EVALLM: Interactive Evaluation of Large Language Model Prompts on User-Defined Criteria

Tae Soo Kim taesoo.kim@kaist.ac.kr School of Computing, KAIST Daejeon, Republic of Korea Yoonjoo Lee yoonjoo.lee@kaist.ac.kr School of Computing, KAIST Daejeon, Republic of Korea Jamin Shin jayshin.nlp@gmail.com NAVER AI Lab Seongnam, Republic of Korea

Young-Ho Kim yghokim@younghokim.net NAVER AI Lab Seongnam, Republic of Korea Juho Kim juhokim@kaist.ac.kr School of Computing, KAIST Daejeon, Republic of Korea

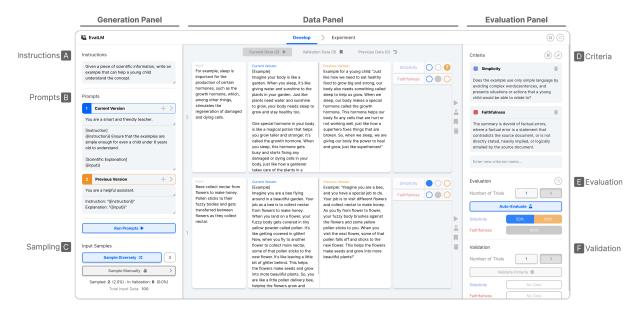


Figure 1: EVALLM is composed of three main panels: generation, data, and evaluation. In the generation panel, the user can compose the overall instructions for their task (A), two prompt templates they want to compare (B), and sample inputs from their dataset (C). To evaluate outputs, the user first defines their criteria set (D) and can see an overview of evaluation results (E). If the user has added samples to their validation set, they can also check the accuracy of the evaluations in this panel (F). The data panel shows a series of rows, where each row presents an input sample, the outputs generated on this input, and the evaluation results for these outputs.

ABSTRACT

By simply composing prompts, developers can prototype novel generative applications with Large Language Models (LLMs). To refine prototypes into products, however, developers must iteratively revise prompts by evaluating outputs to diagnose weaknesses. In this work, we present EvalLM, an interactive system for iteratively refining prompts by evaluating multiple outputs on user-defined criteria. By describing criteria in natural language, users can employ the system's LLM-based evaluator to get an overview of where prompts excel or fail, and improve these based on the evaluator's feedback. We discuss directions for future work: (1) investigating how to

audit LLM-based evaluators, (2) supporting criteria design, and (3) constructing criteria hierarchies to compile evaluation results.

KEYWORDS

Large Language Models, Natural Language Generation, Evaluation, Human-AI Interaction

1 INTRODUCTION

Large Language Models (LLMs) have catalyzed the creation of a wide array of novel applications. Composed of billions of parameters and trained on billions of tokens, LLMs can interpret a natural language description of a task, a **prompt**, and generate coherent human-like outputs for diverse purposes [2, 13, 16] (e.g., summarization [22], dialogue [21], story writing [3]). By composing a prompt,

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developers and researchers (i.e., prompt designers) can guide LLMs to perform novel tasks that satisfy desired requirements and support specific application settings. For example, HCI researchers have leveraged LLMs to ideate possible journalistic angles for a given event [17], generate questions to quiz children about information they learned [11], or simplify research papers into plain language [1].

Although prompt designers can easily bootstrap AI-based applications by simply composing a prompt, developing a prototype into a polished application that consistently produces high-quality outputs requires more dedicated effort. As LLMs are non-deterministic and even partial changes in a prompt can significantly influence generated outputs [12, 15], designers need to iterate on their prompts multiple times to achieve satisfactory results [7, 13, 20, 22, 24, 25]. In this iterative process, designers test their prompt with sample inputs (e.g., paragraphs to summarize), inspect the generated outputs to identify areas for improvement, revise their prompts (e.g., change structure, wording, content), and repeat.

However, as designers are increasingly adopting LLMs for novel and more open-ended tasks, the evaluation of outputs and, consequently, prompts becomes significantly more challenging. Specifically, open-ended tasks require outputs that satisfy certain subjective qualities, but designing automatic metrics that can adequately encode and measure these subjective qualities is challenging [4]. While NLP researchers have designed or trained automatic metrics that can approximately measure subjective qualities of text [10, 18, 19, 26], as the tasks of prompt designers can be novel, designing new metrics would require excessive effort. While prompt designers can alternatively conduct human evaluations where human annotators or experts assess the quality of outputs [6], the significant cost involved would be impractical during early development stages when prompts must be iterated on quickly and frequently. As a result, prompt designers may resort to manually evaluating outputs themselves-a time-consuming and effortful task.

