



Posts and Telecommunication Institute of Technology  
Faculty of Information Technology 1

## Introduction to Artificial Intelligence

Solving problems by searching

Ngo Xuan Bach

# Outline

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- ▶ The search problem in state spaces
- ▶ Examples
- ▶ Basic search algorithms

# Outline

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- ▶ The search problem in state spaces
  - Search and AI
  - Search problem formulation
  - Criteria for evaluating search algorithms
- ▶ Examples
- ▶ Basic search algorithms

# Search & AI

- ▶ Many problems can be formulated as a search problem
  - Game: find an **optimal movement** (take advantages)
  - Planning: find a **solution that satisfies requirements** (constraint satisfaction)
  - Route finding: find the **optimal path** (length, time, cost, ...)
  
- ▶ Search is an important research direction of AI
  - Developing efficient search algorithms (especially in cases where the search space is large)
  - Foundations of many other research branches of AI
    - Machine learning, Natural language processing, inference

# Search problem formulation

A search problem can be formulated through 5 components

1. A finite set of possible **states**:  $Q$
2. A set of **initial states**:  $S \subseteq Q$
3. **Operator or successor function**  $P(x)$ , set of states reachable from  $x$  by any single action
4. **Goal test**:
  - Explicitly: a set of possible goal states  $G \subseteq Q$
  - Implicitly: specified by an abstract property
5. **Path cost**
  - Sum of the costs of the individual actions along the path
  - $c(x, a, y) \geq 0$ , cost moving from state  $x$  to state  $y$  by taking action  $a$

A **solution** is a path (a series of actions) from the initial state to a goal state

# Criteria for evaluating search algorithms

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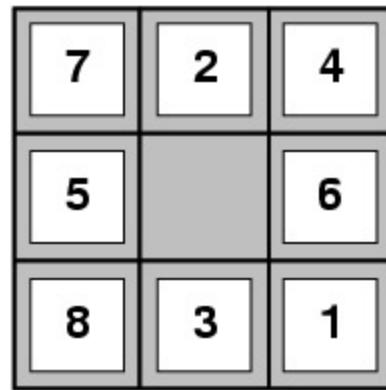
- ▶ Computational complexity (time complexity)
  - The amount of computation required to find the solution
  - Number of states to consider before finding a solution
- ▶ Space complexity
  - Number of states to store concurrently in memory when executing the algorithm
- ▶ Completeness
  - Is the algorithm guaranteed to find a solution when there is one?
- ▶ Optimality
  - Does the algorithm find the highest-quality solution when there are several different solutions?

# Outline

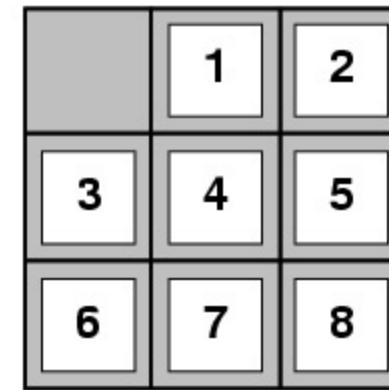
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- ▶ The search problem in state spaces
- ▶ Examples
  - 8-puzzle game
  - 8-queen problem
- ▶ Basic search algorithms

# 8-puzzle game (1/2)



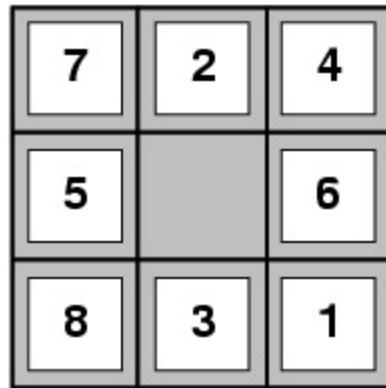
Start State



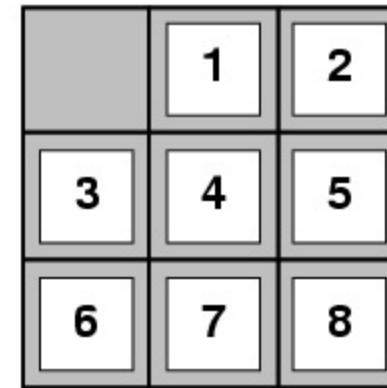
Goal State

(Russell & Norvig, 2010)

## 8-puzzle game (2/2)



Start State



Goal State

(Russell & Norvig, 2010)

- ▶ **States**: combination of cell positions
- ▶ **Initial state**: arbitrary state
- ▶ **Action**: move the empty cell up, down, left, right
- ▶ **Goal**: a pre-defined goal state
- ▶ **Cost**: number of movements

## 8-queen problem (1/2)

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Place 8 queens on an 8x8 chess board so that no queens threaten each other

## 8-queen problem (2/2)

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Place 8 queens on an 8x8 chess board so that no queens threaten each other

- ▶ **State**: arrangement of 0 to 8 queens on the board
- ▶ **Initial state**: no queen on the board
- ▶ **Action**: place a queen on an empty cell
- ▶ **Goal**: 8 queens on an 8x8 chess board so that no queens threaten each other

# Outline

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- ▶ The search problem in state spaces
- ▶ Examples
- ▶ **Basic search algorithms**
  - General search algorithm
  - Breadth-first search (BFS)
  - Uniform-cost search (UCS)
  - Depth-first search (DFS)
  - Iterative deepening search (IDS)

# General search algorithm (1/3)

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- ▶ **General idea:** consider states, using successor functions to extend those states until the desired state is reached
- ▶ Expanding states creates a “search tree”
  - Each state is a node
  - **Open nodes** are nodes waiting for further expansion
  - Expanded nodes are called **closed nodes**

# General search algorithm (2/3)

*Search*( $Q, S, G, P$ )

( $Q$ : state space,  $S$ : initial state,  $G$ : goals,  $P$ : successor function)

**Input:** search problem

**Output:** goal state (path to the goal state)

**Initialize:**  $O \leftarrow S$  ( $O$ : the open node list)

**while**( $O \neq \emptyset$ ) **do**

1. Select a node  $n \in O$  and delete  $n$  from  $O$
2. **if**  $n \in G$ , **return** (path to  $n$ )
3. Add  $P(n)$  to  $O$

**return:** no solution

# General search algorithm (3/3)

*Search*( $Q, S, G, P$ )

( $Q$ : state space,  $S$ : initial state,  $G$ : goals,  $P$ : successor function)

