

# Privacy and the limits of Open Data in South Africa

Nobubele Angel Shozi and Anwar Vahed

Council for Scientific and Industrial Research (CSIR), Pretoria, South Africa.

31 May 2018

**IASSIST** and Carto 2018 Conference, Montreal.

# **Presentation Layout**



- Once upon a time.....
- What is the purpose of this presentation?
- Open Data defined
- Survey of Current Open Data Repositories in South Africa
- Privacy criteria + Privacy issues in Open Data Repositories of South Africa
- Protection of Personal Information (POPI) Act of South Africa
- POPI Act assessment
- Privacy recommendations
- Conclusion

# Once upon a time.....





Image Sourced from: https://thenextweb.com/apps/2016/06/20/netflix-just-changed-logo/#.tnw 6ny0a083



https://commons.wikimedia.org/wiki/File:Target\_logo.svg



 $Image\ Sourced\ from:\ https://commons.wikimedia.org/wiki/File:AOL\_logo.svg$ 

# What is the purpose of this presentation?

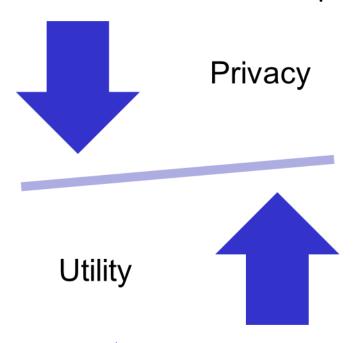


- Analyse Open Data Repositories in South Africa
- Identify privacy related issues
- Assess repositories privacy policies based on Privacy Law in South Africa
- Provide privacy recommendations

# **Open Data Defined**



- Open data can be defined as data that is "freely used, re-used and redistributed" [1].
- Characteristics: Public domain, Whole set, Readily process able by a computer, Open format, Open licence that allows it to be reuse, redistributed, and Allows for modification.
- Open Data has benefits however there are privacy risks.



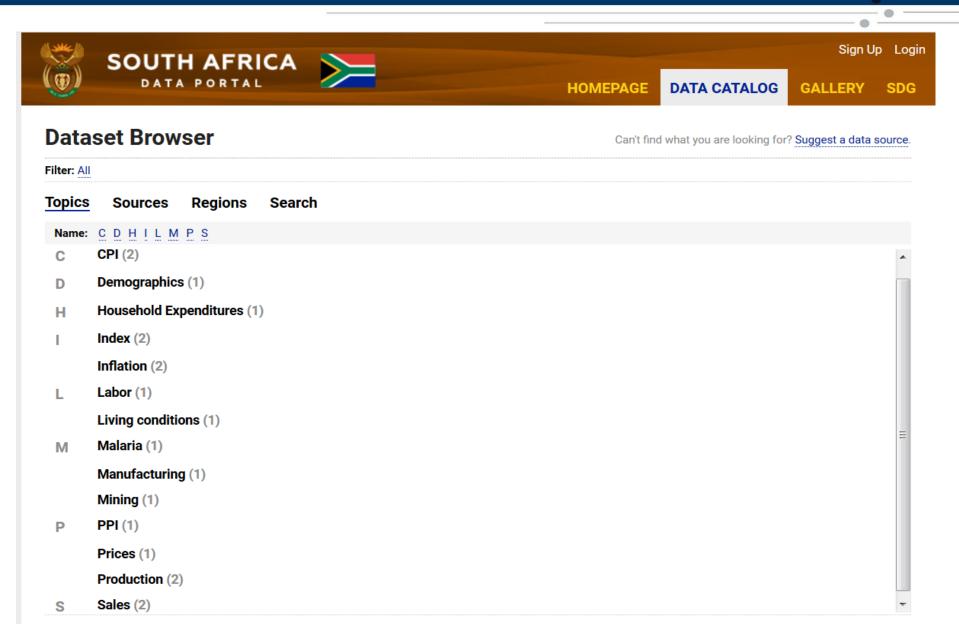
## Survey of Current Open Data Repositories in South Africa



- Five (5) South African Open Data Repositories were surveyed:
  - South Africa Data Portal
  - Code for South Africa Portal
  - OpenAfrica by Code for Africa
  - South African Cities Open Data Almanac (SCODA Beta)
  - DataFirst

