# Open Regions Foliated by Annulus Orbits

Hazel Brenner

Summer 2023

#### 1 Introduction

The following is a result about the structure of compact three-manifolds admitting fixed point-free Homeo<sub>0</sub>( $S^1$ ) actions having only one dimensional and annulus orbits. As a matter of convention, denote we denote configuration space actions and their lifts to covers by \*. A fact we will make repeated use of to prove these results is the following:

**Remark 1.1.** Let  $\pi_{SO(2)}$  denote the projection along the orbits of the rotation subgroup to the base orbifold. Then, since  $M^3$  and the base orbifold are compact Hausdorff spaces, by a classical fact from point-set topology,  $\pi_{SO(2)}$  is a closed map.

#### 2 Global structure

Consider the subset of M consisting of 1-dimensional orbits. The set  $fix(G_0)$  is closed, and  $\pi_{SO(2)}$  is a closed map, so  $\pi_{SO(2)}(fix(G_0))$  is closed in the base surface. By continuity then  $\pi_{SO(2)}^{-1}(\pi_{SO(2)}(fix(G_0)))$ , which is the union of all of the one-dimensional orbits, is closed. The complement is then an open subset of M which decomposes into annulus orbits. It is natural to ask about the structure of this open region. Below are two ipmortant structural results:

### 3 Foliation by Annuli

We seek to investigate the structure of an open region consisting of orbits of the same type. For what follows, consider X a connected component of the open region consisting entirely of annulus orbits. Of primary relevance in our investigation will be the map  $p: X \to \operatorname{Conf}_2(S^1)$  given by  $p(x) = (\theta, \varphi)$  where  $\theta$  and  $\varphi$  are the unique points in  $S^1$  such that  $x \in \operatorname{fix}(G_\theta \cap G_\varphi)$ . First, an elementary property of group actions.

**Remark 3.1.** Suppose there is an action of group G on M by homeomorphisms and H is a subgroup of G. Then, for all  $g \in G$ ,

$$g * fix(H) = fix(gHg^{-1})$$

*Proof.* Suppose  $y \in g * \text{fix}(H)$ , then  $g^{-1} * y \in \text{fix}(H)$ . I.e.,  $(hg^{-1}) * y = g^{-1} * y$  for all  $h \in H$ . So, by cancellation,  $(ghg^{-1}) * y = y$  for all  $h \in H$ ; thus,  $y \in \text{fix}(gHg^{-1})$ . Each step was reversible, so this concludes the proof of equality.

A similar (but dual) argument shows that for any  $f \in \text{Homeo}_0(S^1)$  and  $G_\theta$  a point stabilizer subgroup,  $fG_\theta f^{-1} = G_{f(\theta)}$ .

**Proposition 3.1.** The map p is equivariant, continuous, and its fibers are homeomorphic.

*Proof.* First, we check equivariance. Suppose  $x \in X$ ,  $f \in \text{Homeo}_0(S^1)$  and  $p(x) = (\theta, \varphi)$ . Under the standard configuration space action  $f * p(x) = (f(\theta), f(\varphi))$ . So we, need to check that  $\rho(f)(x) \in \text{fix}(\rho(G_{f(\theta)} \cap G_{f(\varphi)}))$ . By the remark,

$$\rho(f)(\operatorname{fix}(G_{\theta} \cap G_{\varphi})) = \operatorname{fix}(\rho(f(G_{\theta} \cap G_{\varphi})f^{-1}))$$

Then, pulling the conjugation through the intersection and applying the second remark,

$$\rho(f)(\operatorname{fix}(G_{\theta} \cap G_{\varphi})) = \operatorname{fix}(\rho(G_{f(\theta)} \cap G_{f(\varphi)}))$$

Thus,  $f * p(x) = p(\rho(f)(x))$ .

