# An introduction to that which shall not be named

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# 1 A quick overview from programming

in terms of bind.

Consider a C program with signature int f(int). Now describe all possible computations this program can do. This is a difficult task, because this function could do a lot, like, prompt the user for input, send packets across the network, modify global state, and much more, but then eventually return an integer.

Now consider a purely functional programming language like Haskell [1] and list all the possible computations the function **f**:: Int -> Int can do. The list is a lot smaller. We know without a doubt that this function must take an integer as an input, and then do integer computations, and finally return an integer. No funny business went on inside this function. Thus, reasoning about pure programs is a lot easier.

However, a practical programmer might now be asking, "How do we get any real work done in a pure setting?". From stage left enters the monad. These allow for a programmer to annotate the return types of functions to indicate which side effects the function will use. For example, say we wanted f to use a global state of integers, then its type would be f:: Int -> State [Int] Int to indicate that f will take in an integer input, then during computation will use a global state consisting of a list of integers, but then eventually return an integer. Thus, the return type of f literally lists the side effects the function will use. Now while reasoning about programs we know exactly which side effects to consider.

In full generality a monad is a type constructor  $m: * \to *$  where \* is the universe of types. Given a type a we call the type m a the type of computations returning values of type a. Thus, a function  $f: a \to m$  b is a function that takes in values of type a, and then returns a computation that will eventually return a value of type b.

Suppose we have  $f::a \to m$  b and  $g::b \to m$  c, and we wish to apply g to the value returned by f. This sounds perfectly reasonable, but ordinary composition g.f does not suffice, because the return type of f is not identical to the input type of g. Thus, we must come up with a new type of composition. To accomplish this in Haskell we first need a new operator called bind which is denoted by  $>>=::mb \to (b \to mc) \to mc$ . Then composition of f and g can be defined by  $x \to (f x) >>= g)::a \to mc$ .

So we have a composition for functions whose type has the shape  $a \to m b$ , but any self respecting composition has an identity. This implies that we need some function  $id :: a \to m a$ , such that,  $(\x \to (f x) >= id) = f$  and  $(\x \to (id x) >= g) = g$ . This identity is denoted by return  $:: a \to m a$  in Haskell, and has to be taken as additional structure, because it cannot be defined

Using bind and return in combination with products, sum types, and higher-order functions a large number of monads can be defined, but what are monads really?

# 2 What is a monad really?

Monad's first arose in category theory and go back to Eilenberg and MacLane [7], but Moggi was the first to propose that they be used to model effectful computation in a pure setting [11]. After learning about Moggi's work Wadler pushed for their adoption by the functional programming community [4, 13, 14, 15]. This push resulted in the adoption of monads as the primary means of effectful programming in Haskell.

In the most general sense a monad is defined as follows:

**Definition 1.** Suppose C is a category. Then a **monad** is a functor  $T: C \longrightarrow C$  equipped with two natural transformations  $\eta_A: A \longrightarrow TA$  and  $\mu_A: T^2A \longrightarrow TA$  such that the following diagrams commute:

From a computational perspective one should think of an object A as being the type of values, and the object TA as the type of computations. Then  $\eta_A: A \longrightarrow TA$  says that all values are computations that eventually yield a value of type A, and  $\mu_A: T^2A \longrightarrow TA$  says forming the type of computations that eventually yield a computation of type TA is just as good as a computation of type TA. We can also think of TA as capturing all of the possible computations where  $T^2A$  really does not add anything new. We will see that join allows for a very interesting form of composition to be defined.

The diagrams above tell us how  $\eta$  and  $\mu$  interact together. For example, inserting a computation of type TA into the type of computations of type  $T^2A$ , and then joining  $T^2A$  to yield TA does not do anything to the input. That is,  $\eta_{TA}$ ;  $\mu_A = \mathrm{id}_{TA}$ . These diagrams will ensure that program evaluation behaves correctly in the model.

Consider an example. The functor  $\mathcal{P}: \mathsf{Set} \longrightarrow \mathsf{Set}$  defined as  $\mathcal{P}(X) = \{S \subseteq X\}$ . First, we need to check to make sure this is an endofunctor so suppose  $f: A \longrightarrow B$  is a function, then we can define  $\mathcal{P}(f)(X \subseteq A) = \{f(x) \mid x \in X\} : \mathcal{P}(A) \longrightarrow \mathcal{P}(B)$ . Suppose  $f: A \longrightarrow B$  and  $g: B \longrightarrow C$ . Then composition is preserved  $\mathcal{P}(f;g) = \mathcal{P}(f); \mathcal{P}(g) : \mathcal{P}(A) \longrightarrow \mathcal{P}(C)$ . We can also see that  $\mathcal{P}(\mathsf{id}_A) = \mathsf{id}_{\mathcal{P}(A)} : \mathcal{P}(A) \longrightarrow \mathcal{P}(A)$ .

It turns out that this functor is indeed a monad:

$$\begin{array}{l} \eta_A(x) = \{x\} : A {\:\longrightarrow\:} \mathcal{P}(X) \\ \mu_A(X) = \bigcup_{S \in X} S : \mathcal{P}(\mathcal{P}(A)) {\:\longrightarrow\:} \mathcal{P}(A) \end{array}$$

Now we must verify that the diagrams for a monad commute:

Using diagram chasing<sup>1</sup> it is easy to see that the diagrams on the right commute. The left most diagram commutes by the following equational reasoning:

$$\mu_{A}(\mathcal{P}(\mu_{A})(S \in \mathcal{P}^{3}(A))) = \mu_{A}(\{\mu_{A}(S') \mid S' \in S\})$$

$$= \bigcup_{S'' \in (\{\mu_{A}(S') \mid S' \in S\})} S''$$

$$= \bigcup_{S''' \in \bigcup_{S'' \in S} S''} S'''$$

$$= \bigcup_{S''' \in \mu_{\mathcal{P}(A)}(S)} S'''$$

$$= \mu_{A}(\mu_{\mathcal{P}(A)}(S))$$

<sup>&</sup>lt;sup>1</sup>Chasing an element in  $\mathcal{P}(A)$  across the top path, and then across the bottom path should echo back what we started with.

