unneeded cards in the original hand. The application should then reevaluate the dealer's hand. [Caution: This is a difficult problem!]

- (Project: Card Shuffling and Dealing) Modify the application developed in Exercise 7.32 so that it can handle the dealer's hand automatically, but the player is allowed to decide which cards of the player's hand to replace. The application should then evaluate both hands and determine who wins. Now use this new application to play 20 games against the computer. Who wins more games, you or the computer? Have a friend play 20 games against the computer. Who wins more games? Based on the results of these games, refine your poker-playing application. (This, too, is a difficult problem.) Play 20 more games. Does your modified application play a better game?
- (Project: Card Shuffling and Dealing) Modify the application of Figs. 7.9–7.11 to use Face and Suit enumerations to represent the faces and suits of the cards. Declare each of these enumerations as a public type in its own source-code file. Each Card should have a Face and a Suit instance variable. These should be initialized by the Card constructor. In class DeckOfCards, create an array of Faces that's initialized with the names of the constants in the Face enumeration and an array of Suits that's initialized with the names of the constants in the Suit enumeration. [Note: When you Starts ner enumeration constant as a String, the name of the constant is displayed.]

## **Special Section: Building Your Own Computer**

In the next several problems, we take a temporary diversion from the world of high-level language programming to "peel open" a computer and look at its internal structure. We introduce machinelanguage programming and write several machine-language programs. To make this an especially valuable experience, we then build a computer (through the technique of software-based simulation) on which you can execute your machine-language programs.

(Machine-Language Programming) Let's create a computer called the Simpletron. As its name implies, it's a simple, but powerful, machine. The Simpletron runs programs written in the only language it directly understands: Simpletron Machine Language (SML).

The Simpletron contains an accumulator—a special register in which information is put before the Simpletron uses that information in calculations or examines it in various ways. All the information in the Simpletron is handled in terms of words. A word is a signed four-digit decimal number, such as +3364, -1293, +0007 and -0001. The Simpletron is equipped with a 100-word memory, and these words are referenced by their location numbers 00, 01, ..., 99.

Before running an SML program, we must load, or place, the program into memory. The first instruction (or statement) of every SML program is always placed in location 00. The simulator will start executing at this location.

Each instruction written in SML occupies one word of the Simpletron's memory (and hence instructions are signed four-digit decimal numbers). We shall assume that the sign of an SML instruction is always plus, but the sign of a data word may be either plus or minus. Each location in the Simpletron's memory may contain an instruction, a data value used by a program or an unused (and hence undefined) area of memory. The first two digits of each SML instruction are the operation code specifying the operation to be performed. SML operation codes are summarized in Fig. 7.33.

| Operation code   | Meaning   |
|--|---|
| <pre>Input/output operations: final int READ = 10;</pre> | Read a word from the keyboard into a specific location in memory. |

Fig. 7.33 | Simpletron Machine Language (SML) operation codes. (Part 1 of 2.)

| Operation code                      | Meaning  |
|-------------------------------------|--|
| final int WRITE = 11;               | Write a word from a specific location in memory to the screen.   |
| Load/store operations:              |  |
| final int LOAD = 20;                | Load a word from a specific location in memory into the accumulator.   |
| final int STORE = 21;               | Store a word from the accumulator into a specific location in memory.  |
| Arithmetic operations:              |  |
| final int ADD = 30;                 | Add a word from a specific location in memory to the word in the accumulator (leave the result in the accumulator).        |
| <pre>final int SUBTRACT = 31;</pre> | Subtract a word from a specific location in memory from the word in the accumulator (leave the result in the accumulator). |
| final int DIVIDE = 32;              | Divide a word from a specific location in memory into the word in the accumulator (leave result in the accumulator).       |
| <pre>final int MULTIPLY = 33;</pre> | Multiply a word from a specific location in memory by the word in the accumulator (leave the result in the accumulator).   |
| Transfer-of-control operations:     |  |
| final int BRANCH = 40;              | Branch to a specific location in memory.   |
| final int BRANCHNEG = 41;           | Branch to a specific location in memory if the accumulator is negative.  |
| final int BRANCHZERO = 42;          | Branch to a specific location in memory if the accumulator is zero.  |
| final int HALT = 43;                | Halt. The program has completed its task.  |

Fig. 7.33 | Simpletron Machine Language (SML) operation codes. (Part 2 of 2.)

The last two digits of an SML instruction are the *operand*—the address of the memory location containing the word to which the operation applies. Let's consider several simple SML programs.

The first SML program (Fig. 7.34) reads two numbers from the keyboard and computes and displays their sum. The instruction +1007 reads the first number from the keyboard and places it into location 07 (which has been initialized to 0). Then instruction +1008 reads the next number into location 08. The *load* instruction, +2007, puts the first number into the accumulator, and the *add* instruction, +3008, adds the second number to the number in the accumulator. *All SML arithmetic instructions leave their results in the accumulator.* The *store* instruction, +2109, places the result back into memory location 09, from which the *write* instruction, +1109, takes the number and displays it (as a signed four-digit decimal number). The *halt* instruction, +4300, terminates execution.

The second SML program (Fig. 7.35) reads two numbers from the keyboard and determines and displays the larger value. Note the use of the instruction +4107 as a conditional transfer of control, much the same as Java's if statement.

