

Transgenic expression of human signal regulatory protein alpha in Rag2^{-/-}γ_c^{-/-} mice improves engraftment of human hematopoietic cells in humanized mice

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Transplantation of human hematopoietic stem cells into severely immunocompromised newborn mice allows the development of a human hematopoietic and immune system *in vivo*. NOD/scid/γ_c^{-/-} (NSG) and BALB/c Rag2^{-/-}γ_c^{-/-} mice are the most commonly used mouse strains for this purpose and a number of studies have demonstrated the high value of these model systems in areas spanning from basic to translational research. However, limited cross-reactivity of many murine cytokines on human cells and residual host immune function against the xenogeneic grafts results in defective development and maintenance of human cells *in vivo*. Whereas NSG mice have higher levels of absolute human engraftment than similar mice on a BALB/c background, they have a shorter lifespan and NOD ES cells are unsuitable for the complex genetic engineering that is required to improve human hematopoiesis and immune responses by transgenesis or knockin of human genes. We have generated mice that faithfully express a transgene of human signal regulatory protein alpha (SIRPa), a receptor that negatively regulates phagocytosis, in Rag2^{-/-}γ_c^{-/-} mice on a mixed 129/BALB/c background, which can easily be genetically engineered. These mice allow significantly increased engraftment and maintenance of human hematopoietic cells reaching levels comparable to NSG mice. Furthermore, we found improved functionality of the human immune system in these mice. In summary, hSIRPa-transgenic Rag2^{-/-}γ_c^{-/-} mice represent a unique mouse strain supporting high levels of human cell engraftment, which can easily be genetically manipulated.

CD34⁺ cell | xenorejection

Mice play a crucial role as the prime model organism to study many aspects of development and function in hematology and immunology. However, their habitats and pathogens that shape and constantly challenge the immune system have diverged between species, resulting in the fact that genes related to immunity, together with genes involved in reproduction and olfaction, are the most divergent between the two species (1). Mice rendered genetically suitable to support human cells and tissues have become a favorite model bridging the gap between mouse models and studies in humans (2–4). Particularly, mice that reconstitute a functional human immune system after engraftment of hematopoietic stem and progenitor cells (HSPCs) are of high interest to study vaccine candidates and the biology of pathogens restricted to humans *in vivo*. To achieve efficient xenotransplantation, mice lacking an adaptive immune system and natural killer (NK) cells have been successfully developed in the last years and the major models differ mainly in the background strains used. The first one employs the BALB/c Rag2^{-/-}γ_c^{-/-} (DKO) mice, and neonatal intrahepatic HSPC transfer (5, 6). A second model reconstitutes instead NOD/scid/γ_c^{-/-} (NSG) mice by i.v. or intrahepatic injection of human HSPCs (7–9). After transfer into these mice, human HSPCs can develop into most of the hematopoietic lineages and the human chimerism is maintained for several months (5, 8). Overall the composition of

engrafted cells is similar in these models but higher human engraftment levels were obtained in NOD-based models (10). This advantage is thought to be caused at least partially by a polymorphism in the gene encoding the inhibitory receptor signal regulatory protein alpha (SIRPa) (11).

SIRPa is a transmembrane protein containing three Ig-like domains in its extracellular region and putative tyrosine phosphorylation sites in its cytoplasmic region (12). SIRPa is strongly expressed in neurons and in macrophages, dendritic cells, and neutrophils. The ligands of SIRPa are CD47 and surfactant A and surfactant D and their binding to the receptor induces the recruitment of phosphatases SHP-1 and SHP-2 to the plasma membrane. In phagocytic cells, this recruitment negatively regulates phagocytosis upon binding to its ligands (13). CD47 is ubiquitously expressed in all cells of the body, including all lineages of hematopoietic cells. The inhibitory signaling via CD47-SIRPa ligation has important consequences *in vivo* because upon transfer into WT mice, CD47^{-/-} cells are rapidly cleared by splenic red pulp macrophages (14). Subsequently it was recognized that the regulation of CD47 expression plays important functions in such diverse biological processes as cell migration, the regulation of the erythrocyte life span, and HSC circulation (14–16). Whereas it had been recognized that mouse phagocytes regulate human cell and tissue transplantation into mice (17–19), it has been recently demonstrated that, due to allelic variation, partial engagement of NOD SIRPa but not C57BL/6 SIRPa on respective phagocytes by human CD47 leads to decreased phagocytosis of human cells *in vitro* (11, 20). Given the above discussed additional residual xenogeneic engraftment impairment, we hypothesized that expression of hSIRPa on mouse macrophages would lead to decreased phagocytosis of human CD47-expressing cells (14, 21, 22). Thus, to create an improved platform for future generations of humanized mice, we have generated human SIRPa transgenic mice faithfully expressing the receptor using F1 129/BALB/c Rag2^{-/-}γ_c^{-/-} ES cells, which allow easy and rapid genetic modifications.

