

Lecture 6. 近藤 (Kondo) 效应.

1)从 Anderson 模型到 Kondo 模型.

V1 * Single - impurity Anderson 模型

$$H = \sum_{k\sigma} E_k C_{k\sigma}^+ C_{k\sigma} + \sum_{d\sigma} E_d C_{d\sigma}^+ C_{d\sigma} + \sum_{k\sigma} (V_{kd} C_{k\sigma}^+ C_{d\sigma} + h.c.) + U n_d \uparrow n_d.$$

考虑 $U \gg |E_d| \gg V$, $E_d < 0$: 杂质单独成一个局域磁级. $\langle n_d \rangle \approx 1$.

* 局域磁级: 电荷自由度冻结 $\langle n_d \rangle \approx 1$; 自旋自由度 $\vec{S} = \frac{1}{2} C_{d\uparrow}^+ \vec{\sigma}_{d\uparrow} C_{d\uparrow}$.

- 平均场: $\langle n_{d\uparrow} \rangle \neq \langle n_{d\downarrow} \rangle$. 存在磁极, 但无法分离磁级的量子/热载体.

- $V_{kd} = 0$: 自由磁级. 19) 16) 简单.

- $V_{kd} \ll |E_d|, U$: 微扰展开. 二阶微扰论 (简单). 对称性:

$$H_0 = \sum_{k\sigma} E_k C_{k\sigma}^+ C_{k\sigma} + \sum_{d\sigma} E_d C_{d\sigma}^+ C_{d\sigma} + U n_d \uparrow n_d$$

$$H_1 = \sum_{k\sigma} (V_{kd} C_{k\sigma}^+ C_{d\sigma} + h.c.)$$

$$H^{(1)} = \sum_{kk'} J_{kk'} C_{k\alpha}^+ \vec{\sigma}_{k\alpha} C_{k'\alpha} \cdot \vec{S}$$

$$\text{自旋进动对角化后为} \quad H \sim \sum_{kk'} \vec{S}_k \cdot \vec{S}_{k'}$$

$$\langle \uparrow | H_1 | \uparrow \rangle = \langle \uparrow | H_1 | \downarrow \rangle = \langle \downarrow | H_1 | \uparrow \rangle = \langle \downarrow | H_1 | \downarrow \rangle = 0.$$

V2 $\langle \alpha | H^{(2)} | \beta \rangle = \cancel{\langle \alpha | H_1 | n \rangle \langle n | H_1 | \beta \rangle}$

$$= \sum_n \langle \alpha | H_1 | n \rangle \langle n | H_1 | \beta \rangle \frac{1}{2} \left(\frac{1}{E_\alpha - E_n} + \frac{1}{E_\beta - E_n} \right)$$

简并微扰: $E_\alpha = E_\beta$. $\frac{1}{2} \left(\frac{1}{E_\alpha - E_n} + \frac{1}{E_\beta - E_n} \right) = \frac{1}{E_\alpha - E_n}$.

$$H^{(2)} = \sum_n |n\rangle \langle n| \langle \alpha | H_1 | \beta \rangle = \sum_n H_1 \frac{|n\rangle \langle n|}{E_\alpha - E_n} H_1 = \hat{H}_1 \frac{1}{E_\alpha - E_n} \hat{H}_1$$

这里: $H_1 = \sum_{k\sigma} (V_{kd} C_{k\sigma}^+ C_{d\sigma} + h.c.)$.

