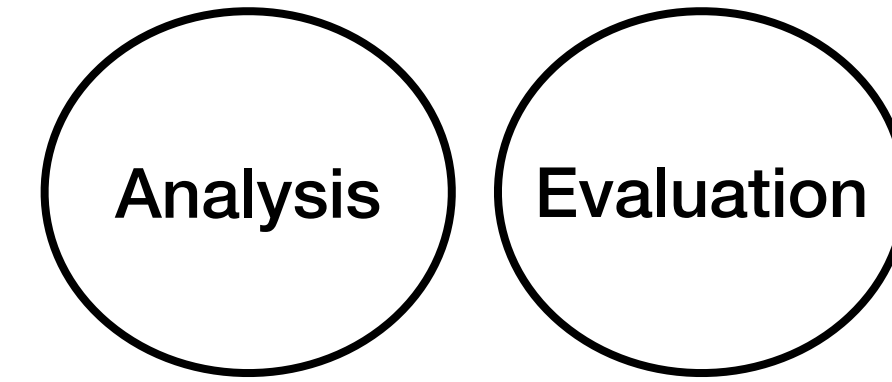


Core Design Concepts Discussed:



# Performance and Optimization of Recursive Functions

**Harley Eades III**

# The Performance Hit

Core Design Concepts:

Evaluation

Consider evaluating the following recursive function:

```
1. let rec mult m n =  
2.   if m == 0  
3.   then 0  
4.   else if n == 0  
5.       then 0  
6.       else let rc = mult m (n - 1) in  
7.           let ret = m + rc in  
8.           ret  
9.  
10. let main =  
11.   let m = 1 in  
12.   let n = 2 in  
13.   let answ = mult m n in  
14.   answ  
15.  
16. main;;
```

# The Performance Hit

```
1. let rec mult m n =
2.   if m == 0
3.   then 0
4.   else if n == 0
5.         then 0
6.         else let rc = mult m (n - 1) in
7.               let ret = m + rc in
8.               ret
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.     let answ = mult m n in
14.     answ
15.
→ 16. main;;
```

Frame	Symbol	Value
init line: 16	ackermann main	<fun> <fun>

# The Performance Hit

```
1. let rec mult m n =
2.   if m == 0
3.   then 0
4.   else if n == 0
5.         then 0
6.         else let rc = mult m (n - 1) in
7.               let ret = m + rc in
8.               ret
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
→ 13.     let answ = mult m n in
14.       answ
15.
16. main;;
```

Frame	Symbol	Value
init line: 16	ackermann main	<fun> <fun>
main line: 13	m n	1 2

# The Performance Hit

→

```
1. let rec mult m n =
2.   if m == 0
3.   then 0
4.   else if n == 0
5.       then 0
6.       else let rc = mult m (n - 1) in
7.             let ret = m + rc in
8.             ret
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.     let answ = mult m n in
14.     answ
15.
16. main;;
```

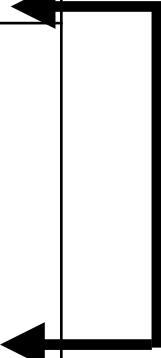
Frame	Symbol	Value
init line: 16	ackermann main	<fun> <fun>
main line: 13	m n	1 2
mult: line 7	m n rc	1 2 ?

# The Performance Hit

→

```
1. let rec mult m n =
2.   if m == 0
3.   then 0
4.   else if n == 0
5.       then 0
6.       else let rc = mult m (n - 1) in
7.             let ret = m + rc in
8.             ret
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.     let answ = mult m n in
14.     answ
15.
16. main;;
```

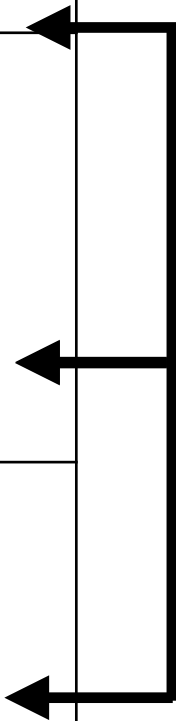
Frame	Symbol	Value
init line: 16	ackermann main	<fun> <fun>
main line: 13	m n	1 2
mult: line 7	m n rc	1 2 ?
mult: line 7	m n rc	1 1 ?