Now write SML programs to accomplish each of the following tasks:

a) Use a sentinel-controlled loop to read 10 positive numbers. Compute and display their sum.

| Location | Number | Instruction  |
|----------|--------|--------------|
| 00       | +1007  | (Read A)     |
| 01       | +1008  | (Read B)     |
| 02       | +2007  | (Load A)     |
| 03       | +3008  | (Add B)      |
| 04       | +2109  | (Store C)    |
| 05       | +1109  | (Write C)    |
| 06       | +4300  | (Halt)       |
| 07       | +0000  | (Variable A) |
| 08       | +0000  | (Variable B) |
| 09       | +0000  | (Result C)   |

**Fig. 7.34** | SML program that reads two integers and computes their sum.

| Location | Number | Instruction             |
|----------|--------|-------------------------|
| 00       | +1009  | (Read A)                |
| 01       | +1010  | (Read B)                |
| 02       | +2009  | (Load A)                |
| 03       | +3110  | (Subtract B)            |
| 04       | +4107  | (Branch negative to 07) |
| 05       | +1109  | (Write A)               |
| 06       | +4300  | (Halt)                  |
| 07       | +1110  | (Write B)               |
| 08       | +4300  | (Halt)                  |
| 09       | +0000  | (Variable A)            |
| 10       | +0000  | (Variable B)            |

**Fig. 7.35** SML program that reads two integers and determines the larger.

- b) Use a counter-controlled loop to read seven numbers, some positive and some negative, and compute and display their average.
- c) Read a series of numbers, and determine and display the largest number. The first number read indicates how many numbers should be processed.

**7.36** (Computer Simulator) In this problem, you're going to build your own computer. No, you'll not be soldering components together. Rather, you'll use the powerful technique of software-based simulation to create an object-oriented software model of the Simpletron of Exercise 7.35. Your Simpletron simulator will turn the computer you're using into a Simpletron, and you'll actually be able to run, test and debug the SML programs you wrote in Exercise 7.35.

When you run your Simpletron simulator, it should begin by displaying:

```
*** You then type the word for that location. ***
*** Type -99999 to stop entering your program. ***
```

Your application should simulate the memory of the Simpletron with a one-dimensional array memory that has 100 elements. Now assume that the simulator is running, and let's examine the dialog as we enter the program of Fig. 7.35 (Exercise 7.35):

```
00 ? +1009
01 ? +1010
02 ? +2009
03 ? +3110
04 ? +4107
05 ? +1109
06 ? +4300
07 ? +1110
08 ? +4300
09 ? +0000
10 ? +0000
11 ? -99999
```

Your program should display the memory location followed by a question mark. Each value to the right of a question mark is input by the user. When the sentinel value -99999 is input, the program should display the following:

```
*** Program loading completed ***
*** Program execution begins ***
```

The SML program has now been placed (or loaded) in array memory. Now the Simpletron executes the SML program. Execution begins with the instruction in location 00 and, as in Java, continues sequentially, unless directed to some other part of the program by a transfer of control.

Use the variable accumulator to represent the accumulator register. Use the variable instructionCounter to keep track of the location in memory that contains the instruction being performed. Use the variable operationCode to indicate the operation currently being performed (i.e., the left two digits of the instruction word). Use the variable operand to indicate the memory location on which the current instruction operates. Thus, operand is the rightmost two digits of the instruction currently being performed. Do not execute instructions directly from memory. Rather, transfer the next instruction to be performed from memory to a variable called instructionRegister. Then "pick off" the left two digits and place them in operationCode, and "pick off" the right two digits and place them in operand. When the Simpletron begins execution, the special registers are all initialized to zero.

Now, let's "walk through" execution of the first SML instruction, +1009 in memory location 00. This procedure is called an *instruction-execution cycle*.

The instructionCounter tells us the location of the next instruction to be performed. We *fetch* the contents of that location from memory by using the Java statement

```
instructionRegister = memory[ instructionCounter ];
```

The operation code and the operand are extracted from the instruction register by the statements

```
operationCode = instructionRegister / 100;
operand = instructionRegister % 100;
```

Now the Simpletron must determine that the operation code is actually a *read* (versus a *write*, a *load*, and so on). A switch differentiates among the 12 operations of SML. In the switch statement, the behavior of various SML instructions is simulated as shown in Fig. 7.36. We discuss branch instructions shortly and leave the others to you.

When the SML program completes execution, the name and contents of each register as well as the complete contents of memory should be displayed. Such a printout is often called a computer dump (no, a computer dump is not a place where old computers go). To help you program your dump method, a sample dump format is shown in Fig. 7.37. A dump after executing a

| Instruction | Description   |
|-------------|---|
| read:       | Display the prompt "Enter an integer", then input the integer and store it in location memory[operand]. |
| load:       | <pre>accumulator = memory[ operand ];</pre>   |
| add:        | <pre>accumulator += memory[ operand ];</pre>  |
| halt:       | This instruction displays the message  *** Simpletron execution terminated ***                          |

**Fig. 7.36** | Behavior of several SML instructions in the Simpletron.

```
REGISTERS:
accumulator
                      +0000
instruction Counter\\
                         00
                      +0000
instructionRegister
operationCode
operand
                         00
MEMORY:
 0 \ +0000 \ +0000 \ +0000 \ +0000 \ +0000 \ +0000 \ +0000 \ +0000 \ +0000
10 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000
20 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000
30 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000
40 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000
50 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000
60 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000
70 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000
80 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000
90 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000 +0000
```

Fig. 7.37 | A sample dump.

Simpletron program would show the actual values of instructions and data values at the moment execution terminated.

Let's proceed with the execution of our program's first instruction—namely, the +1009 in location 00. As we've indicated, the switch statement simulates this task by prompting the user to enter a value, reading the value and storing it in memory location memory[ operand ]. The value is then read into location 09.

At this point, simulation of the first instruction is completed. All that remains is to prepare the Simpletron to execute the next instruction. Since the instruction just performed was not a transfer of control, we need merely increment the instruction-counter register as follows:

```
instructionCounter++;
```

This action completes the simulated execution of the first instruction. The entire process (i.e., the instruction-execution cycle) begins anew with the fetch of the next instruction to execute.

