#### Contents

1	Method 1.1 Conjugate gradient method	<b>1</b> 1
<b>2</b>	The mesh	1
3	Electrostatic potential	3
4	Structure of the input file	5
5	Structure of the code	6
6	Dealing with the perturbation 6.1 Real-space representation of the resolvent of the Hamiltonian, $\hat{H}$	<b>7</b>
7	Sources  A detailed description of the application of the fruits difference mathed to the electronic attracture.	7

A detailled description of the application of the finite difference method to the electronic structure has been given by Laaksonen et al in the case of an Hartree-Fock approach[1].

## 1 Method

### 1.1 Conjugate gradient method

The solution of a linear systems of equation A|x>=-|b>, where A is symmetric and positive definite, is equivalent to minimizing the quadratic function

$$f(|x>) = \frac{1}{2} < x|A|x> + < b|x> + c, \tag{1}$$

with respect to the vector |x>.

### 2 The mesh

We deal with the problem by using finite differences on a cubic uniform grid,  $\mathbf{r}_i$ . In such ar representation, the Hamiltonian is sparse. We used second-order discretization for the Laplacian. In the framework of a finite differenc approach, the only nonvanishing matrix elements,  $H_{ij} = \langle \mathbf{r}_i | \hat{H} | \mathbf{r}_j \rangle$ , are on the diagonal and between neighbouring points (2 in 1D, 4 in 2D and 6 in 3D):

$$H_{ij} = \begin{cases} \frac{1}{(\Delta x)^2 + \Delta y)^2 + \Delta z^2}, & \text{if } i = j, \\ -\frac{1}{2(\Delta)^2}, & \text{if} |\boldsymbol{r}_i - \boldsymbol{r}_j| = \Delta, \\ 0, & \text{otherwise,} \end{cases}$$
 (2)

where  $\Delta x$ ,  $\Delta y$ , et  $\Delta z$  are the spacing between grid points.

Using Hartree atomic units, the Schrödinger we want to solve is

$$-\frac{1}{2}\left(\frac{\mathrm{d}^2}{\mathrm{d}x^2} + \frac{\mathrm{d}^2}{\mathrm{d}y^2} + \frac{\mathrm{d}^2}{\mathrm{d}z^2}\right)\phi(x, y, z) + V(x, y, z)\phi(x, y, z) = \epsilon\phi(x, y, z) \tag{3}$$

For solving this equation, we resort to the finite difference method, a mesh-type approach[2, 3]. It consists to discretize the space in a finite set of locations in space so that to form a mesh:

$$(x, y, z) \rightarrow (i, j, k),$$
 (4)

$$\phi(x, y, z) \rightarrow \phi_{i, j, k},$$
 (5)

(6)

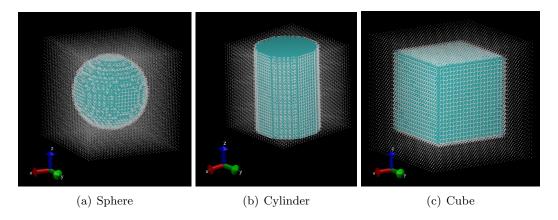


Figure 1: Definition of the "active" (blue) and "unactive" (white) domains. 3D Box  $30 \times 30 \times 30$  bohr radius;  $30 \times 30 \times 30$  nodes; radius of the computational domaine: 15 bohr radius.

with  $x = i\Delta x$ ,  $y = j\Delta y$ , and  $z = k\Delta z$ .

Then, each node of the space obeys the equation

$$-\frac{1}{2}\phi_{i,j,k}'' + V_{i,j,k}\phi_{i,j,k} = \epsilon\phi_{i,j,k}.$$
 (7)

The principle of the finite difference method is to replace the derivatives in differential equations by approximations made up of a weighted sums of function values, derived using Taylor series expansions. The number of function values necessary to approximate a derivative at any node of the space depends on both the desired accuracy and the dimension. For example, at the lowest accurate approximation (three-point finite difference), in 3D, the second derivative of  $\phi_{i,j,k}$  can be written as

$$\phi_{i,j,k}'' = \frac{\phi_{i-1,j,k} - 2\phi_{i,j,k} + \phi_{i+1,j,k}}{(\Delta x)^2} + \frac{\phi_{i,j-1,k} - 2\phi_{i,j,k} + \phi_{i,j+1,k}}{(\Delta y)^2} + \frac{\phi_{i,j,k-1} - 2\phi_{i,j,k} + \phi_{i,j,k-1}}{(\Delta z)^2}$$
(8)

For each node, the replacement of the derivatives by a sum of function value leads to a linear system of algebraic equations coupling the different values  $\phi_{i,j,k}$ :

$$-\frac{1}{2} \left( \frac{\phi_{i-1,j,k} - 2\phi_{i,j,k} + \phi_{i+1,j,k}}{(\Delta x)^2} + \frac{\phi_{i,j-1,k} - 2\phi_{i,j,k} + \phi_{i,j+1,k}}{(\Delta y)^2} + \frac{\phi_{i,j,k-1} - 2\phi_{i,j,k} + \phi_{i,j,k-1}}{(\Delta z)^2} \right) + V_{i,j,k}\phi_{i,j,k} = \epsilon \phi_{i,j,k}$$
(9)

In the code, all informations about the mesh are stocked the data type t\_mesh (defined in global.f90):

```
type t_mesh
  integer :: Nx,Ny,Nz,N,nactive,nunactive
  integer,allocatable :: list_bound(:,:),n_bound(:) ! list_bound is linked with bound(:)
  double precision :: dx,dy,dz,dv
  type(t_box)::box
  integer :: dim
  integer::nbound
  type(t_point),allocatable::bound(:)
  type(t_ijk_to_idx),allocatable::ijk_to_idx(:,:,:) ! from (i,j,k) -> n
  type(t_node),allocatable::node(:)
end type t_mesh
```

Different kinds of parameters are necessary to define the mesh: the dimension (1D, 2D or 3D), shape of the box(sphere, cube, ...), size of the box( $L_x$ ,  $L_y$ ,  $L_z$ ), number of nodes ( $N_x$ ,  $N_y$ ,  $N_z$ ), ...

