

# CSE 3318 Notes 11: Rooted Trees

(Last updated 8/2/22 9:34 AM)

CLRS 10.3, 12.1-12.3, 17.1, 13.2

## 11.A. TREES

Representing Trees (main memory, disk devices in CSE 3330)

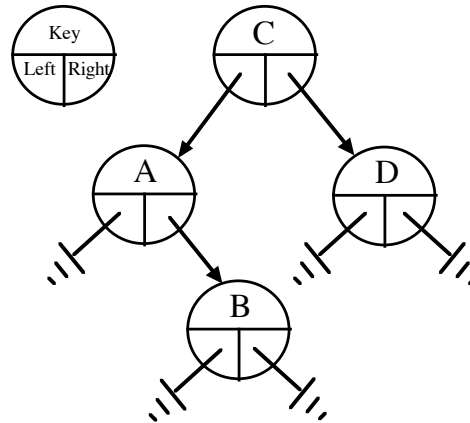
### Binary tree

Mandatory

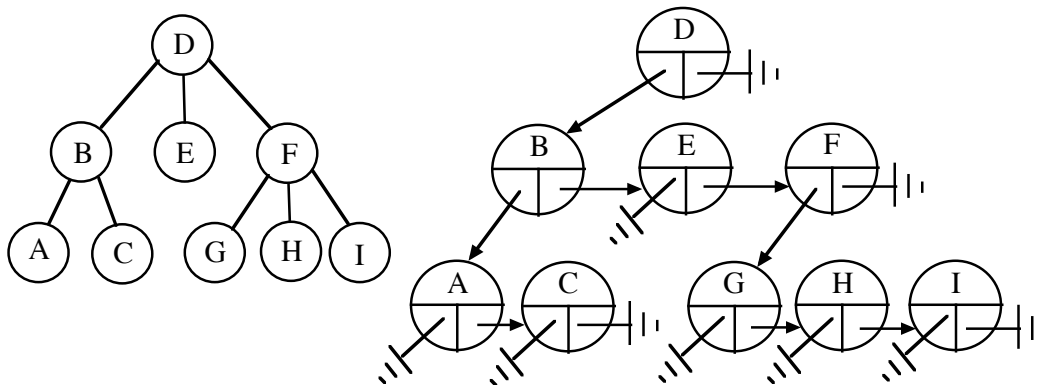
Left  
Right

Optional

Parent  
Key  
Data  
Subtree Size



### Rooted tree with linked siblings



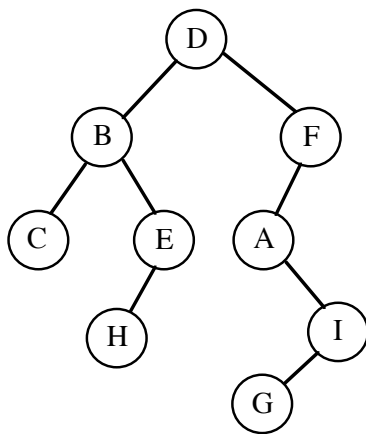
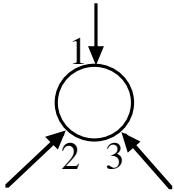
Mandatory

First Child  
Right Sibling

Optional

Last Child  
Left Sibling  
Parent  
Key  
Data  
Subtree Size

## 11.B. Binary Tree Traversals (review)

1<sup>st</sup> Visit – Preorder2<sup>nd</sup> Visit – Inorder3<sup>rd</sup> Visit – Postorder

```

recTraversal(Node h)
{
    if (h!=null)
    {
        recTraversal(h.l);
        recTraversal(h.r);
    }
}

