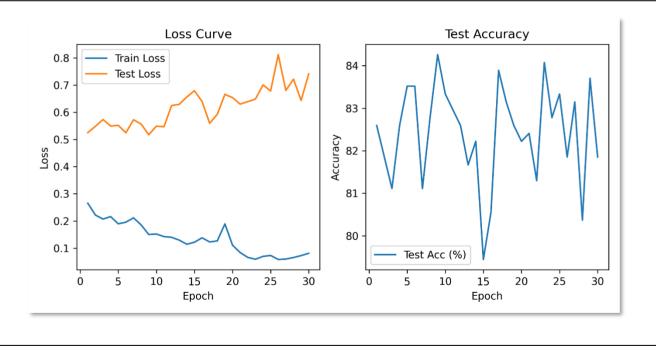
Lavar (typa donth idy)	Output Chang	
Layer (type:depth-idx)	Output Shape	Param #
ViT	[64, 6]	3,264
├─Unfold: 1-1	[64, 16, 49]	
⊢Linear: 1-2	[64, 49, 64]	1,088
├─Dropout: 1-3	[64, 50, 64]	
├─Transformer: 1-4	[64, 50, 64]	
│ └─ModuleList: 2-1		
		82,432
		82,432
		82,432
		82,432
		82,432
		82,432
├LayerNorm: 1-5	[64, 64]	128
├─Sequential: 1-6	[64, 6]	
│ └Linear: 2-2	[64, 128]	8,320
	[64, 128]	
│ └─Dropout: 2-4	[64, 128]	
└─Linear: 2-5	[64, 6]	774
Total params: 508.166		

Total params: 508,166 Trainable params: 508,166 Non-trainable params: 0 Total mult-adds (Units.MEGABYTES): 32.31

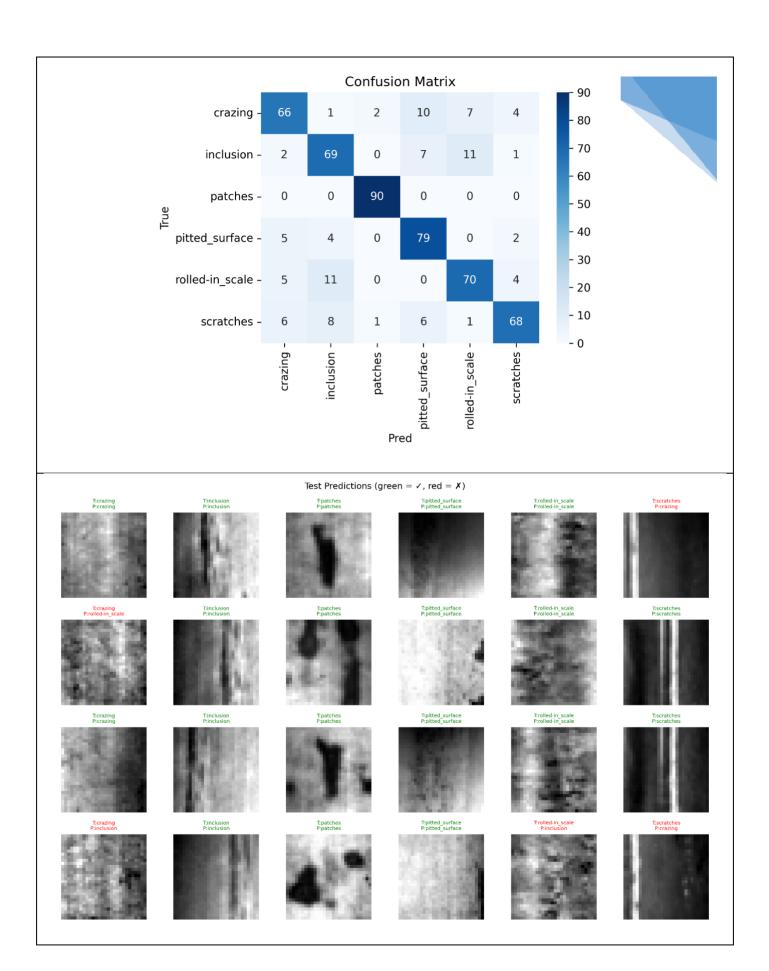
Input size (MB): 0.20
Forward/backward pass size (MB): 178.65
Params size (MB): 2.02
Estimated Total Size (MB): 180.87



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#### 6. Briefly explain the role of patch embedding and positional encoding in ViT. You may include parts of your Step 3 model code to support your explanation

So, patch embedding is kinda like slicing a big pizza into smaller slices. In our code, we chop each 28×28 image into 4×4 squares (that's 49 little patches), flatten each one into a list of numbers, and then run it through a linear layer to get a 64-element "token." That way, the Transformer can handle images just like it handles words in a sentence.