**Input:** search problem

**Output:** goal state (path to the goal state)

**Initialize:**  $O \leftarrow S$  ( $O$ : the open node list)

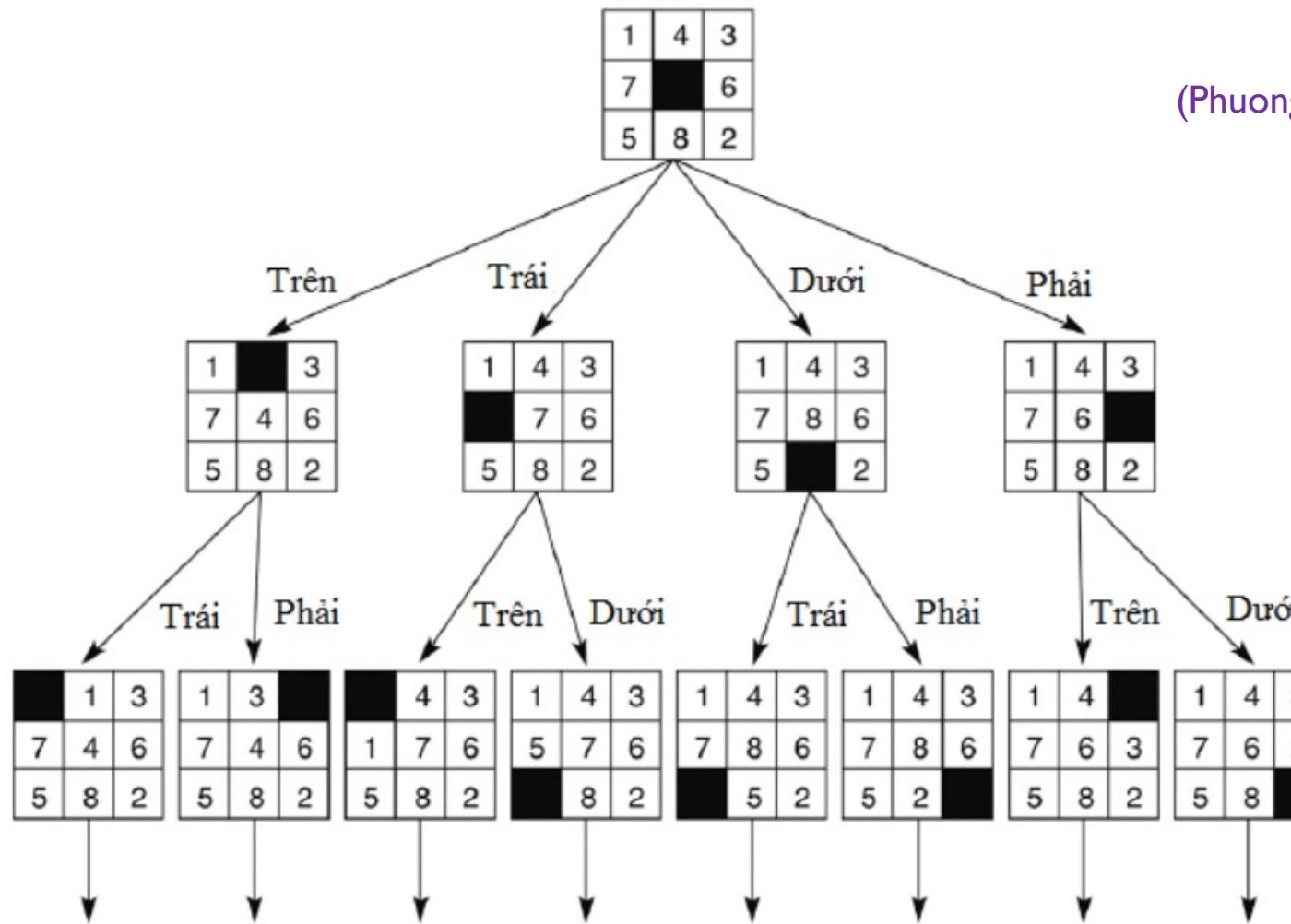
**while**( $O \neq \emptyset$ ) **do**

1. Select a node  $n \in O$  and delete  $n$  from  $O$
2. **if**  $n \in G$ , **return** (path to  $n$ )
3. Add  $P(n)$  to  $O$

**return:** no solution

How to select  
node  $n$ ?

# Example of a search tree



(Phuong TM, 2016)

# Search strategies

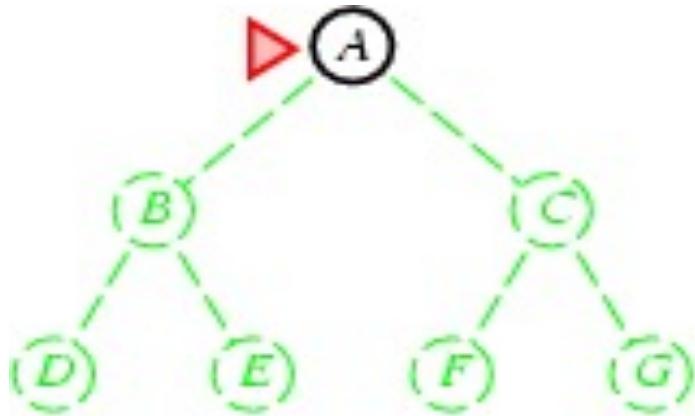
- ▶ A search strategy is determined by **the order in which the nodes in the search tree are expanded**
- ▶ Criteria for evaluating search strategies:
  - **Completeness**: is guaranteed to find a solution (when there is)?
  - **Computational complexity**: number of generated nodes
  - **Space complexity**: number of nodes stored concurrently in memory
  - **Optimality**: does the algorithm find the best solution?
- ▶ The complexity is calculated based on the following parameters
  - $b$ : branching factor (of the search tree)
  - $d$ : depth of the solution
  - $m$ : maximum depth of the state space (may be  $\infty$ )

# Blind search (Uninformed search)

- ▶ Blind search only uses information according to the problem statement during the search process
- ▶ Blind search algorithms
  - Breadth-first search (BFS)
  - Uniform-cost search (UCS)
  - Depth-first search (DFS)
  - Iterative deepening search (IDS)

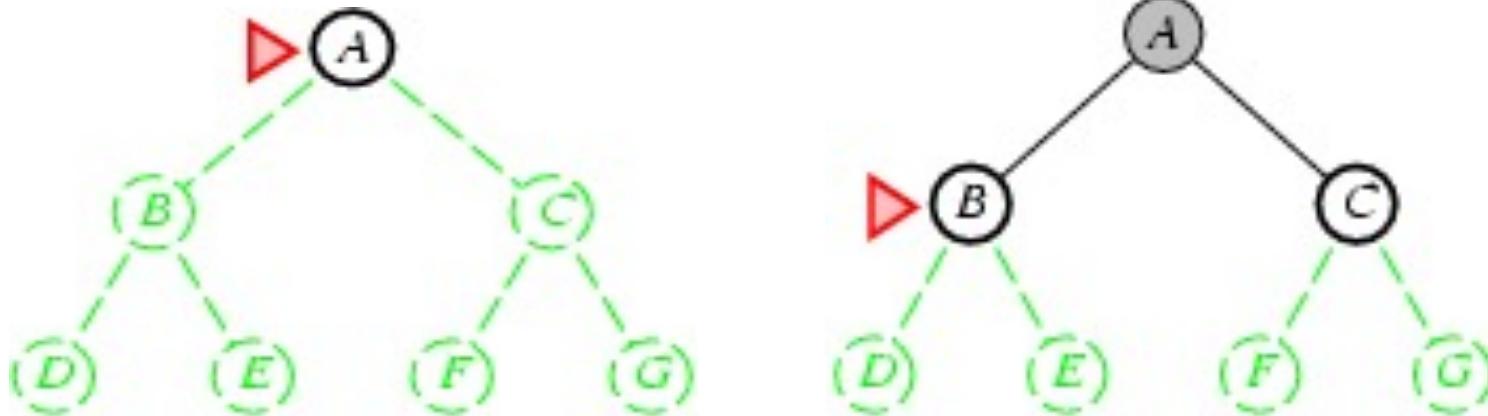
# Breadth-first search – BFS (1 / 4)

