# ASSIGNMENT 5 MATH 251 NICHOLAS HAYEK



## QUESTION 1

The following picture will be useful:

$$M = \begin{bmatrix} A & C \\ 0 & B \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1k} & c_{11} & c_{12} & \cdots & c_{1l} \\ a_{21} & a_{22} & \cdots & a_{2k} & c_{21} & c_{22} & \cdots & c_{2l} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{k1} & a_{k2} & \cdots & a_{kk} & c_{k1} & c_{k2} & \cdots & c_{kl} \\ \hline 0 & 0 & \cdots & 0 & b_{11} & b_{12} & \cdots & b_{1l} \\ 0 & 0 & \cdots & 0 & b_{21} & b_{22} & \cdots & b_{2l} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 & b_{l1} & b_{l2} & \cdots & b_{ll} \end{bmatrix}$$

We express the determinant of this matrix as follows, where  $M = (m_{ij})$ :

$$\det(M) = \sum_{\pi \in S_{k+l}} m_{1\pi(1)} \cdot \dots \cdot m_{k\pi(k)} m_{(k+1)\pi(k+1)} \cdot \dots \cdot m_{(k+l)\pi(k+l)} \operatorname{sgn}(\pi)$$

However, if  $\pi(i) \in [1, k]$  for some  $i \in [k + 1, k + l]$ , then  $m_{i\pi(i)} = 0$ , and so  $m_{1\pi(1)} \cdot ... \cdot m_{i\pi(i)} \cdot ... \cdot m_{(k+l)\pi(k+l)} = 0$ . Thus, it is sufficient to sum over the set  $\{\pi \in S_{k+l} : \pi(i) \in [k+1, k+l] \mid \forall i \in [k+1, k+l] \}$ . But, if  $\pi([k+1, k+l]) = [k+1, k+l]$ , then  $\pi(i) \in [1, k] \ \forall i \in [1, k]$ , since it is a bijection.

We conclude that  $m_{i\pi(i)}$  must only define elements either in the A sub-matrix, since  $\pi$  sends  $[1,k] \to [1,k]$ , or the B sub-matrix, since  $[k+1,k+l] \to [k+1,k+l]$ . Furthermore, since we are concerned with all permutations  $\pi$  that act, disjointedly, from [1,k] and [k+1,k+l] onto themselves, we can split  $\pi$  piecewise into two permutations  $\pi_1$ ,  $\in S_k$  and  $\pi_2 \in S_l$ . Our notation adapts as follows:

$$\det(M) = \sum_{\pi_1 \in S_k, \pi_2 \in S_l} a_{1\pi_1(1)} \cdot \dots \cdot a_{k\pi_1(k)} \cdot b_{1\pi_2(1)} \cdot \dots \cdot b_{l\pi_2(l)} \operatorname{sgn}(\pi_1) \operatorname{sgn}(\pi_2)$$

Note, if  $\pi$  has i inversions, then its constituent permutations  $\pi_1$ ,  $\pi_2$  have, together, i inversions. Thus,  $\#\pi_1 + \#\pi_2 = \#\pi$ , so  $\operatorname{sgn}(\pi) = (-1)^{\#\pi_1}(-1)^{\#\pi_2} = \operatorname{sgn}(\pi_1)\operatorname{sgn}(\pi_2)$ .

$$\begin{split} \det(M) &= \sum_{\pi_1 \in S_k, \pi_2 \in S_l} a_{1\pi_1(1)} \cdot \ldots \cdot a_{k\pi_1(k)} \cdot b_{1\pi_2(1)} \cdot \ldots \cdot b_{l\pi_2(l)} \mathrm{sgn}(\pi_1) \mathrm{sgn}(\pi_2) \\ &= \sum_{\pi_1 \in S_k} a_{1\pi_1(1)} \cdot \ldots \cdot a_{k\pi_1(k)} \mathrm{sgn}(\pi_1) \sum_{\pi_2 \in S_l} b_{1\pi_2(1)} \cdot \ldots \cdot b_{l\pi_2(l)} \mathrm{sgn}(\pi_2) \\ &= \det(A) \det(B) \end{split}$$

