

# Algebraic Topology

## Lecture notes

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This is a draft. In particular, most of figures are missing. If you spot a mistake, please let me know.

TODO:

- Add an appendix on chain complexes.

# Contents

<b>1</b>	<b>Introduction</b>	<b>2</b>
1.1	Differential forms, the theorems of Green and Stokes . . . . .	2
1.2	Ansatz of a construction. . . . .	3
1.3	The theorem of Brouwer . . . . .	5
1.4	The degree of a continuous map and the fundamental theorem of algebra . . . .	6
<b>2</b>	<b>Singular homology</b>	<b>8</b>
2.1	Free abelian groups . . . . .	8
2.2	Singular simplexes . . . . .	8
2.3	Some properties of the homology groups . . . . .	11
2.4	Homotopies and homology groups . . . . .	13

# Chapter 1

## Introduction

The main purpose of this chapter is to explain informally the main ideas which will be developed in details later. In particular, the proofs are rather sketchy stressing main ideas only. More precise statements and proofs will be given in the subsequent chapters.

### 1.1 Differential forms, the theorems of Green and Stokes

Let  $\omega = P(x, y)dx + Q(x, y)dy$  be a 1-form on an open subset  $U \subset \mathbb{R}^2$ . For example, if  $f: U \rightarrow \mathbb{R}$  is a smooth map, then the differential  $df = \frac{\partial f}{\partial x}dx + \frac{\partial f}{\partial y}dy$  is a 1-form.

**Question 1.1.** Under which circumstances does there exist some function  $f$  as above such that  $\omega = df$ ?

Clearly, we have the following necessary condition:

$$\frac{\partial P}{\partial y} = \frac{\partial Q}{\partial x}. \quad (1.2)$$

**Proposition 1.3.** *If  $U$  is convex, then (1.2) is also sufficient.*

*Sketch of proof.* Theorem of Green  $\implies$  For any closed piecewise smooth curve  $C \subset U$  without self-intersections we have

$$\int_C (P dx + Q dy) = \iint_D \left( \frac{\partial Q}{\partial x} - \frac{\partial P}{\partial y} \right) dx dy = 0, \quad (1.4)$$

where  $D$  is the domain bounded by  $C$ . Notice that here we use the convexity of  $U$ , since otherwise  $C$  does not necessarily bound any domain.

Pick any  $(x_0, y_0) \in U$ . For any  $(x, y) \in U$  choose a curve  $C'$  connecting  $(x_0, y_0)$  and  $(x, y)$ . Define

$$f(x, y) := \int_{C'} P dx + Q dy.$$

Property (1.4) guaranties that  $f$  does not depend on the choice of  $C'$ . □

The following example shows that (1.2) is not sufficient for general  $U$ .

**Example 1.5.** Consider  $U = \mathbb{R}^2 \setminus \{0\}$  and

$$\omega = -\frac{y}{x^2 + y^2}dx + \frac{x}{x^2 + y^2}dy.$$

If there were some  $f$  such that  $\omega = df$ , then we would have  $\int_{S^1} \omega = 0$ , where  $S^1$  is the circle (for example, parametrized via  $t \mapsto (\cos t, \sin t)$ ). This is a contradiction, since  $\int_{S^1} \omega = 2\pi \neq 0$ .

Notice that the proof of Proposition 1.2 does not work here, since the theorem of Green does not apply for  $(D, \omega)$ , where  $D$  is the unit disc.

*Remark 1.6.* One can show that for any closed piecewise smooth curve  $C \subset \mathbb{R}^2 \setminus \{0\}$  we have

$$\frac{1}{2\pi} \int_C \left( -\frac{y}{x^2 + y^2} dx + \frac{x}{x^2 + y^2} dy \right)$$

is an integer.

Let  $U$  be an open subset of  $\mathbb{R}^3$  and  $\omega = P dx + Q dy + R dz$  be a 1-form. We can also ask whether  $\omega = df$  for some  $f: U \rightarrow \mathbb{R}$ . Clearly, we have the following necessary condition:

$$\frac{\partial R}{\partial y} = \frac{\partial Q}{\partial z}, \quad \frac{\partial P}{\partial z} = \frac{\partial R}{\partial x}, \quad \text{and} \quad \frac{\partial Q}{\partial x} = \frac{\partial P}{\partial y}. \quad (1.7)$$

**Proposition 1.8.** *If  $U$  is convex, then (1.7) is also sufficient.*

The proof of this proposition is analogous to the proof of the previous one. Just instead of the theorem of Green we have to use the theorem of Stokes:

$$\int_C P dx + Q dy + R dz = \iint_{\Sigma} \left( \frac{\partial R}{\partial y} - \frac{\partial Q}{\partial z} \right) dy dz + \left( \frac{\partial P}{\partial z} - \frac{\partial R}{\partial x} \right) dz dx + \left( \frac{\partial Q}{\partial x} - \frac{\partial P}{\partial y} \right) dx dy.$$

**Proposition 1.9.** *Condition (1.7) is also sufficient for  $\mathbb{R}^3 \setminus \{0\}$ .*

*Sketch of proof.* Let  $C \subset \mathbb{R}^3$  be an arbitrary simple piecewise smooth curve without self-intersections. Then there is a piecewise smooth surface  $\Sigma \subset \mathbb{R}^3$  such that  $\partial \Sigma = C$ . If  $0 \in \Sigma$ , a (small) perturbation yields a surface  $\Sigma' \subset \mathbb{R}^3 \setminus \{0\}$  such that  $\partial \Sigma' = C$ .  $\square$

For a general  $U$ , Condition (1.7) is still insufficient, which is easily seen for the following example:  $U = \mathbb{R}^3 \setminus \{z - \text{Axis}\}$  and

$$\omega = -\frac{y}{x^2 + y^2} dx + \frac{x}{x^2 + y^2} dy.$$

From this discussion we can make the following informal conclusion: Condition (1.7) is sufficient as long as  $U$  has no “holes” of codimension 2.