Results

hSIRPa Is Faithfully Expressed and Functional in hSIRPa-Transgenic Mice. A bacterial artificial chromosome encompassing the coding region of human SIRPa was identified and engineered to contain a eukaryotic selection marker to allow transgenesis in ES cells. We generated hSIRPa-transgenic Rag2^{-/-}γ_c^{-/-} (hSIRPa-DKO) mice expressing the human transgene under the human regula-

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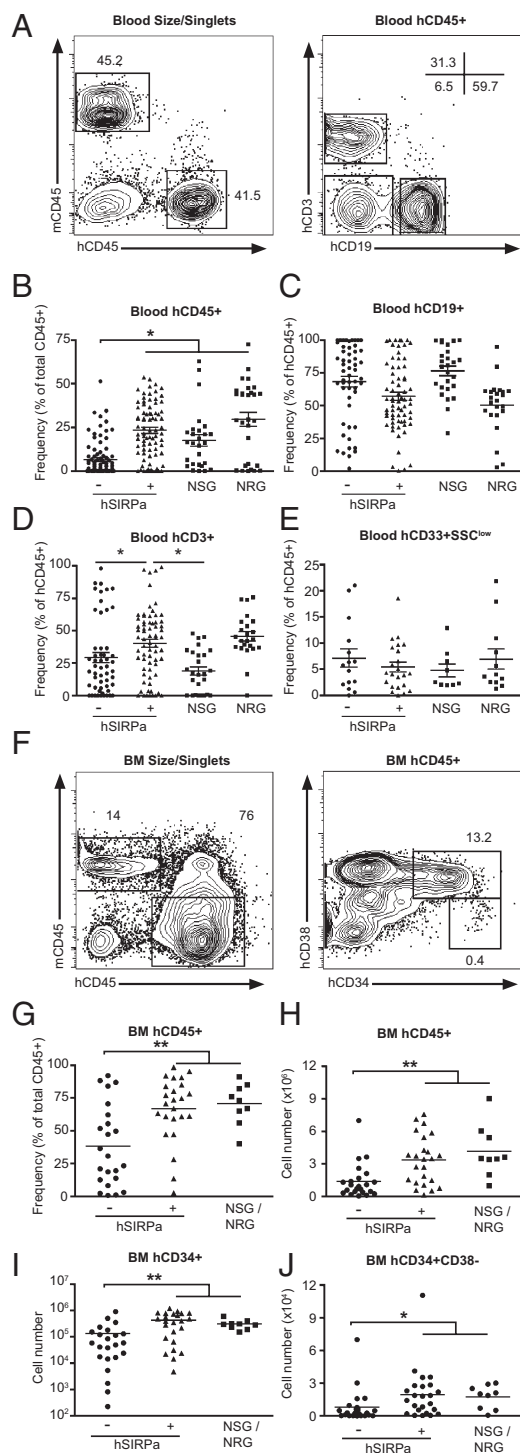


Fig. 2. hSIRPa-transgenic mice have improved hematopoiesis upon CD34⁺ cell engraftment. Irradiated newborn mice were transplanted with CD34⁺ cells and engraftment was monitored after 10 to 12 wk by FACS. (A) Representative staining pattern in the peripheral blood of a hSIRPa⁺ Rag2^{-/-}γc^{-/-} mouse. (B–E) Frequencies of hCD45⁺, hCD3⁺, CD19⁺, and CD33⁺SSC^{low} cells in the blood were compared after engraftment between different immunodeficient strains. Data are a summary of at least four experiments with a total of 60 DKO mice, 63 hSIRPa-DKO mice, 26 NSG mice, and 29 NRG mice. (F) Representative staining pattern in the bone marrow of a hSIRPa⁺ Rag2^{-/-}γc^{-/-} mouse. (G and H) Frequency and number of human CD45⁺ cells was compared after 12–14 wk between different immunodeficient strains. (I and J) Numbers of human CD34⁺ progenitor cells and CD34⁺CD38⁻ cells were calculated on the basis of total cell number and frequencies determined by FACS. Data are a summary of three experiments with a total of 22 DKO mice,