- ▶ **Principle:** among open nodes, choose the shallowest node (closest to the root) to expand



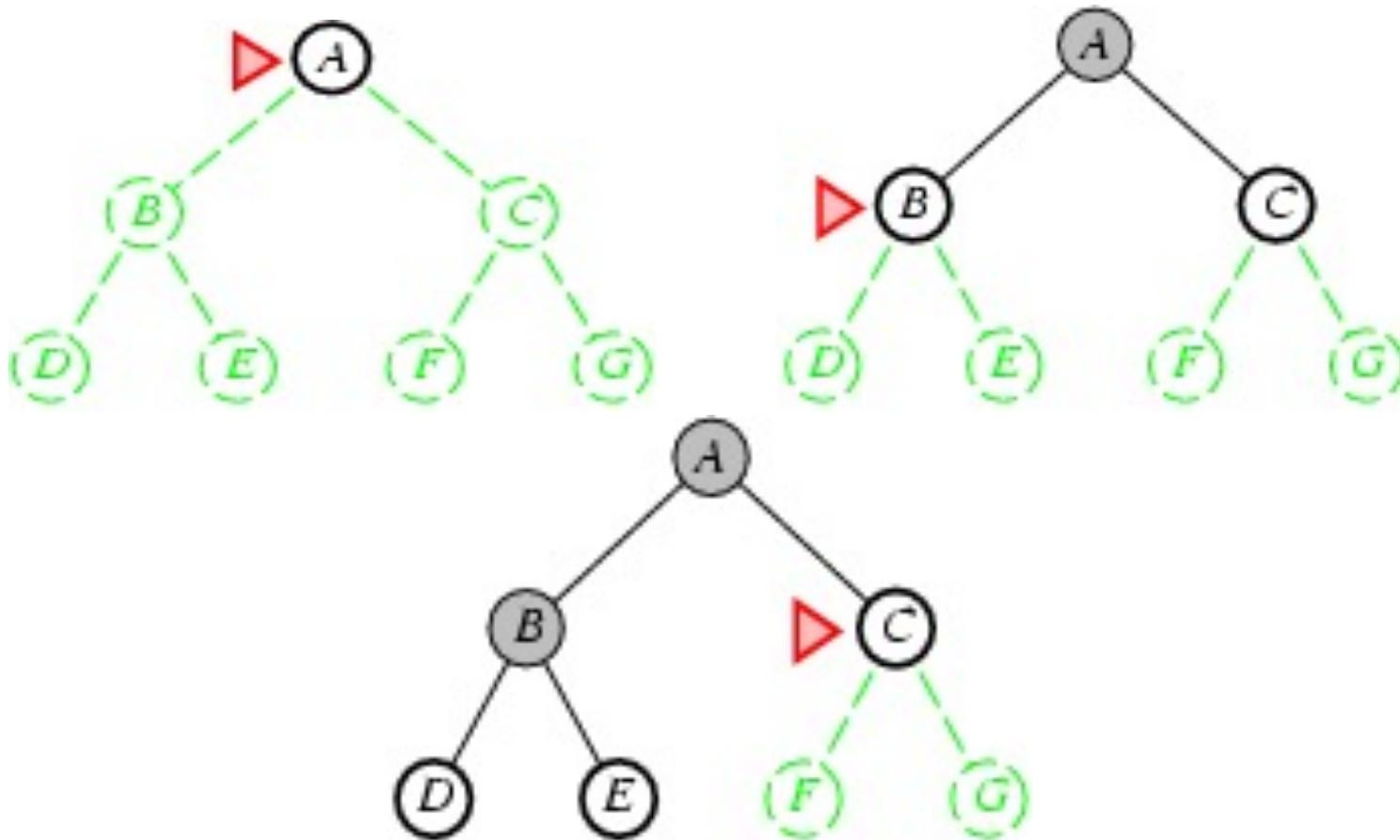
## Breadth-first search – BFS (2/4)

- ▶ **Principle:** among open nodes, choose the shallowest node (closest to the root) to expand



## Breadth-first search – BFS (3/4)

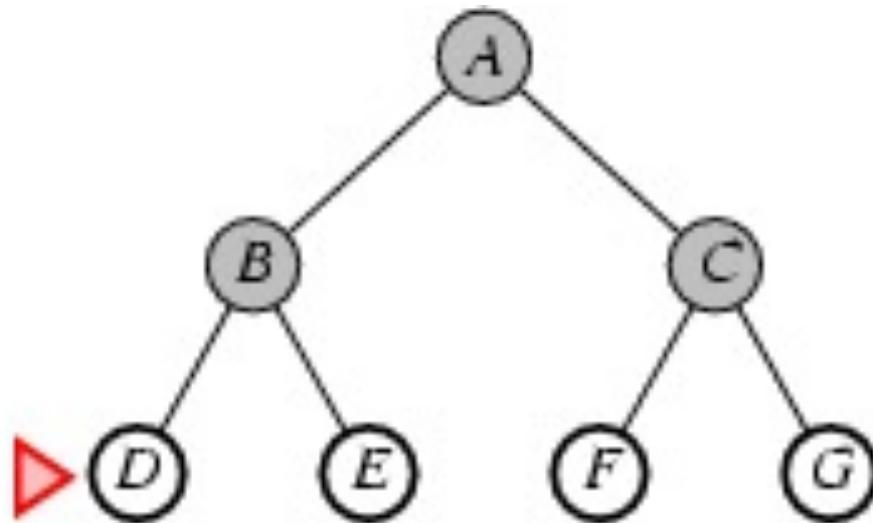
- ▶ **Principle:** among open nodes, choose the shallowest node (closest to the root) to expand



# Breadth-first search – BFS (4/4)

## ▶ Remember the path

- When switching to a node, remember the parent node of that node by using a back pointer
- After reaching the goal, the back pointer is used to find the path back to the initial node



# BFS algorithm (1/2)

*Search*( $Q, S, G, P$ )

( $Q$ : state space,  $S$ : initial state,  $G$ : goals,  $P$ : successor function)

**Input:** search problem

**Output:** goal state (path to the goal state)

**Initialize:**  $O \leftarrow S$  ( $O$ : the open node list)

**while**( $O \neq \emptyset$ ) **do**

1. take the **first node**  $n$  from  $O$
2. **if**  $n \in G$ , **return** (path to  $n$ )
3. add  $P(n)$  **to the end** of  $O$

**return** no solution

## BFS algorithm (2/2)

*Search*( $Q, S, G, P$ )

( $Q$ : state space,  $S$ : initial state,  $G$ : goals,  $P$ : successor function)

**Input:** search problem

**Output:** goal state (path to the goal state)

**Initialize:**  $O \leftarrow S$  ( $O$ : the open node list)

**while**( $O \neq \emptyset$ ) **do**

1. take the **first node**  $n$  from  $O$
2. **if**  $n \in G$ , **return** (path to  $n$ )
3. add  $P(n)$  **to the end** of  $O$

