# Encyclopaedia of Proof Systems

http://ProofSystem.github.io/Encyclopedia/

### **Preface**

The **Encyclopedia of Proof Systems** aims at providing a reliable, technically accurate, historically informative, concise and convenient central repository of proof systems for various logics. The goal is to facilitate the exchange of information among logicians with mathematical, computational or philosophical backgrounds; in order to foster and accelerate the development of new proof systems and automated deduction tools that rely on them.

Preparatory work for the creation of the Encyclopedia, such as the implementation of the LaTeX template and the setup of the Github repository, started in October 2014, triggered by the call for workshop proposals for the 25th Conference on Automated Deduction (CADE). Christoph Benzmüller, CADE's conference chair, and Jasmin Blanchette, CADE's workshop co-chair, encouraged me to submit a workshop proposal and supported my alternative idea to organize instead a special poster session based on encyclopedia entries. I am thankful for their encouragement and support.

In December 2014, Björn Lellmann, Giselle Reis and Martin Riener kindly accepted my request to beta-test the template and the instructions I had created. They submitted the first few example entries to the encyclopedia and provided valuable feedback, for which I am grateful. Their comments were essential for improving the templates and instructions before the public announcement of the encyclopedia.

Discussions with Lev Beklemishev, Björn Lellmann, Roman Kuznets, Sergei Soloviev and Anna Zamansky brainstormed many ideas for improving the organization and structure of the encyclopedia. Many of these ideas still need to be fully implemented.

Valeria de Paiva has been very helpful in encouraging and coordinating people to write entries about linear logic. (To be completed...)

June 2015

Bruno Woltzenlogel Paleo

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# Part I *Introductions*

### **ToDo:**

We plan to add a few short introductory chapters addressing various aspects that are orthogonal to several entries, such as:

- basic technical notions for each type of proof system (e.g. tableaux, natural deduction systems, sequent calculi, resolution calculi...),
- logical languages
- logics (classical, intuitionistic, modal, substructural, linear, paraconsistent, ...)
- application domains

The goals of these introductory chapters will be to:

- provide a global technical and historical view of the entries,
- reduce repetition of basic notions in the entries,
- increase the understandability of the entries,
- make the encyclopedia more self-contained.

The exact structure and content of these chapters will be decided later, after the collection of sufficiently many entries.

For now, entries are sorted in chronological order only, and various indexes are provided in the backmatter. If the need arises, we might consider grouping entries according to various criteria.

# Part II Proof Systems

$$\frac{\mathfrak{A} \quad \mathfrak{B}}{\mathfrak{A} \& \mathfrak{B}} \ UE \qquad \frac{\mathfrak{A} \& \mathfrak{B}}{\mathfrak{A}} \ UB \qquad \frac{\mathfrak{A} \& \mathfrak{B}}{\mathfrak{B}} \ UB$$

$$\frac{\mathfrak{A}}{\mathfrak{A}\vee\mathfrak{B}}OE \qquad \frac{\mathfrak{B}}{\mathfrak{A}\vee\mathfrak{B}}OE \qquad \frac{\mathfrak{A}\vee\mathfrak{B}}{\mathfrak{C}}C \qquad \frac{\mathfrak{A}\vee\mathfrak{B}}{\mathfrak{C}}OB$$

$$\frac{\mathfrak{Fa}}{\forall x \mathfrak{F} x} A E \qquad \frac{\forall x \mathfrak{F} x}{\mathfrak{Fa}} A B \qquad \frac{\mathfrak{Fa}}{\exists x \mathfrak{F} x} E E \qquad \frac{\exists x \mathfrak{F} x}{\mathfrak{C}} E B$$

The eigenvariable  $\mathfrak{a}$  of an AE must not occur in the formula designated in the schema by  $\forall \mathfrak{x}\mathfrak{F}\mathfrak{x}$ ; nor in any assumption formula upon which that formula depends. The eigenvariable  $\mathfrak{a}$  of an EB must not occur in the formula designated in the schema by  $\exists \mathfrak{x}\mathfrak{F}\mathfrak{x}$ ; nor in any assumption formula upon which that formula depends, with the exception of the assumption formulae designated by  $\mathfrak{F}\mathfrak{a}$ .

**Clarifications:** The names of the rules are those originally given by Gentzen [1]:

U = und (and), O = oder (or), A = all, E = es-gibt (exists), F = folgt (follows),

N = nicht (not), E = Einführung (introduction), B = Beseitigung (elimination).

**History:** The main novelty introduced by Gentzen in this proof system is its *assumption* handling mechanism, which allows formal proofs to reflect more naturally the logical reasoning involved in mathematical proofs.

**Remarks:** In [1], completeness of **NJ** is proven by showing how to translate proofs in the Hilbert-style calculus **LHJ** to **NJ**-proofs, and soundness is proven by showing how to translate **NJ**-proofs to **LJ**-proofs {3}.

[1] Gerhard Gentzen. "Untersuchungen über das logische Schließen I". In: *Mathematische Zeitschrift* 39.1 (Dec. 1935), pp. 176–210.

$$\frac{\Gamma \vdash \Lambda, A \quad A, \Delta \vdash \Theta}{\Gamma, \Delta \vdash \Lambda, \Theta} \quad cut$$

$$\frac{\Gamma \vdash \Theta}{A, \Gamma \vdash \Theta} \quad w_l \qquad \qquad \frac{\Gamma \vdash \Theta, B, A, \Delta}{\Gamma \vdash \Theta, A} \quad w_r$$

$$\frac{\Gamma, B, A, \Delta \vdash \Theta}{\Gamma, A, B, \Delta \vdash \Theta} \quad e_l \quad \frac{A, A, \Gamma \vdash \Theta}{A, \Gamma \vdash \Theta} \quad c_l \qquad \qquad \frac{\Gamma \vdash \Theta, B, A, \Delta}{\Gamma \vdash \Theta, A, B, \Delta} \quad e_r \quad \frac{\Gamma \vdash \Theta, A, A}{\Gamma \vdash \Theta, A} \quad c_r$$

$$\frac{\Gamma \vdash \Theta, A}{\neg A, \Gamma \vdash \Theta} \quad \neg_l \qquad \qquad \frac{A, \Gamma \vdash \Theta}{\Gamma \vdash \Theta, A, A, B} \quad \neg_r$$

$$\frac{A_l, \Gamma \vdash \Theta}{A_l \land A_2, \Gamma \vdash \Theta} \quad \land_l \qquad \qquad \frac{\Gamma \vdash \Theta, A \mid \Gamma \vdash \Theta, B}{\Gamma \vdash \Theta, A \mid A \mid A} \quad \land_r$$

$$\frac{A, \Gamma \vdash \Theta}{A \lor B, \Gamma \vdash \Theta} \quad \lor_l \qquad \qquad \frac{\Gamma \vdash \Theta, A_l}{\Gamma \vdash \Theta, A_1 \lor A_2} \quad \lor_r$$

$$\frac{A, \Gamma \vdash \Theta, B}{A \rightarrow B, \Gamma, \Delta \vdash A, \Theta} \quad \to_l \qquad \qquad \frac{A, \Gamma \vdash \Theta, B}{\Gamma \vdash \Theta, A \mid A} \quad \to_r$$

$$\frac{A[\alpha], \Gamma \vdash \Theta}{\exists x. A[x], \Gamma \vdash \Theta} \quad \exists_l \quad \frac{A[t], \Gamma \vdash \Theta}{\forall x. A[x], \Gamma \vdash \Theta} \quad \forall_l \quad \frac{\Gamma \vdash \Theta, A[\alpha]}{\Gamma \vdash \Theta, \forall x. A[x]} \quad \forall_r \quad \frac{\Gamma \vdash \Theta, A[t]}{\Gamma \vdash \Theta, \exists x. A[x]} \quad \exists_r$$
The eigenvariable  $\alpha$  should not occur in  $\Gamma, \Theta$  or  $A[x]$ .
The term  $t$  should not contain variables bound in  $A[t]$ .

**History:** This is a modern presentation of Gentzen's original **LK** calculus[1], using modern notations and rule names.

**Remarks:** LK is complete relative to NK (i.e. NJ {1} with the axiom of excluded middle) and sound relative to a Hilbert-style calculus LHK [2]. Cut is eliminable (*Hauptsatz* [1]), and hence classical predicate logic is consistent. Any *prenex* cut-free proof may be further transformed into a shape with only propositional inferences above and only quantifier and structural inferences below a *midsequent* [2].

<sup>[1]</sup> Gerhard Gentzen. "Untersuchungen über das logische Schließen I". In: *Mathematische Zeitschrift* 39.1 (Dec. 1935), pp. 176–210.

<sup>[2]</sup> Gerhard Gentzen. "Untersuchungen über das logische Schließen II". In: *Mathematische Zeitschrift* 39.1 (Dec. 1935), pp. 405–431.

$$\frac{\Gamma \vdash A \quad A, \Delta \vdash \Theta}{\Gamma, \Delta \vdash \Theta} \quad cut$$

$$\frac{\Gamma \vdash \Theta}{A, \Gamma \vdash \Theta} \quad w_l \qquad \frac{\Gamma \vdash A \quad w_r}{\Gamma \vdash A} \quad w_r$$

$$\frac{\Gamma, B, A, \Delta \vdash \Theta}{\Gamma, A, B, \Delta \vdash \Theta} \quad e_l \qquad \frac{A, A, \Gamma \vdash \Theta}{A, \Gamma \vdash \Theta} \quad c_l$$

$$\frac{\Gamma \vdash A}{\neg A, \Gamma \vdash} \quad \neg_l \qquad \frac{A, \Gamma \vdash B}{\Gamma \vdash \neg A} \quad \neg_r$$

$$\frac{A_i, \Gamma \vdash \Theta}{A_1 \land A_2, \Gamma \vdash \Theta} \quad \land_l \qquad \frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \land B} \quad \land_r$$

$$\frac{A, \Gamma \vdash \Theta}{A \lor B, \Gamma \vdash \Theta} \quad \lor_l \qquad \frac{\Gamma \vdash A_i}{\Gamma \vdash A_1 \lor A_2} \quad \lor_r$$

$$\frac{\Gamma \vdash A \quad B, \Delta \vdash \Theta}{A \rightarrow B, \Gamma, \Delta \vdash \Theta} \quad \to_l \qquad \frac{A, \Gamma \vdash B}{\Gamma \vdash A \rightarrow B} \quad \to_r$$

$$\frac{A[\alpha], \Gamma \vdash \Theta}{\exists x. A[x], \Gamma \vdash \Theta} \quad \exists_l \qquad \frac{\Gamma \vdash A[t]}{\Gamma \vdash \exists x. A[x]} \quad \exists_r$$

$$\frac{A[t], \Gamma \vdash \Theta}{\forall x. A[x], \Gamma \vdash \Theta} \quad \forall_l \qquad \frac{\Gamma \vdash A[\alpha]}{\Gamma \vdash \forall x. A[x]} \quad \forall_r$$

The eigenvariable  $\alpha$  should not occur in  $\Gamma$ ,  $\Theta$  or A[x]. The term t should not contain variables bound in A[t].

Clarifications: LJ and LK {2} have exactly the same rules, but in LJ the succedent of every sequent may have at most one formula. This restriction is equivalent to forbidding the axiom of excluded middle in natural deduction.

**Remarks:** The cut rule is eliminable (*Hauptsatz* [1]), and hence intuitionistic predicate logic is consistent and its propositional fragment is decidable [2]. **LJ** is complete relative to **NJ** {1} and sound relative to the Hilbert-style calculus **LHJ** [2].

- [1] Gerhard Gentzen. "Untersuchungen über das logische Schließen I". In: *Mathematische Zeitschrift* 39.1 (Dec. 1935), pp. 176–210.
- [2] Gerhard Gentzen. "Untersuchungen über das logische Schließen II". In: *Mathematische Zeitschrift* 39.1 (Dec. 1935), pp. 405–431.

$$\overline{A,\Gamma\vdash\Theta,A}$$

$$\frac{A\to B,\Gamma\vdash\Theta,A-B,A\to B,\Gamma\vdash\Theta}{A\to B,\Gamma\vdash\Theta}\to\vdash \qquad \frac{A,\Gamma\vdash\Theta,A\to B,B}{\Gamma\vdash\Theta,A\to B}\vdash\to$$

$$\frac{A,A\vee B,\Gamma\vdash\Theta-B,A\vee B,\Gamma\vdash\Theta}{A\vee B,\Gamma\vdash\Theta}\vee\vdash \qquad \frac{\Gamma\vdash\Theta,A\vee B,A}{\Gamma\vdash\Theta,A\vee B}\vdash\vee_1 \qquad \frac{\Gamma\vdash\Theta,A\vee B,B}{\Gamma\vdash\Theta,A\vee B}\vdash\vee_2$$

$$\frac{A,A\wedge B,\Gamma\vdash\Theta}{A\wedge B,\Gamma\vdash\Theta}\wedge\vdash_1 \qquad \frac{B,A\wedge B,\Gamma\vdash\Theta}{A\wedge B,\Gamma\vdash\Theta}\wedge\vdash_2 \qquad \frac{\Gamma\vdash\Theta,A\wedge B,A-\Gamma\vdash\Theta,A\wedge B,B}{\Gamma\vdash\Theta,A\wedge B}\vdash\wedge$$

$$\frac{\neg A,\Gamma\vdash\Theta,A}{\neg A,\Gamma\vdash\Theta}\to\vdash \qquad \frac{A,\Gamma\vdash\Theta,\neg A}{\Gamma\vdash\Theta,\neg A}\vdash\neg$$

$$\frac{A(t),\forall xA(x),\Gamma\vdash\Theta}{\forall xA(x),\Gamma\vdash\Theta} \;\forall\vdash \qquad \frac{\Gamma\vdash\Theta,\forall xA(x),A(b)}{\Gamma\vdash\Theta,\forall xA(x)}\vdash\forall$$

$$\frac{A(b),\exists xA(x),\Gamma\vdash\Theta}{\exists xA(x),\Gamma\vdash\Theta} \;\exists\vdash \qquad \frac{\Gamma\vdash\Theta,\exists xA(x),A(t)}{\Gamma\vdash\Theta,\exists xA(x)}\vdash\exists$$
The term  $t$  is free for  $x$  in  $A(x)$ .

The variable b is free for x in A(x) and (unless b is x) does not occur in  $\Gamma$ ,  $\Theta$ , A(x).

**Clarifications:** The A, B are formulae;  $\Gamma, \Theta$  are finite (possibly empty) sequences of formulae;  $\Gamma$  is a variable;  $\Gamma$  is a formula. In applications of the rules every sequent  $\Gamma \vdash \Theta$  can be replaced with a *cognate* one, i.e., a sequent  $\Gamma' \vdash \Theta'$  such that the sets of formulae occurring in  $\Gamma$  and  $\Gamma'$  resp.  $\Theta$  and  $\Theta'$  are the same.

**Remarks:** Based on Gentzen's sequent calculus **LK** {2} (called classical **G1** in [1]). Seems to be the first system (with {5}) in which admissibility of contraction is obtained by copying the principal formulae into the premisses (accordingly, this is sometimes called *Kleene's Method*). Used together with its single-conclusion version for intuitionistic logic {5} to uniformly obtain decidability of propositional classical and intuitionistic logic via backwards proof search in [1].

[1] Stephen Cole Kleene. Introduction to Metamathematics. Amsterdam: North-Holland, 1952.

# Kleene's Intuitionistic G3 System

(1952)

$$\frac{A \to B, \Gamma \vdash A \quad B, A \to B, \Gamma \vdash \Theta}{A \to B, \Gamma \vdash \Theta} \to \vdash \qquad \frac{A, \Gamma \vdash B}{\Gamma \vdash A \to B} \vdash \to \\
\frac{A, A \lor B, \Gamma \vdash \Theta \quad B, A \lor B, \Gamma \vdash \Theta}{A \lor B, \Gamma \vdash \Theta} \lor \vdash \qquad \frac{\Gamma \vdash A}{\Gamma \vdash A \lor B} \vdash \lor_{2}$$

$$\frac{A, A \land B, \Gamma \vdash \Theta}{A \land B, \Gamma \vdash \Theta} \land \vdash_{1} \quad \frac{B, A \land B, \Gamma \vdash \Theta}{A \land B, \Gamma \vdash \Theta} \land \vdash_{2} \qquad \frac{\Gamma \vdash A}{\Gamma \vdash A \land B} \vdash \land \\
\frac{\neg A, \Gamma \vdash A}{\neg A, \Gamma \vdash \Theta} \neg \vdash \qquad \frac{A, \Gamma \vdash B}{\Gamma \vdash A \land B} \vdash \land \\
\frac{A, \Gamma \vdash \neg A}{\neg A, \Gamma \vdash \Theta} \vdash \neg \qquad \frac{A, \Gamma \vdash \neg A}{\Gamma \vdash \neg A} \vdash \neg \\
\frac{A(t), \forall x A(x), \Gamma \vdash \Theta}{\forall x A(x), \Gamma \vdash \Theta} & \exists \vdash \qquad \frac{\Gamma \vdash A(t)}{\Gamma \vdash \exists x A(x)} \vdash \exists$$

The term t is free for x in A(x). The variable b is free for x in A(x) and (unless b is x) does not occur in  $\Gamma$ ,  $\Theta$ , A(x).

**Clarifications:** The A, B are formulae;  $\Gamma$  and  $\Theta$  are a finite (possibly empty) sequences of formulae with  $\Theta$  containing at most one formula; x is a variable; A(x) is a formula. In applications of the rules every sequent  $\Gamma \vdash \Theta$  can be replaced with a *cognate* one, i.e., a sequent  $\Gamma' \vdash \Theta'$  such that the sets of formulae occurring in  $\Gamma$  and  $\Gamma'$  resp.  $\Theta$  and  $\Theta'$  are the same (respecting the restriction to at most one formula on the right hand side).

**Remarks:** Based on Gentzen's sequent calculus **LJ** {3} (corresponding to intuitionistic **G1** in [1]). Seems to be the first system (with {4}) in which admissibility of contraction is obtained by copying the principal formulae into the premisses (accordingly, this is sometimes called *Kleene's Method*). Used together with its multi-conclusion version for classical logic {4} to uniformly obtain decidability of propositional classical and intuitionistic logic via backwards proof search in [1].

[1] Stephen Cole Kleene. Introduction to Metamathematics. Amsterdam: North-Holland, 1952.

# Multi-Conclusion Sequent Calculus LJ'

(1954)

$$\frac{A_{l} + A}{A \vdash A} \qquad \frac{\Gamma \vdash \Theta, A \vdash A, \Delta \vdash A}{\Gamma, \Delta \vdash \Theta, \Lambda} cut$$

$$\frac{A_{l}, \Gamma \vdash \Theta}{A_{1} \land A_{2}, \Gamma \vdash \Theta} \land_{l} \qquad \frac{\Gamma \vdash \Theta, A \vdash \Gamma \vdash \Theta, B}{\Gamma \vdash \Theta, A \land B} \land_{r}$$

$$\frac{A, \Gamma \vdash \Theta}{A \lor B, \Gamma \vdash \Theta} \Rightarrow_{l} \qquad \frac{\Gamma \vdash \Theta, A_{l}}{\Gamma \vdash \Theta, A_{1} \lor A_{2}} \lor_{r}$$

$$\frac{\Gamma \vdash \Theta, A \vdash B, \Delta \vdash A}{A \to B, \Gamma, \Delta \vdash \Theta, \Lambda} \Rightarrow_{l} \qquad \frac{A, \Gamma \vdash B}{\Gamma \vdash A \to B} \Rightarrow_{r}$$

$$\frac{A\alpha, \Gamma \vdash \Theta}{\exists x.Ax, \Gamma \vdash \Theta} \Rightarrow_{l} \frac{\Gamma \vdash \Theta, At}{\Gamma \vdash \Theta, \exists x.Ax} \Rightarrow_{r} \frac{At, \Gamma \vdash \Theta}{\forall x.Ax, \Gamma \vdash \Theta} \forall_{l} \qquad \frac{\Gamma \vdash A\alpha}{\Gamma \vdash \forall x.Ax} \forall_{r}$$

$$\frac{\Gamma \vdash \Theta, A}{\neg A, \Gamma \vdash \Theta} \Rightarrow_{l} \frac{A, \Gamma \vdash}{\Gamma \vdash \neg A} \Rightarrow_{r} \frac{\Gamma, B, A, \Delta \vdash \Theta}{\Gamma, A, B, \Delta \vdash \Theta} e_{l} \frac{\Gamma \Delta \vdash \Theta, B, A, \Delta}{\Gamma \vdash \Theta, A, B, \Lambda} e_{r}$$

$$\frac{A, A, \Gamma \vdash \Theta}{A, \Gamma \vdash \Theta} c_{l} \frac{\Gamma \vdash \Theta, A, A}{\Gamma \vdash \Theta, A} c_{r} \frac{\Gamma \vdash \Theta}{A, \Gamma \vdash \Theta} w_{l} \frac{\Gamma \vdash}{\Gamma \vdash A} w_{r}$$
The eigenvariable  $\alpha$  should not occur in  $\Gamma, \Theta$  or  $A[x]$ .
The term  $t$  should not contain variables bound in  $A[t]$ .

**Clarifications:** While **LJ** {3} is defined by restricting **LK** {2} to single conclusion, in **LJ**' only the rules  $\neg_r$ ,  $\rightarrow_r$  and  $\forall_r$  have this restriction.

**History:** LJ' was proposed in [1] and used to prove the completeness of LJ {3} in [3]. It also appears in [4] (as GHPC) and [2] (as L').

**Remarks:** LJ' is equivalent to LJ, and this is established by translating sequents of the form  $\Gamma \vdash A_1, ..., A_n$  into sequents of the form  $\Gamma \vdash A_1 \lor ... \lor A_n$ . Cut can be eliminated by using a combination of the rewriting rules for cut-elimination in LJ and LK and permutation of inferences, as shown by Schellinx [5] and Reis [6].

- [1] Shôji Maehara. "Eine Darstellung der intuitionistischen Logik in der klassischen". In: *Nagoya Math. J.* 7 (1954), pp. 45–64.
- [2] Michael Dummett. *Elements of Intuitionism*. Oxford: Clarendon Press, 1977.
- [3] Gaisi Takeuti. Proof Theory. 2nd Edition. North Holland, 1987.
- [4] A. G. Dragalin. *Mathematical Intuitionism: Introduction to Proof Theory*. American Mathematical Society, 1988.
- [5] Harold Schellinx. "Some Syntactical Observations on Linear Logic". In: *J. Log. Comput.* 1.4 (1991), pp. 537–559.
- [6] Giselle Reis. "Cut-elimination by resolution in intuitionistic logic". PhD thesis. Vienna University of Technology, July 2014.

Resolution (1965)

$$\frac{D \vee B_1 \vee \ldots \vee B_m \quad C \vee \neg A_1 \vee \ldots \vee \neg A_n}{(D \vee C)\sigma} \ Resolution$$

C,D are (possibly empty) clauses,  $A_i,B_j$  are atoms.

 $A_1, \ldots, A_n, B_1, \ldots, B_m$  are unifiable with most general unifier  $\sigma$ .

Clarifications: Resolution is a refutational saturation calculus for first-order clauses (disjunctions of possibly negated atoms). It works on a set N of clauses that is saturated by successively computing Resolution inferences with premises in N and adding the conclusion of the inference to N, until the empty clause (i. e., false) is derived.

**History:** The ground version of the *Resolution* rule appeared already as "Rule for Eliminating Atomic Formulas" in [1]. To refute a set of non-ground clauses, the rule was combined with a naïve enumeration of ground instances. Robinson's fundamental achievement [3] was to extend the inference rule to non-ground clauses in such a way that the computation of useful instances became a by-product of the rule application. It was later detected that resolution can also be described as the dual form of a special case of Maslov's *inverse* method [2, 4].

Many refinements of resolution were developed in the sequel, aiming on the one hand at reducing the number of possible inferences (e.g., using atom orderings {8}, selection functions, set-of-support strategies) and on the other hand at integrating particular axioms into the calculus (e.g., the equality axioms, yielding paramodulation {9}). Note that the factoring step (i.e., unification of literals within the same clause) that is built into Robinson's original *Resolution* rule is usually given as a separate inference rule in later publications, e.g., {9}.

**Remarks:** The resolution calculus is refutationally complete for sets of first-order clauses.

- [1] Martin Davis and Hilary Putnam. "A Computing Procedure for Quantification Theory". In: Journal of the ACM 7 (1960), pp. 201–215.
- [2] S. Ju. Maslov. "An inverse method of establishing deducibility in classical predicate calculus". In: Dokl. Akad. Nauk. SSSR 159 (1964), pp. 17-20.
- [3] John Alan Robinson. "A Machine-Oriented Logic Based on the Resolution Principle". In: Journal of the ACM 12.1 (1965), pp. 23–41.
- [4] S. Ju. Maslov. "Proof-search Strategies for Methods of the Resolution Type". In: *Machine Intelligence* 6. Ed. by Bernard Meltzer and Donald Michie. Edinburgh University Press, 1971. Chap. 6, pp. 77–90.

Entry 7 by: Uwe Waldmann

$$\frac{D \vee B \quad C \vee \neg A}{(D \vee C)\sigma} \ Resolution$$

$$\frac{C \vee L_1 \vee ... \vee L_n}{(C \vee L_1)\sigma} Factoring$$

C,D are (possibly empty) clauses,  $L_1,...,L_n$  are literals, A,B are atoms, A and B, or  $L_1,...,L_n$ , respectively, are unifiable with most general unifier  $\sigma$ .

The literals  $\neg A$ , B, and  $L_1$  are maximal in the respective premises.

**Clarifications:** Ordered resolution is a refutational saturation calculus for first-order clauses (disjunctions of possibly negated atoms). It works on a set N of clauses that is saturated by successively computing inferences with premises in N and adding the conclusion of the inference to N, until the empty clause (i. e., false) is derived.

**History:** The idea to use a syntactic ordering on literals to restrict the number of possible inferences was developed independently by Maslov [1, 2, 4] for the *inverse method* (resolution can be seen as the dual form of a special case of the inverse method) and by Kowalski and Hayes [3] for resolution itself (the requirements for the ordering differ slightly).

**Remarks:** The ordered resolution calculus is refutationally complete for sets of first-order clauses.

- [1] S. Ju. Maslov. "An inverse method of establishing deducibility in classical predicate calculus". In: *Dokl. Akad. Nauk. SSSR* 159 (1964), pp. 17–20.
- [2] S. Ju. Maslov. "The inverse method for establishing deducibility for logical calculi". In: *Trudy Mat. Inst. Steklov* 98 (1968), pp. 26–87.
- [3] R[obert] Kowalski and P[atrick] J. Hayes. "Semantic Trees in Automatic Theorem Proving". In: *Machine Intelligence 4*. Ed. by Bernard Meltzer and Donald Michie. Edinburgh University Press, 1969, pp. 87–101.
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Paramodulation (1969)

$$\frac{D \lor u \approx u' \quad C \lor L[v]}{(D \lor C \lor L[u'])\sigma} \ Paramodulation$$
 
$$\frac{D \lor B \quad C \lor \neg A}{(D \lor C)\sigma} \ Resolution$$
 
$$\frac{C \lor L_1 \lor \ldots \lor L_n}{(C \lor L_1)\sigma} \ Factoring$$
 
$$\frac{}{x \approx x} \ Reflexivity$$

C,D are (possibly empty) equational clauses,  $L,L_1,\ldots,L_n$  are literals, A,B are atoms, u,u',v are terms; u and v,A and B, or  $L_1,\ldots,L_n$ , respectively, are unifiable with most general unifier  $\sigma$ .

Clarifications: Paramodulation is a refutational saturation calculus for first-order clauses (disjunctions of possibly negated atoms) with equality (denoted by  $\approx$ ). It works on a set N of clauses that is saturated by successively computing inferences with premises in N and adding the conclusion of the inference to N, until the empty clause (i. e., false) is derived.

**History:** Handling the equality axioms in the resolution calculus  $\{7\}$  is impractical due to the huge search space generated in particular by the transitivity axiom. The paramodulation calculus developed by Robinson and Wos [1] extends resolution by specific inference rules that render explicit inferences with the equality axioms unnecessary. The original completeness proof also assumed the presence of so-called functional-reflexive axioms of the form  $f(x_1,...,x_n) \approx f(x_1,...,x_n)$ ; this was later shown to be superfluous by Brand [2]. Many refinements were developed in the sequel, aiming in particular at reducing the number of possible inferences, see  $\{22\}$ .

Remarks: The paramodulation calculus is refutationally complete for first-order logic with equality.

- [1] G[eorge] Robinson and L[arry] Wos. "Paramodulation and Theorem-proving in First-Order Theories with Equality". In: *Machine Intelligence 4*. Ed. by Bernard Meltzer and Donald Michie. Edinburgh University Press, 1969. Chap. 8, pp. 135–150.
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# (Unfailing) Completion

Standard Completion:

$$\frac{E \cup \{s \stackrel{\stackrel{.}{\sim}}{t}\}, \ R}{E, \ R \cup \{s \rightarrow t\}} \ Orient \qquad \frac{E \cup \{s \stackrel{\stackrel{.}{\sim}}{t}\}, \ R}{E \cup \{u \stackrel{\stackrel{.}{\sim}}{t}\}, \ R} \ Simplify-Equation \\ \text{if } s \succ t \qquad \text{if } s \rightarrow_R u \\ \\ \frac{E, \ R}{E \cup \{s \approx t\}, \ R} \ Deduce \qquad \frac{E, \ R \cup \{s \rightarrow t\}}{E \cup \{u \approx t\}, \ R} \ Left-Simplify-Rule \\ \text{if } \langle s, t \rangle \in \operatorname{CP}(R) \qquad \text{if } s \rightarrow_R u \text{ using a rule } l \rightarrow r \in R \text{ such that } s \supset l \\ \\ \frac{E \cup \{s \approx s\}, \ R}{E, \ R} \ Delete \qquad \frac{E, \ R \cup \{s \rightarrow t\}}{E, \ R \cup \{s \rightarrow u\}} \ Right-Simplify-Rule \\ \text{if } t \rightarrow_R u \\ \\ \text{if } t \rightarrow_R u \\ \end{aligned}$$

plus, for Unfailing Completion:

$$\frac{E, R}{E \cup \{s \approx t\}, R} UC\text{-}Deduce$$
 if  $\langle s, t \rangle \in CP(E \cup R)$ 

*E* is a set of equations, *R* is a set of rewrite rules, s, t, u, l, r are terms,  $s \stackrel{*}{\approx} t$  represents  $s \approx t$  or  $t \approx s$ , CP(...) denotes the set of (ordered) critical pairs of a set of (equations and) rules, > is a reduction ordering that is total on ground terms.