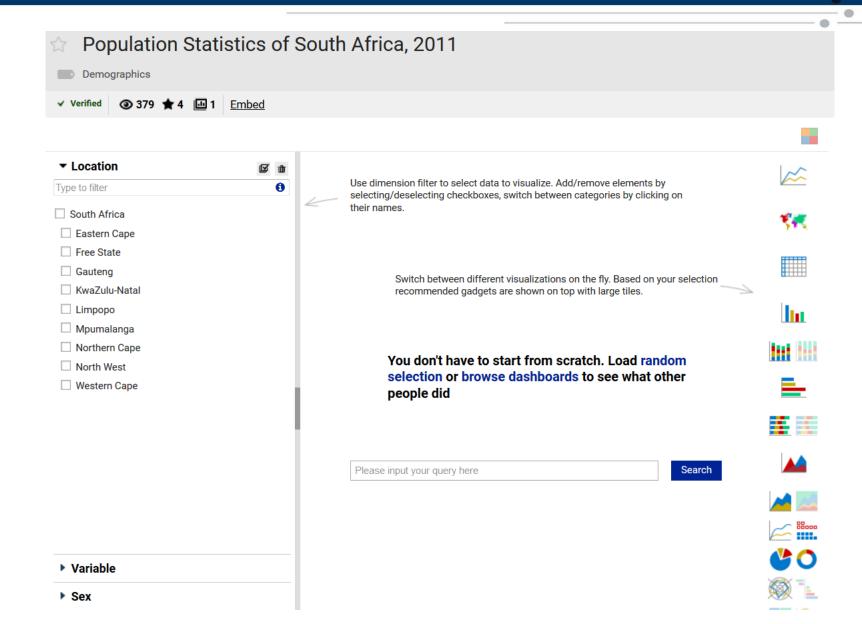
## South Africa Data Portal





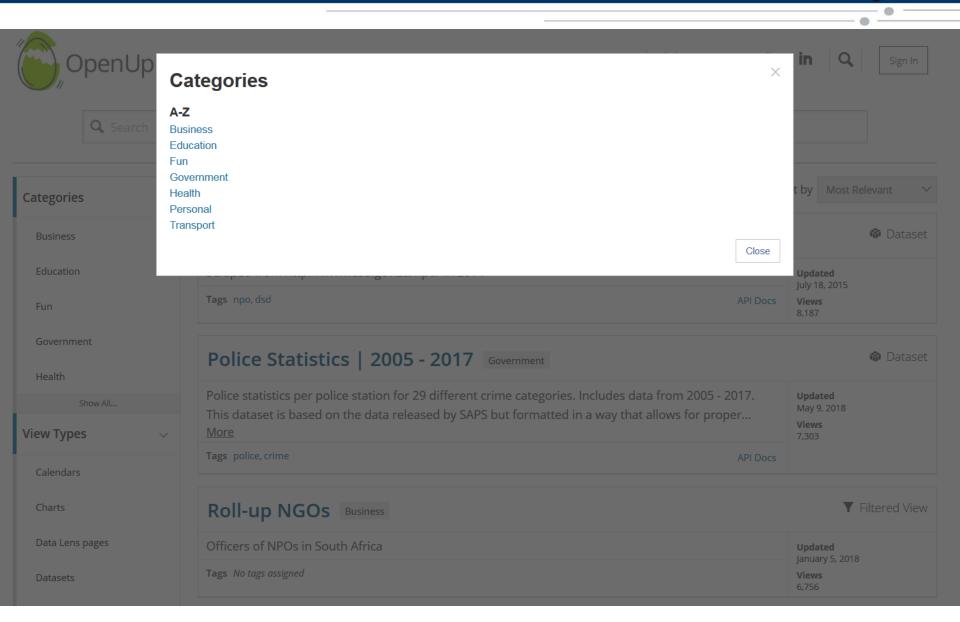
# South Africa Data Portal





# Code for