The mesh spacings,  $\Delta x$ ,  $\Delta y$  and  $\Delta z$ , are then given by  $\Delta \alpha = L_{\alpha}/(N_{\alpha}+1)$ .

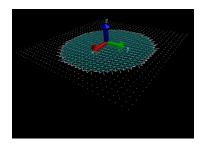


Figure 2: Definition of the "active" (blue) and "unactive" (white) domains. 2D Box  $30 \times 30$  bohr radius;  $30 \times 30$  nodes; radius of the computational domaine: 15 bohr radius.

The mesh is defined by calling the subroutine new\_mesh(mesh,param) (in mesh.f90). The mesh, containing  $N = N_x \times N_y \times N_z$  nodes, consists in two kind of nodes: the "active" nodes, belonging to the computational domain, and the "unactive" ones, belonging to the boundaries domain (figs. 1 and 2). The "active" nodes are the nodes where we will compute quantities such as wavefunctions, potentials, ... while the "unactive" nodes are the nodes where all these quantities are constant. Example of "unactive" nodes is the nodes located in the outer edge of the box defining the shape of the space we consider. At these locations, the value of the wavefunction is zero in the case of isolated systems. The type of any node of the space is determined by the subroutine set\_nodes(mesh):

• if the node (i, j, k) belongs to the computational domain, an single positive index n is assigned to the node; each index n corresponds to an single set (i, j, k) of the space.

$$(x, y, z) \rightarrow (i, j, k) \rightarrow n,$$
 (10)

$$\phi(x, y, z) \longrightarrow \phi_{i,j,k} \longrightarrow \phi_n,$$
 (11)

The total number of nodes inside the computational domain is  $n_{active}$ .

• if the node (i, j, k) belongs to the boundaries domain, its index n is set to -1.

The computational and boundaries domains are defined thanks to parameters decribing the shape and the size of the computational box (mesh%box%shape, mesh%box%center, mesh%box%radius)

From equations (9) and by using the application  $(x, y, z) \to (i, j, k) \to n$ , solving the Schrödinger equation (3) is equivalent to solve an eigenvalues problem  $H|\phi>=\epsilon|\phi>$ ; the vector  $|\phi>$  is an eigenvector to be determined, corresponding to the eigenvalue  $\epsilon$ .

For each node (i, j, k), the list of neighbors  $((i \pm 1, j, k), (i, j \pm 1, k), (i, j, k \pm 1))$  in the case given here) involved in equations (9) is given by the matrix mesh%node(nactive)%list\_neighbors(:) (mesh%node(nactive)%n\_neighbors contains the number of neighbors for each node (i, j, k)). These lists are set in the subroutine compute\_list\_neighbors(mesh).

## 3 Electrostatic potential

There two ways to compute the electrostatic potential of the charge distribution,  $\rho(\mathbf{r})$ , of the electron in the system:

- by solving the Poisson equation,  $\nabla U(\mathbf{r}) = -4\pi \rho(\mathbf{r})$  (Hartree a. u.),
- by solving the integral equation,  $U(r) = \int d\mathbf{r}' \rho(\mathbf{r}') \frac{1}{|\mathbf{r} \mathbf{r}'|}$ .

Solving the integral equation is the simplest way to proceed but it is also the most inefficient because it needs to integrate the equation for each point belonging to the grid.

Using the Poisson equation is a more efficient way to proceed. In the framework of the finite difference method, in three dimensions (3D), the Poisson equation has the form

$$\frac{U_{i-1,j,k} - 2U_{i,j,k} + U_{i+1,j,k}}{(\Delta x)^2} + \frac{U_{i,j-1,k} - 2U_{i,j,k} + U_{i,j+1,k}}{(\Delta y)^2} + \frac{U_{i,j,k-1} - 2U_{i,j,k} + U_{i,j,k+1}}{(\Delta z)^2} = -4\pi \rho_{i,j,k}. \quad (12)$$

For each active node, the replacement of the second derivative of the electrostatic potential by a sum of functions leads to a linear systems of algebrais equations coupling the different value  $U_{i,j,k}$ ,

$$L|U\rangle = -4\pi|\rho\rangle. \tag{13}$$

L is the Laplace matrix.

Note that for the active nodes located at the edge of the active domain, the replacement of the second derivative by a sum of function needs to take into account the unactive nodes located at the edge of the unactive. For example, for the node (i, j, k),

$$\frac{U_{i-1,j,k}^* - 2U_{i,j,k} + U_{i+2,j,k}}{(\Delta x)^2} + \frac{U_{i,j-1,k} - 2U_{i,j,k} + U_{i,j+1,k}}{(\Delta y)^2} + \frac{U_{i,j,k-1} - 2U_{i,j,k} + U_{i,j,k+1}}{(\Delta z)^2} = -4\pi\rho_{i,j,k} \quad (14)$$

the node  $U_{i-1,j,k}^*$  belongs to the unactive domain and imposes a boundary condition. Note that only a part of the unactive domain is involved in the boundary conditions. This part of the unactive domain is noted  $\Omega$  in the following. The unactive nodes involved in the boundary conditions are listed in the data type t\_node: for each active node, the integer mesh%node(:)%n\_bound gives the number of neighbors unactive nodes contributing to the boundary conditions (their index is given in mesh%node(:)%list\_bound(:)).

