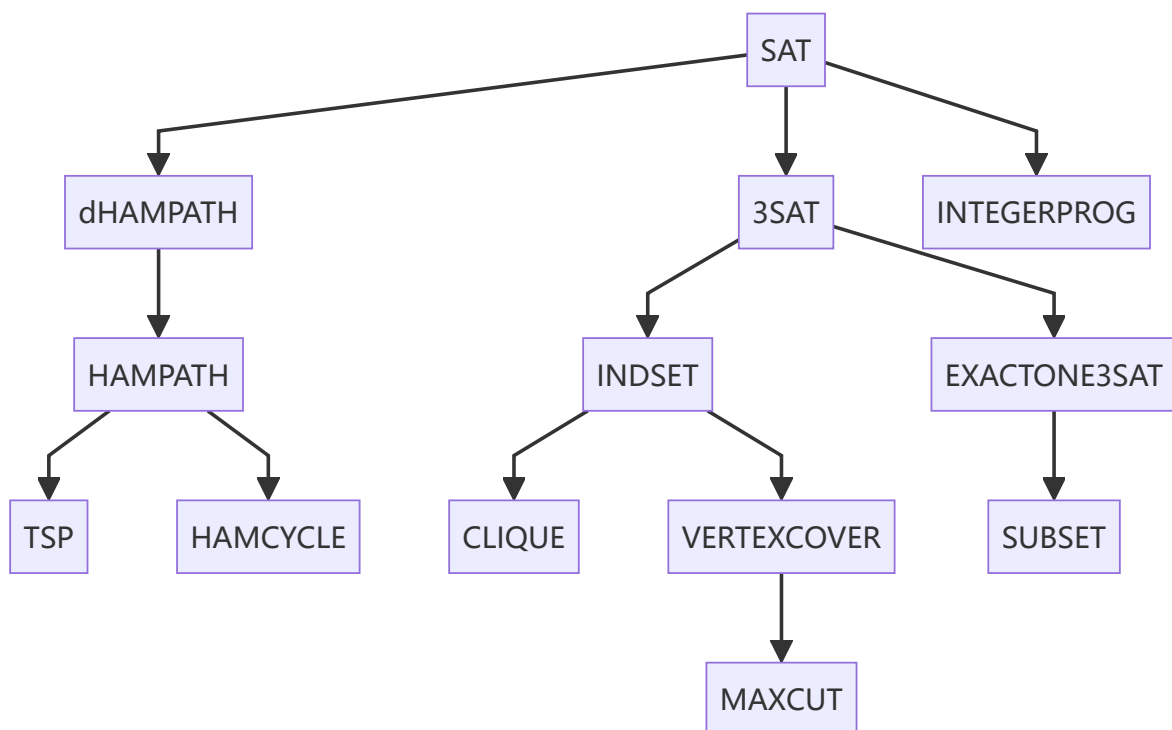


## Last class

- **Cook-Levin Theorem:**  $SAT$  is  $NP$ -complete.
  - $NP$ -complete =  $NP \cap NP$ -hard
  - $L$  is  $NP$ -hard if and only if  $\forall K \in NP, K \leq_P L$ .
- **Karp Reduction**(Poly-time many-one reduction)
  - $L \leq_P K$  if  $\exists$  poly-time TM  $M$  s.t.  $(\forall x)(x \in L \Leftrightarrow M(x) \in K)$ .
- **Cook Reduction**(Poly-time Turing reduction)
  - $L$  is Cook-reducible to  $K$  if  $\exists$  an oracle TM with oracle access to  $K$  that decides  $L$  in polynomial time.
- $SAT$  is  $NP$ -complete under Karp reduction. So,  $SAT$  is also  $NP$ -complete under Cook reduction.
- $L$  is  $NP$ -hard under Karp reduction  $\Leftrightarrow L$  is  $NP$ -hard under Cook reduction.
  - $\Rightarrow$ : Obvious
  - $\Leftarrow$ : ???
- $SAT \leq_P 3SAT$
- $SAT \leq_P INTEGERPROG \leq_P 01PROG$



- $INDSET = \{\langle G, k \rangle \mid G \text{ has an independent set of size } k\}$

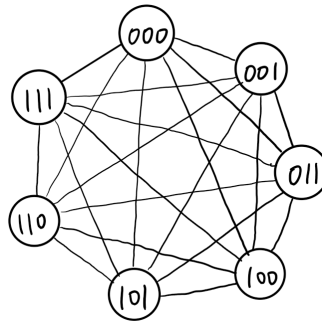
*Thm 5.32*  $3SAT \leq_P INDSET$

*Proof:*  $3CNF \varphi$  with  $m$  clauses  $\rightarrow G$  with  $7m$  vertices.