South Africa Portal (OpenUp)



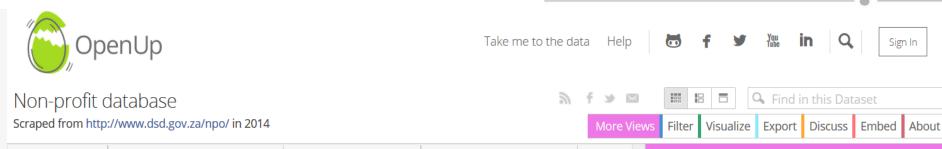


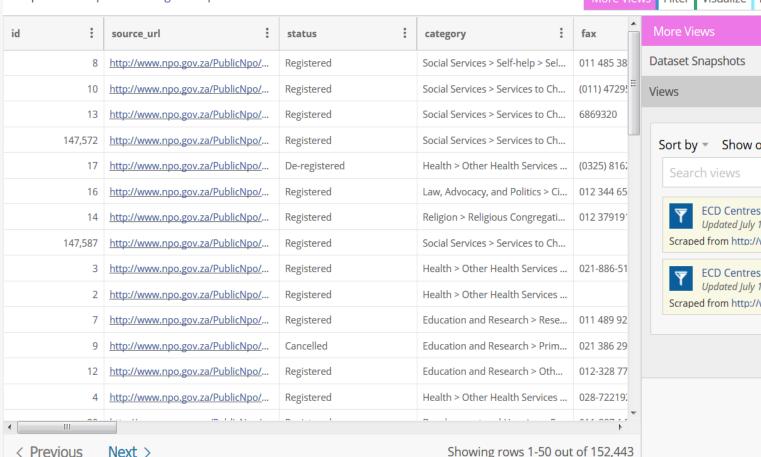
# Code for South Africa Portal (OpenUp)

