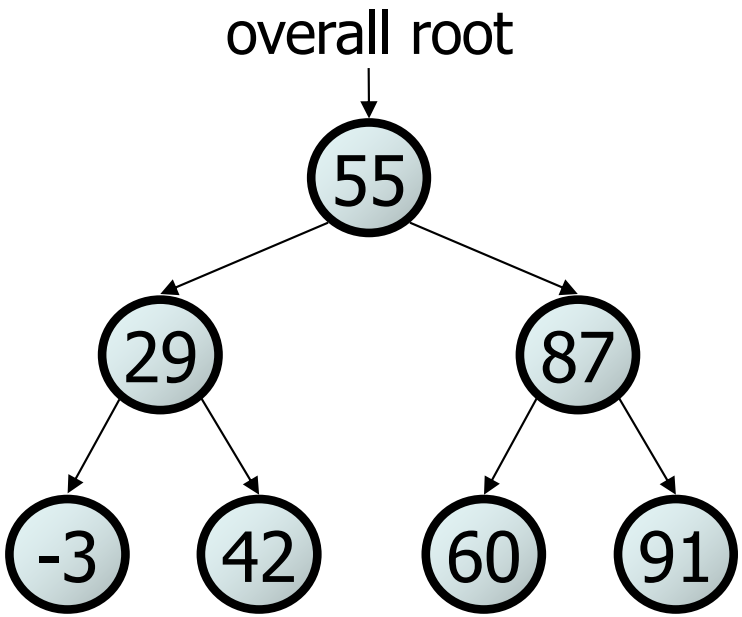
Searching a BST

- Describe an algorithm for searching the tree below for the value 31.
- Then search for the value 6.
- What is the maximum number of nodes you would need to examine to perform any search?



Exercise

- Convert the `BinaryTree` class into a `BinarySearchTree` class.
  - The elements of the tree will constitute a legal binary search tree.
- Add a method `contains` to the `BinarySearchTree` class that searches the tree for a given integer, returning `true` if found.
  - If a `BinarySearchTree` variable `tree` referred to the tree below, the following calls would have these results:
    - `tree.contains(29)` → `true`
    - `tree.contans(55)` → `true`
    - `tree.contans(63)` → `false`
    - `tree.contans(35)` → `false`

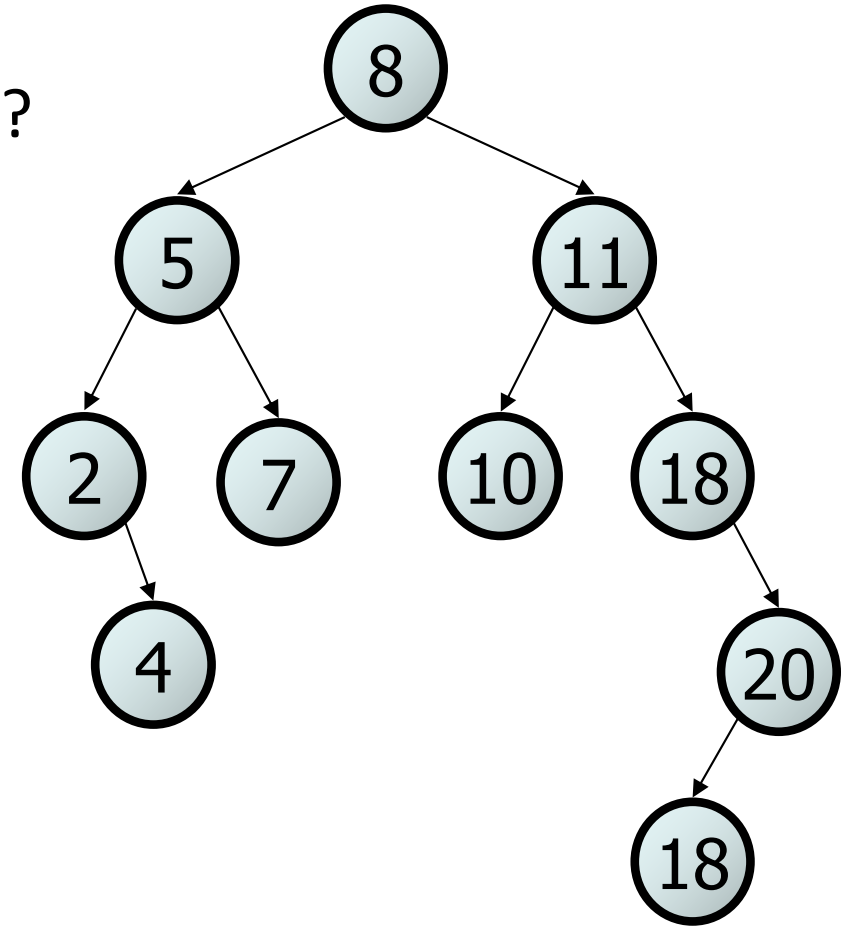


Exercise solution

```
// Returns whether this tree contains the given
   integer.
public boolean contains(int value) {
    return contains(overallRoot, value);
}

private boolean contains(BinaryNode node, int value) {
    if (node == null) {
        return false;
    } else if (node.data == value) {
        return true;
    } else if (node.data > value) {
        return contains(node.left, value);
    } else {   // root.data < value
        return contains(node.right, value);
    }
}
```