Now let's consider how the branching instructions—the transfers of control—are simulated. All we need to do is adjust the value in the instruction counter appropriately. Therefore, the unconditional branch instruction (40) is simulated within the switch as

```
instructionCounter = operand;
```

The conditional "branch if accumulator is zero" instruction is simulated as

```
if ( accumulator == 0 )
  instructionCounter = operand;
```

At this point, you should implement your Simpletron simulator and run each of the SML programs you wrote in Exercise 7.35. If you desire, you may embellish SML with additional features and provide for these features in your simulator.

Your simulator should check for various types of errors. During the program-loading phase, for example, each number the user types into the Simpletron's memory must be in the range -9999 to +9999. Your simulator should test that each number entered is in this range and, if not, keep prompting the user to re-enter the number until the user enters a correct number.

During the execution phase, your simulator should check for various serious errors, such as attempts to divide by zero, attempts to execute invalid operation codes, and accumulator overflows (i.e., arithmetic operations resulting in values larger than +9999 or smaller than -9999). Such serious errors are called *fatal errors*. When a fatal error is detected, your simulator should display an error message, such as

```
*** Attempt to divide by zero ***

*** Simpletron execution abnormally terminated ***
```

and should display a full computer dump in the format we discussed previously. This treatment will help the user locate the error in the program.

**7.37** (Simpletron Simulator Modifications) In Exercise 7.36, you wrote a software simulation of a computer that executes programs written in Simpletron Machine Language (SML). In this exercise, we propose several modifications and enhancements to the Simpletron Simulator. In the exercises of Chapter 22, we propose building a compiler that converts programs written in a high-level programming language (a variation of Basic) to Simpletron Machine Language. Some of the following modifications and enhancements may be required to execute the programs produced by the compiler:

- Extend the Simpletron Simulator's memory to contain 1000 memory locations to enable the Simpletron to handle larger programs.
- b) Allow the simulator to perform remainder calculations. This modification requires an additional SML instruction.
- c) Allow the simulator to perform exponentiation calculations. This modification requires an additional SML instruction.
- d) Modify the simulator to use hexadecimal rather than integer values to represent SML instructions.
- e) Modify the simulator to allow output of a newline. This modification requires an additional SML instruction.
- f) Modify the simulator to process floating-point values in addition to integer values.
- g) Modify the simulator to handle string input. [Hint: Each Simpletron word can be divided into two groups, each holding a two-digit integer. Each two-digit integer represents the ASCII (see Appendix B) decimal equivalent of a character. Add a machine-language instruction that will input a string and store the string, beginning at a specific Simpletron memory location. The first half of the word at that location will be a count of the number of characters in the string (i.e., the length of the string). Each succeeding half-word contains one ASCII character expressed as two decimal digits. The machine-language instruction converts each character into its ASCII equivalent and assigns it to a half-word.]
- h) Modify the simulator to handle output of strings stored in the format of part (g). [Hint: Add a machine-language instruction that will display a string, beginning at a certain Simpletron memory location. The first half of the word at that location is a count of the number of characters in the string (i.e., the length of the string). Each succeeding half-word contains one ASCII character expressed as two decimal digits. The machine-language instruction checks the length and displays the string by translating each two-digit number into its equivalent character.]

# Special Section: Building Your Own Compiler

In Exercises 7.35–7.36, we introduced Simpletron Machine Language (SML), and you implemented a Simpletron computer simulator to execute SML programs. In Exercises 22.1–22.5, we build a compiler that converts programs written in a high-level programming language to SML. This section "ties" together the entire programming process. You'll write programs in this new high-level language, compile them on the compiler you build and run them on the simulator you built in Exercise 7.36. You should make every effort to implement your compiler in an object-oriented manner. [*Instructor Note:* No solutions are provided for these exercises.]

**22.1** (*The Simple Language*) Before we begin building the compiler, we discuss a simple, yet powerful high-level language similar to early versions of the popular language BASIC. We call the language *Simple*. Every Simple *statement* consists of a *line number* and a Simple *instruction*. Line numbers must appear in ascending order. Each instruction begins with one of the following Simple *commands*: rem, input, let, print, goto, if/goto or end (see Fig. 22.22). All commands except end can be used repeatedly. Simple evaluates only integer expressions using the +, -, \* and / operators. These operators have the same precedence as in Java. Parentheses can be used to change the order of evaluation of an expression.

Our Simple compiler recognizes only lowercase letters. All characters in a Simple file should be lowercase. (Uppercase letters result in a syntax error unless they appear in a rem statement, in which case they are ignored.) A *variable name* is a single letter. Simple does not allow descriptive variable names, so variables should be explained in remarks to indicate their use in a program. Simple uses only integer variables. Simple does not have variable declarations—merely mentioning a variable name in a program causes the variable to be declared and initialized to zero. The syntax of Simple does not allow string manipulation (reading a string, writing a string, comparing strings, and so on). If a string is encountered in a Simple program (after a command other than rem), the compiler generates a syntax error. The first version of our compiler assumes that Simple programs are entered correctly. Exercise 22.4 asks the reader to modify the compiler to perform syntax error checking.

| Command | Example statement       | Description   |
|---------|-------------------------|---|
| rem     | 50 rem this is a remark | Any text following the command rem is for documentation purposes only and is ignored by the compiler.   |
| input   | 30 input x              | Display a question mark to prompt the user to enter an integer. Read that integer from the keyboard and store the integer in x.                       |
| let     | 80 let u = 4 * (j - 56) | Assign u the value of 4 * (j - 56). Note that<br>an arbitrarily complex expression can<br>appear to the right of the equal sign.                      |
| print   | 10 print w              | Display the value of w.   |
| goto    | 70 goto 45              | Transfer program control to line 45.  |
| if/goto | 35 if i == z goto 80    | Compare i and z for equality and transfer program control to line 80 if the condition is true; otherwise, continue execution with the next statement. |
| end     | 99 end                  | Terminate program execution.  |

Fig. 22.22 | Simple commands.