**Clarifications:** Standard completion tries to convert a set of equations into an equivalent terminating and confluent set of rewrite rules; it may fail, however, for certain inputs E and >. Adding the UC-Deduce rule turns standard completion into a refutationally complete calculus for equational theories.

**History:** Standard completion was developed by Knuth and Bendix [1]; the presentation as an inference system given here and the extension to unfailing completion are due to Bachmair, Dershowitz, and Hsiang [3], see also [4]. An approach to extend completion to completion modulo associativity and/or commutativity was presented in [2].

**Remarks:** To prove that an equation  $s \approx t$  is entailed by E, unfailing completion is applied to  $E \cup \{eq(x, x) \approx true, eq(\hat{s}, \hat{t}) \approx false\}$ , where  $\hat{s}$  and  $\hat{t}$  are skolemized versions of s and t. Unfailing completion derives  $true \approx false$  if and only if  $E \models s \approx t$ .

- [1] Donald E. Knuth and Peter B. Bendix. "Simple Word Problems in Universal Algebras". In: *Computational Problems in Abstract Algebra*. Ed. by J. Leech. Oxford, United Kingdom: Pergamon Press, 1970, pp. 263–297.
- [2] Gerald E. Peterson and Mark E. Stickel. "Complete Sets of Reductions for Some Equational Theories". In: *Journal of the ACM* 28.2 (1981), pp. 233–264.

- [3] Leo Bachmair, Nachum Dershowitz, and Jieh Hsiang. "Orderings for Equational Proofs". In: [First Annual] Symposium on Logic in Computer Science. Cambridge, Massachusetts, USA: IEEE Computer Society Press, 1986, pp. 346–357.
- [4] Leo Bachmair. Canonical Equational Proofs. Boston, MA, USA: Birkhäuser, 1991.

(1971)

$$\frac{(x:T) \in E}{\Gamma; E \vdash x:T} \text{ assumption}$$

$$\frac{\Gamma; E, (x:T) \vdash e:S}{\Gamma; E \vdash \lambda(x:T.e): (T \to S)} \to I \qquad \frac{\Gamma; E \vdash f: (T \to S) \quad \Gamma; E \vdash e:T}{\Gamma; E \vdash (fe): \tau} \to E$$

$$\frac{\Gamma X; E \vdash e:T}{\Gamma; E \vdash (\Lambda X:Tp.e): (\forall X:Tp.T)} \ \forall I^* \qquad \frac{\Gamma; E \vdash f: (\forall X:Tp.T) \quad \Gamma \vdash S:Tp}{\Gamma; E \vdash fS: [S/X]T} \ \forall E$$

**Clarifications:** The presentation from [4] with minor corrections is used. Below X, Y, Z... are type-variables and x, y, ... term variables.

\* X must be not free in the type of any free term variable in E.

Type expressions:  $T := X | (T \rightarrow S) | (\forall X : Tp.T)$ .

Term expressions:  $e := x | (ee) | (eT) | (\lambda x : T.e) | (\Lambda X : Tp.e)$ .

 $\forall$ ,  $\Lambda$  and  $\lambda$  are variable binders. All expressions are considered up to renaming of bound variables ( $\alpha$ -conversion). An unbound variable is free. FV(R) is the set of free variables for any (type or term) expression; [e/x], [S/X] mean capture-avoiding substitution in term- and type-expressions respectively (defined by induction). A context is a finite set  $\Gamma$  of type variables;  $\Gamma X$  stands for  $\Gamma \cup X$ . A type T is legal in  $\Gamma$  iff  $FV(T) \subseteq FV(\Gamma)$ . A type assignment in  $\Gamma$  is a finite list  $E = (x_1 : T_1), ..., (x_n : T_n)$  where any  $T_i$  is legal in  $\Gamma$ . The typing relation  $\Gamma$ ;  $E \vdash e : T$ , where E is a type assignment legal in  $\Gamma$ , e is a term expression and T is a type expression, is defined by the rules above.

The *conversion relation* between well-typed terms is very important. It is defined by the following axioms:  $(\beta)$   $(\lambda x:T.f)e=[e/x]f;$   $(\beta_2)$   $(\Lambda X:Tp.e)S=[S/X]e;$   $(\eta)$   $\lambda x:T.(ex)=e$  if  $x\notin FV(e);$   $(\eta_2)$   $\Lambda X:Tp.(eX)=e$  if  $X\notin FV(e)$ , and by usual rules that turn "=" into congruence. The system  $\mathbf{F}_c$  is obtained if one more equality axiom is added:  $(\mathbf{C})$  eT=eT' for  $\Gamma; E\vdash e: \forall X.S$  and  $X\notin FV(S)$ .

**History:** Introduced by Girard [1] and Reynolds [3]. Inspired works on higher order type systems. Included by Barendregt in his  $\lambda$ -cube [5]. Various extensions were considered, for example,  $\mathbf{F}_c$  [7],  $\mathbf{F}$  with subtyping [6, 8]. Important for functional programming languages.

**Remarks:** A strong normalization theorem for  $\mathbf{F}$  was proved by Girard [2]. It implies a normalization theorem and consistency for second order arithmetic  $PA_2$ . For  $\mathbf{F}_c$ , a genericity theorem holds [7].

- [1] J.-Y. Girard. "Une extension de l'interpretation fonctionelle de Gödel à l'analyse et son application à l'élimination des coupures dans et la thèorie des types". In: *Proc. 2nd Scandinavian Logic Symposium*. North-Holland (1971).
- [2] J.-Y. Girard. "Interprétation fonctionelle et élimination des coupures de l'arithmétique d'ordre supérieur". PhD thesis. Université Paris VII, 1972.
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- [4] Andrea Asperti and Giuseppe Longo. *Categories, Types and Structures*. Cambridge, Mass., London, England: The MIT Press, 1991.

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- [6] L. Cardelli, S. Martini, J.C. Mitchell, and A. Scedrov. "An Extension of System F with Subtyping". In: *Lecture Notes in Computer Science* 526 (1991).
- [7] G. Longo, K. Milsted, and S. Soloviev. "The Genericity Theorem and the Notion of Parametricity in the Polymorphic *λ*-calculus". In: *Theoretical Computer Science* 121 (1993).
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Rules for computing resolvents

(1982)

$$(A1) \xrightarrow{\Sigma(p, \neg p) \longrightarrow \bot} (A2) \xrightarrow{\Sigma(\bot, A) \longrightarrow \bot}$$

$$(\Sigma \vee) \xrightarrow{\Sigma(A \vee D_1, B \vee D_2) \longrightarrow C \vee D_1 \vee D_2}$$

$$(\Sigma \Box \Diamond) \xrightarrow{\sum (A,B) \longrightarrow C} (\Sigma \Box A, \Diamond (B \land E)) \longrightarrow \Diamond (B \land C \land E)} \qquad (\Sigma \Box \Box) \xrightarrow{\sum (A,B) \longrightarrow C} (\Sigma \Box A, \Box B) \longrightarrow \Box C$$

$$(\Gamma \vee) \ \frac{\Gamma(A) \longrightarrow B}{\Gamma(A \vee C) \longrightarrow B \vee C} \qquad (\Gamma \square) \ \frac{\Gamma(A) \longrightarrow B}{\Gamma(\square A) \longrightarrow \square B}$$

$$(\Gamma \lozenge 1) \xrightarrow{ \Sigma(A,B) \longrightarrow C} \overline{\Gamma(\lozenge(A \land B \land E)) \longrightarrow \lozenge(A \land B \land C \land E)}$$

$$(\Gamma \lozenge 2) \xrightarrow{\Gamma(A) \longrightarrow B} \overline{\Gamma(\lozenge(A \land E)) \longrightarrow \lozenge(A \land B \land E)}$$

SIMPLIFICATION RULES

$$(S_1)$$
  $\Diamond \perp \approx \perp$   $(S_3)$   $\perp \wedge E \approx \perp$   $(S_2)$   $\perp \vee A \approx A$   $(S_4)$   $A \vee A \vee B \approx A \vee B$ 

Inference rules

$$(R1) \frac{C}{D} \text{ if } \Gamma(C) \Rightarrow D$$
 
$$(R2) \frac{C_1 \qquad C_2}{D} \text{ if } \Sigma(C_1, C_2) \Rightarrow D$$

Clarifications: A, B, C and D denote formulas in disjunctive normal form (DNF) whereas E denotes a formula in conjunctive normal form (CNF). A formula is in DNF if it has the general form  $L_1 \vee ... \vee L_n \vee \Box A_1 \vee ... \vee \Box A_p \vee \Diamond E_1 \vee ... \vee \Diamond E_q$ , where  $L_i$  are literals,  $A_i$  are in DNF and  $E_i$  are in CNF. A formula is in CNF if it is a conjunction of formulas in DNF. The relation  $\approx$  is the least congruence satisfying all simplification rules. The normal form A of a formula A' is the least formula such that  $A' \approx A$ . We write  $E(A, B) \Rightarrow C$  (respectively  $E(A) \Rightarrow C$ ) if there exist E' such that E' such that E' (resp. E' (resp. E' and E') and E' is the normal form of E'.

**History:** Introduced in [1]. The current presentation is inspired by [4]. The method is at the core of the MOLOG language [3]. With slight variations of the rules, some other modal logics like S4 or S5 can be obtained [4]. The method has been adaptated to first-order modal logic [5]. An alternative non-clausal resolution method is presented in [2] (for LTL).

**Remarks:** The method is sound and complete with respect to the classical modal logic K.

[1] Luis Fariñas del Cerro. "A Simple Deduction Method for Modal Logic". In: *Inf. Process. Lett.* 14.2 (1982), pp. 49–51.

- [2] Martín Abadi and Zohar Manna. "Nonclausal Temporal Deduction". In: *Logics of Programs*. Ed. by Rohit Parikh. Vol. 193. LNCS. Springer, 1985, pp. 1–15.
- [3] Pierre Bieber, Luis Fariñas del Cerro, and Andreas Herzig. "MOLOG: a Modal PROLOG". In: *CADE* 9. Ed. by Ewing L. Lusk and Ross A. Overbeek. Vol. 310. LNCS. Springer, 1988, pp. 762–763.
- [4] Patrice Enjalbert and Luis Fariñas del Cerro. "Modal Resolution in Clausal Form". In: *Theor. Comput. Sci.* 65.1 (1989), pp. 1–33.
- [5] Marta Cialdea. "Resolution for Some First-Order Modal Systems". In: *Theor. Comput. Sci.* 85.2 (1991), pp. 213–229.

Expansion trees, eigenvariables, and the function Sh(-) (read shallow formula of), that maps an expansion tree to a formula, are defined as follows:

- 1. If A is  $\top$  (true),  $\bot$  (false), or a literal, then A is an expansion tree with top node A, and Sh(A) = A.
- 2. If E is an expansion tree with Sh(E) = [y/x]A and y is not an eigenvariable of any node in E, then  $E' = \forall x.A + ^y E$  is an expansion tree with top node  $\forall x.A$  and  $Sh(E') = \forall x.A$ . The variable y is called an eigenvariable of (the top node of) E'. The set of eigenvariables of all nodes in an expansion tree is called the eigenvariables of the tree.
- 3. If  $\{t_1, \ldots, t_n\}$  (with  $n \ge 0$ ) is a set of terms and  $E_1, \ldots, E_n$  are expansion trees with pairwise disjoint eigenvariable sets and with  $\mathsf{Sh}(E_i) = [t_i/x]A$  for  $i \in \{1, \ldots, n\}$ , then  $E' = \exists x.A + t_1 E_1 \ldots + t_n E_n$  is an expansion tree with top node  $\exists x.A$  and  $\mathsf{Sh}(E') = \exists x.A$ . The terms  $t_1, \ldots, t_n$  are known as the *expansion terms* of (the top node of) E'.
- 4. If  $E_1$  and  $E_2$  are expansion trees that share no eigenvariables and  $o \in \{\land, \lor\}$ , then  $E_1 \circ E_2$  is an expansion tree with top node o and  $Sh(E_1 \circ E_2) = Sh(E_1) \circ Sh(E_2)$ .

In the expansion tree  $\forall x.A +^x E$  (resp. in  $\exists x.A +^{t_1} E_1 \dots +^{t_n} E_n$ ), we say that x (resp.  $t_i$ ) labels the top node of E (resp.  $E_i$ , for any  $i \in \{1, \dots, n\}$ ). A term t dominates a node in an expansion tree if it labels a parent node of that node in the tree.

For an expansion tree E, the quantifier-free formula Dp(E), called the *deep formula of* E, is defined as:

- $\mathsf{Dp}(E) = E \text{ if } E \text{ is } \top, \bot, \text{ or a literal};$
- $\mathsf{Dp}(E_1 \circ E_2) = \mathsf{Dp}(E_1) \circ \mathsf{Dp}(E_2) \text{ for } \circ \in \{\land, \lor\};$
- $\mathsf{Dp}(\forall x. A +^y E) = \mathsf{Dp}(E)$ ; and
- $\mathsf{Dp}(\exists x. A +^{t_1} E_1 \cdots +^{t_n} E_n) = \mathsf{Dp}(E_1) \vee \ldots \vee \mathsf{Dp}(E_n) \text{ if } n > 0, \text{ and } \mathsf{Dp}(\exists x. A) = \bot.$

Let  $\mathcal{E}$  be an expansion tree and let  $<_{\mathcal{E}}^0$  be the binary relation on the occurrences of expansion terms in  $\mathcal{E}$  defined by  $t <_{\mathcal{E}}^0 s$  if there is an x which is free in s and which is the eigenvariable of a node dominated by t. Then  $<_{\mathcal{E}}$ , the transitive closure of  $<_{\mathcal{E}}^0$ , is called the *dependency relation* of  $\mathcal{E}$ .

An expansion tree  $\mathcal{E}$  is said to be an *expansion proof* if  $<_{\mathcal{E}}$  is acyclic and  $\mathsf{Dp}(\mathcal{E})$  is a tautology; in particular,  $\mathcal{E}$  is an *expansion proof of*  $\mathsf{Sh}(\mathcal{E})$ .

**Clarifications:** The soundness and completeness theorem for expansion trees is the following. A formula B is a theorem of first-order logic if and only if there is an expansion proof Q such that Sh(Q) = B.

**History:** Expansion trees and expansion proofs [3, 1] provide a simple generalization of both Herbrand's disjunctions and Gentzen's mid-sequent theorem to formulas that are not necessarily in prenex-normal form. These proof structures were originally defined for higher-order classical logic and used to provide a generalization of Herbrand's theorem for higher-order logic as well as a soundness proof for skolemization in the presence of higher-order quantification. Expansion trees are an early example of a matrix-based proof system that emphasizes parallelism within proof structures in a manner similar to that found in linear logic proof nets [2]. That parallelism is explicitly analyzed in [4] using a multi-focused version of LKF {50}.

- [1] Dale Miller. "Proofs in Higher-order Logic". PhD thesis. Carnegie-Mellon University, Aug. 1983.
- [2] Jean-Yves Girard. "Linear Logic". In: *Theoretical Computer Science* 50 (1987), pp. 1–102.

- [3] Dale Miller. "A Compact Representation of Proofs". In: *Studia Logica* 46.4 (1987), pp. 347–370.
   [4] Kaustuv Chaudhuri, Stefan Hetzl, and Dale Miller. "A Multi-Focused Proof System Isomorphic to Expansion Proofs". In: J. of Logic and Computation (June 2014).

(1973-78)

### **SPLIT:** basic rules of Natural Deduction(see {1}), for example

To prove  $A \wedge B$ , prove A and prove B

To prove  $p \to A \land B$ , prove  $(p \to A) \land (p \to B)$ 

To prove  $p \lor q \to A$ , prove  $(p \to A) \land (q \to A)$ 

To prove  $\exists x P(x) \to D$ , prove  $P(y) \to D$ , where y is a new variable

### **REDUCE: conversion rules**, for example

To prove  $x \in A \cap B$ , prove  $x \in A \land x \in B$ 

To prove  $S \in \mathcal{P}(A)$ , prove  $S \subset A \land S \in \mathcal{U}$ 

To prove  $x \in \sigma F$ , prove  $\exists y (y \in F \land x \in y)$ 

### **DEFINITIONS**, example

 $A \subset B$  is defined by  $\forall x (x \in A \to x \in B)$  and is replaced by  $x \in A \to x \in B$  or by  $x_o \in A \to x_o \in B$ , depending on the position of the formula in the theorem.

**IMPLY:** in addition to SPLIT and REDUCE rules,

- search for substitutions which unify some hypotheses and a conclusion and compose them until obtaining the empty substitution (theorem proved) or failing
- forward chaining : if P and P' are unified by  $\theta$  ( $P\theta = P'\theta$ ), then a hypothesis  $P' \wedge (P \rightarrow Q)$  is converted into  $P' \wedge (P \rightarrow Q) \wedge Q\theta$
- PEEK forward chaining : if  $P\theta = P'\theta$  and A has the definition  $(P \to Q)$ , then a hypothesis  $P' \land A$  is converted into  $P' \land A \land Q\theta$
- backward chaining : if  $A \to D$  and  $D\theta = C\theta$ , replace the conclusion C by  $A\theta$

Clarifications: Bledsoe's natural deduction may be seen as both an extension and a restriction of formal natural deduction {1}. In SPLIT and REDUCE, there is reduction but not expansion. Some subroutines convert expressions into forms convenient for applying the rules. The notions of hypothesis and conclusion are privileged.

**History:** After having applied the rules of IMPLY and REDUCE, the first version of **Prover** [1] called a resolution program if necessary. Then, in [2], these calls to resolution are completely replaced by IMPLY. **Prover** has been working in set theory, limit theorems, topology and program verification.

**Remarks:** The system is sound but not complete. Bledsoe emphasizes the fact that, with these methods, provers may succeed because they proceed in a natural human-like way [3].

- [1] W. W. Bledsoe. "Splitting and reduction heuristics in automatic theorem proving". In: *Artificial Intelligence* 2 (1971), pp. 55–77.
- [2] W. W. Bledsoe, R. S. Boyer, and W. H. Henneman. "Computer proofs of Limit theorems". In: *Artificial Intelligence* 3 (1972), pp. 27–60.
- [3] W. W. Bledsoe. "Non-resolution theorem proving". In: Artificial Intelligence 9 (1977), pp. 1–35.

(1984)

### Some of the rules given to the system :

Basic rules of Natural Deduction (similar to Bledsoe's SPLIT rules {14}).

Flatten: Replace P(f(x)) by  $\exists y(y: f(x) \land P(y))$  or by  $\forall y(y: f(x) \Rightarrow P(y))$  depending on the position (positive or negative) of the formula in the theorem to be proved and in the definitions and lemmas.

### Rules automatically built by metarules from definitions :

If  $A \subset B$  and  $x \in A$  then  $x \in B$  If  $x \in \sigma E$ , then  $\exists y (y \in E \land x \in y)$ 

If  $C: A \cap B$  and  $x \in C$ , then  $x \in A$  If  $C: A \cap B$ ,  $x \in A$  and  $x \in B$ , then  $x \in C$ 

in place of (and more general than) given REDUCE conversion rules of {14}.

### and from universal hypotheses:

Universal hypotheses are removed and replaced by local rules (for a sub-theorem).

This replaces and generalizes PEEK forward-chaining of {14}.

**Clarifications:** "If  $C: A \cap B$ " expresses that C is  $A \cap B$  which has already been introduced. Flattening is used to recursively create and name objects such as f(x), and in a certain manner to "eliminate" functional symbols since the expression y: f(x) will be handled as if it was a predicate expression F(x).

Rules are conditional actions. Actions may be defined by packs of rules. Metarules build rules from definitions, lemmas and universal hypotheses.

**History:** Muscadet [1, 2] is a knowledge-based system. Facts are hypotheses and the conclusion of a theorem or a sub-theorem to be proved, and all sorts of facts which give relevant information during the proof search process. Universal hypotheses are handled as local definitions (no skolemization). **Muscadet** worked in set theory, mappings and relations, topology and topological linear spaces, elementary geometry, discrete geometry, cellular automata, and TPTP problems. It attended CASC competitions. It is open software, freely available.

**Muscadet** is efficient for everyday mathematical problems which are expressed in a natural manner, and problems which involve many axioms, definitions or lemmas, but not for problems with only one large conjecture and few definitions.

**Remarks:** The system is sound but not complete (because of the use of many selective rules and heuristics). It displays proofs easily readable by a human reader.

- [1] D. Pastre. "MUSCADET: An Automatic Theorem Proving System using Knowledge and Metaknowledge in Mathematics". In: *Artificial Intelligence* 38.3 (1989), pp. 257–318.
- [2] D. Pastre. "Automated Theorem Proving in Mathematics". In: *Annals on Artificial Intelligence and Mathematics* 8.3-4 (1993), pp. 425–447.
- [3] D. Pastre. *Muscadet version 4.1 : user's manual*. 2011, pp. 1–22. URL: http://www.normalesup.org/~pastre/muscadet/manual-en.pdf.

# **Linear Sequent Calculus LL**

(1986)

$$\frac{\Gamma,A}{\vdash A^{\perp},A} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,\Delta} \qquad \frac{\vdash \Gamma}{\vdash \sigma(\Gamma)}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A,A\otimes B} \qquad \frac{\vdash \Gamma,A,B}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma}{\vdash \Gamma} \qquad \frac{\vdash \Gamma}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,B}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,\bot}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,\bot}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A,A\otimes B}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,\bot}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,\bot}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,\bot}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,\bot}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,\bot}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,\bot}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,\bot}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,\bot}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,\top}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,\top}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes B} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A}$$

$$\frac{\vdash \Gamma,A}{\vdash \Gamma,A} \qquad \frac{\vdash \Gamma,A}{\vdash \Gamma,A\otimes$$

**Clarifications:** If  $\Gamma = A_1, \dots, A_n$  then  $?\Gamma = ?A_1, \dots, ?A_n$ . Negation is not a connective. It is defined using De Morgan's laws so that  $(A^{\perp})^{\perp} = A$ . The linear implication can be defined as  $A \multimap B = A^{\perp} \mathcal{B} B$ .

**History:** Linear Logic and its sequent calculus **LL** [1] come from the analysis of intuitionistic logic through Girard's decomposition of the intuitionistic implication into the linear implication:  $A \rightarrow B = !A \multimap B$ .

**Remarks:** Cut elimination holds. **LL** is sound and complete with respect to phase semantics [1]. **LL** is not decidable. Sequent calculi **LK** {2} and **LJ** {3} can be translated into **LL**.

[1] Jean-Yves Girard. "Linear logic". In: Theoretical Computer Science 50 (1987), pp. 1–102.

Entry 16 by: Olivier Laurent

# **Two-sided Linear Sequent Calculus**

(1987)

$$\frac{\Gamma + B \mid \Delta \quad \Gamma', B \mid \Delta'}{\Gamma, \Gamma' + A, \Delta'} \quad Cut \qquad \frac{\Gamma + \Delta}{\Gamma, 1 \vdash \Delta} \quad 1_L$$

$$\frac{\Gamma}{\Gamma, 1 \vdash \Delta} \quad 1_R \qquad \frac{\Gamma + A \mid B, \Delta \mid \Gamma}{\Gamma \vdash \Delta, A} \quad - \alpha_L \qquad \frac{\Gamma, A \mid B}{\Gamma \vdash A - \alpha_B} \quad - \alpha_R \qquad \frac{\Gamma, B, C \mid \Delta}{\Gamma, B \otimes C \mid \Delta} \otimes_L$$

$$\frac{\Gamma_1 \mid + B, \Delta_1 \quad \Gamma_2 \mid + C, \Delta_2}{\Gamma_1, \Gamma_2 \mid + B \otimes C, \Delta_1, \Delta_2} \otimes_R \qquad \frac{\Gamma_1, B \mid + \Delta_1 \quad \Gamma_2, C \mid + \Delta_2}{\Gamma_1, \Gamma_2, B \otimes C \mid + \Delta_1, \Delta_2} \otimes_L \qquad \frac{\Gamma \mid + B, C, \Delta}{\Gamma \mid + B \otimes C, \Delta} \otimes_R$$

$$\frac{\Gamma, 0 \mid + \Delta}{\Gamma, 0 \mid + \Delta} \quad 0_L \qquad \qquad \frac{\Gamma, B \mid + \Delta}{\Gamma, 1 \mid + \Delta} \quad + \Gamma_R \qquad \frac{\Gamma, B_i \mid + \Delta}{\Gamma, B_1 \mid + B_2 \mid + \Delta} \otimes_L \quad (i = 1, 2)$$

$$\frac{\Gamma \mid + B, \Delta}{\Gamma \mid + B \mid + C, \Delta} \otimes_R \qquad \frac{\Gamma, B \mid + \Delta}{\Gamma, B \mid + C, \Delta} \oplus_L \qquad \frac{\Gamma \mid + B_i, \Delta}{\Gamma, B_1 \mid + B_2 \mid + \Delta} \oplus_R \quad (i = 1, 2)$$

$$\frac{\Gamma, B \mid (t/x \mid + \Delta)}{\Gamma, \forall x, B \mid + \Delta} \quad \forall_L \qquad \qquad \frac{\Gamma, B \mid (y/x \mid + \Delta)}{\Gamma, \exists x, B \mid + \Delta} \otimes_L \qquad \exists_R$$

$$\frac{\Gamma, B \mid (t/x \mid + \Delta)}{\Gamma, \exists x, B, \Delta} \quad \exists_R \qquad \qquad \frac{\mid \Gamma, B \mid (y/x \mid + \Delta)}{\mid \Gamma, \forall x, B, \Delta} \otimes_R \qquad \vdots \qquad \frac{\mid \Gamma, B, \gamma, \Delta}{\mid \Gamma, \exists x, B, \Delta} \otimes_R \qquad \vdots$$

$$\frac{\Gamma \mid + B \mid (t/x \mid + \Delta)}{\Gamma, \exists x, B, \Delta} \quad \exists_R \qquad \qquad \frac{\mid \Gamma, B \mid + 2\Delta}{\mid \Gamma, \gamma, B, \Gamma, 2\Delta} \circ_L \qquad \qquad \frac{\mid \Gamma, B, \gamma, \Delta}{\mid \Gamma, \Gamma, B, \gamma, \Delta} \circ_R \qquad \vdots$$

$$\frac{\Gamma \mid + \Delta}{\Gamma, \exists x, B, \Delta} \quad ?_W \qquad \qquad \frac{\Gamma, B, \beta, \Delta}{\Gamma, \exists B, \Delta} \circ_C \qquad \qquad \frac{\Gamma, B, \Delta}{\Gamma, \exists B, \Delta} \circ_D \qquad \vdots$$

$$\frac{\Gamma, B \mid \Delta}{\Gamma, \exists B, \Delta} \quad ?_W \qquad \qquad \frac{\Gamma, B, \beta, \Delta}{\Gamma, \exists B, \Delta} \circ_C \qquad \qquad \frac{\Gamma, B, \Delta}{\Gamma, \exists B, \Delta} \circ_D \qquad \vdots$$

**Clarifications:** This is an alternate formalization of the sequent style formalization of Linear Logic {16}. **History:** This formalization first appeared in [1].

Entry 17 by: Elaine Pimentel, Harley Eades III

<sup>[1]</sup> A. S. Troelstra. *Lectures on Linear Logic*. Vol. 29. CLSI Lecture Notes. Center for the Study of Language and Information, Stanford, 1992.

# **Intuitionistic Linear Logic (ILL)**

(1987)

STRUCTURAL	$\frac{\Gamma \vdash A  A, \varDelta \vdash B}{\Gamma, \varDelta \vdash B}  (cut) \qquad \frac{\Gamma, A, B, \varDelta \vdash C}{\Gamma, B, A, \varDelta \vdash C}$
Multiplicative	$\frac{\Gamma \vdash A}{\vdash 1}  \frac{\Gamma \vdash A}{\vdash \Gamma, 1 \vdash A}  \frac{\Gamma \vdash A  \varDelta \vdash B}{\vdash \Gamma, \varDelta \vdash A \otimes B}  \frac{\Gamma, A, B \vdash C}{\vdash \Gamma, A \otimes B \vdash C}$
	$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \multimap B} \qquad \frac{\Gamma \vdash A  B, \varDelta \vdash C}{\Gamma, A \multimap B, \varDelta \vdash C}$
Additive	$\frac{\Gamma \vdash A}{\Gamma \vdash A} = \frac{\Gamma \vdash A}{\Gamma \vdash A \otimes B} = \frac{\Gamma, A_i \vdash B}{\Gamma, A_1 \otimes A_2 \vdash B}$
	$\frac{\Gamma \vdash A_i}{\Gamma, 0 \vdash A} \qquad \frac{\Gamma \vdash A_i}{\Gamma \vdash A_1 \oplus A_2} \qquad \frac{\Gamma, A \vdash C  \Gamma, B \vdash C}{\Gamma, A \oplus B \vdash C}$
Exponential	$\frac{!\Gamma \vdash A}{!\Gamma \vdash !A} \qquad \frac{\Gamma \vdash B}{\Gamma, !A \vdash B} \qquad \frac{\Gamma, A \vdash B}{\Gamma, !A \vdash B} \qquad \frac{\Gamma, !A, !A \vdash B}{\Gamma, !A \vdash B}$

Clarifications: Succedents are single formulas. Antecedents are ordered list of formulas. If  $\Gamma$  is the list  $A_1, \ldots, A_n$  of formulas, ! $\Gamma$  denotes the list ! $A_1, \ldots, A_n$ . First order quantifiers can be added with rules similar to LJ {3}. Conversely, removing the exponential rules leads to the intuitionistic multiplicative additive linear logic (IMALL). And by further removing the additive rules, the intuitionistic multiplicative linear logic (IMLL) [1] is obtained.

**History:** Introduced by Girard and Lafont in [2] as intuitionistic variant of **LL** {16}. **ILL** has multiple applications in categorical logic.

**Remarks:** Enjoys cut elimination [2].

<sup>[1]</sup> Grigorii Efroimovich Mints. "Closed categories and the theory of proofs". In: *Zapiski Nauchnykh Seminarov POMI* 68 (1977), pp. 83–114.