## 1.2 Ansatz of a construction.

Let  $X \subset \mathbb{R}^n$  be an arbitrary subset, which is equipped with the induced topology. Define  $Z_1(X)$  as a free Abelian group generated by (oriented) closed curves, i.e.,

$$C \in Z_1(X) \implies C = n_1 C_1 + \dots + n_k C_k, \quad (1.10)$$

where  $n_j \in \mathbb{Z}$ . Define

$$\int_C \omega := \sum n_k \int_{C_k} \omega.$$

**Remark 1.11.** If  $C_0$  is a closed oriented curve,  $2C_0$  can be understood as “running along  $C_0$  twice in the same direction”. Similarly,  $-C_0$  can be understood as the curve  $C_0$  with the opposite orientation. However, in most cases we treat (1.10) purely formally.

Assume temporarily that  $X$  is an *open* subset of  $\mathbb{R}^2$ . We would like to define an equivalence relation such that

$$C \sim C' \implies \int_C \omega = \int_{C'} \omega$$

holds for all  $\omega = P dx + Q dy$  satisfying (1.2). The theorem of Green (or Stokes in the case  $U \subset \mathbb{R}^3$ ) suggests the following:

$$C \sim C' \iff \exists \text{ a compact oriented surface } \Sigma \text{ such that } \partial \Sigma = C \cup -C'. \quad (1.12)$$

Here  $C$  and  $C'$  are oriented curves and  $\Sigma$  is an oriented surface such that  $\partial \Sigma = C \cup -C'$  as *oriented* curves. This definition also makes sense even in the case when  $X$  is not necessarily open.

More generally, a cycle  $C = C_1 + \cdots + C_k$  is called *null homologous*, i.e.,  $C \sim 0$ , if and only if

$$\exists \text{ a compact surface } \Sigma \text{ such that } \partial \Sigma = C_1 \cup \cdots \cup C_n.$$

Clearly, Condition (1.12) can be written as  $C + (-C') \sim 0$ .

**Example 1.13.** Null homologous cycles on the 2-sphere with 2 points removed (equivalently,  $\mathbb{R}^2 \setminus \{0\}$ ).

Even more generally, each linear combination of null homologous cycles is also declared to be null homologous.

$$Z_1(X) \supset B_1(X) = \{\text{null homologous cycles}\}.$$

$$H_1(X) := Z_1(X)/B_1(X) \text{ the first homology group of } X.$$

**Example 1.14.**  $H_1(S^2 \setminus \{p, q\}) \cong \mathbb{Z}$ .

**Problems:** Curves  $C$  and surfaces  $\Sigma$  can have singularities and self-intersections.

More generally:

- $Z_n(X)$  freely generated by compact oriented  $n$ -dimensional “surfaces” without boundary.
- $Z_n(X) \supset B_n(X)$  the subgroup generated by the boundaries of compact oriented  $(n+1)$ -dimensional “surfaces”.
- $H_n(X) := Z_n(X)/B_n(X)$  the  $n$ th homology group of  $X$ .

In general, we would like to associate to each topological space  $X$  a sequence of abelian groups  $H_0(X), H_1(X), \dots, H_n(X), \dots$  such that the following holds:

- Each continuous map  $f: X \rightarrow Y$  induces a sequence of homomorphisms  $f_*: H_n(X) \rightarrow H_n(Y)$ ;
- $(f \circ g)_* = f_* \circ g_*$ ,  $id_* = id$ .
- $H_0(\{pt\}) \cong \mathbb{Z}$  and  $H_n(\{pt\}) = 0$  for all  $n \geq 1$ .
- $H_n(S^n) \cong \mathbb{Z}$  provided  $n \geq 1$  and  $H_k(S^n) = 0$  for all  $k \geq n+1$  (More generally, for each compact connected oriented manifold  $M$  of dimension  $n$  the following holds:  $H_n(M) \cong \mathbb{Z}$  and  $H_k(M) = 0$  for all  $k > n+1$ ).

$$(e) \ f \simeq g \implies f_* = g_*.$$

Here two continuous maps are said to be homotopic ( $f \simeq g$ ), if there exists a continuous map  $h: X \times [0, 1]$ , called homotopy, such that the following holds:

$$h|_{X \times 0} = f \quad \text{and} \quad h|_{X \times 1} = g.$$

**Question 1.15.** What does make Properties (a)-(e) interesting?

This question will be answered in the subsequent sections. We finish this section by the following facts, which will be useful below.

**Proposition 1.16.** *If  $f$  is a homeomorphism, then each  $f_*: H_n(X) \rightarrow H_n(Y)$  is an isomorphism.*

*Proof.*  $id_{H_n} = id_* = (f \circ f^{-1})_* = f_* \circ (f^{-1})_* \implies f_*$  is an isomorphism and  $(f_*)^{-1} = (f^{-1})_*$ .  $\square$

## 1.3 The theorem of Brouwer

In this section we show that (a)-(e) imply the following famous result.

**Theorem 1.17** (Brouwer). *Any continuous map  $f: B_n \rightarrow B_n$  has a fixed point.*

*Proof.* The proof consists of the following three steps.