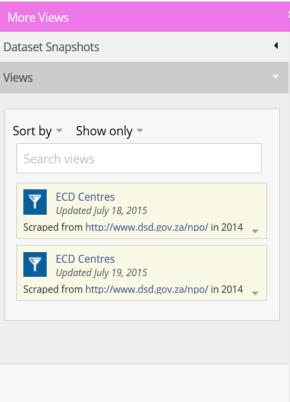


Find in this Dataset

Sign In

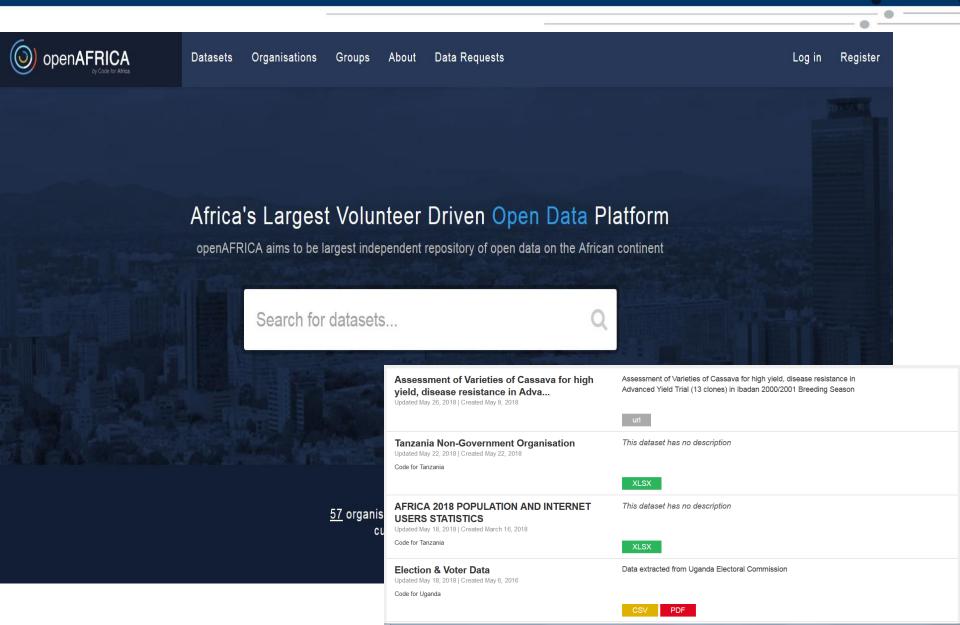






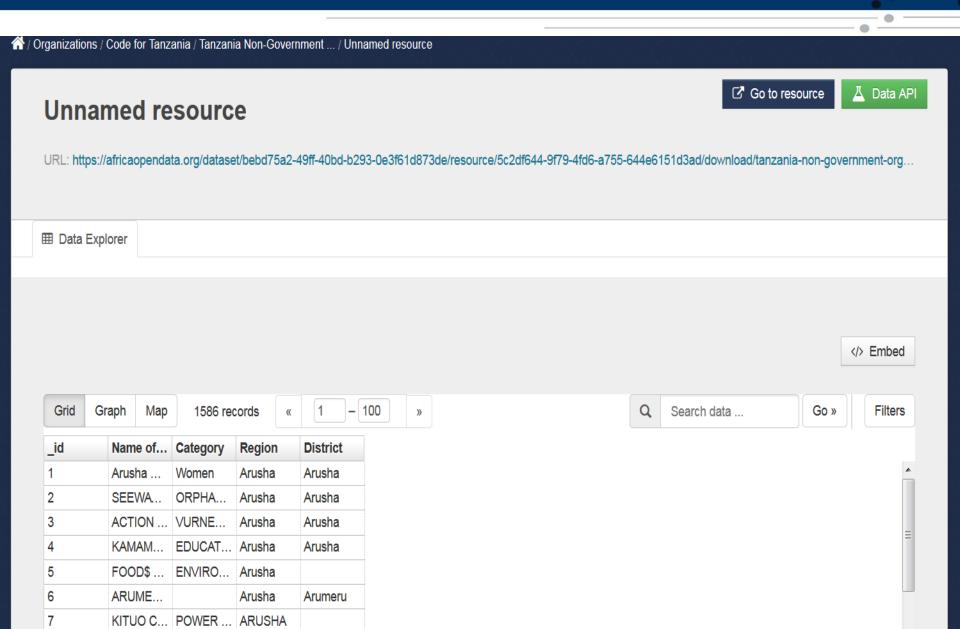
# OpenAfrica by Code for Africa





# OpenAfrica by Code for Africa





# South African Cities Open DIRISA Data Almanac (SCODA Beta)

SCODA BETA

**HOW-TO** 

**INDICATORS** 

MAF

**ABOUT** 

**EXPLORER** 

(currently).

SCODA offers an interactive user platform comprising:

#### The Explorer

The <u>Explorer</u> allows users to download full datasets for the SoCR Almanac as well as other key data sets. Data can be downloaded as a csv file or visualized and downloaded as a PNG graphic file. Over time, all data sets generated by the SACN will be made available to download here. The demographic modelling data can also be downloaded in CSV format.

Explorer

#### Data visualisations (infographics)

The <u>Indicators</u> button allows users to explore 12 key city indicators as interactive infographics. Each infographic contains an explanation of the indicator as well as reference to where the data was sourced. All of the data for these Indicators is contained within the Almanac