```

Preorder

D B C E H F A I G

Inorder

C B H E D A G I F

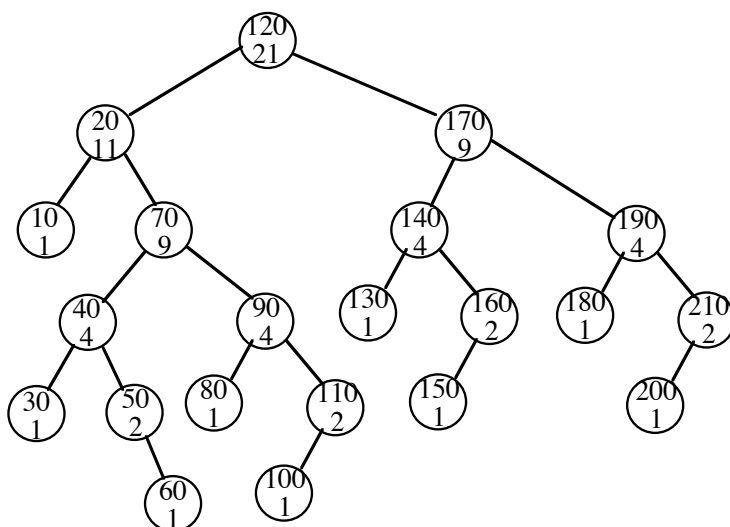
Postorder

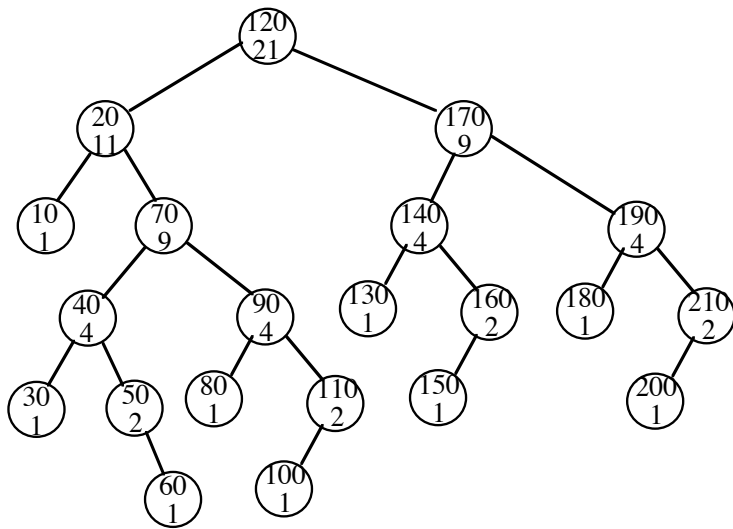
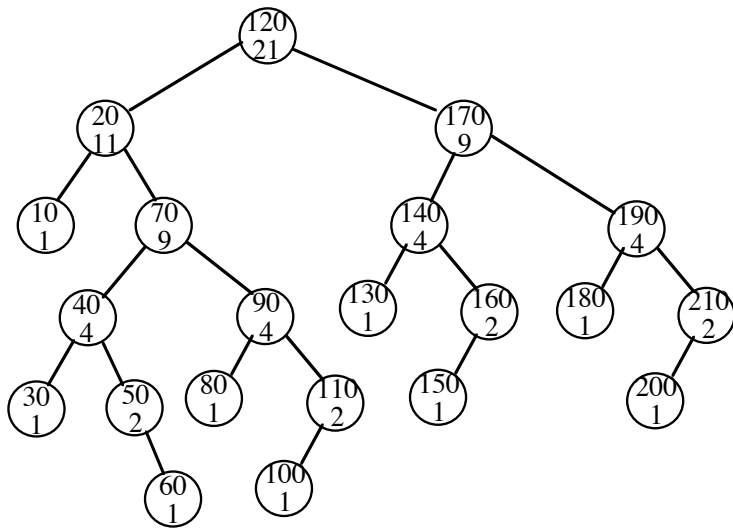
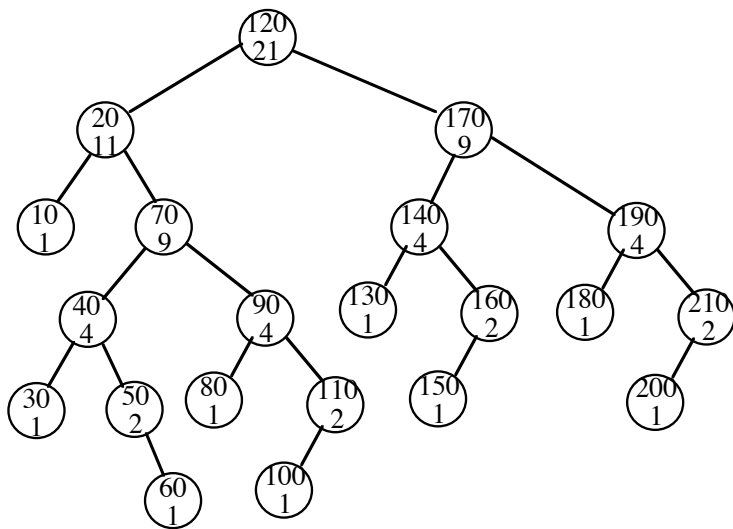
C H E B G I A F D

## 11.C. BINARY SEARCH TREES

Basic property – Go left for smaller keys. Go right for larger keys. (Use of sentinel)

*Which traversal lists the keys in ascending order?*





Operations: (see <https://ranger.uta.edu/~weems/NOTES3318/REDBLACKC/RB.c> )

1. Search (`searchR`)
2. Minimum / maximum in tree
3. Successor/predecessor of a node
4. Insert (unbalanced in  
<https://ranger.uta.edu/~weems/NOTES3318/REDBLACKC/RB.loadAndGo.c> )
5. Deletion of key and associated data is contained in:
  - a. Leaf
  - b. Node with one child
  - c. Node with two children
    1. Find node's successor (convention)
    2. Move key and data (but not pointer values) from successor node to node of deletion.
    3. Successor has either
      - a. Zero children – leaf is removed (5.a)
      - b. One child (right) – point around successor node to remove (5.b)

May also use *tombstones* and periodically recycle dead nodes.