Then

$$\frac{-2U_{i,j,k} + U_{i+2,j,k}}{(\Delta x)^2} + \frac{U_{i,j-1,k} - 2U_{i,j,k} + U_{i,j+1,k}}{(\Delta y)^2} + \frac{U_{i,j,k-1} - 2U_{i,j,k} + U_{i,j,k+1}}{(\Delta z)^2} = -4\pi \rho_{i,j,k} - \frac{U_{i-1,j,k}^*}{(\Delta x)^2}$$
(15)

$$-2\left(\frac{1}{(\Delta x)^{2}} + \frac{1}{(\Delta y)^{2}} + \frac{1}{(\Delta z)^{2}}\right)U_{i,j,k} + \frac{U_{i+2,j,k}}{(\Delta x)^{2}} + \frac{U_{i,j-1,k}}{(\Delta y)^{2}} + \frac{U_{i,j+1,k}}{(\Delta y)^{2}} + \frac{U_{i,j,k-1}}{(\Delta z)^{2}} + \frac{U_{i,j,k+1}}{(\Delta z)^{2}} = -4\pi\rho_{i,j,k} - \frac{U_{i-1,j,k}}{(\Delta x)^{2}}$$
(16)

$$L|U\rangle = -4\pi|\rho\rangle - |b\rangle. \tag{17}$$

|b> is the vector containing the boundary conditions, *i. e*, the values of the electrostatic potential at the edge of the active domain.

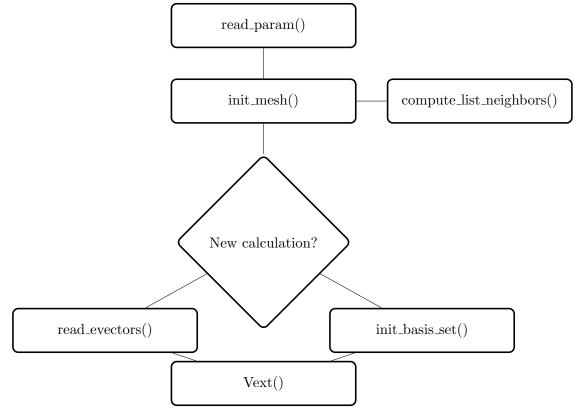
Now the question is: how to get the values of the electrostatic potential at the unactive point edge of the unactive domain in

# 4 Structure of the input file

The input file is provided directly from the command line: ./Hbinitio.x inp\_davidson for example. It must be ended by the line cmd=end to indicate the end of the file.

## 5 Structure of the code

```
Algorithm 1: read_param(syst)
       Data: this text
       Result: how to write algorithm with LATEX2e
    1 initialization;
       while not at end of this document do
           while .not.(is_iostat_end(param%ieof))).and.(.not.(end_loop) do
    3
                \operatorname{read}(2,'(A)') line call \operatorname{line}_{p} \operatorname{arser}(\operatorname{line}, \operatorname{nfield}, \operatorname{field}) \operatorname{print} *, \operatorname{nfield}, '-->', (\operatorname{trim}(\operatorname{field}(i)), i=1)
    4
                 1, n field) callparse_{l} ine(param, field, n field, end_{l} oop, systprint*,"end_{l} oop =
                 ", end_loopenddoend
                read current;
    5
                if understand then
    6
                    go to next section;
    7
                    current section becomes this one;
    8
                else
    9
                    go back to the beginning of current section;
  10
  11
                end
           end
  12
13
```



## 6 Dealing with the perturbation

## 6.1 Real-space representation of the resolvent of the Hamiltonian, $\hat{H}$

A way to compute the electron density,  $n(\mathbf{r})$ , is to resort to the identity relating the density matrix of a system,  $\rho(\mathbf{r}, \mathbf{r}')$ , with its one-electron Green's function:

$$G(\mathbf{r}, \mathbf{r}'; \epsilon) = \langle \mathbf{r} | \hat{G}(\epsilon) | \mathbf{r}' \rangle = \langle \mathbf{r} | \frac{1}{\epsilon - \hat{H}} | \mathbf{r}' \rangle$$
 (18)

```
n(\mathbf{r}) = \rho(\mathbf{r}, \mathbf{r})
```

Baroni and Giannozzi[4] introduced a Green's function method which employs the finite difference and a real-space grid.

Using the Green's method needs to calculate the inverse of  $(\epsilon - \hat{H})$  which may be an huge task. Iterative algorithms, such as the Davidson's one, used to solve elliptic partial differential equations could in principle be used to calculate the inverse of  $(\epsilon - \hat{H})$  (in the Davidson's method they are used to compyte de |delta> vector from the residual). However, for the Green's method, we don't need to get the *full* inverse matrix but only the diagonal element of the inverse.

Haydock, Heine and Kelly[5, 6] developped a convenient way to compute a *single* diagonal element of the Green's function: the recursion method. In the recursion method, diagonal elements of the Green's function,  $\langle \phi_0 | \hat{G} | \phi_0 \rangle$ , are expressed in terms of a continuous fraction whose coefficients are calculated from a chain of orthogonal states recursively generated from  $|\phi_0\rangle$ :