$\varphi$  is satisfiable  $\Leftrightarrow \langle G, m \rangle \in INDSET$ .

For each clause, make a cluster, consisting of 7 vertices (satisfying assignments). Connect all.

eg.  $C_1 = x_1 \vee \bar{x}_2 \vee x_3 \quad (x_1, x_2, x_3) \neq (0, 1, 0)$



For different clauses, connect the assignments if they are inconsistent.

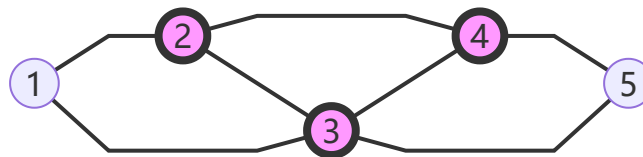
$\Rightarrow$ : For each clause, take the vertex in the cluster that corresponds to the satisfying assignment.

$\Leftarrow$ : Observe that if there exists an independent set of size  $m$ , then the set has exactly one vertex from each cluster. Since there is no edge between any pair, the assignment must be consistent.

Q.E.D.

- $CLIQUE = \{\langle G, k \rangle \mid G \text{ has a } K_k \text{ subgraph}\}$

eg. Consider graph  $G$  below.  $\langle G, 3 \rangle \in CLIQUE$ ,  $\langle G, 4 \rangle \notin CLIQUE$ .



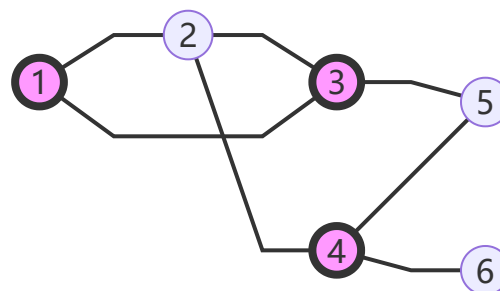
*Thm 5.33* **CLIQUE is NP-complete.**

*Proof:*  $INDSET \leq_P CLIQUE$

Given a certificate  $w = \{v_1, \dots, v_k\}$ , verifying  $\langle G, k \rangle \in CLIQUE$  is in  $P$ .

- $VERTEXCOVER = \{\langle G, k \rangle \mid G \text{ has a vertex cover of size } k\}$

eg. Consider graph  $G$  below.  $\langle G, 3 \rangle \in VERTEXCOVER$ .



- A **vertex cover** of a graph is a set of vertices that includes at least one endpoint of every edge of the graph.

*Thm 5.34* **VERTEXCOVER is NP-complete.**

*Proof:*

1. We prove  $VERTEXCOVER$  is in  $NP$ .

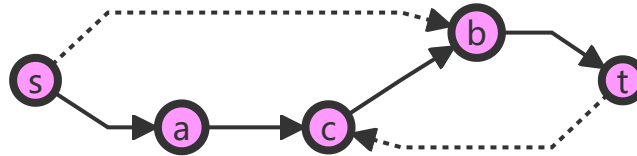
2. We prove  $INDSET \leq_P VERTEXCOVER$ .

$$\langle G, k \rangle \in VERTEXCOVER \Leftrightarrow \langle G, |V(G)| - k \rangle \in INDSET.$$

Because  $C \subseteq V(G)$  is a vertex cover if and only if  $V(G) \setminus C$  is an independent set. Q.E.D.