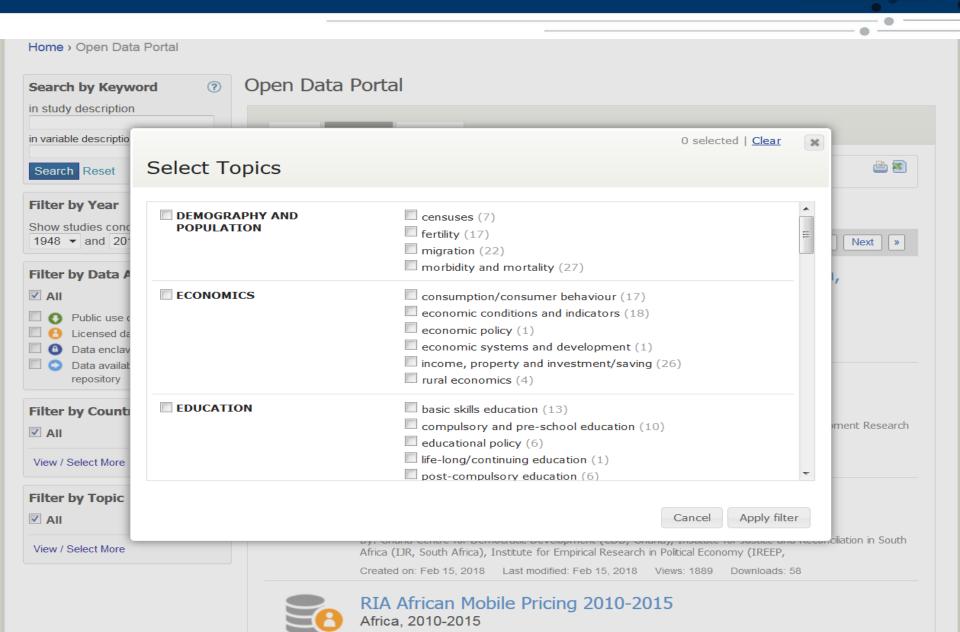
**Indicators** 

#### Map

The <u>Map</u> highlights the Cities whose data is contained within SCODA as well as key data. The SACN, through a partnership with the CSIR, has also supported the development of more detailed spatial analyses and visualisations of city development which are available at: <a href="http://stepsa.org/sacn\_socr.html">http://stepsa.org/sacn\_socr.html</a>.

## **DataFirst**





## **DataFirst**



DataFirst					
DataFirst Home	Open Data Portal	Open African Data sites	Citations	Contact us	5







Home > Open Data Portal > DATA > KEN-ETCP-TDK-1990-2016-V1

#### Kenya - Tobacco Data for Kenya 1990-2016



Reference ID	ken-etcp-tdk-1990-2016-v1
Year	1990 - 2016
Country	Kenya
Producer(s)	Data on Alcohol and Tobacco in Afrca Project - Economics of Tobacco Control Project, Southern Africa Labour and Development Research Unit, University of Cape Town
Sponsor(s)	International Development Research Centre - IDRC - Funder
Collection(s)	Data on Alcohol and Tobacco in Africa Project

Created on	Jun 08, 2017
Last modified	Jun 08, 2017
Page views	3006
Downloads	1887

Documentation

Study Description



Get Microdata

#### Documentation

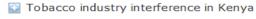
Download the questionnaires, technical documents and reports that describe the survey process and the key results for this study.

#### Reports





7.26 MB





# Privacy issues in Open Data Repositories of South Africa



### Access:

- How a user gains access to the repository.
- Create account
- Verification of account
- Security of site

### Dataset:

- View/Download rights
- Upload rights
- Confidential/Sensitive data
- Metadata

### Policy:

What policies are in place?

Χ

Χ

Χ

Χ



Χ

Χ

Χ

Χ (Sensitive)

Χ

Pr Re	rivacy iss epositorie	ues in es of S	Open I South A	Data frica		RISA
		South Africa Data Portal	Code for South Africa (OpenUp)	Open Africa by Code for Africa	SCODA	DataFirst
ccess	Any user can create account	X	X	X	-	X

Χ

Χ

Χ

Χ

Χ

X (Privacy, TC's)

Χ

Χ

Χ

X (Privacy, TC's,

other)

Ac

**Dataset** 

**Policy** 

Approval process for new

account

account

download

PII found

Https URL

Requires strong

password for user

Anyone allowed to

Only registered users

Request required to

allowed to view/download

Anyone allowed to upload

Only registered users

allowed to upload

Policies in place

view/download

# Privacy laws – A view



### Privacy has become vital

Privacy has become an urgent issue in data use: In February 2016, President Obama recognized the need for clear guidelines by establishing the Federal Privacy Council.<sup>2</sup>



# POPI Act of South Africa DIRISA



- The Protection of Personal Information (POPI) Act of South Africa is a law that has been enacted in South Africa to protect the personal information of South African citizens. The POPI Act has eight (8) conditions that need to be complied with:
  - Accountability.
  - Processing Limitation Personal information should only be processed in a manner that ensures that the data subject is protected and only the necessary information is collected for processing.
  - Purpose Specification Information must only be processed for the intended purpose.
  - Further Processing Limitation Any further processing that needs to be done should comply with the initial purpose for the collection of the data.
  - Information Quality Information must always be complete and accurate.
  - Openness The data collector should always be transparent and open to the data subject about data that is collected.
  - Security Safeguards Adequate safeguards to ensure privacy and confidentiality are the responsibility of the data collector/holder.
  - Data subject participation The data subject can be able to ask the data holder to see the data that is held about them.