Second, we check continuity by checking that open neighborhoods of points in  $conf_2(S^1)$  pull back to open subsets of X. Let (a,b) be a point  $conf_2(S^1)$  and U=(I,J) be a small open neighborhood of (a,b) consisting of small disjoint open intervals I and J around a and b in  $S^1$ . Denote by H(I) and H(J) the subgroups of  $Homeo_0(S^1)$  supported on I and J respectively. We will show that  $p^{-1}(U)$  is open by proving that

$$p^{-1}(U) = X - (\operatorname{fix}(\rho(H(I))) \cup \operatorname{fix}(\rho(H(J))))$$

Suppose  $x \notin p^{-1}(U)$ , then in particular for  $p(x) = (\theta, \varphi)$ , assume without loss of generality  $\theta, \varphi \notin I$ . Then by definition, every element of H(I) fixes  $(\theta, \varphi)$ , so by equivariance,  $x \in \text{fix}(\rho(H(I)))$ . In particular, x is not in the right hand side of the desired equality. Now, suppose  $x \in p^{-1}(U)$ , then in particular  $p(x) = (\theta, \varphi)$  for some  $\theta \in I, \varphi \in J$ . Fix an equivariant homeomorphism  $\varphi$  from the  $\text{Homeo}_0(S^1)$  orbit of x to  $\text{PConf}_2(S^1)$  such that  $\psi(x) = (\theta, \varphi)$ . Then, in particular we know  $\rho(f)(x) = \psi^{-1}(f(\theta), f(\varphi))$  and thus if  $f \in H(I) - G_{\theta}$  then  $f \notin \text{Stab}(x)$ . The contrapositive of this is then that  $x \notin \text{fix}(\rho(H(I)))$ . A symmetric argument will show that  $x \notin \text{fix}(\rho(H(J)))$ , so it is not in the union. Since fixed point sets are closed, their union is as well, thus the complement here is open. So this shows p is open.

Finally, we can demonstrate that for a point  $(x,y) \in \operatorname{Conf}_2(S^1)$ , there is a homeomorphism from F to  $p^{-1}(x,y)$ . Simply choose a homeomorphism  $h \in \operatorname{Homeo}_0(S^1)$  such that h(b) = (x,y), then by equivariance of p,  $\rho(h)$  restricts to a homeomorphism  $F \to p^{-1}(x,y)$ .

Next, rather than belabor a proof that p in fact gives a bundle structure, we will build a homeomorphism between X and a particular generalized flat bundle over  $Conf_2(S^1)$  that pulls its projection map back to p.

**Remark 3.2.** Suppose for some topological spaces X, Y and B such that  $p: X \to B$  is a topological fiber bundle and  $h: X \to Y$  is a homeomorphism. Suppose  $q: Y \to B$  is a map such that the relevant triangle over B commutes. Then  $q: Y \to B$  is a fiber bundle and in particular h is a bundle isomorphism.

The relevant bundle is constructed as follows.

**Observation.** For convenience, assume that the  $S^1$  coordinates of the basepoint are antipodal. There is an  $\mathbb{Z}_2$  action on  $\operatorname{PConf}_2(S^1) \times p^{-1}(b)$  given by  $\tau \times \rho(r_\pi)|_{p^{-1}(b)}$  where  $r_\pi$  is the half turn rotation and  $\tau$  is the unique nontrivial deck transformation of  $\operatorname{PConf}_2(S^1) \to \operatorname{Conf}_2(S^1)$ . The restriction  $\rho(r_\pi)|_{p^{-1}(b)}$  is a homeomorphism from  $p^{-1}(b) \to p^{-1}(b)$  since every orbit contains exactly two points of  $p^{-1}(b)$  and p is equivariant. This is a product of (fixed point-free) homeomorphisms, so this action is continuous and free, and  $\operatorname{PConf}_2(S^1) \times p^{-1}(b)/\mathbb{Z}_2 \to \operatorname{PConf}_2(S^1) \times p^{-1}(b)$  is a two-fold covering map.