To address these challenges, we propose EVALLM [9] (Fig. 1), an interactive system that supports prompt iterations by facilitating the evaluation of outputs on user-defined and application-specific criteria. In the interface, a designer composes two prompts that they want to evaluate and compare, and then generates outputs with each of these prompts for the same set of sampled inputs. Instead of relying on incompatible metrics or manually assessing outputs, EVALLM enables designers to design their own "metrics" by simply defining criteria through natural language-e.g., a designer defines the criteria "Familiar Language" for an application that explains scientific concepts to children. Inspired by recent techniques on LLM-based evaluations [14, 23, 27], EVALLM employs an LLM as an evaluation assistant, which evaluates generated outputs on each of the criteria defined by the user. To aid users in revising prompts and criteria, the evaluation assistant explains its assessments to allow users to identify where outputs fell short or where the assistant's interpretation of criteria misaligned with the user's intent. Furthermore, the system aids prompt designers in defining effective criteria through an LLM-based criteria reviewer, which analyses the user's criteria to identify revisions that can lead to evaluations that assess more specific and fine-grained dimensions. By iterating with EVALLM, designers can co-evolve their prompts and criteria by

improving their prompts to satisfy criteria and improving their criteria to discern prompt quality—ultimately leading to more polished applications.

2 FUTURE DIRECTIONS

In our work, we propose how a collaborative human-LLM work-flow for more robustly evaluating long-tail LLM applications: a user defines criteria through natural language, an LLM automatically evaluates large samples of outputs on these criteria, and then the user audits the evaluator by assessing a smaller sample of evaluations. In this section, we discuss potential opportunities for future work on leveraging LLMs to interactively evaluate LLMs.

2.1 Auditing the Evaluator

The main advantage of LLMs as evaluators is that they allow for scaling up evaluation (i.e., assessing more outputs on more criteria) with less human effort and cost. During early development stages, this enables developers to more robustly assess the effect of prompt changes and make more informed decisions—one of the main challenges in developing with non-deterministic and blackbox LLMs [24]. However, LLMs are not perfect evaluators. Our technical evaluation found that around 10% of LLM evaluations were illogical and around 15% were not self-contained. As developers and researchers use LLMs to assess larger samples of outputs, it can also be challenging to adequately audit the LLM evaluations. In EVALLM, we aimed to facilitate this by surfacing samples where evaluations from the same or different models differed to point out potentially faulty evaluations, and by highlighting what fragments from an output were evaluated by an LLM to help the user verify the evaluation's faithfulness. However, future work could investigate more sophisticated methods for auditing LLM evaluators: visualization techniques, automatic text analysis, and human-LLM-crowd workflows where a small set of crowdworkers verify samples of the LLM evaluations.

2.2 Designing Effective Criteria

Through our work and user studies, we identified that the LLM evaluations are only as effective as the quality of the criteria designed by the user. Similar to difficulties with prompt engineering, however, this can be challenging as evaluation criteria may have multiple interpretations and the user should be able to clearly express what aspects or features they intend to measure. In our work, we aimed to address this challenge by providing an LLM-based criteria reviewer that could help users identify and revise criteria that were unclear, vague, or overloaded. Future work can investigate approaches for designing more reliable and effective evaluation criteria. For example, as recent NLP work found that providing scoring rubrics alongside criteria can increase the reliability of LLM evaluations [8, 23], future work could investigate how to help users in designing rubrics for their criteria.

2.3 Criteria Overload to Criteria Hierarchy

While NLP researchers commonly employed general metrics or criteria to assess performance (e.g., "coherency", "relevance" [5, 28]) as they focused on general or broad tasks, LLMs are increasingly used for more specific and *long-tail* tasks where performance needs to

be measured on bespoke criteria. While evaluating LLMs on more diverse criteria and metrics can provide a more comprehensive and in-depth understanding of performance [6], this diversification can also introduce challenges in how to compile evaluation results and overall model performance. However, as shown by our formative interviews and user study, most of these task-specific criteria are frequently subordinate to more general criteria—meaning that results on specific criteria can present insights about performance on general criteria. Future work could investigate how to collect and aggregate criteria from diverse evaluations into a criteria hierarchy that can represent model performance at a macroscopic (i.e., general criteria) or microscopic level (i.e., specific criteria)—enabling practitioners to more adequately compare models and make more informed model choices.

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