Adding to a BST

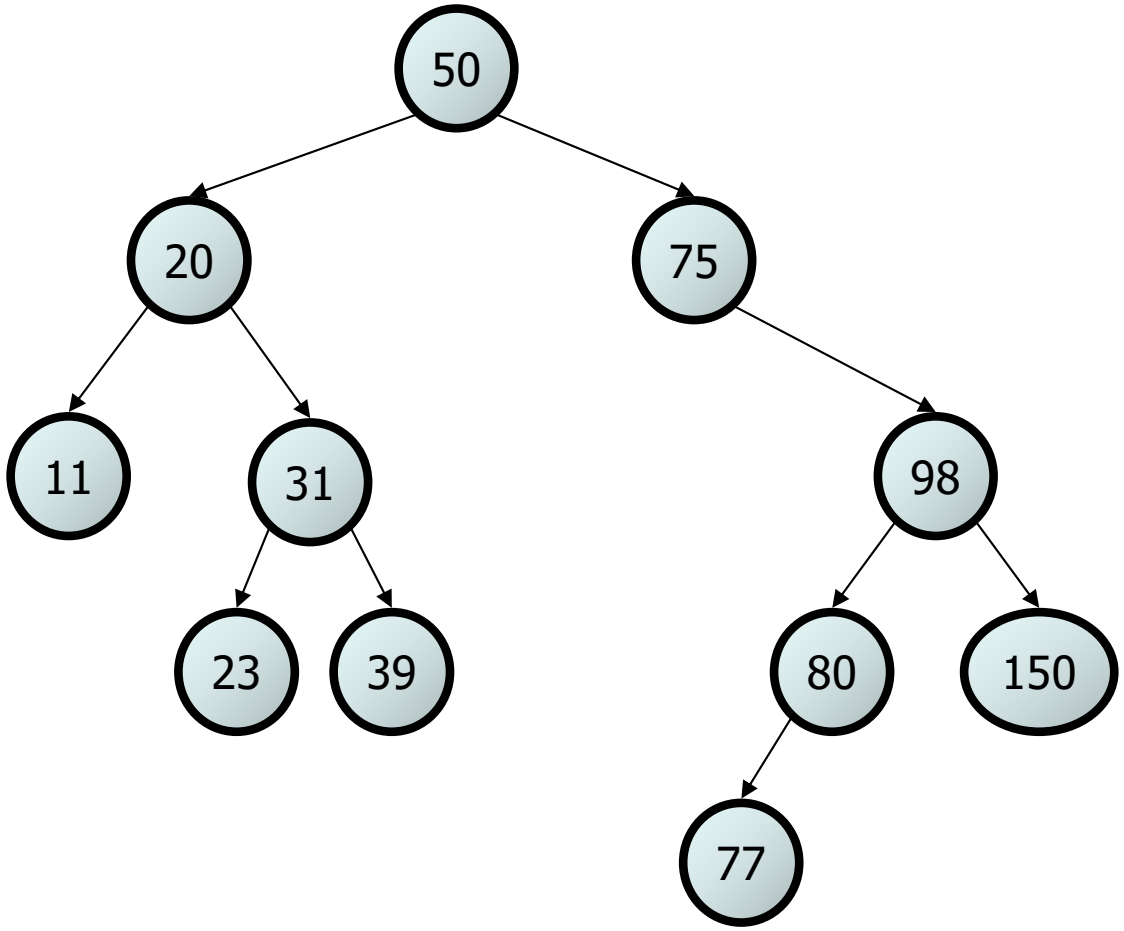
- Suppose we want to add the value 14 to the BST below.
  - Where should the new node be added?
- Where would we add the value 3?
- Where would we add 7?
- If the tree is empty, where should a new value be added?
- What is the general algorithm?



Adding exercise

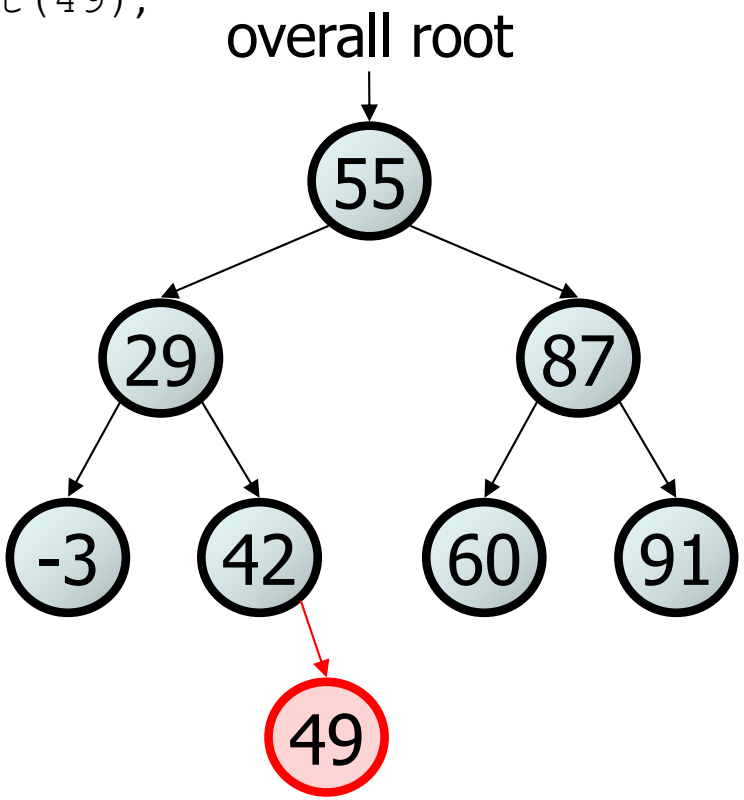
- Draw what a binary search tree would look like if the following values were added to an initially empty tree in this order:

50
20
75
98
80
31
150
39
23
11
77



Exercise

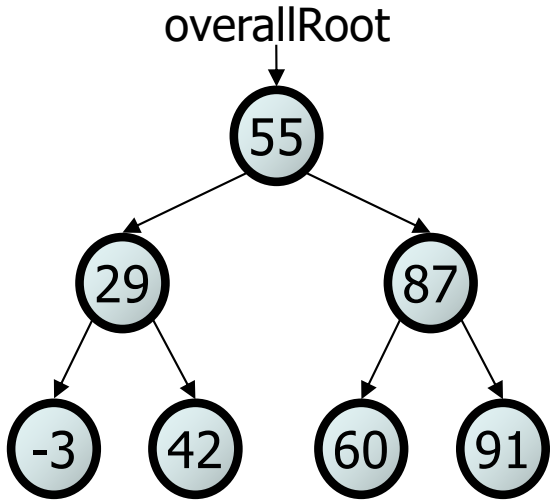
- Examine the methods `insert` in the `BinarySearchTree` class that adds a given integer value to the tree. Assume that the elements of the `BinarySearchTree` constitute a legal binary search tree, and add the new value in the appropriate place to maintain ordering.
  - `tree.insert(49);`



An incorrect solution

```
// Adds the given value to this BST in sorted order.
public void insert(AnyType x)
{
   root = insert(x, root);
}

private void insert(BinaryNode node, AnyType value) {
    if (node == null) {
        node = new BinaryNode(value);
    } else if (node.data > value) {
        insert(node.left, value);
    } else if (node.data < value) {
        insert(node.right, value);
    }
    // else node.data == value, so
    // it's a duplicate (don't add)
}
```

- Why doesn't this solution work?

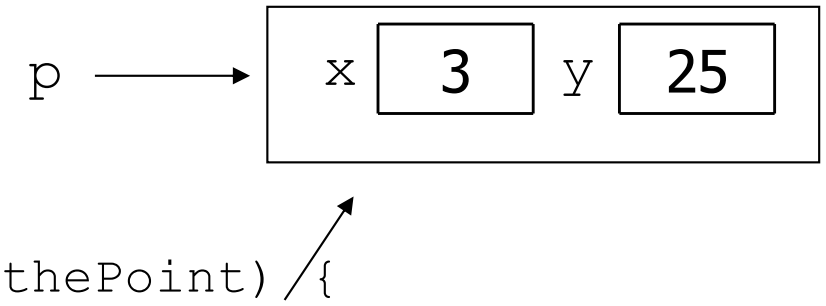


The x = change(x) pattern

A tangent: Change a point

- What is the state of the object referred to by `p` after this code?

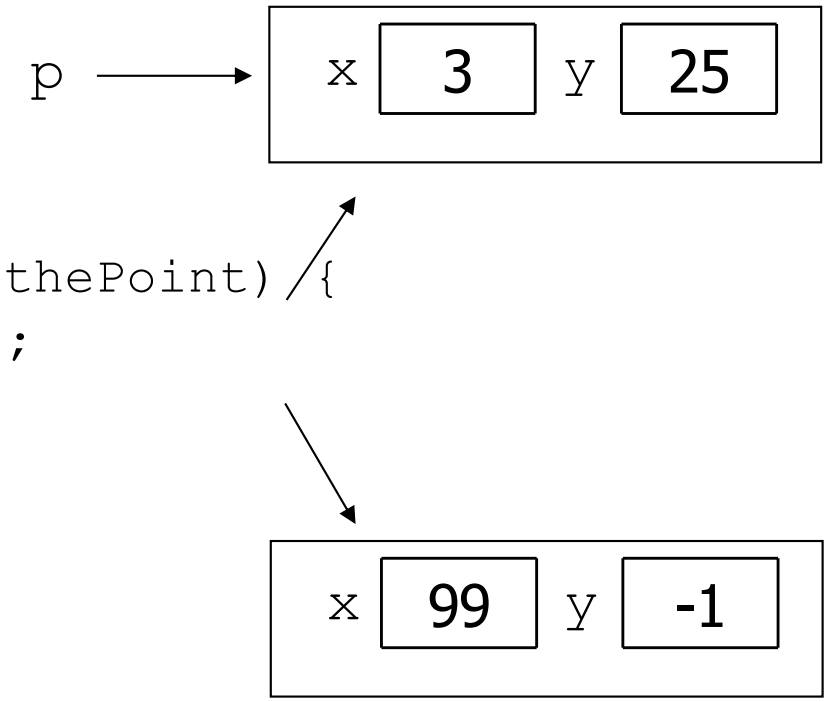
```
public static void main(String[] args) {
    Point p = new Point(3, 25);
    change(p);
    System.out.println(p);
}

public static void change(Point thePoint) {
    thePoint.x = 99;
    thePoint.y = -1;
}

// answer: (99, -1)
```



Change point, version 2

- What is the state of the object referred to by `p` after this code?

```
public static void main(String[] args) {
    Point p = new Point(3, 25);
    change(p);
    System.out.println(p);
}

public static void change(Point thePoint) {
    thePoint = new Point(99, -1);
}


// answer: (3, 25)
```



Changing references

- If a method *dereferences a variable* (with `.`) and modifies the object it refers to, that change will be seen by the caller.

```
public static void change(Point thePoint) {
    thePoint.x = 99;                    // affects p
    thePoint.setY(-12345);              // affects p
```

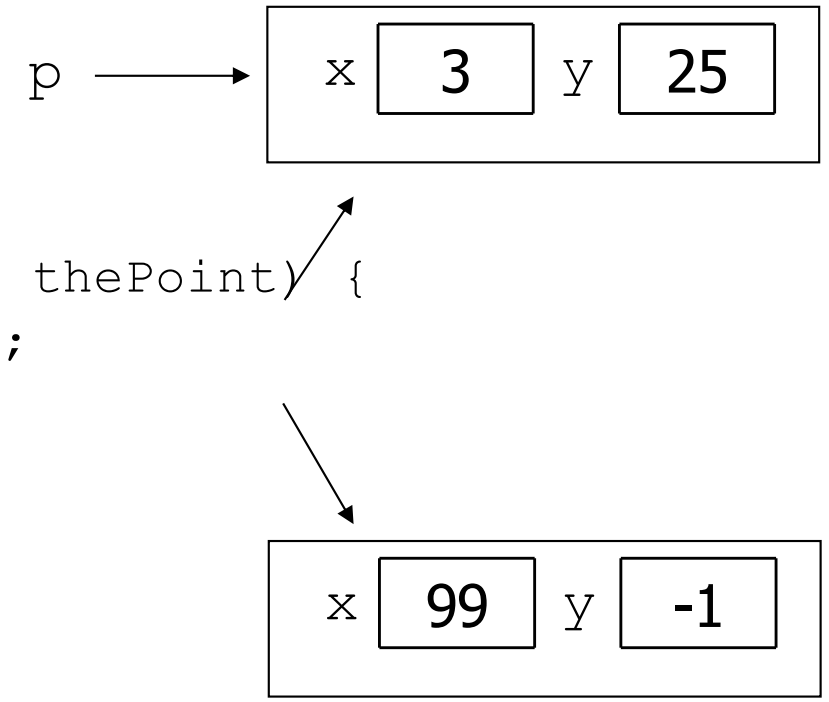
- If a method *reassigns a variable to refer to a new object,* that change will *not* affect the variable passed in by the caller.

```
public static void change(Point thePoint) {
    thePoint = new Point(99, -1);   // p unchanged
    thePoint = null;                // p unchanged
```

Change point, version 3

- What is the state of the object referred to by `p` after this code?

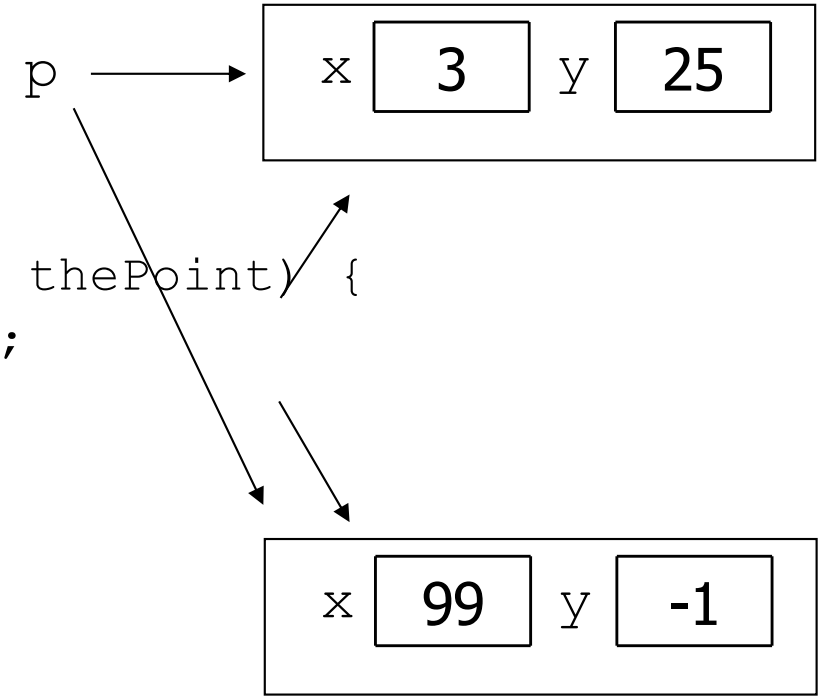
```
public static void main(String[] args) {
    Point p = new Point(3, 25);
    change(p);
    System.out.println(p);
}

public static Point change(Point thePoint) {
    thePoint = new Point(99, -1);
    return thePoint;
}

// answer: (3, 25)
```



Change point, version 4

- What is the state of the object referred to by `p` after this code?

```
public static void main(String[] args) {
    Point p = new Point(3, 25);
    p = change(p);
    System.out.println(p);
}

public static Point change(Point thePoint) {
    thePoint = new Point(99, -1);
    return thePoint;
}

// answer: (99, -1)
```



x = change(x);

- If you want to write a method that can change the object that a variable refers to, you must do three things:
  1. **pass** in the original state of the object to the method
  2. **return** the new (possibly changed) object from the method
  3. **re-assign** the caller's variable to store the returned result

```
p = change(p);     // in main

public static Point change(Point thePoint) {
    thePoint = new Point(99, -1);
    return thePoint;
```

- We call this general algorithmic pattern **x = change(x);**

x = change(x) and strings

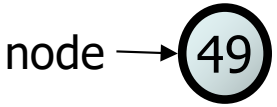
- String methods that modify a string actually return a new one.
  - If we want to modify a string variable, we must re-assign it.

```
String s = "lil bow wow";
s.toUpperCase();
System.out.println(s);    // lil bow wow
s = s.toUpperCase();
System.out.println(s);    // LIL BOW WOW
```

- We use x = change(x) in methods that modify a binary tree.
  - We will **pass** in a node as a parameter and **return** a node result.
  - The node passed in must be **re-assigned** via x = change(x).

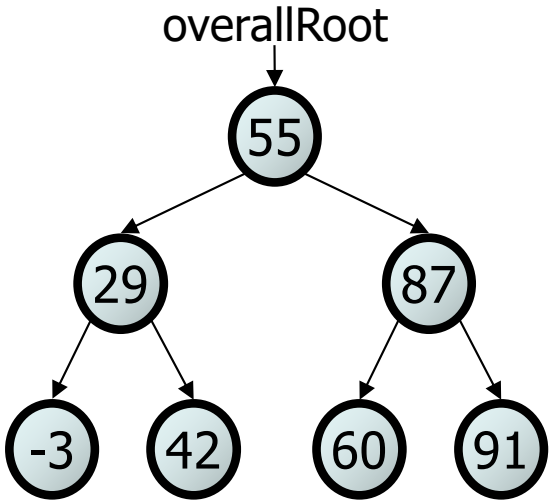
The problem

- Much like with linked lists, if we just modify what a local variable refers to, it won't change the collection.



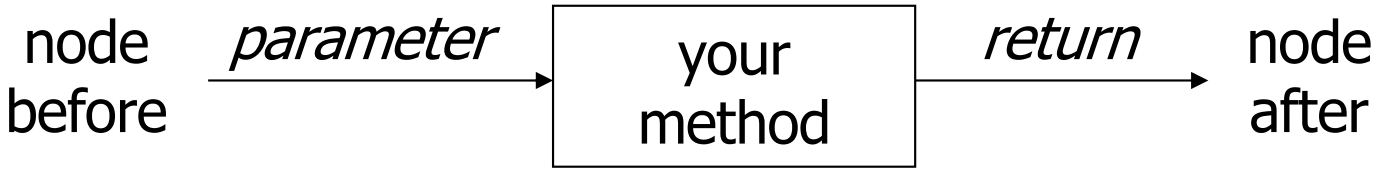

```
private void insert(BinaryNode node, AnyType value) {
    if (node == null) {
        node = new BinaryNode(value);
    }
```

  - In the linked list case, how did we actually modify the list?
    - by changing the `front`
    - by changing a node's `next` field



Applying x = change(x)

- Methods that modify a tree should have the following pattern:
  - input (parameter): old state of the node
  - output (return): new state of the node



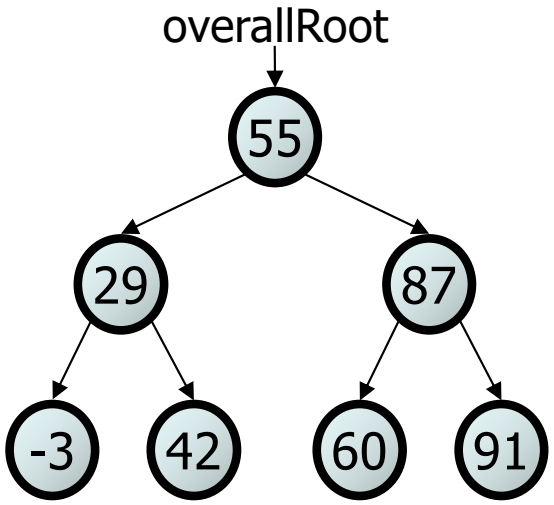
- In order to actually change the tree, you must reassign:

```
node         = change(node, parameters);
node.left    = change(node.left, parameters);
node.right   = change(node.right, parameters);
overallRoot = change(overallRoot, parameters);
```

A correct solution

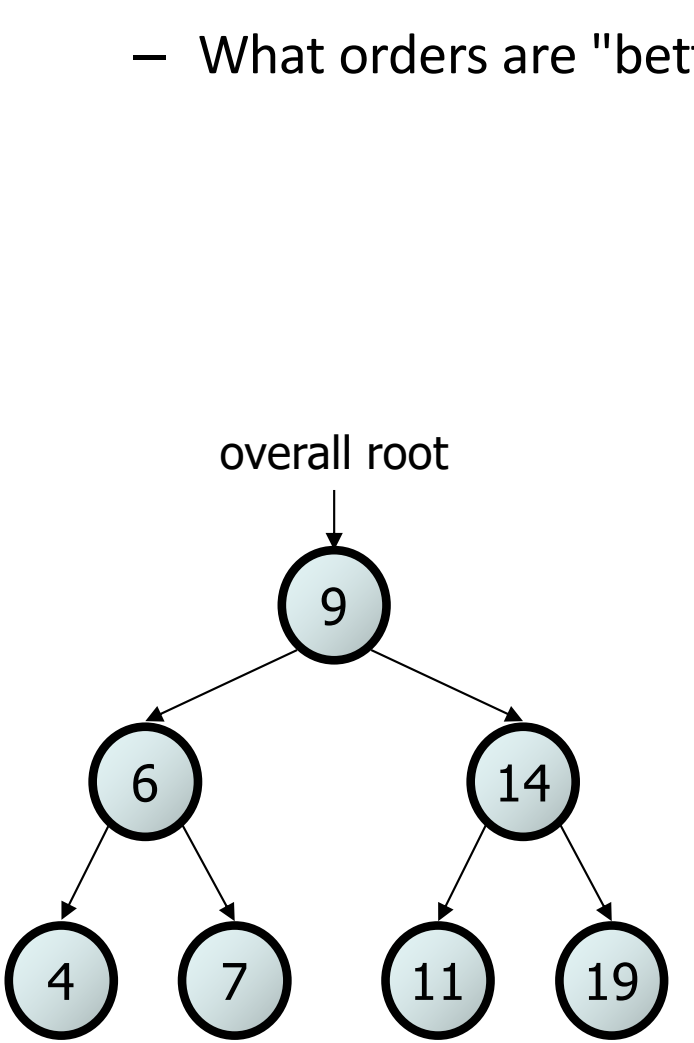
```
// Adds the given value to this BST in sorted order.
public void insert(AnyType value) {
    overallRoot = add(overallRoot, value);
}

private BinaryNode add(BinaryNode node, AnyType value)
   {
    if (node == null) {
        node = new BinaryNode(value);
    } else if (node.data > value) {
        node.left = insert(node.left, value);
    } else if (node.data < value) {
        node.right = insert(node.right, value);
    } // else a duplicate

    return node;
}
```