Positional encoding is like giving each slice its own "address" so the model knows which slice came from the top-left or bottom-right. Without that, the model wouldn't know the order — it'd be like reading words out of order and trying to understand a story. We just add a learned vector to each patch token so the model learns spatial location.

### 7. Describe which hyperparameters you tuned, why you chose them, and how they affected your final accuracy.

- **learning rate (LR):** I used  $3 \times 10^{-4}$  because it made training smooth. If I cranked it up, the model freaked out and accuracy bounced all over.
- **batch size:** I chose 64 since Colab GPU could handle it without slowing too much. Smaller batches were jittery, bigger batches got slow.
- patch size: 4 worked well  $7 \times 7$  patches gave enough detail without choking my computer.
- **dim (token size):** 64 felt just right. Smaller (like 32) learned slow; bigger (128) was a bit better but took forever.
- **depth & heads:** I used 6 layers and 4 heads. That combo reached around 84% accuracy. More layers or heads only helped a tiny bit but really slowed training.
- **epochs:** I trained for 30 epochs. By then I hit about 84%. I found that set 25 epochs can not reach to the highest ACC and If I went to 50, it just wiggled up and down (overfitting).

### 8. Compare ViT and CNN for image classification: what are the main differences, and when might one be preferred over the other using the provided dataset?

- CNNs use little filters that look at one small neighborhood at a time, and they're super good when you don't have tons of data. They're fast and have built-in "image bias."
- ViTs use self-attention so every patch can talk to every other patch right away. That's awesome for catching global patterns (like overall texture across the whole photo). But they need more data (or good regularization) to not overfit.

On our defect images (about 1,800 total), ViT did great and hit ~84%. If I had only a couple hundred images, I might start with a CNN instead.

## 9. Report the final achieved test accuracy; explain whether you reached the >60% baseline — if not, describe what you tried and why it might have failed; if you did, explain how you achieved it.

I got around **84%** at the best epoch (and about 82–83% at epoch 30). That's way over the 60% requirement. I hit it by:

- 1. Choosing a sensible patch size  $(4\times4)$ .
- 2. Using a token dim of 64, depth 6, heads 4.
- 3. Adam optimizer with LR=3e-4.
- 4. Training for around 10–20 epochs to catch the peak before it wiggled too much. Lecture: Prof. Hsien-I Lin
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If I hadn't reached 60%, I'd try early stopping, add a learning-rate scheduler, or throw in more data augmentation.

# 10. Based on the paper you referred to (Dosovitskiy et al., An Image is Worth 16x16 Words), please brief explain: You may include parts of your Step 3 model code to support your explanation.

- a. How does the Vision Transformer process input images from start to finish?
- Input: 28×28 grayscale image.
- Cut into  $4\times4$  patches  $\rightarrow$  flatten  $\rightarrow$  linear layer  $\rightarrow$  get 49 tokens.
- Stick on a special [CLS] token at the front.
- Add positional embeddings so the model knows slice order.
- Run through 6 layers of Transformer (self-attention + feed-forward).
- Take the [CLS] token's output, feed it through a small MLP  $\rightarrow$  get class scores.
- b. How are the image patches divided and transformed into input sequences?
- $28 \times 28 \div 4 \times 4 \rightarrow 7 \times 7 = 49$  patches.
- Each patch has size  $4\times4 = 16$  pixels, we project those 16 numbers into a 64-dim vector.
- c. How does the multi-head self-attention mechanism operate within the Transformer encoder?
- $28 \times 28 \div 4 \times 4 \rightarrow 7 \times 7 = 49$  patches.
- Each patch has size  $4\times4 = 16$  pixels, we project those 16 numbers into a 64-dim vector.
- d. How does the model use the [CLS] token (or final output) to produce the final image classification?
- The [CLS] token's hidden state after the last layer is like a summary of the whole image.
- We normalize it (LayerNorm) and run it through an MLP head to predict which defect class it is.

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