

# Introduction to the Theory of Computation

- Computation Model
- Finite Automaton, Context-free Grammar
- Turing Machine (Algorithm)
- Computability
- Complexity Class (eg. P, NP, PSPACE, EXP, L, NL...)
- NP Completeness, Reduction

## Big-O Notation

Def 1.1 Let

$$f, g : \mathbb{N} \rightarrow \mathbb{R}$$

1. Write

$$f = O(g) \Leftrightarrow (\exists c > 0)(\exists N)(\forall n \geq N : |f(n)| \leq c \cdot g(n))$$

2. Write

$$f = \Omega(g) \Leftrightarrow (\exists c > 0)(\exists N)(\forall n \geq N : |f(n)| \geq c \cdot g(n))$$

3. Write

$$f = \Theta(g) \Leftrightarrow f = O(g) \text{ and } f = \Omega(g)$$

4. Write

$$f = o(g) \Leftrightarrow (\forall \epsilon > 0)(\exists N)(\forall n \geq N : |f(n)| \leq \epsilon \cdot g(n))$$

eg.  $f(n) = 6n^4 - 2n^3 + 5 = O(n^4) = \Omega(n^4) = \Theta(n^4)$

Prop 1.2

1.  $f_1 = O(g_1), f_2 = O(g_2) \Rightarrow f_1 \cdot f_2 = O(g_1 \cdot g_2)$
2.  $f \cdot O(g) = O(f \cdot g)$
3.  $f_1 = O(g_1), f_2 = O(g_2) \Rightarrow f_1 + f_2 = O(\max(g_1, g_2))$
4.  $f_1 = O(g), f_2 = O(g) \Rightarrow f_1 + f_2 = O(g)$
5.  $f = O(g) \Rightarrow kf = O(g)$  where  $k$  is a constant

Constant	$\mathcal{O}(1)$
Double Logarithmic	$O(\log \log n)$

Constant	$O(1)$
Logarithmic	$O(\log n)$
Poly-logarithmic	$O(\log^c n) = O(\log^{O(1)} n), c > 0$
Linear	$O(n)$
Quasilinear	$O(n \log^c n), c > 0$
Quadratic	$O(n^2)$
Polynomial	$O(n^c), c > 0$
Exponential	$O(c^n), c > 1$
Factorial	$O(n!)$

Def 1.3

$$f = \omega(g) \Leftrightarrow (\forall c > 0)(\exists N)(\forall n \geq N : f(n) \geq c \cdot g(n))$$

$$f = \theta(g) \Leftrightarrow (\forall \epsilon > 0)(\exists N)(\forall n \geq N : |f(n) - g(n)| < \epsilon \cdot g(n))$$

## Alphabets & Languages

Def 1.4 An Alphabet is a set of symbols.

Def 1.5 A String (over an alphabet) is a finite sequence of symbols from the alphabet.

- $\Sigma^* = \bigcup_{n \geq 0} \Sigma^n$
- $\epsilon$  : Empty string

eg.  $\{0, 1\}^* = \{\epsilon, 0, 1, 00, 01, \dots\}$

Def 1.6 Two strings over the same alphabet can be combined by the operation of concatenation. The concatenation of "x" and "y" is denoted by "xy".

Def 1.7 A string "v" is a substring of "w"  $\Leftrightarrow \exists x, y \in \Sigma^*, w = xvy$

- If  $w = xv$ , then v is a **suffix** of w.
- If  $w = vy$ , then v is a **prefix** of w.

Def 1.8 The string " $w^i$ " is defined by:

$$w^0 = \epsilon, w^{i+1} = w^i \cdot w, i \geq 0$$

Def 1.9 The reversal of a string w (denoted by  $w^R$ ), is the string "spelled backwards".

*Def 1.10* A Language is a set of strings over an alphabet.

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*Def 1.11* Let  $L$  be a language. The complement of  $L$ , denoted by  $\bar{L}$ , is  $\Sigma^* - L$ . The concatenation of  $L_1$  and  $L_2$  is defined by:

$$L_1 L_2 = \{w \in \Sigma^* | w = xy \text{ for some } x \in L_1 \text{ and } y \in L_2\}$$

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*Def 1.12* The Kleene Star of  $L$ , denoted by  $L^*$ , is the set of strings obtained by concatenating zero or more strings from  $L$ .

$$L^* = \{w \in \Sigma^* | w = w_1 w_2 \dots w_k, k \geq 0, w_1, \dots, w_k \in L\}$$

- Write  $L^+$  for the language  $LL^*$ . Equivalently,

$$L^+ = \{w \in \Sigma^* | w = w_1 \dots w_k, k \geq 1, w_1, \dots, w_k \in L\}$$

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## Encoding of Problems

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eg.

1. **Integer Multiplication:** Given 2 nonnegative integers  $x$  and  $y$ , compute  $xy$ .
  2. **Primality Testing:** Given  $n \in \mathbb{N}$ , decide if  $n$  is a prime number.
  3. **Hamiltonian Cycle:** Given an undirected graph  $G$ , test if  $G$  has a Hamiltonian Cycle.
- By encoding, any decision problem is a language, and any computation problem is a function from  $\Sigma^*$  to  $\Sigma^*$ .
  - Usually, the way of encoding does not matter. By preprocessing, one can switch between encodings.
  - eg. Adjacency matrix  $\leftrightarrow$  Adjacency list