We begin by building the map which we will demonstrate to be a homeomorphism. First we check that it is a continuous bijection:

**Proposition 3.2.** There is a continuous bijection from X to  $(PConf_2(S^1) \times F)/\mathbb{Z}_2$  where  $\mathbb{Z}_2$  acts on  $PConf_2(S^1) \times F$  by the involution which exchanges  $\theta$  and  $\phi$  coordinates on  $PConf_2(S^1)$  and swaps the all of the pairs of points in F lying in the same orbit.

Proof. To start, we fix a basepoint  $b \in \operatorname{Conf}_2(S^1)$  and label the two choices of lifts in  $\operatorname{PConf}_2(S^1)$  as  $\tilde{b}_0$  and  $\tilde{b}_1$  with the property that  $\tilde{b}_0 = \tau(\tilde{b}_1)$  where  $\tau : \operatorname{PConf}_2(S^1) \to \operatorname{PConf}_2(S^1)$  is the involution which swaps the two points on the circle. Then, if  $x \in F = p^{-1}(b)$ , by the orbit classification theorem, there are two choices of equivariant homeomorphism from the orbit of x to  $\operatorname{PConf}_2(S^1)$  with the standard action; namely,  $\Phi_{0_x}$  and  $\Phi_{1_x}$  which send x to  $\tilde{b}_0$  and  $\tilde{b}_1$  respectively. We begin by constructing a map

$$\hat{I}: \mathrm{PConf}_2(S^1) \times F \to X.$$

Given  $x = (\alpha, \beta) \in \text{PConf}_2(S^1)$  and  $y \in F$ , then choose  $f_x \in \text{Homeo}_0(S^1)$  such that  $f_x * (\alpha, \beta) = \tilde{b}_0$ . Then,

$$\hat{I}((\alpha, \beta) \times y) := \rho(f_x^{-1})(y)$$

 $\hat{I}$  is well-defined. Suppose  $f_x$  and  $g_x$  are two such maps. Then,  $f_x \circ g_x^{-1}$  fixes b, thus also fixes y. Reworded, there is some  $h \in \operatorname{stab}(y)$  such that  $f_x = h \circ g_x$ . Then,

$$\rho(f_x^{-1})(y) = \rho(g_x^{-1}h^{-1})(y) = \rho(g_x^{-1})(y)$$

 $\hat{I}$  descends. Let  $(\alpha, \beta) \times y$  and  $(\beta, \alpha) \times y'$  be two points which are identified by the  $\mathbb{Z}_2$  action. Notice that the map  $\Phi_0$  centered at y must take y' to  $\tilde{b}_1$ . Now choose a map  $f_x \in \text{Homeo}_0(S^1)$  which moves  $(\alpha, \beta)$  to  $\tilde{b}_0$ , and  $f'_x$  which moves  $(\beta, \alpha)$  to  $\tilde{b}_0$ . By working in  $\Phi_0$  coordinates at y, we see that  $\rho(f_x^{-1})(y) = \Phi_{0_y}^{-1}(f_x^{-1} * \tilde{b}_0)$ . By construction, this is the same as  $\Phi_{0_y}^{-1}(\alpha, \beta)$ . By the same argument,  $\rho(f'_x^{-1})(y') = \Phi_{0_{y'}}^{-1}(\beta, \alpha)$ . Since the change of coordinates map is  $\tau$ , these are the same point.

I is bijective. We refer to the induced map on the quotient as I. We wish to demonstrate that this map is a homeomorphism. Surjectivity is clear since every point in X belongs to some orbit of a point in  $p^{-1}(b)$ . To see injectivity, suppose  $(\theta, \varphi) \times y$  and  $(\theta', \varphi') \times y'$  map to the same point under I. First note that, trivially, y and y' must be points of  $p^{-1}(b)$  which lie in the same orbit in X. This then proceeds by an identical argument to the argument that the map descends, ultimately showing that if y and y' are the same point, we must have  $(\theta, \varphi) = (\theta', \varphi')$  and if y and y' are distinct,  $(\theta, \varphi) = \tau(\theta', \varphi')$ .