<sup>[2]</sup> Jean-Yves Girard and Yves Lafont. "Linear Logic and Lazy Computation". In: *Theory and Practice of Software Development*. 1987, pp. 52–66.

### **Proof Nets for MLL**

(1987)

**Links:** 
$$\frac{axiom}{A^{\perp} \quad A}$$
  $\frac{A \quad A^{\perp}}{cut}$   $\frac{A \quad B}{A \otimes B}$   $\frac{A \quad B}{A \otimes B}$ 

**Proof Structure**  $\mathcal{R}(R,L)$ : a nonempty set R of formula occurrences, with a set L of links, such that each  $A \in R$  is a conclusion of *exactly one* link and a premise of *at most one* link. If A is not a premise, then it is a **conclusion of**  $\mathcal{R}$ . (*Cut* links behave like *times* links with conclusion  $A \otimes A^{\perp}$ .)

**Switching** s: a choice for every par link  $\ell$  of one premise,  $s(\ell)$ = 'left' or 'right'.

**Switching graph**  $s\mathcal{R}$ : an undirected graph (R, E) with edges E as follows:

$$A \stackrel{ax}{\longrightarrow} A^{\perp} \stackrel{A}{\longrightarrow} \stackrel{A}{\longrightarrow} \stackrel{A}{\longrightarrow} \stackrel{B}{\longrightarrow} \stackrel{A}{\longrightarrow} \stackrel{left}{\longrightarrow} \stackrel{B}{\longrightarrow} \stackrel{A}{\longrightarrow} \stackrel{right}{\longrightarrow} \stackrel{B}{\longrightarrow} \stackrel{R}{\longrightarrow} \stackrel{R}$$

**Proof net:** A proof structure  $\mathcal{R}$  such that for every switching s the graph  $s\mathcal{R}$  is *acyclic* and *connected* (Danos Regnier's *correctness criterion* [2]).

### **Clarifications:**

- 1. The forest of sub-formulas of a multiset  $\Gamma = C_1, \dots, C_n$ , with a partition of the leaves in unordered pairs  $(p, p^{\perp})$  is a cut-free proof-structure. Also  $A = \frac{axiom}{cut} A^{\perp}$  is a proof structure.
- 2. Proof nets are canonical representations of **MLL**<sup>-</sup> sequent calculus proofs and solve the proof identity problem for **MLL**<sup>-</sup> in linear time. The *desequentialization map* ()<sup>-</sup> identifies sequent calculus derivations  $d_1$  and  $d_2$  that differ only by permutations of inferences:

( 
$$+\overline{A},\overline{A^{\perp}}$$
 ) =  $\frac{axiom}{A A^{\perp}}$   $\frac{d_1}{(+\Gamma,A \otimes B,\Delta)} = \frac{d_2}{(+\Gamma,A \otimes B,\Delta)} = \frac{(d_1)^{-}(d_2)^{-}}{(+\Gamma,A \otimes B,\Delta)} = \frac{(d_1)^{-}(d_2)^{-}}{(+\Gamma,A \otimes B,\Delta)} = \frac{(d_1)^{-}(d_2)^{-}}{(+\Gamma,A \otimes B,\Delta)} = \frac{A B}{(+\Gamma,A \otimes B,\Delta)} = \frac$ 

3.  $\mathcal{R}_1(R_1, L_1)$  is a subnet of  $\mathcal{R}_2(R_2, L_2)$  if  $R' \subseteq R$  and  $L_1 = L_2|_{R_1}$ .

**Lemma 1.** Let  $\mathcal{R}_1$  and  $\mathcal{R}_2$  be subnets of  $\mathcal{R}$  with  $\mathcal{R}_1 \cap \mathcal{R}_2 \neq \emptyset$ . Then  $\mathcal{S} = \mathcal{R}_1 \cup \mathcal{R}_2$  is a subnet of  $\mathcal{R}$ . **Proof.** Since any  $s\mathcal{R}$  is acyclic, so is its subgraph  $s\mathcal{S}$ . Given  $A \in \mathcal{R}_1$ ,  $B \in \mathcal{R}_2$  and  $C \in (\mathcal{R}_1 \cap \mathcal{R}_2)$ , A is connected to C in  $\mathcal{R}_1$  and C is connected to B in  $\mathcal{R}_2$ , so A is connected to C in C. **qed** 

The empire eA, for  $A \in \mathcal{R}$ , is the largest subnet having A as a conclusion. If  $s_A\mathcal{R}$  is the subgraph of  $s\mathcal{R}$  with the vertex A as root, then  $eA = \bigcap_s s_A \mathcal{R}$ . The kingdom kA of A is the smallest subnet having A as conclusion.

**Lemma 2.** Let  $\ell_1$  and  $\ell_2$  be links in  $\mathcal{R}$  with conclusions  $A_0 \otimes A_1$  and  $C_0 \otimes C_1$ , respectively. If  $C_i \in eA_j$  but  $C_0 \otimes C_1 \notin eA_j$  then  $A_0 \otimes A_1 \in k(C_0 \otimes C_1)$ .

$$\begin{array}{cccc} \vdots & & & & e\mathbf{A_1} \\ \vdots & \vdots & & & & \vdots \vdots \\ \ell_1 & \frac{A_0 & \mathbf{A_1}}{A_0 \otimes A_1} & & k(C_0 \wp C_1) & & \ell_2 & \frac{\mathbf{C_0} & C_1}{C_0 \wp C_1} \end{array}$$

**Proof.** Let  $C_0 \in eA_1$ ; clearly  $C_0 \in k(C_0 \wp C_1)$  so  $S = eA_1 \cup k(C_0 \wp C_1) \neq \emptyset$  and by Lemma 1 is a subnet. Suppose  $A_0 \otimes A_1$  does not belong to  $k(C_0 \wp C_1)$ ; then S has  $A_1$  as conclusion and is larger than  $eA_1$ , a contradiction. **qed** 

**Sequentialization Theorem.** If  $\mathcal{R}$  is a proof net for MLL<sup>-</sup> with conclusions *Gamma*, then a sequent calculus derivation d of  $\vdash$  *Gamma* can be constructed such that  $(d)^- = \mathcal{R}$ .

**Proof sketch.** By induction on the number of links of eA. Terminal par links can be deleted and the result follows from the induction hypothesis. Suppose the non-atomic conclusions of eA are  $A_0 \otimes B_0, \ldots, A_n \otimes B_n$ . We need to find a *splitting link*  $\ell_i$  with conclusion  $A_i \otimes B_i$ , such that by removing  $\ell_i$  the net splits in two disjoint components  $e(A_i)$  and  $e(B_i)$ . We choose an  $\ell_i$  such that  $A_i \otimes B_i$  is not included in  $k(A_j \otimes B_j)$  for  $j \neq i$ . If all conclusions of  $eA_i$  are conclusions of eA, we are done. Otherwise let  $\ell$  be a link such that a premise C is in  $eA_i$ , but the conclusion is not. Then  $\ell$  must be a par link with conclusion, say,  $C \otimes D$ . By Lemma  $A_i \otimes B_i \in k(C \otimes D)$ . But  $A_i \otimes B_i \in k(C \otimes D)$  must occur above a link  $A_i \otimes B_i \in k(C \otimes D) \subset k(A_i \otimes B_i)$  contrary to the choice of  $A_i \otimes B_i \in k(C \otimes D) \subset k(A_i \otimes B_i)$  contrary to the choice of  $A_i \otimes B_i \in k(C \otimes D)$ 

**History:** Proof nets for **MLL**<sup>-</sup> were introduced by J-Y.Girard in 1987 [1]. Simplifications were given by Danos and Regnier [2] and in [3]. Since then many systems of proof nets were found for larger fragments of linear logic, with additives (D. Hughes and R. van Glabbek) and for variants of linear logic, with *Mix* (A. Fleury, C. Retoré, G. Bellin) and F. Lamarche's *essential nets* for intuitionistic linear logic). In 1999 S. Guerrini showed that correctness of multiplicative proof-nets without units is linear. For **MLL** *with the units* proof-nets are non-canonical with respect to permutation of inferences in the sequent calculus. In 2014 W. Heijltjes and R. Houston showed that the identity problem for **MLL** proofs is PSPACE complete.

<sup>[1]</sup> J-Y. Girard. "Linear Logic". In: Theoretical Computer Science 50 (1987), pp. 1–202.

<sup>[2]</sup> V. Danos and L. Regnier. "The structure of multiplicatives". In: Arch. Math. Logic 28 (1989).

<sup>[3]</sup> G. Bellin and J. van de Wiele. "Subnets of Proof-nets in MLL-". In: *Advances in Linear Logic*. London Math. Soc. L.N.S. 222 CUP, 1995, pp. 249–270.

Clarifications: *Pure type systems* (PTS) are a general class of typed  $\lambda$  calculus. They represent logical systems through the Curry-Howard correspondence and the "propositions as types" interpretation. The syntax is given by the grammar:  $\mathcal{T} := \mathcal{V} \mid \mathcal{C} \mid \Pi \mathcal{V} : \mathcal{T}.\mathcal{T} \mid \mathcal{X} \mathcal{V} : \mathcal{T}.\mathcal{T} \mid \mathcal{T} \mathcal{T}$ 

where  $\mathcal{V}$  is a set of variables and  $\mathcal{C}$  is a set of constants. A PTS is parameterized by a *specification*  $(\mathcal{S}, \mathcal{A}, \mathcal{R})$  where  $\mathcal{S} \subseteq \mathcal{C}$  is the set of *sorts*,  $\mathcal{A} \subseteq \mathcal{C} \times \mathcal{S}$  is the set of *axioms*, and  $\mathcal{R} \subseteq \mathcal{S} \times \mathcal{S} \times \mathcal{S}$  is the set of *rules*.

**History:** Pure type systems were independently introduced by Berardi and Terlouw as a generalization of systems of the  $\lambda$  cube, and further developed and popularized by Barendregt, Geuvers, Nederhof [1, 2, 3, 4]. Many important systems can be expressed as PTSs, including simply typed  $\lambda$  calculus ( $\lambda \rightarrow$ ),  $\lambda \Pi$  calculus {28} ( $\lambda P$ ), system F {11} ( $\lambda 2$ ), and the calculus of constructions ( $\lambda C$ ):

$$\mathcal{S} = \{*, \square\} \qquad \mathcal{H} = \{(*, \square)\} \qquad \mathcal{R}_{\rightarrow} = \{(*, *, *)\}$$
 
$$\mathcal{R}_{P} = \mathcal{R}_{\rightarrow} \cup \{(*, \square, \square)\} \qquad \mathcal{R}_{2} = \mathcal{R}_{\rightarrow} \cup \{(\square, *, *)\} \qquad \mathcal{R}_{C} = \mathcal{R}_{P} \cup \mathcal{R}_{2} \cup \{(\square, \square, \square)\}$$

as well as intuitionistic higher-order logic ( $\lambda HOL$ ). Pure type systems form the basis of many proof assistants such as Automath, Lego, Coq, Agda, and Matita.

**Remarks:** Soundness and decidability of type checking in PTSs are closely related to *strong normalization* (SN), i.e. the property that all well-typed terms terminate. Not all pure type systems are SN. Examples of PTSs that are *not* SN (and are therefore inconsistent) are Girard's system U and the universal PTS  $\lambda*$ :

$$S = \{*\}$$
  $\mathcal{A} = \{(*, *)\}$   $\mathcal{R} = \{(*, *, *)\}$ 

- [1] Henk Barendregt. "Introduction to generalized type systems". In: *Journal of Functional Programming* 1.2 (1991), pp. 125–154.
- [2] Herman Geuvers and Mark-Jan Nederhof. "Modular proof of strong normalization for the calculus of constructions". In: *Journal of Functional Programming* 1.2 (1991), pp. 155–189.

- [3] Henk Barendregt. "Lambda Calculi with Types". In: *Handbook of Logic in Computer Science*. Ed. by Samson Abramsky, Dov M. Gabbay, and Thomas S. E. Maibaum. Vol. 2. Oxford University Press, 1992, pp. 117–309.
- [4] Herman Geuvers. "Logics and type systems". PhD thesis. University of Nijmegen, 1993.

$$\frac{\Gamma \vdash L : A \mid \Delta \quad \Gamma', y : A \vdash \Delta'}{\Gamma, \Gamma' \vdash \Delta \mid [t/y] \Delta'} Cut$$

$$\frac{\Gamma \vdash \Delta}{\Gamma, x : \top \vdash | \text{let } x \text{be} * \text{in} \Delta} \top_{L} \qquad \frac{\Gamma \vdash L : A \mid \Delta \quad \Gamma', y : A \vdash \Delta'}{\Gamma \vdash R : \top} \top_{R}$$

$$\frac{\Gamma, x : A, y : B \vdash \Delta}{\Gamma, z : A \otimes B \vdash | \text{let } z \text{be} x \otimes y \text{in} \Delta} \otimes_{L} \qquad \frac{\Gamma \vdash t_{1} : A \mid \Delta \quad \Gamma' \vdash t_{2} : B \mid \Delta'}{\Gamma, \Gamma' \vdash t_{1} \otimes t_{2} : A \otimes B \mid \Delta \mid \Delta'} \otimes_{R}$$

$$\frac{\Gamma \vdash t : A \mid \Delta \quad \Gamma', x : B \vdash t_{i} : C_{i}}{\Gamma, y : A \multimap B, \Gamma' \vdash [yt/x]t_{i} : C_{i} \mid \Delta} \multimap_{L} \qquad \frac{\Gamma, x : A \vdash t : B \quad x \notin FV(\Delta)}{\Gamma \vdash \lambda x . t : A \multimap B \mid \Delta} \multimap_{R}$$

$$\frac{\Gamma, x : A \vdash t_{i} : C_{i} \quad \Gamma', y : B \vdash t_{j} : D_{j}}{\Gamma, \Gamma', z : A \not B \mid B \vdash \text{let-pat} z(x \not B) \vdash \lambda'} \otimes_{R}$$

$$\frac{\Gamma \vdash \Delta \mid t_{1} : A \mid t_{2} : B \mid \Delta'}{\Gamma \vdash \Delta \mid t_{1} : B \mid \Delta'} \otimes_{R}$$

Clarifications: Both the left-hand and right-hand sides of sequents above are multisets of formulas, denoted  $\Gamma$  and  $\Delta$ . The terms annotating formulas are standard terms used in the simply typed  $\lambda$ -calculus. Capture avoiding substitution is denoted by [t/x]t', and uniformly replaces every occurrence of x in t' with t. The definition of the let-pattern function used in the rule  $\Re_L$  is defined as follows:

let-pat
$$z(x \mathcal{V} -) t = t$$
 let-pat $z(-\mathcal{V} y) t = t$  let-pat $z p t = \text{let} z \text{be } p \text{ in } t$   
where  $x \notin FV(t)$  where  $y \notin FV(t)$ 

We denote vectors of terms (resp. types) by  $t_i$  (resp.  $A_j$ ). The function  $FV(\Delta)$  constructs the set of all free variables in each term found in  $\Delta$ .

**History:** The original formulation of FILL by Valeria de Paiva in her thesis [1] did not satisfy cut-elimination, as shown by Schellinx. Martin Hyland and Valeria de Paiva [2] added a term assignment system to cope with the notion of dependency in the right implication rule and obtain cut-elimination. However, there was still a mistake in the par rule in [2], which was corrected independently, with different proof methods, by Bierman [3], Bellin [4], Brauner/dePaiva [5], dePaiva/Ritter [6]. The version here is the minimal modification suggested by Bellin, (who used proofnets), but using a traditional term assignment, as described in Eades/dePaiva [7].

- [1] Valeria de Paiva. "The Dialectica Categories". PhD thesis. University of Cambridge, 1990.
- [2] Martin Hyland and Valeria de Paiva. "Full intuitionistic linear logic (extended abstract)". In: *Annals of Pure and Applied Logic* 64.3 (1993), pp. 273–291.
- [3] Gavin Bierman. "A note on full intuitionistic linear logic". In: *Annals of Pure and Applied Logic* 79.3 (1996), pp. 281–287.

- [4] Gianluigi Bellin. "Subnets of proof-nets in multiplicative linear logic with MIX". In: *Mathematical Structures in Computer Science* 7 (Dec. 1997), pp. 663–669.
- [5] Torben Braüner and Valeria de Paiva. "A formulation of linear logic based on dependency-relations". In: *Computer Science Logic*. Ed. by Mogens Nielsen and Wolfgang Thomas. Vol. 1414. Lecture Notes in Computer Science. Springer Berlin Heidelberg, 1998, pp. 129–148.
- [6] Valeria de Paiva and Eike Ritter. "A Parigot-style Linear Lambda-calculus for Full Intuitionistic Linear Logic". In: *Theory and Applications of Categories* 17.3 (2006), pp. 127–152.
- [7] Harley Eades III and Valeria de Paiva. "Multiple Conclusion Intuitionistic Linear Logic and Cut Elimination". http://metatheorem.org/papers/FILL-cut-report.pdf.

$$\frac{C \vee \neg u \approx v}{C\sigma} \ \ Equality \ Resolution$$
 
$$\frac{D \vee u \approx u' \quad C \vee \neg t[v] \approx t'}{(D \vee C \vee \neg t[u'] \approx t')\sigma} \ \ Negative \ Superposition$$
 
$$\frac{D \vee u \approx u' \quad C \vee t[v] \approx t'}{(D \vee C \vee t[u'] \approx t')\sigma} \ \ Positive \ Superposition$$
 plus either 
$$\frac{D \vee u \approx u' \quad C \vee s \approx s' \vee t \approx t'[v]}{(D \vee C \vee s \approx s' \vee t \approx t'[u'])\sigma} \ \ Merging \ Paramodulation$$
 
$$\frac{C \vee s \approx u \vee t \approx v}{(C \vee s \approx u)\sigma} \ \ Ordered \ Factoring$$
 or 
$$\frac{C \vee v \approx v' \vee u \approx u'}{(C \vee \neg u' \approx v' \vee v \approx v')\sigma} \ \ Equality \ Factoring$$

C,D are (possibly empty) equational clauses, s,s',t,t',u,u',v,v' are terms, u and v (and, for *Ordered Factoring* and *Merging Paramodulation*, s and t) are unifiable with most general unifier  $\sigma$ . In all binary inferences, v is not a variable.

Except for the last but one literal in *Equality Factoring* and *Merging Paramodulation* inferences, every literal involved in some inference is maximal in the respective premise (strictly maximal, if the literal is positive and the inference is binary). In every literal involved in some inference (except *Equality Resolution*), the lhs is strictly maximal. Optionally, ordering restrictions can be overridden by *selection functions*.

For simplicity, it is assumed that the equality predicate  $\approx$  is the only predicate symbol in the signature. Non-equational atoms  $P(t_1,...,t_n)$  can be encoded as equations  $P(t_1,...,t_n) \approx true$ .

**Clarifications:** Superposition is a refutational saturation calculus for first-order clauses (disjunctions of possibly negated atoms) with equality. The inference rules are supplemented by a redundancy criterion that permits to delete clauses that are unnecessary for deriving a contradiction during the saturation, see {23}.

**History:** The superposition calculus [1, 2] by Bachmair and Ganzinger refines the paramodulation calculus {9}. It uses a syntactic ordering on terms and literals to restrict the paramodulation inference rules in such a way that only (strictly) maximal sides of (strictly) maximal literals participate in inferences, thus combining the restrictions of ordered resolution {8} and unfailing completion {10}. In order to preserve refutational completeness, one new inference rule must be added – either the *Merging Paramodulation* rule [1] or the *Equality Factoring* rule originally due to Nieuwenhuis (which then subsumes *Ordered Factoring*).

The superposition calculus is the basis of most current automated theorem provers for full first-order logic with equality, such as E, SPASS, or Vampire. The calculus and the *model construction* technique used to prove its refutational completeness have been a prototype for numerous refinements, such as constraint superposition {25}, theory superposition {33}, or hierarchic superposition {26}.

**Remarks:** The superposition calculus is refutationally complete for first-order logic with equality. For certain fragments of first-order logic with equality, there exist strategies that guarantee termination of the calculus, turning superposition into a decision procedure for these fragments.

[1] Leo Bachmair and Harald Ganzinger. "Completion of First-Order Clauses with Equality by Strict Superposition (Extended Abstract)". In: *Conditional and Typed Rewriting Systems, 2nd International Workshop.* Ed. by Stéphane Kaplan and Mitsuhiro Okada. LNCS 516. Springer, 1990, pp. 162–180.

[2] Leo Bachmair and Harald Ganzinger. "Rewrite-based Equational Theorem Proving with Selection and Simplification". In: *Journal of Logic and Computation* 4.3 (1994), pp. 217–247.

## **Saturation With Redundancy**

(1990)

**Primary Rules** 

$$\frac{N \quad N \models C}{N \cup \{C\}} \ Deduction$$

$$\frac{N \cup \{C\} \quad C \ R\text{-redundant w. r. t. } N}{N} \ Deletion$$

**Derived Rules** 

$$\frac{N \cup \{C\} \quad N \cup \{C\} \models M \quad C \ \mathcal{R}\text{-redundant w. r. t. } N \cup M}{N \cup M} \ \textit{Simplification}$$

is a shorthand for

$$\frac{N \cup \{C\} \quad N \cup \{C\} \models M}{N \cup \{C\} \cup M} \quad Deduction^+ \quad C \; \mathcal{R}\text{-redundant w. r. t. } N \cup M \\ \hline \qquad \qquad N \cup M \qquad Deletion$$

N and M are finite sets of formulas, C is a formula.

**Clarifications:** This is a meta-inference system for refutational calculi that is parameterized by (1) an entailment relation  $\models$ , (2) an inference system I and (3) a redundancy criterion R for formulas and inferences, such that I-inferences are sound w.r.t.  $\models$ , and such that I-inferences whose conclusion is contained in N are R-redundant w.r.t. N. Note that the *Deduction* rule is not restricted to adding the conclusions of I-inferences from N; fairness, however, requires that every I-inference from persisting formulas must become R-redundant at some point (for instance, by adding its conclusion).

**History:** In theorem proving calculi with a redundancy concept, closure under the inference rules can be replaced by a refined notion of saturation that allows to alternate between derivation of new formulas and elimination of irrelevant formulas (e. g., tautologies and subsumed formulas). The system was introduced by Bachmair and Ganzinger [1] for superposition  $\{22\}$ ; it can be used for most other superposition-like calculi, such as constraint superposition  $\{25\}$ , superposition modulo theories  $\{33\}$ , or hierarchic superposition  $\{26\}$ , with appropriate choices for  $\models$ , I, and R.

[1] Leo Bachmair and Harald Ganzinger. "Completion of First-Order Clauses with Equality by Strict Superposition (Extended Abstract)". In: *Conditional and Typed Rewriting Systems, 2nd International Workshop.* Ed. by Stéphane Kaplan and Mitsuhiro Okada. LNCS 516. Springer, 1990, pp. 162–180.

### **Constructive Classical Logic LC**

(1991)

$$\frac{\vdash \neg P : P}{\vdash \neg P : P} \qquad \frac{\vdash \Gamma : P \qquad \vdash A, \neg P : \Pi}{\vdash \vdash \Gamma, A : \Pi} \qquad \frac{\vdash \Gamma, N : \qquad \vdash A, \neg N : \Pi}{\vdash \vdash \Gamma, A : \Pi}$$

$$\frac{\vdash \Gamma : \Pi}{\vdash \neg \sigma(\Gamma) : \Pi} \qquad \frac{\vdash \Gamma : P}{\vdash \vdash \Gamma, P :} \qquad \frac{\vdash \Gamma, A, A : \Pi}{\vdash \vdash \Gamma, A : \Pi} \qquad \frac{\vdash \Gamma : \Pi}{\vdash \vdash \Gamma, A : \Pi}$$

$$\frac{\vdash \Gamma : P \qquad \vdash A : Q}{\vdash \vdash \Gamma, A : P \land Q} \qquad \frac{\vdash \Gamma, M : \Pi}{\vdash \vdash \Gamma, A, M \land N : \Pi}$$

$$\frac{\vdash \Gamma : P \qquad \vdash A, N :}{\vdash \vdash \Gamma, A : P \land N} \qquad \frac{\vdash \Gamma, M : \qquad \vdash A, N : \Pi}{\vdash \vdash \Gamma, A : M \land Q}$$

$$\frac{\vdash \Gamma, A, B : \Pi}{\vdash \vdash \Gamma, A \lor B : \Pi} \land A \lor B \text{ negative} \qquad \frac{\vdash \Gamma : P}{\vdash \vdash \Gamma : P \lor Q} \qquad \frac{\vdash \Gamma : Q}{\vdash \vdash \Gamma : P \lor Q}$$

$$\neg \neg X = X \qquad \neg (A \land B) = \neg A \lor \neg B \qquad \neg (A \lor B) = \neg A \land \neg B$$
Formulas: 
$$A, B ::= P \mid N$$
Positive formulas: 
$$P, Q ::= X \mid V \mid F \mid P \land Q \mid P \land N \mid M \land Q \mid P \lor Q$$
Negative formulas: 
$$P, Q ::= X \mid V \mid \neg F \mid M \lor N \mid M \lor Q \mid P \lor N \mid M \land N$$

$$\Gamma \text{ and } \Delta \text{ are lists of formulas, and } \Pi \text{ consists of 0 or 1 positive formula.}$$

$$\sigma \text{ is a permutation.}$$

**Clarifications:** Negation is not a connective. It is defined using De Morgan's laws so that  $\neg \neg A = A$ . There are two atomic formulas for truth (a positive one V and a negative one  $\neg F$ ) and two atomic formulas for falsity (a positive one F and a negative one  $\neg V$ ). Sequents have the shape  $\vdash \Gamma$ ;  $\Pi$  where  $\Pi$  is called the stoup.

**History:** LC [2] comes from the analysis of classical logic inside the coherent semantics of linear logic [1] together with the use of the focusing property [3].

**Remarks:** Cut elimination holds. **LK** {2} can be translated into **LC**, but not in a canonical manner. **LC** satisfies constructive properties such as the disjunction property: if  $\vdash$ ;  $P \lor Q$  is provable then  $\vdash$ ;  $P \circ r \vdash$ ; Q as well. **LC** admits a denotational semantics through correlation spaces [2] (a variant of coherence spaces [1]).

- [1] Jean-Yves Girard. "Linear logic". In: Theoretical Computer Science 50 (1987), pp. 1–102.
- [2] Jean-Yves Girard. "A new constructive logic: classical logic". In: *Mathematical Structures in Computer Science* 1.3 (1991), pp. 255–296.
- [3] Jean-Marc Andreoli. "Logic Programming with Focusing Proofs in Linear Logic". In: *Journal of Logic and Computation* 2.3 (1992), pp. 297–347.

### **Constraint Superposition**

$$\frac{C \vee \neg u \approx v \, \llbracket T \rrbracket}{C \, \llbracket T \wedge T'' \rrbracket} \ \ Equality \ Resolution$$
 
$$\frac{D \vee u \approx u' \, \llbracket T' \rrbracket \quad C \vee \neg t[v] \approx t' \, \llbracket T \rrbracket}{D \vee C \vee \neg t[u'] \approx t' \, \llbracket T \wedge T' \wedge T'' \rrbracket} \ \ Negative \ Superposition$$
 
$$\frac{D \vee u \approx u' \, \llbracket T' \rrbracket \quad C \vee t[v] \approx t' \, \llbracket T \rrbracket}{D \vee C \vee t[u'] \approx t' \, \llbracket T \wedge T' \wedge T'' \rrbracket} \ \ Positive \ Superposition$$
 
$$\frac{C \vee v \approx v' \vee u \approx u' \, \llbracket T \rrbracket}{C \vee \neg u' \approx v' \vee u \approx u' \, \llbracket T \wedge T'' \rrbracket} \ \ Equality \ Factoring$$

C,D are (possibly empty) equational clauses, T,T',T'' are constraints (i. e., first-order formulas over terms and the binary predicate symbols = and >), t,t',u,u',v,v' are terms. In binary inferences, v is not a variable. The constraint T'' is the conjunction of the unifiability constraint u = v and the ordering constraints that state that the literals involved in the inference are maximal in their premises (except for the last but one literal in *Equality Factoring* inferences), that positive literals involved in a (*Positive or Negative*) Superposition inference are strictly maximal in the respective premise, and that in every literal involved in the inference (except *Equality Resolution*), the lhs is strictly maximal.

Clarifications: Constraint superposition is a refutational saturation calculus for first-order clauses (disjunctions of possibly negated atoms) with equality (denoted by  $\approx$ ). A constrained clause  $C \llbracket T \rrbracket$  represents those ground instances  $C\theta$  for which  $T\theta$  evaluates to true; the initially given clauses are supposed to have a trivial constraint, that is,  $C \llbracket true \rrbracket$ . The inference rules are supplemented by a redundancy criterion that permits to delete constrained clauses that are unnecessary for deriving a contradiction during the saturation, see {23}. In particular, every constrained clause with an unsatisfiable constraint is redundant.

**History:** The idea to use constrained formulas in automated reasoning originated in [1]. There are several reasons to switch from standard superposition {22} to superposition with constrained clauses [2, 3, 5]. First, ordering constraints make it possible to pass on information about the instances for which an inference is actually needed to the derived clauses. Second, working with unifiability constraints rather than computing and applying unifiers avoids future superposition inferences into the substitution part (basic strategy). Finally, in theory calculi, such as [4], unifiability constraints allow to encode a multitude of theory unifiers compactly. **Remarks:** The constraint superposition calculus is refutationally complete for first-order logic with equality, provided that the initially given clauses have only trivial constraints (for ordering constraints, this requirement

**Remarks:** The constraint superposition calculus is refutationally complete for first-order logic with equality, provided that the initially given clauses have only trivial constraints (for ordering constraints, this requirement can be relaxed slightly).

<sup>[1]</sup> Claude Kirchner, Hélène Kirchner, and Michaël Rusinowitch. "Deduction with Symbolic Constraints". In: *Revue d'Intelligence Artificielle* 4.3 (1990), pp. 9–52.

<sup>[2]</sup> Robert Nieuwenhuis and Albert Rubio. "Basic Superposition is Complete". In: *ESOP'92*, 4th European Symposium on Programming. Ed. by Bernd Krieg-Brückner. LNCS 582. Springer, 1992, pp. 371–389.