recipients in vivo (23, 27). Accordingly, we observed a steady decrease of human cell numbers in the bone marrow. At 23–26 wk, numbers of hCD45⁺ were around one-third of the numbers after 12–14 wk in DKO and hSIRPa-DKO mice and NSG/NRG mice (Fig. S4 A and B). Cell numbers further decreased with time and hCD45⁺ cells could be recovered in significant numbers only from hSIRPa-DKO after 35–37 wk (Fig. S4 A and B). We were unable to analyze NSG mice at this late time point, because of a high mortality that became apparent beyond 6 mo (Table S2), which has also been reported previously (28). In the spleen, we observed a similar trend with cell numbers declining in all strains of mice from 3 to 6 mo (Fig. S4 C and D). Notably, in hSIRPa-DKO, numbers in the spleen did not decline much further between 6 and 9 mo, indicating that differentiated cells can persist in these mice (Fig. S4 C and D). At 24 and 36 wk postengraftment, hSIRPa-DKO mice contained on average 3.3-fold and 5.1-fold more human CD45⁺ cells in the spleen than DKO mice, respectively. At later time points, the frequency of T cells increased, whereas the frequency of B cells decreased (Fig. S4 E–H). In summary, these results indicate that hSIRPa-DKO mice lose the ability for human hematopoiesis in a similar way to DKO mice, likely due to the loss of HSPCs; however, they have a superior capacity to maintain differentiated cells in the periphery.

Increased Antigen-Specific Humoral Immune Responses in hSIRPa-Transgenic Mice. To test whether the increase of human immune cells translated into quantitative and qualitative changes of the human adaptive immune system in vivo, we first analyzed total levels of human immunoglobulins in mice 12–16 wk postengraftment. Indeed, compared with DKO mice, hSIRPa-DKO mice had increased levels of human IgM ($185 \pm 55 \mu\text{g/mL}$ vs. $24 \pm 9 \mu\text{g/mL}$, $P < 0.03$, mean \pm SEM) and IgG ($113 \pm 36 \mu\text{g/mL}$ vs. $26 \pm 6 \mu\text{g/mL}$, $P < 0.02$) in the plasma (Fig. 4 A and B). Accordingly, a higher number of human IgG-producing cells were detected in the spleen of hSIRPa-DKO mice (Fig. 4C). This correlated with an increased frequency of CD27⁺ memory B cells in the spleen (Fig. S5). Next, DKO and hSIRPa-DKO mice were immunized with a protein antigen (ovalbumin, OVA) mixed with an adjuvant (complete Freund's adjuvant) to assess the de novo development of antigen-specific immune responses. Because most studies using CD34⁺ cell-engrafted mice reported only low levels of antigen-specific immune responses, we decided to use this potent adjuvant to provide the strongest conditions for priming of de novo immune responses (7, 8, 29). Mice were boosted 2 wk later with OVA/incomplete Freund's adjuvant and bled 10 d after the boost. Whereas nonimmunized DKO and hSIRPa-DKO did not have significant levels of anti-OVA antibodies (IgM, IgG), we detected anti-OVA IgM in 66.6% (12 of 18) of DKO mice and in 88% (21 of 24) of hSIRPa-DKO mice (Table S3). Using endpoint dilution of the sera of immunized mice, antibody titers were determined for IgM and IgG from individual mice. For anti-OVA IgM, there was no significant difference in the frequency of mice responding between the two groups, nevertheless hSIRPa-DKO mice had increased antibody titers compared with DKO mice (Fig. 4 D–F). When antigen-specific IgG were analyzed, a more striking difference was discernible. In only 2 out of 18 (11%) DKO mice could antigen-specific IgG be detected, whereas IgG was detectable in 16 of 24 (66.6%) hSIRPa-DKO mice (Table S3 and Fig. 4 G–I). In summary, upon immunization we observed significant difference in the levels of specific antibody responses as evidenced by the higher IgM titers in hSIRPa-DKO mice and a higher frequency of hSIRPa-DKO mice producing antigen-specific IgG. This will

24 hSIRPa-DKO mice, and 9 NSG/NRG mice. Data were analyzed by one-way ANOVA test and individual P values for posttest are displayed. * $P < 0.05$, ** $P < 0.01$.