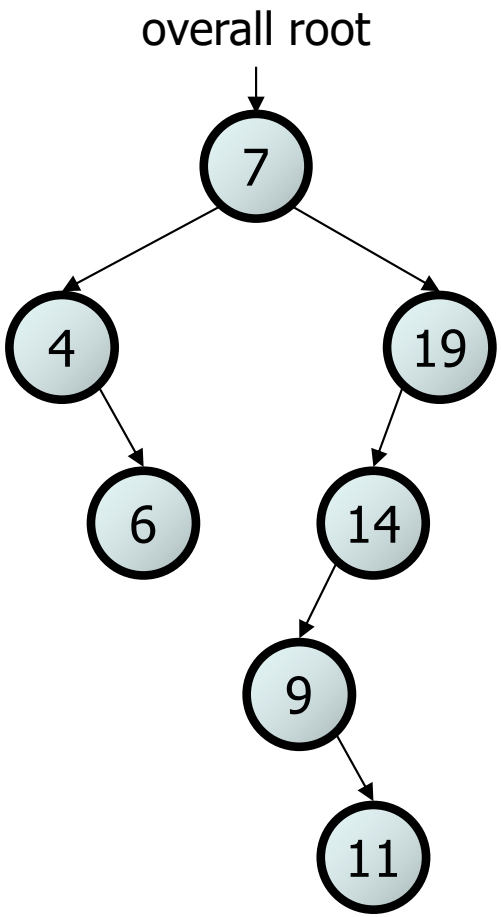
- Think about the case when `node` is a leaf...

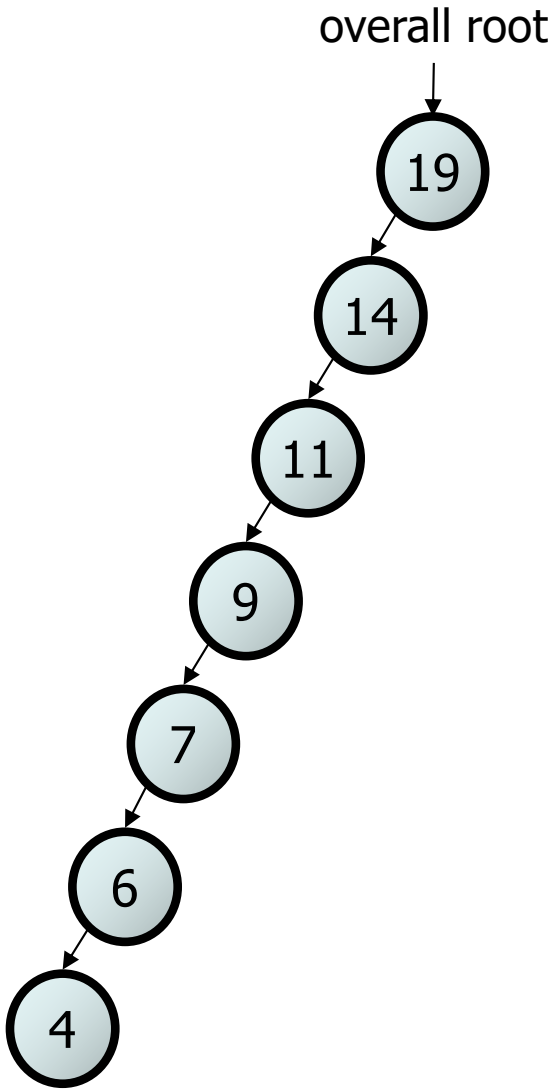


Searching BSTs

- The BSTs below contain the same elements.
  - What orders are "better" for searching?



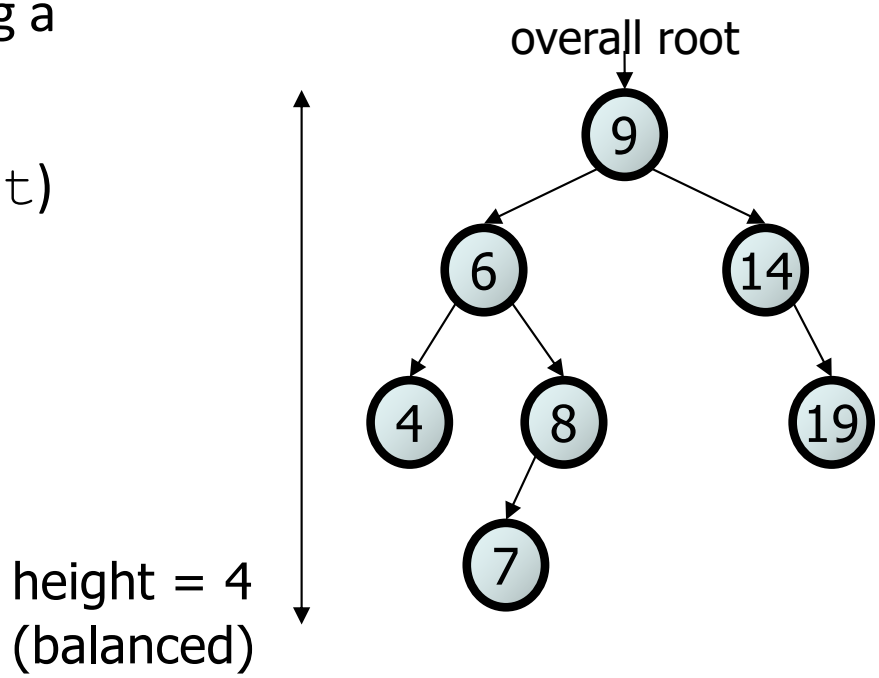




Trees and balance

- **balanced tree**: One whose subtrees differ in height by at most 1 and are themselves balanced.
  - A balanced tree of N nodes has a height of ~ $\log_2$ N.
  - A very unbalanced tree can have a height close to N.