~~$$H^{(2)} = \sum_{kk',\sigma\sigma'} V_{kd} C_{k\sigma}^+ C_{d\sigma} \frac{1}{E_k - E_d - U} V_{kd}^* C_{k'\sigma'}^+ C_{d\sigma'}$$~~

$$H_A^{(2)} = \sum_{kk',\sigma\sigma'} V_{kd} C_{k\sigma}^+ C_{d\sigma} \frac{1}{E_k - E_d - U} V_{kd}^* C_{k'\sigma'}^+ C_{d\sigma'}$$

初态 $E_i = E_d$. 总能 $E = 2E_d + U - E_k$. 末态 $E_f = E_d - E_k + E_{k'}$.

$$E_i - Z = E_d - (2E_d + U - E_k) = E_k - E_d - U$$

$$E_f - Z = E_d - E_k + E_{k'} - (2E_d + U - E_k) = E_{k'} - E_d + U$$

$$H_B^{(2)} = \sum_{kk',\sigma\sigma'} V_{kd}^* C_{k\sigma'}^+ C_{d\sigma} \frac{1}{E_d - E_k + U} V_{kd} C_{k\sigma'}^+ C_{d\sigma}$$

$Z_i = E_d$ 中间 $Z = E_k$. 末态 $Z_f = E_d + E_{k'} - E_k$.

$$H^{(2)} = H_A^{(2)} + H_B^{(2)}$$

$$H_A^{(2)} = \sum_{kk',\sigma\sigma'} V_{kd} V_{kd}^* C_{k\sigma}^+ C_{k'\sigma'}^+ C_{d\sigma} C_{d\sigma'} \frac{1}{E_d + U - E_k} \frac{1}{E_d + U - E_{k'}}$$

$$H_B^{(2)} = \sum_{kk',\sigma\sigma'} V_{kd} V_{kd}^* C_{k\sigma}^+ C_{k'\sigma'}^+ C_{d\sigma} C_{d\sigma'} \frac{1}{E_k - E_d} \frac{1}{E_{k'} - E_d}$$

A:

t'

t

t

t'

$$H^{(2)} = \sum_{kk',\sigma\sigma'} V_{kd} V_{k'd}^* C_{k\sigma}^\dagger C_{k'\sigma'}^\dagger C_{d\sigma} C_{d\sigma'} \frac{1}{2} \left(\frac{1}{\epsilon_k - \epsilon_d} + \frac{1}{\epsilon_{k'} - \epsilon_d} + \frac{1}{U + \epsilon_d - \epsilon_k} + \frac{1}{U + \epsilon_d - \epsilon_{k'}} \right)$$

~~$\sum_{kk',\sigma\sigma'} C_{k\sigma}^\dagger C_{k'\sigma'}^\dagger C_{d\sigma} C_{d\sigma'}$~~

$$\boxed{V3} \rightarrow = \sum_{kk',\sigma\sigma'} J_{kk'} C_{k\sigma}^\dagger C_{k'\sigma'}^\dagger C_{d\sigma} C_{d\sigma'}$$

$$\therefore J_{kk'} = V_{kd} V_{k'd}^* \left(\frac{1}{\epsilon_k - \epsilon_d} + \frac{1}{\epsilon_{k'} - \epsilon_d} + \frac{1}{U + \epsilon_d - \epsilon_k} + \frac{1}{U + \epsilon_d - \epsilon_{k'}} \right)$$

$\sum_{\sigma\sigma'} C_{k\sigma}^\dagger C_{k'\sigma'}^\dagger C_{d\sigma} C_{d\sigma'}$ 与 $\underbrace{C_{k\sigma}^\dagger \vec{\sigma}_{\alpha\beta} C_{k'\sigma'}^\dagger}_{S_{kk'}} \cdot \vec{S}$ 的关系:

$$\vec{S}_{kk'} = \frac{1}{2} \sum_{\alpha\beta} C_{k\alpha}^\dagger \vec{\sigma}_{\alpha\beta} C_{k'\beta}, \quad \vec{S} = \frac{1}{2} \sum_{\alpha\beta} C_{d\alpha}^\dagger \vec{\sigma}_{\alpha\beta} C_{d\beta}$$

$$\vec{S}_{kk'} \cdot \vec{S} = \frac{1}{4} C_{k\alpha}^\dagger C_{k'\beta} C_{d\alpha}^\dagger C_{d\beta} \vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\alpha'\beta'}$$

$$\vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\alpha'\beta'} = 2\delta_{\alpha\beta} \delta_{\beta\alpha'} - \delta_{\alpha\beta} \delta_{\alpha'\beta'}$$

