

Computing inflationary predictions in general scalar-tensor theories

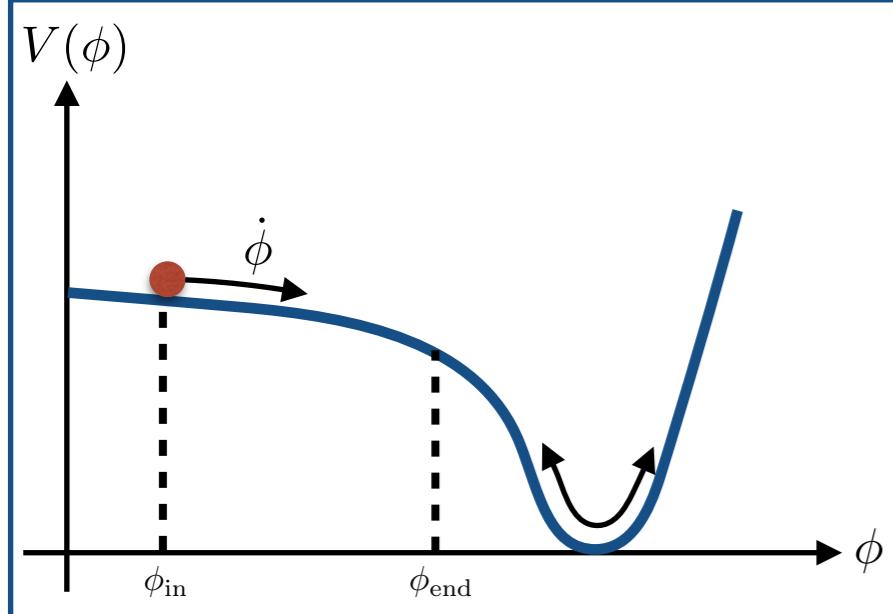
Héctor Ramírez

IFIC - University of Valencia

ICG - Portsmouth



Slow-roll inflation in one slide



Number of e -folds of inflation:

- $\epsilon_H = -\frac{\dot{H}}{H^2}$
- $\eta_H = \frac{1}{2} \frac{d \ln \epsilon_H}{dN} - \epsilon_H$

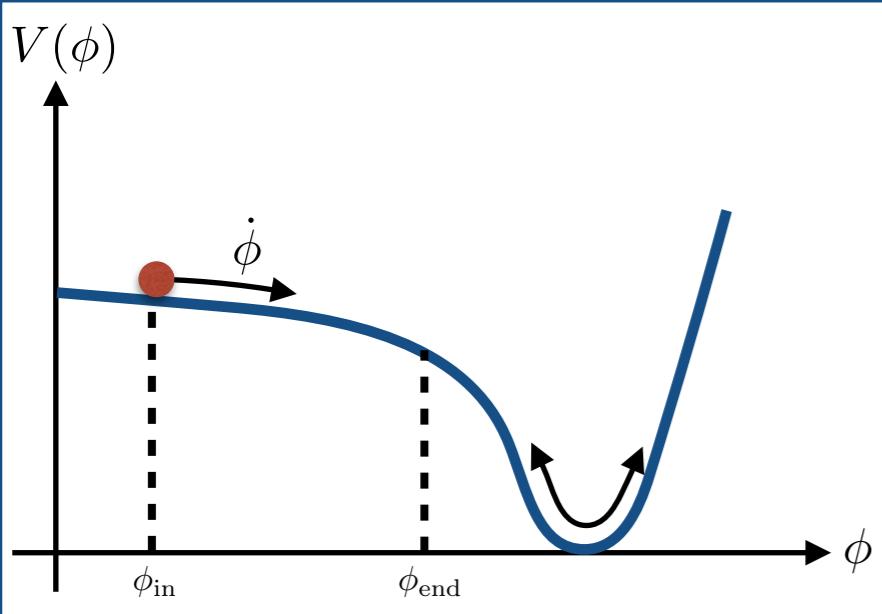
Slow-roll conditions:

$$\epsilon_H \ll 1$$

$$|\eta_H| \ll 1$$

$$N_{\text{CMB}} = \int_{\phi_{\text{CMB}}}^{\phi_{\text{end}}} \frac{d\phi}{M_{\text{pl}} \sqrt{2\epsilon}} \approx 40 - 60$$

Slow-roll inflation in one slide



- $\epsilon_H = -\frac{\dot{H}}{H^2}$
- $\eta_H = \frac{1}{2} \frac{d \ln \epsilon_H}{dN} - \epsilon_H$