<sup>[3]</sup> Robert Nieuwenhuis and Albert Rubio. "Theorem Proving with Ordering Constrained Clauses". In: 11th International Conference on Automated Deduction. Ed. by Deepak Kapur. LNAI 607. Springer, 1992, pp. 477–491.

- [4] Robert Nieuwenhuis and Albert Rubio. "AC-superposition with constraints: no AC-unifiers needed". In: *Twelfth International Conference on Automated Deduction*. Ed. by Alan Bundy. LNAI 814. Springer, 1994, pp. 545–559.
- [5] Robert Nieuwenhuis and Albert Rubio. "Theorem Proving with Ordering and Equality Constrained Clauses". In: *Journal of Symbolic Computation* 19.4 (1995), pp. 321–351.

## **Hierarchic Superposition**

(1992/2013)

Abstraction

$$\frac{C[t]}{C[x] \vee \neg x \approx t} \ Abstraction$$

applied exhaustively until no literal contains operator symbols from both  $\Sigma_{\text{Base}}$  and  $\Sigma_{\text{Ext}}$ , followed by saturation under

$$\frac{M \quad M \models_{\text{Base}} \bot}{\bot} \ \textit{Constraint Refutation}$$

and the rules of the standard superposition calculus {22}, where the latter are restricted in such a way that only extension literals participate in inferences and that all unifying substitutions must be simple. C is an equational clause, t is a term, x is a fresh variable, M is a finite set of clauses over  $\Sigma_{\text{Base}}$ .

Clarifications: Hierarchic superposition is a refutational saturation calculus for first-order clauses with equality modulo a base specification (e.g., some kind of arithmetic), for which a decision procedure is available that can be used as a "black-box" in the *Constraint Refutation* rule. The inference rules are supplemented by a redundancy criterion that permits to delete clauses that are unnecessary for deriving a contradiction during the saturation, see {23}.

**History:** The hierarchic superposition calculus [1, 2] works in the framework of hierarchic specifications consisting of a base part and an extension, where the models of the hierarchic specification are those models of the extension clauses that are conservative extensions of some base model. The calculus is refutationally complete, provided that the set of clauses is sufficiently complete after abstraction and that the base specification is compact. An improved variant of the calculus was given in [3]; this calculus uses a weaker form of abstraction that is guaranteed to preserve sufficient completeness but requires an additional abstraction step after each inference.

- [1] Leo Bachmair, Harald Ganzinger, and Uwe Waldmann. "Theorem Proving for Hierarchic First-Order Theories". In: *Algebraic and Logic Programming, Third International Conference*. Ed. by Giorgio Levi and Hélène Kirchner. LNCS 632. Springer, 1992, pp. 420–434.
- [2] Leo Bachmair, Harald Ganzinger, and Uwe Waldmann. "Refutational Theorem Proving for Hierarchic First-Order Theories". In: *Applicable Algebra in Engineering, Communication and Computing* 5.3/4 (1994), pp. 193–212.
- [3] Peter Baumgartner and Uwe Waldmann. "Hierarchic Superposition With Weak Abstraction". In: *Automated Deduction, CADE-24, 24nd International Conference on Automated Deduction.* Ed. by Maria Paola Bonacina. LNAI 7898. Springer, 2013, pp. 39–57.

(1992)

$$\frac{A^a \in \varGamma}{a : \varGamma + A \mid \varDelta} Ax$$

$$\frac{c : \varGamma + A^a, \varDelta}{\mu \alpha . c : \varGamma + A \mid \varDelta} Focus \qquad \frac{p : \varGamma + A \mid \varDelta}{[\alpha] p : \varGamma + \varDelta} Unfocus$$
Introduction rules
$$\frac{p : \varGamma + A_1 \land A_2 \mid \varDelta}{\pi_1(p) : \varGamma + A_i \mid \varDelta} \wedge_E^i \qquad \frac{p_1 : \varGamma + A_1 \mid \varDelta}{(p_1, p_2) : \varGamma + A_1 \land A_2 \mid \varDelta} \wedge_I$$

$$\frac{p : \varGamma + A_1 \lor A_2 \mid \varDelta}{\pi_1(p) : \varGamma + A_i \mid \varDelta} \wedge_E^i \qquad \frac{p_1 : \varGamma + A_1 \mid \varDelta}{(p_1, p_2) : \varGamma + A_1 \land A_2 \mid \varDelta} \wedge_I$$

$$\frac{p : \varGamma + A_1 \lor A_2 \mid \varDelta}{\operatorname{case} p \text{ of } [a_1 \Rightarrow p_1 \mid a_2 \Rightarrow p_2] : \varGamma + C \mid \varDelta} \vee_E$$

$$\frac{q : \varGamma + A_i \mid \varDelta}{\iota_i(q) : \varGamma + A_1 \lor A_2 \mid \varDelta} \vee_I^i$$

$$\frac{p : \varGamma + A \Rightarrow B \mid \varDelta}{pq : \varGamma + B \mid \varDelta} \to_E \qquad \frac{p : \varGamma, A^a + B \mid \varDelta}{\lambda a.p : \varGamma + A \Rightarrow B \mid \varDelta} \to_I$$

$$\frac{p : \varGamma + \exists xA \mid \varDelta}{\operatorname{dest} p \text{ as } (y, a) \text{ in } q : \varGamma + C \mid \varDelta} \to_E \qquad \frac{p : \varGamma + A[t/x] \mid \varDelta}{(t, p) : \varGamma + \exists xA \mid \varDelta} \to_I$$

$$\frac{p : \varGamma + \forall xA \mid \varDelta}{pt : \varGamma + A[t/x] \mid \varDelta} \forall_E \qquad \frac{p : \varGamma + A[y/x] \mid \varDelta}{\lambda y.p : \varGamma + \forall xA \mid \varDelta} \forall_I$$

$$\frac{p : \varGamma + \bot \mid \varDelta}{\operatorname{efq} p : \varGamma + C \mid \varDelta} \bot_E \qquad \frac{\varGamma + \Gamma() : \varGamma \mid \varDelta}{\varGamma + \Gamma() : \varGamma \mid \varDelta} \vdash_I$$

**Clarifications:** There are two kinds of sequents: first  $p : \Gamma \vdash A \mid \Delta$  with a distinguished formula on the right for typing the so-called *unnamed* term p, second  $c : \Gamma \vdash \Delta$  with no distinguished formula for typing the so-called *named* term c. The syntax of the underlying  $\lambda \mu$ -calculus is:

$$c ::= [\alpha]p$$

$$p,q ::= a \mid \mu\alpha.c \mid (p,p) \mid \pi_i(p) \mid \iota_i(p) \mid \text{case } p \text{ of } [a_1 \Rightarrow p_1 \mid a_2 \Rightarrow p_2]$$

$$\mid \lambda a.p \mid pq \mid \lambda x.p \mid pt \mid (t,p) \mid \text{dest } p \text{ as } (x,a) \text{ in } q \mid () \mid \text{efq } p$$

The variables used for referring to assumptions in  $\Gamma$  and to conclusions in  $\Delta$  range over distinct classes (denoted by Latin and Greek letters respectively). In the rules  $\exists_E$  (resp.  $\forall_I$ ), y is assumed fresh in  $\Gamma$ ,  $\Delta$  and  $\exists xA$  (resp.  $\forall xA$ ).

**History:** This system, defined in Parigot [4], highlights that classical logic in natural deduction can be obtained from allowing several conclusions with contraction and weakening on the right of the sequent, as in Gentzen's LK. Additionally, the system assigns to this form of classical reasoning a computational content, based on the  $\mu$  and bracket operator which provides with a fine-grained decomposition of the operators call-cc (from Scheme/ML) or C (from [2]) that were known at this time to provide computational content to classical logic [3], as well as a decomposition of Prawitz's classical elimination rule of negation [1].

The original presentation [4] only contains implication as well as first-order and second-order universal quantification à la Curry (i.e. without leaving trace of the quantification in the proof-term, what corresponds to computationally interpreting quantification as an intersection type). The presentation above has quantification à la Church (i.e. with an explicit trace in the proof term) what makes the calculus compatible with several reduction strategies such as both call-by-name or call-by-value (see e.g. [8]). Variants with multiplicative disjunctions can be found in [7] or [6], or multiplicative conjunctions in [8].

A standard variant originating in [5] uses only one kind of sequents, interpreting  $c : \Gamma \vdash \Delta$  as  $c : \Gamma \vdash \bot \mid \Delta$  (and hence removing  $\bot_E$  and merging the syntactic categories c and p into one). This variant is logically equivalent to the original presentation (in the presence of  $\bot$ ), but not computationally equivalent [9].

[1] Dag Prawitz. Natural Deduction, a Proof-Theoretical Study. Almqvist and Wiksell, Stockholm, 1965.

- [2] Matthias Felleisen, Daniel P. Friedman, Eugene Kohlbecker, and Bruce F. Duba. "Reasoning with continuations". In: *First Symposium on Logic and Computer Science*. 1986, pp. 131–141.
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- [8] Hugo Herbelin. "C'est maintenant qu'on calcule: au cœur de la dualité". Habilitation thesis. University Paris 11, Dec. 2005.
- [9] Hugo Herbelin and Alexis Saurin.  $\lambda$ -calculus and  $\Lambda$ -calculus: a Capital Difference. Manuscript. 2010.

$$\frac{\Gamma \vdash K \operatorname{\mathbf{kind}} \quad x \notin FV(\Gamma)}{\Gamma, x : K \vdash \operatorname{\mathbf{valid}}} \quad \frac{\Gamma, x : K, \Gamma' \vdash \operatorname{\mathbf{valid}}}{\Gamma, x : K, \Gamma' \vdash x : K} \quad (1)$$

$$\frac{\Gamma \vdash k : K \quad \Gamma \vdash K = K'}{\Gamma \vdash k : K'} \quad \frac{\Gamma \vdash k = k' : K \quad \Gamma \vdash K = K'}{\Gamma \vdash k = k' : K'} \quad (2)^*$$

$$\frac{\Gamma, x : K, \Gamma' \vdash J \quad \Gamma \vdash k : K}{\Gamma, [k/x]\Gamma' \vdash [k/x]J} \quad (3)^{**}$$

$$\frac{\Gamma \vdash K \operatorname{\mathbf{kind}} \quad \Gamma, x : K \vdash K' \operatorname{\mathbf{kind}}}{\Gamma \vdash (x : K)K' \operatorname{\mathbf{kind}}} \quad \frac{\Gamma \vdash K_1 = K_2 \quad \Gamma, x : K_1 \vdash K'_1 = K'_2}{\Gamma \vdash (x : K_1)K'_1 = (x : K_2)K'_2}$$

$$\frac{\Gamma, x : K \vdash k : K'}{\Gamma \vdash [x : K]k : (x : K)K'} \quad \frac{\Gamma \vdash K_1 = K_2 \quad \Gamma, x : K_1 \vdash k_1 = k_2 : K}{\Gamma \vdash [x : K_1]k_1 = [x : K_2]k_2 : (x : K_1)K}$$

$$\frac{\Gamma \vdash f : (x : K)K' \quad \Gamma \vdash k : K}{\Gamma \vdash f(k) : [k/x]K'} \quad \frac{\Gamma \vdash f = f' : (x : K)K' \quad \Gamma \vdash k_1 = k_2 : K}{\Gamma \vdash f(k_1) = f'(k_2) : [k_1/x]K'}$$

$$\frac{\Gamma, x : K \vdash k' : K' \quad \Gamma \vdash k : K}{\Gamma \vdash ([x : K]k')(k) = [k/x]k' : [k/x]K'} \quad \frac{\Gamma \vdash f : (x : K)K' \quad x \notin FV(f)}{\Gamma \vdash (x : K)f(x) = f : (x : K)K'} \quad (4)$$

$$\frac{\Gamma \vdash \operatorname{\mathbf{valid}}}{\Gamma \vdash \operatorname{\mathbf{valid}}} \quad \frac{\Gamma \vdash A : \operatorname{\mathbf{Type}}}{\Gamma \vdash El(A)\operatorname{\mathbf{kind}}} \quad (5)$$

**Clarifications:** We follow [3]. Terms of **LF** are of the forms **Type**, El(A), (x : K)K' (dependent product), [x : K]K' (abstraction), f(k), and judgements of the forms  $\Gamma \vdash \mathbf{valid}$  (validity of context),  $\Gamma \vdash K\mathbf{kind}$ ,  $\Gamma \vdash k : K$ ,  $\Gamma \vdash k = K'$ . Rule groups: (1) rules for contexts and assumptions; (2)\* equality rules (reflexivity, symmetry and transitivity rules are ommitted); (3)\*\* substitution rules (J denotes the right side of any of the five forms of judgement); (4) rules for dependent product kinds; (5) and the kind **Type**.

**History:** First defined in [3], ch. 9, **LF** is a typed version of Martin-Löf's logical framework [1]. In difference from Edinburgh LF it may be used to specify type theories. *E.g.*, theories specified in **LF** were used as basis of proof-assistants Lego and Plastic. Later the system was extended to include coercive subtyping [4, 5, 6].

**Remarks:** The proof-theoretical analysis of **LF** above was used in meta-theoretical studies of larger theories defined on its basis, *e.g.*, UTT (Unifying Theory of dependent Types) that includes inductive schemata, second order logic SOL with impredicative type *Prop* and a hierarchy of predicative universes [3]. H. Goguen defined a typed operational semantics for UTT and proved strong normalization theorem [2]. For **LF** with coercive subtyping conservativity results were obtained [4, 5, 6].

<sup>[1]</sup> B. Nordström, G. Petersson, and J. Smith. *Programming in Martin-Löf's Type Theory: An Introduction*. Oxford, UK: Oxford University Press, 1990.

<sup>[2]</sup> H. Goguen. "A Typed Operational Semantics for Type Theory". PhD thesis. University of Edinburgh, 1994.

<sup>[3]</sup> Zhaohui Luo. Computation and Reasoning. A Type Theory for Computer Science. Oxford, UK: Clarendon Press, 1994.

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- [5] S. Soloviev and Z. Luo. "Coercion Completion and Conservativity in Coercive Subtyping". In: *Annals of Pure and Applied Logic* 113.1-3 (2002), pp. 297–322.
- [6] Z. Luo, S. Soloviev, and T. Xue. "Coercive Subtyping: Theory and Implementation". In: *Information and Computation* 223 (2013), pp. 18–42.

 $\overline{\lambda}$ -calculus (1994)

**Clarifications:** This calculus can be seen as an organization of the rules of Gentzen's intuitionistic sequent calculus in a way such that: there is computational interpretation of proofs as  $\lambda$ -calculus-like terms; there is a simple one-to-one correspondence between cut-free proofs and normal proofs of natural deduction.

The definition of the calculus is based on two kinds of sequents: the sequents  $\Gamma \vdash p : A$  have a focus on the right and are annotated by a program p; the sequents  $\Gamma \colon A \vdash (l) : B$  have an extra focussed formula on the left annotated by a placeholder name  $\cdot$  while the formula on the right is annotated by a program referring to this placeholder. The syntax of the underlying calculus is:

$$(l),(l') ::= () | (p,l) | (l@l') | (l[p/a])$$
  
 $p,q ::= a(l) | \lambda a.p | p(l) | q[p/a]$ 

with () and (p,l) denoting lists of arguments, l@l' denoting concatenation of lists, l[p/a] and p[q/a] denoting explicit substitution, x(l) and p(l) denoting cut-free and non cut-free application, respectively. The first two items of each entry characterize the syntax of cut-free proofs.

**History:** The  $\overline{\lambda}$ -calculus has been designed in [4, 5]. It can be seen as the direct counterpart for sequent calculus of what  $\lambda$ -calculus is for natural deduction, along the lines of the Curry-Howard correspondence between proofs and programs. The idea of focussing a specific formula of the sequent comes from Girard [1] which himself credits it to Andreoli [2] (see also {50}). With proof annotations removed, the calculus can be seen as the intuitionistic fragment LJT of the subsystem LKT of LK [3], with LKT and LKQ representing two dual ways to add asymmetric focus to LK.

Extensions to other connectives than implication can be given. Extensions to classical logic, namely a computational presentation of LKT, can be obtained by adding the  $\mu$  and bracket operators of  $\lambda\mu$ -calculus {27} and by considering instead three kinds of sequents,  $\Gamma \vdash p : A \mid \Delta$ , or  $\Gamma; \cdot : A \vdash \cdot (l) : B$ , or  $c : (\Gamma \vdash \Delta)$  (see [5]). A variant with implicit substitution is possible.

The symmetrization of  $\overline{\lambda}$ -calculus led to  $\mathbf{LK}_{\mu\tilde{\mu}}$  {38}.

<sup>[1]</sup> Jean-Yves Girard. "A new constructive logic: classical logic". In: *Math. Structures in Comp. Science* 1 (1991), pp. 255–296.

- [2] Jean-Marc Andreoli. "Logic Programming with Focusing Proofs in Linear Logic". In: *JLC* 2.3 (1992), pp. 297–347.
- [3] V. Danos, J.-B. Joinet, and H. Schellinx. "LKT and LKQ: sequent calculi for second order logic based upon dual linear decompositions of classical implication". In: *Advances in Linear Logic*. Ed. by J.-Y. Girard, Y. Lafont, and L. Regnier. London Mathematical Society Lecture Note Series 222. Cambridge University Press, 1995, pp. 211–224.
- [4] Hugo Herbelin. "A Lambda-Calculus Structure Isomorphic to Gentzen-Style Sequent Calculus Structure". In: *Computer Science Logic, 8th International Workshop, CSL '94, Kazimierz, Poland, September 25-30, 1994, Selected Papers*. Ed. by Leszek Pacholski and Jerzy Tiuryn. Vol. 933. LNCS. Springer, 1995, pp. 61–75. ISBN: 3-540-60017-5.
- [5] Hugo Herbelin. "Séquents qu'on calcule: de l'interprétation du calcul des séquents comme calcul de λ-termes et comme calcul de stratégies gagnantes". Ph.D. thesis. University Paris 7, Jan. 1995.

$$\frac{A(n) \Rightarrow A/\{n\}}{A(n) \Rightarrow A/\{n\}} ax \qquad \qquad \frac{1}{\bot (n) \Rightarrow A_1/\{n\}, \dots, A_k/\{n\}} \bot \Rightarrow 1$$

$$\frac{\Gamma_1 \Rightarrow \Delta_1, A/S \quad A(n), \Gamma_1 \Rightarrow \Delta_1}{\Gamma_1, \Gamma_1 \Rightarrow \Delta_1, \Delta_1^*} cut \qquad \qquad \frac{\Gamma_1, A(m), B(n), \Gamma_1 \Rightarrow \Delta}{\Gamma_1, B(n), A(m), \Gamma_1 \Rightarrow \Delta} perm \Rightarrow 1$$

$$\frac{\Gamma \Rightarrow \Delta_1, A/S_1, B/S_1, \Delta_1}{\Gamma \Rightarrow \Delta_1, B/S_1, A/S_1, \Delta_1} \Rightarrow perm \qquad \qquad \frac{\Gamma \Rightarrow \Delta}{A(n), \Gamma \Rightarrow \Delta^*} weak \Rightarrow 1$$

$$\frac{\Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta, A/\{s\}} \Rightarrow weak \qquad \qquad \frac{\Gamma, A(n), A(m) \Rightarrow \Delta}{\Gamma, A(k) \Rightarrow \Delta^*} cont \Rightarrow 1$$

$$\frac{\Gamma \Rightarrow \Delta, A/S_1, A/S_1}{\Gamma \Rightarrow \Delta, A/S_1 \cup S_1} \Rightarrow cont \qquad \frac{\Gamma_1, A(n) \Rightarrow \Delta_1}{\Gamma_1, \Gamma_1, (A \lor B)(k) \Rightarrow \Delta_1^*, \Delta_1^*} \lor \Rightarrow 1$$

$$\frac{\Gamma \Rightarrow \Delta, A/S_1, B/S_1}{\Gamma \Rightarrow \Delta, (A \lor B)/S_1 \cup S_1} \Rightarrow \lor \qquad \frac{\Gamma, A(n), B(m) \Rightarrow \Delta}{\Gamma, (A \land B)(k) \Rightarrow \Delta^*} \land \Rightarrow 1$$

$$\frac{\Gamma, A(n) \Rightarrow \Delta, B/S_1}{\Gamma \Rightarrow \Delta, (A \land B)/S_1 \cup S_1} \Rightarrow \land \qquad \frac{\Gamma_1 \Rightarrow \Delta_1, A/S \quad B(n), \Gamma_1 \Rightarrow \Delta_1}{(A \rightarrow B)(n), \Gamma_1, \Gamma_1 \Rightarrow \Delta_1, \Delta_1^*} \to \Rightarrow 1$$

$$\frac{\Gamma, A(n) \Rightarrow \Delta, B/S}{\Gamma \Rightarrow \Delta, (A \rightarrow B)/S - \{n\}} \Rightarrow \to 1$$

Clarifications: Sequents are of the form  $\Gamma \Rightarrow \Delta$  where  $\Gamma$  is a multiset of pairs of formulas and natural number indicies, and  $\Delta$  is a multiset of pairs of formulas and sets of natural number indicies. The set of natural number indicies for a particular conclusion, formula on the right, indicates which hypotheses the conclusion depends on. This dependency tracking is used to enforce intuitionism in the rule  $\Rightarrow \rightarrow$ . See [2] for more details.

**History:** The system FIL was announced in the abstract [1] but only published officially ten years later in [2]. The system was conceived after the remark in the paper describing FILL {21} that intuitionism is about proofs that resemble functions, not about a cardinality constraint in the sequent calculus. The system shows we can use a notion of *dependency between formulae* to enforce the constructive character of derivations. This is similar to an impoverished Curry-Howard term assignment.

- [1] Valeria de Paiva and Luiz C. Pereira. "A New Proof System for Intuitionistic Logic". In: *Bulletin of Symbolic Logic* 1.1 (1995), p. 101.
- [2] Valéria de Paiva and Luiz Pereira. "A Short Note on Intuitionistic Propositional Logic with Multiple Conclusions". In: *Manuscrito* 28.2 (2005), pp. 317–329.

Basic subkinding rule

$$\frac{\Gamma \vdash A <_c B : \mathbf{Type}}{\Gamma \vdash El(A) <_c El(B)}$$

Subkinding for dependent product kinds

$$\frac{\Gamma \vdash K_1' = K_1 \quad \Gamma, x : K_1' \vdash K_2 <_c K_2' \quad \Gamma, x : K_1 \vdash K_2 : \mathbf{kind}}{\Gamma \vdash (x : K_1)K_2 <_{[f:(x:K_1)K_2][x:K_1']c(fx)} (x : K_1')K_2'}$$

$$\frac{\Gamma \vdash K_1' <_c K_1 \quad \Gamma, x : K_1' \vdash [cx/x]K_2 = K_2' \quad \Gamma, x : K_1 \vdash K_2 : \mathbf{kind}}{\Gamma \vdash (x : K_1)K_2 <_{[f:(x:K_1)K_2][x:K_1']f(cx)} (x : K_1')K_2'}$$

$$\frac{\varGamma \vdash K_1' <_{c_1} K_1 \quad \varGamma, x : K_1' \vdash [c_1x/x]K_2 <_{c_2} K_2' \quad \varGamma, x : K_1 \vdash K_2 : \mathbf{kind}}{\varGamma \vdash (x : K_1)K_2 <_{[f:(x:K_1)K_2][x:K_1']c_2(f(c_1x))} (x : K_1')K_2'}$$

Coercive application rules

$$\frac{\Gamma \vdash f : (x : K)K' \quad \Gamma \vdash k_0 : K_0 \quad \Gamma \vdash K_0 <_c K}{\Gamma \vdash f(k_0) : [c(k_0)/x]K'}$$

$$\frac{\Gamma \vdash f(k_0) = f(ck_0) : (x : K)K' \quad \Gamma \vdash k_0 : K_0 \quad \Gamma \vdash K_0 <_c K}{\Gamma \vdash f(k_0) = f'(k_0') : [c(k_0)/x]K'}$$

Coercive definition rule

$$\frac{\Gamma \vdash f : (x : K)K' \quad \Gamma \vdash k_0 : K_0 \quad \Gamma \vdash K_0 <_c K}{\Gamma \vdash f(k_0) : [c(k_0)/x]K'}$$

Structural rules

$$\frac{\Gamma \vdash A <_{c} B \quad \Gamma \vdash A = A' : Type \quad \Gamma \vdash B = B' \quad \Gamma \vdash c = c' : (El(A))El(B)}{\Gamma \vdash A' <_{c'} B'}$$

$$\frac{\Gamma \vdash A <_{c} A' \quad \Gamma \vdash A' <_{c'} A''}{\Gamma \vdash A_{c'cc}A''}$$

$$\frac{\Gamma, x : K, \Gamma' \vdash A <_{c} B \quad \Gamma \vdash k : K}{\Gamma, [k/x]\Gamma' \vdash [k/x]A <_{[k/x]c} [k/x]B} \frac{\Gamma, \Gamma' \vdash A <_{c} B \quad \Gamma, \Gamma'' \vdash \mathbf{valid}}{\Gamma, \Gamma'', \Gamma' \vdash A <_{c} B}$$

$$\frac{\varGamma,x:K,\varGamma'\vdash A<_c B\quad \varGamma\vdash K=K'}{\varGamma,x:K',\varGamma'\vdash A<_c B}$$

**Clarifications:** We follow [5]. In this entry the extensions T[C] of the logical framework **LF**{28} are considered. Here T is a type theory specified in **LF** (formally, an extension of **LF**) and C is a (possibly infinite) set of subtyping judgements of the form  $\Gamma \vdash A <_c B : Type$ . The set C itself may be generated by some user-defined rules. As coercive definition rule above shows, coercive subtyping is considered as

an *abbreviation mechanism*, the expressions without coercions are considered as "abbreviations" of the expressions where coercions are inserted. For corcive subtyping as an abbreviation mechanism, one of central questions is the concervativity of the extension T[C] over T.

The system T[C] is built by "layers" and in this sense may be considered as *hybrid*. Above the rules (except structural rules) of the *subkinding* level are given. The structure (and rules) of the subtyping level, as well as its connection with the subkinding level, are explained below.

First the intermediate system  $T[C]_0$  is defined. The syntax of  $T[C]_0$  is the same as the syntax of T (*i.e.*, type theory specified in **LF**). The rule

$$\frac{\Gamma \vdash A <_{c} B : Type \in C}{\Gamma \vdash A <_{c} B : Type}$$

is added, and the structural subtyping rules given below. They state that the subtyping relation < (annotated by coercion terms c) is congruent, transitive, and closed under substitution, and satisfies the rules of weakening and contextual equality. Similar structural rules are included in the subkinding level above.

Main requirement to the set C (expressed in terms of  $T[C]_0$ ) is *coherence*:

- If  $\Gamma \vdash A <_c B$ : Type then  $\Gamma \vdash A$ : Type,  $\Gamma \vdash B$ : Type and  $\Gamma \vdash c$ : (El(A))El(B).
- $\Gamma \not\vdash A <_c A$ : *Type* for any  $\Gamma, A, c$ .
- If  $\Gamma \vdash A <_c B$ : Type and  $\Gamma \vdash A <_{c'} B$ : Type then  $\Gamma \vdash c = c'$ : (El(A))El(B).

**History:** Coercive subtiping as an abbreviation mechanism was introduced in a conference paper [1]. It was described for type theories specified in Z. Luo's typed **LF** (extensions of **LF**) {28}, but the idea itself is much more general and may apply to other type theories. The approach was further developed in [2, 3, 4, 5].

**Remarks:** The main theorem (justifying the view of coercive subtyping as an abbreviation mechanism) is the conservativity of T[C] w.r.t. the type theory T.

<sup>[1]</sup> Zhaohui Luo. "Coercive subtyping in type theory". In: LNCS (1997). Proc. of CSL'96.

<sup>[2]</sup> A. Jones, Z. Luo, and S. Soloviev. "Some proof-theoretic and algorithmic aspects of coercive subtyping". In: *LNCS* (1998). Proc. of the Annual Conf on Types and Proofs (TYPES'96).

<sup>[3]</sup> Z. Luo. "Coercive subtyping". In: Journal of Logic and Computation 9.1 (1999), pp. 105–130.

<sup>[4]</sup> S. Soloviev and Z. Luo. "Coercion Completion and Conservativity in Coercive Subtyping". In: *Annals of Pure and Applied Logic* 113.1-3 (2002), pp. 297–322.

<sup>[5]</sup> Z. Luo, S. Soloviev, and T. Xue. "Coercive Subtyping: Theory and Implementation". In: *Information and Computation* 223 (2013), pp. 18–42.

# **Sequent Calculus G3c**

(1996)

$$\frac{P,\Gamma \vdash \Delta,P}{A \land B,\Gamma \vdash \Delta} \text{ L} \land \qquad \qquad \frac{L \perp}{\Gamma \vdash \Delta,A \qquad \Gamma \vdash \Delta,B} \text{ R} \land \qquad \qquad \frac{A,B,\Gamma \vdash \Delta}{A \land B,\Gamma \vdash \Delta} \text{ L} \lor \qquad \qquad \frac{\Gamma \vdash \Delta,A,B}{\Gamma \vdash \Delta,A \land B} \text{ R} \lor \qquad \qquad \frac{A,\Gamma \vdash \Delta}{A \lor B,\Gamma \vdash \Delta} \text{ L} \lor \qquad \qquad \frac{\Gamma \vdash \Delta,A,B}{\Gamma \vdash \Delta,A \lor B} \text{ R} \lor \qquad \qquad \frac{\Gamma \vdash \Delta,A \qquad B,\Gamma \vdash \Delta}{A \to B,\Gamma \vdash \Delta} \text{ L} \to \qquad \frac{A,\Gamma \vdash \Delta,B}{\Gamma \vdash \Delta,A \to B} \text{ R} \to \qquad \qquad \frac{\forall xA,A[x/t],\Gamma \vdash \Delta}{\forall xA,\Gamma \vdash \Delta} \text{ L} \lor \qquad \qquad \frac{\Gamma \vdash \Delta,A[x/y]}{\Gamma \vdash \Delta,\forall xA} \text{ R} \lor \qquad \qquad \frac{A[x/y],\Gamma \vdash \Delta}{\exists xA,\Gamma \vdash \Delta} \text{ L} \ni \qquad \qquad \frac{\Gamma \vdash \Delta,A[x/t],\exists xA}{\Gamma \vdash \Delta,\exists xA} \text{ R} \ni \qquad \qquad P \text{ should be atomic in Ax and y should not be free in the conclusion of RV and L} =$$

**Clarifications:** Sequents are based on multisets. A formula A[x/t] is the result of uniformly substituting the term t for the variable x in A, renaming bound variables to prevent clashes with the variables in t.