$$\therefore \vec{S}_{kk'} \cdot \vec{S} = \frac{1}{4} C_{k\alpha}^\dagger C_{k'\beta} C_{d\alpha}^\dagger C_{d\beta} (2\delta_{\alpha\beta} \delta_{\beta\alpha'} - \delta_{\alpha\beta} \delta_{\alpha'\beta'}) \\ = \frac{1}{2} C_{k\alpha}^\dagger C_{k'\beta} C_{d\beta}^\dagger C_{d\alpha} - \frac{1}{4} C_{k\alpha}^\dagger C_{k'\alpha} C_{d\beta}^\dagger C_{d\beta}$$

$$\therefore \sum_{\sigma\sigma'} C_{k\sigma}^\dagger C_{k'\sigma'}^\dagger C_{d\sigma} C_{d\sigma'} = 2 \vec{S}_{kk'} \cdot \vec{S} + \frac{1}{4} C_{k\alpha}^\dagger C_{k'\alpha} N_d$$

忽略这项是因为于对 ϵ_d 微小.

$$H^{(2)} = \sum_{kk',\sigma\sigma'} J_{kk'} \frac{1}{2} C_{k\alpha}^\dagger \vec{\sigma}_{\alpha\beta} C_{k'\beta} \cdot \vec{S}$$

* 近藤 (Kondo) 模型

$$H = \sum_{k\sigma} \epsilon_k C_{k\sigma}^\dagger C_{k\sigma} + \sum_{kk',\sigma\sigma'} J_{kk'} C_{k\alpha}^\dagger \vec{\sigma}_{\alpha\beta} C_{k'\beta} \cdot \vec{S}$$

近似: ~~低能有效模型~~: $\epsilon_k \approx \epsilon_{k'} \approx 0$, $\epsilon_d < 0$, $U + \epsilon_d > 0$.

$$J_{kk'} = V_{kd} V_{k'd}^* \left(\frac{-2e}{\epsilon_d} + \frac{1}{U + \epsilon_d} \right)$$

$$J_{kk'} \approx 2|V_{kd}|^2 \frac{U}{|\epsilon_d|(U + \epsilon_d)}$$

$$\text{对于 } \epsilon_d = -U/2, \quad J = \frac{4|V_{kd}|^2}{U}$$

注意和书上的笔记差的区别的区别: $H = \frac{1}{2} J_{kk'} \vec{S}_{kk'} \cdot \vec{S}$

反磁强: $J > 0$, 这里的 J 和书上差了-4倍!

归一化: $J_0 = \frac{J_0}{V} \leftarrow \text{单位体积}$.

$$H = \sum_{k\sigma} \epsilon_k C_{k\sigma}^\dagger C_{k\sigma} + \frac{J_0}{2V} \sum_{kk',\sigma\sigma'} C_{k\alpha}^\dagger \vec{\sigma}_{\alpha\beta} C_{k'\beta} \cdot \vec{S}$$

2) Kondo 效应：用微扰展开计算杂质散射率 \Rightarrow 成阻率

$$H = \sum_k \epsilon_k C_{k\sigma}^+ C_{k\sigma} + \frac{J_0}{2V} \sum_{k,k'} C_{k\sigma}^+ \vec{\sigma}_{\text{imp}} C_{k'\sigma} \cdot \vec{S}_d$$

假设 \vec{S} 为自旋- S : $\vec{S}_d = S(S+1)$.

$$H = H_0 + H_1; \quad H_0 = \sum_k \epsilon_k C_{k\sigma}^+ C_{k\sigma}; \quad H_1 = \frac{J_0}{2V} \sum_{k,k'} C_{k\sigma}^+ \vec{\sigma}_{\text{imp}} C_{k'\sigma} \cdot \vec{S}_d$$