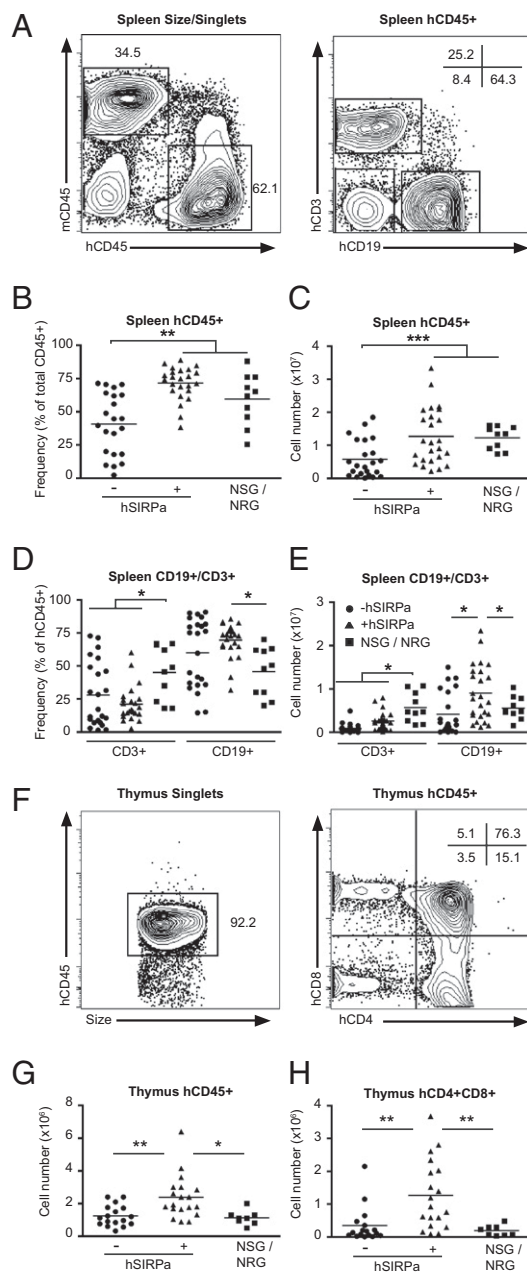


Fig. 3. Elevated numbers of human cells in the periphery of hSIRPa-transgenic mice. (A) Representative staining pattern in the spleen of a hSIRPa⁺ Rag2^{-/-}γc^{-/-} mouse. (B and C) Frequencies and numbers of total human CD45⁺ cells in the spleen were determined after 12–14 wk. (D and E) At the same time, frequencies and numbers of CD3⁺ T cells and CD19⁺ B cells in the spleen were determined. (F) Representative staining pattern in the thymus of a hSIRPa⁺ Rag2^{-/-}γc^{-/-} mouse. (G) Enumeration of the number of human thymocytes and of (H) CD4⁺CD8⁺ thymocytes after 12–14 wk by combination of FACS staining and total cell count. Data are a summary of three experiments with a total of 22 DKO mice, 24 hSIRPa-DKO mice, and 9 NSG/NRG mice. Data were analyzed by one-way ANOVA test and individual *P* values for posttest are displayed. **P* < 0.05, ***P* < 0.01.

have important implications for the further development of this platform for human vaccine development.

Discussion

Severely immunocompromised mice lacking T cells, B cells, and NK cells have become widely used hosts for the xenotransplantation of human cells due to their diminished rejection of