- $dHAMPATH = \{\langle G, s, t \rangle \mid \text{Directed graph } G \text{ has a Hamilton path from } s \text{ to } t\}$

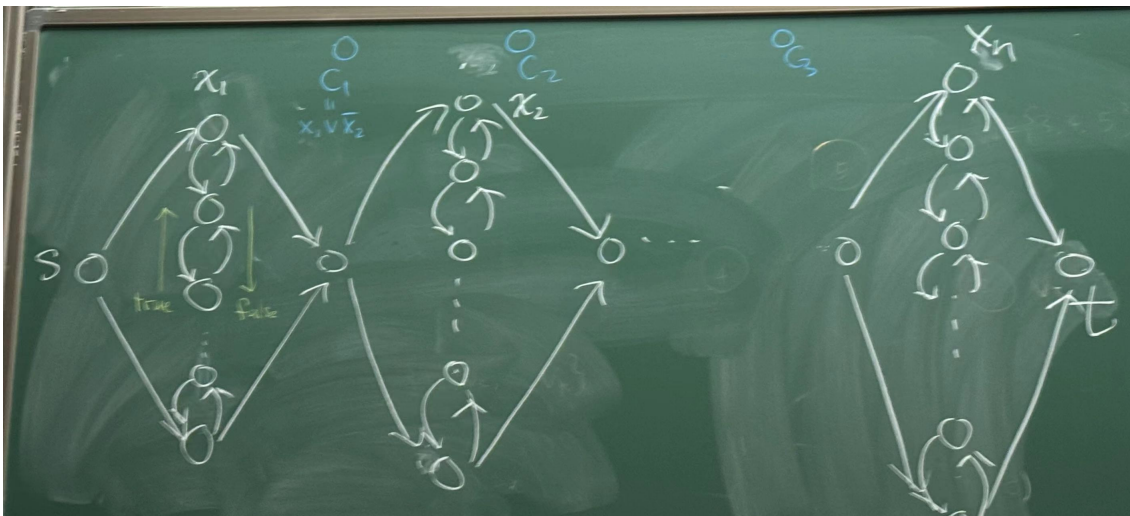
eg. Consider graph  $G$  below.  $\langle G, s, t \rangle \in dHAMPATH$ .



*Thm 5.35*  $dHAMPATH$  is **NP-complete**.

*Proof:* We claim  $dHAMPATH \in NP$ . We show  $3SAT \leq_P dHAMPATH$ .

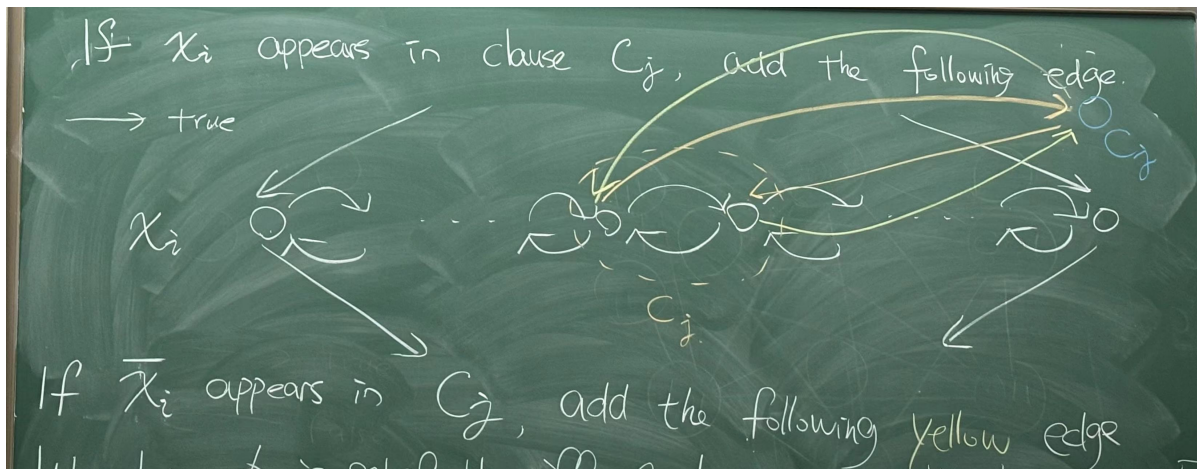
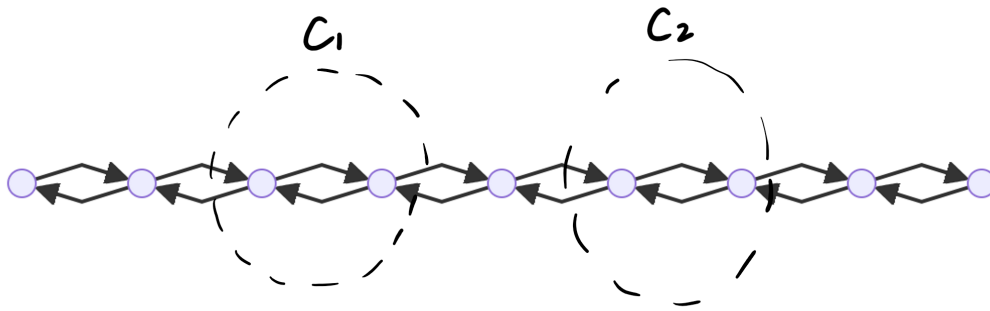
For any  $3CNF$   $\phi$ , construct a directed graph  $G$  with two vertices  $s$  and  $t$  s.t. an  $s$ - $t$  Hamilton path exists  $\Leftrightarrow \phi$  is satisfiable.