**Step 1.** *For the ball  $B_n := \{x \in \mathbb{R}^n \mid |x| \leq 1\}$  we have  $H_k(B_n) = 0$  for all  $k \geq 1$ .*

Let  $c: B_n \rightarrow \{0\}$  be the constant map. The map  $h(x, t) = tx$ ,  $t \in [0, 1]$  is a homotopy between  $id_B$  and  $\iota \circ c$ , where  $\iota: \{0\} \rightarrow B_n$  is the inclusion. Thus,  $id = \iota_* \circ c_* \implies H_k(B_n) = 0$  for all  $k \geq 1$ , since  $\text{Im } \iota_* = \{0\}$ .

**Step 2.** *There is no continuous map  $g: B_n \rightarrow \partial B_n = S^{n-1}$  such that  $g(x) = x$  holds for all  $x \in S^{n-1}$ .*

Assume  $n = 1$  first. In this case there is no continuous map  $g: [-1, 1] \rightarrow \{\pm 1\}$  as in the statement of this step, since the target space  $\{\pm 1\}$  is disconnected, whereas the interval  $[0, 1]$  is connected.

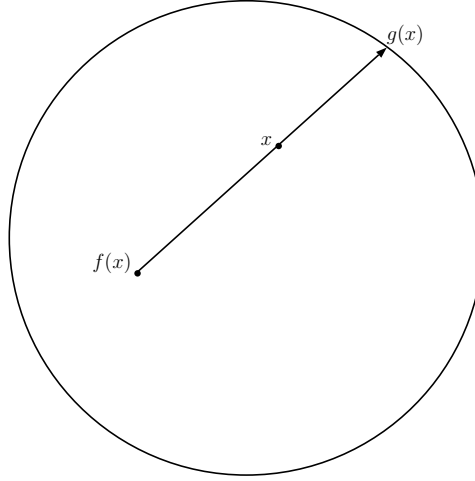
Let us consider now the case  $n \geq 2$ . Assume there is such  $g: B_n \rightarrow S^{n-1}$ . Then we have

$$\begin{aligned} id_{S^{n-1}} = g \circ \iota_{S^{n-1}} &\implies (id_{S^{n-1}})_* = g_* \circ (\iota_{S^{n-1}})_* = 0 \quad \text{on } H_{n-1}(S^{n-1}) \\ &\implies H_{n-1}(S^{n-1}) = 0. \end{aligned}$$

This contradiction proves Step 2.

**Step 3.** *We prove the theorem of Brouwer.*

Assume there exists a continuous map  $f: B_n \rightarrow B_n$  without fixed points. Then there also exists a continuous map  $g: B_n \rightarrow S^{n-1}$  such that  $g|_{S^{n-1}} = id$ :



This contradicts Step 2. □

## 1.4 The degree of a continuous map and the fundamental theorem of algebra

In this section we show that (a)-(e) imply that any non-constant polynomial with complex coefficients has at least one root. This statement is known as the fundamental theorem of algebra.

Thus, pick any  $n \geq 1$  and choose a generator  $\alpha \in H_n(S^n)$ , i.e., an element  $\alpha$  such that  $H_n(S^n) = \mathbb{Z} \cdot \alpha$ .

**Definition 1.18.** For any continuous map  $f: S^n \rightarrow S^n$  define  $\deg(f) \in \mathbb{Z}$  by

$$f_*\alpha = \deg(f)\alpha.$$

The degree of a map does not depend on the choice of a generator, since  $f_*(-\alpha) = -f_*\alpha = -\deg(f)\alpha = \deg(f)(-\alpha)$ .

**Lemma 1.19.** *The degree has the following properties:*

- (i)  $\deg(id) = 1$ ;
- (ii)  $\deg(f \circ g) = \deg f \cdot \deg g$ ;
- (iii)  $f \simeq g \implies \deg f = \deg g$ ;
- (iv)  $\deg(\text{const. map}) = 0$ .

□

**Lemma 1.20.** For  $S^1 := \{z \in \mathbb{C} \mid |z| = 1\}$  define  $f_n: S^1 \rightarrow S^1$  by  $f_n(z) = z^n$ , where  $n \in \mathbb{Z}$ . Then we have

$$\deg f_n = n.$$

*Idea of proof.* The curve

$$\alpha: [0, 2\pi] \rightarrow S^1, \quad \alpha(t) = \cos t + \sin t i = e^{ti},$$

generates  $H_1(S^1)$ . Since  $f_n \circ \alpha(t) = e^{nti} = \cos(nt) + \sin(nt)i$ , from the definition of the degree and Remark 1.11 we have  $\deg f_n = n$ . □

**Theorem 1.21** (The fundamental theorem of Algebra). *Each non-constant polynomial  $p(z) = z^n + a_{n-1}z^{n-1} + \dots + a_1z + a_0$ ,  $a_j \in \mathbb{C}$  has at least one complex root.*

*Proof.* Identify  $S^1$  with  $S_r^1 := \{z \in \mathbb{C} \mid |z| = r\} \cong S^1$  with the help of the homeomorphism

$$S^1 \rightarrow S_r^1, \quad z \mapsto rz.$$

The proof consists of the following three steps.