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## QUESTION 2

Let  $A \in M_n(\mathbb{F})$ , and  $t \in \mathbb{F}$ . We can express  $\det(tI_n - A)$  as

$$\sum_{\pi \in S_n} a_{1\pi(1)} \cdot \dots \cdot a_{n\pi(n)} \operatorname{sgn}(\pi)$$

Since  $a_{i\pi(i)}$  is either a constant or a term like  $(t-a_{ii})$ , we can be certain that  $\det(A)$  is a polynomial. Only one  $\pi$  will yield a summand of degree n, and that is precisely the permutation which yields  $a_{1\pi(1)} \cdot ... \cdot a_{n\pi(n)} = (t-a_{11}) \cdot ... \cdot (t-a_{nn})$ , i.e  $\pi(i) = i$ . Since this permutation has no inversions,  $\operatorname{sgn}(\pi) = 1$ , and we can further expand:

$$(t - a_{11}) \cdot \dots \cdot (t - a_{nn}) = t^n + t^{n-1}(-a_{11} - \dots - a_{nn}) + t^{n-2} \sum_{i,j \le n} a_{ii} a_{jj} + \dots + \prod_{i=1}^{n} (-a_{ii})$$
$$= t^n - t^{n-1} \operatorname{tr}(A) + \operatorname{stuff}$$

To extract the constant term of  $det(tI_n - A)$ , we can simply evaluate at t = 0, since it is a polynomial:

$$\det(0I_n - A) = \det(-A) = (-1)^n \det(A)$$

Where, by multilinearity, we have

$$\det(-A) = \det\begin{pmatrix} -A_{(1)} \\ \vdots \\ -A_{(n)} \end{pmatrix} = -\det\begin{pmatrix} A_{(1)} \\ -A_{(2)} \\ \vdots \\ -A_{(n)} \end{pmatrix} = \det\begin{pmatrix} A_{(1)} \\ A_{(2)} \\ -A_{(3)} \\ \vdots \\ -A_{(n)} \end{pmatrix} = \dots = (-1)^n \det\begin{pmatrix} A_{(1)} \\ A_{(1)} \\ \vdots \\ A_{(n)} \end{pmatrix} = (-1)^n \det(A)$$

Combining with our results above, we have

$$\det(tI_n - A) = t^n - t^{n-1}\operatorname{tr}(A) + \dots + (-1)^n \det(A)$$

Note: while we know immediately that we've caught all constant and  $t^n$  terms, if we went hunting for a  $t^{n-1}$  term using some other permutation  $\pi$ , then  $\pi(i) = i \ \forall i \in J$ , where |J| = n - 1. But then, for this last element  $k \notin J$ , the bijectivity of  $\pi$  dictates that  $\pi(k) = k$  as well. Thus,  $-\operatorname{tr}(A)t^{n-1}$  are all n-1 degree terms.

#### QUESTION 3

**Part (a):** Let  $V = V_1 \oplus ... \oplus V_n$  and let  $\beta_i \subseteq V_i$  be a basis for  $V_i$ . Then  $\beta_1 \cup ... \cup \beta_n$  is linearly independent: let  $\beta_i = \{v_{ij} : j \in J_i\}$  and  $a_{ij} \in \mathbb{F}$ . We write

$$\sum_{\substack{1 \le i \le n \\ j \in J_i}} a_{ij} v_{ij} = 0$$

If all  $a_{ij}v_{ij} \neq 0$  belong to only one "sub-basis,"  $\operatorname{Span}(\beta_m)$ , then we violate the independence of  $\beta_m$ . Suppose otherwise: that is, there are vectors from at least two "sub-bases." Without loss of generality, suppose  $\sum_{j \in J_1} a_{1j}v_{1j}$  is nontrivial. Then:

$$\sum_{\substack{2 \le i \le n \\ i \in I_i}} a_{ij} v_{ij} = -\sum_{j \in J_1} a_{1j} v_{1j}$$

Since  $\beta_1$  forms a basis, the RHS is a unique vector  $v_1 \in V_1$ , and likewise the LHS are unique vectors  $v_2 + ... + v_n$  (at least one non-zero), where  $v_i \in V_i$ . Thus,  $v_1 \in V_1 \cap (V_2 + ... + V_n)$ , which is a contradiction  $\implies \beta_1 \cup ... \cup \beta_n$  is independent.

