

# CE394M: Linear Elasticity

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## Overview

- 1 Linear Elasticity
- 2 Project on FEA of an excavation
- 3 Slope stability

## Isotropic linear elastic stress-strain relations

The linear relationship between the stress and strain tensor is a linear one. The stress component is a linear combination of the strain tensor:

$$\begin{aligned}\sigma_{ij} = & C_{ij11}\varepsilon_{11} + C_{ij12}\varepsilon_{12} + C_{ij13}\varepsilon_{13} + \\ & C_{ij21}\varepsilon_{21} + C_{ij22}\varepsilon_{22} + C_{ij23}\varepsilon_{23} + \\ & C_{ij31}\varepsilon_{31} + C_{ij32}\varepsilon_{32} + C_{ij33}\varepsilon_{33}\end{aligned}$$

The most general form for *linear* stress-strain relations for a *Cauchy elastic* material is given by:

Where  $B_{ij}$  is the components of initial stress tensor corresponding to the initial strain free (when all strain components  $\varepsilon_{kl} = 0$ ).  $C_{ijkl}$  is the tensor of material *elastic constants*.

If it is assumed that the initial strain free state corresponds to an *initial stress free state*, that is  $B_{ij} = 0$ , the equations reduces to:

## Observation on linear elasticity

## Stress-strain relationship

$$\begin{bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{12} \\ \sigma_{23} \\ \sigma_{31} \end{bmatrix} = \begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} & C_{15} & C_{16} \\ C_{21} & C_{22} & C_{23} & C_{24} & C_{25} & C_{26} \\ C_{31} & C_{32} & C_{33} & C_{34} & C_{35} & C_{36} \\ C_{41} & C_{42} & C_{43} & C_{44} & C_{45} & C_{46} \\ C_{51} & C_{52} & C_{53} & C_{54} & C_{55} & C_{56} \\ C_{61} & C_{62} & C_{63} & C_{64} & C_{65} & C_{66} \end{bmatrix} \begin{bmatrix} \varepsilon_{11} \\ \varepsilon_{22} \\ \varepsilon_{33} \\ \varepsilon_{12} \\ \varepsilon_{23} \\ \varepsilon_{31} \end{bmatrix}$$

$C_{ijkl}$  is a tensor of material *elastic constants*. However, the above  $[\mathbf{C}]$  is not a tensor anymore. So we can not rotate the matrix to another frame of reference. This relationship is useful for isotropic materials, where  $\mathbf{C}$  is independent of the frame of reference.

The inverse of the relationship (Compliance matrix):

## Isotropic Linear Elastic Stress-strain relationship

The *isotropic tensor*  $C_{ijkl}$ :

$$C_{ijkl} = \lambda \delta_{ij} \delta_{kl} + \mu (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk}) + \alpha (\delta_{ik} \delta_{jl} - \delta_{il} \delta_{jk})$$

Where  $\lambda$ ,  $\mu$ , and  $\alpha$  are scalar constants. Since  $C_{ijkl}$  must satisfy symmetry,  $\alpha = 0$ .

So the stress:

Hence for an isotropic linear elastic material, there are only two independent material constants,  $\lambda$  and  $\mu$ , which are called *Lame's constants*.

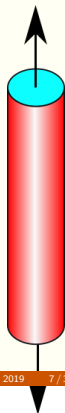
## Hooke's law

Empirical observation:

Where  $E$  is defined as the *Young's modulus*.  
The lateral strains are defined as:

Using superposition for principal stresses:

$$\begin{aligned}\varepsilon_{11} &= (1/E) [\sigma_{11} - \nu\sigma_{22} - \nu\sigma_{33}] \\ \varepsilon_{22} &= (1/E) [-\nu\sigma_{11} + \sigma_{22} - \nu\sigma_{33}] \\ \varepsilon_{33} &= (1/E) [-\nu\sigma_{11} - \nu\sigma_{22} + \sigma_{33}]\end{aligned}$$