## POPI Act assessment



 Only two of the data repositories have privacy policies. Based on the privacy policies of the data repositories, we analyse to see if the POPI act conditions are met:

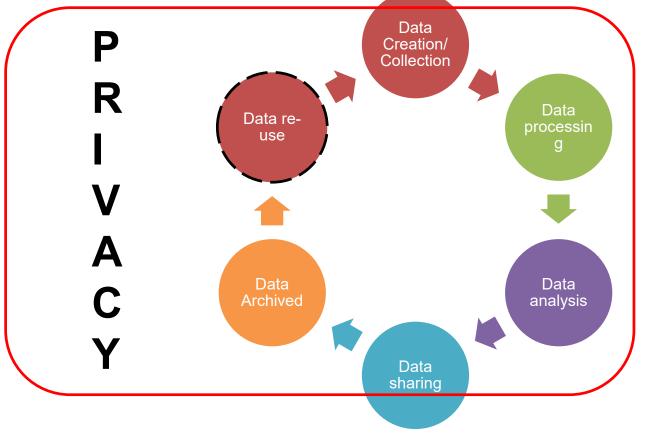
POPI Criteria	South Africa Data Portal	Code for South Africa (OpenUp)	Open Africa by Code for Africa	SCODA	DataFirst
Accountability	-	X	-	-	-
Processing Limitation	-	X	X	-	-
Purpose Specification	-	X	X	-	-
Further processing limitation	-	X	X	-	-
Information Quality	-	X	X	-	-
Openness	-	X	X	-	-
Security Safeguards	-	X	-	-	-
Data subject participation	-	X	X	-	-

## Privacy Recommendations



 Privacy needs to be considered in each step of the data lifecycle (before the data is put in an open data

repository).



DISCLAIMER: The recommendations provided are specifically for the repositories

# Privacy

Only registered users allowed to

Request required to download

Only registered users allowed to upload

Anyone allowed to upload

PII found or requested

Policies in place

view/download



Recommendations				
		Privacy Recommendations		
Access	Any user can create account	It is acceptable for any user to create account.		
	Approval process for new account	Recommended.		
	Https URL	Recommended		
	Requires strong password for user account	Recommended		
Dataset	Anyone allowed to view/download	Recommended if data is not sensitive.		

**Policy** 

be verified. PII should be removed from datasets as it infringes on privacy Privacy policies that comply with privacy laws of the country are highly recommended.

Recommended if data is sensitive.

Not recommended.

Recommended however the data would still need to

## Privacy Recommendations



# PII and Privacy?

## **Privacy Recommendations**



- Personally identifiable information such as emails, names, addresses were found in some datasets. The following privacy mitigation techniques are recommended:
  - Removal of fields that contain sensitive data. Removing the fields has a positive impact on privacy but has a negative impact on utility as these records could become useless without certain fields.
  - Aggregating data by providing summarised versions of data. This has a positive impact on privacy. The data however is not very useful as there is no raw data but aggregated data.
  - Generalizing data. The more the generalization, the harder it is to reidentify individuals and the less useful the data is.
  - K-anonymity. Techniques such as K-anonymity can be used to ensure that at least k number of people can be linked to a record.
  - Adding noise to the data. Adding noise ensures more privacy but less utility.
  - Differential privacy is able to strike a more balanced contrast between privacy and utility.

### Conclusion



- Privacy and Utility are two issues that seem to be difficult to balance when trying to preserve the privacy of individuals.
- Anonymization is not a 100% safe solution BUT it does provide a certain amount of protection.
- This paper has investigated a number of South Africa data repositories to identify any privacy limits that these open data repositories have.
- A number of privacy limitations were identified and adequate privacy mitigation techniques recommended.
- Future work: This study can be further extended to other African countries and provide an African perspective on open data repositories and the effects of privacy.

# Thank you



- Email: <a href="mailto:nshozi@csir.co.za">nshozi@csir.co.za</a>
  - Twitter: @angelshozi