Note that in addition to the previous diagrams we would also need to show that  $\eta$  and  $\mu$  are natural transformations, but we leave this to the reader. Functions with a type of the form  $A \longrightarrow \mathcal{P}(B)$  have a special place in computer science, because they model non-determinism.

# 3 Jumping inside a monad

Suppose  $(T: \mathcal{C} \longrightarrow \mathcal{C}, \eta, \mu)$  is a monad. Then we can think of  $\mathcal{C}$  as the pure world, and the world inside T as the impure world, or the universe of computations. Given such a monad can we define exactly what this impure world is? It turns out we can by constructing the underlying category of the monad. There happens to be two such categories, but they are related.

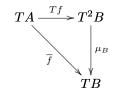
## 3.1 The Kleisli category

Suppose we have a monad  $(T, \eta, \mu)$  on some category  $\mathcal{C}$ . The **Kleisli category** of the monad T is denoted  $\mathcal{C}_T$ . The objects of  $\mathcal{C}_T$  are the objects of  $\mathcal{C}$ , and the morphisms of  $\mathcal{C}_T$  from an object A to an object B are the morphisms of  $\mathcal{C}$  from A to TB. That is,  $\mathcal{C}_T(A, B) = \mathcal{C}(A, TB)$ . We will denote the morphisms in  $\mathcal{C}_T$  as  $\hat{f}$ .

Before we can do anything we must first show that  $\mathcal{C}_T$  is indeed a category.

**Lemma 2.** Suppose  $(T, \eta, \mu)$  is a monad on C. Then the Kleisli construction  $C_T$  is a category.

*Proof.* Suppose  $f: A \longrightarrow TB$  is a morphism. Then the **Kleisli lifting** of f is the morphism  $\overline{f}$ :



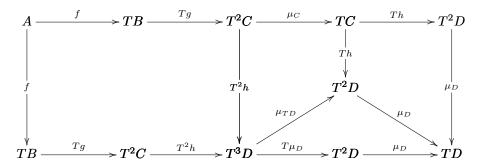
**Composition.** Suppose  $\hat{f}: A \longrightarrow B$  and  $\hat{g}: B \longrightarrow C$  are two morphisms in  $\mathcal{C}_T$ . These are equivalent to the morphisms  $f: A \longrightarrow TB$  and  $g: B \longrightarrow TC$  in  $\mathcal{C}$ . Then their composition,  $\hat{f}; \hat{g}: A \longrightarrow C$  in  $\mathcal{C}_T$  is defined by  $f; \overline{g}$  in  $\mathcal{C}$ . Thus, composition in  $\mathcal{C}_T$  is composition in  $\mathcal{C}$  where the second morphism is lifted.

We have to prove that this composition is associative. Suppose  $\hat{f}: A \longrightarrow B$ ,  $\hat{g}: B \longrightarrow C$ , and  $\hat{h}: C \longrightarrow D$  are morphisms of  $\mathcal{C}_T$ . These are all equivalent to  $f: A \longrightarrow TB$ ,  $g: B \longrightarrow TC$ , and  $h: C \longrightarrow TD$  from  $\mathcal{C}$ .

It suffices to show that:

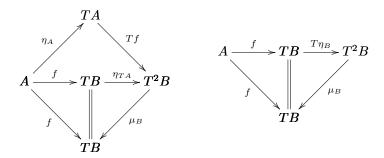
$$(f; \overline{q}); \overline{h} = f; \overline{(q; \overline{h})}$$

We can prove this by showing that the following diagram commutes:



The left square trivially commutes, the left-most upper-right square commutes by naturality of  $\mu$ , the right-most upper-right square trivially commutes, and the right-lower triangle commutes by the monad laws.

**Identities.** Suppose A is an object of  $\mathcal{C}_T$ . Then we need to show there there exists a morphism  $i\hat{\mathsf{d}}_A: A \longrightarrow A$  such that for any morphism  $\hat{f}: A \longrightarrow B$  we have  $i\hat{\mathsf{d}}_A: \hat{f} = \hat{f} = \hat{f}; i\hat{\mathsf{d}}_B$ . The only option we have is  $\eta_A: A \longrightarrow TA$ , because it is the only morphism from  $\mathcal{C}$  with the required form that we know always exists. Thus,  $i\hat{\mathsf{d}}_A = \eta_A$ . The following commutative diagrams imply our desired property:



The left diagram commutes, because the upper triangle commutes by naturality of  $\eta$ , and the lower-left triangle commutes by the monad laws. The right diagram commutes, because the right-most diagram commutes by the monad laws.