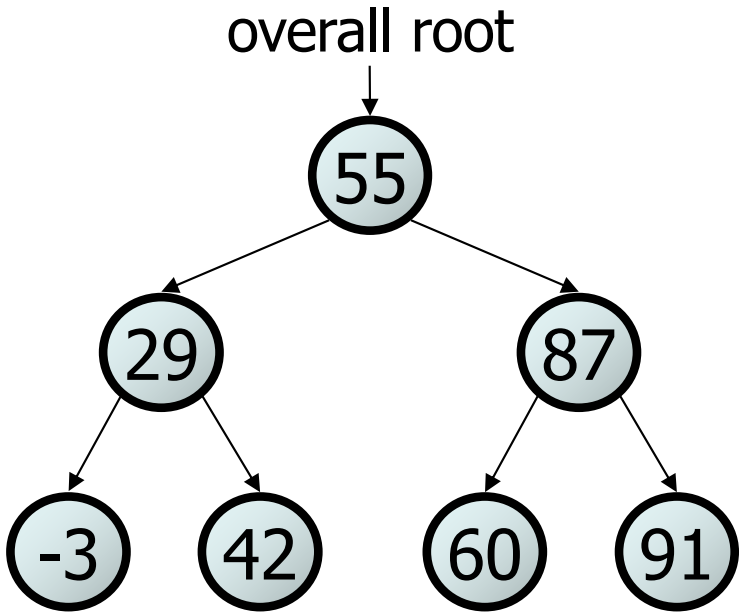
  - The runtime of adding to / searching a BST is closely related to height.
  - Some tree collections (e.g. `TreeSet`) contain code to balance themselves as new nodes are added.



Exercise

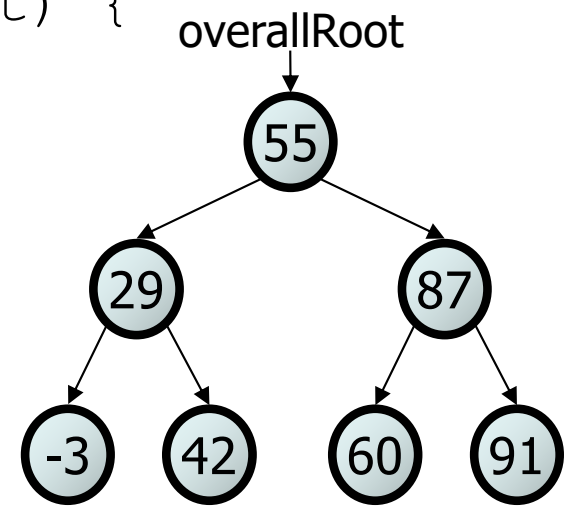
- Examine the method `findMin` in the `BinarySearchTree` class that returns the minimum integer value from the tree. Assume that the elements of the `BinarySearchTree` constitute a legal binary search tree. Throw a `NoSuchElementException` if the tree is empty.

```
AnyType min = tree.findMin();  // -3
```



Recursive findMin()

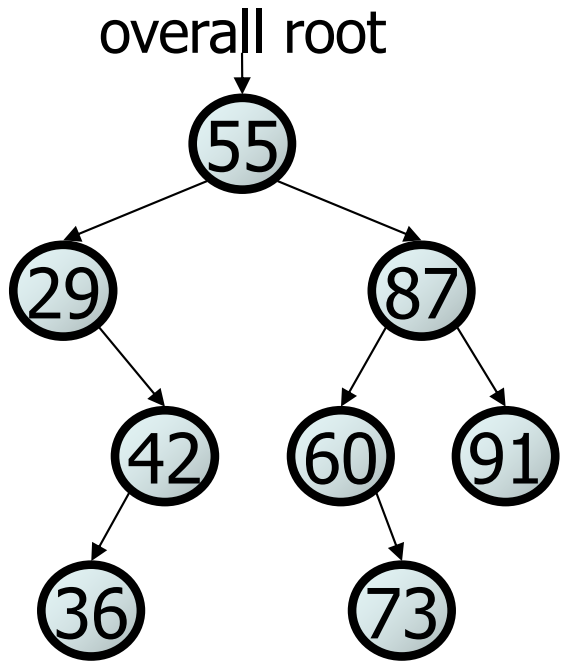
```
// Returns the minimum value from this BST.
// Throws a NoSuchElementException if the tree is
   empty.
public AnyType findMin() {
    if (overallRoot == null) {
        throw new NoSuchElementException();
    }
    return findMin(overallRoot);
}

private AnyType findMin(BinaryNode root) {
    if (root.left == null) {
        return root.data;
    } else {
        return findMin(root.left);
    }
}
```



Exercise

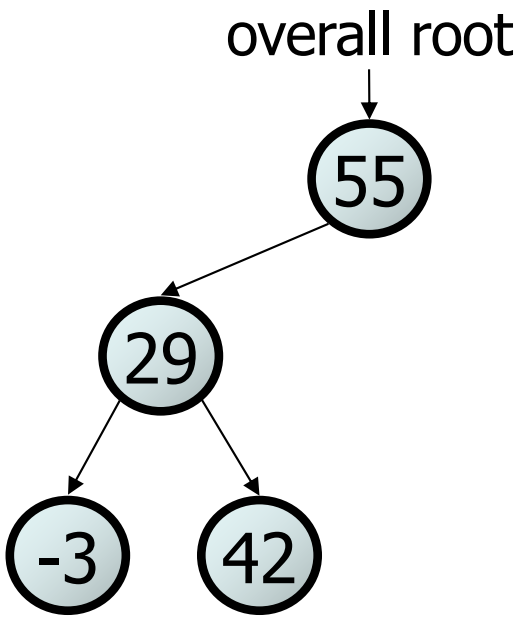
- Examine the methods `remove` in the `BinarySearchTree` class that removes a given value from the tree, if present. Draw the tree after each remove statement.