Slow-roll conditions:

$$\epsilon_H \ll 1$$

$$|\eta_H| \ll 1$$

Number of e -folds of inflation:

$$N_{\text{CMB}} = \int_{\phi_{\text{CMB}}}^{\phi_{\text{end}}} \frac{d\phi}{M_{\text{pl}} \sqrt{2\epsilon}} \approx 40 - 60$$

- $S_{\zeta}^{(2)} = \int d^4x a^3 \epsilon_H \left(\dot{\zeta}^2 - \frac{k^2}{a^2} \zeta^2 \right)$

Scalars

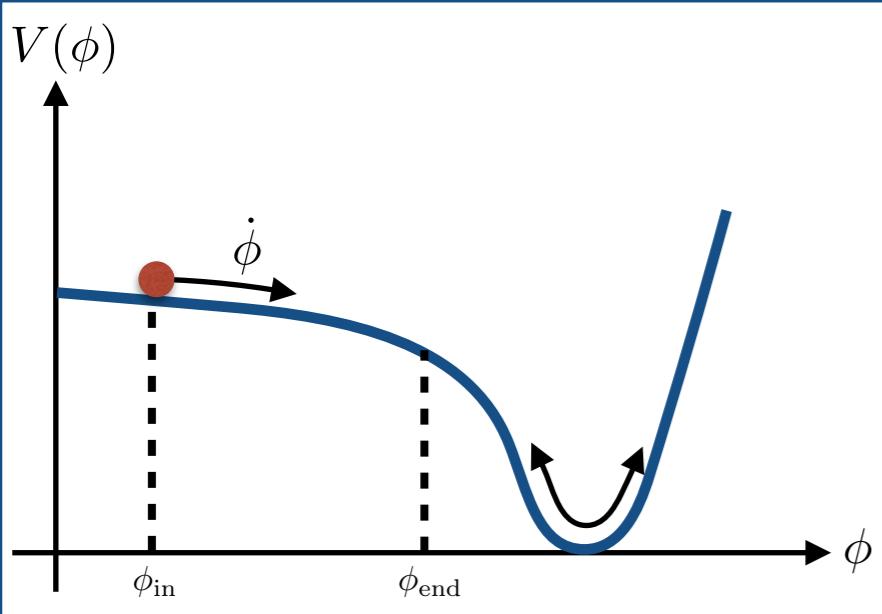
Mukhanov - Sasaki equation:

- $S_{\gamma}^{(2)} = \sum_{\lambda=+,\times} \int d^4x \frac{a^3}{4} \left(\dot{\gamma}_{\lambda}^2 - \frac{k^2}{a^2} \gamma_{\lambda}^2 \right)$

Tensors

$$v_k'' + \left(k^2 - \frac{z''}{z} \right) v_k = 0$$

Slow-roll inflation in one slide



- $\epsilon_H = -\frac{\dot{H}}{H^2}$
- $\eta_H = \frac{1}{2} \frac{d \ln \epsilon_H}{dN} - \epsilon_H$

Slow-roll conditions:

$$\epsilon_H \ll 1$$

$$|\eta_H| \ll 1$$

Number of e -folds of inflation:

$$N_{\text{CMB}} = \int_{\phi_{\text{CMB}}}^{\phi_{\text{end}}} \frac{d\phi}{M_{\text{pl}} \sqrt{2\epsilon}} \approx 40 - 60$$

- $S_\zeta^{(2)} = \int d^4x a^3 \epsilon_H \left(\dot{\zeta}^2 - \frac{k^2}{a^2} \zeta^2 \right)$

Scalars

Mukhanov - Sasaki equation:

- $S_\gamma^{(2)} = \sum_{\lambda=+,\times} \int d^4x \frac{a^3}{4} \left(\dot{\gamma}_\lambda^2 - \frac{k^2}{a^2} \gamma_\lambda^2 \right)$

