

# 1 Basic Effect Polymorphism

## Pseudo-Wyvern

```

1 def polymorphicWriter(x: T <: {File, Socket}): Unit with T.write =
2   x.write
3
4   /* below invocation should typecheck with File.write as its only effect */
5   polymorphicWriter File

```

## $\lambda$ -Calculus

```

1 let pw =  $\lambda\phi \subseteq \{\text{File.write}, \text{Socket.write}\}.$ 
2    $\lambda f: \text{Unit} \rightarrow_{\phi} \text{Unit}.$ 
3     f unit
4
5 in let makeWriter =  $\lambda r: \{\text{File}, \text{Socket}\}.$ 
6    $\lambda x: \text{Unit}.$  r.write
7
8 in (pw {File.write}) (makeWriter File)

```

## Typing

To type the definition of `polymorphicWriter`:

1. By  $\varepsilon$ -APP  
 $\phi \subseteq \{\text{F.w}, \text{S.w}\}, x: \text{Unit} \rightarrow_{\phi} \text{Unit} \vdash x \text{ unit} : \text{Unit with } \phi.$
2. By  $\varepsilon$ -ABS  
 $\phi \subseteq \{\text{F.w}, \text{S.w}\} \vdash \lambda x: \text{Unit} \rightarrow_{\phi} \text{Unit}. x \text{ unit} : (\text{Unit} \rightarrow_{\phi} \text{Unit}) \rightarrow_{\phi} \text{Unit with } \emptyset$
3. By  $\varepsilon$ -POLYFXABS,  
 $\vdash \forall \phi \subseteq \{\text{S.w}, \text{F.w}\}. \lambda x: \text{Unit} \rightarrow_{\phi} \text{Unit}. x \text{ unit} : \forall \phi \subseteq \{\text{F.w}, \text{S.w}\}. (\text{Unit} \rightarrow_{\phi} \text{Unit}) \rightarrow_{\phi} \text{Unit caps } \emptyset \text{ with } \emptyset$

Then `(pw {File.write})` can be typed as such:

4. By  $\varepsilon$ -POLYFXAPP,  
 $\vdash \text{pw } \{\text{F.w}\} : [\{\text{F.w}\}/\phi]((\text{Unit} \rightarrow_{\phi} \text{Unit}) \rightarrow_{\phi} \text{Unit}) \text{ with } [\{\text{F.w}\}/\phi]\emptyset \cup \emptyset$

The judgement can be simplified to:

5.  $\vdash \text{pw } \{\text{F.w}\} : (\text{Unit} \rightarrow_{\{\text{F.w}\}} \text{Unit}) \rightarrow_{\{\text{F.w}\}} \text{Unit with } \emptyset$

Any application of this function, as in `(pw {File.write})(makeWriter File)`, will therefore type as having the single effect `F.w` by applying  $\varepsilon$ -APP to judgement (5).

# 2 Dependency Injection

## Pseudo-Wyvern

An `HTTPServer` module provides a single `init` method which returns a `Server` that responds to HTTP requests on the supplied socket.

```

1 module HTTPServer
2
3 def init(out: A <: {File, Socket}): Str  $\rightarrow_{A.write}$  Unit with  $\emptyset$  =
4    $\lambda \text{msg}: \text{Str}.$ 
5     if (msg == "POST") then out.write("post response")
6     else if (msg == "GET") then out.write("get response")
7     else out.write("client error 400")

```

The main module calls `HTTPServer.init` with the `Socket` it should be writing to.

```

1 module Main
2   require HTTPServer, Socket
3
4   def main(): Unit =
5     HTTPServer.init(Socket) "GET /index.html"

```

The testing module calls `HTTPServer.init` with a `LogFile`, perhaps so the responses of the server can be tested offline.

```

1 module Testing
2   require HTTPServer, LogFile
3
4   def testSocket(): =
5     HTTPServer.init(LogFile) "GET /index.html"

```

## $\lambda$ -Calculus

The `HTTPServer` module:

```

1 MakeHTTPServer =  $\lambda x$ : Unit.
2    $\lambda \phi \subseteq \{\text{LogFile.write}, \text{Socket.write}\}.$ 
3      $\lambda f$ :  $\text{Str} \rightarrow_{\phi} \text{Unit}.$ 
4        $\lambda \text{msg}$ :  $\text{Str}.$ 
5         f msg