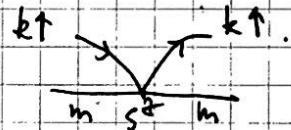
$$\text{令 } \vec{S}^\pm = S^x \pm iS^y; \quad \sigma^\pm = \frac{1}{2}(\sigma^x \pm i\sigma^y)$$

$$\sigma^+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}; \quad \sigma^- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$\therefore H_1 = \frac{J_0}{2V} \sum_{k,k'} [S_d^z (C_{k\uparrow}^+ C_{k\downarrow} - C_{k\downarrow}^+ C_{k\uparrow}) + S_d^+ C_{k\downarrow}^+ C_{k\uparrow} + S_d^- C_{k\uparrow}^+ C_{k\downarrow}]$$

① J_0 -阶贡献:

$$* T_{k\uparrow \rightarrow k'\uparrow; m}^{(0)} = \frac{J_0}{2V} S_d^z C_{k\uparrow}^+ C_{k'\uparrow}$$



$$T_{k\uparrow \rightarrow k'\uparrow; m}^{(0)} = \frac{J_0}{2V} m.$$

$$\langle T_{k\uparrow \rightarrow k'\uparrow; m}^{(0)} \rangle = 2\pi \sum_{k'} |T_{k\uparrow \rightarrow k'\uparrow; m}^{(0)}|^2 \delta(\epsilon_k - \epsilon_{k'}) \rightarrow \text{Fermi 空间对称}.$$

$$= 2\pi \frac{J_0^2 m^2}{4V^2} \sum_k \delta(\epsilon_{k'} - \epsilon_k)$$

$$= \frac{\pi}{2V} J_0^2 m^2 N(0)$$

\rightarrow non flip

$$* T_{k\uparrow \rightarrow k'\downarrow; m}^{(0)} = \frac{J_0}{2V} S_d^+ C_{k\uparrow}^+ C_{k'\downarrow}$$

$$\langle m+1 | S_d^+ | m \rangle = \sqrt{S(S+1) - m(m+1)}$$



$$T_{k\uparrow \rightarrow k'\downarrow; m}^{(0)} = \frac{J_0}{2V} \sqrt{S(S+1) - m(m+1)}$$

$$\langle T_{k\uparrow \rightarrow k'\downarrow; m}^{(0)} \rangle = 2\pi \sum_{k'} \frac{J_0^2}{4V^2} [S(S+1) - m(m+1)]$$

$$= \frac{\pi}{2V} J_0^2 [S(S+1) - m(m+1)] N(0). \rightarrow \text{flip.}$$

$$\therefore T^0(m) = (\text{nonflip}) + (\text{flip})$$

$$= \frac{\pi}{2V} J_0^2 [S(S+1) - m] N(0).$$

Average over m :

$$\bar{T}^0 = \frac{1}{2S+1} \sum_{m=-S}^S T^0(m) = \frac{\pi}{2V} J_0^2 S(S+1) N(0).$$

$\propto \alpha \frac{1}{V}$: 这是一个自旋密度的 \bar{T}^0 . 不同杂质的贡献为 \bar{T}^0 的叠加 (假设杂质间距足够的远)

$$\therefore T_{\text{total}}^0 = (\# \text{ of impurity}) \times \bar{T}^0$$

$$= \frac{(\# \text{ of impurity})}{V} \frac{\pi}{2} J_0^2 S(S+1) N(0)$$

$$= N_{\text{imp}} \cdot \frac{\pi}{2} J_0^2 S(S+1) N(0).$$

$T \rightarrow 0$ 时， \bar{T}^0 有良好行为 (不发散) 的贡献: $\propto \alpha \bar{T}^0$.

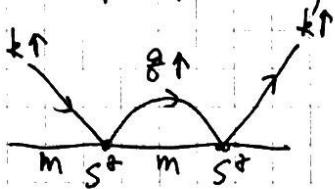
(\rightarrow 磁性杂质: $\bar{T}^0 = \frac{1}{3} \cdot \frac{\pi}{2} J_0^2 N(0)$ imp 好行为).