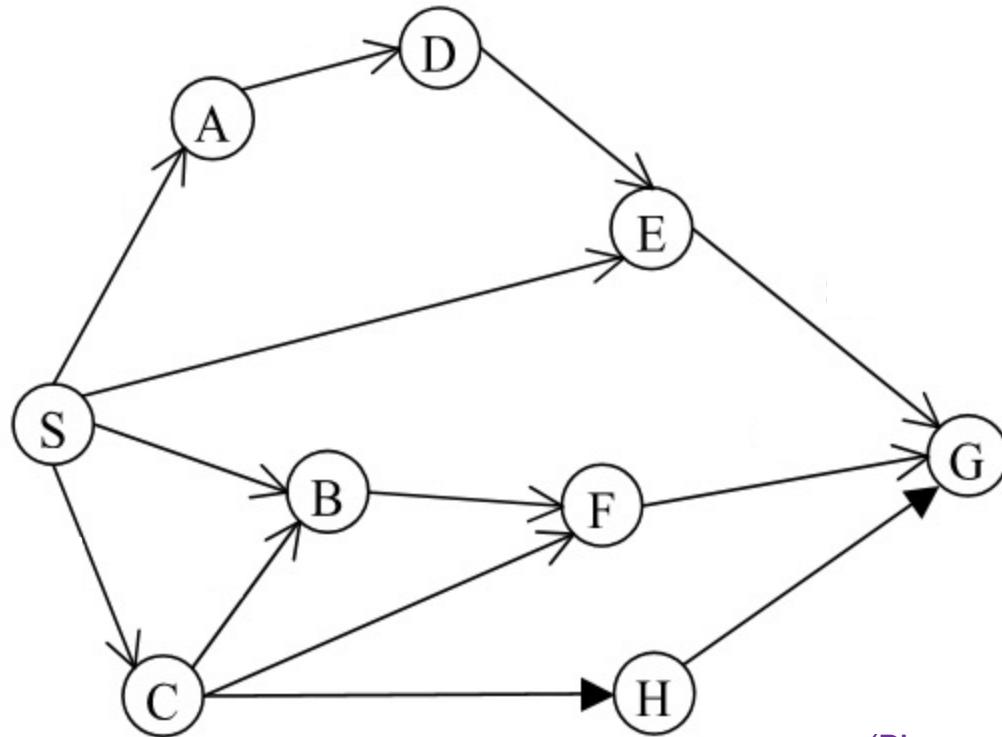
**return** no solution

FIFO data  
structure  
(Queue)

# Avoiding repeated nodes

- ▶ There can be **multiple paths** reaching to a node
  - The algorithm can **expand a node many times**
  - Can lead to an infinite loop
- ▶ Solution
  - Do not add a node to the queue if the node is already expanded or is in the queue (waiting to be expanded)
    - Remember less nodes; check goal faster
    - Avoid the infinite loop

# BFS example (1 / 2)



(Phuong TM, 2016)

# BFS example (2 / 2)

#	Expanded node	Open node list O (Queue)
0		$S$
1	$S$	$A_S, B_S, C_S, E_S$
2	$A_S$	$B_S, C_S, E_S, D_A$
3	$B_S$	$C_S, E_S, D_A, F_B$
4	$C_S$	$E_S, D_A, F_B, H_C$
5	$E_S$	$D_A, F_B, H_C, G_E$
6	$D_A$	$F_B, H_C, G_E$
7	$F_B$	$H_C, G_E$
8	$H_C$	$G_E$
9	$G_E$	Goal

Path:  $G \leftarrow E \leftarrow S$

# Properties of BFS

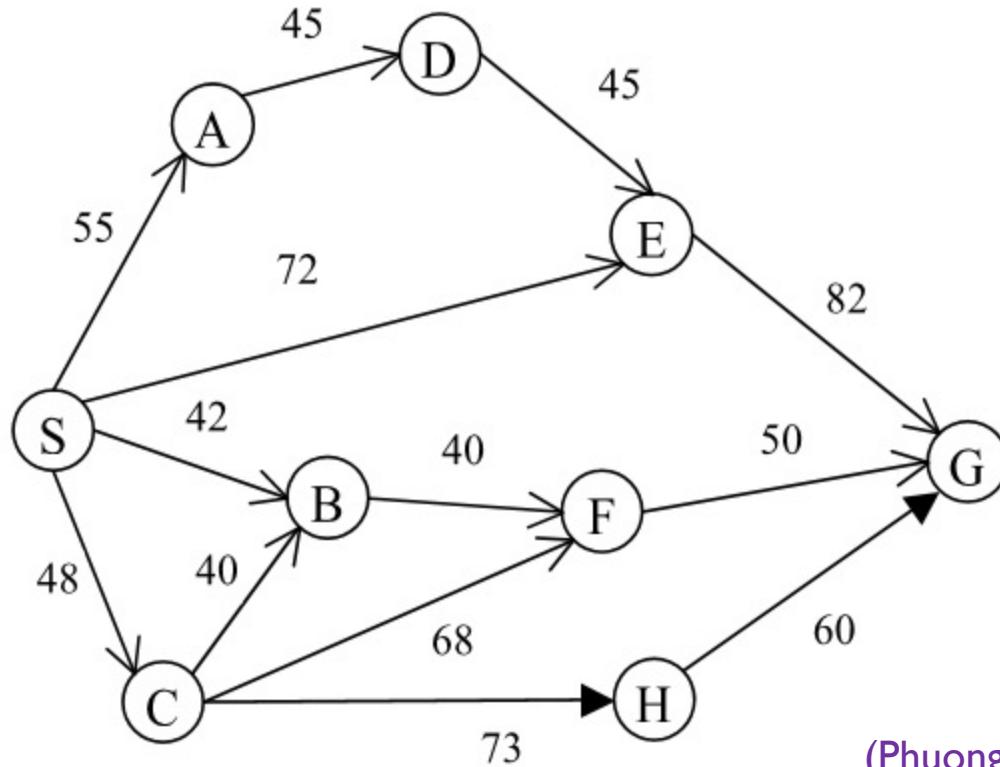
- ▶ **Completeness?**
  - Yes (if  $b$  is finite)
- ▶ **Time?**
  - $1 + b + b^2 + b^3 + \dots + b^d = O(b^d)$
- ▶ **Space?**
  - $O(b^d)$  (store all nodes)
- ▶ **Optimality?**
  - Yes (if cost = 1 for every action)
  - Always search all the nodes at the higher level before searching nodes at the lower level
- ▶ **Memory** is more important than time

# Uniform-cost search (UCS)

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- ▶ When the costs of actions (moving between two nodes) are different
  - BFS does not give an optimal solution
  - Need to use uniform-cost search (a variant of BFS)
- ▶ **Principle:** choose the node with the **smallest cost** to expand first instead of choosing the shallowest node like in BFS

# UCS example (1 / 2)



(Phuong TM, 2016)