*Implementing operations 6. and 7. efficiently requires maintaining subtree sizes “incrementally”.*

Rank of a key X that appears in tree = number of nodes with keys  $\leq$  X.

Number of nodes on search path to X with keys  $\leq$  key in given node

+

Sizes of their left subtrees

6. Rank of a key (`invSelectR`).
7. Finds key with a given rank (`selectR`) - This is the same as flattening tree into an ordered array and then subscripting (or using inorder traversal).

*Time for operations?*

From <https://ranger.uta.edu/~weems/NOTES3318/REDBLACKC/RB.c>

(z points to the sentinel)

```
int invSelectR(link h, Key v)
// Inverse of selectR
{
    Key t = key(h->item);
    int work;

    if (h==z)
        return -1; // v doesn't appear as a key
    if (eq(v, t))
        return h->l->N+1;
    if (less(v, t))
        return invSelectR(h->l,v);
    work=invSelectR(h->r,v);
    if (work==(-1))
        return -1; // v doesn't appear as a key
    return 1 + h->l->N + work;
}

int STinvSelect(Key v)
{
    return invSelectR(head,v);
}

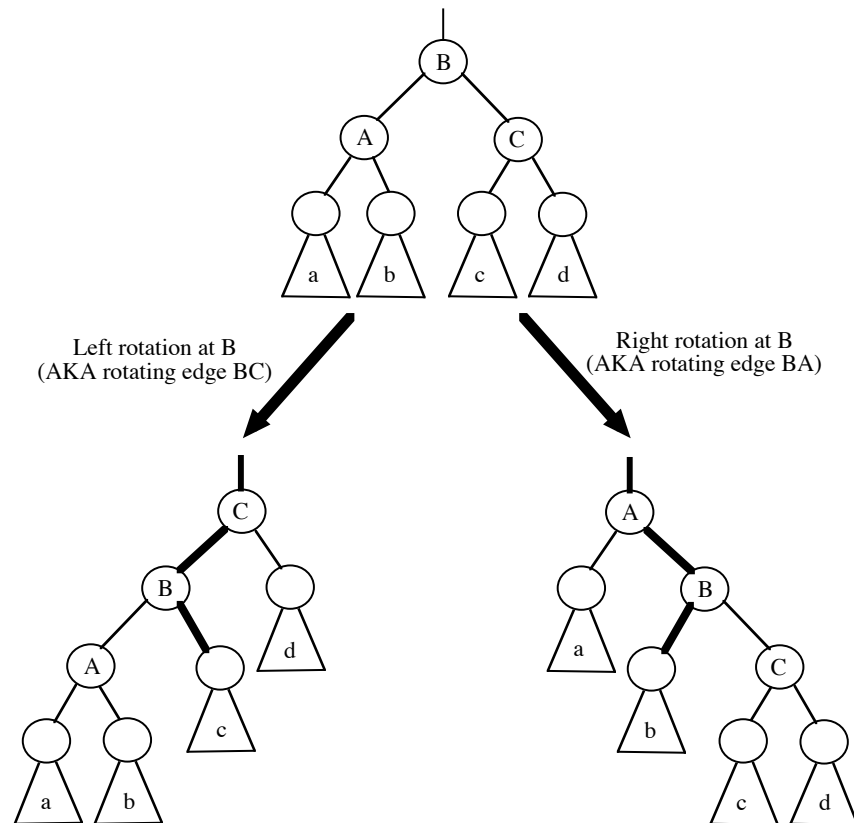
Item selectR(link h, int i)
// Returns the ith smallest key where i=1 returns the smallest
// key. Thus, this is like flattening the tree inorder into an array
// and applying i as a subscript.
{
    int r = h->l->N+1;

    if (h == z)
    {
        printf("Impossible situation in selectR\n");
        STprintTree();
        exit(0);
    }
    if (i==r)
        return h->item;
    if (i<r)
        return selectR(h->l, i);
    return selectR(h->r, i-r);
}

Item STselect(int k)
{
    if (k<1 || k>head->N)
    {
        printf("Range error in STselect() k %d N %d\n",k,head->N);
        exit(0);
    }
    return selectR(head, k);
}
```

## 11.D. ROTATIONS

Technique for rebalancing in balanced binary search tree schemes. Takes  $\Theta(1)$  time.



## 11.E. INSERTION AT ROOT: rotates all edges on the insertion path:

