Introduction to Homomorphic Encryption

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1 Abstract Algebra Introduction:

1.1 Definition: Group

A group is a set X equipped with an operation \cdot which satisfies the following properties: Associativity: $(a \cdot b) \cdot c = a \cdot (b \cdot c)$ for $a, b, c \in X$

Identity: There exists a unique element $e \in X$ satisfying $a \cdot e = e \cdot a = a$ for all $a \in X$ Inverse: For $a \in X$, there exists a unique element $a^{-1} \in X$ satisfying $a \cdot a^{-1} = a^{-1} \cdot a = e$ Additionally, if \cdot is commutative, $a \cdot b = b \cdot a$ for all $a, b \in X$, we call X an abelian group.

1.2 Group Examples:

The set of integers modulo a positive number n is an abelian group under addition: For $a, b \in \mathbb{Z}/n\mathbb{Z}$ $a+b=nr+c=c \mod n$ for some $r, c \in \mathbb{Z}$. Addition commutes over \mathbb{Z} , so $b+a=nr+c=c \mod n$, which shows commutativity. Associativity is shown similarly. 0 is the unique identity element and $a^{-1}=n-a$.

Let n a positive integer and consider the set of positive integers relatively prime with n. This set forms an abelian group under multiplication denoted by $(\mathbb{Z}/n\mathbb{Z})*$: Let $a,b \in (\mathbb{Z}/n\mathbb{Z})*$. Then $ab = (n_0r_0 + c_0)(n_1r_1 + c_1)$. Terms in the product with a factor of n go to 0 mod n, so $ab = c_0c_1 \mod n$. Similarly, $ba = c_0c_1 \mod n$, so the operation is commutative. Both a and b are relatively prime with n, so ab is also relatively prime with n. Associativity is shown similarly using the associativity of multiplication over \mathbb{Z} . Also, 1 is an identity element. Now suppose $ab = ac \mod n$, with a,b,c relatively prime with n. Then $ab - ac = a(b-c) = 0 \mod n$, so b = cmod n. Thus, the set $\{ax : x \in (\mathbb{Z}/n\mathbb{Z})*\} = (\mathbb{Z}/n\mathbb{Z})*$, so there exists a unique x satisfying ax = 1. So $(\mathbb{Z}/n\mathbb{Z})*$ is an abelian group under multiplication.

1.3 Definition: Homomorphism

A homomorphism is a map $f:A\to B$, where A and B are groups, satisfying $f(x\cdot y)=f(x)\cdot f(y)$ for all $x,y\in A$, and where \cdot denotes the group operations in A and B (which may be distinct). Homomorphisms are maps that preserve the algebraic structure between groups. For some intuition behind this idea, suppose $x\in A$ satisfies n is the least positive integer satisfying $x^n=e$, where e is the identity in A and x^n represents $x\cdot x\cdot x\ldots$ where the operation is applied n times. We define n to be the order of x. Then $f(e)=f(x^n)=f(x)^n$. Now $f(x)=f(x\cdot e)=f(x)\cdot f(e)$ shows that f(e) is the identity element in B, and thus f(x) has order less than or equal to n in B. Now let i denote the order of f(x) in B, and suppose i doesn't divide i divide i in i in

satisfies z = (n - i(c + 1)). But then $f(x)^z = e$ contradicts that i is the order of f(x). Thus, the order of f(x) divides the order of x. With this example, we see that a homomorphism of groups can give us specific information on the orders of elements in B in relation to the orders of elements in A. Can you find other relationships?

1.4 Homomorphism Examples:

Let A the group \mathbb{R} under addition and S^1 the group of complex numbers with magnitude 1 under multiplication. Then $f(x) = e^{ix}$ is a homomorphism from \mathbb{R} to S^1 . We have $f(x+y) = e^{i(x+y)} = e^{ix}e^{iy} = f(x)f(y)$. Consider \mathbb{Z} under addition and $\mathbb{Z}/2\mathbb{Z}$ under addition mod 2. Then f(x) = x is a homomorphism, as $f(n_0 + n_1) = f(n_0) + f(n_1) = n_0 + n_1 \mod 2$.

1.5 Isomorphism and Injective Homomorphism:

In cryptography, encryption functions should be one to one, otherwise a decryption function would have to decrypt the encryptions of different messages to just one message. Thus, the homomorphisms useful in cryptography should be one to one. This type of homomorphism is called an injective homomorphism. Because an isomorphism is a bijective homomorphism, an injective homomorphism from a A to B can be viewed as an isomorphism from A onto a subgroup of B. The properties of ismorphisms are stronger than those of homomorphisms; algebraically, groups that are isomorphic to each other are considered the same, or considered to have the same algebraic structure. For instance, in 1.3 we showed that if x has order n in A, and f is a homomorphism, the order of f(x) in B divides the order of x. If f is instead an isomorphism, the order of f(x) in B must be equal to n.

2 What is Homomorphic Encryption?

2.1 Overview:

Homomorphic encryption uses homomorphic encryption functions to allow operations on data without decryption. For instance, let a message space be a group under multiplication and take an encryption function satisfying $f(m_1m_2) = f(m_1)f(m_2)$ for all messages, that maps the message space into a group with multiplication operation. Now suppose you have an encryption of m_1 stored on a server, and you want to edit this encryption to be an encryption of m_1m_2 . If the encryption function is not homomorphic, you may need to decrypt the encryption of m_1 , compute m_1m_2 , and then compute the encryption of m_1m_2 , or give the server power to decrypt your messages. However, if the server is compromised, an attacker may be able to steal m_1 during this process. With a homomorphic encryption function, you can simply send the encryption of m_2 to the server and have it compute the product of the encryptions of m_1 and m_2 . Even further, assume you want to consistently update your encryption. If your encryption function is not homomorphic, you will have to repeat this decryption process numerous times, but with homomorphic encryption, you can edit your message without ever having to decrypt it.

Homomorphic encryption schemes are generally classified as partially homomorphic, somewhat homomorphic, or fully homomorphic. Partially homomorphic schemes are encryption schemes that support homomorphic evaluation of one type of operation, for instance, multiplication or addition. Somewhat homomorphic schemes support finitely many evaluations of both addition and multiplication. Fully homomorphic schemes support unlimited homomorphic computations of both addition and multiplication.

2.2 Partially Homomorphic Encryption:

Although partially homomorphic crypto schemes limit the computations that can be done homomorphically, they still have some applications. Furthermore, partially homomorphic schemes are much less computationally expensive than fully homomorphic crypto schemes, so they are useful in applications that only require one homomorphic operation. We present two examples of partially homomorphic schemes below.

RSA Encryption Scheme:

The encryption function for RSA is $f(m) = m^e \mod n$ where n is the product of 2 large primes. Thus, $f(m_1m_2) = (m_1m_2)^e \mod n = (m_1)^e(m_2)^e \mod n = (m_1^e \mod n)(m_2^e \mod n) = f(m_1)f(m_2)$, so RSA provides homomorphic computation of multiplication. Although RSA is partially homomorphic by definition, its use is not intended for homomorphic computation.

Paillier Encryption Scheme:

The Paillier Cryptosystem is an additively homomorphic encryption scheme. For encryption, pick two large primes and compute the product n, choose a random r < n that is relatively prime with n, and encrypt the message m < n by computing $f(m,r) = (n+1)^m(r)^n \mod n^2$. It can be verified using the binomial theorem and properties of the euler totient function that decryptions of f(m,r) = m for all r. Verifying the additive homomorphism, we have $f(m_1, r_1)f(m_2, r_2) = (n+1)^{m_1}(r_1)^n(n+1)^{m_2}(r_2)^n \mod n^2 = (n+1)^{m_1+m_2}(r_1r_2)^n \mod n^2 = f(m_1 + m_2, r_1r_2)$ which decrypts to $m_1 + m_2$.

The Paillier Cryptosystem was developed with intentions of creating an additively homomorphic cryptosystem, and, in general, partially homomorphic cryptosystems that are additive have clearer and more practical applications. For instance, consider a voting system where each voter encrypts their own vote of 0 or 1 and sends it off to be tabulated. If the tabulator used the Paillier Cryptosystem, it could compute the product of the encryptions of each vote, and return the decryption of this product to give the sum of votes without any individuals vote being revealed.

2.3 Somewhat Homomorphic Encryption:

Somewhat Homomorphic Encryption schemes support a finite number of operations of both addition and multiplication. These encryption schemes are mainly discussed and used in the process of building Fully Homomorphic encryption schemes. Recall that the Paillier Cryptosystem uses a random integer r when computing encryptions, which ensures that encryptions of m vary. Somewhat homomorphic encryptions schemes incorporate randomness into encryptions, called noise. These encryption schemes only support finitely many operations because after some number of operations, the noise grows too large and decryptions do not return the original message. To illustrate, we provide an example of a somewhat homomorphic "toy-scheme" resembling those used to create a fully homomorphic encryption scheme:

Let $m \in \{0,1\}$ and f(m) = n + 2r + m, where n is a large odd integer and r < n is a randomly selected integer. We decrypt f(m) by first taking $n + 2r + m \mod n$ and then taking $2r + m \mod 2$. We have $\sum_{i=1}^k f(m_i, r_i) = kn + 2\sum_{i=1}^k r_i + \sum_{i=1}^k m_i = 2\sum_{i=1}^k r_i + \sum_{i=1}^k m_i \mod n$. When $2\sum_{i=1}^k r_i$ is less than n, $2\sum_{i=1}^k r_i$ is a multiple of $2 \mod n$ and the decryption is equal to $\sum_{i=1}^k m_i \mod 2$. However, if $2\sum_{i=1}^k r_i$ is greater than n, $2\sum_{i=1}^k r_i$ may be odd mod n and the decryption would calculate $1 + \sum_{i=1}^k m_i \mod 2$. Thus, in the "toy-scheme" finitely many homomorphic additions can be made until the noise becomes too loud, and the decryption fails.

2.4 Fully Homomorphic Encryption:

The first fully Homomorphic Encryption scheme was created in 2009 by Craig Gentry, when he modified a somewhat homomorphic encryption scheme into a fully homomorphic encryption scheme. He used a technique called "bootstrapping" to reduce the noise generated by the somewhat homomorphic encryption scheme and allow for unlimited homomorphic additions and multiplications. Gentry's implementation was too inefficient to be put to practical use (30 minutes per basic bit operation), but it proved that fully homomorphic schemes are possible. Over the past 15 years, the efficiency of fully homomorphic schemes has increased drastically, but the efficiency is not to the level that allows these encryption schemes to be implemented on a wide-scale level. Many open source fully homomorphic encryption libraries are currently operational, Microsoft SEAL being a popular example. Microsoft SEAL offers the homomorphic encryption schemes BFV, BGV, and CKKS. BFV and BGV can perform arbitrary homomorphic computations on integers and BGV and perform arbitrary approximate calculations on real and complex numbers. These schemes are too complex to be explained in detail for this report.

There are many potential applications of fully homomorphic encryption that may be incorporated if the encryption schemes can be made efficient enough. For example, fully homomorphic encryption could allow for secure queries of encrypted databases. A client can encrypt their query and send it to the server, and the server could process the encrypted query, retrieving data without ever revealing the query. Thus, users could access potentially sensitive information discreetly. For a second example, fully homomorphic encryption would allow for encrypted medical data analysis, letting clients encrypt medical information and have diagnoses securely computed on the server side. For instance, if a client smokes cigarettes and wants to understand their health risks related to smoking, but does not want their smoking status to affect their life insurance rates, their smoking information would be secure as it would always be encrypted on the server. A demonstration of this idea is shown in section 3.

3 Demonstrations of Homomorphic Encryption:

3.1 RSA Secret Multiplication:

Using the python lightphe library, it is easy to produce an example of secret multiplication using RSA encryption. In the example below, the code encrypts the numbers 50 and 20, computes the product of their encryptions, and decrypts that product, returning the product of 50 and 20 (1000). This is essentially a secret multiplication calculator, if we envision that a server is computing the products of encryptions, as the server only sees encryptions of 50 and 20. Of course, this secret calculator is limited in power, because RSA only supports homomorphic computation of multiplications.

from lightphe import LightPHE

```
cs = LightPHE(algorithm_name = 'RSA')

m1 = 50
m2 = 20
c1 = cs.encrypt(m1)
c2 = cs.encrypt(m2)
print("Encryption of 50: ", c1, "\n")
print("Encryption of 20: ", c2, "\n")
c3 = c1*c2
print("Product of Encryptions: ", c3, "\n")
print("Decryption of Product: ", cs.decrypt(c3))
```