# UCS example (2 / 2)

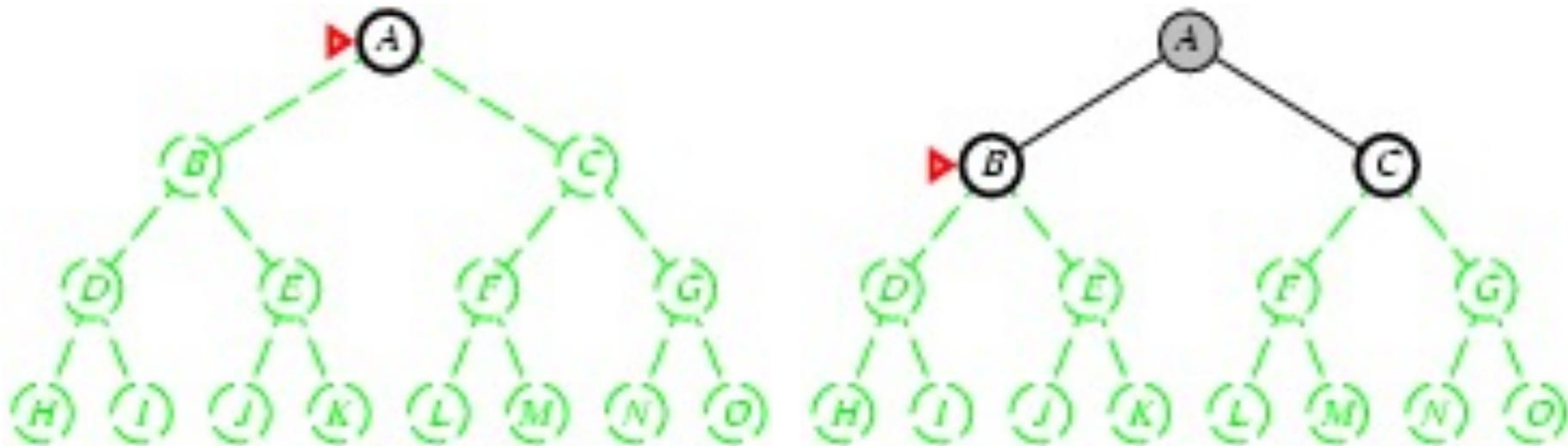
#	Expanded node	Open node list O
0		$S(0)$
1	$S$	$A_S(55), B_S(42), C_S(48), E_S(72)$
2	$B_S$	$A_S(55), C_S(48), E_S(72), F_B(82)$
3	$C_S$	$A_S(55), E_S(72), F_B(82), H_C(121)$
4	$A_S$	$E_S(72), F_B(82), H_C(121), D_A(100)$
5	$E_S$	$F_B(82), H_C(121), D_A(100), G_E(154)$
6	$F_B$	$H_C(121), D_A(100), G_F(132)$
7	$D_A$	$H_C(121), G_F(132)$
8	$H_C$	$G_F(132)$
9	$G_F$	Goal

Update path to G

Path:  $G \leftarrow F \leftarrow B \leftarrow S$

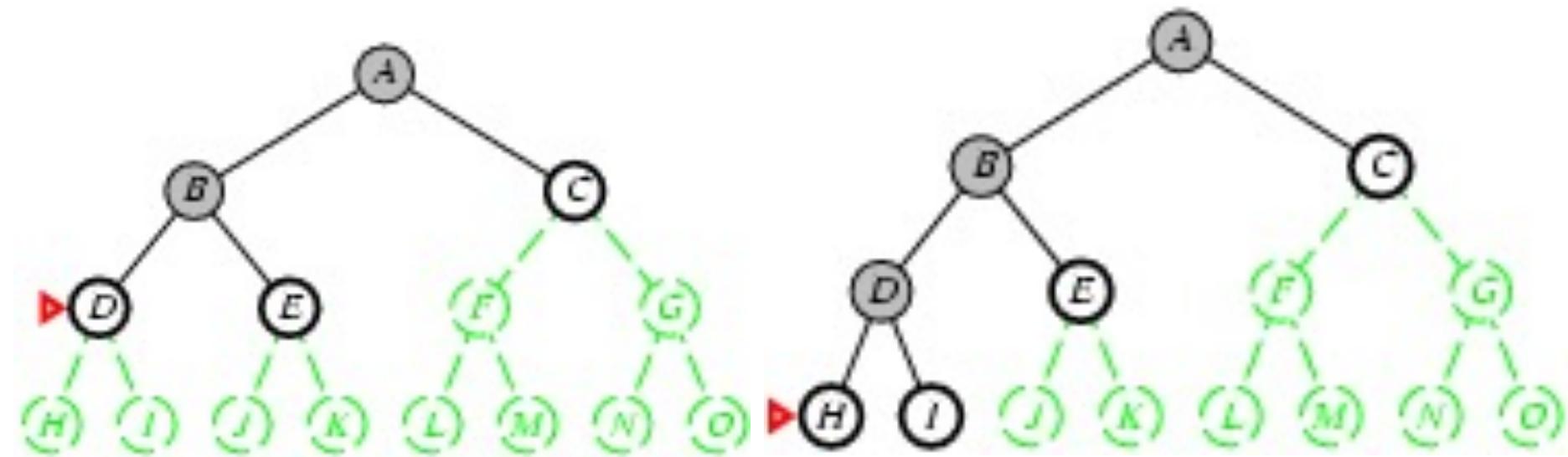
# Depth-first search - DFS (1/4)

- ▶ **Principle:** among open nodes, choose the deepest node (farthest to the root) to expand



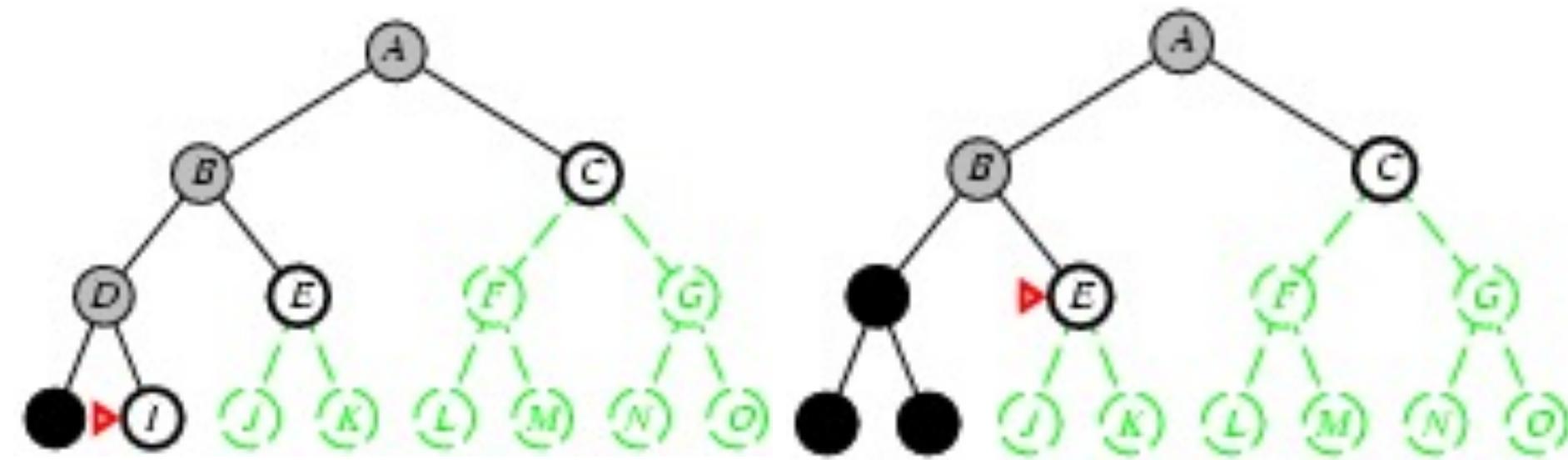
## Depth-first search - DFS (2/4)