 $\beta_1 \cup ... \cup \beta_n$  is also spanning: any vector  $v \in V$  can be written as  $v = \sum_{i=1}^n v_i$ , where  $v_i \in V_i$ . Each  $v_i$  has a unique representation in  $\beta_i$ , say  $\sum_{j \in I_i} a_{ij} v_{ij}$ , so we write

$$v = \sum_{\substack{1 \le i \le n \\ i \in I_i}} a_{ij} v_{ij} \quad a_{ij} \in \mathbb{F}$$

 $\implies v \in \operatorname{Span}(\beta_1 \cup ... \cup \beta_n) \implies \beta_1 \cup ... \cup \beta_n \text{ is a basis.}$ 

**Part (b):** Since  $\beta_i \subseteq V_i$ , and  $V_i \cap V_j = \{0\}$  for any  $i \neq j$ , we conclude that  $\beta_i$  contain distinct elements. Thus,  $|\beta_1 \cup ... \cup \beta_n| = |\beta_1| + ... + |\beta_n| \implies \dim(V) = \dim(V_1) + ... + \dim(V_n)$ , as  $\beta_1 \cup ... \cup \beta_n$  is a basis for V by (a).

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## QUESTION 4

**Part (a):** Fix  $\lambda \in \mathbb{F}$ , and let  $T(v) = \lambda v$  for some  $v \in V$ . Then  $T(v)\lambda^{-1} = v$ . Since T is invertible, we write  $T^{-1}(T(v)\lambda^{-1}) = T^{-1}(v) \implies \lambda^{-1}v = T^{-1}(v)$  by linearity of  $T^{-1}$  and noting that  $T^{-1}(T(v)) = I(v) = v$ . Thus,  $\lambda^{-1}$  is an eigenvalue for  $T^{-1}$ . This also shows that  $\mathrm{Eig}_T(\lambda) \subseteq \mathrm{Eig}_{T^{-1}}(\lambda^{-1})$ .

Now let  $v \in \operatorname{Eig}_{T^{-1}}(\lambda^{-1})$ . Then  $T^{-1}(v) = \lambda^{-1}v \implies \lambda T^{-1}(v) = v \implies T(\lambda T^{-1}(v)) = \lambda v = T(v)$  by linearity of T. Thus,  $v \in \operatorname{Eig}_T(\lambda) \implies \operatorname{Eig}_{T^{-1}}(\lambda^{-1}) \subseteq \operatorname{Eig}_T(\lambda) \implies \operatorname{Eig}_{T^{-1}}(\lambda^{-1}) = \operatorname{Eig}_T(\lambda)$  as desired.

**Part (b):**  $T:V\to V$  is diagonalizable  $\iff\exists\,\beta:=\{v_1,...,v_n\}\subseteq V$  which is a basis for V, where  $v_i$  are eigenvectors of T. From (a), we know that  $v_i$  is an eigenvector of  $T\iff v_i$  is an eigenvector of  $T^{-1}$ . Namely,  $T(v_i)=\lambda_iv_i\iff T^{-1}(v_i)=\lambda_i^{-1}v_i$ . Thus,  $\beta$  is a basis of eigenvectors for  $T\iff\beta$  is a basis of eigenvectors for  $T^{-1}$ , so T is diagonalizable  $\iff T^{-1}$  is.

# QUESTION 5

For  $M_2(\mathbb{F})$ , no matter the field, we can use the standard basis

$$St = \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \right\} \quad 1 := \mathbb{1}_{\mathbb{F}}$$

