

Notation: $\hat{I} \vdash \delta_1, \dots, \delta_n$ means $\hat{I} \vdash \delta_1$ and $\hat{I} \vdash \delta_2$ and ... and $\hat{I} \vdash \delta_n$, where each δ_i is a judgement.

Lemma 1 (Narrowing 1 (Subtypes)). *If $\hat{I}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{\tau}_1 <: \hat{\tau}_2$ and $\hat{I} \vdash \hat{\tau}' <: \hat{\tau}$ then $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{\tau}_1 <: \hat{\tau}_2$*

Proof. By induction on $\hat{I}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{\tau}_1 <: \hat{\tau}_2$. The tricky cases are S-TYPEPOLY and S-TYPEVAR; the others follow by routine application of the inductive hypothesis to subderivations.

Case: S-REFLEXIVE. Then $\hat{\tau}_1 = \hat{\tau}_2$, and $\hat{\tau}_1 <: \hat{\tau}_2$ holds in any context, including $\hat{I}, X <: \hat{\tau}', \hat{\Delta}$.

Case: S-TRANSITIVE. Let $\hat{\tau}_1 = \hat{\tau}_A$ and $\hat{\tau}_2 = \hat{\tau}_C$. By inversion, there is some $\hat{\tau}_B$ such that $\hat{I}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{\tau}_A <: \hat{\tau}_B$ and $\hat{I}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{\tau}_B <: \hat{\tau}_C$. Applying the inductive assumption, we get the judgements $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{\tau}_A <: \hat{\tau}_B$ and $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{\tau}_B <: \hat{\tau}_C$. Then by S-TRANSITIVE, $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{\tau}_A <: \hat{\tau}_C$, which is the same as $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{\tau}_1 <: \hat{\tau}_2$.

Case: S-RESOURCESET. Follows immediately, since the premises of this rule have nothing to do with the context. That is, if $\hat{I}, X <: \hat{\tau}, \hat{\Delta} \vdash \{\bar{r}_1\} <: \{\bar{r}_2\}$, then by inversion, $r \in \bar{r}_1 \implies r \in \bar{r}_2$. Then by S-RESOURCESET, $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash \{\bar{r}_1\} <: \{\bar{r}_2\}$.

Case: S-ARROW. Then $\hat{I}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{\tau}_A \rightarrow_{\varepsilon'} \hat{\tau}_B <: \hat{\tau}'_A \rightarrow_{\varepsilon} \hat{\tau}'_B$. By inversion, $\hat{I}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{\tau}'_A <: \hat{\tau}_A$ and $\hat{I}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{\tau}_B <: \hat{\tau}'_B$ and $\varepsilon' \subseteq \varepsilon$. To these first two judgements, apply the inductive assumption, giving $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{\tau}'_A <: \hat{\tau}_A$ and $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{\tau}_B <: \hat{\tau}'_B$. Then by S-ARROW, $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{\tau}_A \rightarrow_{\varepsilon'} \hat{\tau}_B <: \hat{\tau}'_A \rightarrow_{\varepsilon} \hat{\tau}'_B$.

Case: S-TYPEPOLY. Then $\hat{I}, X <: \hat{\tau}, \hat{\Delta} \vdash (\forall Y <: \hat{\tau}_A. \hat{\tau}_B) <: (\forall Z <: \hat{\tau}'_A. \hat{\tau}'_B)$. By inversion, we have the following two judgements:

1. $\hat{I}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{\tau}'_A <: \hat{\tau}_A$
2. $\hat{I}, X <: \hat{\tau}, \hat{\Delta}, Y <: \hat{\tau}'_A \vdash \hat{\tau}_B <: \hat{\tau}'_B$

Using (1) and the assumption $\hat{I} \vdash \hat{\tau}' <: \hat{\tau}$, the inductive hypothesis can be used to obtain (3).