```

The `Main` module:

```

1 MakeMain =  $\lambda \text{hs}$ : HTTPServer.  $\lambda \text{sock}$ :  $\{\text{Socket}\}.$ 
2    $\lambda x$ : Unit.
3     let socketWriter = ( $\lambda s$ :  $\{\text{Socket}\}.$   $\lambda x$ : Unit. s.write) sock in
4     let theServer = hs {Socket.write} socketWriter in
5     theServer "GET/index.html"

```

The `Testing` module:

```

1 MakeTest =  $\lambda \text{hs}$ : HTTPServer.  $\lambda lf$ :  $\{\text{LogFile}\}.$ 
2    $\lambda x$ : Unit.
3     let logFileWriter = ( $\lambda l$ :  $\{\text{LogFile}\}.$   $\lambda x$ : Unit. l.write) lf in
4     let theServer = hs {LogFile.write} logFileWriter in
5     theServer "GET/index.html"

```

A single, desugared program for production would be:

```

1 let MakeHTTPServer =  $\lambda x$ : Unit.
2    $\lambda \phi \subseteq \{\text{LogFile.write}, \text{Socket.write}\}.$ 
3      $\lambda f$ :  $\text{Str} \rightarrow_{\phi} \text{Unit}.$ 
4        $\lambda \text{msg}$ :  $\text{Str}.$ 
5         f msg
6
7 in let Run =  $\lambda \text{Socket}$ :  $\{\text{Socket}\}.$ 
8   let HTTPServer = MakeHTTPServer unit in
9   let Main = MakeMain HTTPServer Socket in
10  Main unit
11
12 in Run Socket

```

A single, desugared program for testing would be:

```

1 let MakeHTTPServer =  $\lambda x$ : Unit.
2    $\lambda \phi \subseteq \{\text{LogFile.write}, \text{Socket.write}\}.$ 
3      $\lambda f$ :  $\text{Str} \rightarrow_{\phi} \text{Unit}.$ 
4        $\lambda \text{msg}$ :  $\text{Str}.$ 
5         f msg
6

```

```

7  in let Run = λLogFile: {LogFile}.
8    let HTTPServer = MakeHTTPServer unit in
9    let Main = MakeMain HTTPServer LogFile in
10   Main unit
11
12  in Run LogFile

```

Note how the HTTPServer code is identical in the testing and production examples.

## Typing

```

1  let MakeHTTPServer = λx: Unit.
2    λφ ⊆ {LogFile.write, Socket.write}.
3    λf: Str →φ Unit.
4    λmsg: Str.
5    f msg

```

To type MakeHTTPServer:

1. By  $\varepsilon$ -APP,  
 $x : \text{Unit}, \phi \subseteq \{\text{LF.w}, \text{S.w}\}, f : \text{Str} \rightarrow_{\phi} \text{Unit}, \text{msg} : \text{Str}$   
 $\vdash f \text{ msg} : \text{Unit} \text{ with } \emptyset$
2. By  $\varepsilon$ -ABS,  
 $x : \text{Unit}, \phi \subseteq \{\text{LF.w}, \text{S.w}\}, f : \text{Str} \rightarrow_{\phi} \text{Unit}$   
 $\vdash \lambda \text{msg} : \text{Str}. f \text{ msg} : \text{Str} \rightarrow_{\phi} \text{Unit} \text{ with } \emptyset$
3. By  $\varepsilon$ -ABS,  
 $x : \text{Unit}, \phi \subseteq \{\text{LF.w}, \text{S.w}\}$   
 $\vdash \lambda f : \text{Str} \rightarrow_{\phi} \text{Unit}. \lambda \text{msg} : \text{Str}. f \text{ msg} :$   
 $(\text{Str} \rightarrow_{\phi} \text{Unit}) \rightarrow_{\emptyset} (\text{Str} \rightarrow_{\phi} \text{Unit}) \text{ with } \emptyset$
4. By  $\varepsilon$ -POLYFXABS,  
 $x : \text{Unit}$   
 $\vdash \lambda \phi \subseteq \{\text{LF.w}, \text{S.w}\}. \lambda f : \text{Str} \rightarrow_{\phi} \text{Unit}. \lambda \text{msg} : \text{Str}. f \text{ msg} :$   
 $\forall \phi \subseteq \{\text{LF.w}, \text{S.w}\}. (\text{Str} \rightarrow_{\phi} \text{Unit}) \rightarrow_{\emptyset} (\text{Str} \rightarrow_{\phi} \text{Unit}) \text{ caps } \emptyset \text{ with } \emptyset$
5. By  $\varepsilon$ -ABS,  
 $\vdash \lambda x : \text{Unit}. \lambda \phi \subseteq \{\text{LF.w}, \text{S.w}\}. \lambda f : \text{Str} \rightarrow_{\phi} \text{Unit}. \lambda \text{msg} : \text{Str}. f \text{ msg} :$   
 $\text{Unit} \rightarrow_{\emptyset} \forall \phi \subseteq \{\text{LF.w}, \text{S.w}\}. (\text{Str} \rightarrow_{\phi} \text{Unit}) \rightarrow_{\emptyset} (\text{Str} \rightarrow_{\phi} \text{Unit}) \text{ caps } \emptyset \text{ with } \emptyset$