Figure 1: Console Output

3.2 Paillier Secret Binary Voting:

import random

Again using the lightphe library, we can produce an example of secret binary voting. In the example below, 30 votes are randomly computed as 0 or 1, and are all encrypted before being stored in an array. The product of the encryptions of the 30 votes is computed, and the decryption of this product gives the number of votes for 1. This can be viewed as 30 individuals encrypting their vote and passing it to a tabulator, with the tabulator calculating the product of the encryptions of all votes and returning the encrypted result without knowing individual votes. Each individual can decrypt and view the final vote count, but individuals only know their own vote.

```
from lightphe import LightPHE

cs = LightPHE(algorithm_name = 'Paillier')

encVotes = []
for i in range(30):
    num = random.randint(0,1)
    encNum = cs.encrypt(num)
    x = i+1
    print("Encryption of vote" ,x, ":-" , encNum)
    print("\n")
    encVotes.append(cs.encrypt(num))

total = cs.encrypt(0)
for i in range(30):
    total = total + encVotes[i]

print("Encrypted sum of votes:-", total, "\n")
decTotal = cs.decrypt(total)
```

```
print("Decrypted sum of votes: ", decTotal)
```

```
470876223219527370915835951847288959481346567022440738732237773096053264994046899151131544167445212791122607421221741486
08090119610877748469821115575157749489267832439355)
Encryption of vote 29 : Ciphertext(124284799369089823041198655771776394651971448156165651201380973421093934801434906179
043118311042049609105844210591039668694712462153626021750418492610157792193849025989993127932714207783188041569796825385
96965104650979356030287434818780903229461858548113453495481014726810963935020845483321151030057618868135387238005346557
66669844725777872869089392642135632640011392262898409426516753330370060153160777259584213688913106755890907816571952692
192754127899489617229472230761294914561748641243013229361731079088280480994469576273078384083788826081681440721879905831
20462514382298859546964862375275383600281779655101)
Encryption of vote 30 : Ciphertext(182622106761181625021819470780037217515850779287487014961839017673348<u>29324457694782</u>5
809450326748095965522550217728912145146978964151754528401164549686205342866380745925616667016863398285869895922990282744
728144708511888014679968877213611351710510677087497610094503675699392826428235035399377689505618524998540914662740103184
516665470224129963349791248971201018087751240920154180775351500525071344072373029716077053693655228740079930170040397439
043087878862568732434677229601965122019317393816504481046804627787475727064705854370585520825814028835898186676776309149
585650839107798874270557554466552275849577439268347)
Encrypted sum of votes: Ciphertext(784072221480692178906417331769374230705657068033212418979141467792453677779629556299
936629732453428125604257043639257758195361440375205485077341831591957090818717844114721268219108157500579704174012524971
\underline{125518732839834}602818603482468119396123438637603006671542212710858059682684486450798024390875813117411329752004935553434
128923964595182719239858540371856722304566551547474583443195687598617367017091554798388953104346398892915662279544306398
99247218480034200462691822329567456738501289325383543484577535200261295782864610773550421260002830142734482700004951422
50614939355448405087168473422881548476705331671671)
 ecrypted sum of votes:
 S C:\Users\hdavi>
```

Figure 2: Console Output

3.3 Morfix Demonstration of Microsoft Seal:

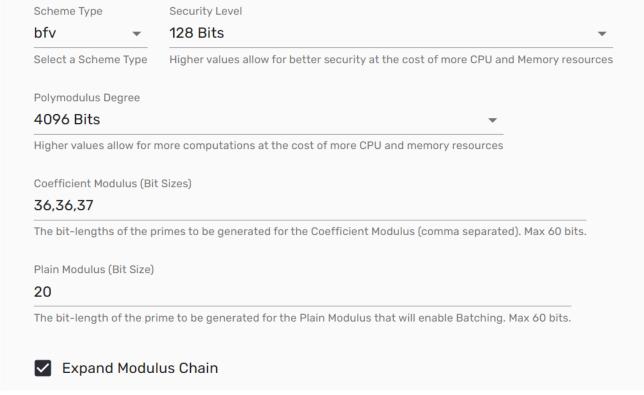
Although downloading and using fully homomorphic encryption libraries is complex, we can experiement with fully homomorphic encryption schemes using Morfix, a web based user interface demonstrating fully homomorphic encryption schemes from the Microsoft Seal library. In the demonstration below, we use the BFV scheme, create encryption parameters and keys, and intitalize 3 plaintexts with integer 2-vectors. We encrypt each plaintext, and compute the product of encryptions of A and B, and then add this to the encryption of C. When we decrypt the final computation, we are returned we are returned the 2-vector corresponding to component wise multiplication of A and B added component wise to C.