3. $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{\tau}'_A <: \hat{\tau}_A$

Let $\Delta' = \Delta, Y <: \hat{\tau}'_A$. With this, and the assumption $\hat{I} \vdash \hat{\tau}' <: \hat{\tau}$, we shall apply the inductive hypothesis to obtain (4),

4. $\hat{I}, X <: \hat{\tau}', \hat{\Delta}' \vdash \hat{\tau}_B <: \hat{\tau}'_B$

Expanding the definition of Δ' , we get (5),

5. $\hat{I}, X <: \hat{\tau}', \hat{\Delta}, Y <: \hat{\tau}'_A \vdash \hat{\tau}_B <: \hat{\tau}'_B$

From (3) and (5), we can use S-TYPEPOLY to obtain (6), which is the theorem conclusion.

6. $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash (\forall Y <: \hat{\tau}_A. \hat{\tau}_B) <: (\forall Z <: \hat{\tau}'_A. \hat{\tau}'_B)$

Case: S-TYPEVAR. Then $\hat{I}, X <: \hat{\tau}, \hat{\Delta} \vdash Y <: \hat{\tau}_B$. There are two cases, depending on whether $X = Y$.

Subcase 1. $X = Y$. Then $\hat{I}, X <: \hat{\tau}, \hat{\Delta} \vdash X <: \hat{\tau}$. It is also true that (1) $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash X <: \hat{\tau}'$, by use of S-TYPEVAR. The assumption $\hat{I} \vdash \hat{\tau}' <: \hat{\tau}$ can be widened to (2) $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{\tau}' <: \hat{\tau}$. Then by (1) and (2), we can apply S-TRANSITIVE to get $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash X <: \hat{\tau}$.

Subcase 2. $X \neq Y$. Then $X <: \hat{\tau}$ is not used in the derivation of $\hat{I}, X <: \hat{\tau}, \hat{\Delta} \vdash Y <: \hat{\tau}_B$, so the judgement can be strengthened to $\hat{I}, \hat{\Delta} \vdash Y <: \hat{\tau}_B$. Then the judgement can be weakened to $\hat{I}, X <: \hat{\tau}', \hat{\Delta} \vdash Y <: \hat{\tau}_B$.

Lemma 2 (Narrowing 2 (Effects)). *If $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash \varepsilon_1 \subseteq \varepsilon_2$ and $\hat{\Gamma} \vdash \hat{\tau}' <: \hat{\tau}$, then $\hat{\Gamma}, X <: \hat{\tau}', \hat{\Delta} \vdash \varepsilon_1 \subseteq \varepsilon_2$.*

Proof. By induction on $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash \varepsilon_1 \subseteq \varepsilon_2$.

Lemma 3 (Narrowing 3 (Types)). *If $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{e} : \hat{\tau}_A$ with ε and $\hat{\Gamma} \vdash \hat{\tau}' <: \hat{\tau}$ then $\hat{\Gamma}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{e} : \hat{\tau}_A$ with ε_A*

Proof. By induction on the derivation of $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{e} : \hat{\tau}_A$ with ε_A . ε -ABS, ε -POLYTYPEABS, ε -POLYTYPEAPP, ε -POLYFXABS, ε -POLYFXAPP are the tricky cases; they require the use of the inductive hypothesis in a slightly more tricky way. The other cases follow by routine induction.

Case: ε -VAR. Then $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash x : \hat{\tau}_A$ with \emptyset , where $\hat{e} = x$. Since $X <: \hat{\tau}$ is not used in the derivation, we can strengthen the context to get $\hat{\Gamma}, \hat{\Delta} \vdash x : \hat{\tau}_A$ with \emptyset . Then by weakening, $\hat{\Gamma}, X <: \hat{\tau}', \hat{\Delta} \vdash x : \hat{\tau}_A$ with \emptyset .