I is continuous. Finally, we will check sequential continuity. Suppose  $(m_i, y_i)$  converges to (m, y) in  $(\operatorname{PConf}_2(S^1) \times F)/\mathbb{Z}_2$ . Then, we are free to choose a sequence  $\{f_{m_i}\} \subset \operatorname{Homeo}_0(S^1)$  converging to  $f_m$  a representative function for producing I(m, y). Then, by the continuity of the  $\operatorname{Homeo}_0(S^1)$  action  $\{\rho(f_{m_i})\}$  is a sequence converging to  $\rho(f_m)$  in  $\operatorname{Homeo}_0(X)$ . In particular, since X is a manifold, convergence in  $\operatorname{Homeo}_0(X)$  implies pointwise convergence, so

$$I(m_i, y_i) = \rho(f_{m_i}^{-1})(y_i) \to \rho(f_m^{-1})(y) = I(m, y).$$

To complete the proof that this map is, in fact, a homeomorphism, we will show that it is proper. To do this, we will need the following lemma.

**Lemma 3.1.** Suppose M, N closed manifolds such that  $\operatorname{Homeo}_0(M)$  acts continuously on N. Further, suppose K is a compact subset of N and S is a compact subset of  $\operatorname{Homeo}_0(M)$ , then  $\rho(S)(K) := \bigcup_{\sigma \in S} \rho(\sigma)(K)$  is compact.

Proof. Let  $\{x_n\}$  be a sequence of points in  $\rho(S)(K)$  converging to x. Then denote by  $\sigma_n$  a sequence of elements of S such that  $x_n \in \rho(\sigma_n)(K)$ . Using the continuity of the action map, clearly  $\rho(S) \subseteq \operatorname{Homeo}_0(N)$  is compact. Since  $\operatorname{Homeo}_0(N)$  is metric, we can pass to a convergent subsequence of  $\{\rho(\sigma_n)\}$ . In particular, since N is closed, convergence of a sequence in  $\operatorname{Homeo}_0(N)$  implies uniform convergence when considered as a sequence of functions from N to N. Since  $\rho(\sigma_n)$  converges to some  $\rho(\sigma)$  uniformly, and inversion is continuous in  $\operatorname{Homeo}_0(N)$ ,  $\rho(\sigma_n^{-1})$  converges uniformly to  $\rho(\sigma^{-1})$ . Then, by the general metric space remark 3.3,  $\rho(\sigma_n^{-1})(x_n)$  converges to  $\rho(\sigma^{-1})(x)$ . But, by choice,  $\rho(\sigma_n^{-1})(x_n)$  is a sequence in K, so in particular,  $\rho(\sigma^{-1})(x) \in K$  since K is closed by the Hausdorffness of K. But, this means that  $K \in \rho(\sigma)(K) \subseteq \rho(K)(K)$ ; thus,  $\rho(S)(K)$  is closed, and in particular, compact.

For completeness, the following is a brief presentation of the metric space fact used in the above proof

**Remark 3.3.** Suppose X, Y are metric space,  $f_n : X \to Y$  a sequence of continuous functions converging uniformly to f and  $\{x_n\}$  is a sequence converging to x, then  $f_n(x_n)$  converges to f(x)

Proof. Let  $\varepsilon > 0$ . By uniform convergence of  $\{f_n\}$ , there is some N such that for all  $n \geq N$  we have  $d(f_n(x_n), f(x_n)) \leq \varepsilon/2$  for all  $n \geq N$ . Since f is continuous and  $x_n$  converges to x, there is some other N' such that for all  $n \geq N'$  we have  $d(f(x_n), f(x)) \leq \varepsilon/2$ . By taking  $n \geq \max\{N, N'\}$ , and applying the triangle inequality, we arrive at  $d(f_n(x_n), f(x)) \leq \varepsilon$  as desired.