## Hooke's law

$$\begin{Bmatrix} \varepsilon_{11} \\ \varepsilon_{22} \\ \varepsilon_{33} \end{Bmatrix} = \frac{1}{E} \begin{bmatrix} 1 & -\nu & -\nu \\ -\nu & 1 & -\nu \\ -\nu & -\nu & 1 \end{bmatrix} \begin{Bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \end{Bmatrix}$$

It is possible to invert the matrix to obtain the generalized Hooke's law:

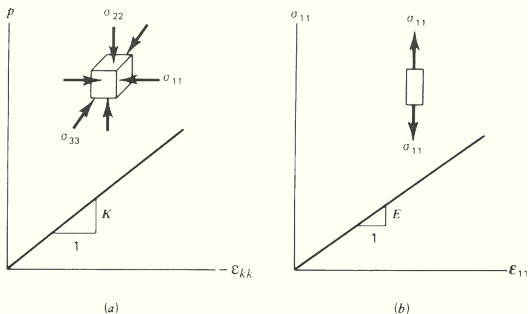
$$\begin{Bmatrix} \sigma_{11} \\ \sigma_{11} \\ \sigma_{11} \\ \sigma_{11} \\ \sigma_{11} \\ \sigma_{11} \end{Bmatrix} = \alpha \begin{bmatrix} (1-\mu) & \nu & \nu & 0 & 0 & 0 \\ & (1-\nu) & \nu & 0 & 0 & 0 \\ & & (1-\nu) & 0 & 0 & 0 \\ & & & \frac{(1-2\nu)}{2} & 0 & 0 \\ & & & & \frac{(1-2\nu)}{2} & 0 \\ & & & & & \frac{(1-2\nu)}{2} \end{bmatrix} \begin{Bmatrix} \varepsilon_{11} \\ \varepsilon_{22} \\ \varepsilon_{33} \\ 2\varepsilon_{12} \\ 2\varepsilon_{13} \\ 2\varepsilon_{23} \end{Bmatrix}$$

Where  $\alpha = E/((1+\mu)(1-2\mu))$ . Similarly, we can obtain the inverse matrix.

The matrices  $[C]$  and  $[D]$  contains two independent variables  $E$  and  $\mu$ , where  $E > 0$  and  $-1 \leq \mu \leq 0.5$ . The matrix can also be defined in terms of Lamé's constants.

$$\begin{cases} \lambda = \frac{E\nu}{(1+\nu)(1-2\nu)} & \text{Lamé's modulus (wave propagation)} \\ \mu = G = \frac{E}{2(1+\nu)} & \text{Shear modulus (shear behavior)} \\ K = \frac{E}{3(1-2\nu)} & \text{Bulk modulus (volumetric behavior)} \end{cases}$$

## Isotropic linear elastic



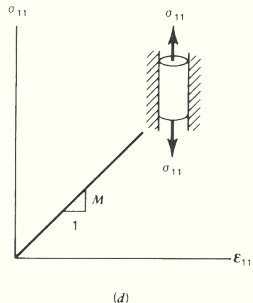
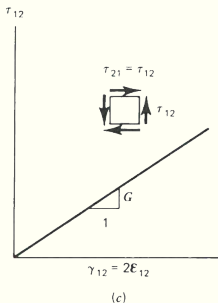
Behavior of isotropic linear elastic material in simple tests: (a) hydrostatic compression test ( $\sigma_{11} = \sigma_{22} = \sigma_{33} = p$ ) and (b) simple tension test (Chen 1994)

## Isotropic linear elastic

**Hydrostatic compression test** The non-zero components of stress:  
The *Bulk modulus*,  $K$ , is defined as the ratio between the *hydrostatic pressure*  $p$  and the corresponding volume change  $\delta\varepsilon_v = \varepsilon_{kk}$ .

**Simple tension test** The only non-zero components of stress:  
The *Young's modulus*,  $K$ , is defined as the ratio between the *hydrostatic pressure*  $p$  and the corresponding volume change  $\Delta\varepsilon_v = \varepsilon_{kk}$ .