#### 7 Sources

```
#! /bin/bash
####SBATCH -p public
#SBATCH -p grant -A g2018a7
###SBATCH -p grantgpu -Ag1018a7
#SBATCH -n 64 -tasks-per-node=64
#SBATCH -t 2:00:00
                                  # Le job sera tue au bout de 1h
####SBATCH --mail-user=herve.bulou@ipcms.unistra.fr
#####SBATCH --mem=4096
                                       # Quantite memoire demandee par noeud en Mo (unite obligat
export OMP_NUM_THREADS=64
time ./Hbinitio.x
module time_tracking
  implicit none
  type t_time
     real :: start,end,start_loc,end_loc
  end type t_time
contains
  subroutine time_tracking_init(time_spent)
    implicit none
    type(t_time)::time_spent
    call cpu_time(time_spent%start)
    open(unit=1,file="dbg.dat",form='formatted',status='unknown')
    write(1,*)
    close(1)
  end subroutine time_tracking_init
  subroutine time_tracking_write(iloop,time_spent,text)
    integer :: iloop
    type(t_time)::time_spent
    character (len=*) :: text
    open(unit=1,file="dbg.dat",form='formatted',status='unknown',access='append')
    write(1,'(A50,I4,F12.6,F12.6,F12.6)') text,iloop,time_spent%end_loc,&
         time_spent%start_loc,time_spent%end_loc-time_spent%start_loc
    close(1)
  end subroutine time_tracking_write
```

```
program Hbinitio
 !$ use OMP_LIB
 use time_tracking
 implicit none
! include 'mpif.h'
 type t_GramSchmidt
   integer :: nindep
   integer :: ndep ! number of linear dependencies discovered
 end type t_GramSchmidt
 type t_mesh
   integer :: Nx,Ny,Nz,N
   integer,allocatable :: list_neighbors(:,:),n_neighbors(:)
   double precision :: dx,dy,dz,dv
   double precision :: center(3)
 end type t_mesh
 type(t_mesh) :: mesh
 type t_cvg
   integer,allocatable:: list_cvg(:)
   integer :: ncvg
   double precision :: ETA
   integer :: nvec_to_cvg
 end type t_cvg
 type(t_cvg) :: cvg
 type(t_time) :: time_spent
 type t_param
   logical::restart
   integer::ieof
   integer::loopmax
   integer::nvecini
   integer::nvecmax
   integer::Nx
   integer::nvec_to_cvg
   double precision :: ETA
   double precision::box_width
 end type t_param
 type(t_param)::param
 integer :: nvec
 integer, parameter :: seed = 86456
 double precision, allocatable :: V(:,:) ! wavefunctions
 double precision,allocatable :: Sprev(:),dS(:) ! eigenvalues
 double precision,allocatable :: pot_ext(:) ! external potential
 integer :: iloop
 character (len=1024) :: filecube
 character (len=1024)::line
 integer :: i
```

```
! integer::ierr,my_id,num_procs
 call time_tracking_init(time_spent)
! call mpi_init(ierr )
! call MPI_COMM_RANK (MPI_COMM_WORLD, my_id, ierr)
! call MPI_COMM_SIZE (MPI_COMM_WORLD, num_procs, ierr)
 call read_param(param)
 call init_mesh(mesh,param)
! nvecini=2
 nvec=param%nvecini
 allocate(V(mesh%N,nvec))
! param%restart=.TRUE.
! param%restart=.FALSE.
 if (.not.(param%restart))
    print *,"new calculation"
    call init_basis_set(V,nvec,seed,mesh)
 else
    print *,'restart an old calculation'
    call read_evectors(V,mesh,nvec)
 allocate(pot_ext(mesh%N))
 call Vext(mesh,pot_ext)
 open(unit=1,file="eigenvalues.dat",form='formatted',status='unknown'); write(1,*); close(1)
 iloop=1
 cvg%ncvg=0
 cvg%nvec_to_cvg=param%nvec_to_cvg
 cvg%ETA=param%ETA
 allocate(Sprev(param%nvecini))
 allocate(dS(param%nvecini))
 Sprev(:)=0.0
 dS(:)=0.0
 do while((iloop.le.param%loopmax).and.(cvg%ncvg.lt.cvg%nvec_to_cvg))
    write(*,'(A,I4,A)') 'Main > ######### scf loop=',iloop,' ##########"
    call davidson(nvec, V, mesh, param%nvecini, iloop, cvg, pot_ext, time_spent)
 end do
 call save_evectors(V,mesh,param%nvecini)
 do i=1,param%nvecini
    write(filecube,'(a,i0,a)') 'evec',i,'.cube'
    call norm(mesh, V(:,i))
    call save_cube(V(:,i),filecube,mesh)
 end do
 deallocate(V)
 deallocate(Sprev)
 deallocate(dS)
```

```
deallocate(pot_ext)
 call free_mesh(mesh)
 call cpu_time(time_spent%end)
 if (cvg%ncvg.ge.cvg%nvec_to_cvg) print *,'Main > Job DONE !'
 print '("Main > Total Time = ",e16.6," seconds.")',time_spent%end-time_spent%start
! call mpi_finalize(ierr)
 contains
           read_param()
 subroutine read_param(param)
  implicit none
  type(t_param)::param
  integer::lline,eqidx
  double precision, parameter :: pi=3.1415927
  param%ieof=0
  param%loopmax=1000
  param%restart=.FALSE.
  param%nvecini=20
  param%nvecmax=41
  param%Nx=30
  param%nvec_to_cvg=20
  param%ETA=1.0e-3
  param%box_width=pi/sqrt(2.0)