Simple uses the conditional if/goto and unconditional goto statements to alter the flow of control during program execution. If the condition in the if/goto statement is true, control is transferred to a specific line of the program. The following relational and equality operators are valid in an if/goto statement: <, >, <=, >=, == or !=. The precedence of these operators is the same as in Java.

Let's now consider several programs that demonstrate Simple's features. The first program (Fig. 22.23) reads two integers from the keyboard, stores the values in variables a and b and computes and prints their sum (stored in variable c).

```
determine and print the sum of two integers
    10 rem
1
    15 rem
2
    20 rem
             input the two integers
3
    30 input a
    40 input b
    45 rem
    50 rem
             add integers and store result in c
    60 let c = a + b
8
    65 rem
10
    70 rem
             print the result
11
    80 print c
    90 rem
             terminate program execution
    99 end
13
```

**Fig. 22.23** | Simple program that determines the sum of two integers.

The program of Fig. 22.24 determines and prints the larger of two integers. The integers are input from the keyboard and stored in s and t. The if/goto statement tests the condition s >= t. If the condition is true, control is transferred to line 90 and s is output; otherwise, t is output and control is transferred to the end statement in line 99, where the program terminates.

```
10 rem
             determine and print the larger of two integers
1
    20 input s
    30 input t
    32 rem
             test if s >= t
    35 rem
    40 if s >= t goto 90
6
    45 rem
    50 rem
             t is greater than s, so print t
8
    60 print t
10
    70 goto 99
11
    75 rem
12
    80 rem
             s is greater than or equal to t, so print s
    90 print s
13
    99 end
```

**Fig. 22.24** | Simple program that finds the larger of two integers.

Simple does not provide a repetition statement (such as Java's for, while or do...while). However, Simple can simulate each of Java's repetition statements by using the if/goto and goto statements. Figure 22.25 uses a sentinel-controlled loop to calculate the squares of several integers. Each integer is input from the keyboard and stored in variable j. If the value entered is the sentinel value -9999, control is transferred to line 99, where the program terminates. Otherwise, k is assigned the square of j, k is output to the screen and control is passed to line 20, where the next integer is input.

```
10 rem
              calculate the squares of several integers
2
    20 input j
    23 rem
              test for sentinel value
    25 rem
     30 if j == -9999 goto 99
    33 rem
              calculate square of j and assign result to \boldsymbol{k}
7
     35 rem
    40 let k = j * j
    50 print k
10
    53 rem
              loop to get next j
     55 rem
11
12
    60 goto 20
    99 end
```

**Fig. 22.25** Calculate the squares of several integers.

Using the sample programs of Figs. 22.23–22.25 as your guide, write a Simple program to accomplish each of the following:

- a) Input three integers, determine their average and print the result.
- b) Use a sentinel-controlled loop to input 10 integers and compute and print their sum.
- c) Use a counter-controlled loop to input 7 integers, some positive and some negative, and compute and print their average.
- d) Input a series of integers and determine and print the largest. The first integer input indicates how many numbers should be processed.
- e) Input 10 integers and print the smallest.
- f) Calculate and print the sum of the even integers from 2 to 30.
- g) Calculate and print the product of the odd integers from 1 to 9.

**22.2** (Building a Compiler. Prerequisites: Complete Exercise 7.35, Exercise 7.36, Exercise 22.12, Exercise 22.13 and Exercise 22.1) Now that the Simple language has been presented (Exercise 22.1), we discuss how to build a Simple compiler. First, we consider the process by which a Simple program is converted to SML and executed by the Simpletron simulator (see Fig. 22.26). A file containing a Simple program is read by the compiler and converted to SML code. The SML code is output to a file on disk, in which SML instructions appear one per line. The SML file is then loaded into the Simpletron simulator, and the results are sent to a file on disk and to the screen. Note that the Simpletron program developed in Exercise 7.36 took its input from the keyboard. It must be modified to read from a file so it can run the programs produced by our compiler.

The Simple compiler performs two *passes* of the Simple program to convert it to SML. The first pass constructs a *symbol table* (object) in which every *line number* (object), *variable name* (object) and *constant* (object) of the Simple program is stored with its type and corresponding location in the final SML code (the symbol table is discussed in detail below). The first pass also produces the corresponding SML instruction object(s) for each of the Simple statements (object, and so on). If the Simple program contains statements that transfer control to a line later in the program, the first pass results in an SML program containing some "unfinished" instructions. The second pass of the compiler locates and completes the unfinished instructions and outputs the SML program to a file.

#### First Pass

The compiler begins by reading one statement of the Simple program into memory. The line must be separated into its individual *tokens* (i.e., "pieces" of a statement) for processing and compilation. (The StreamTokenizer class from the java.io package can be used.) Recall that every statement begins with a line number followed by a command. As the compiler breaks a statement into tokens, if the token is a line number, a variable or a constant, it's placed in the symbol table. A line

number is placed in the symbol table only if it's the first token in a statement. The symbolTable object is an array of tableEntry objects representing each symbol in the program. There is no restriction on the number of symbols that can appear in the program. Therefore, the symbolTable for a particular program could be large. Make it a 100-element array for now. You can increase or decrease its size once the program is working.

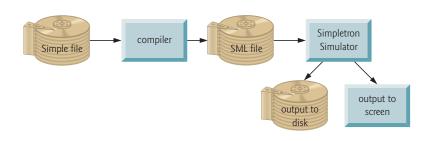


Fig. 22.26 Writing, compiling and executing a Simple language program.