我们下面会看到：考虑二阶修正之后，自旋翻转的散射率当 $T \rightarrow 0$ 时“发散”。

$\boxed{V5} \rightarrow$ 二阶微扰论：[严格的推导需要用到完整的有限温微扰计算，即 Feynman 图等方法，本课讲不讲]。 $\langle \bar{C}_{k\uparrow}^{\dagger} C_{k\downarrow}^{\dagger} | H_1 | \alpha \rangle$

有限温微扰论的虚基态。 $\langle \bar{C}_{k\uparrow}^{\dagger} C_{k\downarrow}^{\dagger} | \beta | \alpha \rangle = \langle \bar{C}_{k\uparrow}^{\dagger} | H_1 | \alpha \rangle$

① $T_{1a}^{(1)} \rightarrow k\uparrow; m:$



$$T_{1a}^{(1)} = \frac{J_0 m}{8} \cdot \frac{(1-f_F)}{\epsilon_k - \epsilon_g} \cdot \frac{J_0 m}{2V}$$

$$\langle \bar{C}_{k\uparrow}^{\dagger} C_{k\downarrow}^{\dagger} | \beta | \alpha \rangle = \sum_{\gamma} \langle \beta | H_1 | \gamma \rangle \frac{1}{\epsilon_{\gamma} - \beta r} \langle \gamma | H_1 | \alpha \rangle$$

$$= \frac{J_0^2 m^2}{4V^2} \sum_{\gamma} \frac{1-f_F}{\epsilon_k - \epsilon_{\gamma}} = \frac{J_0^2 m^2}{4V} \propto$$

$$w/ \alpha = \frac{1}{V} \sum_{\gamma} \frac{1-f_F}{\epsilon_k - \epsilon_{\gamma}}$$

$$(GS) \rightarrow \frac{J_0}{2V} C_{k\uparrow}^{\dagger} C_{g\downarrow}^{\dagger} S^z | GS \rangle \rightarrow (\frac{J_0}{2V})^2 C_{g\uparrow}^{\dagger} C_{g\downarrow}^{\dagger} C_{k\uparrow}^{\dagger} C_{g\downarrow}^{\dagger} S^z | GS \rangle$$

中间态 $\gamma \uparrow$ 相当于一个空穴态，能量为 $-\epsilon_g$ 。

$$T_{1b}^{(1)} = \sum_{\gamma} \frac{J_0 m}{8} \left(-\frac{f_F}{\epsilon_g - \epsilon_{k'}} \right) \frac{J_0 m}{2V}$$

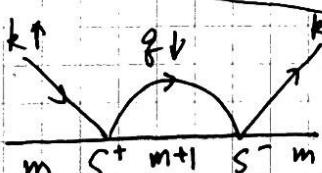
色散来自
 $C_{g\uparrow}^{\dagger} C_{k\uparrow}^{\dagger} C_{k'\uparrow}^{\dagger} C_{g\uparrow}^{\dagger}$
 $= - C_{k'\uparrow}^{\dagger} C_{k\uparrow}^{\dagger} C_{g\uparrow}^{\dagger} C_{g\uparrow}^{\dagger}$. $\sum \gamma = \frac{1}{V} \sum \frac{f_F}{\epsilon_k - \epsilon_{\gamma}}$.

$$T_{1a}^{(1)} + T_{1b}^{(1)} = \frac{J_0^2 m^2}{4V} (\alpha + \gamma)$$

$$\alpha + \gamma = \frac{1}{V} \sum_{\gamma} \frac{1}{\epsilon_k - \epsilon_{\gamma}} \text{ 与温度无关.}$$