Notice that the previous proof explicitly defines the notion of Kleisli lifting of a morphism. The astute reader will notice that we have seen this before. Consider monads from a functional programming perspective, we can see that return : A -> m A corresponds to  $\eta_A: A \longrightarrow TA$ , but what does bind, >>= :: m b -> (b -> m c) -> m c, correspond to? Surely it is not  $\mu_A: T^2A \longrightarrow TA$ . Consider the following equivalent form of bind obtained by currying:

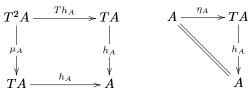
This looks a lot like the Kleisli lifting:

$$\operatorname{\mathsf{Hom}}_{\mathcal{C}}(B,TC) \longrightarrow \operatorname{\mathsf{Hom}}_{\mathcal{C}}(TB,TC)$$

In fact, it is! One of the most important realizations that Moggi had was that programming in a monad amounts to programming in the Kleisli category of the monad. As we can see bind and  $\mu$  are not completely unrelated, and one can actually define each of them in terms of the other. So monads could be defined in terms of bind and then we could derive  $\mu$ , but we leave the details to the reader.

## 3.2 The Eilenberg-Moore category

A second more general category that corresponds to the universe inside of a monad is called the Eilenberg-Moore category. Suppose  $(T, \eta, \delta)$  is a monad on the category  $\mathcal{C}$ . Then a T-algebra is a pair  $(A, h_A)$  of an object A of  $\mathcal{C}$  and a morphism, called the structure map,  $h_A : TA \longrightarrow A$  such that the following diagrams commute:



A morphism  $f:(A,h_A)\longrightarrow (B,h_B)$  between T-algebras is a morphism  $f:A\longrightarrow B$  of  $\mathcal C$  such that the following diagram commutes:

$$TA \xrightarrow{Tf} TB$$

$$\downarrow \qquad \qquad \downarrow$$

$$\downarrow \qquad \qquad \downarrow$$

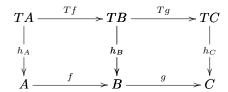
$$A \xrightarrow{f} B$$

The **Eilenberg-Moore category**  $\mathcal{C}^T$  of a monad  $(T, \eta, \mu)$  has as objects all the T-algebras and as morphisms all of the T-algebras morphisms. The categorical structure of  $\mathcal{C}^T$  is inherited from the underlying category  $\mathcal{C}$  as the following result shows.

**Lemma 3.** Suppose  $(T, \eta, \mu)$  is a monad on a category C. Then  $C^T$  is a category.

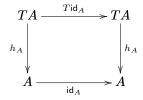
*Proof.* Suppose  $(T, \eta, \mu)$  is a monad on a category  $\mathcal{C}$ .

**Composition.** Suppose  $f:(A, h_A) \longrightarrow (B, h_B)$  and  $g:(B, h_B) \longrightarrow (C, h_C)$  are two T-algebra morphisms. The composition  $f:g:A \longrightarrow C$  is a T-algebra morphism between T-algebras  $(A, h_A)$  and  $(C, h_C)$  because the following diagram commutes:



Each square commutes by the respective diagram for each morphism. Associativity holds trivially, because it holds in C.

**Identities.** Given a T-algebra,  $(A, h_A)$ , we must define an identity morphism  $\mathsf{id} : (A, h_A) \longrightarrow (A, h_A)$ , but we can simply take  $\mathsf{id}_A : A \longrightarrow A$  as this morphism, because the following diagram commutes:



This diagram commutes, because we know  $Tid_A = id_{TA}$ , because T is an endofunctor on C. Composition will respect identities, because composition in C does.

The Eilenberg-Moore category is related to the Kleisli category in the following way. Define the category  $\mathsf{Free}(\mathcal{C}^T)$  to be the full subcategory of  $\mathcal{C}^T$  with objects the free T-algebras of the form  $(TA, \mu_A)$  the diagram making this a T-algebra is the monad law for  $\mu$ . Morphisms in  $\mathsf{Free}(\mathcal{C}^T)$  are all the T-algebras morphisms between free T-algebras.

**Lemma 4.** Suppose  $(T, \eta, \mu)$  is a monad on the category C. Then the category  $C_T$  is a full subcategory of  $C^T$ .

*Proof.* The full proof of this is out of scope of this short lecture note, but it is possible to show that  $C_T$  is equivalent to  $Free(C^T)$ , and hence, we obtain our result.

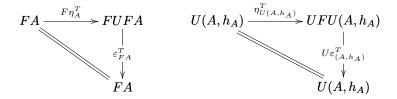
The benefit of the Eilenberg-Moore category is that it is often easier to prove properties about it than the Kleisli category. Since the Kleisli category is a full subcategory of the Eilenberg-Moore category any property that holds on the Eilenberg-Moore category also holds for the Kleisli category.

### 3.3 Decomposing Monads into Adjunctions

Using the Eilenberg-Moore category it is possible to decompose a monad into an adjunction. Suppose  $(T, \eta, \mu)$  is a monad on the category C. Then notice that there is a functor  $F_T : \mathcal{C} \longrightarrow \mathcal{C}^T$  which takes an object A of  $\mathcal{C}$  to the T-algebra  $(TA, \mu_A)$ , and a morphism  $f : A \longrightarrow B$  of  $\mathcal{C}$  to the morphism  $Tf : (TA, \mu_A) \longrightarrow (TB, \mu_B)$ ; the monad laws imply  $\mu$  is a structure map for objects of the form T(-), and naturality of  $\mu$  implies that Tf is a T-algebra morphism. It is easy to see that  $F_T$  is a functor, because T is. This is known as the **free functor** of the monad T. There also happens to be a functor  $U_T : \mathcal{C}^T \longrightarrow \mathcal{C}$  which takes objects  $(A, h_A)$  of  $\mathcal{C}^T$  to an object A of C, and morphisms  $f : (A, h_A) \longrightarrow (B, h_B)$  to  $f : A \longrightarrow B$ . Clearly,  $U_T$  is a functor. It is known as the **forgetful functor**.