## Isotropic linear elastic



Behavior of isotropic linear elastic material in simple tests: (c) pure shear test, and (d) uniaxial strain test (Chen 1994)

### Simple shear test

The non-zero components of stress:

The *Shear modulus*,  $G$  or  $\mu$ , is defined as:

**Uniaxial strain test** The test is carried out by applying a uniaxial stress component  $\sigma_{11}$  in the axial direction of a cylindrical sample, whose lateral surface is *restrained* against lateral movement (Oedometer test). Axial strain  $\varepsilon_{11}$  is the only nonvanishing component. The *constrained modulus*  $M$  or as PLAXIS calls it  $E_{oed}$  is defined as the ratio between  $\sigma_{11}$  and  $\varepsilon_{11}$ .

## Plane stress v Plane strain

For a frame with an axis perpendicular to the plane of interest,  $x_3$  or  $z$ :

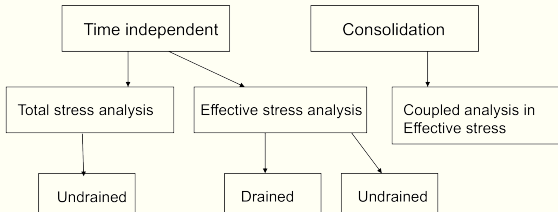
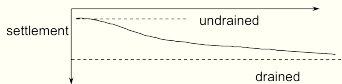
### Plane stress

The strain in  $z$  is written as:

### Plane strain

The stress in  $z$  is written as:

## Pore-pressure analysis in geotechnical engineering





## Drained analysis - Effective stress

- ➊ Need to assign initial effective stresses before the analysis.
  - ➋ Can use any effective stress model: Elastic, Mohr-Coulomb/Drucker Prager and Cam-Clay models.
  - ➌ If plasticity models are used, need to update the effective stresses at each increment:
- 
- ➍ Very common.

## Undrained analysis - Total stress

- Excess pore pressure cannot be calculated.
  - Effective stress state of the soil cannot be examined.
  - Elastic model is commonly used for deformation
- 
- Von-Mises model is used for modeling undrained shear strength of clays. ( $C_u$  or  $s_u$  and undrained friction  $\phi_u = 0$ )
  - Can assign different stiffness and strength at different depths explicitly by assigning different model parameters at different depths.

## Undrained analysis - Effective stress

- Need to assign initial effective stresses before the analysis.
- Can use any effective stress model, so the stiffness and strength variation with depth can be modeled implicitly with the one set of model parameters.
- The applied load is carried by the soil skeleton and pore water.
- The contribution of the bulk modulus of water needs to be added:
- Effective stress increment can be computed by:
- Need to update the effective stresses at each time step.

## Effective stress approach or undrained (A)

- Effective stiffness and effective strength parameters are used.
- *Pore pressures are generated*, but may be **inaccurate** depending on the model.
- Undrained shear strength is *not* an input parameter but an outcome of the constitutive model. The resulting shear strength must be checked against known data!
- Consolidation analysis can be performed after the undrained calculation, which *affects the shear strength!*

## Equivalent effective stress approach or undrained (B)

- Effective stiffness parameters and *undrained strength parameters* are used.
- *Pore pressures are generated*, but may be highly **inaccurate**.
- Undrained shear strength is an input parameter.
- Consolidation analysis should not be performed after the undrained calculation,  $s_u$  must be updated, if consolidation is performed anyway!