Sandbox

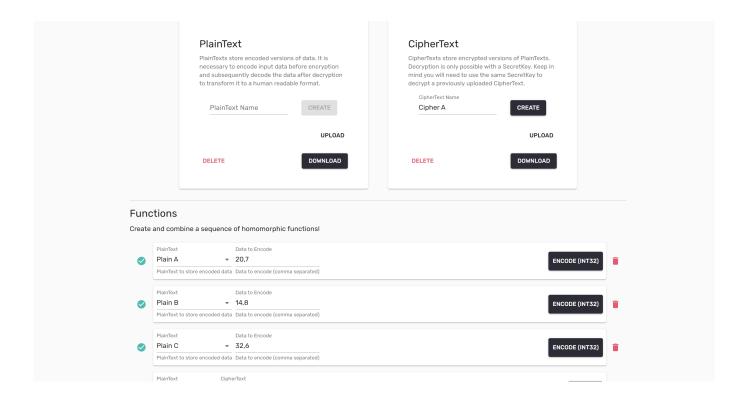
Experiment with homomorphic encryption powered by <u>Microsoft SEAL</u>. All cryptographic operations occur in the browser thanks to <u>node-seal</u>. To see what client to server interactions look like, sign up to be a beta tester.

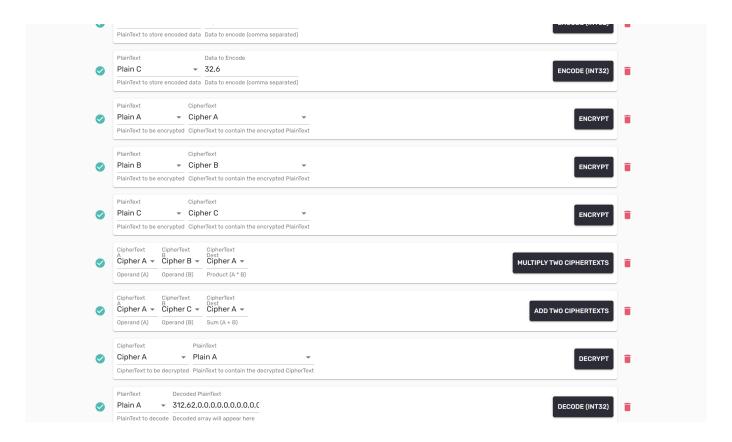
Encryption Parameters

These parameters can have drastic performance effects if not properly optimized. Most of these settings are arbitrarily defined and are safe to use; however, you are encouraged to optimize them.



Keys Keys are specific to the Context in which they are generated. If you change the Encryption Parameters, you will need to create new keys to work under the new Context. Start by creating a public/secret key pair. Don't forget to download your keys. Secret Key Public Key A SecretKey allows you to decrypt CipherTexts to A PublicKey allows you to encrypt PlainTexts to PublicKey name Key Pair name Create a new Secret and Public key pair Create a new PublicKey from the active SecretKey CREATE CREATE Active PublicKey Secret key (Keypair A) Public key (Keypair A) Set the active SecretKey to be used for Decryption Set the active PublicKey to be used for Encryption UPLOAD UPLOAD DELETE DOWNLOAD DELETE DOWNLOAD