**Step 1.** *Let  $f: \mathbb{C} \rightarrow \mathbb{C}$  be a continuous map without zeros. Then for each  $r > 0$  the map*

$$\frac{f}{|f|}: S_r^1 \rightarrow S^1 \tag{1.22}$$

*is homotopic to the constant map.*

Indeed, a homotopy can be given explicitly by

$$F(z, t) = \frac{f(tz)}{|f(tz)|}, \quad z \in S^1, \quad t \in [0, r].$$

**Step 2.** *Let  $p(z) = z^n + a_{n-1}z^{n-1} + \dots + a_1z + a_0$  be a polynomial without zeros. Then there exists some  $R > 0$  such that the following holds:  $\forall r \geq R$  the restriction of  $p/|p|$  to  $S_r^1$  is homotopic to  $f_n$ .*

For all  $z \in \mathbb{C}$  such that  $|z| \geq 1$  we have

$$\begin{aligned} |a_{n-1}z^{n-1} + \dots + a_1z + a_0| &\leq |a_{n-1}||z|^{n-1} + \dots + |a_1||z| + |a_0| \\ &\leq n \max\{|a_{n-1}|, \dots, |a_1|, |a_0|\} |z|^{n-1} \end{aligned}$$

Choose  $R$  so that  $R > n \max\{|a_{n-1}|, \dots, |a_1|, |a_0|\}$  and  $R > 1$ . For all  $r \geq R$  and all  $t \in [0, 1]$  the polynomial

$$p_t(z) = z^n + t(a_{n-1}z^{n-1} + \dots + a_1z + a_0)$$

has no zeros on  $S_r^1$ , since

$$|a_{n-1}z^{n-1} + \dots + a_1z + a_0| < Rr^{n-1} \leq r^n, \quad \text{provided } |z| = r.$$

Then

$$P(z, t) = \frac{p_t(z)}{|p_t(z)|} \Big|_{S_r^1}$$

is a homotopy between  $p/|p|$  and  $f_n$  viewed as a map on  $S_r^1$ .

**Step 3.** *We prove the fundamental theorem of algebra.*

Assume  $p$  is a non-constant polynomial without zeros. Denote

$$q_r(z) = \frac{p(z)}{|p(z)|} \Big|_{S_r^1},$$

where  $r \geq R$ . Step 2  $\implies \deg q_r = n$ . Step 1  $\implies \deg q_r = 0$ , i.e.,  $n = 0$ . Thus,  $p$  is a constant polynomial, which is a contradiction.  $\square$



# Chapter 2

## Singular homology

### 2.1 Free abelian groups

An abelian group  $G$  is called free with a basis  $A \subset G$ , if  $\forall g \in G$  there exists a unique representation  $g = \sum_{a \in A} n_a a$ , where  $n_a \in \mathbb{Z}$  and  $n_a \neq 0$  for finitely many  $a \in A$  only.

Any set  $A$  generates an abelian group  $F(A)$ , which is free with a basis  $A$ . Indeed, define

$$F(A) := \{f: A \rightarrow \mathbb{Z} \mid f(a) \neq 0 \text{ nur für endlich viele } a \in A\}.$$

Clearly, the functions

$$f_a(x) = \begin{cases} 1 & x = a, \\ 0 & \text{sonst,} \end{cases} \quad a \in A$$

generate  $F(A)$ , that is  $F(A)$  is free with a basis  $A$ .

*Remark 2.1.* For any  $f \in F(A)$  we have

$$f = \sum_{a \in A} f(a) f_a.$$

In particular,  $F(A)$  can be viewed as the group of all *finite* formal linear combinations  $\sum_{a \in A} n_a a$ , where  $n_a \in \mathbb{Z}$ .

### 2.2 Singular simplexes

Let  $x_0, x_1, \dots, x_k$  be arbitrary points in  $\mathbb{R}^n$  such that  $x_1 - x_0, \dots, x_k - x_0$  are linearly independent.

**Definition 2.2.** The space

$$\Delta_k = \Delta(x_0, \dots, x_k) = \left\{ x = \sum_{i=0}^k t_i x_i \mid t_i \in [0, 1], \quad \sum_{i=0}^k t_i = 1 \right\}$$

is called *the (non-degenerate)  $k$ -simplex generated by  $x_0, \dots, x_k$* .

**Example 2.3.**

0) If  $k = 0$ , then  $\Delta(x_0) = \{x_0\}$ .

1) If  $k = 1$ , then  $\Delta(x_0, x_1)$  is a segment  $[x_0, x_1]$ .

2) If  $k = 2$ , then  $\Delta(x_0, x_1, x_2)$  is the triangle with the vertices  $x_0, x_1, x_2$ .

3) If  $k = 3$ , then  $\Delta(x_0, x_1, x_2, x_3)$  is a tetrahedron with the vertices  $x_0, x_1, x_2, x_3$ .

**Remark 2.4.** The representation  $x = \sum_{i=0}^k t_i x_i$  of a point in  $\Delta_k$  is unique. Indeed,  $\sum t_i x_i = \sum s_i x_i$ ,  $\sum t_i = 1 = \sum s_i \implies$

$$0 = \sum (t_i - s_i) x_i = \sum (t_i - s_i) x_i - \sum (t_i - s_i) x_0 = \sum (t_i - s_i) (x_i - x_0) \implies t_i = s_i.$$

The coefficients  $(t_0, t_1, \dots, t_k) \in [0, 1]^{k+1}$  are called *the barycentric coordinates* of the point  $x \in \Delta_k$ . In particular, each  $k$ -simplex is homeomorphic to the standard  $k$ -simplex

$$\Delta^k := \Delta(e_1, \dots, e_k, e_{k+1}) \subset \mathbb{R}^{k+1},$$

where  $e_1, \dots, e_{k+1}$  is the standard basis of  $\mathbb{R}^{k+1}$ .

It is customary to drop the adjective “non-degenerate” when referring to simplexes. Sometimes degenerate simplexes (in the sense that  $x_1 - x_0, \dots, x_k - x_0$  may be linearly dependent) do appear below. Typically, this poses no problems, however the barycentric coordinates are ill defined in this case.

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From now on we pick one simplex in each dimension, for example the standard one.