- ▶ **Principle:** among open nodes, choose the deepest node (farthest to the root) to expand



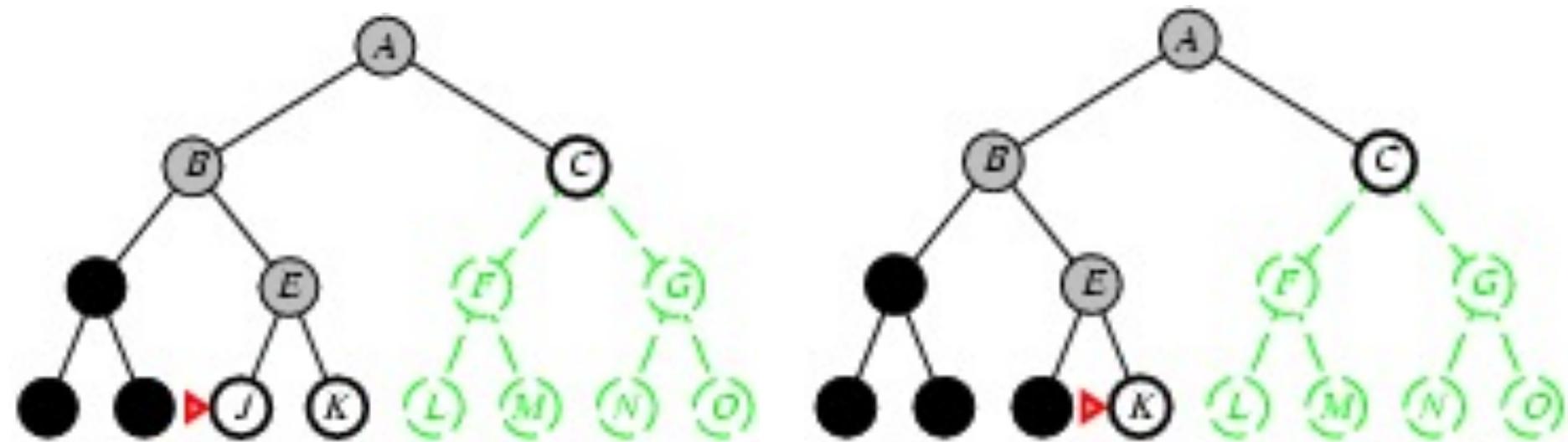
## Depth-first search - DFS (3/4)

- ▶ **Principle:** among open nodes, choose the deepest node (farthest to the root) to expand



## Depth-first search - DFS (4/4)

- ▶ **Principle:** among open nodes, choose the deepest node (farthest to the root) to expand



# DFS algorithm (1/2)

*Search*( $Q, S, G, P$ )

( $Q$ : state space,  $S$ : initial state,  $G$ : goals,  $P$ : successor function)

**Input:** search problem

**Output:** goal state (path to the goal state)

**Initialize:**  $O \leftarrow S$  ( $O$ : the open node list)

**while**( $O \neq \emptyset$ ) **do**

1. take the **first node**  $n$  from  $O$
2. **if**  $n \in G$ , **return** (path to  $n$ )
3. add  $P(n)$  **to the head** of  $O$

**return** no solution

## DFS algorithm (2/2)

*Search*( $Q, S, G, P$ )

( $Q$ : state space,  $S$ : initial state,  $G$ : goals,  $P$ : successor function)

**Input:** search problem

**Output:** goal state (path to the goal state)

**Initialize:**  $O \leftarrow S$  ( $O$ : the open node list)

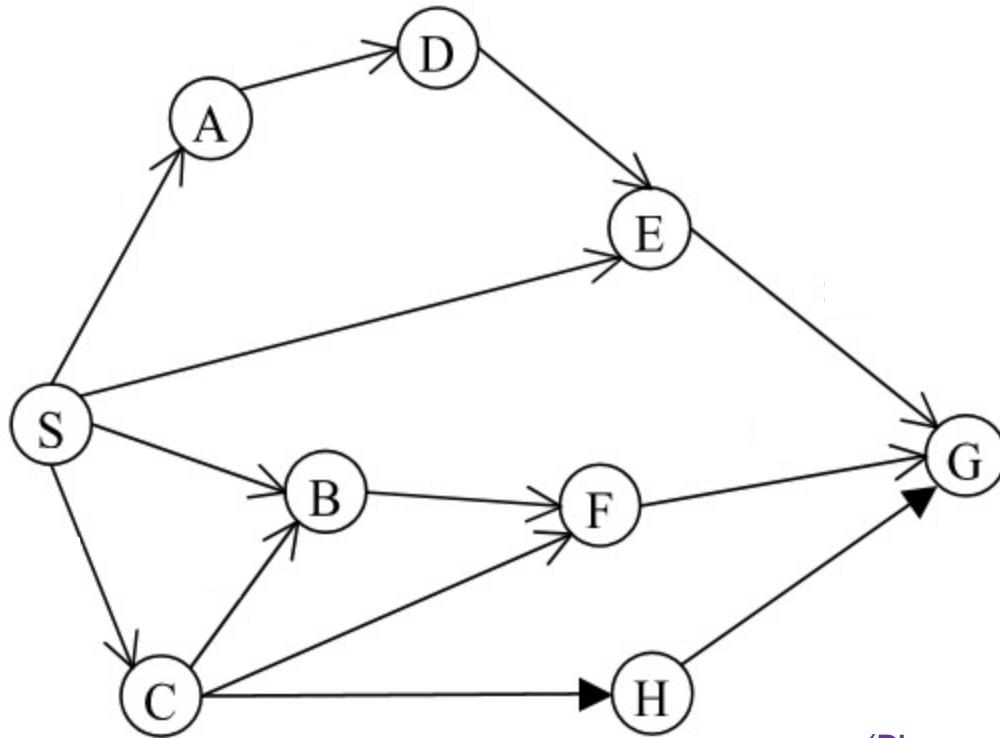
**while**( $O \neq \emptyset$ ) **do**

1. take the **first node**  $n$  from  $O$
2. **if**  $n \in G$ , **return** (path to  $n$ )
3. add  $P(n)$  **to the head** of  $O$

**return** no solution

LIFO data  
structure  
(Stack)

# DFS example (1 / 2)



(Phuong TM, 2016)

# DFS example (2 / 2)

#	Expanded node	Open node list O (Stack)
0		$S$
1	$S$	$A_S, B_S, C_S, E_S$
2	$A_S$	$D_A, B_S, C_S, E_S$
3	$D_A$	$E_D, B_S, C_S, E_S$
4	$E_D$	$G_E, B_S, C_S, E_S$
5	$G_E$	Goal