Let  $T: M_2(\mathbb{F}) \to M_2(\mathbb{F})$  be  $T(A) = A^t$ . Then

$$[T]_{St} = \begin{bmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}^t \end{bmatrix}_{St} \begin{bmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}^t \end{bmatrix}_{St} \begin{bmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}^t \end{bmatrix}_{St} \begin{bmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}^t \end{bmatrix}_{St} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Since  $[tI]_{St} = [tI_4]$ , we have the following form for  $p_T(t)$ :

$$\det(tI_4 - [T]_{St}) = \det\begin{pmatrix} t - 1 & 0 & 0 & 0 \\ 0 & t & -1 & 0 \\ 0 & -1 & t & 0 \\ 0 & 0 & 0 & t - 1 \end{pmatrix} = \sum_{\pi \in S_4} a_{1\pi(1)} a_{2\pi(2)} a_{3\pi(3)} a_{4\pi(4)} \operatorname{sgn}(\pi)$$

We know that  $\pi(1) = 1$  and  $\pi(4) = 4$ , else the product will evaluate to 0. Thus, we only need to consider the remaining options:  $\{\pi(2) = 3, \pi(3) = 2\}$  and  $\{\pi(2) = 2, \pi(3) = 3\}$ . The former has sgn = -1, since it flips 2  $\leftrightarrow$  3, and the latter has sgn = 1, since it is the identity. Thus,

$$\det([T]_{St_n}) = (t-1)^2 t^2 - (t-1)^2 = (t-1)^2 (t^2 - 1) = (t-1)^3 (t+1)$$

T thus has eigenvalues  $\lambda = 1$  with multiplicity 3 and  $\lambda = -1$  with multiplicity 1 in  $\mathbb{F} = \mathbb{R}$  or  $\mathbb{C}$ . If char( $\mathbb{F}$ ) = 2, then -1 = 1, so  $p_T(t) = (t-1)^4$ , i.e. T has one eigenvalue, 1, with multiplicity 4.

For  $\mathbb{F} = \mathbb{R}$  or  $\mathbb{C}$ , the eigenvectors of 1 are those which satisfy  $A \in \ker(I - T)$ , i.e.  $T(A) = A^t = I(A) = A$ , which are precisely the symmetric matrices in  $M_2(\mathbb{F})$ , and

$$\left\{ \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \right\}$$

is a basis for  $\text{Sym}_2(\mathbb{F})$ , as in HW1, so  $m_g(1) = 3$ . If  $A \in \text{ker}(-I - T)$ , then  $T(A) = -I(A) \implies A^t = -A$ . Since  $a_{11}^t = a_{11}$  and  $a_{22}^t = a_{22}$ , we require that  $a_{11} = a_{22} = 0$ .

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Since the corner elements swap,  $a_{12} = -a_{21}$ , and so all eigenvectors must be

$$\alpha
\begin{pmatrix}
0 & 1 \\
-1 & 0
\end{pmatrix}$$

This has dimension 1, so  $m_g(-1) = 1$ . Since  $m_g(1) + m_g(-1) = 4$ , T is diagonalizable in both  $\mathbb{R}$  and  $\mathbb{C}$ . We simply take these aforementioned eigenvectors as a basis:

$$\beta = \{M_1, M_2, M_3, M_4\} := \left\{ \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right\} \implies [T]_{\beta} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{bmatrix}$$

which is diagonal. To show  $\beta$  is a basis, we show it is spanning:

$$\begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = \frac{a_{12} + a_{21}}{2} M_1 + a_{22} M_2 + a_{11} M_3 + \frac{a_{12} - a_{21}}{2} M_4$$

As  $\dim(\beta) = 4 = \dim(St)$ ,  $\beta$  is a basis for  $M_2(\mathbb{F})$ ,  $\mathbb{F} = \mathbb{R} \vee \mathbb{C}$ .

Recall, for char( $\mathbb{F}$ ) = 2, we found that  $p_T(t) = (t-1)^4$ . Then dim(ker(I-T)) = 4-rank(I-T), and [I-T] in the standard basis is

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & -1 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \xrightarrow{\text{Type III}} \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \Longrightarrow \text{rank}([I - T]) = 1$$

Thus, (I - T) = 4 - 1 = 3, so  $m_g(1) = 3$ , as before. But  $m_a(1) = 4$ , so by our main criterion, T cannot be diagonalizable over  $M_2(\mathbb{F})$  since  $m_a(1) \neq m_g(1)$ .