**Definition 2.5.** Let  $X$  be a topological space. A *singular  $k$ -simplex* in  $X$  is a continuous map  $f: \Delta^k \rightarrow X$ .

In particular, a singular 0-simplex in  $X$  can be viewed as a point in  $X$ , a singular 1-simplex as a path in  $X$  etc.

**Remark 2.6.** The map  $f$  in the above definition does not need to be injective. In particular, the image of  $f$  may be (highly) singular.

For a singular  $k$ -simplex  $f: \Delta^k \rightarrow X$  the  $(k-1)$ -simplex defined by

$$\partial^i f: \Delta^{k-1} \rightarrow X, \quad \partial^i f(t_0, \dots, t_{k-1}) = f(t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{k-1})$$

is called *the  $i$ th face* of  $f$ .

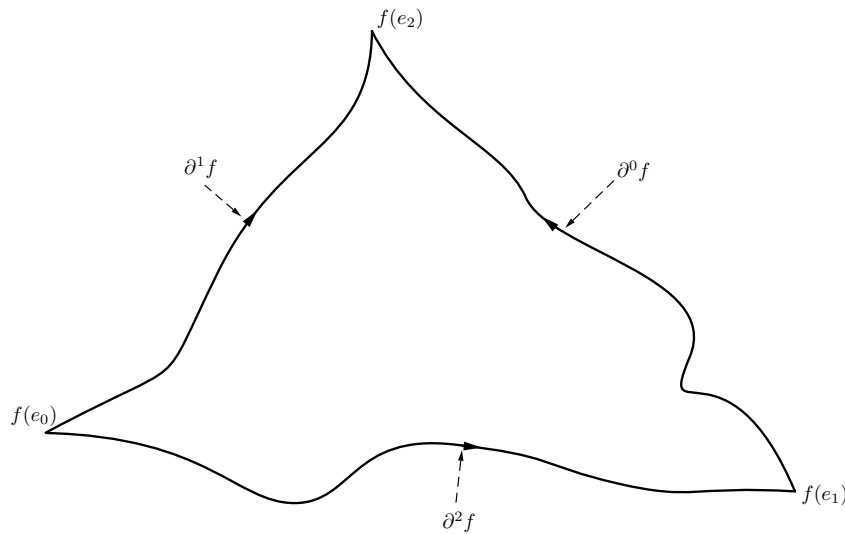


Figure 2.1: Faces of a singular simplex

**Definition 2.7.** Denote by  $S_k(X)$  the free abelian group generated by all singular  $k$ -simplexes. Elements of  $S_k(X)$  are formal linear combinations of the form

$$\sigma = \sum n_i f_i, \quad n_i \in \mathbb{Z},$$

which are called *singular  $k$ -chains*. The  $(k-1)$ -chain

$$\begin{aligned} \partial f &= \partial^0 f - \partial^1 f + \partial^2 f - \cdots = \sum_{j=0}^k (-1)^j \partial^j f, \\ \partial \sigma &= \sum_i n_i \sum_j (-1)^j \partial^j f_i \end{aligned} \tag{2.8}$$

is called *the boundary* of  $f$  and  $\sigma$  respectively.

**Proposition 2.9.** We have  $\partial_{k-1} \circ \partial_k = 0$  (or, simply  $\partial^2 = 0$ ) for all  $k \geq 1$ , i.e., the homomorphism

$$S_k(X) \xrightarrow{\partial_k} S_{k-1}(X) \xrightarrow{\partial_{k-1}} S_{k-2}(X)$$

is trivial.

*Proof.* The proof consists of the following two steps.

**Step 1.** Let  $f$  be a singular simplex. for each  $j \geq i$  we have

$$\partial^j \partial^i f = \partial^i \partial^{j+1} f.$$

Indeed,

$$\begin{aligned} \partial^j(\partial^i f)(t_0, \dots, t_{k-2}) &= \partial^i f(t_0, \dots, t_{j-1}, 0, t_j, \dots, t_{k-2}) \\ &= f(t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{j-1}, 0, t_j, \dots, t_{k-2}); \end{aligned}$$

$$\begin{aligned} \partial^i(\partial^{j+1} f)(t_0, \dots, t_{k-2}) &= \partial^{j+1} f(t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{k-2}) \\ &= f(t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{j-1}, 0, t_j, \dots, t_{k-2}). \end{aligned}$$

**Step 2.** For each singular  $k$ -simplex we have  $\partial(\partial f) = 0$ .

This follows from the following computation:

$$\begin{aligned} \partial(\partial f) &= \sum_{i=0}^k (-1)^i \partial^i(\partial f) = \sum_{i=0}^k \sum_{j=0}^k (-1)^{i+j} \partial^i \partial^j f = \sum_{j \geq i} + \sum_{j < i} (-1)^{i+j} \partial^i \partial^j f \\ &= \sum_{j \geq i} (-1)^{i+j} \partial^{j-1} \partial^i f + \sum_{j < i} (-1)^{i+j} \partial^i \partial^j f \\ &= \sum_{p+1 \geq q} (-1)^{p+q+1} \partial^p \partial^q f + \sum_{p > q} (-1)^{p+q} \partial^p \partial^q f \quad p := j-1, \quad q := i \\ &= 0. \end{aligned}$$

□

**Corollary 2.10.**  $\text{im } \partial_k \subset \ker \partial_{k-1}$ .

The elements of  $Z_{k-1}(X) := \ker \partial_{k-1}$  are called *cycles* and the elements of  $B_{k-1}(X) := \text{im } \partial_k$  are called *boundaries*.