$\boxed{V6}$

(1c)



$$T_{1c}^{(1)} = \sum_{\gamma} \frac{J_0}{2V} \langle S, m_0 | S_d^- | S, m+1 \rangle \frac{1-f_F}{\epsilon_k - \epsilon_g} \frac{J_0}{2V} \langle S, m+1 | S_d^+ | S, m \rangle$$

$$= \frac{J_0^2}{4V} \alpha \cdot |\langle S, m+1 | S_d^+ | S, m \rangle|^2$$

$$= \frac{J_0^2}{4V} [S(S+1) - m(m+1)] \alpha$$

$$T_{1d}^{(1)} = \sum_{\gamma} \left(\frac{J_0}{2V} \right)^2 |\langle S, m-1 | S_d^- | S, m \rangle|^2 \frac{-f_F}{\epsilon_g - \epsilon_{k'}}$$

$$= \frac{J_0^2}{4V} [S(S+1) - m(m-1)] \gamma$$

$$T_{1c}^{(1)} + T_{1d}^{(1)} = \frac{J_0^2}{4V} \left\{ [S(S+1) - m(m+1)] (\alpha + \gamma) + 2m\gamma \right\} \xrightarrow{m(m+1) \rightarrow T \text{ 无关.}}$$

$$\approx \frac{J_0^2}{4V} \cdot 2m\gamma$$

~~这里~~

$$\gamma = \frac{1}{V} \sum_{\gamma} \frac{f_F}{\epsilon_k - \epsilon_{\gamma}} = \int d\epsilon N(\epsilon) \frac{f(\epsilon)}{\epsilon_k - \epsilon}$$

$$= \frac{f_1 f_2}{\int_{\epsilon_0}^{\infty} \frac{f_1 f_2}{\epsilon_k - \epsilon} d\epsilon}$$

$$= \frac{m}{\pi^2} \int_0^{\infty} \frac{e^{-\epsilon/k} - e^{-\epsilon/T}}{k^2 - \epsilon^2} \frac{e^{\epsilon/T} - 1}{\epsilon^2} d\epsilon$$

$$f(\epsilon) = \begin{cases} 0, & \epsilon > 0 \\ 1, & \epsilon < 0 \end{cases}$$

$$\epsilon_k \approx 0, \quad \gamma = \left(\int_0^{\infty} N(\epsilon) \frac{1}{\epsilon} d\epsilon \right) \sim N(0) \int_0^{\infty} \frac{d\epsilon}{\epsilon} \text{ 对数发散.}$$

有效温度对散射被 T 截断.

$$\begin{aligned}\tau &\approx N(0) \int_{-E_F}^{\epsilon_F} \frac{d\epsilon}{\epsilon} \approx N(0) \ln \frac{T_F}{T}. \\ \therefore T^{(1)} &\approx \frac{J_0^2}{4V} \cdot 2m N(0) \ln \frac{T_F}{T} \\ &= \frac{J_0}{2V} m \cdot J_0 N(0) \ln \frac{T_F}{T}.\end{aligned}$$

Recall $T_{k\downarrow \rightarrow k\uparrow, m}^{(0)} = \frac{J_0}{2V} m$.

$$\therefore \text{列希-斯: } T_{k\downarrow \rightarrow k\uparrow, m} = \frac{J_0}{2V} m \left(1 + J_0 N(0) \ln \frac{T_F}{T} + \dots \right).$$

$$\text{有效 } J_0^{\text{eff}} = J_0 \left(1 + J_0 N(0) \ln \frac{T_F}{T} + \dots \right).$$

$$T_{k\downarrow \rightarrow k\uparrow, m} \propto J_0^2 \propto \left(1 + 2 J_0 N(0) \ln \frac{T_F}{T} + \dots \right).$$

$$T = T^0 \left(1 + 2 J_0 N(0) \ln \frac{T_F}{T} + \dots \right).$$

随 $T \rightarrow 0$, $T \sim \ln \frac{T_F}{T}$ 非常增大.

对于单旋翻转的情形:

- $T_{\text{total}} > T_{k\downarrow \rightarrow k\uparrow}$. 因此 δT_{total} 至少也会出现 $\ln \frac{T_F}{T}$ 的增长.

- 由详细计算表明, $T_{k\downarrow \rightarrow k\uparrow}$ 也有 $T = T^0 \left(1 + 2 J_0 N(0) \ln \frac{T_F}{T} \right)$ 的修正.