  open(unit=1,file='inp',form='formatted')
  do while(.not.(is_iostat_end(param%ieof)))
    read(1,*,iostat=param%ieof) line
    lline=len_trim(line)
    eqidx=index(line,"=")
    print *,'###',eqidx,lline
    if(line(1:eqidx-1).eq."restart") then
      if(line(eqidx+1:lline).eq.'.TRUE.') then
        param%restart=.TRUE.
      else
        param%restart=.FALSE.
      end if
    end if
    if(line(1:eqidx-1).eq."loopmax") then
      read(line(eqidx+1:lline),*) param%loopmax
    end if
    if(line(1:eqidx-1).eq."nvecini") then
      read(line(eqidx+1:lline),*) param%nvecini
    end if
    if(line(1:eqidx-1).eq."nvecmax") then
```

```
read(line(eqidx+1:lline),*) param%nvecmax
    end if
    if(line(1:eqidx-1).eq."Nx") then
       read(line(eqidx+1:lline),*) param%nx
    end if
    if(line(1:eqidx-1).eq."ETA") then
       read(line(eqidx+1:lline),*) param%ETA
    end if
    if(line(1:eqidx-1).eq."nvec_to_cvg") then
       read(line(eqidx+1:lline),*) param%nvec_to_cvg
    end if
    if(line(1:eqidx-1).eq."box_width") then
       read(line(eqidx+1:lline),*) param%box_width
    line=',
  end do
  close(1)
 print *,'#restart=',param%restart
 print *,'#loopmax=',param%loopmax
 print *,'#nvecini=',param%nvecini
 print *,'#nvecmax=',param%nvecmax
 print *,'#ETA=',param%ETA
 print *,'#nvec_to_cvg=',param%nvec_to_cvg
 print *,'#box_width=',param%box_width
 print *,'#Nx=',param%nx
 print *,'#dh=',param%box_width/(param%Nx+1)
end subroutine read_param
              save_evectors()
subroutine save_evectors(V,m,nvecini)
 implicit none
 type(t_mesh)::m
 double precision :: V(:,:)
  integer::nvecini,i,j
 open(unit=1,file="evectors.dat",form='formatted',status='unknown')
 do i=1,m%N
    write(1,*) (V(i,j),j=1,nvecini)
 end do
  close(1)
end subroutine save_evectors
              read_evectors()
l ------
subroutine read_evectors(V,m,nvecini)
  implicit none
```

```
type(t_mesh)::m
     double precision :: V(:,:)
     integer::nvecini,i,j
     open(unit=1,file="evectors.dat",form='formatted',status='unknown')
     do i=1,m%N
             read(1,*) (V(i,j),j=1,nvecini)
     end do
     close(1)
end subroutine read_evectors
   ______
                                       norm()
subroutine norm(m, evec)
     implicit none
     double precision :: evec(:),normloc
     double precision, external :: ddot
     type(t_mesh)::m
    normloc=sqrt(m%dv*ddot(m%N,evec(:),1,evec(:),1))
     call dscal(m%N,normloc,evec(:),1)
end subroutine norm
                                       Vext()
! ------
subroutine Vext(m,pot_ext)
     implicit none
     type(t_mesh) :: m
     double precision :: pot_ext(:)
     double precision :: pts(3),rsqr
     character (len=1024) :: filename
     integer :: i,j,k,nn
     do k=1,m\%Nz
             pts(3)=k*m%dz
             do i=1,m\%Nx
                     pts(1)=i*m%dx
                     do j=1,m%Ny
                             pts(2)=j*m%dy
                             rsqr=(pts(1)-m%center(1))**2+(pts(2)-m%center(2))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2+(pts(3)-m%center(3))**2
                             nn=j+(i-1)*m\%Ny+(k-1)*m\%Ny*m\%Nx
                             pot_ext(nn)=10*rsqr
                     end do
             end do
     end do
     filename='pot_ext.cube'
     call save_cube(pot_ext,filename,m)
     !stop
end subroutine Vext
```

```
!
               DAVIDSON()
į
                   ______
subroutine davidson(nvec, V, m, nvecini, iloop, cvg, pot_ext, time_spent)
  implicit none
  integer :: nvec,nvecini,iloop
 double precision,allocatable :: V(:,:),pot_ext(:)
 type(t_mesh) :: m
  type(t_cvg)::cvg
  type(t_time)::time_spent
  double precision, allocatable :: S(:) ! eigenvalues
  double precision, allocatable :: T(:,:) ! reduced matrix T
  double precision,allocatable :: VRitz(:,:) ! Ritz's vectors
  double precision,allocatable :: residual(:,:) ! residual
  double precision,allocatable :: delta(:,:) ! delta vectors
  double precision,allocatable :: Vnew(:,:) ! Vnew
  integer :: i
  integer :: ndelta
  integer :: newnvec
  type(t_GramSchmidt)::GS
  ! T (reduced matrix) computing
  allocate(T(nvec,nvec))
  call cpu_time(time_spent%start_loc)
  call compute_T(T,V,nvec,m,pot_ext)
  call cpu_time(time_spent%end_loc)
  call time_tracking_write(iloop,time_spent,'Davidson -> compute_T')
  ! Diagonatilzation of T
  allocate(S(nvec))
  call cpu_time(time_spent%start_loc)