#### QUESTION 6

**Part (a):** Recall that  $p_A(s) = \det(sI_n - A) = \det\left((sI_n - A)^t\right)$ . But  $(sI_n - A)^t = sI_n - A^t$ , since  $(sI_n)^t = sI_n$ . Thus,  $\det\left((sI_n - A)^t\right) = \det(sI_n - A^t) = p_{A^t}(s)$ , and we conclude that  $p_A(s) = p_{A^t}(s)$ . The roots of A and  $A^t$ 's characteristic polynomials are thus identical, and these are precisely the eigenvalues of A and  $A^t$ .

**Part (b):** Fix an eigenvalue  $\lambda$  of both A and  $A^t$ . Then  $(\lambda I_n - A)^t = \lambda I_n - A^t$ , since  $(\lambda I_n)^t = \lambda I_n$ , as observed before. Let  $m_g^A(\lambda)$  and  $m_g^{A^t}(\lambda)$  represent the multiplicities of  $\lambda$  with respect to A and  $A^t$ , respectively. Then:

$$m_g^A(\lambda) = \text{null}(\lambda I_n - A) = n - \text{rank}(\lambda I_n - A) = n - \text{rank}((\lambda I_n - A)^t)$$

since  $\operatorname{rank}(M) = \operatorname{rank}(M^t) \ \forall M \in M_n(\mathbb{F})$ . Then

... = 
$$n - \text{rank}(\lambda I_n - A^t) = m_g^{A^t}(\lambda)$$

Thus,  $\dim(\operatorname{Eig}_A(\lambda)) = \dim(\operatorname{Eig}_{A^t}(\lambda))$  as desired.

**Part (c):** Let  $\Lambda$  be the set of all distinct eigenvalues of A, and thus of  $A^t$ , by part (a). Then, A is diagonalizable  $\iff \sum_{\lambda \in \Lambda} m_g^A(\lambda) = n \iff \sum_{\lambda \in \Lambda} m_g^{A^t}(\lambda) = n \iff A^t$  is diagonalizable, by part (b), as desired.

There is a small amount of nuance: A is diagonalizable, but their eigenvalues/vectors remain the same, so the argument in (c) extends to the language of transformations immediately.

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## QUESTION 7

**Part (a):** Since  $\lambda_1, ..., \lambda_k$  are all the eigenvalues of A, and we know their multiplicities to be  $m_a(\lambda_i) = m_i$ , we can write

$$p_A(t) = \det(tI_n - A) = (t - \lambda_1)^{m_1} \cdot \dots \cdot (t - \lambda_k)^{m_k} q(t) \quad q(t) \in \mathbb{F}[t]_n$$

Note, here, that q(t) does not have roots in  $\mathbb{F}$ , or else we would find some eigenvalue not  $\lambda_i$ . Since B is upper triangular, we write

$$p_B(t) = \det(tI_n - B) = (t - b_{11}) \cdot ... \cdot (t - b_{nn})$$

Let  $A = Q^{-1}BQ$ , where  $Q \in GL_n(\mathbb{F})$ . Then  $Q^{-1}(tI_n - A)Q = (tQ^{-1}I_n - Q^{-1}A)Q = tQ^{-1}I_nQ - Q^{-1}AQ = tQ^{-1}Q - B = tI_n - B$ . Since the determinant is conjugation-invariant,  $\det(tI_n - A) = \det(tI_n - B)$ , i.e.

$$(t - \lambda_1)^{m_1} \cdot ... \cdot (t - \lambda_k)^{m_k} q(t) = (t - b_{11}) \cdot ... \cdot (t - b_{nn}) = p_B(t)$$

Since the RHS has n roots, so does the LHS. But recall that q(t) cannot have roots, so we write

$$(t-\lambda_1)^{m_1}\cdot\ldots\cdot(t-\lambda_k)^{m_k}=(t-b_{11})\cdot\ldots\cdot(t-b_{nn})$$

In particular, q(t) = 1. Thus, we can take the first  $m_1$  terms of the RHS to be  $(t - \lambda_1)$ , the next  $m_2$  terms to be  $(t - \lambda_2)$ , etc. In other words,  $b_{(m_{i-1}+1)(m_{i-1}+1)} = \dots = b_{(m_{i-1}+m_i)(m_{i-1}+m_i)} = \lambda_i$ , as desired.