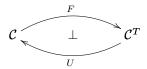
We can now define a natural transformation  $\varepsilon^T: FU \longrightarrow \mathsf{Id}$ , where  $\mathsf{Id}: \mathcal{C} \longrightarrow \mathcal{C}$  is the identity functor. The functor  $FU: \mathcal{C}^T \longrightarrow \mathcal{C}^T$  transforms objects  $(A, h_A)$  into  $FU(A, h_A) = FA = (TA, \mu_A)$ . Hence, the components of  $\varepsilon^T$  must be defined by  $\varepsilon^T_{(A,h_A)} = h_A: (TA,\mu_A) \longrightarrow (A,h_A)$ ; the conditions on the structure map insure this is indeed a T-algebra morphism. Naturality holds because  $\varepsilon^T_{(A,h_A)}$  is the structure map of A. We also have a natural transformation  $\eta^T: \mathsf{Id} \longrightarrow UF$ . The functor  $UF: \mathcal{C} \longrightarrow \mathcal{C}$  is defined by  $UFA = U(TA,\mu_A) = TA$ . Thus, the components of  $\eta^T$  are defined by  $\eta^T_A = \eta_A: A \longrightarrow TA$ . Clearly,  $\eta^T$  is natural, because  $\eta_A$  is.

These two natural transformations are related by the following diagrams:



In the left diagram  $F\eta_A^T=T\eta_A$  and  $\varepsilon_{FA}^T=\mu_A$ , and thus, that diagram is equivalent to one of the monad laws (Definition 1). In the right diagram  $\eta_{U(A,h_A)}^T=\eta_A$  and  $U\varepsilon_{(A,h_A)}^T=h_A$ , and thus, this diagram becomes one of the conditions of the structural map.

This structure implies that we have an adjunction:



The symbol  $\perp$  indicates that the left adjoint is F and the right G which is usually denoted  $F \dashv G$ . Finally, it is easy to see that  $T = UF : \mathcal{C} \longrightarrow \mathcal{C}$ . A similar construction can be done using the Kleisli category.

## 3.4 What properties lift to the Kleisli and Eilenberg-Moore Categories?

Given our goal is to model computation inside the Kleisli and Eilenberg-Moore categories a natural question is what properties of  $\mathcal{C}$  lift to the Kleisli and Eilenberg-Moore categories of a monad  $(T, \eta, \mu)$ ?

**Colimits.** In the previous section we showed that there are two adjunction:  $C : F_K \dashv U_K : C_T$  and  $C : F_E \dashv U_E : C^T$ . Now since there is a left adjoint between C and both  $C_T$  and  $C^T$ , and we know left adjoints preserve all colimits [7], then if C has a colimit, then both  $C_T$  and  $C^T$  have the same colimit.

**Limits.** In general if C has a limit, then  $C_T$  and  $C^T$  do not necessarily have the same limit. We call a morphism  $f = f'; \eta_B : A \longrightarrow TB$  for some  $f' : A \longrightarrow B$  in C a pure morphism. We can think of pure morphisms as never using any of the monadic features given by T. One might think that perhaps limits in C will lift to limits in  $C_T$  and  $C_T$  on pure morphisms, but unfortunately this is not the case. However, something can still be said.

We turn our attention to the Kleisli category for a monad  $(T, \eta, \mu)$  on  $\mathcal{C}$ . It turns out that if  $\mathcal{C}$  has a terminal object or equalizers, then  $\mathcal{C}_T$  does as well for pure morphisms. Suppose  $\mathcal{C}$  has a terminal object,

1, where we denote the terminal objects universal map by  $\mathsf{triv}_A : A \longrightarrow 1$ . Then we will show that T1 is terminal in  $\mathcal{C}_T$ . First, define  $\mathsf{triv}_A = \mathsf{triv}_A$ ;  $\eta_1 : A \longrightarrow T1$ . Suppose we have a second pure morphism  $\hat{g} = g$ ;  $\eta_A : A \longrightarrow T1$ , then  $\mathsf{triv}_A = \hat{g}$ , because  $\mathsf{triv}_A$  is universal in  $\mathcal{C}$ , and hence, is unique, thus,  $g = \mathsf{triv}_A$ .

Now suppose  $\mathcal{C}$  has equalizers and  $(E, e: X \longrightarrow A)$  is an arbitrary equalizer. Then we will show that  $(E, e; \eta_A: X \longrightarrow TA)$  is an equalizer in  $\mathcal{C}_T$  for pure morphisms. Consider the Kleisli composition of  $e; \eta_A: X \longrightarrow TA$  and  $\hat{f}, \hat{g}: A \Longrightarrow TB$  where  $\hat{f}$  and  $\hat{g}$  are pure morphisms in  $\mathcal{C}_T$ :

$$X \xrightarrow{e} A \xrightarrow{\eta_A} TA \xrightarrow{Tf} TB \xrightarrow{T\eta_B} T^2B \xrightarrow{\mu_B} TB$$

This can be simplified to the following using the monad laws:

$$X \xrightarrow{e} A \xrightarrow{\eta_A} TA \xrightarrow{Tf} TB$$

Then we may commute  $\eta_A$  with Tf and Tg using naturality:

$$X \xrightarrow{e} A \xrightarrow{f} B \xrightarrow{\eta_A} TB$$

Finally, it is easy to see that  $e; f; \eta_A = e; g; \eta_A$ , because  $(E, e : X \longrightarrow A)$  is an equalizer in  $\mathcal{C}$ .

So far we have shown that if C has a terminal object or equalizers, then  $C_T$  does as well, but for pure morphisms. What about products? We can obtain products in  $C_T$  only if the monad  $(T, \eta_A, \mu_A)$  has some additional structure. Let us first try to lift products from C to pure morphisms in  $C_T$  and see what goes wrong.