**Remarks:** G3c is sound and complete w.r.t. classical first-order logic. Weakening and contraction are depth-preserving admissible and all rules are depth-preserving invertible.

<sup>[1]</sup> Anne Sjerp Troelstra and Helmut Schwichtenberg. *Basic Proof Theory*. 2nd ed. Vol. 43. Cambridge Tracts In Theoretical Computer Science. Cambridge University Press, 2000.

Cancellative rules (for simplicity, the ground versions are given; the non-ground rules are obtained by lifting):

$$\frac{C \vee \neg t \approx t}{C} \quad Equality \ Resolution$$
 
$$\frac{C \vee [\neg] nu + t \approx mu + s}{C \vee [\neg] (n - m)u + t \approx s} \quad Cancellation$$
 
$$\frac{D \vee mu + s \approx s' \quad C \vee [\neg] nu + t \approx t'}{D \vee C \vee [\neg] (n - m)u + t + s' \approx t' + s} \quad Cancellative \ Superposition$$
 
$$\frac{C \vee nu + s \approx s' \vee nu + t \approx t'}{C \vee \neg s + t' \approx s' + t \vee nu + t \approx t'} \quad Cancellative \ Equality \ Factoring$$

plus, if there are any non-constant function symbols besides +, the rules of the standard superposition calculus {22} and

$$\frac{C \vee [\neg]w[nu+t] \approx w'}{C \vee \neg x \approx nu+t \vee [\neg]w[x] \approx w'} \ Abstraction$$

C,D are (possibly empty) equational clauses, s,s',t,t' are terms, u is an atomic term, n,m are positive integers. Every literal involved in some inference is maximal in the respective premise (except for the last but one literal in *Equality Factoring* inferences). A positive literal involved in a *Superposition* inference is strictly maximal in the respective clause. In every literal involved in a cancellative inference (except *Equality Resolution*), the term u is the maximal atomic term.

**Clarifications:** Cancellative superposition is a refutational saturation calculus for first-order clauses containing the axioms of cancellative abelian monoids or abelian groups. The inference rules are supplemented by a redundancy criterion that permits to delete clauses that are unnecessary for deriving a contradiction during the saturation, see {23}.

**History:** As a naïve handling of axioms like commutativity or associativity in an automated theorem prover leads to an explosion of the search space, there has been a lot of interest in incorporating specialized techniques into general proof systems to work efficiently within standard algebraic theories. The cancellative superposition calculus [9] shown above is one example of a saturation calculus with a built-in algebraic theory. By using dedicated inference rules, explicit inferences with the theory axioms become superfluous; moreover variable elimination techniques and strengthened ordering restrictions and redundancy criteria lead to a significant reduction of the search space. The cancellative superposition calculus is refutationally complete for first-order logic modulo cancellative abelian monoids.

Other examples for "white-box" theory integration include calculi for dealing with associativity and commutativity [1, 3, 8, 5], superposition modulo abelian groups [12], chaining calculi [2, 4, 7, 6], or superposition modulo divisible torsion-free abelian groups or ordered divisible abelian groups [11, 10].

- [1] Gordon D. Plotkin. "Building-in Equational Theories". In: *Machine Intelligence 7*. Ed. by Bernard Meltzer and Donald Michie. American Elsevier, 1972. Chap. 4, pp. 73–90.
- [2] James R. Slagle. "Automatic Theorem Proving with Built-In Theories Including Equality, Partial Ordering, and Sets". In: *Journal of the ACM* 19.1 (1972), pp. 120–135.

- [3] James R. Slagle. "Automated Theorem-Proving for Theories with Simplifiers, Commutativity, and Associativity". In: *Journal of the ACM* 21.4 (1974), pp. 622–642.
- [4] Larry M. Hines. "Completeness of a Prover for Dense Linear Logics". In: *Journal of Automated Reasoning* 8 (1992), pp. 45–75.
- [5] Leo Bachmair and Harald Ganzinger. "Associative-Commutative Superposition". In: *Conditional and Typed Rewriting Systems, 4th International Workshop, CTRS-94*. Ed. by Nachum Dershowitz and Naomi Lindenstrauss. LNCS 968. Springer, 1994, pp. 1–14.
- [6] Leo Bachmair and Harald Ganzinger. "Ordered Chaining for Total Orderings". In: *Twelfth International Conference on Automated Deduction*. Ed. by Alan Bundy. LNAI 814. Springer, 1994, pp. 435–450.
- [7] Leo Bachmair and Harald Ganzinger. "Rewrite Techniques for Transitive Relations". In: *Ninth Annual IEEE Symposium on Logic in Computer Science*. IEEE Computer Society Press, 1994, pp. 384–393.
- [8] Michaël Rusinowitch and Laurent Vigneron. "Automated Deduction with Associative-Commutative Operators". In: *Applicable Algebra in Engineering, Communication and Computing* 6.1 (1995), pp. 23–56.
- [9] Harald Ganzinger and Uwe Waldmann. "Theorem Proving in Cancellative Abelian Monoids (Extended Abstract)". In: Automated Deduction – CADE-13, 13th International Conference on Automated Deduction. Ed. by Michael A. McRobbie and John K. Slaney. LNAI 1104. Springer, 1996, pp. 388– 402.
- [10] Uwe Waldmann. "Superposition and Chaining for Totally Ordered Divisible Abelian Groups (Extended Abstract)". In: *Automated Reasoning, First International Joint Conference, IJCAR 2001*. Ed. by Rajeev Goré, Alexander Leitsch, and Tobias Nipkow. LNAI 2083. Springer, 2001, pp. 226–241.
- [11] Uwe Waldmann. "Cancellative Abelian Monoids and Related Structures in Refutational Theorem Proving (Part I & II)". In: *Journal of Symbolic Computation* 33.6 (2002), pp. 777–861.
- [12] Guillem Godoy and Robert Nieuwenhuis. "Superposition with completely built-in Abelian groups". In: *Journal of Symbolic Computation* 37.1 (2004), pp. 1–33.

Boolean rules 
$$\frac{\Gamma, A, \neg A \bullet}{\Gamma, A, \neg A, \bot \bullet} \text{ ($\bot$)} \qquad \frac{\Gamma, A \land B \bullet}{\Gamma, A \land B, A, B, \bullet} \text{ ($\land$)} \qquad \frac{\Gamma, A_1 \lor A_2 \bullet}{\Gamma, A_1 \lor A_2, A_i \bullet} \text{ ($\lor$)}$$
Diamond rule 
$$\frac{\Gamma, \Diamond A \bullet}{\Gamma, \Diamond A \bullet \longrightarrow \bullet A} \text{ ($\Diamond$)}$$
Propagation rules 
$$\frac{\Gamma, \Box A \bullet}{\Gamma, \Box A, \bullet} \text{ ($T$)} \qquad \frac{\Gamma, \Box A \bullet \longrightarrow \bullet A}{\Gamma, \Box A \bullet \longrightarrow \bullet A, \Box A} \text{ ($K$)}$$

$$\frac{\Gamma \bullet \bigcirc \bullet A_1, \Box A}{\Gamma, \Box A, \bullet} \text{ ($T$)} \qquad \frac{\Gamma \bullet \longrightarrow \bullet A, \Box A}{\Gamma, \Box A \bullet \longrightarrow \bullet A, \Box A} \text{ ($S_7$)} \qquad \frac{\Gamma \bullet \longrightarrow \bullet A_2, \Box A}{\Gamma \bullet \bigcirc \bullet \bullet A_2, \Box A} \text{ ($S_1$)}$$

$$\frac{\Gamma \bullet \bigcirc \bullet A_1, \Box A}{\Gamma \bullet A_2, \Box A} \text{ ($S_7$)} \qquad \frac{\Gamma \bullet \longrightarrow \bullet A}{\Gamma \bullet \longrightarrow \bullet A_2, \Box A} \text{ ($S_7$)}$$
Structural rules
$$\frac{\Gamma \bullet}{\Gamma \bullet \longrightarrow \bullet 0} \text{ ($D$)} \qquad \frac{\Gamma \bullet \longrightarrow \bullet A}{\Gamma \bullet \longrightarrow \bullet A} \text{ ($De$)}$$

**Clarifications:** The method constructs a collection of rooted directed acyclic graphs with vertices labeled with sets of formulas.  $\Gamma \bullet$  denotes a vertex labeled with  $\Gamma$ . To each branch of the tableau corresponds a graph. The only branching rule is  $(\lor)$ , for which i must be choosen among  $\{1,2\}$ . A branch is closed if the corresponding graph contains a vertex of the form  $\Gamma, \bot \bullet$ . For modal logic K, only rules  $(\bot), (\land), (\lor), (\diamondsuit)$  and (K) are used. To each additional axiom T, 4, B, 5, D, De and C corresponds a set of rules to add, as detailed in Table 34.1 below.

Axiom	Model's property	Rules
$T = \Box A \to A$	reflexivity	(T)
$4 = \Box A \rightarrow \Box \Box A$	transitivity	(4)
$B = \Diamond \Box A \to A$	symmetry	( <i>B</i> )
$5 = \Diamond \Box A \to \Box A$	euclideanity	$(5\rightarrow), (5\uparrow), (5\downarrow)$
$D = \Box A \to \Diamond A$	seriality	(D)
$De = \Diamond A \rightarrow \Diamond \Diamond A$	density	(De)
$C = \Diamond \Box A \rightarrow \Box \Diamond A$	confluence	$(C_0), (C_1)$

Table 34.1 Correspondences between modal axioms and graph-based tableaux rules.

**History:** Tableaux methods for modal logics have a long history started by Kripke [1]. The present method, introduced in [2] and extended in [3], distinguish itself by its ability to deal with properties like confluence or density. Moreover, it can be easily adapted to multimodal logics. The method has been enhanced and implemented in the LoTREC prover [5].

**Remarks:** The method is sound and complete for any combination of axioms. Termination is more problematic and has been investigated in [3, 4, 5].

- [1] Saul A. Kripke. "A completeness theorem in modal logic". In: *Journal of Symbolic Logic* 24.1 (1959), pp. 1–14.
- [2] Marcos A. Castilho, Luis Fariñas del Cerro, Olivier Gasquet, and Andreas Herzig. "Modal Tableaux with Propagation Rules and Structural Rules". In: *Fundam. Inform.* 32.3-4 (1997), pp. 281–297.
- [3] Luis Fariñas del Cerro and Olivier Gasquet. "General Framework for Pattern-Driven Modal Tableaux". In: *Logic Journal of the IGPL* 10.1 (2002), pp. 51–83.
- [4] Olivier Gasquet, Andreas Herzig, and Mohamad Sahade. "Terminating modal tableaux with simple completeness proof". In: *Advances in Modal Logic*. College Publications, 2006, pp. 167–186.
- [5] Olivier Gasquet, Andreas Herzig, Bilal Said, and François Schwarzentruber. *Kripke's Worlds An Introduction to Modal Logics via Tableaux*. Studies in Universal Logic. Birkhäuser, 2014.

**System GK** (1998)

Version 0: 
$$\frac{\Gamma \vdash A}{\Box \Gamma \vdash \Box A} \Box$$
Version 1: 
$$\frac{A, \Gamma \vdash \Delta}{\Box A, \Gamma \vdash \Delta} \Box_{l} \quad \frac{\Box \Gamma \vdash A}{\Box \Gamma \vdash \Box A} \Box_{r}$$
Version 2: 
$$\frac{A, \Gamma \vdash \Delta}{\Box A, \Gamma \vdash \Delta} \Box_{l} \quad \frac{\Box \Gamma \vdash A, \Box \Delta}{\Box \Gamma \vdash \Box A, \Box \Delta} \Box_{r}$$

**Clarifications:** There are three equivalent versions of system GK [1] which are extensions of LK {2}. That is, version 0 consists of the rules of LK in addition to the rule given above, and similarly for the remaining two systems. Version 2 can derive the rules of version 1, and version 1 can derive the rules in version 0. Lastly,  $\Gamma$  and  $\Delta$  are multisets of formulas where  $\Box \Gamma$  (resp.  $\Box \Delta$ ) is equivalent to applying  $\Box$  to each formula in  $\Gamma$  (resp.  $\Delta$ ).

**History:** 

[1] Hiroakira Ono. "Proof-Theoretic Methods in Nonclassical Logic - an Introduction". In: *Theories of Types and Proofs* 2 (1998). Ed. by M. Okada Takahashi and M. Dezani-Ciancaglini, pp. 207–254.

### **Synthetic Tableaux**

(2000)

The synthesizing rules are:

$$\frac{\neg A}{A \to B} \mathbf{r}^{1}_{\to} \qquad \frac{B}{A \to B} \mathbf{r}^{2}_{\to} \qquad \frac{A}{\neg (A \to B)} \mathbf{r}^{3}_{\to}$$

$$\frac{A}{A \vee B} \mathbf{r}_{\vee}^{1} \qquad \frac{B}{A \vee B} \mathbf{r}_{\vee}^{2} \qquad \frac{\neg A}{\neg (A \vee B)} \mathbf{r}_{\vee}^{3}$$

$$\frac{\neg A}{\neg (A \wedge B)} \mathbf{r}^{1}_{\wedge} \qquad \frac{\neg B}{\neg (A \wedge B)} \mathbf{r}^{2}_{\wedge} \qquad \frac{A}{A \wedge B} \mathbf{r}^{3}_{\wedge} \qquad \frac{A}{\neg \neg A} \mathbf{r}^{-}$$

The premises of rules  $\mathbf{r}_{\rightarrow}^3$ ,  $\mathbf{r}_{\vee}^3$ ,  $\mathbf{r}_{\wedge}^3$  may occur in any order.

The branching rule:

$$p_i \neg p_i$$

**Clarifications:** A Synthetic Tableau for a formula A is a finite tree with the following properties: the tree is generated by the above rules (the root is empty), each formula labelling a node of the tree is a subformula of A or the negation of a subformula of A, each leaf is labelled with A or  $\neg A$ . The tableau is a proof of A if each leaf is labelled with A.

**History:** The method has been first presented in [1], [2], [4]. In [4], [3] and [5] it is also presented for some extensional many-valued logics and for some paraconsistent logics.

**Remarks:** The method is sound and complete with respect to Classical Propositional Logic and constitutes a decision procedure for CPL. The same holds with respect to the non-classical logics for which the method has been described, see [4], [3], [5].

- [1] Mariusz Urbański. "Remarks on Synthetic Tableaux for Classical Propositional Calculus". In: *Bulletin of the Section of Logic* 30.4 (2001), pp. 194–204.
- [2] Mariusz Urbański. "Synthetic Tableaux and Erotetic Search Scenarios: Extension and Extraction". In: *Logique et Analyse* 173–175 (2001), pp. 69–91.
- [3] Mariusz Urbański. "Synthetic Tableaux for Łukasiewicz's Calculus Ł3". In: *Logique et Analyse* 177-178 (2002), pp. 155–173.
- [4] Mariusz Urbański. *Tabele syntetyczne a logika pytań (Synthetic Tableaux and the Logic of Questions)*. Lublin: Wydawnictwo UMCS, 2002.
- [5] Mariusz Urbański. "How to Synthesize a Paraconsistent Negation. The Case of CLuN". In: *Logique et Analyse* 185-188 (2004), pp. 319–333.

### **Polarized Linear Sequent Calculus LLP**

(2000)

$$\frac{\vdash \Gamma, P}{\vdash P^{\perp}, P} \qquad \frac{\vdash \Gamma, P}{\vdash \Gamma, A, \Pi} \qquad \frac{\vdash \Gamma, \Pi}{\vdash \sigma(\Gamma), \Pi}$$

$$\frac{\vdash \Gamma, P}{\vdash \Gamma, A, P \otimes Q} \qquad \frac{\vdash \Gamma, N, M, \Pi}{\vdash \Gamma, N, M, \Pi} \qquad \frac{\vdash \Gamma}{\vdash \Gamma} \qquad \frac{\vdash \Gamma, \Pi}{\vdash \Gamma, \bot, \Pi}$$

$$\frac{\vdash \Gamma, P}{\vdash \Gamma, P \oplus Q} \qquad \frac{\vdash \Gamma, N, M}{\vdash \Gamma, P \oplus Q} \qquad \frac{\vdash \Gamma, M, \Pi}{\vdash \Gamma, M, M} \qquad \frac{\vdash \Gamma, N, \Pi}{\vdash \Gamma, N, \Pi} \qquad \frac{\vdash \Gamma, T, T, \Pi}{\vdash \Gamma, T, N, \Pi}$$

$$\frac{\vdash \Gamma, P}{\vdash \Gamma, P} \qquad \frac{\vdash \Gamma, N}{\vdash \Gamma, P \oplus Q} \qquad \frac{\vdash \Gamma, N, N, \Pi}{\vdash \Gamma, N, \Pi} \qquad \frac{\vdash \Gamma, \Pi}{\vdash \Gamma, N, \Pi}$$

$$\frac{(P \otimes Q)^{\perp} = P^{\perp} \Im Q^{\perp} \qquad 1^{\perp} = \bot}{\vdash \Gamma, N, \Pi} \qquad \frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, \Pi}$$

$$\frac{(P \otimes Q)^{\perp} = P^{\perp} \Im Q^{\perp} \qquad 1^{\perp} = \bot}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M}$$

$$\frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M}$$

$$\frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M}$$

$$\frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M}$$

$$\frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M}$$

$$\frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M}$$

$$\frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M}$$

$$\frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M}$$

$$\frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, M, M}$$

$$\frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M}$$

$$\frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M}$$

$$\frac{(P \otimes Q)^{\perp} = P^{\perp} \& Q^{\perp} \qquad 0^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = \top}{\vdash \Gamma, N, M} \qquad \frac{(P \otimes Q)^{\perp} = \top}{\vdash \Gamma$$

**Clarifications:** Negation is not a connective. It is defined using De Morgan's laws so that  $(A^{\perp})^{\perp} = A$ . Negative connectives which turn negative formulas into negative formulas  $(\mathfrak{P}, \perp, \& \text{ and } \top)$  are the reversible connectives of **LL** {16}. Their dual, the positive connectives  $(\otimes, 1, \oplus, 0)$  have the focusing property [1], related here with the "at most one positive formula" property of sequents.

**History: LLP** [2] comes from the natural embedding of Girard's **LC** {24} into linear logic {16}. It is obtained by restricting **LL** to polarized formulas and then by generalizing the structural rules (contraction, weakening and context of promotion) to arbitrary negative formulas, not only those starting with a ?-connective.

**Remarks:** Cut elimination holds. In the categorical models of **LLP**, positive formulas are interpreted as ⊗-comonoids while negative formulas are interpreted as ⅋-monoids.

<sup>[1]</sup> Jean-Marc Andreoli. "Logic Programming with Focusing Proofs in Linear Logic". In: *Journal of Logic and Computation* 2.3 (1992), pp. 297–347.

<sup>[2]</sup> Olivier Laurent. "Étude de la polarisation en logique". Thèse de Doctorat. Université Aix-Marseille II, Mar. 2002.

 $\mathbf{L}\mathbf{K}_{\mu\tilde{\mu}}$  (2000)

Clarifications: There are three kinds of sequents: first  $\Gamma \vdash v : A \mid \Delta$  with a distinguished formula on the right for typing the program v, second  $\Gamma \mid e : A \vdash \Delta$  with a distinguished formula on the left for typing the evaluation context e, and finally  $c : (\Gamma \vdash \Delta)$  with no distinguished formula for typing command c, i.e. the interaction of a program within an evaluation context. The typing contexts  $\Gamma$  and  $\Delta$  are lists of named formulas so that a non-ambiguous correspondence with  $\lambda$ -calculus is possible (if it were sets or multisets, there were e.g. no way to distinguish the two distinct proofs of  $x : A, x : A \vdash x : A \mid$ ). Weakening rules are implemented implicitly at the level of axioms. Contraction rules are derived, using a cut against an axiom. No exchange rule is needed. Not all cuts are eliminable: only those not involving an axiom rule are. Negation  $\neg A$  can be defined as  $A \to \bot$ . In the rules  $\exists_E$  and  $\forall_R$ , y is assumed fresh in  $\Gamma$ ,  $\Delta$  and A[x]. The syntax of the underlying  $\lambda$ -calculus is:

$$c ::= \langle v|e \rangle$$

$$e ::= \alpha \mid \tilde{\mu}a.c \mid \pi_i \cdot e \mid [e,e] \mid v \cdot e \mid (t,e) \mid \tilde{\lambda}x.e \mid []$$

$$v ::= a \mid \mu\alpha.c \mid (v,v) \mid \iota_i(v) \mid \lambda a.v \mid \lambda x.v \mid (t,v) \mid ()$$

**History:** The purpose of this system is to provide with a  $\lambda$ -calculus-style computational meaning to Gentzen's LK {2} and to highlight how the symmetries of sequent calculus show computationally. Seeing the rules as typing rules, the left/right symmetry is a symmetry between programs and their evaluation contexts. At the level of cut elimination, giving priority to the left-hand side relates to call-by-name evaluation while giving priority to the right-hand side relates to call-by-value evaluation [1]. Thanks to the presence of two dual axiom

rules and implicit contraction rules, the system supports a tree-like sequent-free presentation like originally presented by Gentzen for natural deduction [5] (see {45}). The system can be seen as a symmetric variant of  $\bar{\lambda}$ -calculus {29}.

The structural subsystem can be adapted to various sequent calculi. Restriction to intuitionistic logic can be obtained by demanding that the right-hand side has exactly one formula.

The presentation of this calculus with conjunctive and disjunctive additive connectives has been studied in [3, 5]. A variant with only commands, called X, has been studied in [4], based on previous work in [2]. Various extensions of the system emphasizing different symmetries can be found in the literature.

**Remarks:** The system is obviously logically equivalent to Gentzen's **LK** when equipped with the corresponding connectives and observed through the sequents of the form  $\Gamma \vdash \Delta$ .

- [1] Pierre-Louis Curien and Hugo Herbelin. "The duality of computation". In: *Proceedings of the Fifth ACM SIGPLAN International Conference on Functional Programming, ICFP 2000, Montreal, Canada, September 18-21, 2000.* SIGPLAN Notices 35(9). ACM, 2000, pp. 233–243. ISBN: 1-58113-202-6.
- [2] Christian Urban. "Classical Logic and Computation". Ph.D. Thesis. University of Cambridge, Oct. 2000.
- [3] Philip Wadler. "Call-by-value is dual to call-by-name". In: *Proceedings of ICFP 2003, Uppsala, Sweden, August 25-29, 2003*. Ed. by Colin Runciman and Olin Shivers. Vol. 38(9). SIGPLAN Notices. ACM, 2003, pp. 189–201. ISBN: 1-58113-756-7.
- [4] Steffen van Bakel, Stephane Lengrand, and Pierre Lescanne. "The Language X: Circuits, Computations and Classical Logic". In: *Theoretical Computer Science*, 9th Italian Conference, ICTCS 2005, Siena, Italy, October 12-14, 2005, Proceedings. Ed. by Mario Coppo, Elena Lodi, and G. Michele Pinna. Vol. 3701. LNCS. Springer, 2005, pp. 81–96. ISBN: 3-540-29106-7.
- [5] Hugo Herbelin. "C'est maintenant qu'on calcule: au cœur de la dualité". Habilitation thesis. University Paris 11, Dec. 2005.

## **Constructive Modal Logic S4 (CS4)**

(2000)

$$\frac{\Gamma, A \vdash A}{\Gamma, A \vdash B} \quad ax \qquad \frac{\Gamma \vdash A}{\Gamma, A \vdash B} \quad cut \qquad \frac{\Gamma, A \vdash C}{\Gamma, A \vdash B} \quad \bot \mathcal{L}$$

$$\frac{\Gamma, A \vdash C}{\Gamma, A \lor B \vdash C} \quad \lor \mathcal{L} \qquad \frac{\Gamma \vdash A}{\Gamma \vdash A \lor B} \quad \lor \mathcal{R} \qquad \frac{\Gamma \vdash B}{\Gamma \vdash A \lor B} \quad \lor \mathcal{R}$$

$$\frac{\Gamma, A \vdash C}{\Gamma, A \land B \vdash C} \quad \land \mathcal{L} \qquad \frac{\Gamma, B \vdash C}{\Gamma, A \land B \vdash C} \quad \land \mathcal{L} \qquad \frac{\Gamma \vdash A}{\Gamma \vdash A \land B} \quad \land \mathcal{R}$$

$$\frac{\Gamma \vdash A}{\Gamma, A \rightarrow B \vdash C} \quad \to \mathcal{L} \qquad \frac{\Gamma, A \vdash B}{\Gamma \vdash A \rightarrow B} \quad \to \mathcal{R} \qquad \frac{\Gamma, A \vdash B}{\Gamma, \Box A \vdash B} \quad \Box \mathcal{L}$$

$$\frac{\Box \Gamma \vdash \Box A}{\Box \Gamma, \Delta \vdash A} \quad \Box \mathcal{R} \qquad \frac{\Box \Gamma, A \vdash \Diamond B}{\Delta, \Box \Gamma, \Diamond A \vdash \Diamond B} \quad \Diamond \mathcal{L} \qquad \frac{\Gamma \vdash A}{\Gamma \vdash \Diamond A} \quad \Diamond \mathcal{R}$$

**Clarifications:** Left contexts, denoted  $\Gamma$  or  $\Delta$ , are multisets of formulas. Furthermore, if  $\Gamma = A_1, \dots, A_n$ , then  $\Box \Gamma = \Box A_1, \dots, \Box A_n$ .

**History:** The intuitionistic system for S4 that we are calling constructive S4 (CS4) here, was originally described by Prawitz in his Natural Deduction book[1] in 1965. This system differs from what is more widely called now IS4, originally defined by Fisher-Servi [2] and thoroughly studied in Simpson's PhD thesis [3] in that it does not satisfy the distribution of possibility over disjunctions, either binary ( $\Diamond(A \lor B) \to \Diamond(A \lor B)$ ) or nullary ( $\Diamond(A \lor B) \to \Diamond(A \lor B)$ ). The calculus for CS4 was thoroughly investigated by Bierman and de Paiva in [4].

- [1] Dag Prawitz. Natural Deduction: A Proof-Theoretical Study. Almqvist and Wiksell, Stockholm, 1965.
- [2] G. Fisher-Servi. "Semantics for a class of intuitionistic modal calculi". In: *Italian Studies in the Philosophy of Science*. Ed. by Dalla Chiara and Maria Luisa. 1st ed. Vol. 47. Boston Studies in the Philosophy and History of Science. Springer Netherlands, 1981, pp. 59–72.
- [3] Alex K Simpson. "The proof theory and semantics of intuitionistic modal logic". In: (1994).
- [4] Gavin M. Bierman and Valeria CV de Paiva. "On an intuitionistic modal logic". In: *Studia Logica* 65.3 (2000), pp. 383–416.

Entry 39 by: Harley Eades III, Valeria de Paiva

$$\frac{\Lambda \vdash \Phi, L \lor C}{\Lambda, L\sigma \vdash \Phi, L \lor C} Split$$

$$\frac{\Lambda, K, L \vdash \Phi}{\Lambda, K, L, L\sigma \vdash \Phi} Commit$$

$$\frac{\Lambda \vdash \Phi, L}{\Lambda, L \vdash \Phi, L} Assert \frac{\Lambda \vdash \Phi, C}{\Lambda \vdash \Box} Close$$

(2003)

**Clarifications:** Model Evolution is a refutationally complete calculus for first-order clause logic. The inference rules operate on sequents of the form  $\Lambda \vdash \Phi$  where  $\Lambda$  is a set of literals and  $\Phi$  is a clause set. Derivation trees are constructed top-down and start with the sequent  $\neg v \vdash \psi$ , where  $\neg v$  is a pseudo-literal, representing the set of all negative literals, and  $\psi$  is the given clause set. The calculus derives (in the limit) a sequent  $\Lambda \vdash \Phi$  such that the interpretation induced by  $\Lambda$  is a model of  $\Phi$  unless  $\psi$  is unsatisfiable. All inference rules above are subject to certain applicability conditions, see [2]. Additional optional inference rules, not shown here, help improve performance in practice.

**History:** Model Evolution [2] is an improved version of the earlier FDPLL calculus [1], a lifiting of the core of the propositional DPLL method to the first-order level. Model Evolution has been extended by ordered paramodulation inference rules for equality reasoning [3], by lemma learning techniques inspired by modern CDCL SAT solvers [4] and by reasoning modulo background theories [5, 7]. It has been combined with the superposition calculus in [6].

- [1] Peter Baumgartner. "FDPLL A First-Order Davis-Putnam-Logeman-Loveland Procedure". In: *CADE-17 The 17th International Conference on Automated Deduction*. Ed. by David McAllester. Vol. 1831. Lecture Notes in Artificial Intelligence. Springer, 2000, pp. 200–219.
- [2] Peter Baumgartner and Cesare Tinelli. "The Model Evolution Calculus". In: *CADE-19 The 19th International Conference on Automated Deduction*. Ed. by Franz Baader. Vol. 2741. Lecture Notes in Artificial Intelligence. Springer, 2003.
- [3] Peter Baumgartner and Cesare Tinelli. "The Model Evolution Calculus with Equality". In: *CADE-20 The 20th International Conference on Automated Deduction*. Ed. by Robert Nieuwenhuis. Vol. 3632. Lecture Notes in Artificial Intelligence. Springer, 2005.
- [4] Peter Baumgartner, Alexander Fuchs, and Cesare Tinelli. "Lemma Learning in the Model Evolution Calculus". In: *Logic for Programming, Artificial Intelligence and Reasoning (LPAR)*. Ed. by Miki Hermann and Andrei Voronkov. Vol. 4246. Lecture Notes in Artificial Intelligence. Springer, 2006, pp. 572–586.
- [5] Peter Baumgartner, Alexander Fuchs, and Cesare Tinelli. "ME(LIA) Model Evolution With Linear Integer Arithmetic Constraints". In: Proceedings of the 15th International Conference on Logic for Programming, Artificial Intelligence and Reasoning (LPAR'08). Ed. by I. Cervesato, H. Veith, and A. Voronkov. Vol. 5330. Lecture Notes in Artificial Intelligence. Springer, Nov. 2008, pp. 258–273.