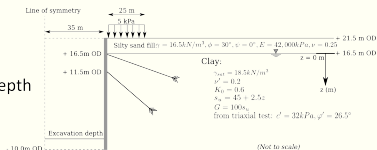
undrained analysis	material type	deformation parameters	strength parameters	initial conditions
<b>Total stress</b>	Non-porous / drained	$E_u, \nu_u$	$c_u, \phi_u = 0$	$K_{0,u}$
<b>Effective stress</b>	Undrained (triaxial parameters)	$E', \nu'$	$c', \phi'$	$K_0$
<b>Equivalent Effective stress</b>	Undrained (strength profile)	$E', \nu'$	$c', \phi'$	$K_0$

## Consolidation analysis - Effective stress

- Use Biot's 3D consolidation theory
- Pore pressure and displacement are computed at each time step.
- Need to use effective stress model
- Need permeability
- Lots of computational time
- More realistic. Undrained, partially drained, drained depending on the loading condition, drainage condition, permeability of soil.
- Stress path followed is correct, which should provide a good strain estimate when plasticity models are used.

# Total stress evaluating varying $K_0$

- $K_0$  Varies with depth

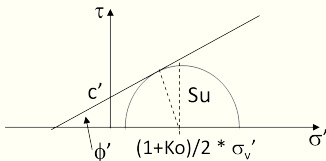


Depth	$\sigma_v$	$u$	$\sigma_v'$	$k_0 \sigma_v' = \sigma_h'$	$\sigma_h$	$K_0$	$K_0$ Layer
0	82.5	0	82.5	49.5	49.5	0.6	
6.5	202.75	65	137.75	82.65	147.65	0.728	0.664
11.5	295.25	115	180.25	108.15	223.15	0.756	0.742
16.5	387.75	165	222.75	133.65	298.65	0.77	0.763

## Undrained analysis using effective stress method

### Effective stress Method A

- Define  $c'$  and  $\phi'$  in terms of the real effective stress parameters, assuming zero dilation.
- $\nu'$  is the effective Poisson ratio
- $E$  and  $K_0$  should be 'effective stress' based.

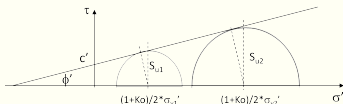


$$\text{Mohr-Coulomb failure criteria: } \tau = c' + \sigma' \tan \phi'$$

$$s_u = c' \cos \phi' + (1 + K_0)/2 \cdot \sin \phi' \cdot \sigma'_{v0}$$

## Effective stress Method B

- Define  $c'$  and  $\phi'$  in terms of the “*equivalent*” effective stress parameters, with zero dilation. Parameters are defined based on the strength profile with depth.
- $\nu'$  is the effective Poisson ratio
- $E$  and  $K_0$  should be ‘*effective stress*’ based.



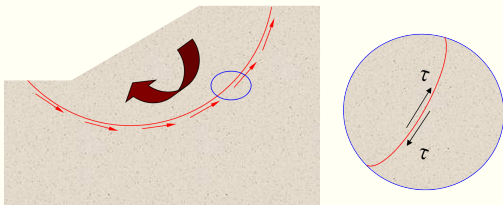
Mohr-Coulomb failure criteria:  $\tau = c' + \sigma' \tan \phi'$

$$s_u = c' \cos \phi' + (1 + K_0)/2 \cdot \sin \phi' \cdot \sigma'_{v0}.$$

## 2014 Oso landslide



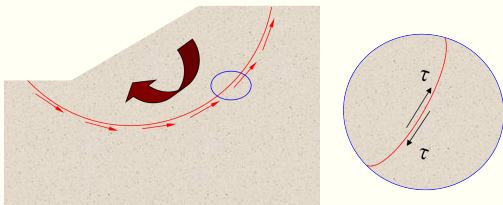
## Shear failure plane



At failure, shear stress along the failure surface ( $\tau$ ) reaches the shear strength ( $\tau_f$ ).