Suppose  $\mathcal{C}$  has products. Then we must define the projections and the universal map for products in  $\mathcal{C}_T$ . The projections are  $\pi_1$ ;  $\eta_A : A \times B \longrightarrow TA$  and  $\pi_2$ ;  $\eta_B : A \times B \longrightarrow TB$ . Now let  $\hat{f} : C \longrightarrow TA$  and  $\hat{g} : C \longrightarrow TB$  be two pure morphisms in  $\mathcal{C}_T$ . Certainly, we need to use the universal map for products in  $\mathcal{C}_T$  to define the universal map for products in  $\mathcal{C}_T$ . So we construct  $\langle \hat{f}, \hat{g} \rangle : C \longrightarrow TA \times TB$ , but we need the target to be  $T(A \times B)$ . Thus, we need a map  $ten_{AB} : TA \times TB \longrightarrow T(A \times B)$ , but this map does not exist in general.

The class of monads that supports the map we need to obtain products in the Kleisli category for pure morphisms are called *strong monads*.

**Definition 5.** A monad  $(T, \eta, \mu)$  on a category C with products is **strong** if there exists a natural transformation  $\operatorname{st}_{A,B}: A \times TB \longrightarrow T(A \times B)$  called the **tensorial strength** of the monad. In addition, the following diagrams must commute:

In addition, using the symmetry map  $\beta_{A,B} = \langle \pi_2, \pi_1 \rangle : A \times B \longrightarrow B \times A$  definable in any category with products we can define the following additional tensorial strength:

$$\mathsf{st}'_{A,B} = \beta_{TA,B}; \mathsf{st}_{B,A}; T\beta_{B,A}: TA \times B \longrightarrow T(A \times B)$$

This map satisfies similar properties to the ones in the previous definition.

Any strong monad gives rise to the following two maps:

$$\begin{split} & \operatorname{ten}_{A,B}: TA \times TB \longrightarrow T(A \times B) \\ & \operatorname{ten}_{A,B} = \operatorname{st}_{TA,B}; T\operatorname{st}_{A,B}'; \mu_{A \times B} \\ & \operatorname{ten}_{A,B}': TA \times TB \longrightarrow T(A \times B) \\ & \operatorname{ten}_{A,B}' = \operatorname{st}_{A,TB}'; T\operatorname{st}_{A,B}; \mu_{A \times B} \end{split}$$

These two maps also satisfy several coherence diagrams similar to the ones for tensorial strength:

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We now have the necessary map to lift products to the Kleisli category with respect to pure morphisms, but which map do we choose  $ten_{A,B}$  or  $ten'_{A,B}$ ? For this particular result it does not matter which map is used. There is a class of monads where  $ten_{A,B}$  and  $ten'_{A,B}$  are identified called *commutative monads* and a large number of monads used in programming are commutative, but not all are.