Each tableEntry object contains three fields. Field symbol is an integer containing the Unicode representation of a variable (remember that variable names are single characters), a line number or a constant. Field type is one of the following characters indicating the symbol's type: 'C' for constant, 'L' for line number or 'V' for variable. Field location contains the Simpletron memory location (00 to 99) to which the symbol refers. Simpletron memory is an array of 100 integers in which SML instructions and data are stored. For a line number, the location is the element in the Simpletron memory array at which the SML instructions for the Simple statement begin. For a variable or constant, the location is the element in the Simpletron memory array in which the variable or constant is stored. Variables and constants are allocated from the end of Simpletron's memory backward. The first variable or constant is stored at location 99, the next at location 98, an so

The symbol table plays an integral part in converting Simple programs to SML. We learned in Chapter 7 that an SML instruction is a four-digit integer comprised of two parts—the *operation code* and the *operand*. The operation code is determined by commands in Simple. For example, the simple command input corresponds to SML operation code 10 (read), and the Simple command print corresponds to SML operation code 11 (write). The operand is a memory location containing the data on which the operation code performs its task (e.g., operation code 10 reads a value from the keyboard and stores it in the memory location specified by the operand). The compiler searches symbolTable to determine the Simpletron memory location for each symbol, so the corresponding location can be used to complete the SML instructions.

The compilation of each Simple statement is based on its command. For example, after the line number in a rem statement is inserted in the symbol table, the remainder of the statement is ignored by the compiler, because a remark is for documentation purposes only. The input, print, goto and end statements correspond to the SML *read*, *write*, *branch* (to a specific location) and *halt* instructions. Statements containing these Simple commands are converted directly to SML. [*Note:* A goto statement may contain an unresolved reference if the specified line number refers to a statement further into the Simple program file; this is sometimes called a forward reference.]

When a goto statement is compiled with an unresolved reference, the SML instruction must be *flagged* to indicate that the second pass of the compiler must complete the instruction. The flags are stored in a 100-element array flags of type int in which each element is initialized to -1. If the memory location to which a line number in the Simple program refers is not yet known (i.e., it's not in the symbol table), the line number is stored in array flags in the element with the same

index as the incomplete instruction. The operand of the incomplete instruction is set to 00 temporarily. For example, an unconditional branch instruction (making a forward reference) is left as +4000 until the second pass of the compiler. The second pass will be described shortly.

Compilation of if/goto and let statements is more complicated than for other statements—they are the only statements that produce more than one SML instruction. For an if/goto statement, the compiler produces code to test the condition and to branch to another line if necessary. The result of the branch could be an unresolved reference. Each of the relational and equality operators can be simulated by using SML's *branch zero* and *branch negative* instructions (or possibly a combination of both).

For a 1et statement, the compiler produces code to evaluate an arbitrarily complex arithmetic expression consisting of integer variables and/or constants. Expressions should separate each operand and operator with spaces. Exercise 22.12 and Exercise 22.13 presented the infix-to-postfix conversion algorithm and the postfix evaluation algorithm used by compilers to evaluate expressions. Before proceeding with your compiler, you should complete each of these exercises. When a compiler encounters an expression, it converts the expression from infix notation to postfix notation, then evaluates the postfix expression.

How is it that the compiler produces the machine language to evaluate an expression containing variables? The postfix evaluation algorithm contains a "hook" where the compiler can generate SML instructions rather than actually evaluating the expression. To enable this "hook" in the compiler, the postfix evaluation algorithm must be modified to search the symbol table for each symbol it encounters (and possibly insert it), determine the symbol's corresponding memory location and *push the memory location (instead of the symbol) onto the stack.* When an operator is encountered in the postfix expression, the two memory locations at the top of the stack are popped, and machine language for effecting the operation is produced by using the memory locations as operands. The result of each subexpression is stored in a temporary location in memory and pushed back onto the stack so the evaluation of the postfix expression can continue. When postfix evaluation is complete, the memory location containing the result is the only location left on the stack. This is popped, and SML instructions are generated to assign the result to the variable at the left of the let statement.

#### Second Pass

5

The second pass of the compiler performs two tasks: Resolve any unresolved references and output the SML code to a file. Resolution of references occurs as follows:

- a) Search the flags array for an unresolved reference (i.e., an element with a value other than -1).
- b) Locate the object in array symbolTable containing the symbol stored in the flags array (be sure that the type of the symbol is 'L' for line number).
- c) Insert the memory location from field location into the instruction with the unresolved reference (remember that an instruction containing an unresolved reference has operand 00).
- d) Repeat Steps (a), (b) and (c) until the end of the flags array is reached.

After the resolution process is complete, the entire array containing the SML code is output to a disk file with one SML instruction per line. This file can be read by the Simpletron for execution (after the simulator is modified to read its input from a file). Compiling your first Simple program into an SML file and executing that file should give you a real sense of personal accomplishment.

## A Complete Example

The following example illustrates the complete conversion of a Simple program to SML as it will be performed by the Simple compiler. Consider a Simple program that inputs an integer and sums the values from 1 to that integer. The program and the SML instructions produced by the first pass

of the Simple compiler are illustrated in Fig. 22.27. The symbol table constructed by the first pass is shown in Fig. 22.28.

| Simple program         | SML location and instruction | Description                        |
|------------------------|------------------------------|------------------------------------|
| 5 rem sum 1 to x       | none                         | rem ignored                        |
| 10 input x             | 00 +1099                     | read x into location 99            |
| 15 rem check y == x    | none                         | rem ignored                        |
| 20 if $y == x$ goto 60 | 01 +2098                     | load y (98) into accumulator       |
|                        | 02 +3199                     | sub x (99) from accumulator        |
|                        | 03 +4200                     | branch zero to unresolved location |
| 25 rem increment y     | none                         | rem ignored                        |
| 30 let $y = y + 1$     | 04 +2098                     | load y into accumulator            |
|                        | 05 +3097                     | add 1 (97) to accumulator          |
|                        | 06 +2196                     | store in temporary location 96     |
|                        | 07 +2096                     | load from temporary location 96    |
|                        | 08 +2198                     | store accumulator in y             |
| 35 rem add y to total  | none                         | rem ignored                        |
| 40 let t = t + y       | 09 +2095                     | load t (95) into accumulator       |
|                        | 10 +3098                     | add y to accumulator               |
|                        | 11 +2194                     | store in temporary location 94     |
|                        | 12 +2094                     | load from temporary location 94    |
|                        | 13 +2195                     | store accumulator in t             |
| 45 rem loop y          | none                         | rem ignored                        |
| 50 goto 20             | 14 +4001                     | branch to location 01              |
| 55 rem output result   | none                         | rem ignored                        |
| 60 print t             | 15 +1195                     | output t to screen                 |
| 99 end                 | 16 +4300                     | terminate execution                |