**Definition 2.11.** The group

$$H_{k-1}(X) := \ker \partial_{k-1} / \text{im } \partial_k = Z_{k-1}(X) / B_{k-1}(X)$$

is called the  $(k-1)$ th (singular) homology group of  $X$  (with integer coefficients). In particular,  $H_0(X) := S_0(X) / \text{im } \partial_1$ .

## 2.3 Some properties of the homology groups

### Proposition 2.12.

$$X \text{ path connected} \implies H_0(X) \cong \mathbb{Z}.$$

*Proof.*  $S_0(X)$  is the free abelian group generated by the points of  $X$ . Let  $f$  be a singular 1-simplex, that is  $f: [0, 1] \rightarrow X$  is a path in  $X$ . By the definition of the boundary,  $\partial f = x_1 - x_0$ , where  $x_1 = f(1)$  and  $x_0 = f(0)$ . By the hypothesis, we can connect any two points in  $X$  by a path, that is for any two points  $x_0, x_1 \in X$  we have  $[x_0] = [x_1] \in H_0(X)$ .

Furthermore, define the homomorphism  $\alpha: S_1(X) \rightarrow \mathbb{Z}$  by

$$\alpha\left(\sum n_i x_i\right) = \sum n_i.$$

Since  $\alpha(\partial f) = 0$  for each singular 1-simplex,  $\alpha$  yields a surjective homomorphism  $H_0(X) \rightarrow \mathbb{Z}$ , which is still denoted by  $\alpha$ .

Suppose  $\alpha\left(\sum n_i x_i\right) = 0$ . Then  $\left[\sum n_i x_i\right] = \sum n_i [x_i] = \left(\sum n_i\right)[x_0] = 0$ , that is  $\alpha$  is injective. Thus,  $\alpha$  is an isomorphism.  $\square$

**Exercise 2.13.** If  $X$  is not necessarily path connected, then the following holds:  $H_0(X) \cong \mathbb{Z}^m$ , where  $m$  is the number of path-components of  $X$ .

### Proposition 2.14.

$$H_k(\{pt\}) = \begin{cases} \mathbb{Z} & \text{if } k = 0, \\ 0 & \text{else.} \end{cases}$$

*Proof.* For  $k = 0$  the statement of this proposition follows from the previous one. Hence, we may assume  $k > 0$ . For each such  $k$  there is exactly one  $k$ -simplex in  $\{pt\}$ , namely the constant map, which we denote by  $c_k: \Delta^k \rightarrow \{pt\}$ . For the boundary we have

$$\partial c_k = \sum_{i=0}^k (-1)^i \underbrace{c_k \circ d_i}_{c_{k-1}} = \begin{cases} 0, & \text{for } k \text{ odd,} \\ c_{k-1} & \text{for } k \text{ even.} \end{cases}$$

Hence,

$$Z_k(\{pt\}) = \begin{cases} S_k(\{pt\}) & \text{for } k \text{ odd,} \\ 0 & \text{for } k \text{ even} \end{cases}$$

und

$$B_k(\{pt\}) = \begin{cases} S_k(\{pt\}) & \text{for } k \text{ odd,} \\ 0 & \text{for } k \text{ even.} \end{cases}$$

Thus  $H_k(\{pt\}) = Z_k(\{pt\})/B_k(\{pt\}) = 0$ .  $\square$

L 3

**Definition 2.15.** A topological space  $X$  is said to be *contractible* if there is a point  $x_0 \in X$  such that the identity map  $\text{id}_X$  is homotopic to the constant map  $c_{x_0}$ .

**Proposition 2.16.** For a contractible space  $X$  we have

$$H_k(X) = \begin{cases} \mathbb{Z} & \text{if } k = 0, \\ 0 & \text{if } k \geq 1. \end{cases}$$

*Proof.* Since  $X$  is contractible, there exists a continuous map  $h: X \times [0, 1] \rightarrow X$  such that  $h(x, 0) = x$  and  $h(x, 1) = x_0$  hold for any  $x \in X$ . In particular, for a fixed  $x \in X$  the path  $t \mapsto h(t, x)$  connects  $x$  and  $x_0$ . This implies that  $X$  is path connected, hence  $H_0(X) \cong \mathbb{Z}$  by **Proposition 2.12**.

Thus, we assume  $k \geq 1$  in the sequel. Consider the quotient map

$$\begin{aligned} \pi: \Delta^{k-1} \times [0, 1] &\rightarrow \Delta^k \cong (\Delta^{k-1} \times [0, 1]) / (\Delta^{k-1} \times \{1\}) \\ ((t_0, \dots, t_{k-1}), u) &\mapsto (u, (1-u)t_0, \dots, (1-u)t_{k-1}). \end{aligned}$$

Let  $h: X \times [0, 1] \rightarrow X$  be a homotopy between  $\text{id}_X$  and  $c_{x_0}$ . Define  $s: S_{k-1}(X) \rightarrow S_k(X)$  as follows: Since  $\pi$  is a quotient map and  $h|_{X \times \{1\}} \equiv x_0$ , for each singular  $(k-1)$ -simplex  $\sigma: \Delta^{k-1} \rightarrow X$  there exists a unique map  $s(\sigma): \Delta^k \rightarrow X$  such that  $h \circ (\sigma \times \text{id}) = s(\sigma) \circ \pi$ . More explicitly,

$$s(\sigma)(t_0, t_1, \dots, t_k) = h\left(t_0, \sigma\left(\frac{t_1}{1-t_0}, \dots, \frac{t_k}{1-t_0}\right)\right)$$

whenever  $t_0 \neq 1$  and  $s(\sigma)(1, t_1, \dots, t_k) = x_0$ . Hence,

1.  $\partial^0(s(\sigma)) = \sigma$ ,
2.  $\partial^i s(\sigma) = s(\partial^{i-1} \sigma)$  for  $i > 0$ .