Case: ε -RESOURCE. Then $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash r : \{\bar{r}\}$ with \emptyset , where $\hat{e} = r$. Since $X <: \hat{\tau}$ is not used in the derivation, we can strengthen the context to get $\hat{\Gamma}, \hat{\Delta} \vdash r : \{\bar{r}\}$ with \emptyset . Then by weakening, $\hat{\Gamma}, X <: \hat{\tau}', \hat{\Delta} \vdash r : \{\bar{r}\}$ with \emptyset .

Case: ε -OPERCALL. Then $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{e}_1.\pi : \mathbf{Unit}$ with $\varepsilon_1 \cup \{r.\pi \mid r \in \bar{r}\}$, and $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{e}_1 : \{\bar{r}\}$ with ε_1 . To this second judgement we apply the inductive hypothesis, giving $\hat{\Gamma}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{e}_1 : \{\bar{r}\}$ with ε_1 . With this new judgement, apply ε -OPERCALL to get $\hat{\Gamma}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{e}_1.\pi : \mathbf{Unit}$ with $\varepsilon_1 \cup \{r.\pi \mid r \in \bar{r}\}$.

Case: ε -SUBSUME. Then $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{e} : \hat{\tau}_A$ with ε_A . By inversion, $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{\tau}_A <: \hat{\tau}_B, \varepsilon \subseteq \varepsilon'$. By applying Narrowing Lemma 1 to the first judgement, $\hat{\Gamma}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{\tau} <: \hat{\tau}'$. By applying the Narrowing Lemma for effects¹, $\hat{\Gamma}, X <: \hat{\tau}', \hat{\Delta} \vdash \varepsilon \subseteq \varepsilon'$. With these two judgements, ε -SUBSUME can be used to obtain the judgement $\hat{\Gamma}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{e} : \hat{\tau}_A$ with ε_A .

Case: ε -ABS. Then $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash \lambda x : \hat{\tau}_1.\hat{e}_2 : \hat{\tau}_1 \rightarrow_{\varepsilon_2} \hat{\tau}_2$ with \emptyset , where $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta}, x : \hat{\tau}_1 \vdash \hat{e}_2 : \hat{\tau}_2$ with ε_2 . By letting $\hat{\Delta}' = \hat{\Delta}, x : \hat{\tau}_1$, this second judgement can be rewritten as (1),

1. $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta}' \vdash \hat{e}_2 : \hat{\tau}_2$ with ε_2 .

Using (1) and the assumption that $\hat{\Gamma} \vdash \hat{\tau}' <: \hat{\tau}$, apply the inductive hypothesis to obtain (2),

2. $\hat{\Gamma}, X <: \hat{\tau}', \hat{\Delta}' \vdash \hat{e}_2 : \hat{\tau}_2$ with ε_2 .

Using the definition of $\hat{\Delta}'$, this can be simplified,

3. $\hat{\Gamma}, X <: \hat{\tau}', \hat{\Delta}, x : \hat{\tau}_1 \vdash \hat{e}_2 : \hat{\tau}_2$ with ε_2 .

Then with (3) we can use ε -ABS to get (4),

4. $\hat{\Gamma}, X <: \hat{\tau}', \hat{\Delta} \vdash \lambda x : \hat{\tau}_1.\hat{e}_2 : \hat{\tau}_1 \rightarrow_{\varepsilon_2} \hat{\tau}_2$ with \emptyset

Case: ε -APP. Then $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{e}_1 \hat{e}_2 : \hat{\tau}_3$ with $\varepsilon_1 \cup \varepsilon_2 \cup \varepsilon_3$, where the following judgements are true from inversion:

1. $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{e}_1 : \hat{\tau}_2 \rightarrow_{\varepsilon_3} \hat{\tau}_3$ with ε_1
2. $\hat{\Gamma}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{e}_2 : \hat{\tau}_2$ with ε_2

By applying the inductive assumption to (1) and (2), we get (3) and (4),

3. $\hat{\Gamma}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{e}_1 : \hat{\tau}_2 \rightarrow_{\varepsilon_3} \hat{\tau}_3$ with ε_1

¹ This has yet to be proven

4. $\hat{F}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{e}_2 : \hat{\tau}_2$ **with** ε_2

Then by ε -APP, we get $\hat{F}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{e}_1 \hat{e}_2 : \hat{\tau}_3$ **with** $\varepsilon_1 \cup \varepsilon_2 \cup \varepsilon_3$.