- [6] Peter Baumgartner and Uwe Waldmann. "Superposition and Model Evolution Combined". In: *CADE-*22 *The 22nd International Conference on Automated Deduction*. Ed. by Renate Schmidt. Vol. 5663. Lecture Notes in Artificial Intelligence. Springer, July 2009, pp. 17–34.
- [7] Peter Baumgartner and Cesare Tinelli. "Model Evolution with Equality Modulo Built-in Theories". In: *CADE-23 The 23nd International Conference on Automated Deduction*. Ed. by Nikolaj Bjørner and Viorica Sofronie-Stokkermans. Vol. 6803. Lecture Notes in Artificial Intelligence. Springer, 2011, pp. 85–100.

$$\frac{?(\Phi; S'\alpha'T + C; \Psi)}{?(\Phi; S'\alpha_1'\alpha_2'T + C; \Psi)} \mathbf{L}_{\alpha} \qquad \frac{?(\Phi; S + \alpha; \Psi)}{?(\Phi; S + \alpha_1; S + \alpha_2; \Psi)} \mathbf{R}_{\alpha}$$

$$\frac{?(\Phi; S'\beta'T + C; \Psi)}{?(\Phi; S'\beta_1'T + C; S'\beta_2'T + C; \Psi)} \mathbf{L}_{\beta}$$

$$\frac{?(\Phi; S + \beta; \Psi)}{?(\Phi; S'\beta_1^* + \beta_2; \Psi)} \mathbf{R}_{\beta} \qquad \frac{?(\Phi; S' \neg \neg A'T + C; \Psi)}{?(\Phi; S'A'T + C; \Psi)} \mathbf{L}_{\neg \neg}$$
Where:
$$\frac{\alpha \alpha_1 \alpha_2}{A \wedge B} \frac{\beta \beta_1 \beta_2 \beta_1^*}{A \wedge B A} \frac{\beta_1 \beta_2 \beta_1^*}{A \wedge B A} \frac{\beta_1 \beta_2 \beta_1^*}{A \wedge B A B A}$$

$$\frac{\beta \beta_1 \beta_2 \beta_1^*}{A \wedge B A B A} \frac{\beta_1 \beta_2 \beta_1^*}{A \wedge B A B A}$$

$$\frac{\beta \beta_1 \beta_2 \beta_1^*}{A \wedge B A B A B A}$$

Clarifications: The method of Socratic proofs is a method of transforming questions, but these are based on sequences of two-sided, single-conclusion sequents with sequences of formulas in both cedents.  $\Phi$ ,  $\Psi$  are finite (possibly empty) sequences of sequents. S, T are finite (possibly empty) sequences of formulas. The semicolon ';' is the concatenation sign for sequences of sequents, whereas '' is the concatenation sign for sequences of formulas. A Socratic proof of sequent ' $S \vdash A$ ' in  $E^*$  is a finite sequence of questions guided by the rules of  $E^*$ , starting with '?( $S \vdash A$ )' and ending with a question based on a sequence of basic sequents, where a *basic sequent* is a sequent containing the same formula in both of its cedents or containing a formula and its negation in the antecedent.

**History:** The method has been first presented in [1]. Calculus  $\mathbf{E}^*$  is called *erotetic* calculus since it is a calculus of questions (*erotema* means *question* in Greek). Proof-theoretically, it may be viewed as a calculus of hypersequents with ';' understood conjunctively. It is grounded in Inferential Erotetic Logic (cf. [2]).

**Remarks:** A sequent ' $S \vdash A$ ' has a Socratic proof in  $E^*$  iff A is CPL-entailed by the set of terms of S. The rules are invertible.

- [1] Andrzej Wiśniewski. "Socratic Proofs". In: Journal of Philosophical Logic 33.3 (2004), pp. 299–326.
- [2] Andrzej Wiśniewski. Questions, Inferences, and Scenarios. London: College Publications, 2013.

The rules of calculus  $E^*$  (see {41}) and the quantifier rules:

$$\frac{?(\Phi\,;\,S\,'\,\forall x_iA\,'\,T\,\vdash\,C\;;\,\Psi)}{?(\Phi\,;\,S\,'\,\forall x_iA\,'\,A(x_i/\tau)\,'\,T\,\vdash\,C\;;\,\Psi)}\;\mathbf{L}_\forall\qquad \frac{?(\Phi\,;\,S\,\vdash\,\forall x_iA\;;\,\Psi)}{?(\Phi\,;\,S\,\vdash\,A(x_i/\tau)\;;\,\Psi)}\;\mathbf{R}_\forall$$

$$\frac{?(\Phi; S' \exists x_i A' T \vdash C; \Psi)}{?(\Phi; S' A(x_i/\tau)' T \vdash C; \Psi)} \mathbf{L}_{\exists} \qquad \frac{?(\Phi; S \vdash \exists x_i A; \Psi)}{?(\Phi; S' \forall x_i \neg A \vdash A(x_i/\tau); \Psi)} \mathbf{R}_{\exists}$$

In  $L_{\forall}$ ,  $\mathbf{R}_{\exists}$ :  $x_i$  is free in A and  $\tau$  is any parameter. In  $L_{\exists}$ ,  $\mathbf{R}_{\forall}$ :  $x_i$  is free in A,  $\tau$  is a parameter which does not occur in the sequent distinguished in the premise.

$$\frac{?(\Phi; S'\kappa'T \vdash C; \Psi)}{?(\Phi; S'\kappa''T \vdash C; \Psi)} \mathbf{L}_{\kappa} \qquad \frac{?(\Phi; S \vdash \kappa; \Psi)}{?(\Phi; S \vdash \kappa^*; \Psi)} \mathbf{R}_{\kappa}$$

Where:

K	<b>к</b> *
$\neg \exists x_i A$	$\forall x_i \neg A$
$\neg \forall x_i A$	$\forall x_i \neg A$ $\exists x_i \neg A$
$\forall x_i A$ , provided that $x_i$ is not free in $A$	
$\exists x_i A$ , provided that $x_i$ is not free in $A$	$\boldsymbol{A}$

**Clarifications:** For notational conventions see entry ??. Socratic proofs for FOL start with questions concerning *pure sequents*, i.e. sequents formed with sentences only and containing no parameters. A Socratic proof of a pure sequent ' $S \vdash A$ ' in  $\mathbf{E}^{PQ}$  is a finite sequence of questions guided by the rules of  $\mathbf{E}^{PQ}$ , starting with '?( $S \vdash A$ )' and ending with a question based on a sequence of basic sequents, where a *basic sequent* is a sequent containing the same formula in both of its cedents or containing a formula and its negation in the antecedent.

**History:** The method has been first presented in [1], together with a constructive completeness proof. All the rules of  $\mathbf{E}^{PQ}$  are invertible and there are no structural rules. The erotetic calculus  $\mathbf{E}^{PQ}$  may be reconstructed into a sequent calculus  $\mathbf{G}^{PQ}$  for FOL with invertible rules and no structural rules.  $\mathbf{G}^{PQ}$  has been first described in [1] and later examined in [2].

**Remarks:** A pure sequent ' $S \vdash A$ ' has a Socratic proof in  $\mathbf{E}^{PQ}$  iff A is FOL-entailed by the set of terms of S.

<sup>[1]</sup> Andrzej Wiśniewski and Vasilyi Shangin. "Socratic Proofs for Quantifiers". In: *Journal of Philosophical Logic* 35.2 (2006), pp. 147–178.

<sup>[2]</sup> Dorota Leszczyńska-Jasion, Mariusz Urbański, and Andrzej Wiśniewski. "Socratic Trees". In: *Studia Logica* 101.5 (2013), pp. 959–986.

(2004)

The rules of calculus  $\mathbf{E}^{\mathsf{K}}$ :

$$\frac{?(\boldsymbol{\Phi}\;;\;\vdash\boldsymbol{S}\;'\;(\boldsymbol{\alpha})^{\phi(i)}\;'\;\boldsymbol{T}\;;\;\boldsymbol{\Psi})}{?(\boldsymbol{\Phi}\;;\;\vdash\boldsymbol{S}\;'\;(\boldsymbol{\alpha}_{1})^{\phi(i)}\;'\;\boldsymbol{T}\;;\;\vdash\boldsymbol{S}\;'\;(\boldsymbol{\alpha}_{2})^{\phi(i)}\;'\;\boldsymbol{T}\;;\;\boldsymbol{\Psi})}\;\mathbf{R}_{\alpha}}$$

$$\frac{?(\boldsymbol{\Phi}\;;\;\vdash\boldsymbol{S}\;'\;(\boldsymbol{\beta})^{\phi(i)}\;'\;\boldsymbol{T}\;;\;\boldsymbol{\Psi})}{?(\boldsymbol{\Phi}\;;\;\vdash\boldsymbol{S}\;'\;(\boldsymbol{\beta}_{1})^{\phi(i)}\;'\;(\boldsymbol{\beta}_{2})^{\phi(i)}\;'\;\boldsymbol{T}\;;\;\boldsymbol{\Psi})}\;\mathbf{R}_{\beta} \qquad \frac{?(\boldsymbol{\Phi}\;;\;\vdash\boldsymbol{S}\;'\;(\boldsymbol{\alpha}_{1})^{\phi(i)}\;'\;\boldsymbol{T}\;;\;\boldsymbol{\Psi})}{?(\boldsymbol{\Phi}\;;\;\vdash\boldsymbol{S}\;'\;(\boldsymbol{\alpha})^{\phi(i)}\;'\;\boldsymbol{T}\;;\;\boldsymbol{\Psi})}\;\mathbf{R}_{\gamma\gamma}}$$

$$\frac{?(\boldsymbol{\Phi}\;;\;\vdash\boldsymbol{S}\;'\;(\boldsymbol{\mu})^{\phi(i)}\;'\;\boldsymbol{T}\;;\;\boldsymbol{\Psi})}{?(\boldsymbol{\Phi}\;;\;\vdash\boldsymbol{S}\;'\;(\boldsymbol{\mu})^{\phi(i)}\;'\;\boldsymbol{T}\;;\;\boldsymbol{\Psi})}\;\mathbf{R}_{\mu}}$$

$$\frac{?(\boldsymbol{\Phi}\;;\;\vdash\boldsymbol{S}\;'\;(\boldsymbol{\mu})^{\phi(i)}\;'\;\boldsymbol{T}\;;\;\boldsymbol{\Psi})}{?(\boldsymbol{\Phi}\;;\;\vdash\boldsymbol{S}\;'\;(\boldsymbol{\mu})^{\phi(i)}\;'\;\boldsymbol{T}\;;\;\boldsymbol{\Psi})}\;\mathbf{R}_{\pi}}$$

where:

 $\phi(i)$  is a finite sequence of numerals ending with i (an index of a formula)  $\phi(i)$ , j is a concatenation of  $\phi(i)$  and  $\langle j \rangle$ 

In  $\mathbf{R}_{\mu}$ , numeral j must be new with respect to the sequent distinguished in the premise. In  $\mathbf{R}_{\pi}$ , the pair  $\langle i, j \rangle$  is present in the premise sequent.

**Clarifications:** The method of Socratic proofs is a method of transforming questions but with a clear prooftheoretic interpretation (see also {41}, {42}). The rules act upon right-sided sequents, with sequences of *indexed* formulas in the succedents. The indices store the semantic information. A Socratic proof starts with a question concerning ?( $\vdash$  ( $\land$ )¹) and ends with a question based on a sequence of basic sequents, where a *basic sequent* is a sequent containing indexed formulas of the forms  $B^{\phi(i)}$ ,  $(\neg B)^{\psi(i)}$ .

**History:** The proof system has been presented in [1], the completeness proof may be found in [2].

**Remarks:** A sequent  $\vdash (A)^1$  has a Socratic proof in  $\mathbf{E}^{\mathsf{K}}$  iff A is K-valid.

- [1] Dorota Leszczyńska. "Socratic Proofs for some Normal Modal Propositional Logics". In: *Logique et Analyse* 47.185-188 (2004), pp. 259–285.
- [2] Dorota Leszczyńska-Jasion. *The Method of Socratic Proofs for Normal Modal Propositional Logics*. Poznań: Adam Mickiewicz University Press, 2007.

#### **Socratic Proofs for Modal Propositional Logics**

(2004)

The rules of  $\mathbf{E}^{\mathsf{L}}$  are the rules of  $\mathbf{E}^{\mathsf{K}}$  (see {43}), where the proviso of applicability of  $\mathbf{R}_{\mu}$  depends on the logic L and is a combination of some of the following clauses:

- 1.  $\langle i, j \rangle$  is present in the premise sequent
- 2. i = j
- 3.  $\langle j, i \rangle$  is present in the premise sequent
- 4. there is a sequence  $i_1, \ldots, i_n$  such that  $i_1 = i$ ,  $i_n = j$  and each  $\langle i_k, i_{k+1} \rangle$ , where  $1 \ge k \ge n-1$ , is present in the premise sequent
- 5. there is a sequence  $i_1, \ldots, i_n$  such that  $i_1 = i$ ,  $i_n = j$  and for each  $\langle i_k, i_{k+1} \rangle$ , where  $1 \ge k \ge n-1$ ,  $\langle i_k, i_{k+1} \rangle$  or  $\langle i_{k+1}, i_k \rangle$  is present in the premise sequent
- 6. there are sequences  $i_1, \ldots, i_n$  and  $j_1, \ldots, j_m$  such that  $i_1 = 1$ ,  $i_n = i$ ,  $j_1 = 1$ ,  $j_m = j$  and for each  $\langle i_k, i_{k+1} \rangle$ , where  $1 \ge k \ge n-1$ ,  $\langle i_k, i_{k+1} \rangle$  is present in the premise sequent and for each  $\langle j_l, j_{l+1} \rangle$ , where  $1 \ge l \ge m-1$ ,  $\langle j_l, j_{l+1} \rangle$  is present in the premise sequent

L	proviso	L	proviso	L	proviso
K,KD	(1)	K4, KD4	(4)	K5, D5	(6)
KT	(1) or (2)	S4	(2) or (4)	K45, D45	(4) or (6)
KB, KDB	(1) or (3)	KB4	(5)		
KTB	(1) or (2) or (3)	S5	(2) or (5)	'	

Calculi for logics: KD, KDB, KD4, KD5, KD45 have also the following rule, where j is new:

$$\frac{?(\boldsymbol{\varPhi}\;;\;\vdash\boldsymbol{S}\;'\;(\boldsymbol{\pi})^{\phi(i)}\;'\;T\;;\;\boldsymbol{\varPsi})}{?(\boldsymbol{\varPhi}\;;\;\vdash\boldsymbol{S}\;'\;(\boldsymbol{\pi})^{\phi(i)}\;'\;(\boldsymbol{\pi}_0)^{j}\;'\;T\;;\;\boldsymbol{\varPsi})}\;\;\mathbf{R}_{\boldsymbol{\pi}\mathsf{D}}$$

Clarifications: See {43}, {41}, {42} for more comments.

**History:** The proof system has been presented in [1], the completeness proof may be found in [2], and extensions to some non-basic modal logics in [3].

**Remarks:** A sequent  $\vdash (A)^1$  has a Socratic proof in  $\mathbf{E}^{\mathsf{L}}$  iff A is L-valid.

- [1] Dorota Leszczyńska. "Socratic Proofs for some Normal Modal Propositional Logics". In: *Logique et Analyse* 47.185-188 (2004), pp. 259–285.
- [2] Dorota Leszczyńska-Jasion. *The Method of Socratic Proofs for Normal Modal Propositional Logics*. Poznań: Adam Mickiewicz University Press, 2007.
- [3] Dorota Leszczyńska-Jasion. "The Method of Socratic Proofs for Modal Propositional Logics: K5, S4.2, S4.3, S4M, S4F, S4R and G". In: *Studia Logica* 89.3 (2008), pp. 371–405.

### $\mathbf{L}\mathbf{K}\mu\tilde{\mu}$ in sequent-free tree form

(2005)

STRUCTURAL SUBSYSTEM
$$\frac{\vdash A \quad A \vdash}{\vdash} Cut$$

$$\vdots \quad \vdots \\ \vdots \quad \vdots \\ \vdash A \vdash Focus_L \qquad \stackrel{\vdash}{\vdash} Focus_R$$
INTRODUCTION RULES
$$\frac{A_i \vdash}{A_1 \land A_2 \vdash} \land_L^i \qquad \frac{\vdash A_1 \vdash A_2}{\vdash A_1 \land A_2} \land_R$$

$$\frac{A_1 \vdash}{A_1 \lor A_2 \vdash} \lor_L \qquad \frac{\vdash A_i}{\vdash A_1 \lor A_2} \lor_R^i$$

$$\vdots \quad \vdots \quad \vdots \\ \vdots \quad \vdots \\ \vdash A \quad B \vdash} \\ A \rightarrow B \vdash \rightarrow_L \qquad \frac{\vdash B}{\vdash A \rightarrow B} \rightarrow_R$$

$$\frac{A[y] \vdash}{\exists x A[x] \vdash} \exists_L \qquad \frac{\vdash A[t]}{\vdash \exists x A[x]} \exists_R$$

$$\frac{A[t] \vdash}{\forall x A[x] \vdash} \lor_L \qquad \frac{\vdash A[y]}{\vdash \forall x A[x]} \lor_R$$

$$\frac{\bot}{\bot} \vdash \bot_L \qquad \frac{\vdash}{\vdash} \vdash_R$$

**Clarifications:** There are three kinds of nodes,  $\vdash A$  for asserting formulas,  $A \vdash$  for refuting formulas, and  $\vdash$  for expressing a contradiction. Negation  $\neg A$  can be defined as  $A \to \bot$ . In the rules  $\exists_E$  and  $\forall_R$ , y is assumed fresh in all the unbracketed assumption formula upon which that the derivation of A(y) depends.

**History:** The purpose of this system is to show that the original distinction in Gentzen [1] between natural deduction presented as a tree of formulas and sequent calculus presented as a tree of sequents is no longer relevant. It is known from at least Howard [5] that natural deduction can be presented with sequents. The above formulation shows that systems based on left and right introductions ("sequent-calculus style") can be presented as a sequent-free tree of formulas [7].

The terminology "sequent calculus" seems to have become popular from [2] followed then e.g. by [4] who were associating the term "sequents" to Gentzen's LJ and LK systems. The terminology having lost the connection to its etymology, this motivated some authors to use alternative terminologies such as "L" systems [8].

**Remarks:** As pointed out e.g. in [6] in the context of natural deduction, to obtain a computationally non-degenerate proof-as-program correspondence with a presentation of a calculus as a tree of formulas, the bracketed assumptions have to be annotated with the exact occurrence of the rule which bracketed them. Then, annotation by proof-terms can optionally be added as in {38}.

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- [2] Dag Prawitz. Natural Deduction, a Proof-Theoretical Study. Almqvist and Wiksell, Stockholm, 1965.
- [3] Manfred E. Szabo, ed. The Collected Works of Gerhard Gentzen. Amsterdam: North Holland, 1969.
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- [5] William A. Howard. "The formulae-as-types notion of constructions". In: to H.B. Curry: Essays on Combinatory Logic, Lambda Calculus and Formalism. Unpublished manuscript of 1969. Academic Press, 1980.
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- [7] Hugo Herbelin. "C'est maintenant qu'on calcule: au cœur de la dualité". Habilitation thesis. University Paris 11, Dec. 2005.
- [8] Guillaume Munch-Maccagnoni. "Focalisation and Classical Realisability". In: Computer Science Logic, 23rd international Workshop, CSL 2009, 18th Annual Conference of the EACSL, Coimbra, Portugal, September 7-11, 2009. Proceedings. Ed. by Erich Grädel and Reinhard Kahle. Vol. 5771. Lecture Notes in Computer Science. Springer, 2009, pp. 409–423. DOI: 10.1007/978-3-642-04027-6\_30. URL: http://dx.doi.org/10.1007/978-3-642-04027-6\_30.

$$(\mathbf{AX}) \ \varGamma, x \colon P \models \varDelta, x \colon P \quad (P \text{ atomic}) \qquad (\mathbf{A} \bot) \ \varGamma, x \colon \bot \vdash \varDelta$$

$$\frac{\varGamma, x \xrightarrow{A} y \models \varDelta, y \colon B}{\varGamma \vdash \bot, x \colon A \Rightarrow B} \iff (y \notin \varGamma, \varDelta)$$

$$\frac{\varGamma, x \colon A \Rightarrow B \models x \xrightarrow{A} y, \varDelta \qquad \varGamma, x \colon A \Rightarrow B, y \colon B \vdash \varDelta}{\varGamma, x \colon A \Rightarrow B \vdash \bot \Delta} \iff (\Rightarrow \mathbf{L})$$

$$\frac{u \colon A \vdash u \colon B \qquad u \colon B \vdash u \colon A}{\varGamma, x \xrightarrow{A} y \vdash x \xrightarrow{B} y, \varDelta} (\mathbf{EQ})$$

$$\frac{\varGamma, x \xrightarrow{A} y \vdash x \xrightarrow{B} y, \varDelta}{\varGamma, x \xrightarrow{A} y \vdash \bot} (\mathbf{D}) \qquad \qquad \frac{\varGamma \vdash x \xrightarrow{A} x, x \colon A, \varDelta}{\varGamma \vdash x \xrightarrow{A} x, \bot} (\mathbf{MP})$$

$$\frac{\varGamma, x \xrightarrow{A} y \vdash \bot, x \colon A}{\varGamma, x \xrightarrow{A} y \vdash \bot} (\mathbf{CS}) (x \neq y, u \notin \varGamma, \varDelta)$$

$$\frac{\varGamma x \xrightarrow{A} y \vdash \bot, x \xrightarrow{A} y \vdash \bot}{\varGamma x \xrightarrow{A} y \vdash \bot} (\mathbf{CEM}) (y \neq z, u \notin \varGamma, \varDelta)$$
Given a sequent  $\varGamma$  and labels  $x$  and  $u$ ,  $\varGamma[x/u]$  is the sequent obtained by replacing in  $\varGamma$  all occurrences of  $x$  with  $u$ .

**Clarifications:** Conditional logics extend classical logic with formulas of the form  $A \Rightarrow B$ . SeqS considers the *selection function* semantics:  $A \Rightarrow B$  is true in a world w if B is true in the set of worlds selected by the selection function f for A and w (that are most similar to w). SeqS manipulate *labelled* formulas, where labels represent worlds, of the form x : A (A is true in x) and  $x \xrightarrow{A} y$  (y belongs to f(x,A)).

The calculi SeqS consider *normal* conditional logics, such that if A and B are true in the same worlds, then f(w,A) = f(w,B). The rule (**EQ**) takes care of normality.

Besides the rules shown, SeqS also include standard rules for propositional connectives.

**History:** The calculi SeqS have been introduced in [3]. The theorem prover CondLean, implementing SeqS calculi in Prolog, has been presented in [1, 2].

**Remarks:** Completeness is a consequence of the admissibility of cut. The calculi SeqS can be used to obtain a PSPACE decision procedure for the respective conditional logics and to develop goal-directed proof procedures.

[1] Nicola Olivetti and Gian Luca Pozzato. "CondLean: A Theorem Prover for Conditional Logics". In: Automated Reasoning with Analytic Tableaux and Related Methods - Proceedings of TABLEAUX 2003 (12th International Conference on Automated Reasoning with Analytic Tableaux and Related Methods). Ed. by Marta Cialdea Mayer and Fiora Pirri. Vol. 2796. Lecture Notes in Artificial Intelligence LNAI. Roma, Italy: Springer, Sept. 2003, pp. 264–270. doi: 10.1007/978-3-540-45206-5\_23.

- [2] Nicola Olivetti and Gian Luca Pozzato. "CondLean 3.0: Improving Condlean for Stronger Conditional Logics". In: Automated Reasoning with Analytic Tableaux and Related Methods Proceedings of TABLEAUX 2005 (14th International Conference on Automated Reasoning with Analytic Tableaux and Related Methods). Ed. by Bernhard Beckert. Vol. 3702. Lecture Notes in Artificial Intelligence LNAI. Koblenz, Germany: Springer, Sept. 2005, pp. 328–332. doi: 10.1007/11554554\_27.
- [3] Nicola Olivetti, Gian Luca Pozzato, and Camilla Schwind. "A sequent calculus and a theorem prover for standard conditional logics". In: *ACM Transactions on Computational Logic (ToCL)* 8 (4 2007), pp. 1–51. DOI: 10.1145/1276920.1276924.

$$\Gamma, P, \neg P (\mathbf{AX}) \quad \text{with } P \text{ atomic} \qquad \frac{\Gamma, \neg \Box \neg A; \Sigma}{A, \Box \neg A, \Gamma^{\Box}, \Gamma^{\Box^{\downarrow}}, \Gamma^{\vdash \pm}, \Sigma; \emptyset} (\Box^{-})$$

$$\frac{\Gamma, \neg (A \vdash B); \Sigma}{A, \Box \neg A, \neg B, \Gamma^{\vdash \pm}; \emptyset} (\vdash^{-}) \qquad \frac{\Gamma, A \vdash B; \Sigma}{\Gamma, \neg A; \Sigma, A \vdash B} \qquad \Gamma, \neg \Box \neg A; \Sigma, A \vdash B \qquad \Gamma, B; \Sigma, A \vdash B} (\vdash^{+})$$

**Clarifications:** According to Kraus, Lehmann and Magidor (KLM) [1], defeasible knowledge is represented by a (finite) set of nonmonotonic conditionals  $A \vdash B$  (normally the A's are B's). Models are possible-world structures equipped with a preference relation (irreflexive and transitive for  $\mathbf{P}$ ) among worlds or states. The meaning of  $A \vdash B$  is that B holds in the worlds/states where A holds and that are *minimal* with respect to the preference relation.

The calculus  $\mathcal{T}P^{\mathbf{T}}$  is based on the idea of interpreting the preference relation as an accessibility relation: a conditional  $A \vdash B$  holds in a model if B is true in all minimal A-worlds, where a world w is an A-world if it satisfies A, and it is a minimal A-world if there is no A-world w' preferred to w.

Nodes are pairs  $\Gamma; \Sigma$ , where  $\Gamma$  is a set of formulas and  $\Sigma$  is a set of conditional formulas  $A \vdash B$ .  $\Sigma$  is used to keep track of positive conditionals  $A \vdash B$  to which the rule ( $\vdash^+$ ) has already been applied: the idea is that one does not need to apply ( $\vdash^+$ ) on the same conditional formula  $A \vdash B$  more than once in the same world. When ( $\vdash^+$ ) is applied to a formula  $A \vdash B \in \Gamma$ , then  $A \vdash B$  is moved from  $\Gamma$  to  $\Sigma$  in the conclusions of the rule, so that it is no longer available for further applications in the current world. The dynamic rules re-introduce formulas from  $\Sigma$  to  $\Gamma$  in order to allow further applications of ( $\vdash^+$ ) in new worlds.

Given  $\Gamma$ , we define:

- $\bullet \quad \Gamma^{\square} = \{ \square \neg A \mid \square \neg A \in \Gamma \}$
- $\bullet \quad \Gamma^{\Box^{\downarrow}} = \{ \neg A \mid \Box \neg A \in \Gamma \}$
- $\Gamma^{\vdash^+} = \{A \vdash B \mid A \vdash B \in \Gamma\}$
- $\Gamma^{\vdash \neg} = \{ \neg (A \vdash B) \mid \neg (A \vdash B) \in \Gamma \}$
- $\bullet \quad \Gamma^{\mid \sim \pm} = \Gamma^{\mid \sim^{+}} \cup \Gamma^{\mid \sim^{-}}$

Besides the rules shown above, the calculus  $\mathcal{T}P^{T}$  also includes standard rules for propositional connectives.

**History:** In [1] Kraus, Lehmann and Magidor proposed a formalization of nonmonotonic reasoning that was early recognized as a landmark. According to their framework, defeasible knowledge is represented by a (finite) set of nonmonotonic conditionals or assertions of the form  $A \vdash B$ , whose reading is *normally (or typically) the A's are B's*. The operator " $\vdash$ " is nonmonotonic, in the sense that  $A \vdash B$  does not imply  $A \land C \vdash B$ .

The calculus  $\mathcal{T}P^{T}$  and extensions for all the logics of the KLM family are proposed in [4]. The theorem provers KLMLean and FreeP implementing the tableau calculi have been presented at [3, 2].

**Remarks:** The calculus  $\mathcal{T}P^T$  can be used to define a decision procedure and obtain a complexity bound for the preferential logic **P**, namely that it is **coNP**-complete.

[1] S. Kraus, D. Lehmann, and M. Magidor. "Nonmonotonic Reasoning, Preferential Models and Cumulative Logics". In: *Artificial Intelligence* 44.1-2 (1990), pp. 167–207.