  - `tree.remove(73);`
  - `tree.remove(29);`
  - `tree.remove(87);`
  - `tree.remove(55);`

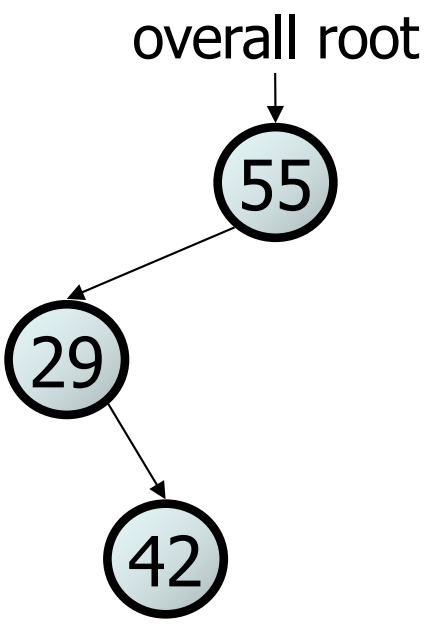


Cases for removal 1

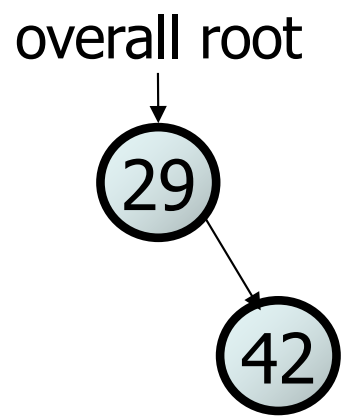
1. a leaf: replace with `null`
2. a node with a left child only: replace with left child
3. a node with a right child only: replace with right child



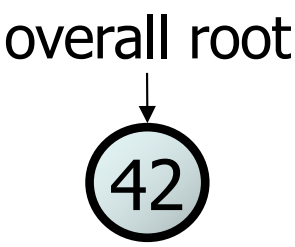
`tree.remove(-3);`



`tree.remove(55);`

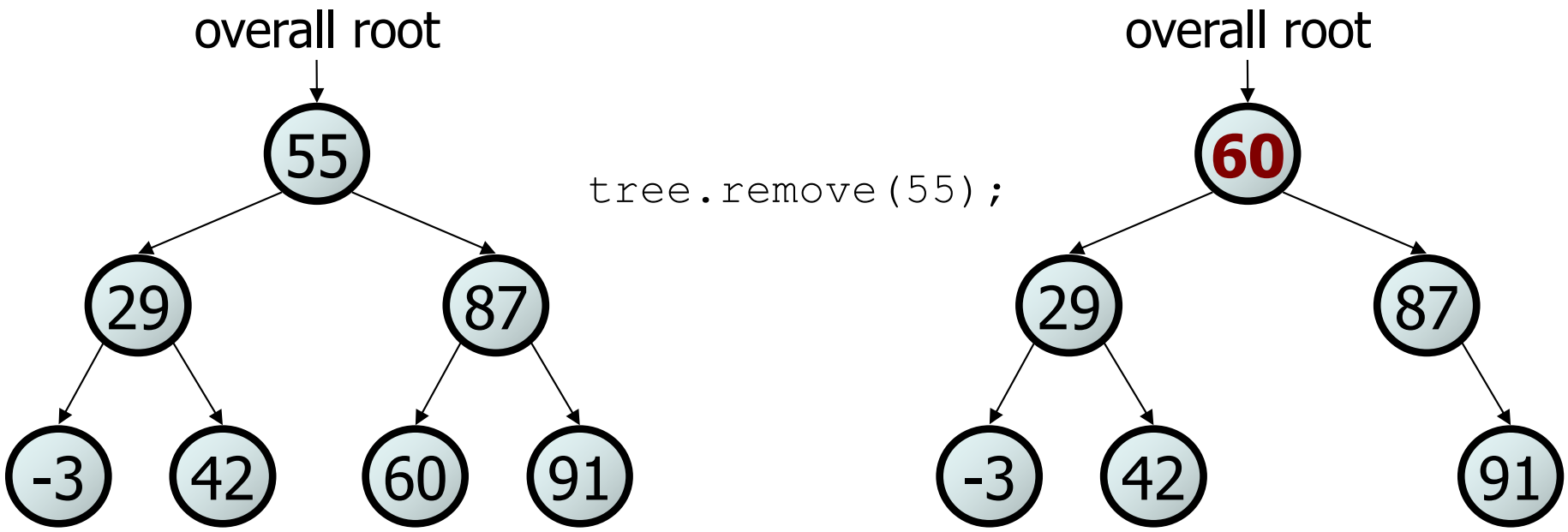


`tree.remove(29);`



Cases for removal 2

4. a node with **both** children: replace with **min from right**



Remove()

```
// Removes the given value from this BST, if it exists.
public void remove(AnyType value) {
    overallRoot = remove(overallRoot, value);
}

private BinaryNode remove(BinaryNode root, AnyType value) {
    if (root == null) {
        return null;
    } else if (root.data > value) {
        root.left = remove(root.left, value);
    } else if (root.data < value) {
        root.right = remove(root.right, value);
    } else {  // root.data == value; remove this node
        if (root.right == null) {
            return root.left;     // no R child; replace w/ L
        } else if (root.left == null) {
            return root.right;    // no L child; replace w/ R
        } else {
            // both children; replace w/ min from R
            root.data = findMin(root.right);
            root.right = remove(root.right, root.data);
        }
    }
    return root;
}
```

Lab Activities

- Lab 9
- After class reading assignments
  - Chapter 19
  - ***BinaryNode.java***
  - ***BinarySearchTree.java***
  - ***BinarySearchTreeWithRank.java***