## **Geometry & Topology 1**

MATH576

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## I Topologies

We will study continuous mappings between objects in  $\mathbb{R}^n$ . These, in contrast with isogenies (rotations, reflections, translations), will allow us to identify circles with squares, doughnuts with mugs, etc. A guiding question: how do we describe deformation mathematically?

A *metric* on a space X is a function  $d: X \times X \to \mathbb{R}$  satisfying

DEF 1.1

- (1)  $d(x, y) \ge 0$ , and  $d(x, y) = 0 \iff x = y$
- (2) d(x,y) = d(y,x)
- (3)  $d(x, y) \le d(x, z) + d(y, z)$

A metric space (X, d) is a space with a specific metric.

The ball centered at x of radius  $\varepsilon$ , denoted  $B(x, \varepsilon)$ , is the set

$$\{y \in X : d(x, y) < \varepsilon\}$$
 with  $\varepsilon > 0$ 

DEF 1.2 or  $B_d(x, \varepsilon)$  w.r.t. a metric d

 $U \subseteq X$  is called *open* if, for each  $x \in X$ , there exists  $\varepsilon > 0$  with  $B(x, \varepsilon) \subseteq X$ .

DEF 1.3

Denote by  $\mathcal{T}$  the family of all open sets in X.

**DEF 1.4** 

Given (*X*, *d*):

PROP 1.1

- (1)  $\emptyset$ ,  $X \in \mathcal{T}$
- (2) For any (possibly infinite) collection of sets in  $\mathcal{T}$ , their union is in  $\mathcal{T}$
- (3) For any finite collection of sets in T, their intersection is in T
- (1) follows by definition. For (2), let U be some union of sets in T, and  $x \in U$ . Then x belongs to some open set  $U_i$  comprising the union. Then  $B(x, \varepsilon_i) \subseteq U_i \subseteq U$  for some  $\varepsilon_i$ .

PROOF.

For (3), let  $\{U_1, ..., U_n\} \subseteq \mathcal{T}$ . Pick  $x \in \bigcup_{i \in [n]} U_i =: U$ . It belongs to all sets  $U_i : i \in [n]$ . Since these sets are open, there exists some  $\varepsilon_i : B(x, \varepsilon_i) \subseteq U_i$ . Let  $\varepsilon := \min\{\varepsilon_i\}$ . Then  $B(x, \varepsilon) \subseteq U_i$  for each i, and hence  $B(x, \varepsilon) \subseteq \mathcal{T}$ .

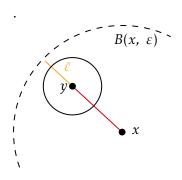
A *topology* on (X, d) is a collection  $\mathcal{T}$  of subsets of X satisfying Prop 1.1. Elements of  $\mathcal{T}$  are DEF 1.5 called *open sets*.

If  $T \subseteq T'$ , we say that T is *coarser* than T'. Conversely, T' is *finer* than T.

**Eg. 1.1.1**  $B(x, \varepsilon)$  is open. Let y be some internal point. Take  $\tilde{\varepsilon} := \varepsilon - d(x, y)$ . Then if  $z \in B(y, \tilde{\varepsilon})$ , we have

$$d(x, z) \le d(x, y) + d(z, y) < d(x, y) + \varepsilon - d(x, y) = \varepsilon \implies z \in B(x, \varepsilon)$$

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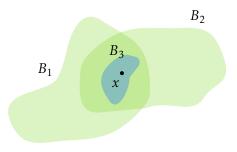
**Eg. 1.1.2** If  $X = \{a, b, c\}$ , we can consider  $\mathcal{T} = \mathcal{P}(X)$ , i.e. the powerset of X. This is a topology. We can also arrive at this by considering the metric  $d(x, y) = 1, x \neq y$ . The topology  $\mathcal{T}' = \{\emptyset, \{a, b\}, \{b, c\}, \{b\}, X\}$  has a less obvious metric-theoretical explanation.

**Eg. 1.1.3** Condition 3 of Prop 1.1 fails for infinite collections (e.g. balls of radius approaching 0).

DEF 1.6 The pair (T, X) is called a topological space.

DEF 1.7 A basis  $\mathcal{B}$  on a topology  $(X, \mathcal{T})$  is a collection of subsets of X satisfying

- (1) For each  $x \in X$ , there exists  $B \in \mathcal{B}$  with  $x \in B$
- (2) If  $x \in B_1$ ,  $B_2 \in \mathcal{B}$ , then there exists  $B_3 \in \mathcal{B}$  with  $x \in B_3 \subseteq (B_1 \cap B_2)$ .



DEF 1.8 The topology generated by  $\mathcal{B}$  is the collection of sets

$${U: \forall x \in U, \exists B \in \mathcal{B} : x \in B \subseteq U}$$

**Eg. 1.2.1**  $\mathcal{B} = \{B(x, \varepsilon), x \in X, \varepsilon > 0\}$  is a basis. Clearly condition (1) of  $\underline{\text{Def } 1.7}$  is satisfied. For condition (2), suppose  $x \in B(x_i, \varepsilon_i)$  for i = 1, 2. Take  $\varepsilon_3 := \min\{\varepsilon_i - d(x, x_i)\}$ . Then  $B(x, \varepsilon_3)$  is contained in both balls  $B(x_i, \varepsilon_i)$ .