Fig. 22.27 | SML instructions produced after the compiler's first pass.

| Symbol | Туре | Location |
|--------|------|----------|
| 5      | L    | 00       |
| 10     | L    | 00       |
| 'x'    | V    | 99       |
| 15     | L    | 01       |
| 20     | L    | 01       |
| 'y'    | V    | 98       |
| 25     | L    | 04       |

**Fig. 22.28** | Symbol table for program of Fig. 22.27. (Part 1 of 2.)

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| Symbol | Type | Location |
|--------|------|----------|
| 30     | L    | 04       |
| 1      | С    | 97       |
| 35     | L    | 09       |
| 40     | L    | 09       |
| 't'    | V    | 95       |
| 45     | L    | 14       |
| 50     | L    | 14       |
| 55     | L    | 15       |
| 60     | L    | 15       |
| 99     | L    | 16       |

**Fig. 22.28** | Symbol table for program of Fig. 22.27. (Part 2 of 2.)

Most Simple statements convert directly to single SML instructions. The exceptions in this program are remarks, the if/goto statement in line 20 and the let statements. Remarks do not translate into machine language. However, the line number for a remark is placed in the symbol table in case the line number is referenced in a goto statement or an if/goto statement. Line 20 of the program specifies that, if the condition y == x is true, program control is transferred to line 60. Since line 60 appears later in the program, the first pass of the compiler has not as yet placed 60 in the symbol table. (Statement line numbers are placed in the symbol table only when they appear as the first token in a statement.) Therefore, it's not possible at this time to determine the operand of the SML *branch zero* instruction at location 03 in the array of SML instructions. The compiler places 60 in location 03 of the flags array to indicate that the second pass completes this instruction.

We must keep track of the next instruction location in the SML array because there is not a one-to-one correspondence between Simple statements and SML instructions. For example, the if/goto statement of line 20 compiles into three SML instructions. Each time an instruction is produced, we must increment the *instruction counter* to the next location in the SML array. Note that the size of Simpletron's memory could present a problem for Simple programs with many statements, variables and constants. It's conceivable that the compiler will run out of memory. To test for this case, your program should contain a *data counter* to keep track of the location at which the next variable or constant will be stored in the SML array. If the value of the instruction counter is larger than the value of the data counter, the SML array is full. In this case, the compilation process should terminate, and the compiler should print an error message indicating that it ran out of memory during compilation. This serves to emphasize that, although the programmer is freed from the burdens of managing memory by the compiler, the compiler itself must carefully determine the placement of instructions and data in memory and must check for such errors as memory being exhausted during the compilation process.

#### A Step-by-Step View of the Compilation Process

Let's now walk through the compilation process for the Simple program in Fig. 22.27. The compiler reads the first line of the program

5 rem sum 1 to x

into memory. The first token in the statement (the line number) is determined using the String-Tokenizer class. (See Chapter 16 for a discussion of this class.) The token returned by the String-

Tokenizer is converted to an integer by using static method Integer.parseInt(), so the symbol 5 can be located in the symbol table. If the symbol is not found, it's inserted in the symbol table.

We are at the beginning of the program and this is the first line, and no symbols are in the table yet. Therefore, 5 is inserted into the symbol table as type L (line number) and assigned the first location in the SML array (00). Although this line is a remark, a space in the symbol table is still allocated for the line number (in case it's referenced by a goto or an if/goto). No SML instruction is generated for a rem statement, so the instruction counter is not incremented.

is tokenized next. The line number 10 is placed in the symbol table as type L and assigned the first location in the SML array (00 because a remark began the program, so the instruction counter is currently 00). The command input indicates that the next token is a variable (only a variable can appear in an input statement). input corresponds directly to an SML operation code; therefore, the compiler simply has to determine the location of x in the SML array. Symbol x is not found in the symbol table, so it's inserted into the symbol table as the Unicode representation of x, given type V and assigned location 99 in the SML array (data storage begins at 99 and is allocated backward). SML code can now be generated for this statement. Operation code 10 (the SML read operation code) is multiplied by 100, and the location of x (as determined in the symbol table) is added to complete the instruction. The instruction is then stored in the SML array at location 00. The instruction counter is incremented by one, because a single SML instruction was produced.

The statement

```
15 rem check y == x
```

is tokenized next. The symbol table is searched for line number 15 (which is not found). The line number is inserted as type L and assigned the next location in the array, 01. (Remember that rem statements do not produce code, so the instruction counter is not incremented.)

The statement

```
20 if y == x goto 60
```

is tokenized next. Line number 20 is inserted in the symbol table and given type L at the next location in the SML array 01. The command if indicates that a condition is to be evaluated. The variable y is not found in the symbol table, so it's inserted and given the type V and the SML location 98. Next, SML instructions are generated to evaluate the condition. There is no direct equivalent in SML for the if/goto; it must be simulated by performing a calculation using x and y and branching according to the result. If y is equal to x, the result of subtracting x from y is zero, so the branch zero instruction can be used with the result of the calculation to simulate the if/goto statement. The first step requires that y be loaded (from SML location 98) into the accumulator. This produces the instruction 01 +2098. Next, x is subtracted from the accumulator. This produces the instruction 02 +3199. The value in the accumulator may be zero, positive or negative. The operator is ==, so we want to branch zero. First, the symbol table is searched for the branch location (60 in this case), which is not found. So, 60 is placed in the flags array at location 03, and the instruction 03 +4200 is generated. (We cannot add the branch location because we've not yet assigned a location to line 60 in the SML array.) The instruction counter is incremented to 04.