# The Performance Hit

```
1. let rec mult m n =
2.   if m == 0
3.   then let ret = 0 in ret
4.   else if n == 0
5.       then let ret = 0 in ret
6.       else let rc = mult m (n - 1) in
7.           let ret = m + rc in
8.           ret
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

Frame	Symbol	Value
init line: 16	ackermann main	<fun> <fun>
main line: 13	m n	1 2
mult line: 8	m n rc	1 2 ?
mult line: 8	m n rc	1 1 ?
mult line: 5	m n	1 0



# The Performance Hit

```
1. let rec mult m n =
2.   if m == 0
3.   then let ret = 0 in ret
4.   else if n == 0
5.       then let ret = 0 in ret
6.       else let rc = mult m (n - 1) in
7.           let ret = m + rc in
8.           ret
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

Frame	Symbol	Value
init line: 16	ackermann main	<fun> <fun>
main line: 13	m n	1 2
mult line: 8	m n rc	1 2 ?
mult line: 8	m n rc	1 1 0
mult line: 5	m n	1 0




# The Performance Hit

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1. let rec mult m n =
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7.             let ret = m + rc in
8.             ret
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10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

Frame	Symbol	Value
init line: 16	ackermann main	<fun> <fun>
main line: 13	m n	1 2
mult line: 8	m n rc	1 2 1
mult line: 8	m n rc	1 1 0
mult line: 5	m n	1 0

# The Performance Hit



```
1. let rec mult m n =  
2.   if m == 0  
3.   then let ret = 0 in ret  
4.   else if n == 0  
5.       then let ret = 0 in ret  
6.       else let rc = mult m (n - 1) in  
7.           let ret = m + rc in  
8.           ret  
9.  
10. let main =  
11.   let m = 1 in  
12.   let n = 2 in  
13.   let answ = mult m n in  
14.   answ  
15.  
16. main;;
```

- Bad for performance: making a recursive call in an argument position (line 7).
- This results in the bindings of an activation record depending on the return value of a new activation record.
- Thus, the compiler will create lots of activation records that cannot be popped off of the stack until the end of evaluation.
- This results in a bad use of memory.

# Tail Recursion using the accumulator pattern

## Non-tail recursive:

```
1. let rec mult m n =  
2.   if m == 0  
3.   then 0  
4.   else if n == 0  
5.       then 0  
6.       else m + (mult m (n - 1))
```

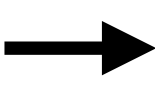
## Tail recursive:

```
1. let rec mult_helper acc m n =  
2.   if m == 0  
3.   then 0  
4.   else if n == 0  
5.       then acc  
6.       else mult_helper (m + acc) m (n - 1)  
7.  
8. let mult m n = mult_helper 0 m n
```

# Evaluation of Tail Recursion

Frame	Symbol	Value
init	mult	<fun>
line: 16	main	<fun>

```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
4.     then 0
5.     else if n == 0
6.           then acc
7.           else mult_helper (m + acc) (n - 1)
8.   in mult_helper 0 n
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```



# Evaluation of Tail Recursion

```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
4.     then 0
5.     else if n == 0
6.           then acc
7.           else mult_helper (m + acc) (n - 1)
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10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.     let answ = mult m n in
14.       answ
15.
16. main;;
```

Frame	Symbol	Value
init line: 16	mult main	<fun> <fun>
main line: 13	m n	1 2

# Evaluation of Tail Recursion



```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
4.     then 0
5.     else if n == 0
6.           then acc
7.           else mult_helper (m + acc) (n' - 1)
8.   in mult_helper 0 n
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12.   let n = 2 in
13.     let answ = mult m n in
14.       answ
15.
16. main;;
```

Frame	Symbol	Value
init line: 16	mult main	<fun> <fun>
main line: 13	m n	1 2
mult line: 8	m n	1 2

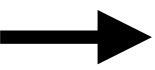
# Evaluation of Tail Recursion



```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
4.     then 0
5.     else if n' == 0
6.         then acc
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8.   in mult_helper 0 n
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10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.     let answ = mult m n in
14.       answ
15.
16. main;;
```

Frame	Symbol	Value
init line: 16	mult main	<fun> <fun>
main line: 13	m n	1 2
mult line: 8	m n	1 2
mult_helper line: 7	m	1
	n	2
	acc	0
	n'	2

# Evaluation of Tail Recursion



```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
4.     then 0
5.     else if n' == 0
6.           then acc
7.           else mult_helper (m + acc) (n' - 1)
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Frame	Symbol	Value
init line: 16	mult main	<fun> <fun>
main line: 13	m n	1 2
mult line: 8	m n	1 2
mult_helper line: 7	m	1
	n	2
mult_helper line: 7	acc	0
	n'	2
mult_helper line: 7	m	1
	n	2
	acc	1
	n'	1



# Evaluation of Tail Recursion



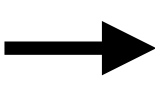
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Frame	Symbol	Value
init line: 16	mult main	<fun> <fun>
main line: 13	m n	1 2
mult line: 8	m n	1 2
mult_helper line: 7	m	1
	n	2
	acc n'	0 2
mult_helper line: 7	m	1
	n	2
	acc n'	1 1
mult_helper line: 7	m	1
	n	2
	acc n'	2 0

# Optimization: Tail Recursion

Frame	Symbol	Value
init	mult	<fun>
line: 16	main	<fun>

```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
4.     then 0
5.     else if n == 0
6.           then acc
7.           else mult_helper (m + acc) (n - 1)
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# Optimization: Tail Recursion

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1. let mult m n =
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11.   let m = 1 in
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13.     let answ = mult m n in
14.       answ
15.
16. main;;
```

Frame	Symbol	Value
init line: 16	mult main	<fun> <fun>
main line: 13	m n	1 2

# Optimization: Tail Recursion

Frame	Symbol	Value
init line: 16	mult main	<fun> <fun>
mult line: 8	m n	1 2



```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
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# Optimization: Tail Recursion

```
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11.   let m = 1 in
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13.     let answ = mult m n in
14.       answ
15.
16. main;;
```

Frame	Symbol	Value
init line: 16	mult main	<fun> <fun>
mult_helper line: 7	m	1
	n	2
	acc	0
	n'	2

# Optimization: Tail Recursion

```
1. let mult m n =  
2.   let rec mult_helper acc n' =  
3.     if m == 0  
4.     then 0  
5.     else if n' == 0  
6.         then acc  
7.         else mult_helper (m + acc) (n' - 1)  
8.   in mult_helper 0 n  
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10. let main =  
11.   let m = 1 in  
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13.     let answ = mult m n in  
14.       answ  
15.  
16. main;;
```

Frame	Symbol	Value
init line: 16	mult main	<fun> <fun>
mult_helper line: 7	m	1
	n	2
	acc	1
	n'	1

# Optimization: Tail Recursion

```
1. let mult m n =  
2.   let rec mult_helper acc n' =  
3.     if m == 0  
4.     then 0  
5.     else if n' == 0  
6.         then acc  
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14.       answ  
15.  
16. main;;
```

Frame	Symbol	Value
init line: 16	mult main	<fun> <fun>
mult_helper line: 7	m	1
	n	2
	acc	2
	n'	0

# Tail Call Optimization

- Tail calls do not require any modifications to the activation frame. Thus, we do not need to keep them around.
- Compiler can detect tail recursion, and then optimize its stack usage by discarding each activation frame during evaluation.
  - Constant space usage!
  - The same performance as loops!
- Not all PLs offer this tail call optimization!



# Tail Call Optimization

PL	Tail Call Optimized	Compiler
C/C++	Yes	GCC
Swift	Yes	All
Python	No	All
C#	No	All
Java	Partially	JVM
OCaml	Yes	All
Haskell	Yes	GHC
javascript	Yes	ES6