**1.1**  $\mathcal{B}$  **Induces A Topology** The topology generated by a basis  $\mathcal{B}$  is a topology.

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PROOF. Let  $\mathcal{T}$  be generated by  $\mathcal{B}$ . We will go through each condition in Prop 1.1:

- (1) Condition (1) of Def 1.7 provides for  $\emptyset$ ,  $X \in \mathcal{T}$
- (2) Let  $U_{\alpha}: \alpha \in J$  be a collection in  $\mathcal{T}$ , for some index J. Let  $U := \bigcup_{\alpha \in J} U_{\alpha}$ . Given  $x \in U$ , we know  $x \in U_{\alpha}$  for some  $\alpha \in J$ . Hence, since  $U_{\alpha} \in \mathcal{T}$ , there exists  $B \in \mathcal{B}$  with  $x \in B \subseteq U_{\alpha} \subseteq U$ .
- (3) It suffices to show  $U_1 \cap U_2 \in \mathcal{T}$ , since we only consider finite collections. Fix  $x \in U_1 \cap U_2$ . There exists  $B_1, B_2 \in \mathcal{B}$  with  $x \in B_1 \subseteq U_1$  and  $x \in B_2 \subseteq U_2$ . We know there exists, then,  $B_3 \in \mathcal{B}$  with  $x \in B_3 \subseteq (B_1 \cap B_2) \subseteq (U_1 \cap U_2)$ .

Let  $\mathcal{B}$  be a basis for X generating  $\mathcal{T}$ . Then  $\mathcal{T}$  is the collection of all possible unions of PROP 1.2 elements in  $\mathcal{B}$ .

PROOF.

DEF 1.11

Let  $\mathcal{T}'$  denote all possible unions of elements in  $\mathcal{B}$ . Then clearly  $\mathcal{T}' \subseteq \mathcal{T}$ , since  $\mathcal{B} \subseteq \mathcal{T}$ .

Conversely, let  $U \in \mathcal{T}$ . Then each  $x \in U$  belongs to some  $B_x \subseteq U \in \mathcal{B}$ . Hence,  $U \subseteq \bigcup_{x \in U} B_x$ . But each  $B_x \subseteq U$ , so U is exactly this union, and hence  $U \in \mathcal{T}'$ .

Let X, Y be topological spaces. Then the *product topology on*  $X \times Y$  is the topology generated DEF 1.9 by the basis

 $\{U \times V : U \text{ open in } X, V \text{ open in } Y\}$ 

Indeed, this is a proper basis. For (1) of <u>Def 1.7</u>, if  $(x, y) \in X \times Y$ , we take U = X and V = Y. For (2), if  $(x, y) \in U_1 \times V_1$ ,  $U_2 \times V_2$ , then  $U_1 \cap U_2 \in \mathcal{T}_X$  and  $V_1 \cap V_2 \in \mathcal{T}_Y$  are open. Hence,  $x \in (U_1 \cap U_2) \times (V_1 \cap V_2) \subseteq (U_1 \times V_1) \cap (U_2 \times V_2)$ .

Let  $Y \subseteq (X, T)$ . Then the collection  $\{Y \cap U : U \in T\}$  is called the *subspace topology* with DEF 1.10 respect to Y.

Let S be a collection of subsets of X. Let B be the collection of all finite intersections of PROP 1.3 elements in S. Then B is a basis in X, as in Def 1.7.

S, as above, is called a *sub-basis* of the topology in X generated by B.

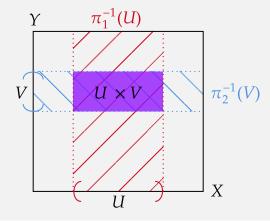
**Eg. 1.3.1** Let  $\pi_1: X \times Y \to X$ ,  $\pi_2: X \times Y \to Y$  be the coordinate projections onto the X, Y subspaces of  $X \times Y$ . Then

$$\{\pi_1^{-1}(U): U \text{ open in } X\} \cup \{\pi_2^{-1}(V): V \text{ open in } Y\} =: S$$

is a sub-basis of the product topology.

PROOF.

The intersection of any two elements in S may be written as  $U \times V$ ,  $U \times Y$ , or  $X \times V$  for open subsets U, V of X, Y, respectively.



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**Eg. 1.3.2** Let  $X = \prod_{\alpha \in J}$ , with  $\pi_{\alpha} : X \to X_{\alpha}$  the coordinate projection. Then the product topology on X will have a sub-basis

$$S = \{\pi_{\alpha}^{-1}(U) : U \text{ open in } X_{\alpha} : \alpha \in J\}$$

with corresponding basis

$$\mathcal{B} = \left\{ \prod_{\alpha \in I} U_{\alpha} : U_{\alpha} \text{ open in } X_{\alpha} \right\}$$

where  $U_{\alpha} = X_{\alpha}$  except at finitely many indices.