Getting back to the result at hand, suppose  $\mathcal{C}$  has products and  $\mathcal{C}_T$  is the Kleisli category of a strong monad  $(T, \eta, \mu)$ . Then we have the same projections as before  $\pi_1$ ;  $\eta_A : A \times B \longrightarrow TA$  and  $\pi_2$ ;  $\eta_B : A \times B \longrightarrow TB$ . Now let  $\hat{f} : C \longrightarrow TA$  and  $\hat{g} : C \longrightarrow TB$  be two pure morphisms in  $\mathcal{C}_T$ . Then the universal map for products with respect to pure morphisms is defined by  $\langle \hat{f}, \hat{g} \rangle$ ;  $\mathsf{ten}_{A,B} : C \longrightarrow T(A \times B)$ , but notice that this implies the following:

```
\langle \hat{f}, \hat{g} \rangle; ten<sub>A,B</sub>
        =\langle f; \eta_A, g; \eta_B \rangle; ten_{A,B}
                                                                                                                            (by definition)
        =\langle f; \eta_A, g; \eta_B \rangle; \mathsf{st}_{TA,B}; T\mathsf{st}'_{A,B}; \mu_{A \times B}
                                                                                                                            (by definition)
        =\langle f,g\rangle;(\eta_A\times\eta_B);\mathsf{st}_{TA,B};T\mathsf{st}_{A,B}';\mu_{A\times B}
                                                                                                                            (by products)
        =\langle f,g\rangle;(\eta_A\times\mathsf{id}_B);(\mathsf{id}_{TA}\otimes\eta_B);\mathsf{st}_{TA,B};T\mathsf{st}'_{A,B};\mu_{A\times B}
                                                                                                                            (by functorality of \times)
        =\langle f,g\rangle; (\eta_A \times \mathsf{id}_B); \eta_B; T\mathsf{st}'_{A,B}; \mu_{A\times B}
                                                                                                                            (by tensorial strength)
        =\langle f,g\rangle;(\eta_A\times\mathsf{id}_B);\mathsf{st}'_{A,B};\eta_{T(A\times B)};\mu_{A\times B}
                                                                                                                            (by naturality of \eta)
        =\langle f,g\rangle;(\eta_A\times\mathsf{id}_B);\mathsf{st}'_{A,B}
                                                                                                                            (by the monad laws)
        =\langle f, g \rangle; \eta_{A \times B}
                                                                                                                            (by tensorial strength)
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At this point it is straightforward to show that the universal mapping property for products holds for pure morphisms. In addition, the previous string of equations show that it does not matter if we would have chosen to use  $ten'_{AB}$ .

Finally, we obtain the following results:

**Lemma 6** (Terminal Object and Equalizers in the Kleisli Category). If C has a terminal object or equalizers, then  $C_T$  has a terminal object or equalizers for pure morphisms where  $(T, \eta, \mu)$  is a monad.

**Lemma 7** (Limits in the Kleisli Category for Strong Monads). If C has limits, then  $C_T$  has limits for pure morphisms where  $(T, \eta, \mu)$  is a strong monad.

# 4 Categorical Model of $\lambda_T$

At this point we have introduced the basics of monads categorically. In this section we show how to categorically model a simple type theory with monads called  $\lambda_T$ . We can view  $\lambda_T$  as the smallest typed functional

$$\begin{split} \frac{\Gamma \vdash t_1 : A \quad \Gamma \vdash t_2 : B}{\Gamma \vdash t : A \times B} \times_i & \frac{\Gamma \vdash t : A \times B}{\Gamma \vdash \text{fst } t : A} \times_{e_1} \\ \frac{\Gamma \vdash t : A \times B}{\Gamma \vdash \text{snd } t : B} \times_{e_2} & \frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x : A . t : A \to B} \lambda_i & \frac{\Gamma \vdash t_2 : A}{\Gamma \vdash t_1 : A \to B} \lambda_e & \frac{\Gamma \vdash t : A \times B}{\Gamma \vdash \text{total } t : B} \lambda_e \\ \frac{\Gamma \vdash t_1 : T A \quad \Gamma, x : A \vdash t_2 : T B}{\Gamma \vdash \text{let } x \leftarrow t_1 \text{ in } t_2 : T B} T_e \end{split}$$

Figure 1: Typing Rules for  $\lambda_T$ 

$$\frac{}{(\lambda x:A.t_2)\,t_1\leadsto [t_1/x]t_2} \overset{\text{R\_BETA}}{=} \frac{}{\mathsf{fst}\,(t_1,t_2)\leadsto t_1} \overset{\text{R\_FIRST}}{=} \frac{}{\mathsf{snd}\,(t_1,t_2)\leadsto t_2} \overset{\text{R\_SECOND}}{=} \frac{}{\mathsf{let}\,x\leftarrow \mathsf{return}\,t_1\,\mathsf{in}\,t_2\leadsto [t_1/x]t_2} \overset{\text{R\_BIND}}{=} \frac{}{\mathsf{R\_BIND}}$$

Figure 2: Reduction Rules for  $\lambda_T$ 

programming language with monads, but by extending this language with more features one can study monads incrementally.

The syntax for  $\lambda_T$  is as follows:

$$\begin{array}{ll} \text{(types)} & A,B,C := 1 \mid TA \mid A \times B \mid A \rightarrow B \\ \text{(terms)} & t := x \mid \mathsf{triv} \mid (t_1,t_2) \mid \mathsf{fst} \; t \mid \mathsf{snd} \; t \mid \lambda x : A.t \mid t_1 \; t_2 \mid \mathsf{return} \; t \mid \mathsf{let} \; x \leftarrow t_1 \; \mathsf{in} \; t_2 \\ \text{(contexts)} & \Gamma := \cdot \mid x : A \mid \Gamma_1,\Gamma_2 \\ \end{array}$$

We can see that this is an extension of the simply typed  $\lambda$ -calculus. We add a new type TA which represents an arbitrary monad, and new terms for return and bind denoted return t an let  $x \leftarrow t_1$  in  $t_2$  respectively. This language is very similar to Moggi's metalanguage [11].

The typing rules for  $\lambda_T$  can be found in Figure 1, and the reduction rules are in Figure 2. The reduction rules are rather simplistic, but are advanced enough for the purpose of this note. Congruence rules are omitted in the interest of brevity. There are also more monadic rules that one might one, for example a commuting conversion of bind, but we leave these out.

The main question of this section is, what is the categorical model of  $\lambda_T$ ? We know we can interpret  $\lambda_T$  excluding the monadic bits into a cartesian closed category. Thus, the model of full  $\lambda_T$  must be some extension of a cartesian closed category with a monad. Is it enough to simply take a cartesian closed category  $\mathcal{C}$  with a monad  $(T, \eta, \mu)$  on  $\mathcal{C}$ ?

Suppose  $(\mathcal{C}, 1, \times, \to)$  is a cartesian closed category, and  $(T, \eta, \mu)$  is a monad on  $\mathcal{C}$ . Types are interpreted into this model as follows:

Contexts  $\Gamma = x_1 : A_1, \dots, x_i : A_i$  will be interpreted into  $\mathcal{C}$  by  $\llbracket \Gamma \rrbracket = \llbracket A_1 \rrbracket \times \dots \times \llbracket A_i \rrbracket$ . To make the syntax less cluttered we will drop the interpretation brackets from the interpretation of types.