**Part (b):** Recall that det(B) = det(A) for  $A \sim B$ . Since B is upper triangular, we can use (a) to conclude  $det(B) = \lambda_1^{m_1} \cdot ... \cdot \lambda_k^{m_k} = det(A)$ . Furthermore, since there are n  $b_{ii}$  terms,  $\sum_{i=1}^k m_i = n = \sum_{i=1}^k m_a(\lambda_i)$  again by (a), so  $p_A(t)$  splits. Lastly, since trace is conjugation-invariant,  $tr(A) = tr(B) = \sum_{i=1}^k m_i \lambda_i$  by (a).

det(B), for an upper triangular matrix  $B \in M_n(\mathbb{F})$ , is  $\prod_{i=1}^n b_{ii}$ :

$$det(B) = \sum_{\pi \in S_n} b_{1\pi(1)} \cdot ... \cdot b_{n\pi(n)} sgn(\pi)$$

But since  $B_{(n)}=(0,...,0,b_{nn})$ , we know that  $\pi(n)=n$  (else the summand would = 0). Since  $B_{(n-1)}=(0,...,0,b_{(n-1)(n-1)},b_{nn})$ , we know  $\pi(n-1)=n-1 \vee n$ . But  $\pi(n)=n$ , so  $\pi(n-1)=n-1$ . Thus, by induction,  $\pi=\mathrm{Id}$  is the only  $\pi\in S_n$  such that  $b_{1\pi(1)}\cdot...\cdot b_{n\pi(n)}\neq 0$ , i.e.  $\det(B)=b_{11}\cdot...\cdot b_{nn}$ , where we note that  $\mathrm{sgn}(\mathrm{Id})=1$ .

For A, B,  $C \in M_n(\mathbb{F})$ , we have A(B+C) = AB + AC and (B+C)A = BA + CA:

$$(L_A \circ (L_B + L_C))(v) = L_A((L_B + L_C)(v)) = L_A(L_B(v) + L_C(v)) = L_A \circ L_B(v) + L_A \circ L_C(v)$$

Thus,  $L_A \circ (L_B + L_C) = L_A \circ L_B + L_A \circ L_C \implies A(B + C) = AB + AC$ . The statement (B + C)A = BA + CA follows similarly.

<sup>&</sup>quot;Lemmas" to consider:

# QUESTION 8

**Part (a):**  $w \in W = \text{Span}(\{T^i(v) : i \in \mathbb{N}\})$ , and formalize  $T^0 := I$ . Consider:

$$T(w) = T\left(\sum_{i \in I} a_i T^i(v)\right) = \sum_{i \in I} a_i T^{i+1}(v)$$
 by linearity

Thus,  $T(w) \in W$ , and we conclude that  $T(W) \subseteq W$ , i.e.  $T_W : W \to W$ .

**Part (b):** Consider the largest linearly independent subspace of the form  $W' = \{v, ..., T^l(v)\}$ . Such a set exists, or else  $\dim(W) = \infty$ . If l > k - 1, then we could extend W' to a basis for W, i.e.  $\dim(W) > k$ , which we know to be false.

Suppose now l < k-1. Then  $T^{l+1}(v) \in \text{Span}(\{v,...,T^l(v)\})$ . Let this be the base case, and assume  $T^{l+m}(v) \in \text{Span}(W')$ , i.e.  $T^{l+m}(v) = a_0v + ... + a_lT^l(v)$ . Then  $T^{l+m+1}(v) = T(T^{l+m}(v)) = T(a_0v + ... + a_lT^l(v)) = a_0T(v) + ... + a_lT^{l+1}(v) \in \text{Span}(W')$ , since  $T^{l+1}(v) \in \text{Span}(W')$ . Thus,  $T^{l+i}(v) \in \text{Span}(W') \ \forall i \in \mathbb{N}$ 

 $\implies$   $\{T^i(v): i \in \mathbb{N}\}\subseteq \operatorname{Span}(W')$ , so especially  $\operatorname{Span}(\{T^i(v): i \in \mathbb{N}\}) = W \subseteq \operatorname{Span}(W')$ . But since  $W' = \{v, ..., T^l(v)\}$  is linearly independent, this is a basis, so  $\dim(W) < k$ , which is a contradiction.