DEF 1.12 A subset  $A \subseteq X$  is called *closed* if  $X \setminus A$  is open.

**PROP 1.4** Let X be a topological space. Then

- 1.  $X, \emptyset$  are closed
- 2. Finite unions of closed sets are closed
- 3. Infinite intersections of closed sets are closed

PROOF. We'll take a look at (2). Let  $A_1, A_2$  be closed. Then  $(A_1 \cup A_2)^C = A_1^C \cap A_2^C$  by De Morgan's. Then, This is open, since  $A_i^C$  is open, and hence  $A_1 \cap A_2$  is closed.

**PROP 1.5** If X is a metric space, then  $A \subseteq X$  is closed  $\iff$  for each  $x_n \to x$  (i.e.  $d(x_n, x) \to 0$ ), with  $x_i \in A$ , then  $x \in A$ .

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 $(\Longrightarrow)$  Suppose  $x \notin A$ , and hence  $x \in X \setminus A$ , which is open. Hence,  $B(x, \varepsilon) \subseteq X \setminus A$  for some  $\varepsilon > 0$ . Choose  $x_n : d(x_n, x) < \varepsilon$ . Then  $x_n \in B(x, \varepsilon) \subseteq X \setminus A$ , which is a contradiction. PROOF.

 $(\longleftarrow)$  Let  $x \in X \setminus A$ . Fix some n. We wish to show that there exists  $B(x, \frac{1}{n}) \subseteq X \setminus A$ . If not, then  $\exists x_n \in B(x, \frac{1}{n})$ . Repeating this, we have a sequence  $x_n \to x$  with  $x_n \in A$  and  $x \notin A$ , a contradiction.

Let A be a subset of a topological space X. The *interior of A*, denoted Int(A), is the union of DEF 1.13 all open sets contained in A. Hence, it is open. An alternative characterization of Int(A) is: the largest open subset of A.

Conversely, for  $A \subseteq X$ , the closure of A, denoted  $\overline{A}$ , is the intersection of all closed sets DEF 1.14 containing A. Hence, it is closed. An alternative characterization of  $\overline{A}$  is: the smallest closed set containing A.

We say A is dense in X if  $\overline{A} = X$ .

**DEF 1.15** 

For  $x \in X$ , U is called a *neighborhood* of x if  $x \in Int(U)$ .

**DEF 1.16** 

- **Eg. 1.4.1**  $[a, b] \subseteq \mathbb{R}$  is closed, as the compliment,  $(-\infty, a) \cup (b, \infty)$ , is open.
- **Eg. 1.4.2** "Closed balls," i.e.  $A = \{y : d(x,y) \le \varepsilon\}$  for  $x \in X, \varepsilon > 0$ , are closed. For  $y \in X \setminus A$ , choose  $\tilde{\varepsilon} = d(x, y) - \varepsilon$  to show openness.
- **Eg. 1.4.3** Int( $\mathbb{Q}$ ) =  $\emptyset$  and  $\overline{\mathbb{Q}} = \mathbb{R}$ , i.e.  $\mathbb{Q}$  is dense in  $\mathbb{R}$ .
- **Eg. 1.4.4**  $X \setminus Int(A) = \overline{X \setminus A}$
- **Eg. 1.4.5**  $U = \{y : d(x, y) \le \varepsilon\}$  is a neighborhood of x, since  $B(x, \varepsilon) \subseteq U$ . In general, any open set containing x is a neighborhood of x.

Let  $A \subseteq X$  be a topological space. Then  $x \in \overline{A} \iff$  each neighborhood U of x intersects A PROP 1.6 (i.e.  $U \cap A \neq \emptyset$ ).

We'll prove the contrapositive, i.e.  $x \notin \overline{A} \iff$  there exists a neighborhood U with

PROOF.

- $(\Longrightarrow)$  Take  $U=X\setminus\overline{A}$ , since  $x\in X\setminus\overline{A}$ . U is open, and hence a neighborhood. Since  $A \subseteq \overline{A}$ ,  $U \cap A = \emptyset$ .
- $(\Leftarrow)$  Let U be a neighborhood of x with  $U \cap A = \emptyset$ . Then  $X \setminus Int(U)$  is closed and contains A. Hence,  $\overline{A} \subseteq X \setminus \text{Int}(U)$ . But  $x \in \text{Int}(U)$ , so  $x \notin \overline{A}$ .

Let X, Y be topological spaces. A function  $f: X \to Y$  is continuous at  $x \in X$  if, for each DEF 1.17 neighborhood A of f(x), the preimage  $f^{-1}(A)$  is a neighborhood of x.

If  $f: X \to Y$  is continuous for all  $x \in X$ , we simply call f continuous.

**DEF 1.18** 

**PROP 1.7** f is continuous  $\iff$  for each open set  $U \subseteq Y$ ,  $f^{-1}(U)$  is open in X.