We will complete the proof that I is a homeomorphism, by showing that I is proper since a continuous, proper bijection is a homeomorphism. Recall,

**Definition 3.1.** Let X and Y be metric spaces. A sequence  $\{x_n\}$  diverges to infinity if every compact set K in X contains at most finitely many points of  $\{x_n\}$ .

A map  $f: X \to Y$  is proper if  $\{x_n\}$  diverges to infinity implies  $\{f(x_n)\}$  diverges to infinity.

could write something slightly slicker with change of coordinates map here

Finally,

**Proposition 3.3.** The map  $I: (\operatorname{PConf}_2(S^1) \times F)/\mathbb{Z}_2 \to X$  is proper.

Proof. Let  $(x_n)$  be a sequence in  $(P\operatorname{Conf}_2(S^1) \times F)/\mathbb{Z}_2$  diverging to infinity. We consider the sequence  $(I(x_n)) \subset X$ . Let  $K \subsetneq X$  be some compact set. We wish to show that K may contain only finitely many points of  $(I(x_n))$ . First, consider  $\{p(I(x_n))\} \cap p(K)$ . If this collection were finite, we would be finished as K could then only contain finitely many of  $(I(x_n))$ , so suppose without loss of generality it is infinite. Denote by  $(y_n)$  the subsequence of  $(x_n)$  such that  $p(I(y_n)) \in p(K)$ . Note that p is continuous, so in particular p(K) is compact; furthermore, since  $\pi : P\operatorname{Conf}_2(S^1) \to \operatorname{Conf}_2(S^1)$  is a finite-sheeted covering, it is proper, so  $\pi^{-1}(p(K)) \subsetneq P\operatorname{Conf}_2(S^1)$  is compact. By the definition of the map p, if  $p(I(x_n))$  is in p(K), then there is a lift  $\tilde{x}_n$  such that  $\operatorname{pr}_{P\operatorname{Conf}_2(S^1)}(\tilde{x}_n)$  is in  $\pi^{-1}(p(K))$ . Thus there exist lifts  $(\tilde{y}_n)$  such that  $\pi-1(p(K))$  contains  $(\operatorname{pr}_{P\operatorname{Conf}_2(S^1)}(\tilde{y}_n))$ . If there were some compact K' containing infinitely many of  $(\operatorname{pr}_F(\tilde{y}_n))$  for any choice of lifts, then the image of  $\pi^{-1}(p(K)) \times K'$  under the  $\mathbb{Z}_2$  quotient is a compact set containing infinitely many points of  $(y_n) \subseteq (x_n)$ , but  $(x_n)$  diverges to infinity, so no such compact set can exist. Thus, there is no such K' and  $\operatorname{pr}_F(\tilde{y}_n)$  must diverge to infinity for any choices of lifts.

The goal for what follows is to construct a large compact set  $\hat{K}$  containing K with the property that  $I(y_n) \in \hat{K}$  if and only if  $\operatorname{pr}_F(\tilde{y}_n) \in \hat{K} \cap p^{-1}(b_0)$  as such a  $\hat{K}$  may contain only finitely many of  $(I(y_n))$ . Consider the set  $\mathcal{P}_N * SO(2) \subset \operatorname{Homeo}_0(S^1)$  defined as:

$$\mathcal{P}_N * SO(2) \coloneqq \left\{ P_t R \mid P_t = \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} t \in [-N, N], R \in SO(2) \right\}$$