Path:  $G \leftarrow E \leftarrow D \leftarrow A \leftarrow S$

Depth: 4

# Properties of DFS

- ▶ **Completeness?**
  - No: when the depth of state space is infinite
- ▶ **Optimality?**
  - No
- ▶ **Time?**
  - $O(b^m)$ : very large if  $m$  is greater than  $d$
  - If there are many solutions, DFS can be much faster than BFS
- ▶ **Space?**
  - $O(bm)$ : much better than BFS

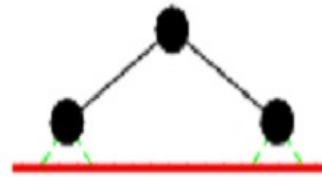
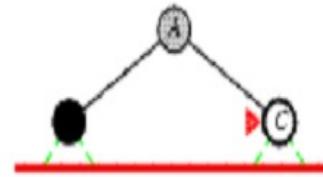
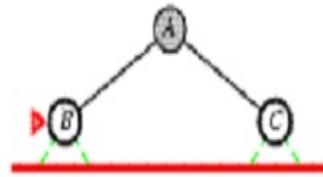
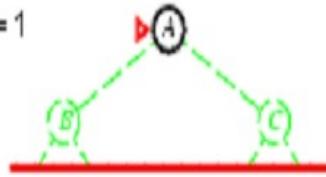
# Iterative deepening search–IDS(1 / 3)

- ▶ **Principle:** use DFS but never extend nodes with depth beyond a certain limit. The depth limit will be gradually increased until a solution is found.

Giới hạn = 0

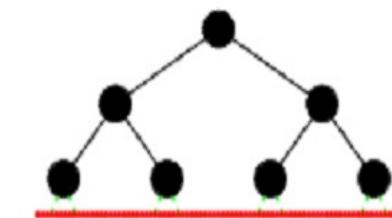
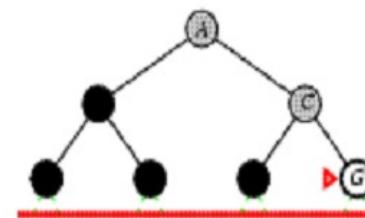
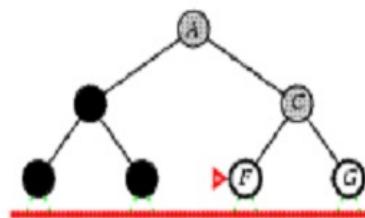
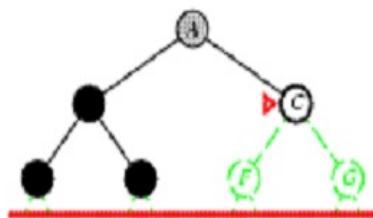
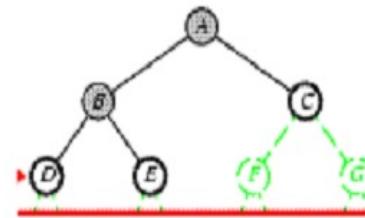
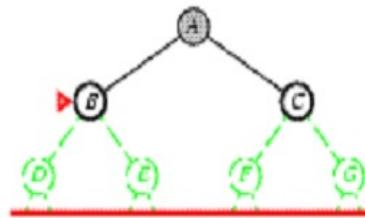
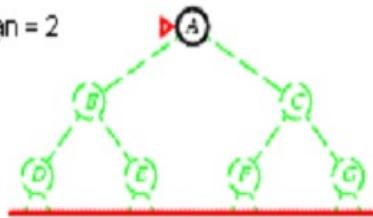


Giới hạn = 1



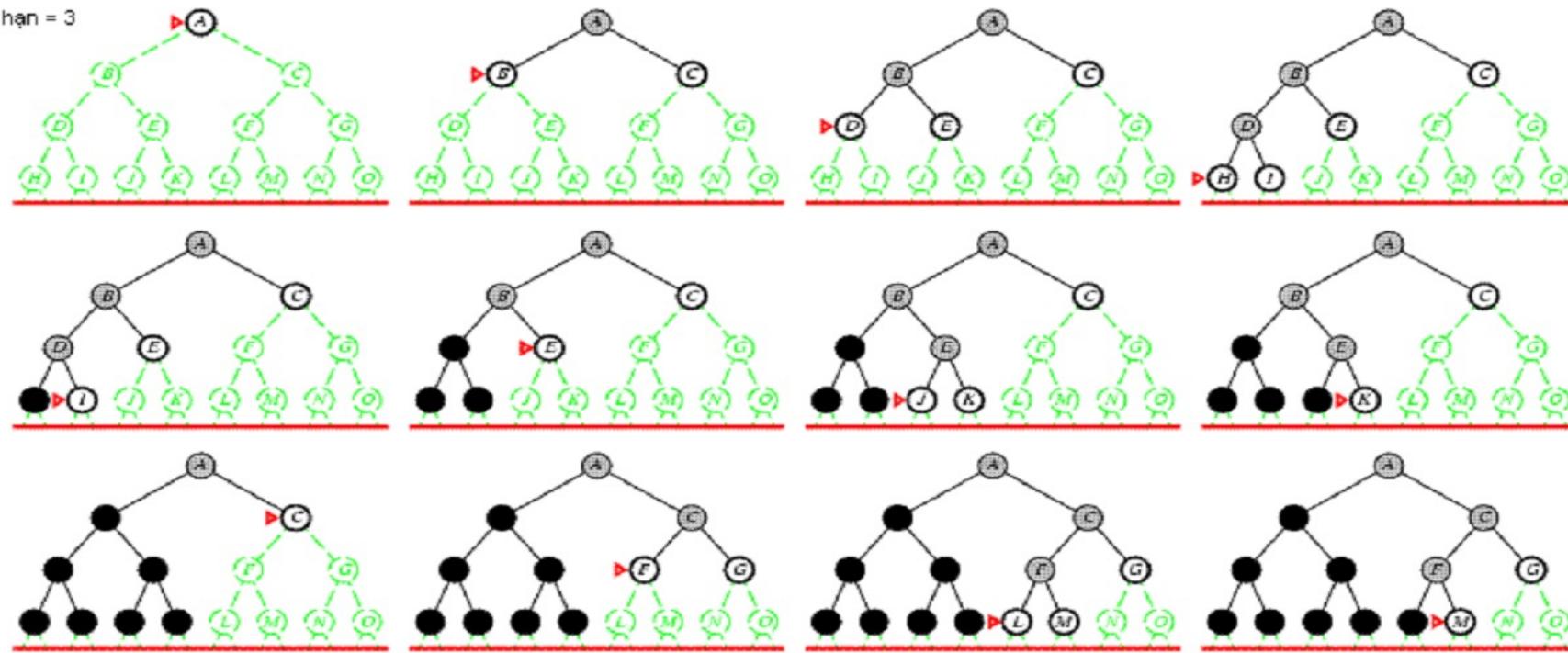
# Iterative deepening search–IDS(2/3)

Giới hạn = 2



# Iterative deepening search–IDS(3 / 3)

Giới hạn = 3



# IDS algorithm

*Search*( $Q, S, G, P$ )

( $Q$ : state space,  $S$ : initial state,  $G$ : goals,  $P$ : successor function)

**Input:** search problem

**Output:** goal state (path to the goal state)

**Initialize:**  $O \leftarrow S$  ( $O$ : the open node list)

$c = 0$  (current depth)