Note that after two applications of MakeHTTPServer, as in MakeHTTPServer unit {Socket.write}, it would type as follows:

6. By  $\varepsilon$ -POLYFXAPP,  
 $x : \text{Unit}$   
 $\vdash \text{MakeHTTPServer unit } \{\text{S.w}\} :$   
 $(\text{Str} \rightarrow_{\{\text{S.w}\}} \text{Unit}) \rightarrow_{\emptyset} (\text{Str} \rightarrow_{\{\text{S.w}\}} \text{Unit}) \text{ with } \emptyset$

After fixing the polymorphic set of effects, possessing this function only gives you access to the `Socket.write` effect.

## 3 Map Function

### Pseudo-Wyvern

```

1  def map(f: A →φ B, l: List[A]): List[B] with φ =
2    if isnil l then []
3    else cons (f (head l)) (map (tail l f))

```

### λ-Calculus

```

1  map = λφ. λA. λB.
2    λf: A →φ B.
3    (fix (λmap: List[A] → List[B])).
4    λl: List[A].
5      if isnil l then []
6      else cons (f (head l)) (map (tail l f)))

```

### Typing

- This has the type:  $\forall \phi. \forall A. \forall B. (A \rightarrow_{\phi} B) \rightarrow_{\emptyset} \text{List}[A] \rightarrow_{\phi} \text{List}[B]$  with  $\emptyset$ .
- `map`  $\emptyset$  is a pure version of `map`.
- `map {File.*}` is a version of `map` which can perform operations on `File`.

## 4 Thread Non-Interference

Given two threads, we want to know if they can be executed at the same time without interference. The below example demonstrates two threads which are executing non-interfering code. `fx1` can only have file effects, while `fx2` can only have socket effects. Even before fixing the set of effects, we can tell executing them will not interfere with the other.

```

1  fx1 = λφ ⊆ {File.*}. λf: Str →φ Unit
2  fx2 = λφ ⊆ {Socket.*}. λf: Str →φ Unit
3
4  spawn (fx1 {File.write} e1 ‘‘data2process’’)
5  spawn (fx2 {Socket.write} e2 ‘‘data2process’’)

```

By contrast, the below example demonstrates how two threads might interfere. `Fx` is polymorphic in its set of effects, which is bound from above by `{File.*}`. The first two threads have their effect-variable bound to different, disjoint sets of effects; but the second two threads contain a common effect, `File.write`, and so their execution might interfere.

```

1  fx = λφ ⊆ {File.*}. λf: Str →φ Unit
2
3  // Non-interfering threads.
4  spawn (fx {File.write} e1 ‘‘data2process’’)
5  spawn (fx {File.append} e2 ‘‘data2process’’)
6
7  // Potentially interfering threads.
8  spawn (fx {File.write} e1 ‘‘data2process’’)
9  spawn (fx {File.write, File.read} e2 ‘‘data2process’’)

```