Therefore, for any  $\sigma \in S_k(X)$  we have

$$\partial(s(\sigma)) = \partial^0(s(\sigma)) - \sum_{i=1}^k (-1)^{i-1} \partial^i(s(\sigma)) = \sigma - \sum_{j=0}^{k-1} (-1)^j s(\partial^{j-1} \sigma) = \sigma - s(\partial \sigma). \quad (2.17)$$

This yields

$$\partial \circ s + s \circ \partial = \text{id}.$$

Hence, if  $\sigma$  is a cycle, then  $\sigma = \partial(s(\sigma)) + s(\partial \sigma) = \partial(s(\sigma))$ , i.e., any cycle is a boundary. In other words,  $H_k(X) = 0$  whenever  $k \geq 1$  as claimed.  $\square$

**Theorem 2.18.** *Let  $f: X \rightarrow Y$  be a continuous map. Then for each  $k \geq 0$  the map  $f$  induces a group homomorphism*

$$f_*: H_k(X) \rightarrow H_k(Y)$$

*and for any other continuous map  $g: Y \rightarrow Z$  we have*

$$(g \circ f)_* = g_* \circ f_*.$$

*Finally,  $(\text{id}_X)_* = \text{id}$ .*

*Proof.* Define first group homomorphisms  $f_\#: S_k(X) \rightarrow S_k(Y)$ , by declaring

$$\sigma \mapsto f \circ \sigma \quad \text{for} \quad \sigma: \Delta^k \rightarrow X.$$

Then for all singular  $k$ -simplexes  $\sigma: \Delta^k \rightarrow X$  we have

$$\begin{aligned} (f_\# \partial^i(\sigma))(t_0, \dots, t_{k-1}) &= f(\sigma(t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{k-1})) \\ &= (f_\# \sigma)(t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{k-1}) \\ &= \partial^i(f_\# \sigma)(t_0, \dots, t_{k-1}), \end{aligned}$$

and therefore  $f_{\#}\partial^i = \partial^i f_{\#}$ , which yields in turn that  $f_{\#}$  is a *chain map*, i.e.,

$$f_{\#}\partial = \partial f_{\#}.$$

This yields in particular that cycles are mapped to cycles and boundaries are mapped to boundaries:

$$f_{\#}(Z_k(X)) \subset Z_k(Y) \quad \text{and} \quad f_{\#}(B_k(X)) \subset B_k(Y).$$

Hence, we obtain a well defined group homomorphism:

$$\begin{aligned} f_*: H_k(X) = Z_k(X)/B_k(X) &\rightarrow Z_k(Y)/B_k(Y) = H_k(Y) \\ f_*([\sigma]) &:= [f_{\#}(\sigma)]. \end{aligned}$$

Furthermore, for each singular  $k$ -simplex  $\sigma: \Delta^k \rightarrow X$  we have

$$\begin{aligned} g_{\#} \circ f_{\#}(\sigma) &= g_{\#}(f \circ \sigma) = g \circ f \circ \sigma = (g \circ f)_{\#}(\sigma), \\ g_* \circ f_*([\sigma]) &= g_*[f_{\#}(\sigma)] = [g_{\#} \circ f_{\#}(\sigma)] = [(g \circ f)_{\#}(\sigma)] = (g \circ f)_*([\sigma]), \\ (\text{id}_X)_{\#}(\sigma) &= \sigma, \\ (\text{id}_X)_*([\sigma]) &= [(\text{id}_X)_{\#}(\sigma)] = [\sigma]. \end{aligned}$$

Therefore,  $g_* \circ f_* = (g \circ f)_*$  and  $(\text{id}_X)_* = \text{id}$ . □

**Corollary 2.19.** *If  $f: X \rightarrow Y$  is a homeomorphism, then  $f_*: H_k(X) \rightarrow H_k(Y)$  is an isomorphism for each  $k$ .* □

## 2.4 Homotopies and homology groups

**Satz 2.20.** *If  $f, g: X \rightarrow Y$  are homotopic maps, then the induced maps on the homology groups are equal:*

$$f \simeq g \quad \implies \quad f_* = g_*.$$

*Proof.* The proof consists of the following three steps.

**Step 1.** *Define*

$$\eta_t: X \rightarrow X \times I, \quad \eta_t(x) = (x, t).$$

For each continuous map  $f: X \rightarrow Y$  we have  $(f \times \text{id})_{\#}\eta_{t\#}^X = \eta_{t\#}^Y \circ f_{\#}$ .

This follows immediately from the observation that the diagram

$$\begin{array}{ccc} X & \xrightarrow{\eta_t^X} & X \times I \\ f \downarrow & & \downarrow f \times \text{id} \\ Y & \xrightarrow{\eta_t^Y} & Y \times I \end{array}$$

commutes.

**Step 2.** *There exists a sequence of homomorphisms  $s_k^X: S_k(X) \rightarrow S_{k+1}(X \times I)$  satisfying*

$$\partial s_k^X + s_{k-1}^X \partial = \eta_{1\#} - \eta_{0\#}; \tag{2.21}$$

$$(f \times \text{id}_I)_{\#} \circ s_k^X = s_k^Y \circ f_{\#}. \tag{2.22}$$

Define  $s_k = s_k^X$  recursively. For  $k = 0$  and  $x_0 \in X$ , which we view as a 0-simplex, put

$$s_0\sigma: \Delta^1 \rightarrow X \times I, \quad (t_0, t_1) \mapsto (x_0, t_1).$$

Then we have  $\partial(s_0\sigma) = (x_0, 1) - (x_0, 0)$ , i.e., (2.21) holds for  $k = 0$ . Equation (2.22) follows directly from the definition of  $s_0$ .