~~由微扰论~~

$$\Rightarrow T^0 \text{ 附近 } P = P^0 \left(1 + 2 J_0 N(0) \ln \frac{T_F}{T} + \dots \right) \xrightarrow{T \rightarrow 0^+} \text{ 对散射.}$$

3) Unitarity 极限; 微扰论失效. \boxed{V}

$$P \leq 1$$

微扰论算出来 $T > 1$ 说明微扰论错了...

$$J^{\text{eff}} = J_0 \left(1 + J_0 N(0) \ln \frac{T_F}{T} \right)$$

$T \rightarrow 0$: J^{eff} 变大; 微扰展开不再适用.

$$J_0 N(0) \ln \frac{T_F}{T} \sim 1.$$

$$T_F/T \xrightarrow{T \rightarrow 0} \ln \frac{T_F}{T} = -\frac{1}{J_0 N(0)}$$

$$T = T_F e^{-\frac{1}{J_0 N(0)}}.$$

定义 Kondo 温度 $T_K = T_F e^{-\frac{4}{3 J_0 N(0)}}$.

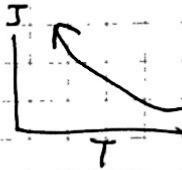
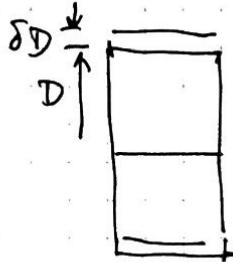
手写子: 超越微扰论的修正.

4) Poor Man's Renormalization Group 低配版重整化群

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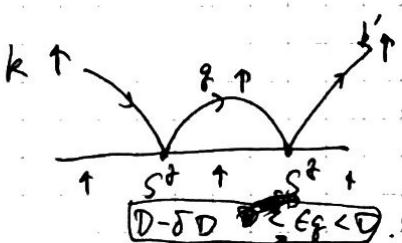
P. W. Anderson

$$\beta(J) = -\frac{dJ}{d\ln T} = J^2 N(0)$$

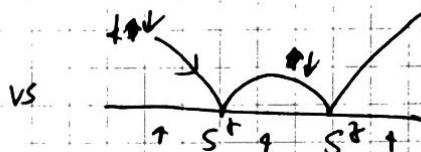


D 截断 $D \rightarrow D - \delta D$

"去掉" $D - \delta D < \epsilon < D$ 的自由度, 得到有效 Hamiltonian
 $S = \frac{1}{2}$, 自旋进动项: 只需计算 $J_2 S_{\alpha}^z (C_{k\uparrow}^{\dagger} C_{k\uparrow} - C_{k\downarrow}^{\dagger} C_{k\downarrow})$

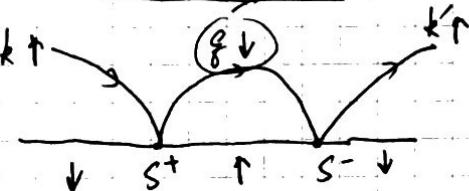


的修正即可



: 二者相等, 不会修改 J
 (高出的是 $C_{k\uparrow}^{\dagger} C_{k\uparrow} + C_{k\downarrow}^{\dagger} C_{k\downarrow}$ 的分量)

a:



$$\epsilon_k \approx \epsilon_F \approx 0, \epsilon_F \approx D$$

$$H_a^{(2)} = \left\langle \sum_{\mathbf{k}} \frac{J}{2V} S^- C_{k\uparrow}^{\dagger} C_{k\downarrow} + \frac{J}{2V} S^+ C_{k\downarrow}^{\dagger} C_{k\uparrow} \right\rangle_2 \left(\frac{1}{\epsilon_k - \epsilon_F} + \frac{1}{\epsilon_{k'} - \epsilon_F} \right).$$

$\sum_{\mathbf{k}}$, $D - \delta D < \epsilon_F < D$. $\langle \rangle_2$: "截掉" 为 $D - \delta D < \epsilon < D$ 的部分.