We will interpret each typing judgment  $\Gamma \vdash t : A$  as a morphism  $\llbracket \Gamma \rrbracket \xrightarrow{\llbracket t \rrbracket} \llbracket A \rrbracket$  by induction on the form

of the typing judgment. Now consider the two monadic typing rules:

$$\frac{\Gamma \vdash t : A}{\Gamma \vdash \mathsf{return} \ t : T \ A} \ T_i \qquad \qquad \frac{\Gamma \vdash t_1 : T \ A \quad \Gamma, x : A \vdash t_2 : T \ B}{\Gamma \vdash \mathsf{let} \ x \leftarrow t_1 \ \mathsf{in} \ t_2 : T \ B} \ T_e$$

Consider the left rule, and suppose we have a morphism  $t:\Gamma\longrightarrow A$  in  $\mathcal{C}$ . Then we must construct a morphism of the form  $\Gamma\longrightarrow TA$ , but this is easily done by  $t;\eta_A:\Gamma\longrightarrow TA$ . Thus, the interpretation of  $\llbracket \mathsf{return}\ t \rrbracket$  is  $\llbracket t \rrbracket;\eta_A$ .

Now consider the rule  $T_e$ , and suppose we have morphisms  $t_1: \Gamma \longrightarrow TA$  and  $t_2: \Gamma \times A \longrightarrow TB$ . We are expecting to Kleisli lift  $t_2$  to  $\overline{t_2} = (Tt_2); \mu_B: T(\Gamma \times A) \longrightarrow TB$ , and then compose  $\langle \operatorname{id}_{\Gamma}, t_1 \rangle : \Gamma \longrightarrow \Gamma \times TA$  with  $\overline{t_2}$ , but the types do not match! We must use tensorial strength,  $\operatorname{st}_{A,B}: A \times TB \longrightarrow T(A \times B)$ , to obtain the interpretation  $\Gamma \vdash \operatorname{let} x \leftarrow t_1 \operatorname{in} t_2: TB = \langle \operatorname{id}_{\Gamma}, t_1 \rangle; \operatorname{st}_{\Gamma,A}; \overline{t_2}: \Gamma \longrightarrow TB$ . Therefore, an arbitrary monad does not have enough structure to model the bind rule in the presence of multiple hypotheses. Instead we need a strong monad, but this is not surprising since we need strength in order to obtain products in the Kleisli category of a monad.

**Definition 8.** A  $\lambda_T$  model consists of a cartesian closed category C equipped with a strong monad  $(T, \eta, \mu)$  on C.

Finally, we have the following:

**Theorem 9** (Soundness). Suppose  $(T: \mathcal{C} \longrightarrow \mathcal{C}, \eta, \mu)$  is a  $\lambda_T$  model. Then if  $\Gamma \vdash t_1 : A$  and  $t_1 \leadsto t_2$ , the  $\llbracket t_1 \rrbracket \cong \llbracket t_2 \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow \llbracket A \rrbracket$  in  $\mathcal{C}$ .

# 5 Monads are modular, right?

The most important concept of category theory, logic, and functional programming is composition. In practice, it is very common to need several different types of side effects. Naturally, some programs will use different ones, and others may use all of them. So given monads  $(T_1, \eta_1, \mu_1)$  and  $(T_2, \eta_2, \mu_2)$  on a category  $\mathcal{C}$  can we compose these together and obtain a monad  $(T_3, \eta_3, \mu_3)$  that encompasses the side effects of both  $T_1$  and  $T_2$ ?

One might think that the question is obviously true. This is category theory, right? Functors compose, and so we should be able to compose monads, but in what order? It turns out that we cannot even define join of this composition. If we take  $T_1; T_2: \mathcal{C} \longrightarrow \mathcal{C}$  to be the composition, then notice that we can easily obtain a natural transformation  $\eta_3 = A \xrightarrow{\eta_2} T_2 A \xrightarrow{\eta_1} T_1(T_2A)$ , but notice that we cannot define  $\mu_3: T_1(T_2(T_1(T_2A))) \longrightarrow T_1(T_2A)$  in terms of  $\mu_1: T_1^2 \longrightarrow T_1$  and  $\mu_2: T_2^2 \longrightarrow T_2$ . So this will not work.

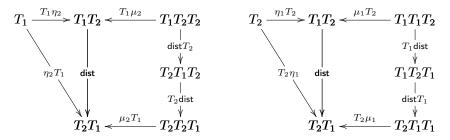
Composition of monads has been a hot topic since their conception. In fact, Moggi spent a lot of time thinking about this; see [12]. Papers on this concept pop up pretty consistently each year since monads where introduced to the functional programming community. However, most of these papers exclude a categorical model. This is rather upsetting, because the model allows us to decide on the approaches merits. Monads are a categorical concept after all, and when we extend their use we should provide an elegant categorical model.

In this section we will cover some of the most popular ways of composing monads. We focus on the categorical models, but we will give brief descriptions of how these can be added to a functional programming language.

#### 5.1 Distributive Laws

Recall that we were unable to define  $\mu_3: T_1(T_2(T_1(T_2A))) \longrightarrow T_1(T_2A)$  in terms of  $\mu_1: T_1^2 \longrightarrow T_1$  and  $\mu_2: T_2^2 \longrightarrow T_2$ . But, if we could first commute  $T_2T_1$  in the source of  $\mu_3$ , then we could. This is exactly what distributive laws give us.

Given two monads  $(T_1, \eta_1, \mu_1)$  and  $(T_2, \eta_2, \mu_2)$  on a category C, a **distributive law** of  $T_2$  over  $T_1$  is a natural transformation dist:  $T_1T_2 \longrightarrow T_2T_1$  subject to the following commutative diagrams:



Distributive laws are due to Beck [2], and were extensively studented by Manes and Mulry [8, 9]. Please see the latter for further references on the subject.

We now have the following result:

**Theorem 10.** Suppose  $(T_1, \eta_1, \mu_1)$  and  $(T_2, \eta_2, \mu_2)$  are two monads on C, and dist :  $T_1T_2 \longrightarrow T_2T_1$  is a distributive law. Then the endofunctor  $T_2T_1$  is a monad on C.

*Proof.* It suffices to define  $\eta_3: A \longrightarrow T_2T_1A$  and  $\mu_3: T_2T_1T_2T_1A \longrightarrow T_2T_1A$ , and show they satisfy the monad laws (Definition 1).

We have the following definitions:

$$\begin{split} \eta_3 &= A \xrightarrow{\eta_2} T_2 A \xrightarrow{T_2 \eta_1} T_2 T_1 A \\ \mu_3 &= T_2 T_1 T_2 T_1 A \xrightarrow{T_2 \operatorname{dist}_{T_1 A}} T_2^2 T_1^2 A \xrightarrow{T_2^2 \mu_1} T_2^2 T_1 A \xrightarrow{\mu_2} T_2 T_1 A \end{split}$$

Now we show that these definitions satisfy the monad laws:

Case.

$$(T_{2}T_{1})^{3}A \xrightarrow{T_{2}T_{1}\mu_{3}} (T_{2}T_{1})^{2}A$$

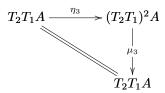
$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$(T_{2}T_{1})^{2}A \xrightarrow{\mu_{3}} T_{2}T_{1}A$$

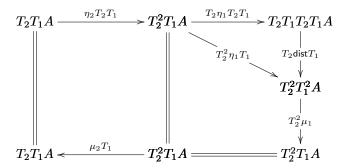
This case follows from the fact that the following diagram commutes:

Diagrams one, two, and five commute by naturality of dist, diagrams seven, eight, and nine commute by naturality of  $\mu_2$ , diagrams six and ten commute by the monad laws, and diagrams three and four commute by the distributive laws.

Case.

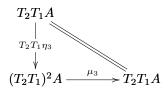


This diagram commutes because the following one does:



The left and the right lower squares commute by the monad laws, and the right triangle commutes by the distributive laws.

Case.



This is case is similar to the previous one.

Many concrete monads have the benefit that we can define the distributive laws, and hence, can be composed. As an example suppose we wanted to compose the maybe monad and the list monad. In Haskell, we can define the following distributive law<sup>2</sup> (writing List a instead of [a] for readability):

```
dist :: List (Maybe a) -> Maybe (List a)
dist [] = Just []
dist (Nothing:xs) = Nothing
dist (Just x:xs) = dist xs >>= (\l -> return (x:l))
```

This definition shows that applying dist to a list where every element is of the form Just x for some x of type a results in Just 1 where 1 contains all of the elements like x. If Nothing ever appears then dist returns Nothing. This fits well with how the maybe monad operates.

Using dist we can define the monad Maybe (List a):

<sup>&</sup>lt;sup>2</sup>For the entire implementation please see https://github.com/heades/intro-monads/blob/master/MaybeList.hs.

```
returnML :: a -> Maybe (List a)
joinML :: Maybe (List (Maybe (List a))) -> Maybe (List a)
bindML :: Maybe (List a) -> (a -> Maybe (List a)) -> Maybe (List a)
```

There are many more example use cases. See the work of Jones and Duponcheel [3] for some variations of this type of composition in Haskell.

One of the negatives of distributive laws is that a distributive law may not be definable for a particular pair of monads. For example, in Haskell it is not possible to define a distributive law between the I/O monad and the state monad.

## 5.2 Monad Transformers

Moggi [12] was perhaps the first to realize that the success of the monadic approach depends on the need for monadic models to be modular. So he spent quite sometime studying a means of composing monads called monad transformers.

Suppose  $\mathcal{C}$  is a category with products. Then define the category  $\mathsf{Mon}(\mathcal{C})$  to have as objects strong monads (Definition 5) and as morphisms strong-monad morphisms.

**Definition 11.** A strong-monad morphism is a natural transformation,  $\alpha: T_1 \longrightarrow T_2$ , where  $(T_1, \eta_1, \mu_1, \mathsf{st}_1)$  and  $(T_2, \eta_2, \mu_2, \mathsf{st}_2)$  are strong monads. Furthermore, the following diagrams must commute:

Now a monad transformer is an endofunctor in Mon(C).

Consider the following example due to Moggi [12]. Given a monad  $(T, \eta, \mu, st)$  over a category C with products and coproducts, then the monad  $T_E$  of T-computations with exceptions is defined as follows:

- $T_E(A) = T(A+E)$
- $\bullet \ \eta^E = \operatorname{in}_l; \eta_{A+E}$
- $\bullet \ \mu^E = \overline{[\mathrm{id}_{T(A+E)}, \mathrm{in}_r; \eta_{A+E}]}$

In addition, a monad morphism  $\alpha: T' \longrightarrow T$  induces a monad morphism  $\sigma = \alpha_{A+E}: S_E \longrightarrow T_E$ . Finally, for every monad  $(T, \eta, \mu, \mathsf{st})$  there are two monad morphism  $\eta_E: T \longrightarrow T_E$  and  $\mu_E: T_{EE} \longrightarrow T_E$  making monad transformers monads in the category  $\mathsf{Mon}(\mathcal{C})$ .

As presented here monad transformers are Moggi's monad constructors [12], but in practice they were found to not allow for many commonly used monads to be composed. Liang et al. [5] extended the approach arriving at a more general notion of monad transformer that has been adopted in Haskell. However, this improved the practical side, but without an elegant categorical model. In fact, to the knowledge of the author the only account of a categorical semantics for the monad transformers in Haskell is detailed in the note by Oleksandr Manzyuk [10], but it is very ad hoc.

#### 5.3 Coproducts

Distributive laws nor monad transformers are general solutions to the composition of monads problem. Thus, the question is still very much open. A more recent approach that is more general than both distributive laws and monads transformers which is based in category theory was proposed by Lüth and Ghani [6]. In fact, distributive laws arrive as special cases of their model.

The main idea is when given two monads  $(T_1, \eta_1, \mu_1)$  and  $(T_2, \eta_2, \mu_2)$  one can construct the coproduct monad  $(T_1 + T_2, \eta_+, \mu_+)$  that encompasses the side effects of both monads. The general construction turns out to be quite complex. The interested reader should see their paper [6].

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