cells and tissues of human origin (5, 7–9). However, it has been noted that there are additional strain-specific factors that influence engraftment efficiencies as demonstrated by the incapability of C57Bl6 Rag2^{-/-}γc^{-/-}, in contrast to NOD/Rag1^{-/-}γc^{-/-} mice, to support engraftment of human cells. The importance of murine macrophages in xenorejection had been noted more than 10 y ago, but the mechanisms of xenorecognition were only described recently (11, 17, 18). It has been established that binding of CD47 on target cells to SIRPa on macrophages sends a “Don’t eat me” signal to the phagocyte, i.e., murine CD47^{-/-} cells are rapidly cleared from WT mice (14). In the context of xenotransplantation, the advantage of NOD/scid mice as hosts for human cells compared with C57Bl6/Rag mice was subsequently suggested to require a specific variant of the polymorphic inhibitory receptor SIRPa (11). A number of polymorphisms in the extracellular domain of SIRPa enabled SIRPa (NOD) to bind to human CD47, whereas SIRPa (C57Bl6) was unable to bind human CD47 (11). In vitro assays were further used to characterize the direct effect of SIRPa on human hematopoiesis, but it remained formally unconfirmed whether SIRPa is sufficient for the enhanced engraftment in NOD-based strains. Notably, the NOD strain is characterized by a number of well-documented alterations in immune functions such as complement deficiency and impaired dendritic cell maturation (30). We demonstrate in this study that transgenic, faithful expression of human SIRPa in mice is indeed sufficient to strongly decrease rejection of human cells in Rag2^{-/-}γc^{-/-} on a mixed 129/BALB/c background, resulting in increased human cell numbers and an increased functionality of the human adaptive immune system in vivo.

In our initial proof-of-concept experiments to evaluate whether hSIRPa is functional in transgenic mice, human erythrocytes were transferred into mice. This approach was chosen because negative regulation of erythrophagocytosis is highly dependent on the interaction of CD47 and SIRP (14). Human erythrocytes were cleared within hours in DKO mice and the decreased clearance of erythrocytes in hSIRPa-DKO mice compared with DKO mice indicates that hSIRPa is able to negatively regulate phagocytosis by murine macrophages and that human erythrocyte clearance is indeed modulated via CD47–SIRPa interaction. However, not only phagocytosis of erythrocytes is regulated by this interaction, as also murine CD47^{-/-} leukocytes are rapidly cleared upon transfer into WT mice, leading to a failure of CD47^{-/-} cells to repopulate lethally irradiated mice (21). Moreover, in wild-type mice, circulating murine HSCs up-regulate CD47 to avoid phagocytosis in the spleen, demonstrating a requirement for HSPC survival (15). In line with these findings we demonstrated that expression of hSIRPa in 129/BALB/c Rag2^{-/-}γc^{-/-} mice enhanced the efficiency of engraftment of human hematopoietic stem and progenitor cells at two levels. First, the frequency of mice with detectable human cell engraftment in the peripheral blood was almost doubled, and second, frequencies of human cell engraftment were significantly increased. In comparison with NSG mice, hSIRPa-DKO mice were equally well engrafted, but we observed a slightly increased early mortality (<12 wk) of engrafted NSG mice, which can likely be attributed to increased gamma-irradiation sensitivity of scid strains compared with Rag1/Rag2-deficient strains (Table S1). As a consequence, fewer engrafted mice can be used for experiments (DKO, 40%; hSIRPa-DKO, 70%; and NSG, 53%) (Table S1). Although no formal survival analysis was performed, we noted that the difference in survival became larger at later time points (Table S2). In line with a previous report, we found no NSG mice alive after 9 mo, impairing the value of this model for long-term studies (28). Our analysis of hematopoietic organs in the different strains of mice demonstrate increased numbers of human HSPCs in the bone marrow of hSIRPa-DKO mice compared with DKO mice. Striking differences were also visible in the blood and thymus and spleen with two- to threefold increased cell numbers after 3 mo in SIRP-DKO mice compared with DKO mice. Interestingly, the overall composition of the hematopoietic system in the spleen was similar in DKO, hSIRPa-DKO, and NOD-based mice, indicating