$$\approx \frac{J^2}{4V^2} S^- S + C_{k\uparrow}^{\dagger} C_{k\uparrow} \langle C_{k\downarrow}^{\dagger} C_{k\downarrow} \rangle \frac{1}{-D}$$

$$= -\frac{J^2}{4V^2} S^- S + C_{k\uparrow}^{\dagger} C_{k\uparrow} \left(N(0) \frac{\delta D}{D} \right)$$

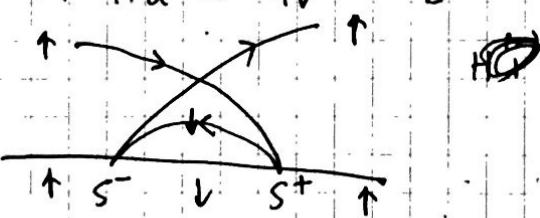
$$= -\frac{J^2}{4V^2} S^- S + C_{k\uparrow}^{\dagger} C_{k\uparrow} N(0) \frac{\delta D}{D}.$$

$$\sum_{\mathbf{k}} = V N(0) \delta D.$$

$$S^- S^+ = \frac{1}{2} - S^2.$$

$$\therefore H_a^{(2)} \approx \frac{J^2}{4V} N(0) \frac{\delta D}{D} S^2 C_{k\uparrow}^{\dagger} C_{k\uparrow}$$

b:



HCP

$$H_b^{(2)} = \left\langle \sum_{\mathbf{k}} \frac{J}{2V} S^+ C_{k\downarrow}^{\dagger} C_{k\uparrow} + \frac{J}{2V} S^- C_{k\uparrow}^{\dagger} C_{k\downarrow} \right\rangle_2 \left(\frac{1}{\epsilon_k - \epsilon_F} + \frac{1}{\epsilon_{k'} - \epsilon_F} \right)$$

g: 定义, $\epsilon_F = -D$, $\epsilon_k \approx 0$, $\frac{1}{2} \left(\frac{1}{\epsilon_F - \epsilon_k} + \frac{1}{\epsilon_F - \epsilon_{k'}} \right) \approx \frac{1}{-D}$.

$$H_b^{(2)} \approx -\sum_{\mathbf{k}} \frac{J^2}{4V^2} S^+ S - C_{k\uparrow}^{\dagger} C_{k\uparrow} \langle C_{k\downarrow}^{\dagger} C_{k\downarrow} \rangle \frac{1}{-D}$$

忽略 $C_{k\uparrow}$ 与 $C_{k\downarrow}^{\dagger}$: $\langle C_{k\downarrow}^{\dagger} C_{k\downarrow} \rangle = 1$

$$H_b^{(2)} = \frac{J^2}{4V^2} S^+ S - C_{k\uparrow}^{\dagger} C_{k\uparrow} N(0) \frac{\delta D}{D} \quad \text{w/ } S^+ S = \frac{1}{2} + S^2.$$

$$\therefore H^{(2)} \approx \frac{J^2}{4V} N(0) \frac{\delta D}{D} S^\pm C_{k\uparrow}^\dagger C_{k\uparrow}$$

$$H^{(2)} = \frac{J^2}{2V} N(0) \frac{\delta D}{D} S^\pm C_{k\uparrow}^\dagger C_{k\uparrow}$$

$$\therefore H_1 = \frac{J}{2V} S^\pm (C_{k\uparrow}^\dagger C_{k\uparrow} - C_{k\downarrow}^\dagger C_{k\downarrow}) \text{ 得到}$$

$$\delta J = \frac{J^2}{2V} N(0) \frac{\delta D}{D} = -J N(0) \delta(\ln D). \quad D \rightarrow D - \delta D$$

$$\delta(\ln D) = -\frac{D}{D \delta D}$$

$$\therefore \frac{\delta J}{\delta \delta(\ln D)} = -J^2 N(0)$$

有限邊：

$$\frac{d J}{d \ln D/T} = -J^2 N(0)$$

求解此微分方程，得到

$$J(D) = \frac{J(D_0)}{1 + J(D_0) N(0) \ln(D/D_0)}$$