Suppose  $\phi = (a_1 \vee b_1 \vee c_1) \wedge \dots \wedge (a_k \vee b_k \vee c_k)$ , where  $a_i, b_i, c_i \in \{x_1, \bar{x}_1, \dots, x_l, \bar{x}_l\}$ .

Represent each  $x_i$  with a diamond-shaped structure, that can be traversed in either of the two ways, corresponding to two truth settings. Each diamond structure contains a horizontal row, that contains  $3k + 1$  vertices (in addition to the two nodes on the end).

eg.  $k = 2$  case:



We claim  $\phi$  is satisfiable if and only if  $G$  has an  $s$ - $t$  Hamilton path. Q.E.D.

- $HAMPATH = \{\langle G, s, t \rangle \mid \text{Undirected graph } G \text{ has a Hamilton path from } s \text{ to } t\}$

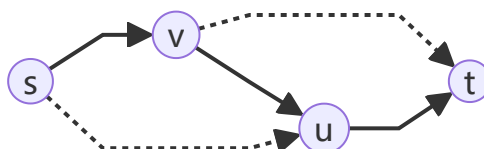
Thm 5.36  **$HAMPATH$  is NP-complete.**

Proof:  $HAMPATH \in NP$

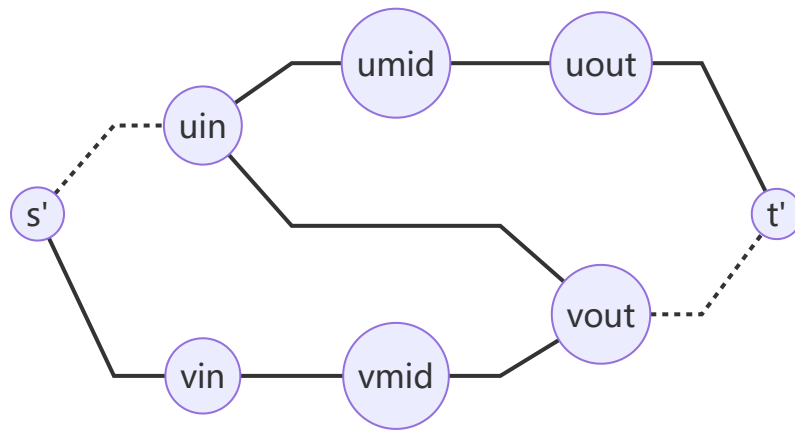
Let us prove  $dHAMPATH \leq_P HAMPATH$ .

Given a directed graph  $G$ , and  $s, t \in V(G)$ , construct an undirected graph  $G'$ ,  $s', t' \in V(G')$  s.t.  $G$  has an  $s$ - $t$  Hamilton path if and only if  $G'$  has an  $s'$ - $t'$  Hamilton path.

eg. Consider  $G$ :



Construct  $G'$ :



Each vertex  $u \in V(G) \setminus \{s, t\}$  is replaced by a triple  $u^{in}, u^{mid}, u^{out}$  in  $G'$ . Replace  $s$  by  $s'$ ,  $t$  by  $t'$ . Connect  $u^{mid}$  with  $u^{in}$  and  $u^{out}$ .

If  $(u, v) \in E(G)$ , then connect  $u^{out}$  with  $v^{in}$ .

Claim:  $G$  has an  $s$ - $t$  Hamilton path if and only if  $G'$  has an  $s'$ - $t'$  Hamilton path. *Q.E.D.*

---

## Time Hierarchy Theorem

---

- Question: Is  $DTIME(n) \subsetneq DTIME(n^2)$ ? Is  $P \subsetneq EXP$ ?