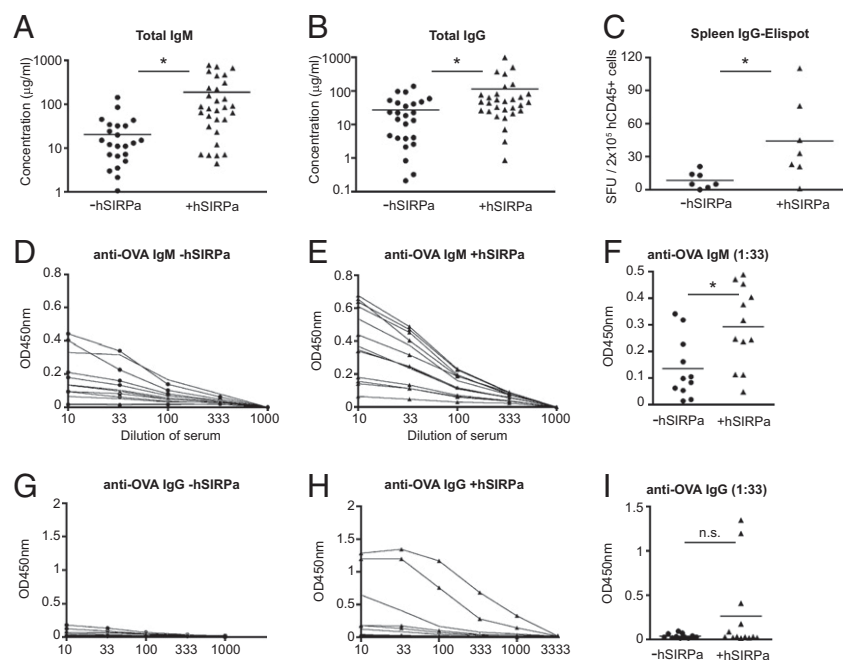


Fig. 4. Improved humoral antigen-specific immune responses in hSIRPa-transgenic mice. Characterization of humoral immune responses before (A–C) and after (D–I) immunization. (A and B) Total serum levels of human IgM (A) and IgG (B) were determined by ELISA in Rag2^{-/-}γc^{-/-} (–hSIRPa, n = 28) and hSIRPa-transgenic Rag2^{-/-}γc^{-/-} (+hSIRPa, n = 30) mice. (C) The frequencies of human IgG-producing cells in the spleen were measured using ELISPOT without immunization. (D–I) Mice were immunized with OVA/CFA and boosted 14 d later with OVA/IFA. (D–G) Anti-OVA IgM (D and E) and IgG (G and H) were assayed by ELISA and OD₄₅₀ nm readings are displayed for serial dilution of serum from individual DKO (D and F) or hSIRPa-DKO (G and H) mice. (F and I) OD₄₅₀ nm readings for a serum dilution of 1:33 are shown; each dot represents a mouse from one experiment. Data were analyzed using Mann-Whitney test, *P < 0.05.

that hSIRPa expression affects the efficiency of initially transferred stem and progenitor cells to seed the bone marrow and subsequently differentiate into various lineages of cells. However, some significant differences were observed, which include increased frequencies of CD3⁺NKp46⁺ cells in the spleen and significantly increased numbers of CD4⁺CD8⁺ double-positive thymocytes. The latter might be a direct result of decreased phagocytic activity in this organ, which contains numerous phagocytes normally responsible for removing negatively selected thymocytes. Alternatively, this might also be a consequence of increased CD47 signaling in developing T cells as ligation of CD47 sends costimulatory signals (31–33). Another notable difference was observed when mice were analyzed for the presence of platelets and erythrocytes. Whereas hSIRPa-DKO mice had an increased number of human platelets compared with DKO mice, they did not reach levels observed in NSG mice. Similarly, frequencies of erythrocytes were significantly higher in NSG mice compared with DKO and hSIRPa-DKO mice. This might be the result of additional strain-specific mutations beyond SIRPa that either favor development or persistence of these cell lineages *in vivo* (30). Longitudinal analysis of engraftment in DKO and hSIRPa-DKO mice revealed that, whereas DKO mostly lost human cells after 9 mo, they were still routinely detectable in hSIRPa-DKO mice. This could be mediated either by prolonged hematopoiesis in the bone marrow or enhanced survival of differentiated cells in the peripheral organs of hSIRPa-transgenic mice. Importantly, the analysis of older mice (~9 mo postengraftment) revealed one of the shortcomings of current mouse models as human hematopoietic stem and progenitor cells were almost completely lost. Hence, we predict that combinations of hSIRPa with additional human knockins may overcome this limitation.