The compiler proceeds to the statement

```
25 rem increment y
```

The line number 25 is inserted in the symbol table as type L and assigned SML location 04. The instruction counter is not incremented.

When the statement

```
30 let y = y + 1
```

is tokenized, the line number 30 is inserted in the symbol table as type L and assigned SML location 04. Command 1et indicates that the line is an assignment statement. First, all the symbols on the line are inserted in the symbol table (if they are not already there). The integer 1 is added to the symbol table as type C and assigned SML location 97. Next, the right side of the assignment is converted from infix to postfix notation. Then the postfix expression (y 1 +) is evaluated. Symbol y is located in the symbol table, and its corresponding memory location is pushed onto the stack. Symbol 1 is also located in the symbol table, and its corresponding memory location is pushed onto the stack. When the operator + is encountered, the postfix evaluator pops the stack into the right operand of the operator and pops the stack again into the left operand of the operator, then produces the SML instructions

```
04 +2098 (load y)
05 +3097 (add 1)
```

The result of the expression is stored in a temporary location in memory (96) with the instruction

```
06 +2196 (store temporary)
```

and the temporary location is pushed onto the stack. Now that the expression has been evaluated, the result must be stored in y (i.e., the variable on the left side of =). So, the temporary location is loaded into the accumulator and the accumulator is stored in y with the instructions

```
07 +2096 (load temporary)
08 +2198 (store y)
```

The reader should immediately notice that SML instructions appear to be redundant. We'll discuss this issue shortly.

When the statement

```
35 rem add y to total
```

is tokenized, line number 35 is inserted in the symbol table as type L and assigned location 09.

The statement

```
40 let t = t + y
```

is similar to line 30. The variable t is inserted in the symbol table as type V and assigned SML location 95. The instructions follow the same logic and format as line 30, and the instructions 09 +2095, 10 +3098, 11 +2194, 12 +2094 and 13 +2195 are generated. Note that the result of t+y is assigned to temporary location 94 before being assigned to t (95). Once again, the reader should note that the instructions in memory locations 11 and 12 appear to be redundant. Again, we'll discuss this shortly.

The statement

```
45 rem loop y
```

is a remark, so line 45 is added to the symbol table as type L and assigned SML location 14.

The statement

```
50 goto 20
```

transfers control to line 20. Line number 50 is inserted in the symbol table as type L and assigned SML location 14. The equivalent of goto in SML is the *unconditional branch* (40) instruction that transfers control to a specific SML location. The compiler searches the symbol table for line 20 and finds that it corresponds to SML location 01. The operation code (40) is multiplied by 100, and location 01 is added to it to produce the instruction 14 +4001.

The statement

55 rem output result

is a remark, so line 55 is inserted in the symbol table as type L and assigned SML location 15.

The statement

60 print t

is an output statement. Line number 60 is inserted in the symbol table as type L and assigned SML location 15. The equivalent of print in SML is operation code 11 (*write*). The location of t is determined from the symbol table and added to the result of the operation code multiplied by 100.

The statement

99 end

is the final line of the program. Line number 99 is stored in the symbol table as type L and assigned SML location 16. The end command produces the SML instruction +4300 (43 is *halt* in SML), which is written as the final instruction in the SML memory array.

This completes the first pass of the compiler. We now consider the second pass. The flags array is searched for values other than -1. Location 03 contains 60, so the compiler knows that instruction 03 is incomplete. The compiler completes the instruction by searching the symbol table for 60, determining its location and adding the location to the incomplete instruction. In this case, the search determines that line 60 corresponds to SML location 15, so the completed instruction 03 +4215 is produced, replacing 03 +4200. The Simple program has now been compiled successfully.

To build the compiler, you'll have to perform each of the following tasks:

- a) Modify the Simpletron simulator program you wrote in Exercise 7.36 to take its input from a file specified by the user (see Chapter 17). The simulator should output its results to a disk file in the same format as the screen output. Convert the simulator to be an object-oriented program. In particular, make each part of the hardware an object. Arrange the instruction types into a class hierarchy using inheritance. Then execute the program polymorphically simply by telling each instruction to execute itself with an executeInstruction message.
- b) Modify the infix-to-postfix evaluation algorithm of Exercise 22.12 to process multidigit integer operands and single-letter variable-name operands. [Hint: Class StringTokenizer can be used to locate each constant and variable in an expression, and constants can be converted from strings to integers by using Integer class method parseInt.] [Note: The data representation of the postfix expression must be altered to support variable names and integer constants.]
- c) Modify the postfix evaluation algorithm to process multidigit integer operands and variable-name operands. Also, the algorithm should now implement the "hook" discussed earlier so that SML instructions are produced rather than directly evaluating the expression. [Hint: Class StringTokenizer can be used to locate each constant and variable in an expression, and constants can be converted from strings to integers by using Integer class method parseInt.] [Note: The data representation of the postfix expression must be altered to support variable names and integer constants.]

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d) Build the compiler. Incorporate parts b) and c) for evaluating expressions in 1et statements. Your program should contain a method that performs the first pass of the compiler and a method that performs the second pass of the compiler. Both methods can call other methods to accomplish their tasks. Make your compiler as object oriented as possible.