- [2] Laura Giordano, Valentina Gliozzi, Nicola Olivetti, and Gian Luca Pozzato. "An Implementation of a Free-variable Tableaux for KLM Preferential Logic P of Nonmonotonic Reasoning:" in: AI\*IA 2007: Artificial Intelligence and Human-Oriented Computing Proceedings of AI\*IA 2007 (10th Congress of Italian Association for Artificial Intelligence). Ed. by Roberto Basili and Maria Teresa Pazienza. Vol. 4733. Lecture Notes in Artificial Intelligence LNAI. Roma, Italy: Springer, Sept. 2007, pp. 84–96. DOI: 10.1007/978-3-540-74782-6\_9.
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Basic Rules
$$\frac{\Delta, s}{\Delta, \neg \neg s} \mathcal{G}(\neg)$$
$$\frac{\Delta, \neg s}{\Delta, \neg (s \lor t)} \mathcal{G}(\lor \neg)$$
$$\frac{\Delta, s, t}{\Delta, (s \lor t)} \mathcal{G}(\lor +)$$
$$\frac{\Delta, \neg (sl) \downarrow_{\beta} \quad l_{\alpha} \text{ closed term}}{\Delta, \neg \Pi^{\alpha} s} \mathcal{G}(\Pi_{-}^{l})$$
$$\frac{\Delta, (sc) \downarrow_{\beta} \quad c_{\delta} \text{ new symbol}}{\Delta, \Pi^{\alpha} s} \mathcal{G}(\Pi_{+}^{c})$$
Initialization
$$\frac{s \text{ atomic (and } \beta \text{-normal)}}{\Delta, s, \neg s} \mathcal{G}(init)$$
$$\frac{\Delta, (s \doteq^{o} t) \quad s, t \text{ atomic}}{\Delta, \neg s, t} \mathcal{G}(Init^{\doteq})$$
Extensionality
$$\frac{\Delta, (\forall X_{\alpha} sX \doteq^{\beta} tX) \downarrow_{\beta}}{\Delta, (s \doteq^{\alpha \rightarrow \beta} t)} \mathcal{G}(\mathfrak{f})$$
$$\frac{\Delta, \neg s, t \quad \Delta, \neg t, s}{\Delta, (s \doteq^{o} t)} \mathcal{G}(\mathfrak{b})$$
Decomposition
$$\frac{\Delta, (s^{1} \doteq^{\alpha_{1}} t^{1}) \cdots \Delta, (s^{n} \doteq^{\alpha_{n}} t^{n}) \quad n \geq 1, \beta \in \{o, \iota\}, h_{\overline{\alpha^{n}} \rightarrow \beta} \in \Sigma}{\Delta, (h \overline{s^{n}} \doteq^{\beta} h \overline{t^{n}})} \mathcal{G}(d)$$

One-sided sequent calculus  $\mathcal{G}_{\beta}$  is defined by the rules  $\mathcal{G}(init)$ ,  $\mathcal{G}(\neg)$ ,  $\mathcal{G}(\vee_{-})$ ,  $\mathcal{G}(\vee_{+})$ ,  $\mathcal{G}(\Pi_{-}^{l})$  and  $\mathcal{G}(\Pi_{+}^{c})$ . Calculus  $\mathcal{G}_{\beta b}$  extends  $\mathcal{G}_{\beta}$  by the additional rules  $\mathcal{G}(\mathfrak{b})$ ,  $\mathcal{G}(\mathfrak{f})$ ,  $\mathcal{G}(d)$ , and  $\mathcal{G}(Init^{\pm})$ .

Clarifications:  $\Delta$  and  $\Delta'$  are finite sets of  $\beta$ -normal closed formulas of classical higher-order logic (HOL; Church's Type Theory) [4].  $\Delta$ , s denotes the set  $\Delta \cup \{s\}$ . Let  $\alpha, \beta, o \in T$ . HOL *terms* are defined by the grammar  $(c_{\alpha}$  denotes typed constants and  $X_{\alpha}$  typed variables distinct from  $c_{\alpha}$ ):  $s,t := c_{\alpha} \mid X_{\alpha} \mid (\lambda X_{\alpha} s_{\beta})_{\alpha \to \beta} \mid (s_{\alpha \to \beta} t_{\alpha})_{\beta} \mid (\neg_{o \to o} s_{o})_{o} \mid (s_{o} \lor_{o \to o \to o} t_{o})_{o} \mid (\Pi_{(\alpha \to o) \to o} s_{\alpha \to o})_{o}$ . Leibniz equality  $\dot{=}^{\alpha}$  at type  $\alpha$  is defined as  $s_{\alpha} \dot{=}^{\alpha} t_{\alpha} := \forall P_{\alpha \to o} (\neg Ps \lor Pt)$ . For each simply typed  $\lambda$ -term s there is a unique  $\beta$ -normal form (denoted  $s_{\beta}$ ). HOL formulas are defined as terms of type s. A non-atomic formula is any formula whose  $\beta$ -normal form is of the form s where s is a logical constant. An atomic formula is any other formula.

Theorem proving in these calculi works as follows: In order to prove that a (closed) conjecture formula c logically follows from a (possibly empty) set of (closed) axioms  $\{a^1, \dots, a^n\}$ , we start from the initial sequent  $\Delta := \{c, \neg a^1, \dots, \neg a^n\}$  and reason backwards by applying the respective calculus rules.

**History:** The calculi have been presented in [3]. Earlier (two-sided) versions and further related sequent calculi for HOL have been presented in [1] and [2].

**Remarks:**  $G_{\beta}$  is sound and complete for elementary type theory ( $G_{\beta}$  is thus also sound for HOL).  $G_{\beta \uparrow b}$  is sound and complete for HOL. Moreover, both calculi are cut-free and they do not admit cut-simulation [3].

<sup>[1]</sup> Christoph Benzmüller, Chad Brown, and Michael Kohlhase. *Semantic Techniques for Cut-Elimination in Higher Order Logic*. Tech. rep. Saarland University, Saarbrücken, Germany and Carnegie Mellon University, Pittsburgh, USA, 2003.

<sup>[2]</sup> Chad E. Brown. "Set Comprehension in Church's Type Theory". See also Chad E. Brown, Automated Reasoning in Higher-Order Logic, College Publications, 2007. PhD thesis. Department of Mathematical Sciences, Carnegie Mellon University, 2004.

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- [4] Peter Andrews. "Church's Type Theory". In: *The Stanford Encyclopedia of Philosophy*. Ed. by Edward N. Zalta. Spring 2014. 2014.

(1999-2013)

Normalisation Rules 
$$\frac{\mathbf{C} \vee [\mathbf{A} \vee \mathbf{B}]^{tt}}{\mathbf{C} \vee [\mathbf{A}]^{tt} \vee [\mathbf{B}]^{tt}} \vee^{tt} \frac{\mathbf{C} \vee [\mathbf{A}]^{ft}}{\mathbf{C} \vee [\mathbf{A}]^{ft}} \vee^{tt} \frac{\mathbf{C} \vee [\mathbf{A}]^{tt}}{\mathbf{C} \vee [\mathbf{A}]^{tt}} -^{tt} \frac{\mathbf{C} \vee [\mathbf{A}]^{ft}}{\mathbf{C} \vee [\mathbf{A}]^{tt}} -^{tt} \frac{\mathbf{C} \vee [\mathbf{A}]^{tt}}{\mathbf{C} \vee [\mathbf{A}]^{tt}} -$$

Optional additional rules include (a) exhaustive universal instantion rule for (selective) finite domains, (b) detection and removal of Leibniz equations and Andrews equations, and (c) splitting. Like detectChoiceFn these rules are admissible.

Clarifications: A and B are metavariables ranging over terms of HOL [8]; see also {48}). The logical connectives are  $\neg$ ,  $\lor$ ,  $\Pi^{\tau}$  (universal quantification over variables of type  $\tau$ ), and  $=^{\tau}$  (equality on terms of type  $\tau$ ). Types are shown only if unclear in context. For example, in rule choice the variable  $E^{\alpha(ao)}$  is of function type, also written as  $(\alpha \to o) \to \alpha$ . Variables like F are presented as upper case symbols and constant symbols like h are lower case.  $\alpha$  equality and  $\beta\eta$ -normalisation are treated implicit, meaning that all clauses are implicitly normalised. C and D are metavariables ranging over clauses, which are disjunctions of literals.

These disjunctions are implicitly assumed associative and commutative; the latter also applies to all equations. Literals are formulas shown in square brackets and labelled with a *polarity* (either tt or ff), e.g.  $[\neg X]^{\text{ff}}$  denotes the negation of  $\neg X$ . FV(**A**) denotes the free variables of term **A**.  $\mathcal{AB}_{\tau}^{(h)}$  is the set of approximating bindings for head h and type  $\tau$ .  $\epsilon_{\alpha(\alpha o)}$  is a choice operator and CFs is a set of dynamically collected choice functions symbols; CFs is initialised with a single choice function.

**History:** The original calculus (without choice) has been presented in [4] and [5]. Recent modifications and extensions (e.g. choice) are discussed in [7] and [6]. The calculus is inspired by and extends Huet's constrained resolution [2, 1] and the extensional resolution calculus in [3].

**Remarks:** The calculus works for classical higher-order logic with Henkin semantics and choice. Soundness and completeness has been discussed in [4] and [5]. In the prover LEO-II, the factorisation rule is for performance reasons restricted to binary clauses and a (parametrisable) depth limit is employed for pre-unification. Such restrictions are a (deliberate) source for incompleteness.

- [1] Gérard P. Huet. "Constrained Resolution: A Complete Method for Higher Order Logic". PhD thesis. Case Western Reserve University, 1972.
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- [3] Christoph Benzmüller and Michael Kohlhase. "Extensional Higher-Order Resolution". In: *Automated Deduction CADE-15*. LNAI 1421. Springer, 1998, pp. 56–71.
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- [7] Nik Sultana and Christoph Benzmüller. "Understanding LEO-II's Proofs". In: *IWIL 2012*. Vol. 22. EPiC Series. EasyChair, 2013, pp. 33–52.
- [8] Peter Andrews. "Church's Type Theory". In: *The Stanford Encyclopedia of Philosophy*. Ed. by Edward N. Zalta. Spring 2014. 2014.

Focused LK (2007)

Asynchronous introduction rules

$$\frac{}{\vdash \Gamma \cap \tau, \Theta} \qquad \frac{\vdash \Gamma \cap B_1, \Theta \qquad \vdash \Gamma \cap B_2, \Theta}{\vdash \Gamma \cap B_1 \wedge \vdash B_2, \Theta} \qquad \frac{\vdash \Gamma \cap \Theta}{\vdash \Gamma \cap \tau, \Theta} \qquad \frac{\vdash \Gamma \cap B_1, B_2, \Theta}{\vdash \Gamma \cap B_1 \vee \vdash B_1, \Theta}$$

$$\frac{\vdash \Gamma \cap [y/x]B, \Theta}{\vdash \Gamma \cap \forall x.B, \Theta}$$

Synchronous introduction rules

$$\frac{}{\vdash \varGamma \biguplus t^+} \qquad \frac{\vdash \varGamma \biguplus B_1 \quad \vdash \varGamma \biguplus B_2}{\vdash \varGamma \biguplus B_1 \land^+ B_2} \qquad \frac{\vdash \varGamma \biguplus B_i}{\vdash \varGamma \biguplus B_1 \lor^+ B_2} \quad i \in \{1,2\} \qquad \frac{\vdash \varGamma \biguplus [t/x]B}{\vdash \varGamma \biguplus \exists x.B}$$

**IDENTITY RULES** 

STRUCTURAL RULES

Here,  $\Gamma$  ranges over multisets of polarized formulas;  $\Theta$  ranges over lists of polarized formulas; P denotes a positive formula; P denotes a negative formula; P denotes either a negative formula or a positive atom; and P denotes an unrestricted polarized formula. The negation in P denotes the negation normal form of the de Morgan dual of P. The right introduction rule for P has the the usual eigenvariable restriction that P is not free in any formula in the conclusion sequent.

**Clarifications:** This proof system involves *polarized* (negative normal) formulas of first-order classical logic: in order to polarize a formula B, one must assign the status of "positive" or "negative" bias to all atomic formulas and replace all occurrences of truth with either  $t^+$  or  $t^-$  and replace all occurrences of conjunctions with either  $\wedge^+$  or  $\wedge^-$ ; similarly, all occurrences of false and disjunctions must be polarized into  $f^+$ ,  $f^-$ ,  $\vee^+$ , and  $\vee^-$ . If there are n occurrences of propositional connectives in B, there are  $2^n$  ways to polarize B. The *positive connectives* are  $f^+$ ,  $\vee^+$ ,  $t^+$ ,  $\wedge^+$ , and  $\exists$  while the *negative connectives* are  $t^-$ ,  $\wedge^-$ ,  $t^-$ ,  $v^-$ , and  $\forall$ . A formula is *positive* it is a positive atom or has a top-level positive connective; similarly a formula is *negative* if it is a negative atom or has a top-level negative connective.

There are two kinds of sequents in this proof system, namely,  $\vdash \Gamma \cap \Theta$  and  $\vdash \Gamma \cup B$ , where  $\Gamma$  is a multiset of polarized formulas, B is a polarized formula, and  $\Theta$  is a list of polarized formulas. The list structure of  $\Theta$  can be replaced by a multiset.

**History:** This focused proof system is a slight variation of the proof systems in [5, 4]. A multifocus variant of **LKF** has been described in [6]. The design of **LKF** borrows strongly from Andreoli's focused proof system for linear logic [2] and Girard's LC proof system [1]. The first-order versions of the LKT and LKQ proof systems of [3] can be seen subsystems of **LKF**.

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Focused LJ (2007)

Asynchronous Introduction Rules

$$\frac{\Gamma \cap B_1 \vdash B_2 \cap }{\Gamma \cap \vdash B_1 \supset B_2 \cap } \qquad \frac{\Gamma \cap \vdash B_1 \cap \Gamma \cap \vdash B_2 \cap }{\Gamma \cap \vdash B_1 \cap B_2 \cap } \qquad \frac{\Gamma \cap \vdash B_2 \cap }{\Gamma \cap \vdash B_2 \cap }$$

$$\frac{\Gamma \cap \vdash [y/x]B \cap }{\Gamma \cap \vdash \forall x.B \cap } \qquad \frac{\Gamma \cap [y/x]B, \Theta \vdash \mathcal{R}}{\Gamma \cap \exists x.B, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap f^+, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_1, \Theta \vdash \mathcal{R}} \qquad \frac{\Gamma \cap B_1, \Theta \vdash \mathcal{R}}{\Gamma \cap B_$$

Synchronous Introduction Rules

$$\begin{array}{c|c} \underline{\Gamma \vdash B_1 \Downarrow \quad \Gamma \Downarrow B_2 \vdash E} \\ \hline \Gamma \Downarrow B_1 \supset B_2 \vdash E \end{array} \qquad \frac{\Gamma \Downarrow [t/x]B \vdash E}{\Gamma \Downarrow \forall x.B \vdash E} \qquad \frac{\Gamma \Downarrow B_i \vdash E}{\Gamma \Downarrow B_1 \land \neg B_2 \vdash E} \quad i \in \{1,2\} \\ \hline \\ \underline{\Gamma \vdash B_i \Downarrow} \\ \hline \Gamma \vdash B_1 \lor^+ B_2 \Downarrow \qquad \overline{\Gamma \vdash t^+ \Downarrow} \qquad \frac{\Gamma \vdash B_1 \Downarrow \quad \Gamma \vdash B_2 \Downarrow}{\Gamma \vdash B_1 \land^+ B_2 \Downarrow} \qquad \frac{\Gamma \vdash [t/x]B \Downarrow}{\Gamma \vdash \exists x.B \Downarrow} \\ \hline \end{array}$$

**IDENTITY RULES** 

$$\frac{N \text{ atomic}}{\Gamma \Downarrow N \vdash N} \ I_l \qquad \frac{P \text{ atomic}}{\Gamma, P \vdash P \Downarrow} \ I_r \qquad \frac{\Gamma \Uparrow \cdot \vdash B \Uparrow \cdot \qquad \Gamma \Uparrow B \vdash \cdot \Uparrow E}{\Gamma \Uparrow \cdot \vdash \cdot \Uparrow E} \ Cut$$

STRUCTURAL RULES

$$\frac{\Gamma, N \downarrow N \vdash E}{\Gamma, N \uparrow \vdash \vdash \vdash \uparrow E} D_{l} \qquad \frac{\Gamma \vdash P \downarrow}{\Gamma \uparrow \vdash \vdash \vdash \uparrow P} D_{r} \qquad \frac{\Gamma \uparrow P \vdash \vdash \uparrow E}{\Gamma \downarrow P \vdash E} R_{l} \qquad \frac{\Gamma \uparrow \vdash \vdash N \uparrow \vdash}{\Gamma \vdash N \downarrow} R_{r}$$

$$\frac{C, \Gamma \uparrow \Theta \vdash \mathcal{R}}{\Gamma \uparrow \uparrow C, \Theta \vdash \mathcal{R}} S_{l} \qquad \frac{\Gamma \uparrow \vdash \vdash \vdash \uparrow E}{\Gamma \uparrow \vdash \vdash E \uparrow \vdash} S_{r}$$

Here,  $\Theta$  ranges over multisets of polarized formulas;  $\Gamma$  ranges over lists of polarized formulas; P denotes a positive formula; N denotes a negative formula; C denotes either a negative formula or a positive atom; and E denotes either a positive formula or a negative atom; and E denotes an unrestricted polarized formula. The introduction rule for  $\forall$  has the usual eigenvariable restriction that E is not free in any formula in the conclusion sequent.

**Clarifications:** This proof system involves *polarized* formulas of first-order intuitionistic logic: in order to polarize a formula B, one must assign the status of "positive" or "negative" bias to all atomic formulas and replace all occurrences of truth with either  $t^+$  or  $t^-$  and all occurrences of conjunction with either  $\wedge^+$  or  $\wedge^-$ . If there are n occurrences of truth and conjunction in B, there are  $2^n$  ways to do this replacement. Similarly, we replace the false and disjunction with  $f^+$  and  $\vee^+$  since only the positive polarization for these connectives are available in **LJF**. (Assigning polarization in classical logic is different: see the **LKF** proof system {50}.) The *positive connectives* are  $f^+$ ,  $\vee^+$ ,  $t^+$ ,  $\wedge^+$ , and  $\exists$  while the *negative connectives* are  $t^-$ ,  $\wedge^-$ ,  $\supset$ , and  $\forall$ . A formula is *positive* if it is a positive atom or has a top-level positive connective; similarly a formula is *negative* if it is a negative atom or has a top-level negative connective.

There are two kinds of sequents in this proof system. One kind contains a single  $\downarrow$  on either the right or the left of the turnstyle ( $\vdash$ ) and are of the form  $\Gamma \downarrow B \vdash E$  or  $\Gamma \vdash B \downarrow :$  in both of these cases, the formula B is the *focus* of the sequent. The other kind of sequent has an occurrence of  $\uparrow$  on each side of the turnstyle, eg.,  $\Gamma \uparrow \Theta \vdash \Delta_1 \uparrow \Delta_2$ , and is such that the union of the two multisets  $\Delta_1$  and  $\Delta_2$  contains exactly one formula: that is, one of these multisets is empty and the other is a singleton. When writing asynchronous rules that introduce a connective on the left-hand side, we write  $\mathcal{R}$  to denote  $\Delta_1 \downarrow \!\!\!\perp \Delta_2$ .

Note that in the asynchronous phase, a right introduction rule is applied only when the left asynchronous zone  $\Gamma$  is empty. Similarly, a left-introduction rule in the async phase introduces the connective at the top-level of the first formula in that context. The scheduling of introduction rules during this phase can be assigned arbitrarily and the zone  $\Gamma$  can be interpreted as a multiset instead of a list.

The choice of how to polarize an unpolarized formula does not affect provability in LJF but can make a big impact on the structure of LJF proofs that can be built.

**History:** This focused proof system is a slight variation of the proof system in [6, 5]. **LJF** can be seen as a generalization to the MJ sequent system of Howe [2]. Other focused proof systems, such as LJT [1], LJQ/LJQ' [4], and  $\lambda$ RCC [3] can be directly emulated within **LJF** by making the appropriate choice of polarization.

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Typing Rules for Terms 
$$\frac{\Gamma \vdash^{ctx} \Delta}{\Gamma; \Delta \vdash \mathbf{Type} : \mathbf{Kind}} (\mathbf{Sort})$$

$$\frac{\Gamma \vdash^{ctx} \Delta}{\Gamma; \Delta \vdash c : A} (c : A) \in \Gamma}{\Gamma; \Delta \vdash c : A} (\mathbf{Constant}) \frac{\Gamma \vdash^{ctx} \Delta}{\Gamma; \Delta \vdash u : A} (x : A) \in \Delta}{\Gamma; \Delta \vdash u : A} (\mathbf{Variable})$$

$$\frac{\Gamma; \Delta \vdash t : \Pi x : A.B}{\Gamma; \Delta \vdash t u : B[x/u]} (\mathbf{Application})$$

$$\frac{\Gamma; \Delta \vdash t : B}{\Gamma; \Delta \vdash \lambda x : A.t : \Pi x : A.B} (\mathbf{Abstraction})$$

$$\frac{\Gamma; \Delta \vdash A : \mathbf{Type} \qquad \Gamma; \Delta(x : A) \vdash B : s}{\Gamma; \Delta \vdash \Pi x : A.B : s} (\mathbf{Product})$$

$$\frac{\Gamma; \Delta \vdash t : A}{\Gamma; \Delta \vdash H x : A.B : s} A \equiv_{\beta \Gamma} B (\mathbf{Conversion})$$
Well-Formedness for Local Contexts
$$\frac{\Gamma \text{ wf}}{\Gamma \vdash^{ctx} \emptyset} \frac{\Gamma \vdash^{ctx} \Delta \qquad \Gamma; \Delta \vdash U : \mathbf{Type} \qquad x \notin dom(\Delta)}{\Gamma \vdash^{ctx} \Delta(x : U)}$$
Well-Formedness Rules for Global Contexts
$$\frac{\Gamma \text{ wf}}{\emptyset \text{ wf}} \frac{\Gamma \text{ wf}}{\Gamma(c : U) \text{ wf}} \frac{\Gamma; \emptyset \vdash U : \mathbf{Type}}{\Gamma(C : K) \text{ wf}} \frac{\Gamma \text{ wf}}{\Gamma(C : K) \text{ wf}}$$

$$\frac{\Gamma \text{ wf}}{\Gamma(C : U) \text{ wf}} \xrightarrow{\Gamma; \emptyset \vdash U : \mathbf{Type}} \frac{\Gamma \text{ wf}}{\Gamma(C : K) \text{ wf}} \frac{\Gamma; \emptyset \vdash K : \mathbf{Kind}}{\Gamma(C : K) \text{ wf}}$$

$$\frac{\Gamma \text{ wf}}{\Gamma(C : K) \text{ wf}} \xrightarrow{\Gamma; \emptyset \vdash U : \mathbf{Type}} \frac{\Gamma \text{ wf}}{\Gamma(C : K) \text{ wf}} = \frac{\Gamma \text{ wf}}{\Gamma(C : K) \text{ wf}}$$

**Clarifications:** The  $\lambda\Pi$ -Calculus Modulo is an extension of the  $\lambda$ -Calculus with dependent types and rewrite rules. Computational equivalence is extended from  $\beta$ -equivalence to  $\beta\Gamma$ -equivalence ( $\equiv_{\beta\Gamma}$ ), the congruence generated by  $\beta$ -reduction and the rewrite rules ( $u \hookrightarrow v$ ) in the global context  $\Gamma$ .

**History:** The  $\lambda\Pi$ -Calculus Modulo has been introduced by Cousineau and Dowek [1] as an expressive logical framework. It has been used to design *shallow encodings* of many logics and calculus such as functional Pure Type Systems [1], Higher-Order Logic [4], the Calculus of Inductive Constructions [2], resolution and superposition [3], or the  $\varsigma$ -calculus [5]. The well-formedness rules for global contexts were not part of the original type system and have been introduced by Saillard [6]. The  $\lambda\Pi$ -Calculus Modulo is implemented in the proof checker Dedukti [7].

**Remarks:** Confluence of the rewriting relation  $\rightarrow_{\beta\Gamma}$  is required to guarantee subject reduction. This requirement can be weakened to confluence for a notion of rewriting modulo  $\beta$  [6]. Decidability of type inference depends on strong normalization.

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$$\{B_{k} \vdash A_{1}, \dots, A_{n}, D_{1}, \dots, D_{m} \mid k \leq n \}$$

$$\cup \{C_{k} \vdash A_{1}, \dots, A_{n}, D_{1}, \dots, D_{k-1} \mid k \leq m \}$$

$$\overline{\Gamma, (C_{1} \preccurlyeq D_{1}), \dots, (C_{m} \preccurlyeq D_{m}) \vdash \Delta, (A_{1} \preccurlyeq B_{1}), \dots, (A_{n} \preccurlyeq B_{n})} R_{n,m}$$

$$\frac{\{C_{k} \vdash D_{1}, \dots, D_{k-1} \mid k \leq m \} \qquad \Gamma \vdash \Delta, D_{1}, \dots, D_{m}}{\Gamma, (C_{1} \preccurlyeq D_{1}), \dots, (C_{m} \preccurlyeq D_{m}) \vdash \Delta} T_{m}$$

$$\frac{\{C_{k} \vdash A_{1}, \dots, A_{n}, D_{1}, \dots, D_{k-1} \mid k \leq m \} \qquad \Gamma \vdash \Delta, A_{1}, \dots, A_{n}, D_{1}, \dots, D_{m}}{\Gamma, (C_{1} \preccurlyeq D_{1}), \dots, (C_{m} \preccurlyeq D_{m}) \vdash \Delta, (A_{1} \preccurlyeq B_{1}), \dots, (A_{n} \preccurlyeq B_{n})} T_{n}$$

$$\frac{\Gamma \vdash \Delta, A}{\Gamma \vdash \Delta, (A \preccurlyeq B)} R_{C1} \qquad \frac{\Gamma, A \vdash \Delta}{\Gamma, (A \preccurlyeq B) \vdash \Delta} \qquad R_{C2}$$

$$\frac{\{\Gamma \preccurlyeq A, B_{k} \vdash \Delta \preccurlyeq A_{1}, \dots, A_{n}, D_{1}, \dots, D_{m} \mid k \leq n \}}{\Gamma, (C_{1} \preccurlyeq D_{1}), \dots, (C_{m} \preccurlyeq D_{m}) \vdash \Delta, (A_{1} \preccurlyeq B_{1}), \dots, (A_{n} \preccurlyeq B_{n})} A_{n,m}$$

$$\frac{R_{V \preccurlyeq}}{\Gamma, (C_{1} \preccurlyeq D_{1}), \dots, (C_{m} \preccurlyeq D_{m}) \vdash \Delta, (A_{1}, \preccurlyeq B_{1}), \dots, (A_{n} \preccurlyeq B_{n})} A_{n,m}$$

$$\frac{R_{V \preccurlyeq}}{R_{V \bowtie}} = \{R_{n,m} \mid n + m \geq 1\} \qquad R_{V \bowtie} = R_{V} \cup \{R_{C1}, R_{C2}\}$$

$$R_{V \bowtie} = R_{V \preccurlyeq} \cup \{T_{m} \mid m \geq 1\} \qquad R_{V \bowtie} = \{A_{n,m} \mid n \geq 1, m \geq 0\}$$

$$R_{V \bowtie} = R_{V \preccurlyeq} \cup \{W_{n,m} \mid n + m \geq 1\} \qquad R_{V \bowtie} = \{A_{n,m} \mid n \geq 1, m \geq 0\}$$

$$R_{V \bowtie} = R_{V \preccurlyeq} \cup \{W_{n,m} \mid n + m \geq 1\} \qquad R_{V \bowtie} = \{A_{n,m} \mid n + m \geq 1\}$$

**Clarifications:** Sequents are based on multisets. The rules  $\mathcal{R}_{\mathcal{L}_{\preccurlyeq}}$  form a calculus for a counterfactual logic  $\mathcal{L}$  described in [1], where  $\preccurlyeq$  is the *comparative plausibility* operator. Besides the rules shown above, these calculi also include the propositional rules of **G3c** {32} and contraction rules. The contexts  $\Gamma^{\preccurlyeq}$  and  $\Delta^{\preccurlyeq}$  contain all formulae of resp.  $\Gamma$  and  $\Delta$  of the form  $A \preccurlyeq B$ .

**History:** The calculus for  $\mathbb{VC}$  was introduced in the tableaux setting [2, 3]. The remaining calculi were introduced in [4, 6] and corrected in [5].

**Remarks:** Soundness and completeness are shown by proving equivalence to Hilbert-style calculi and (syntactical) cut elimination. These calculi yield PSPACE decision procedures (EXPTIME for  $\mathbb{VA}_{\preccurlyeq}$  and  $\mathbb{VNA}_{\preccurlyeq}$ ) and, in most cases, enjoy Craig Interpolation. Contraction can be made admissible.

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$$\frac{\{C_{k}, \mathbf{B}^{I} \vdash \mathbf{A}^{[n] \setminus I}, \mathbf{C}^{J}, \mathbf{D}^{[k-1] \setminus J} \mid 1 \leq k \leq m, I \subseteq [n], J \subseteq [k-1]\}}{\cup \{A_{k}, B_{k}, \mathbf{B}^{I} \vdash \mathbf{A}^{[n] \setminus I}, \mathbf{C}^{J}, \mathbf{D}^{[m] \setminus J} \mid k \leq n, I \subseteq [n], J \subseteq [m]\}}{\Gamma, (A_{1} \bowtie B_{1}), \dots, (A_{n} \bowtie B_{n}) \vdash \Delta, (C_{1} \bowtie D_{1}), \dots, (C_{m} \bowtie D_{m})} R_{n,m}} R_{n,m}$$

$$\frac{\{\Gamma \vdash \Delta, \mathbf{C}^{J}, \mathbf{D}^{[m] \setminus J} \mid J \subseteq [m]\} \cup \{C_{k} \vdash D_{k}, \mathbf{C}^{J}, \mathbf{D}^{[k-1] \setminus J} \mid 1 \leq k \leq m, J \subseteq [k-1]\}}{\Gamma \vdash \Delta, (C_{1} \bowtie D_{1}), \dots, (C_{m} \bowtie D_{m})} T_{m}} T_{m}$$

$$\frac{\{C_{k}, \mathbf{B}^{I} \vdash \mathbf{A}^{[n] \setminus I}, \mathbf{C}^{J}, \mathbf{D}^{[k-1] \setminus J} \mid 1 \leq k \leq m, I \subseteq [n], J \subseteq [k-1]\}}{\cup \{\Gamma, \mathbf{B}^{I} \vdash \mathbf{A}^{[n] \setminus I}, \mathbf{C}^{J}, \mathbf{D}^{[m] \setminus J} \mid I \subseteq [n], J \subseteq [m]\}} T_{m}$$

$$\frac{\{C_{k}, \mathbf{B}^{I} \vdash \mathbf{A}^{[n] \setminus I}, \mathbf{C}^{J}, \mathbf{D}^{[m] \setminus J} \mid 1 \leq k \leq m, I \subseteq [n], J \subseteq [m]\}}{\Gamma, (A_{1} \bowtie B_{1}), \dots, (A_{n} \bowtie B_{n}) \vdash \Delta, (C_{1} \bowtie D_{1}), \dots, (C_{m} \bowtie D_{m})} W_{n,m}$$

$$\frac{\Gamma \vdash \Delta, A \qquad \Gamma, B \vdash \Delta}{\Gamma, (A \bowtie B) \vdash \Delta} R_{C_{1}} \qquad \frac{\Gamma \vdash \Delta, A \qquad \Gamma, A \vdash \Delta, B}{\Gamma \vdash \Delta, (A \bowtie B)} R_{C_{2}}$$

For n > 0 the set [n] is  $\{1, ..., n\}$  and [0] is  $\emptyset$ . For a set I of indices,  $\mathbf{A}^I$  contains all  $A_i$  with  $i \in I$ .

$$\begin{split} \mathcal{R}_{\mathbb{V}_{\square \Rightarrow}} &= \{R_{n,m} \mid n \geq 1, m \geq 0\} \\ \mathcal{R}_{\mathbb{V}\mathbb{N}_{\square \Rightarrow}} &= \{R_{n,m} \mid n+m \geq 1\} \\ \mathcal{R}_{\mathbb{V}\mathbb{T}_{\square \Rightarrow}} &= \mathcal{R}_{\mathbb{V}_{\square \Rightarrow}} \cup \{W_{n,m} \mid n+m \geq 1\} \\ \mathcal{R}_{\mathbb{V}\mathbb{T}_{\square \Rightarrow}} &= \mathcal{R}_{\mathbb{V}_{\square \Rightarrow}} \cup \{R_{C1}, R_{C2}\} \end{split}$$

**Clarifications:** Sequents are based on multisets. The rules  $\mathcal{R}_{\mathcal{L}_{\square}}$  form a calculus for a counterfactual logic  $\mathcal{L}$  described in [1], where  $\square \Rightarrow$  is the *strong counterfactual implication* operator. Besides the rules shown above, these calculi also include the propositional rules of **G3c** {32} and contraction rules.