Recently, several approaches have been used to improve human cell engraftment and the unbalanced lineage differentiation in CD34⁺ cell engrafted mice. These include transient approaches such as hydrodynamic injection of plasmid DNA (34), injections of cytokines, and infections of mice or CD34⁺ cells with lentiviruses (35–37). Alternatively, transgenic expression of human MHC molecules has been demonstrated to improve the development of antigen-specific immune responses in vivo (38–40). Nonetheless, overexpression of cytokines might also have detrimental side effects due to the unphysiological expression such as in mice transgenic for SCF, GM-CSF, and IL-3 (41). An alternative approach to provide human growth factors in vivo is to

genetically engineer mice and replace the mouse genes with their human counterparts resulting in their expression in the appropriate niche at physiological levels. Indeed, faithful replacement of mouse GM-CSF and IL-3 as well as thrombopoietin (TPO) by our group has resulted in improved development of human macrophages in the lung and HSC and HPC maintenance in the bone marrow, respectively (23, 24). Notably, in human TPO knockin mice, despite a highly increased engraftment level of stem and progenitor cells in the bone marrow, no changes were observed in the periphery, demonstrating the existence of limiting factors in the periphery such as destruction by phagocytes. With the hSIRPa-DKO mice, we have generated a strain that combines superior engraftment level and the possibility of long-term genetic manipulations to further enhance the murine host.

A highly desired application of mice with functional human immune systems is the development and testing of human vaccines. However, the induction of immune responses *in vivo* has been relative inefficient so far (5, 7–9, 29). Several studies have reported pathogen-specific immune responses upon infection. Although it was reported that around 50% of mice produced virus-specific IgM and IgG upon dengue virus infection (42), other studies reported frequencies below 20% of mice producing antigen-specific IgM and IgG after HIV and EBV infection (29, 43). Upon immunization with adjuvant and antigen, class switching of antigen-specific immunoglobulins is similarly inefficient as only a fraction of immunized animals show antigen-specific IgG responses (5, 7–9, 44, 45). These studies included NSG and BALB/c DKO mice and different adjuvant/antigen combinations. At this point it remains open why antibody production is limited, but because B cells from humanized mice respond normally *in vitro*, it indicates that the human immune system provides only inefficient help *in vivo* (44). In hSIRPα-DKO mice, immunization with a T cell-dependent antigen induced stronger immune responses as measured by higher titers of antigen-specific IgM compared with DKO mice. Furthermore, more hSIRPα⁺ mice produced antigen-specific IgG. To provide help for B cells, antigen-specific T cells need to recognize antigens presented in the context of MHC molecules. Hence, the increased functionality in SIRP-DKO mice is likely the result of improved selection and differentiation of T cells *in vivo* due to overall higher numbers of human immune cells. Similarly, HLA-DR4 transgenic mice and humanized mice that are generated by cotransplantation of CD34⁺ cells and human fetal thymus pieces

have improved HLA-restricted T cell responses and also improved antigen-specific antibody responses (40, 46). However, further studies will be needed to characterize and quantify antigen-specific T cell responses in hSIRPa-DKO mice.

In summary, we achieved improved frequencies of engrafted mice and increased levels of engraftment of human cells by transgenic expression of hSIRPa in 129/BALB/c Rag2^{-/-}γc^{-/-} mice, resulting in an improved functionality of the human immune system in vivo. Supporting our finding of the central function of CD47-SIRPa is a study by Legrand et al. (47). Using lentiviral transduction of human HSPCs with mouse CD47 and breeding of NOD-SIRPa to BALB/c Rag2^{-/-}γc^{-/-} mice, they demonstrate similar quantitative and qualitative improvements of the human immune system in vivo. Genetic engineering in our strain can be used to rapidly generate mice expressing genes of interest and analyze their influence on engraftment of human tissues and cells. On the basis of our successful completion of diverse genetic modifications such as the replacement of com-

plete mouse genes with their human counterparts and expression of human genes using BAC transgenes, we believe that this approach enables targeted modifications to further improve the murine host for transplantation of human tissues and cells.

Materials and Methods

Generation of Human SIRPa-Transgenic Mice. hSIRPa-transgenic mice were generated by transgenesis using a BAC containing the entire 45-kb SIRPa gene along with ~51 kb of flanking DNA on the 5' end and 78 kb on the 3' end that was manipulated to contain a hygromycin resistance cassette. F1 129/Balbc Rag2^{+/-}γc^{+/-} ES cells were electroporated and selected using hygromycin. Positive colonies were screened by PCR to map whether the full-length BAC had been integrated. Further details can be found in *SI Materials and Methods*.

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