  call diagonalization(S,T,nvec)
  call cpu_time(time_spent%end_loc)
  call time_tracking_write(iloop,time_spent,'Davidson -> Diagonalization')
  dS(:)=S(1:nvecini)-Sprev(:)
  Sprev(:)=S(1:nvecini)
  do i=1,nvecini
     write(*,'(A,I6,A,F12.6,A,E12.2,A)') 'Main > Eigenvalue(',i,'): ',S(i),'(',dS(i),')'
  end do
  !call cpu_time(inter)
  open(unit=1,file="eigenvalues.dat",form='formatted',status='unknown',access='append')
  write(1,*) iloop,S(1:nvecini)
  close(1)
  ! computation of the Ritz's vectors
  allocate(VRitz(m%N,nvec))
  call cpu_time(time_spent%start_loc)
  call Ritz(VRitz,V,T,nvec)
  call cpu_time(time_spent%end_loc)
  call time_tracking_write(iloop,time_spent,'Davidson -> Diagonalization')
```

```
! computation of residual
allocate(residual(m%N,nvec))
allocate(cvg%list_cvg(nvec))
cvg%list_cvg(:)=0
cvg%ncvg=0
call cpu_time(time_spent%start_loc)
call compute_residual(residual, VRitz, S, nvec, cvg, m, pot_ext)
call cpu_time(time_spent%end_loc)
call time_tracking_write(iloop,time_spent,'Davidson -> Residual')
! computation of delta
allocate(delta(m%N,nvec))
delta(:,:)=0.0
!call cpu_time(inter)
call cpu_time(time_spent%start_loc)
call compute_delta(delta,residual,S,nvec,cvg,m,ndelta,pot_ext)
call cpu_time(time_spent%end_loc)
call time_tracking_write(iloop,time_spent,'Davidson -> Delta')
deallocate(V)
allocate(V(m%N,nvec+ndelta))
V(:,1:nvec)=VRitz(:,:)
print *,'ndelta=',ndelta
print *,'nvec=',nvec
V(:,nvec+1:nvec+ndelta)=delta(:,:ndelta)
allocate(Vnew(m%N,nvec+ndelta))
newnvec=nvec+ndelta
GS%nindep=nvec
!call cpu_time(inter)
call GramSchmidt(Vnew,V,newnvec,m,GS)
! call cpu_time(inter2);
                            call dbg(iloop,inter,inter2,'GS')
print *,'Main > ',GS%ndep,newnvec
deallocate(V)
if(newnvec.le.param%nvecmax) then
  nvec=newnvec
else
  print *,'Main > restart from nvecini'
  nvec=nvecini
end if
allocate(V(m%N,nvec))
V(:,:)=Vnew(:,1:nvec)
!call check_ortho(V,nvec,m)
print *,'Main > New size of the basis ',nvec
iloop=iloop+1
```

```
deallocate(S)
 deallocate(T)
 deallocate(VRitz)
 deallocate(residual)
 deallocate(delta)
 deallocate(Vnew)
 deallocate(cvg%list_cvg)
end subroutine davidson
              SAVE_CUBE()
 ______
subroutine save_cube(data,filename,m)
  implicit none
 double precision :: data(:)
  integer :: idxmin,idxmax
 type(t_mesh) :: m
  character (len=1024) :: filename
  character(len=*),parameter :: FMT1='(I5,3F12.6)'
  integer :: i,j,k,nn,ifield
  open(unit=1,file=filename,form='formatted',status='unknown')
  write(1,*) 'Cubefile created from Hbinitio.f90 calculation'
 write(1,*) ' H. Bulou, November 2018'
 write(1,FMT1) 1,0.0,0.0,0.0
 write(1,FMT1) m%Nx,m%dx,0.0,0.0
 write(1,FMT1) m%Ny,0.0,m%dy,0.0
 write(1,FMT1) m%Nz,0.0,0.0,m%dz
 write(1,'(I5,4F12.6)') 1,1.0,0.0,0.0,0.0
 do k=1,m\%Nz
    ifield=0
    do i=1,m\%Nx
       do j=1,m\%Ny
          nn=j+(i-1)*m%Ny+(k-1)*m%Ny*m%Nx
          write(1,'(E13.5)',advance='no') data(nn)
          ifield=ifield+1
          if (mod(ifield,6).eq.0) then
             ifield=0
             write(1,*)
          end if
       end do
    end do
    write(1,*)
  end do
 close(1)
end subroutine save_cube
              COMPUTE_DELTA()
```

```
subroutine compute_delta(delta,r,lambda,nvec,cvg,m,ndelta,pot_ext)
   ! INPUT: the residual |r>, the Ritz's vectors |VRitz>, the eigenvalues lambda
   ! OUTPUT : the correction |delta> to improve the Ritz's vectors so that to
              minimize the residual
   implicit none
   type(t_mesh)::m
   double precision :: lambda(:),r(:,:),delta(:,:),pot_ext(:)
   integer :: nvec,ndelta
   type(t_cvg)::cvg
   double precision, external :: ddot
   double precision, parameter::alpha=1.0,beta=0.0
   double precision, allocatable :: normloc
    double precision, allocatable :: Dinv(:,:)
   integer :: i,j
   double precision :: deltasqr
   deltasqr=m%dx**2
   print *,'Delta > -----'
   print *,'Delta > --- compute_delta ---'
   print *,'Delta > -----'
   !delta(:,:)=0.0
   ! allocate(Dinv(m%N,m%N))
 ! Dinv(:,:)=0.0
   ndelta=0
   do i=1,nvec
      if(cvg%list_cvg(i).eq.0) then
         ndelta=ndelta+1
         do j=1,m%N
            delta(j,ndelta)=r(j,ndelta)/((3.0/deltasqr+pot_ext(j))-lambda(i))
!
             Dinv(j,j)=1.0/((3.0/deltasqr+pot_ext(j))-lambda(i))
         end do
          ! see Victor Eijkhout in "Introduction to scientific and technical computing" edited b