 $\implies l = k - 1$ . As dim(W) = k, W' independent, and |W'| = k, we know that  $W' = \{v, ..., T^{k-1}(v)\}$  is a basis for W.

**Part** (c): Consider  $[T_W]_{\beta}$ , where  $\beta = \{v, ..., T^{k-1}(v)\}$ :

And recall that  $p_{T_W}(t) = \det(tI_n - [T_W]_\beta) = \sum_{\pi \in S_k} m_{1\pi(1)} \cdots m_{k\pi(k)} \operatorname{sgn}(\pi)$ , where  $[T_W]_\beta = (m_{ij})$ . For some  $\pi \in S_n$ , fix  $\pi(j) = k$ , where  $j \neq 1$  or k. Then, in order for  $\prod_{i=1}^k m_{i\pi(i)} \neq 0$ , we need  $\pi(1) = 1$ . But then  $\pi(2) = 2$ , since 1 and k are already mapped, and all other  $m_{2i} = 0$ . We deduce the same for all i = 1, 2, ..., j - 1.

Now, since  $\pi(j) = k$ ,  $\pi(k) \neq k$ . Thus,  $\pi(k) = k - 1$ , since all other  $m_{ki} = 0$ . Similarly, the nonzero elements of  $m_{ij}$  are precisely  $m_{ii}$ ,  $m_{i(i-1)}$ , and  $m_{ik}$ . But  $\pi(i) \neq k$ , and  $\pi(i) \neq i$ , since  $\pi(i+1) = i \implies \pi(i) = i - 1$ . Thus, we get the following

Assignment 5

characterization of  $\pi$  s.t.  $\prod_{i=1}^{k} m_{i\pi(i)} \neq 0$  and  $j \neq 1$ , k:

$$\begin{cases} \pi(1) &= 1 \\ \pi(2) &= 2 \\ &\vdots \\ \pi(j) &= k \\ \pi(j+1) &= j \\ &\vdots \\ \pi(k-1) &= k-2 \\ \pi(k) &= k-1 \end{cases} \implies m_{1\pi(1)} \cdot \dots \cdot m_{k\pi(k)} = -t^{j-1} a_{j-1} (-1)^{k-j} \underbrace{(-1)^{k-j}}_{\text{inversions } (j,j'),j'>j}$$

Edge cases:  $\pi(1) = k \implies \pi(k) = k-1$ , and inductively  $\pi(i) = i-1$  using the same arguments as above. In this case,  $m_{1\pi(1)} \cdot ... \cdot m_{k\pi(k)} = -a_0(-1)^{k-1}(-1)^{k-1}$ , where every (1,i): i>1, is an inversion, i.e.  $\mathrm{sgn}(\pi) = k-1$ . Lastly, if we suppose  $\pi(k) = k$ , then  $\pi(1) = 1$ , and we conclude  $\pi(i) = i$  as before. This case yields a  $t^{k-1}(t-a_{k-1})$  term, with no inversions. The full picture is thus

$$p_{T_{W}}(t) = t^{k} - t^{k-1}a_{k-1} + \left(\sum_{j=2}^{k-1} -t^{j-1}a_{j-1}\right) - a_{0} = t^{k} - a_{n-1}t^{k-1} - \dots - a_{1}t - a_{0}$$

as desired.

**Part (d):** We write  $p_{T_W}(T_W(v)) = T_W^k(v) - a_{k-1}T_W^{k-1}(v) - ... - a_1T_W(v) - a_0I(v)$ . But  $T_W^k(v) = a_{k-1}T_W^{k-1}(v) + ... + a_1T_W(v) + a_0v$  by assumption, so

$$\begin{split} p_{T_W}(T_W(v)) &= a_{k-1} T_W^{k-1}(v) + \ldots + a_0 v - a_{k-1} T_W^{k-1}(v) - \ldots - a_0 I(v) = 0 \\ \Longrightarrow p_{T_W}(T_W(v)) &= \mathbb{O}_W. \end{split}$$