Suppose  $s_\ell$  have been defined for all  $\ell < k$ . We define first  $s_k$  in a special case, namely for  $\text{id}_{\Delta^k}$  viewed as an element  $\iota_k \in S_k(\Delta^k)$ . We have

$$\begin{aligned} \partial\left(\underbrace{\eta_{1\#}\iota_k - \eta_{0\#}\iota_k - s_{k-1}\partial\iota_k}_{\in S_k(\Delta^k \times I)}\right) &= \eta_{1\#}\partial\iota_k - \eta_{0\#}\partial\iota_k - \partial s_{k-1}\partial\iota_k \\ &\stackrel{(2.21)}{=} \eta_{1\#}\partial\iota_k - \eta_{0\#}\partial\iota_k - (\eta_{1\#}\partial\iota_k - \eta_{0\#}\partial\iota_k - s_{k-2}^{\Delta^k}\partial^2\iota_k) \\ &= 0. \end{aligned}$$

In this computation (2.21) is used for  $k$  replaced by  $k - 1$ . Since  $\Delta^k \times I$  is contractible, there exists some  $a \in S_{k-1}(\Delta^k \times I)$  so that

$$\eta_{1\#}\iota_k - \eta_{0\#}\iota_k - s_{k-1}\partial\iota_k = \partial a.$$

Define  $s_k(\iota_k) = a$ . Then (2.21) holds for  $\sigma = \iota_k$ .

In general, define  $s_k^X(\sigma) = (\sigma \times \text{id})_{\#}a$ . Then we have

$$\begin{aligned} \partial(s_k^X\sigma) &= \partial(\sigma \times \text{id})_{\#}a = (\sigma \times \text{id})_{\#}\partial a \\ &= (\sigma \times \text{id})_{\#}(\eta_{1\#}\iota_k - \eta_{0\#}\iota_k - s_{k-1}^{\Delta^k}\partial\iota_k) \\ &= \eta_{1\#}\sigma_{\#}\iota_k - \eta_{0\#}\sigma_{\#}\iota_k - s_{k-1}^X\sigma_{\#}\partial\iota_k && (2.22) + \text{Step 1} \\ &= \eta_{1\#}\sigma - \eta_{0\#}\sigma - s_{k-1}^X\partial\sigma. \end{aligned}$$

This proves (2.21).

We still have to show that (2.22) holds. Indeed,

$$(f \times \text{id})_{\#}s_k\sigma = (f \times \text{id})_{\#}(\sigma \times \text{id})_{\#}a = ((f \circ \sigma) \times \text{id})_{\#}a = s_k(f\sigma) = s_k(f_{\#}\sigma).$$

**Step 3.** We prove this theorem.

Let  $h$  be a homotopy between  $f$  and  $g$ . From the following equalities

$$\partial(h_{\#} \circ s_k) + (h_{\#} \circ s_{k-1})\partial = h_{\#}\partial s_k + h_{\#}(s_{k-1}\partial) = h_{\#}(\eta_{1\#} - \eta_{0\#}) = f_{\#} - g_{\#}$$

we see that  $f_{\#} - g_{\#} = \partial(h_{\#} \circ s_k)$  holds on  $\ker \partial$ . This shows that  $f_* = g_*$ . □

L 4

**Definition 2.23.** A continuous map  $f: X \rightarrow Y$  is called a homotopy equivalence, if there exists a continuous map  $g: Y \rightarrow X$  such that the following holds:

$$g \circ f \simeq \text{id}_X \quad \text{and} \quad f \circ g \simeq \text{id}_Y.$$

In this case the spaces  $X$  and  $Y$  are called homotopy equivalent.

**Example 2.24.** (i) Any two homeomorphic spaces are homotopy equivalent.

(ii)  $\mathbb{R}^n$  is homotopy equivalent to  $\{pt\}$ . More generally, any contractible space is homotopy equivalent to  $\{pt\}$ .

(iii)  $\mathbb{R}^n \setminus \{0\}$  is homotopy equivalent to  $S^{n-1}$ .

To see (ii), let  $X$  be a contractible space and  $\iota_{x_0}: \{x_0\} \rightarrow X$  be the embedding of the point  $x_0$ . Then  $c_{x_0} \circ \iota_{x_0} = id_{x_0}$  and  $\iota_{x_0} \circ c_{x_0} \simeq id_X$ .

To see (iii), define  $f: \mathbb{R}^n \setminus \{0\} \rightarrow S^{n-1}$  by  $f(x) = x/|x|$ . If  $g: S^{n-1} \rightarrow \mathbb{R}^n \setminus \{0\}$  denotes the inclusion, then  $f \circ g = id_{S^{n-1}}$ . Furthermore,

$$h(x, t) = \frac{1}{t + (1-t)|x|}x, \quad x \in \mathbb{R}^n \setminus \{0\},$$

is a homotopy between  $g \circ f$  and  $id_{\mathbb{R}^n \setminus \{0\}}$ .

**Corollary 2.25.**

*$f$  is a homotopy equivalence  $\implies \forall k \quad f_*: H_k(X) \rightarrow H_k(Y)$  is an isomorphism.*

**Example 2.26.**

$$H_k(\mathbb{R}^n) = \begin{cases} \mathbb{Z} & k = 0, \\ 0 & \text{otherwise.} \end{cases}$$

$$H_k(\mathbb{R}^n \setminus \{pt\}) = H_k(S^{n-1}) = \begin{cases} \mathbb{Z} & k = 0, n-1, \\ 0 & \text{otherwise.} \end{cases}$$