          ! Chap 15 Libraries for Linear Algebra
         ! to get a comprehensive way to use dgemv
 ļ
          call dgemv('N',m%N,m%N,alpha,Dinv,m%N,r(:,ndelta),1,beta,delta(:,ndelta),1)
          !norm=sqrt(ddot(m%N,delta(:,i),1,delta(:,i),1))
          !write(*, '(A10,I4,A2,E12.6)',advance='no') 'Delta > delta(',i,')=',norm
         !delta(:,ndelta)=delta(:,ndelta)+VRitz(:,ndelta)
         normloc=1.0/sqrt(ddot(m%N,delta(:,ndelta),1,delta(:,ndelta),1))
         call dscal(m%N,normloc,delta(:,ndelta),1)
      end if
   end do
   !deallocate(Dinv)
   print *,'Delta > ',ndelta,' new vector(s)'
 end subroutine compute_delta
                COMPUTE_RESIDUAL()
```

```
subroutine compute_residual(r, VRitz, S, nvec, cvg, m, pot_ext)
 implicit none
 type(t_mesh)::m
  integer :: nvec
 double precision :: r(:,:),VRitz(:,:),S(:),pot_ext(:)
 type(t_cvg) :: cvg
  integer :: i,j,k
 double precision :: normloc
 double precision, external :: ddot
 double precision :: deltasqr
 print *,'Residual > -----'
 print *,'Residual > --- compute residual ---'
 print *,'Residual > -----'
 deltasqr=m%dx**2
 r(:,:)=0.0
 cvg%ncvg=0
 do j=1,nvec
    do i=1,m%N
       r(i,j)=(3.0/deltasqr+pot_ext(i))*VRitz(i,j)
       do k=1,m%n_neighbors(i)
          r(i,j)=r(i,j)-0.5*VRitz(m%list_neighbors(i,k),j)/deltasqr
       r(i,j)=r(i,j)-S(j)*VRitz(i,j)
    normloc=ddot(m\%N,r(:,j),1,r(:,j),1)
    write(*,'(A,I4,A,E12.4,A,E12.4)',advance='no') 'Residual > r(',j,')= ',normloc,'/',cvg%ET
    if (normloc.lt.cvg%ETA) then
       cvg%ncvg=cvg%ncvg+1
       cvg%list_cvg(j)=1
       write(*,*) '--> converged'
    else
       write(*,*)
     end if
  end do
end subroutine compute_residual
              RITZ()
subroutine Ritz(Vout, Vin, y, nvec)
  implicit none
 double precision :: Vin(:,:),Vout(:,:),y(:,:)
 integer :: nvec
  integer :: i,j
 print *,'Ritz > -----'
 print *,'Ritz > --- Ritz() ---'
```

```
print *,'Ritz > -----'
 do i=1,nvec
    Vout(:,i)=y(1,i)*Vin(:,1)
    do j=2,nvec
      Vout(:,i)=Vout(:,i)+y(j,i)*Vin(:,j)
    end do
 end do
end subroutine Ritz
 ______
            DIAGONALIZATION()
! ------
subroutine diagonalization(S,H,N)
 implicit none
 integer :: N
 double precision :: H(:,:),S(:)
 integer :: lwork,info
 integer :: lwmax
 double precision,allocatable::work(:)
 parameter(lwmax=100000)
 allocate(work(lwmax))
 lwork=-1
 call dsyev('vectors', 'Upper', N, H, N, S, work, lwork, info)
 lwork=min(lwmax,int(work(1)))
 if (lwork.ge.lwmax) then
    write(*,*) 'Diagonalization > WARNING info = ',info
    write(*,*) 'Diagonalization > WARNING lwork=',lwork
    write(*,*) 'Diagonalization > WARNING size of work(1)',int(work(1))
    stop
 end if
 call dsyev('vectors','Upper',N,H,N,S,work,lwork,info)
 if(info.gt.0) then
    write(*,*) "Diagonalization > WARNING The algorithm computing failed"
    stop
 end if
 deallocate(work)
end subroutine diagonalization
 ______
            COMPUTE_T()
subroutine compute_T(T,V,nvec,m,pot_ext)
 implicit none
 double precision,allocatable :: V(:,:),T(:,:),pot_ext(:)
 integer :: nvec
 type(t_mesh)::m
```

```
integer :: i,j,k,l
    double precision :: deltasqr,acc
   double precision, parameter::alpha=0.0
    double precision::beta
   deltasqr=m%dx**2
    !$OMP PARALLEL private(acc)
    !$OMP DO
   do j=1,nvec
      do i=1,nvec ! Tij
         T(i,j)=0.0
         do k=1,m%N
             acc=(3.0/deltasqr+pot_ext(k))*V(k,j)! the potential will be added here
             do l=1,m%n_neighbors(k)
                acc=acc-0.5*V(m%list_neighbors(k,1),j)/deltasqr
!
                 print *, omp_get_thread_num(),i,j,k,l
             end do
             T(i,j)=T(i,j)+V(k,i)*acc
ļ
             print *,' -> ',omp_get_thread_num(),i,j,T(i,j)
          end do
!
          print *,omp_get_thread_num(),i,j,T(i,j)
      end do
   end do
    !$OMP END DO
    !$OMP END PARALLEL
    stop
 end subroutine compute_T
                 INIT_BASIS_SET()
 subroutine init_basis_set(V,nvec,seed,m)
    implicit none
    integer :: nvec,seed
    double precision,allocatable :: V(:,:)
   type(t_mesh)::m
   double precision, external :: ddot
   double precision ::normloc
    integer :: i,j
    double precision,allocatable :: Vdump(:,:)
   type(t_GramSchmidt) :: GS
    allocate(Vdump(m%N,nvec))
    call srand(seed)
   do i=1,nvec
      do j=1,m%N
          Vdump(j,i)=rand()
      end do
```

```
end do
  do i=1.nvec
    normloc=ddot(m%N,Vdump(:,i),1,Vdump(:,i),1)