**while** (1) **do**

1. **while** ( $O \neq \emptyset$ ) **do**

a. take the first node  $n$  from  $O$

b. **if**  $n \in G$ , **return** (path to  $n$ )

c. **if**  $depth(n) < c$  **then**

    add  $P(n)$  to the head of  $O$

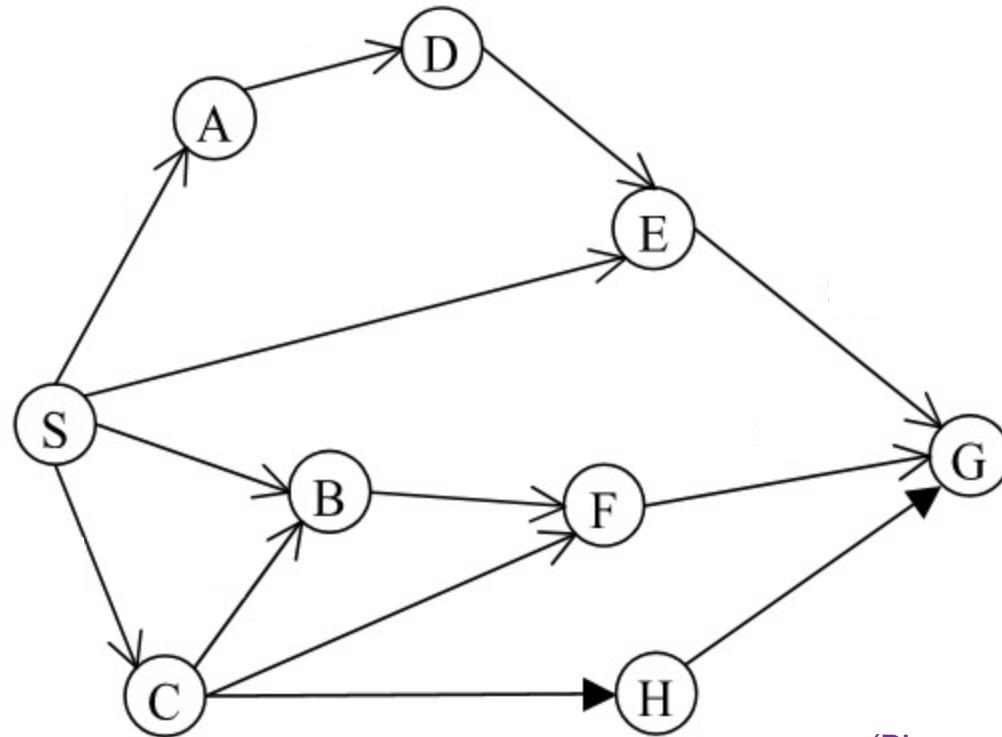
2.  $c++$ ;  $O = S$

# Properties of IDS

- ▶ Completeness?
  - Yes
- ▶ Optimality?
  - Yes: iff there are multiple solutions, IDS can find the solution closest to the root
- ▶ Space?
  - $O(bd)$ : small
- ▶ Time?
  - $(d + 1)1 + db + (d - 1)b^2 + \dots + 2b^{d-1} + 1b^d = O(b^d)$

Has advantages of both BFS and DFS

# IDS example



(Phuong TM, 2016)

# Summary

	<b>BFS</b>	<b>UCS</b>	<b>DFS</b>	<b>IDS</b>
Complete?	Yes	Yes	No	Yes
Optimal?	Yes	Yes	No	Yes
Time	$O(b^d)$	$O(b^{\lceil C^*/\epsilon \rceil})$	$O(b^m)$	$O(b^d)$
Space	$O(b^d)$	$O(b^{\lceil C^*/\epsilon \rceil})$	$O(bm)$	$O(bd)$

- ▶ Choose BFS if the branching factor is small
- ▶ Choose DFS if the maximum depth is known in advance and there are multiple goal states
- ▶ Choose IDF if the search tree has a large depth ( $m$ )

# When to add repeated nodes to the open node list?

## ▶ BFS

- **No:** adding repeated nodes does not change the order of expanding nodes in the queue; does not change the solution of the problem; may lead to loops.

## ▶ UCS

- In cases the repeated node has better cost, it will be **added to the list** (if it is already expanded) or **updated to replace the old node** (if it is on the list).

## ▶ DFS

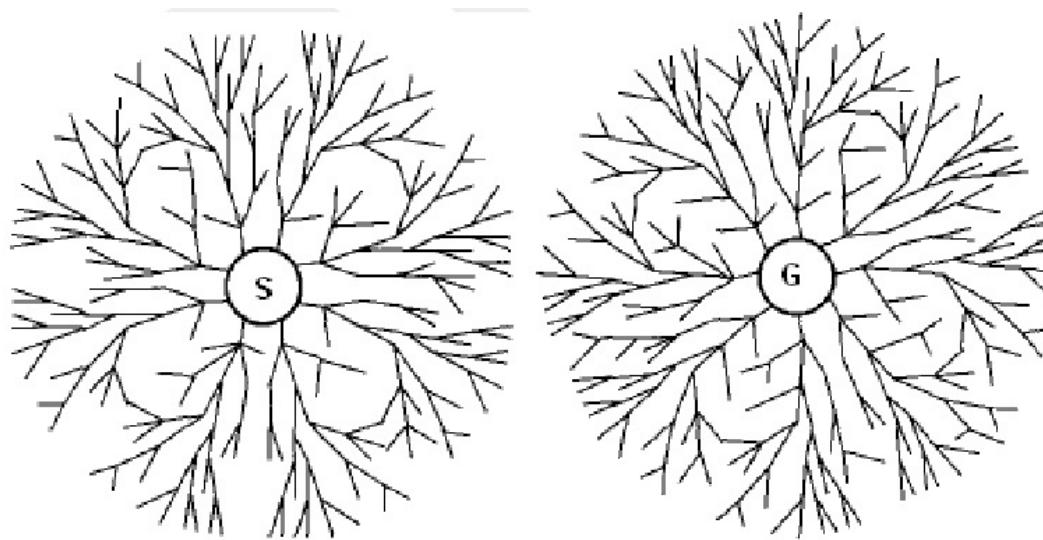
- **Yes:** adding a repeated node to the stack changes the order of expanding nodes in the stack; changes the solution.
- **Do not add expanded nodes** to the stack

## ▶ IDS:

- **Yes:** to ensure the optimality

# Bidirectional search (1/2)

- ▶ **Principle:** simultaneous search from the initial and goal nodes
  - There exist two search trees, one rooted at the initial node and the other rooted at the goal node
  - Search ends when a leaf of one tree matches a leaf of the other
- ▶ Illustration of search trees



(Phuong TM, 2016)

# Bidirectional search (2/2)

## ▶ Note

- Need to use **BFS**
  - DFS may not yield a solution if two search trees grow along two branches that do not match each other
- Functions
  - $P(x)$ : set of child nodes of  $x$
  - $D(x)$ : set of father nodes of  $x$

## ▶ Properties

- Node matching is time consuming ( $b^d$  nodes for each tree)
- Computational complexity  $O(b^{d/2})$ 
  - Number of expanded nodes is significantly reduced