Case: ε -POLYTYPEABS. Then $\hat{F}, X <: \hat{\tau}, \hat{\Delta} \vdash \lambda Y <: \hat{\tau}_1.\hat{e}_2 : \forall Y <: \hat{\tau}_1.\hat{\tau}_2$ **caps** ε_2 **with** \emptyset . From inversion, we have $\hat{F}, X <: \hat{\tau}, \hat{\Delta}, Y <: \hat{\tau}_1 \vdash \hat{e}_2 : \hat{\tau}_2$ **with** ε_2 . By letting $\Delta' = \Delta, Y <: \hat{\tau}_1$, the second judgement can be rewritten,

1. $\hat{F}, X <: \hat{\tau}, \hat{\Delta}' \vdash \hat{e}_2 : \hat{\tau}_2$ **with** ε_2

By applying the inductive hypothesis to (1), we get judgement (2), which further simplifies to (3) by simplifying $\hat{\Delta}'$,

2. $\hat{F}, X <: \hat{\tau}', \hat{\Delta}' \vdash \hat{e}_2 : \hat{\tau}_2$ **with** ε_2
 3. $\hat{F}, X <: \hat{\tau}', \hat{\Delta}, Y <: \hat{\tau}_1 \vdash \hat{e}_2 : \hat{\tau}_2$ **with** ε_2

Then by ε -POLYTYPEABS, we get $\hat{F}, X <: \hat{\tau}', \hat{\Delta} \vdash \lambda Y <: \hat{\tau}_1.\hat{e}_2 : \forall Y <: \hat{\tau}_1.\hat{\tau}_2$ **caps** ε_2 **with** \emptyset .

Case: ε -POLYFXABS. Then $\hat{F}, X <: \hat{\tau}, \hat{\Delta} \vdash \lambda \phi \subseteq \varepsilon.\hat{e}_1 : \forall \phi \subseteq \varepsilon.\hat{\tau}_1$ **caps** ε_1 **with** \emptyset . By inversion, $\hat{F}, X <: \hat{\tau}, \hat{\Delta}, \phi \subseteq \varepsilon \vdash \hat{e}_1 : \hat{\tau}_1$ **with** ε_1 . By letting $\hat{\Delta}' = \hat{\Delta}, \phi \subseteq \varepsilon$, the second judgement can be rewritten as (1),

1. $\hat{F}, X <: \hat{\tau}, \hat{\Delta}' \vdash \hat{e}_1 : \hat{\tau}_1$ **with** ε_1

Using (1) and the assumption that $\hat{F} \vdash \hat{\tau}' <: \hat{\tau}$, the inductive hypothesis gives judgement (2), which further simplifies to (3) by expanding the definition of $\hat{\Delta}'$,

2. $\hat{F}, X <: \hat{\tau}', \hat{\Delta}' \vdash \hat{e}_1 : \hat{\tau}_1$ **with** ε_1
 3. $\hat{F}, X <: \hat{\tau}', \hat{\Delta}, \phi \subseteq \varepsilon \vdash \hat{e}_1 : \hat{\tau}_1$ **with** ε_1

Then from (2), we can apply ε -POLYFXABS, giving the judgement $\hat{F}, X <: \hat{\tau}', \hat{\Delta} \vdash \lambda \phi \subseteq \varepsilon.\hat{e}_1 : \forall \phi \subseteq \varepsilon.\hat{\tau}_1$ **caps** ε_1 **with** \emptyset .