**22.3** (Optimizing the Simple Compiler) When a program is compiled and converted into SML, a set of instructions is generated. Certain combinations of instructions often repeat themselves, usually in triplets called productions. A production normally consists of three instructions, such as load, add and store. For example, Fig. 22.29 illustrates five of the SML instructions that were produced in the compilation of the program in Fig. 22.27. The first three instructions are the production that adds 1 to y. Note that instructions 06 and 07 store the accumulator value in temporary location 96, then load the value back into the accumulator so instruction 08 can store the value in location 98. Often a production is followed by a load instruction for the same location that was just stored. This code can be optimized by eliminating the store instruction and the subsequent load instruction that operate on the same memory location, thus enabling the Simpletron to execute the program faster. Figure 22.30 illustrates the optimized SML for the program of Fig. 22.27. Note that there are four fewer instructions in the optimized code—a memory-space savings of 25%.

```
ī
    04
           +2098
                    (load)
    05
           +3097
2
                    (add)
    06
           +2196
                    (store)
    07
           +2096
                    (load)
    80
           +2198
                    (store)
```

Fig. 22.29 | Unoptimized code from the program of Fig. 19.25.

| Simple program         | SML location and instruction | ı Description                 |
|------------------------|------------------------------|-------------------------------|
| 5 rem sum 1 to x       | none                         | rem ignored                   |
| 10 input x             | 00 +1099                     | read x into location 99       |
| 15 rem check y == x    | none                         | rem ignored                   |
| 20 if $y == x$ goto 60 | 01 +2098                     | load y (98) into accumulator  |
|                        | 02 +3199                     | sub x (99) from accumulator   |
|                        | 03 +4211                     | branch to location 11 if zero |
| 25 rem increment y     | none                         | rem ignored                   |
| 30 let $y = y + 1$     | 04 +2098                     | load y into accumulator       |
|                        | 05 +3097                     | add 1 (97) to accumulator     |
|                        | 06 +2198                     | store accumulator in y (98)   |
| 35 rem add y to total  | none                         | rem ignored                   |
| 40 let $t = t + y$     | 07 +2096                     | load t from location (96)     |
|                        | 08 +3098                     | add y (98) accumulator        |
|                        | 09 +2196                     | store accumulator in t (96)   |
| 45 rem loop y          | none                         | rem ignored                   |
| 50 goto 20             | 10 +4001                     | branch to location 01         |

Fig. 22.30 Optimized code for the program of Fig. 22.27. (Part 1 of 2.)

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| Simple program       | SML location and instruction | Description             |
|----------------------|------------------------------|-------------------------|
| 55 rem output result | none                         | rem ignored             |
| 60 print t           | 11 +1196                     | output t (96) to screen |
| 99 end               | 12 +4300                     | terminate execution     |

Fig. 22.30 Optimized code for the program of Fig. 22.27. (Part 2 of 2.)

- **22.4** (*Modifications to the Simple Compiler*) Perform the following modifications to the Simple compiler. Some of these modifications might also require modifications to the Simpletron simulator program written in Exercise 7.36.
  - a) Allow the remainder operator (%) to be used in 1et statements. Simpletron Machine Language must be modified to include a remainder instruction.
  - b) Allow exponentiation in a let statement using ^ as the exponentiation operator. Simpletron Machine Language must be modified to include an exponentiation instruction.
  - c) Allow the compiler to recognize uppercase and lowercase letters in Simple statements (e.g., 'A' is equivalent to 'a'). No modifications to the Simpletron simulator are required.
  - d) Allow input statements to read values for multiple variables such as input x, y. No modifications to the Simpletron simulator are required to perform this enhancement to the Simple compiler.
  - e) Allow the compiler to output multiple values from a single print statement, such as print a, b, c. No modifications to the Simpletron simulator are required to perform this enhancement.
  - f) Add syntax-checking capabilities to the compiler so error messages are output when syntax errors are encountered in a Simple program. No modifications to the Simpletron simulator are required.
  - g) Allow arrays of integers. No modifications to the Simpletron simulator are required to perform this enhancement.
  - h) Allow subroutines specified by the Simple commands gosub and return. Command gosub passes program control to a subroutine and command return passes control back to the statement after the gosub. This is similar to a method call in Java. The same subroutine can be called from many gosub commands distributed throughout a program. No modifications to the Simpletron simulator are required.
  - i) Allow repetition statements of the form

```
for x = 2 to 10 step 2
    Simple statements
next
```

This for statement loops from 2 to 10 with an increment of 2. The next line marks the end of the body of the for line. No modifications to the Simpletron simulator are required.

j) Allow repetition statements of the form

```
for x = 2 to 10
Simple statements
```

This for statement loops from 2 to 10 with a default increment of 1. No modifications to the Simpletron simulator are required.

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- k) Allow the compiler to process string input and output. This requires the Simpletron simulator to be modified to process and store string values. [Hint: Each Simpletron word (i.e., memory location) can be divided into two groups, each holding a two-digit integer. Each two-digit integer represents the Unicode decimal equivalent of a character. Add a machine-language instruction that will print a string beginning at a certain Simpletron memory location. The first half of the Simpletron word at that location is a count of the number of characters in the string (i.e., the length of the string). Each succeeding half-word contains one Unicode character expressed as two decimal digits. The machine-language instruction checks the length and prints the string by translating each two-digit number into its equivalent character.]
- Allow the compiler to process floating-point values in addition to integers. The Simpletron Simulator must also be modified to process floating-point values.

**22.5** (A Simple Interpreter) An interpreter is a program that reads a high-level language program statement, determines the operation to be performed by the statement and executes the operation immediately. The high-level language program is not converted into machine language first. Interpreters execute more slowly than compilers do, because each statement encountered in the program being interpreted must first be deciphered at execution time. If statements are contained in a loop, the statements are deciphered each time they are encountered in the loop. Early versions of the BA-SIC programming language were implemented as interpreters. Most Java programs are run interpretively.

Write an interpreter for the Simple language discussed in Exercise 22.1. The program should use the infix-to-postfix converter developed in Exercise 22.12 and the postfix evaluator developed in Exercise 22.13 to evaluate expressions in a let statement. The same restrictions placed on the Simple language in Exercise 22.1 should be adhered to in this program. Test the interpreter with the Simple programs written in Exercise 22.1. Compare the results of running these programs in the interpreter with the results of compiling the Simple programs and running them in the Simpletron simulator built in Exercise 7.36.