**History:** These calculi were introduced in [2] and corrected in [3].

**Remarks:** The calculi are translations of the calculi in  $\{53\}$  to the language with  $\square \Rightarrow$ . They inherit cut elimination and yield PSPACE decision procedures. Contraction can be made admissible.

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- [2] Björn Lellmann and Dirk Pattinson. "Sequent Systems for Lewis' Conditional Logics". In: *JELIA* 2012. Ed. by Luis Fariñas del Cerro, Andreas Herzig, and Jerome Mengin. Vol. 7519. LNCS. Springer-Verlag Berlin Heidelberg, 2012, pp. 320–332.
- [3] Björn Lellmann. "Sequent Calculi with Context Restrictions and Applications to Conditional Logic". PhD thesis. Imperial College London, 2013. url: http://hdl.handle.net/10044/1/18059.

### Conditional Nested Sequents NS

(2012-2014)

$$\Gamma(P, \neg P) \quad (AX) \qquad \Gamma(\top) \quad (AX_{\top}) \qquad \Gamma(\neg \bot) \quad (AX_{\bot})$$

$$\frac{\Gamma(A)}{\Gamma(\neg A)} (\neg) \qquad \frac{\Gamma(\neg (A \Rightarrow B), [A' : \varDelta, \neg B]) \quad A, \neg A' \quad A', \neg A}{\Gamma(\neg (A \Rightarrow B), [A' : \varDelta])} (\Rightarrow^{-}) \qquad \frac{\Gamma([A : B])}{\Gamma(A \Rightarrow B)} (\Rightarrow^{+})$$

$$\frac{\Gamma([A : \varDelta, \neg A])}{\Gamma([A : \varDelta])} (ID) \qquad \frac{\Gamma([A : \varDelta, \varSigma], [B : \varSigma]) \quad A, \neg B \quad B, \neg A}{\Gamma([A : \varDelta], [B : \varSigma])} (CEM)$$

$$\frac{\Gamma(\neg (A \Rightarrow B), A) \qquad \Gamma(\neg (A \Rightarrow B), \neg B)}{\Gamma(\neg (A \Rightarrow B))} (MP)$$

$$\frac{\Gamma(\neg (C \Rightarrow D), [A : \varDelta, \neg D] \quad \Gamma, \neg (C \Rightarrow D), [A : C] \quad \Gamma, \neg (C \Rightarrow D), [C : A]}{\Gamma, \neg (C \Rightarrow D), [A : \varDelta]} (CSO)$$

**Clarifications:** Conditional logics extend classical logic with formulas of the form  $A \Rightarrow B$ : intuitively,  $A \Rightarrow B$  is true in a world x if B is true in the set of worlds where A is true and that are most similar to x. The calculi NS manipulate *nested* sequents, a generalization of ordinary sequent calculi where sequents are allowed to occur within sequents. A nested sequent

$$A_1, ..., A_m, [B_1 : \Gamma_1], ..., [B_n : \Gamma_n]$$

is inductively defined by the formula

$$\mathcal{F}(\Gamma) = A_1 \vee \ldots \vee A_m \vee (B_1 \Rightarrow \mathcal{F}(\Gamma_1)) \vee \ldots \vee (B_n \Rightarrow \mathcal{F}(\Gamma_n)).$$

 $\Gamma(\Delta)$  represents a sequent  $\Gamma$  containing a *context* (a unique empty position) filled by the (nested) sequent  $\Delta$ . Besides the rules shown above, the calculi NS also include standard rules for propositional connectives.

**History:** The calculi NS have been introduced in [1] and extended in [2]. The theorem prover NESCOND, implementing NS in Prolog, has been presented in [3].

**Remarks:** Completeness is a consequence of the admissibility of cut. The calculi *NS* can be used to obtain a PSPACE decision procedure for the respective conditional logics (optimal for CK and extensions with ID and MP).

- [1] Régis Alenda, Nicola Olivetti, and Gian Luca Pozzato. "Nested Sequent Calculi for Conditional Logics". In: *Logics in Artificial Intelligence 13th European Conference, JELIA 2012*. Ed. by Luis Farinas del Cerro, Andreas Herzig, and Jéròme Mengin. Vol. 7519. Lecture Notes in Artificial Intelligence LNAI. Toulouse, France: Springer, Sept. 2012, pp. 14–27. doi: 10.1007/978-3-642-33353-8\_2.
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[3] Nicola Olivetti and Gian Luca Pozzato. "NESCOND: an Implementation of Nested Sequent Calculi for Conditional Logics". In: *Proceedings of IJCAR 2014 (7th International Joint Conference on Automated Reasoning)*. Ed. by Stephane Demri, Deepak Kapur, and Christoph Weidenbach. Vol. 8562. Lecture Notes in Artificial Intelligence LNAI. Vienna (Austria): Springer, July 2014, pp. 511–518.

(2013)

Propagation rules:  $\frac{X[S \Rightarrow (A,S' \Rightarrow \mathcal{T}'),\mathcal{T}]}{X[S,A \Rightarrow (S' \Rightarrow \mathcal{T}'),\mathcal{T}]} p l_1 \qquad \frac{X[(S \Rightarrow \mathcal{T},A),S' \Rightarrow \mathcal{T}']}{X[(S \Rightarrow \mathcal{T},A),S' \Rightarrow \mathcal{T}']} p r_1$   $\frac{X[S,A,(S' \Rightarrow \mathcal{T}') \Rightarrow \mathcal{T}]}{X[S,(S',A \Rightarrow \mathcal{T}') \Rightarrow \mathcal{T}]} p l_2 \qquad \frac{X[S \Rightarrow \mathcal{T},A,(S' \Rightarrow \mathcal{T}')]}{X[S \Rightarrow \mathcal{T},(S' \Rightarrow \mathcal{T}',A)]} p r_2$ Identity and logical rules: In branching rules,  $X[] \in X_1[] \bullet X_2[]$ ,  $S \in S_1 \bullet S_2$  and  $\mathcal{T} \in \mathcal{T}_1 \bullet \mathcal{T}_2$ .  $\frac{X[],\mathcal{U} \text{ and } \mathcal{V} \text{ are hollow.}}{X[\mathcal{U},p \Rightarrow p,\mathcal{V}]} i d^d \qquad \frac{X[],\mathcal{U} \text{ and } \mathcal{V} \text{ are hollow.}}{X[\mathcal{U},\mathcal{U} \Rightarrow \mathcal{V}]} \perp_l^d \qquad \frac{X[S \Rightarrow \mathcal{T}]}{X[S \Rightarrow \mathcal{T},\bot]} \perp_r^d$   $\frac{X[S,A,B \Rightarrow \mathcal{T}]}{X[S,A,B \Rightarrow \mathcal{T}]} \otimes_l^d \qquad \frac{X[],\mathcal{U} \text{ and } \mathcal{V} \text{ are hollow.}}{X[\mathcal{U} \Rightarrow 1,\mathcal{V}]} \qquad I_r^d$   $\frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S,A \Rightarrow B \Rightarrow \mathcal{T}]} \otimes_l^d \qquad \frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S \Rightarrow A \otimes B,\mathcal{T}]} \otimes_r^d$   $\frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S,A \Rightarrow B \Rightarrow \mathcal{T}]} \otimes_l^d \qquad \frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S \Rightarrow \mathcal{T},A \Rightarrow \mathcal{T}]} \otimes_r^d$   $\frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S,A \Rightarrow B \Rightarrow \mathcal{T}]} \otimes_l^d \qquad \frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S \Rightarrow \mathcal{T},A \Rightarrow \mathcal{T}]} \otimes_r^d$   $\frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S,A \Rightarrow B \Rightarrow \mathcal{T}]} \otimes_l^d \qquad \frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S \Rightarrow \mathcal{T},A \Rightarrow \mathcal{T}]} \otimes_r^d$   $\frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S,A \Rightarrow B \Rightarrow \mathcal{T}]} \otimes_l^d \qquad \frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S \Rightarrow \mathcal{T},A \Rightarrow \mathcal{T}]} \otimes_r^d$   $\frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S,A \Rightarrow B \Rightarrow \mathcal{T}]} \otimes_l^d \qquad \frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S \Rightarrow \mathcal{T},A \Rightarrow \mathcal{T}]} \otimes_r^d$   $\frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S,A \Rightarrow B \Rightarrow \mathcal{T}]} \otimes_l^d \qquad \frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S \Rightarrow \mathcal{T},A \Rightarrow \mathcal{T}]} \otimes_r^d$   $\frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S,A \Rightarrow B \Rightarrow \mathcal{T}]} \otimes_l^d \qquad \frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{V}]}{X[S \Rightarrow \mathcal{T},A \Rightarrow \mathcal{T}]} \otimes_r^d$   $\frac{X[],\mathcal{U} \Rightarrow 1,\mathcal{U} \Rightarrow 1$ 

**Clarifications:** Following Kashima [1], nested sequents are defined as below where  $A_i$  and  $B_j$  are formulae [2]:

$$S : T ::= S_1, \dots, S_k, A_1, \dots, A_m \Rightarrow B_1, \dots, B_n, T_1, \dots, T_k$$

 $\Gamma$  and  $\Delta$  are multisets of formulae and P, Q, S, T, X, Y, etc., are nested sequents, and S, X, etc., are multisets of nested sequents and formulae.

Inference rules in BiILL*dn* are applied in a *context*, i.e., a nested sequent with a hole []. Notice that BiILL*dn* contain no structural rules. The branching rules require operations to merge contexts and nested sequents, which are explained below. The zero-premise rules require that certain sequents or contexts are *hollow*, i.e., containing no occurrences of formulae.

The merge set  $X_1 \bullet X_2$  of two sequents  $X_1$  and  $X_2$  is defined as:

$$\begin{split} X_1 \bullet X_2 &= \{ \; (\Gamma_1, \Gamma_2, Y_1, \dots, Y_m \Rightarrow \varDelta_1, \varDelta_2, Z_1, \dots, Z_n) \mid \\ X_1 &= (\Gamma_1, P_1, \dots, P_m \Rightarrow \varDelta_1, Q_1, \dots, Q_n) \; \text{ and } \\ X_2 &= (\Gamma_2, S_1, \dots, S_m \Rightarrow \varDelta_2, T_1, \dots, T_n) \; \text{ and } \\ Y_i \in P_i \bullet S_i \; \text{for } 1 \leq i \leq m \; \text{and } Z_j \in Q_j \bullet T_j \; \text{for } 1 \leq j \leq n \; \; \} \end{split}$$

When  $X \in X_1 \bullet X_2$ , we say that  $X_1$  and  $X_2$  are a *partition* of X.

The merge set  $X_1[\ ] \bullet X_2[\ ]$  of two contexts  $X_1[\ ]$  and  $X_2[\ ]$  is defined in [3]. If  $X[\ ] = X_1[\ ] \bullet X_2[\ ]$  we say  $X_1[\ ]$  and  $X_2[\ ]$  are a *partition* of  $X[\ ]$ . The notion of a merge set between multisets of formulae and sequents is as follows. Given  $X = \Gamma \cup \{X_1, \dots, X_n\}$  and  $\mathcal{Y} = \Delta \cup \{Y_1, \dots, Y_n\}$  their merge set contains all multisets of the form:  $\Gamma \cup \Delta \cup \{Z_1, \dots, Z_n\}$  where  $Z_i \in X_i \bullet Y_i$ .

History: The sequent calculus arose from an attempt to give a display calculus for full intuitionistic linear logic (FILL). As usual for display calculi, a detour is necessary through an extension of FILL with an "exclusion" connective —< which forms an adjunction with  $\Im$ . The resulting logic is called Bi-intuitionistic Linear Logic (BiILL). Although sound and complete for BiILL, the resulting display calculus is bad for backward proof search. Following Kashima [1], Alwen Tiu first obtained a shallow nested sequent calculus for BiILL, and then refined that into a deep nested sequent calculus for BiILL. The proof of cut-elimination for the shallow calculus, and the equivalence of the shallow and deep calculi requires over 615 different cases!

**Remarks:** The calculus shown is for Bi-Intuitionistic Linear Logic [2]. It is sound and complete. The soundness w.r.t. the categorical semantics is via the shallow nested sequent calculus for BiILL. A nested sequent is a (nested) *FILL-sequent* if it has no nesting of sequents on the left of  $\Rightarrow$ , and no occurrences of  $\prec$  at all. Only in the deep nested sequent calculus is it obvious that a derivation of a FILL-sequent encounters FILL-sequents only. The deep sequent calculus enjoys the subformula property and terminating backward-proof search. The validity problem for FILL is co-NP complete and BiILL is conservative over FILL [2]. All of these proofs were eventually formalised in Isabelle [3] by Jeremy Dawson. As far as is known, it is the only sequent calculus for FILL ({21}) that does not require (type) annotations.

- [1] Ryo Kashima. "Cut-free sequent calculi for some tense logics". In: *Studia Logica* 53.1 (1994), pp. 119–136.
- [2] Ranald Clouston, Jeremy E. Dawson, Rajeev Goré, and Alwen Tiu. "Annotation-Free Sequent Calculi for Full Intuitionistic Linear Logic". In: *Computer Science Logic (CSL)*. 2013, pp. 197–214.
- [3] Jeremy E. Dawson, Ranald Clouston, Rajeev Goré, and Alwen Tiu. "From Display Calculi to Deep Nested Sequent Calculi: Formalised for Full Intuitionistic Linear Logic". In: *Proc. Theoretical Computer Science 8th IFIP TC 1/WG 2.2 International Conference, TCS 2014.* 2014, pp. 250–264.

$$\overline{\Gamma.a:A\vdash a:A}$$

$$\frac{\varGamma,a:A\vdash b:C_{\pi}[B]}{\varGamma\vdash\lambda_{\pi}a^{A}.b:C_{\pi}[A\rightarrow B]}\rightarrow_{I}(\pi)$$

$$\frac{\varGamma \vdash f : C^1_{\pi_1}[A \to B] \quad \varGamma \vdash x : C^2_{\pi_2}[A]}{\varGamma \vdash (f \ x)^{\rightharpoonup}_{(\pi_1;\pi_2)} : C^1_{\pi_1}[C^2_{\pi_2}[B]]} \to_E^{\rightharpoonup} (\pi_1;\pi_2)$$

$$\frac{\Gamma \vdash f : C_{\pi_1}^1[A \to B] \quad \Gamma \vdash x : C_{\pi_2}^2[A]}{\Gamma \vdash (f \ x)_{(\pi_1:\pi_2)}^{\leftarrow} : C_{\pi_1}^2[C_{\pi_2}^1[B]]} \to_E^{\leftarrow} (\pi_1; \pi_2)$$

 $\pi$ ,  $\pi_1$  and  $\pi_2$  must be positive positions. a is allowed to occur in b only if  $\pi$  is strongly positive.

Clarifications:  $C_{\pi}[F]$  denotes a formula with F occurring in the hole of a *context*  $C_{\pi}[]$ .  $\pi$  is the position of the hole. It is: *positive* iff it is in the left side of an even number of implications; *strongly positive* iff this number is zero.

**History:** Contextual Natural Deduction [1] combines the idea of deep inference with Gentzen's natural deduction {1}.

**Remarks:** Soundness and completeness w.r.t. minimal logic are proven [1] by providing translations between  $ND^c$  and the minimal fragment of NJ {1}.  $ND^c$  proofs can be quadratically shorter than proofs in the minimal fragment of NJ.

[1] Bruno Woltzenlogel Paleo. "Contextual Natural Deduction". In: Logical Foundations of Computer Science, International Symposium, LFCS 2013, San Diego, CA, USA, January 6-8, 2013. Proceedings. Ed. by Sergei N. Artëmov and Anil Nerode. Vol. 7734. Lecture Notes in Computer Science. Springer, 2013, pp. 372–386. ISBN: 978-3-642-35721-3. DOI: 10.1007/978-3-642-35722-0\_27. URL: http://dx.doi.org/10.1007/978-3-642-35722-0\_27.

IR (2014)

$$\frac{}{\left\{x^{\mathsf{restr}(\tau,x)} \mid x \in C, x \text{ is existential}\right\}} (\mathsf{Ax})$$

C is a non-tautological clause from the matrix.

 $\tau = \{0/u \mid u \text{ is universal in } C\}$ , where the notation 0/u for literals u is shorthand for 0/y if u = y and 1/y if  $u = \neg y$ . We define  $\mathsf{restr}(\tau, x)$  as  $\{c/u \mid c/u \in \tau, | \mathsf{v}(u) < | \mathsf{v}(x) \}$ .

$$\frac{x^{\tau} \vee C_1 \qquad \neg x^{\tau} \vee C_2}{C_1 \cup C_2}$$
 (Resolution)

$$\frac{C}{\left\{x^{\xi} \mid x^{\sigma} \in C, x \text{ is existential}\right\}}$$
 (Instantiation)

au is a partial assignment to universal variables with  $\operatorname{rng}(\tau) \subseteq \{0,1\}$ .  $\xi = \sigma \cup \{c/u \mid c/u \in \operatorname{restr}(\tau,x), u \notin \operatorname{dom}(\sigma)\}$ 

The rules of IR [1]

**Clarifications:** The calculus aims to refute a quantified Boolean formula (QBF) of the form  $Q_1x_1...Q_nx_n.\varphi$  where  $Q_i \in \{\forall, \exists\}$  and  $\varphi$  is a Boolean formula in conjunctive normal form (CNF). The formula  $\varphi$  is referred to as the *matrix*. We write |v(x)| for the *quantification level* of x, i.e.  $|v(x_i)| = i$ . A variable  $x_i$  is *existential* (resp. *universal*) if  $Q_i = \exists$  (resp.  $Q_i = \forall$ ).

The calculus works by introducing clauses as *annotated clauses*, which are sets of annotated literals. Annotated literals consist of an existential literal and an annotation - a partial assignment to universal variables in  $\{0,1\}$ . Two literals are identical if and only if both the existential literal and annotation are equal. The calculus enables deriving the empty clause if and only if the given formula is false.

**Remarks:** Soundness was shown by extracting valid Herbrand functions. Completeness is shown by p-simulation of another known QBF system Q-Resolution.

**History:** The name of the calculus comes from the two pivotal operations *instantiation* and *resolution*. The calculus naturally generalizes an older calculus  $\forall \text{Exp+Res} [2]$ , which requires all clauses to be introduced into the proof by using a complete assignment.

<sup>[1]</sup> Olaf Beyersdorff, Leroy Chew, and Mikoláš Janota. "On Unification of QBF Resolution-Based Calculi". In: *Mathematical Foundations of Computer Science (MFCS)*. 2014.

<sup>[2]</sup> Mikoláš Janota and Joao Marques-Silva. "Expansion-based QBF solving versus Q-resolution". In: *Theoretical Computer Science* 577 (2015), pp. 25–42. doi: http://dx.doi.org/10.1016/j.tcs. 2015.01.048.

# **Sequent Calculus** SKMlin **for Superintuitionistic Modal Logic KMlin** (2014)

$$\begin{array}{c} \mathsf{TR} \, \overline{\Gamma_{\mathsf{L}} + \gamma_{\mathcal{A}}} & \mathsf{id} \, \overline{\Gamma_{\mathcal{L}} \varphi + \varphi_{\mathcal{A}}} & \mathsf{LL} \, \overline{\Gamma_{\mathcal{L}} + A} \\ \mathsf{VL} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi + A} & \mathsf{VR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AL} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi + A} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AL} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi + A} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AL} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi + A} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} & \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}} \\ \mathsf{AR} \, \overline{\Gamma_{\mathcal{L}} \varphi \vee \psi_{\mathcal{A}}$$

Clarifications: There is a unary modal connective  $\triangleright$  to be read as "later". Its semantics are box-like in terms of the underlying intuitionistic Kripke relation, which is irreflexive! There is also a new connective  $\rightarrow$  corresponding to an irreflexive version of intuitionistic implication, which can be defined as  $\triangleright(\varphi \rightarrow \psi)$ . The  $\rightarrow$ L rule is **LK**-like {2} in that it is multi-conclusioned and has one branch for each subformula of  $\varphi \land \psi$ , but it also converts  $\varphi \rightarrow \psi$  to  $\varphi \rightarrow \psi$ , read upwards, building in a form of contraction on such formulae. The  $\rightarrow$ R rule is unusual in that it has two premises: the left one is LK-like in that it does not delete  $\triangle$ , read upwards, while the right one converts  $\varphi \rightarrow \psi$  to  $\varphi \rightarrow \psi$  read upwards building in a form of contraction on such formulae.

The step rule has an indeterminate number of premises, one for each  $\varphi_i \to \psi_i \in \Delta^{\to}$ , and one for each  $\varphi_i \in \Phi^{\triangleright}$ . For each such "eventuality", the rule creates a premise that contains the subformula on an appropriate side, but also creates a copy of the principal formula in the antecedent of that premise, thus building in aspects of the standard sequent calculus for Göedel-Löb logic.

**History:** The superintuitionistic modal logic KMlin is obtained from Kuznetsov-Muravitsky logic KM [5] by demanding that the underlying Kripke frames be linear. The semantics of the unary modality  $\triangleright$  becomes "true in all strict successors".

Clouston and Goré [4] defined this sequent calculus. Their rules are inspired by those of: Mauro Ferrarri, Camillo Fiorentini and Guido Fiorino for a sequent calculus with compartments for intuitionistic logic [3]; Giovanna Corsi for her sequent calculus for (quantified) Gödel-Dummett logic LC [1]; and George Boolos for his sequent calculus for Godel-Löb logic GL [2].

**Remarks:** Clouston and Goré gave semantic proofs of soundness, cut-free completeness and the finite model property, thus giving decidability. They showed that the validity problem for this logic is coNP-complete. They also showed that all rules are invertible, so the sequent calculus can be used for backtrack-free and terminating backward proof search via the following strategy for rule applications: apply any applicable rule backwards, always preferring zero-premise rules if possible!

- [1] Giovanna Corsi. "A cut-free calculus for Dummett's LC quantified". In: Zeitschr. f. math. Logik und Grundlagen d. Math. 35 (1989), pp. 289–301.
- [2] George Boolos. The logic of provability. CUP, 1995.
- [3] Mauro Ferrari, Camillo Fiorentini, and Guido Fiorino. "Contraction-Free Linear Depth Sequent Calculi for Intuitionistic Propositional Logic with the Subformula Property and Minimal Depth Counter-Models". In: *J. Autom. Reason.* 51.2 (2013), pp. 129–149.
- [4] Ranald Clouston and Rajeev Goré. "Sequent Calculus in the Topos of Trees". In: *Proc. Foundations of Software Science and Computation Structures 18th International Conference, FoSSaCS 2015.* 2015, pp. 133–147.
- [5] Tadeusz Litak. "Constructive modalities with provability smack". Trends in Logic, to appear.

# **Erotetic Dual Resolution for Classical Propositional Logic** (2014)

$$\frac{?(\Phi; \exists S'\beta'T; \Psi)}{?(\Phi; \exists S'\beta_1'T; \exists S'\beta_2'T; \Psi)} \mathbf{R}_{\beta}$$

$$\frac{?(\Phi; \exists S'\alpha'T; \Psi)}{?(\Phi; \exists S'\alpha_1'\alpha_2'T; \Psi)} \mathbf{R}_{\alpha} \qquad \frac{?(\Phi; \exists S'\neg\neg A'T; \Psi)}{?(\Phi; \exists S'A'T; \Psi)} \mathbf{R}_{\neg \neg}$$

$$\frac{?(\Phi; \exists S'A'T; \Psi; \exists U'\overline{A'V}; \Omega)}{?(\exists S'\underline{T'}\underline{U'}\underline{V}; \Phi; \Psi; \Omega; \exists S'A'T; \exists U'\overline{A'V})} \mathbf{R}_{res}$$

In  $\mathbf{R}_{res}$ : A and  $\overline{A}$  must be complementary, that is either  $A = \neg \overline{A}$  or  $\overline{A} = \neg A$ .  $\underline{S}$ ,  $\underline{T}$  are obtained from S, T by deleting all occurrences of A and  $\underline{U}$ ,  $\underline{V}$  are obtained from U, V by deleting all occurrences of  $\neg A$ . For the  $\alpha$ ,  $\beta$  notation see entry  $\{41\}$ .

Clarifications: The calculus is built in the framework of Inferential Erotetic Logic ([1]) and is designed to transform questions concerning refutability (falsifiability) of a formula. However, the rules act upon *reversed sequents*. The sequents are right-sided with sequences of formulas in the succedent.  $\Phi$ ,  $\Psi$  are finite (possibly empty) sequences of sequents. S, T are finite (possibly empty) sequences of formulas. The semicolon ';' is the concatenation sign for sequences of formulas. The resolution rule is non-clausal (all the formulas in the premise, A included, may be compound) and dual with respect to the standard resolution (instead of CNF of  $\neg A$ , DNF of A is derived). A Socratic refutation of a sequent of the form ' $\neg A$ ' is a kind of a resolution refutation of  $\neg A$  and it ends when the empty sequent (a counterpart of the empty clause) is arrived at.

**History:** The calculus  $\mathbf{E}_{res}^{\mathsf{CPL}}$  has been presented in [2] together with extensions to some paraconsistent logics (see {61}). Compare also {41}.

**Remarks:** A formula A is CPL-valid iff  $\dashv A$  has a Socratic refutation in  $\mathbf{E}_{res}^{\mathsf{CPL}}$ . Similar results are obtained with respect to CLuN, CLuNs and mbC.

- [1] Andrzej Wiśniewski. Questions, Inferences, and Scenarios. London: College Publications, 2013.
- [2] Szymon Chlebowski and Dorota Leszczyńska-Jasion. "Dual Erotetic Calculi and the Minimal LFI". In: *Studia Logica* (2015). doi: 10.1007/s11225-015-9617-0.

#### **Erotetic Dual Resolution for mbC**

(2014)

The rules of  $\mathbf{E}_{res}^{\mathsf{CPL}}$  (see {60}) and the following rules ('¬' is used for the classical negation and '~' for the paraconsistent one):

one): 
$$\frac{?(\Phi; +S' \sim A'T; \Psi)}{?(\Phi; +S' \neg A'T; +S'\chi \sim A'T; \Psi)} \mathbf{R}_{\sim} \frac{?(\Phi; +S' \neg \sim A'T; \Psi)}{?(\Phi; +S'A' \neg \chi \sim A'T; \Psi)} \mathbf{R}_{\neg \sim} \frac{?(\Phi; +S' \wedge A'T; \Psi)}{?(\Phi; +S' \wedge A'\chi \wedge A'T; +S' -\chi \wedge A'\chi \wedge A'T; \Psi)} \mathbf{R}_{\circ} \frac{?(\Phi; +S' -\gamma \wedge A'\chi \wedge A'T; \Psi)}{?(\Phi; +S'A' \sim A'T; +S' -\chi \wedge A'T; \Psi)} \mathbf{R}_{\neg \circ}$$

Clarifications: See {60} for notational conventions.

The calculus is worded in a language being an extension of the language of mbC, where the role of the additional  $\chi$  operator is to syntactically express the fact that certain formulas (e.g. of the form ' $\sim A$ ', ' $\circ A$ ') may have a logical value independent of the value of A.

**History:** The calculus  $\mathbf{E}_{res}^{\mathsf{mbC}}$  has been presented in [2] together with similar calculi for CLuN, CLuNs and for Classical Propositional Logic. The idea to use  $\chi$  operator was taken from [1], where the authors presented erotetic calculi for logics CLuN and CLuNs in a non-resolution account.

**Remarks:** A formula A is mbC-valid iff  $\dashv A$  has a Socratic refutation in  $\mathbf{E}_{res}^{\mathsf{mbC}}$ . A formula A is CLuN-valid iff  $\dashv A$  has a Socratic refutation constructed without the use of rules  $\mathbf{R}_{\circ}$ ,  $\mathbf{R}_{\neg \circ}$ . Similar results are obtained with respect to CLuNs and the classical case.

<sup>[1]</sup> Andrzej Wiśniewski, Guido Vanackere, and Dorota Leszczyńska. "Socratic Proofs and Paraconsistency: A Case Study". In: *Studia Logica* 80.2-3 (2004), pp. 433–468.

<sup>[2]</sup> Szymon Chlebowski and Dorota Leszczyńska-Jasion. "Dual Erotetic Calculi and the Minimal LFI". In: *Studia Logica* (2015). doi: 10.1007/s11225-015-9617-0.

# Part III Indexes

# **List of Contributors**

# Appendix A Contributors

ToDo: Use an index instead.

## Appendix B Authors

ToDo: Use an index instead.

## Appendix C Acronyms

Use the template *acronym.tex* together with the Springer document class SVMono (monograph-type books) or SVMult (edited books) to style your list(s) of abbreviations or symbols in the Springer layout.

Lists of abbreviations symbols and the like are easily formatted with the help of the Springer-enhanced description environment.

ABC Spelled-out abbreviation and definition BABI Spelled-out abbreviation and definition CABR Spelled-out abbreviation and definition 104 C Acronyms

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