Case: ε -POLYTYPEAPP. Then $\hat{F}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{e}_A \hat{\tau}'_1 : [\hat{\tau}'_1/Y]\hat{\tau}_2$ **with** $[\hat{\tau}'_1/Y]\varepsilon_1 \cup \varepsilon_2$, where the following judgements are from inversion:

1. $\hat{F}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{e}_A : \forall Y <: \hat{\tau}_1.\hat{\tau}_2$ **caps** ε_1 **with** ε_2
 2. $\hat{F}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{\tau}'_1 <: \hat{\tau}_1$

With the assumption that $\hat{F}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{\tau}' <: \hat{\tau}$ and (1), we can apply the inductive hypothesis to get (3). With the same assumption and (2), we can apply Narrowing Lemma 1 (Subtypes) to get (4),

3. $\hat{F}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{e}_A : \forall Y <: \hat{\tau}_1.\hat{\tau}_2$ **caps** ε_1 **with** ε_2
 4. $\hat{F}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{\tau}'_1 <: \hat{\tau}_1$

From (3) and (4), ε -POLYTYPEAPP gives the judgement $\hat{F}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{e}_A \hat{\tau}'_1 : [\hat{\tau}'_1/Y]\hat{\tau}_2$ **with** $[\hat{\tau}'_1/Y]\varepsilon_1 \cup \varepsilon_2$.

Case: ε -POLYFXAPP. Then $\hat{F}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{e}_A \varepsilon' : [\varepsilon'/\phi]\hat{\tau}_2$ **with** $[\varepsilon'/\phi]\varepsilon_1 \cup \varepsilon_2$, where the following are true by inversion:

1. $\hat{F}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{e}_A : \forall \phi \subseteq \varepsilon.\hat{\tau}_2$ **caps** ε_1 **with** ε_2
 2. $\hat{F}, X <: \hat{\tau}, \hat{\Delta} \vdash \varepsilon' \subseteq \varepsilon$

With the assumption that $\hat{F}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{\tau}' <: \hat{\tau}$ and (1), we can apply the inductive hypothesis to obtain (3). With the same assumption and (2), we can apply the Narrowing Lemma for Effect Judgements² to get (4),

3. $\hat{F}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{e}_A : \forall \phi \subseteq \varepsilon.\hat{\tau}_2$ **caps** ε_1 **with** ε_2
 4. $\hat{F}, X <: \hat{\tau}', \hat{\Delta} \vdash \varepsilon' \subseteq \varepsilon$

With (3) and (4) we can apply ε -POLYFXAPP to get $\hat{F}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{e}_A \varepsilon' : [\varepsilon'/\phi]\hat{\tau}_2$ **with** $[\varepsilon'/\phi]\varepsilon_1 \cup \varepsilon_2$.

Case: ε -IMPORT. (We prove for a single import). Then $\hat{F}, X <: \hat{\tau}, \hat{\Delta} \vdash \text{import}(\varepsilon_s) x_1 = \hat{e}_1$ **in** $e : \text{annot}(\tau, \varepsilon_s)$ **with** $\varepsilon_s \cup \varepsilon_1$. By inversion, $\hat{F}, X <: \hat{\tau}, \hat{\Delta} \vdash \hat{e}_1 : \hat{\tau}_1$ **with** ε_1 . By inductive hypothesis, $\hat{F}, X <: \hat{\tau}', \hat{\Delta} \vdash \hat{e}_1 : \hat{\tau}_1$ **with** ε_1 . This, together with the other premises obtained by inversion, gives the judgement $\hat{F}, X <: \hat{\tau}', \hat{\Delta} \vdash \text{import}(\varepsilon_s) x_1 = \hat{e}_1$ **in** $e : \text{annot}(\tau, \varepsilon_s)$ **with** $\varepsilon_s \cup \varepsilon_1$.

² Doesn't actually exist yet