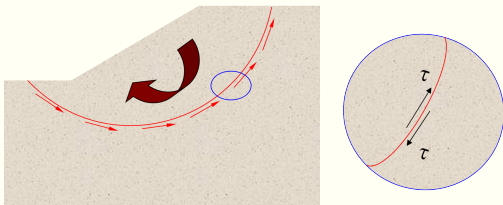
Factor of Safety =

## Dry slope (total stress = effective stress)



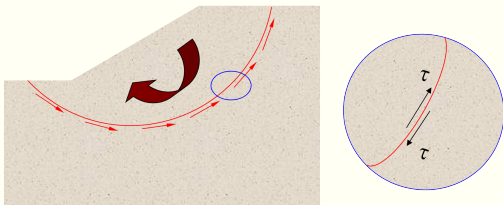
## Saturated slope (total stress = effective stress + pwp)

**Drained conditions** - need to compute the steady state pore pressure field and then evaluate “effective stress-based” shear strength to find the overall stability (based on total stress equilibrium).



## Saturated slope (total stress = effective stress + PWP)

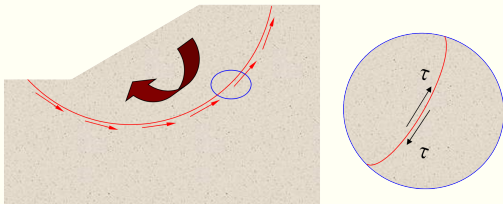
**Undrained conditions** - (total stress approach) – Use “*total-stress based*” shear strength ( $s_u$ ) to find the overall stability (based on total stress equilibrium).



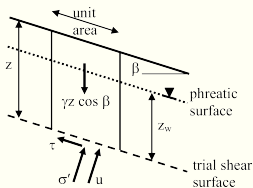


## Saturated slope (total stress = effective stress + PWP)

**Undrained conditions** - (Effective stress approach-not common) - need to compute the pore pressure (including excess pore pressure) field and then evaluate “*effective stress -based*” shear strength to find the overall stability (based on total stress equilibrium).



## Infinite slopes



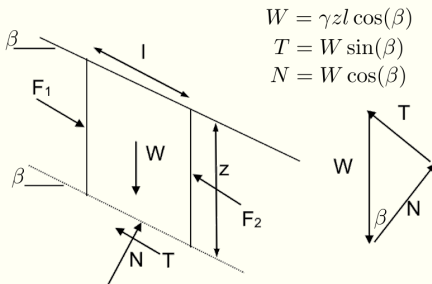
$$\begin{aligned} u &= \gamma_w z_w \cos^2 \beta \\ \sigma &= \gamma z \cos^2 \beta \\ \sigma' &= (\gamma z - \gamma_w z_w) \cos^2 \beta \\ \tau &= \gamma z \cos \beta \sin \beta \end{aligned}$$

$$\tan \phi_{\text{mob}} = \frac{\tau}{\sigma'} = \frac{\tan \beta}{\left(1 - \frac{\gamma_w z_w}{\gamma z}\right)}$$

Soil fails when (dry):

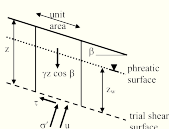
Soil fails when (submerged):

## Undrained infinite slope (total stress approach)



But also the shear stress:

## Infinite slope: Summary



$$u = \gamma_w z_w \cos^2 \beta$$

$$\sigma = \gamma z \cos^2 \beta$$

$$\sigma' = (\gamma z - \gamma_w z_w) \cos^2 \beta$$

$$\tau = \gamma z \cos \beta \sin \beta$$

$$\tan \phi_{\text{mob}} = \frac{\tau}{\sigma'} = \frac{\tan \beta}{\left(1 - \frac{\gamma_w z_w}{\gamma z}\right)}$$

- 1 Factor of Safety = resistance / driving
- 2 Dry FoS =  $\tan(\phi_{\text{mob}})/\tan(\beta)$
- 3 Submerged FoS =  $\tan(\phi_{\text{mob}})/\tan(\beta)$
- 4 Undrained FoS =  $2s_u/\gamma z \sin(2\beta)$
- 5 Steady state seepage FoS =  $(1 - \gamma_w/\gamma) \tan(\phi_{\text{mob}})/\tan(\beta)$  where the water table is located at the slope surface