Where SO(2) is the rotation subgroup and  $P_t$  is considered as an element of  $PSL(2;\mathbb{R})$ . Note that  $\mathcal{P}_N * SO(2)$  is a group product of two continuous families in  $\mathrm{Homeo}_0(S^1)$  which intersect at the identity, so it is homeomorphic to their topological product, i.e. a closed annulus; thus,  $\mathcal{P}_N * SO(2)$  is compact for all  $N \in \mathbb{R}$ . Moreover, if  $B \subset \mathrm{Conf}_2(S^1)$  is compact, there is some  $N \in \mathbb{R}$  with the property that for every  $x \in B$ , there is some element  $f \in \mathcal{P}_N * SO(2)$  such that f \* x = b. The procedure to construct this element is as follows. Since B is compact, there is a uniform  $\varepsilon$  such that for all  $(\alpha, \beta) \in B$ ,  $|\beta - \alpha| > \epsilon$ . Suppose without loss of generality that  $b_0 = (0, \theta)$  for some  $\theta$ . Then, let  $\varepsilon' = \min(\varepsilon, \theta, 1 - \theta)$ . Now, for  $N = 2 * \cot(\pi \varepsilon')$ , the element  $P_N$  satisfies  $P_N(\varepsilon') = 1 - \varepsilon'$ , so in particular, for any  $\beta, \beta' \in [\varepsilon', 1 - \varepsilon']$  there is some  $t_{\beta\beta'} \in [-N, N]$  such that  $P_{t_{\beta\beta'}}(\beta) = \beta'$ . Thus, the element of  $\mathcal{P}_N * SO(2)$  taking  $(\alpha, \beta)$  to  $(0, \theta)$  is  $P_{t_{(\beta-\alpha)\theta}} R_{-\alpha}$ . Moreover, since, by construction, N was uniform over B, this is satisfied by the same  $\mathcal{P}_N * SO(2)$ .

Now, fix an appropriate N for p(K), then by applying lemma 3.1, we get that

$$\hat{K} := \bigcup_{f \in \mathcal{P}_N * SO(2)} \rho(f)(K)$$

is a compact set containing K. Moreover,  $\hat{K}$  has the property that if  $I(x) \in K$ , then  $\operatorname{pr}_F(\tilde{x}) \in \hat{K} \cap p^{-1}(b)$  which is a subset of the fiber F, since F is defined to be  $p^{-1}(b)$ . This is easy to see since fixing an orbit A, by definition the  $I^{-1}$  image of each point in A has a lift whose F coordinate is each of the points in  $p^{-1}(b) \cap A$ . At this point, we are essentially finished though. Since p-1(b) is closed,  $\hat{K} \cap p^{-1}(b)$  is compact in X and thus compact in p-1(b). But, as observed earlier, any sequence  $(\operatorname{pr}_F(\tilde{y}_n))$  must diverge to infinity where  $(\tilde{y}_n)$  is any sequence of lifts  $(y_n)$ , so in particular,  $\hat{K}$  contains only finitely many F projections of lifts of points in  $(y_n)$ , so K contains only finitely many of the points of  $(y_n)$  and thus  $(x_n)$ 

## 4 2D Orbits Compactify Nicely

As a small point of notation, recall the following definition from point set topology:

**Definition 4.1.** The *frontier* of a set X, denoted fr(X) is defined as  $\overline{X} - X$ 

When X is a 2-dimensional orbit, we use the following notation for convenience.

**Definition 4.2.** Let A be an annulus orbit, fix a model annulus  $f: A \to S^1 \times I$ . Then, denote by  $fr_+(A)$  the set of frontier points which can be accumulated by sequences with increasing I coordinate and  $fr_-(A)$  analogously. Note that  $fr_+(A) \cup fr_-(A) = fr(A)$ , but they are not necessarily distinct. We can compatibly label subsets of the frontier of a  $G_0$  invariant interval in an annulus A. For notational consistency, when X is a Möbius band orbit, let  $fr_+(X) = fr_-(X) = fr(X)$ .

For the following two proofs, we want to make use of a kind of canonical coordinates on interval orbits of  $G_0$ .

Remark 4.1. [?] Suppose A is an annulus orbit of  $Homeo_0(S^1)$  and  $I \subset A$  is an interval orbit of  $G_0$ . Then, we can define two homeomorphisms  $\varphi, \psi : (0,1) \to I$  by  $\varphi(\theta) = \operatorname{fix}(G_0 \cap G_\theta)$  and  $\psi(\theta) = \operatorname{fix}(G_0 \cap G_{-\theta})$ . One of these maps will send monotonic sequences that converge to 1 to sequences which converge to something in  $\operatorname{fr}_+(I)$ , we will denote the inverse of this map as  $f_I$ , which we will refer to as the *canonical homeomorphism between I and*  $S^1 - \{0\}$ . Note, the same construction works for the one interval orbit in a Möbius band orbit.

The following results are true for 2-dimensional orbits of any  $\operatorname{Homeo}_0(S^1)$  action without fixed points on a closed 3-manifold.

**Proposition 4.1.** Suppose X is an 2-dimensional orbit, then fr(X) consists of 1-dimensional orbits.

*Proof.* Trivially, fr(X) contains no 3-dimensional orbit.

Suppose toward a contradiction that fr(X) contains some 2-dimensional orbit X'. Now, consider the restriction of the  $Homeo_0(S^1)$  action to the point stabilizer subgroup  $G_0$ . There is some interval orbit I in X. Since  $\pi_{SO(2)}$  is continuous and closed,

$$\pi_{SO(2)}(\bar{I}) = \overline{\pi_{SO(2)}(I)} = \overline{\pi_{SO(2)}(A)} = \pi_{SO(2)}(\bar{A}).$$

In particular, this means that fr(I) must nontrivially intersect X'. The closure of every  $G_0$  orbit in X' contains at least one interval orbit I', so  $I' \subseteq fr(I)$ . In particular, there is some sequence of points  $\{x_n\} \subset I$  converging to a point  $x \in I'$ .

From the remark, there are continuous bijections  $f: I \to S^1 - \{0\}$  and  $g: I' \to S^1 - \{0\}$  given by mapping the unique fixed point of  $G_\theta \cap G_0$  on I to  $\theta \in S^1 - \{0\}$  and similarly for I'. Then, up to a subsequence,  $\{f(x_n)\}$  is a monotonic sequence converging to 0. So we can choose a monotonic element  $\phi \in G_0$  satisfying  $\phi(f(x_k)) = f(x_{k+1})$ . In particular, we must have that  $\{\rho(\phi)(x_n)\}$  converges to x, but by monotonicity,  $\rho(\phi)(x) \neq x$ , violating continuity, which is a contradiction. Thus  $f(x) \cap X = \emptyset$ 

Note that, in particular if X is an annulus orbit in a component foliated by annuli, this implies that fr(X) is a subset of the frontier of the component.

We can in fact say something stronger.

**Proposition 4.2.** Suppose X is a 2-dimensional orbit. Then,  $fr_+(X)$  and  $fr_-(X)$  are (not necessarily distinct) one-dimensional orbits.

Proof. Suppose that  $\sigma$  and  $\sigma'$  are invariant circles in (WLOG)  $\operatorname{fr}_+(X)$  with respective  $G_0$  fixed points x and x'. Let I be a  $G_0$ -invariant interval in X. By the same reasoning as the previous result,  $x, x' \in \operatorname{fr}(I)$ , so let  $\{a_k\}$  and  $\{b_k\}$  be sequences in I reperesenting x and x'. Since both sequences are monotonic in I, there is a homeomorphism  $f \in G_0$  such that  $\rho(f)(a_k) = b_k$ . By continuity, this implies that  $\{b_k\}$  converges to  $\rho(f)(x)$ , which is x, since  $x \in \operatorname{fix}(G_0)$ . Thus, x = x'; moreover,  $\sigma = \sigma'$  since every fixed point of  $G_0$  is part of a unique 1-dimensional orbit of Homeo<sub>0</sub>( $S^1$ ).

This result can be rephrased in a slightly more concrete way.

Corollary 4.1. When X is an annulus orbit,  $\bar{X}$  is either an invariant closed annulus with boundary circles  $fr_+(A)$  and  $fr_-(A)$  or an invariant  $T^2$  when  $fr_-(A) = fr_+(A)$ .

When X is a Möbius band orbit,  $\bar{X}$  is an invariant closed Möbius band, with invariant boundary circle fr(X).

#### Notes