    normloc=1.0/sqrt(normloc)
    call dscal(m%N,normloc,Vdump(:,i),1)
  end do
 GS%nindep=1
  call GramSchmidt(V,Vdump,nvec,m,GS)
 deallocate(Vdump)
end subroutine init_basis_set
! -----
! Ref.: D. G. Clayton "Gram-Schmidt Orthogonalization", J. Roy. Stat. Soc. C 20, 335 (1971)
subroutine GramSchmidt(Vout, Vin, nvec, m, GS)
  implicit none
  integer :: nvec
 double precision,allocatable :: Vin(:,:),Vout(:,:)
 type(t_mesh)::m
  type(t_GramSchmidt) :: GS
  integer :: i,k,i0
  double precision, parameter :: ETA=1.0e-6
  double precision,allocatable :: a(:)
  double precision :: normloc
  double precision, external :: ddot
  allocate(a(nvec))
 print *,'GS> -----'
 print *,"GS> Gram-Schmidt algorithm"
 print *,'GS> -----'
 print *,'GS> ',nvec,' vectors to orthogonalize'
 print *,'GS> ',GS%nindep,' vectors are already orthogonalized'
 Vout(:,1:GS%nindep)=Vin(:,1:GS%nindep)
 GS%ndep=0
  i0=GS%nindep
  do i=i0+1,nvec
    Vout(:,GS%nindep+1)=Vin(:,i)
    do k=1,GS%nindep
        ! We compute the projection of Vini(:,i) on V(:,1-nindep)
       a(k)=ddot(m%N, Vout(:,k),1, Vin(:,i),1)
       !print *,'GS > ',i,k,a(k)
        ! then we remove V(:,k) from V(:,nindep+1)
       call daxpy(m%N,-a(k),Vout(:,k),1,Vout(:,GS%nindep+1),1)
     end do
     ! now wre compute the norm of V(:,nindep+1)
    normloc=sqrt(ddot(m%N, Vout(:,GS%nindep+1),1,Vout(:,GS%nindep+1),1))
     !print *,'GS > norm(',i,')=',norm
     if (normloc.le.ETA) then
       GS%ndep=GS%ndep+1 ! V(:,nindep+1) is not linearly inependent
     else
       normloc=1.0/normloc
       call dscal(m%N,normloc,Vout(:,GS%nindep+1),1)
                      do k=2,icur
```

```
!
                        print *,'<U',k-1,'|U',i,'>=',ddot(N,V(:,k-1),1,V(:,icur),1)
       ļ
       GS%nindep=GS%nindep+1
    end if
  end do
 print *,'GS> ',GS%ndep,' vectors linearly dependant'
 print *,'GS> ',GS%nindep,' vectors linearly independant'
 print *,'GS> Size of the basis from ',nvec,' to ',GS%nindep
  !call check_ortho(Vout,nvec,m)
  !stop
 nvec=GS%nindep
 deallocate(a)
end subroutine GramSchmidt
! -----
subroutine check_ortho(P,nvec,m)
  implicit none
 integer :: nvec
 double precision :: P(:,:)
 type(t_mesh)::m
 double precision, parameter :: ETA=1.0e-6
  integer :: i,j,nfail
 double precision :: pscal
 double precision, external :: ddot
 nfail=-nvec
 print *,"--- check_ortho() ---"
 do i=1,nvec
    do j=1,nvec
       pscal=ddot(m\%N,P(:,i),1,P(:,j),1)
       write(*,'(F10.2)',advance='no') pscal
       if (pscal.gt.ETA) nfail=nfail+1
    end do
    write(*,*)
  end do
  if (nfail.gt.0) then
    print *,nfail,' fail(s)'
    stop
  end if
end subroutine check_ortho
! -----
subroutine init_mesh(m,param)
 implicit none
 type(t_mesh)::m
 type(t_param)::param
 double precision:: Lwidth
 Lwidth=param%box_width
 m%Nx=param%Nx
  m\%Nx=5
 m\%Ny=m\%Nx
 m\%Nz=m\%Nx
```

```
m%N=m%Nx*m%Ny*m%Nz
 m%dx=Lwidth/(m%Nx+1)
 m%dy=Lwidth/(m%Ny+1)
 m%dz=Lwidth/(m%Nz+1)
 m\%dv=m\%dx*m\%dy*m\%dz
  !m%dv=1.0
 m%center(1)=Lwidth/2
 m%center(2)=Lwidth/2
 m%center(3)=Lwidth/2
 allocate(m%n_neighbors(m%N))
  allocate(m%list_neighbors(m%N,6)) !
 m%list_neighbors(:,:)=0
 m%n_neighbors(:)=0
  call compute_list_neighbors(m)
end subroutine init_mesh
! -----
subroutine free_mesh(m)
  implicit none
 type(t_mesh) :: m
 deallocate(m%n_neighbors)
 deallocate(m%list_neighbors) !
end subroutine free_mesh
! -----
subroutine compute_list_neighbors(m)
  implicit none
 type(t_mesh) :: m
  integer::i,j,k,nn
  !integer,allocatable::n_neighbors(:),list_neighbors(:,:)
 do k=1,m\%Nz
    do i=1,m\%Nx
       do j=1,m%Ny
          nn=j+(i-1)*m\%Ny+(k-1)*m\%Ny*m\%Nx
          if (k>1) then
             m%n_neighbors(nn)=m%n_neighbors(nn)+1
             m%list_neighbors(nn,m%n_neighbors(nn))=nn-m%Nx*m%Ny
          end if
          if (k<m%Nz) then
             m%n_neighbors(nn)=m%n_neighbors(nn)+1
             m%list_neighbors(nn,m%n_neighbors(nn))=nn+m%Nx*m%Ny
          end if
          if (i>1) then
             m%n_neighbors(nn)=m%n_neighbors(nn)+1
             m%list_neighbors(nn,m%n_neighbors(nn))=nn-m%Ny
          end if
          if (i<m%Nx) then
             m%n_neighbors(nn)=m%n_neighbors(nn)+1
             m%list_neighbors(nn,m%n_neighbors(nn))=nn+m%Ny
          end if
          if (j>1) then
```

end program Hbinitio

#### References

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