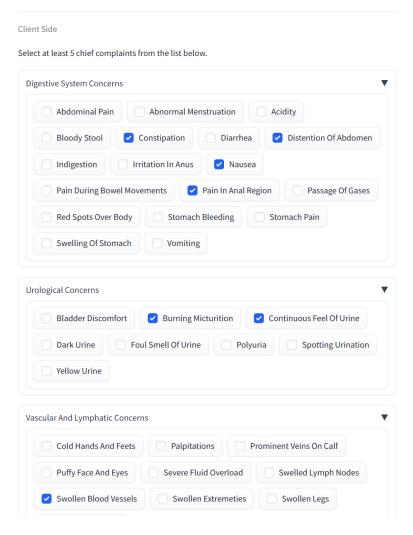


3.4 ZAMA Demonstration of Secure Medical Diagnosis:

The following example demonstrates how fully homomorphic encryption can compute a medical diagnosis based off of reported symptoms while keeping the reported symptoms encrypted. The application has the patient check off a list of symptoms, creates a binary-valued vector representing the symptoms, encrypts this data, and sends it to the server. The server uses machine learning and fully homomorphic encryption to compute a diagnosis only using the encrypted representation of the symptoms. The server sends back the encrypted diagnosis, allowing the patient to decrypt and view the diagnosis. Thus, the patient's inputted symptoms remain secure, even while on the server.

• The evaluation key is a public key that the server needs to process encrypted data.

Step 1: Select chief complaints



Step 2: Encrypt data

Client Side

Key Generation

In FHE schemes, a secret (enc/dec)ryption keys are generated for encrypting and decrypting data owned by the client.

Additionally, a public evaluation key is generated, enabling external entities to perform homomorphic operations on encrypted data, without the need to decrypt them.

The evaluation key will be transmitted to the server for further processing.

Keys have been generated 🔽

Encrypt the data

Encrypt the data using the private secret key

User Symptoms Vector:

Encrypted Vector:

Send the encrypted data to the Server Side

Send data

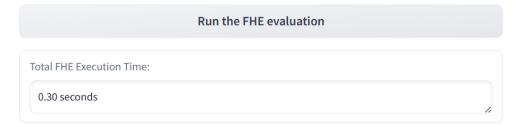
Data Sent

Step 3: Run the FHE evaluation

Server Side

Once the server receives the encrypted data, it can process and compute the output without ever decrypting the data just as it would on clear data.

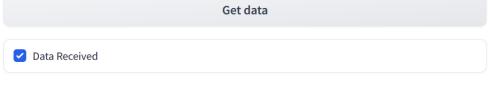
This server employs a Logistic Regression model that has been trained on this data-set.



Step 4: Decrypt the data

Client Side

Get the encrypted data from the Server Side



Decrypt the output

Decrypt the output using the private secret key

Decrypted Output:

▲ The prediction appears uncertain; including more symptoms may improve the results.

Given the symptoms you provided: Acute liver failure, Blurred and distorted vision, Burning micturition, Constipation, Continuous feel of urine, Distention of abdomen, Excess body fat, High fever, Internal itching, Nausea, Pain in anal region, Slurred speech, Swollen blood vessels, Ulcers on tongue, Yellowing of eyes.

Here are the top3 predictions:

Decrypted Output:



⚠ The prediction appears uncertain; including more symptoms may improve the results.

Given the symptoms you provided: Acute liver failure, Blurred and distorted vision, Burning micturition, Constipation, Continuous feel of urine, Distention of abdomen, Excess body fat, High fever, Internal itching, Nausea, Pain in anal region, Slurred speech, Swollen blood vessels, Ulcers on tongue, Yellowing of eyes.

Here are the top3 predictions:

- 1. « Urinary Tract Infection » with a probability of 35.75%
- 2. « Dimorphic Hemmorhoids (Piles) » with a probability of 14.50%
- 3. « Hepatitis E » with a probability of 9.26%

Conclusion: 4

In conclusion, fully homomorphic encryption can increase data security in numerous applications if encryption schemes become efficient enough to implement. The complex math utilized to build these schemes make them difficult for non-mathematicians to fully understand, but the potential applications are clear and could create a revolution in data security. As mathematicians and computer scientists continue improving these encryption schemes, we may soon find that the dream of wide-scale homomorphic encryption becomes a reality.

5 References:

5.1 Git Repositories:

LightPHE https://github.com/serengil/LightPHE

awesome-he https://github.com/jonaschn/awesome-he.git

5.2 Web Applications:

Morfix https://s0l0ist.github.io/seal-sandbox/

ZAMA-fhe https://huggingface.co/zama-fhe

Papers/Websites: 5.3

Wikipedia - Homomorphic Encryption https://en.wikipedia.org/wiki/Homomorphicencryption

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