Tensors

$$v_k'' + \left(k^2 - \frac{z''}{z} \right) v_k = 0$$

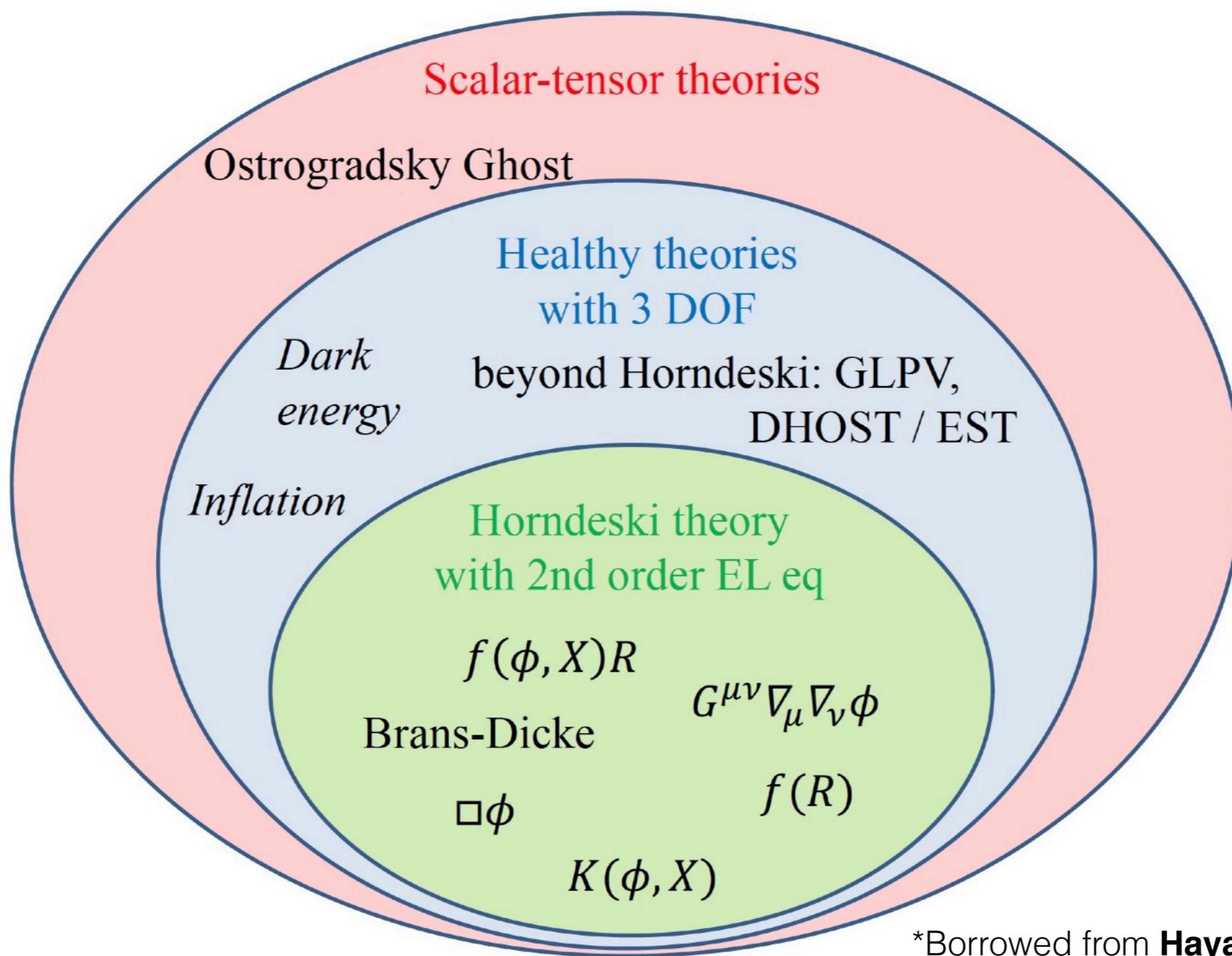
Inflationary parameters:

- $r \equiv \frac{\Delta_\gamma^2}{\Delta_\zeta^2}$

- $n_s - 1 \equiv \frac{d \ln \Delta_\zeta^2}{d \ln k}$

Outline:

1. Scalar - Tensor theories.
2. Generalized slow-roll (GSR) techniques.
3. G-inflation.



*Borrowed from **Hayato Motohashi**'s talks

Ostrogradski's theorem:

“Higher-derivative theories contain extra degrees of freedom, and are usually plagued by negative energies and related instabilities.”

Horndeski theory:

- G. Horndeski (1974)
- A. Nicolis *et al.*; 0811.2197
- C. Deffayet *et al.*; 1103.3260

$$S = \sum_{i=2}^5 \int d^4x \sqrt{-g} \mathcal{L}_i$$

$$\mathcal{L}_2 = G_2 ,$$

$$\mathcal{L}_3 = G_3 \square \phi ,$$

$$\mathcal{L}_4 = G_4 R - 2G_{4,X}[(\square \phi)^2 - \phi^{;\mu\nu}\phi_{;\mu\nu}] ,$$

$$\mathcal{L}_5 = G_5 G^{\mu\nu}\phi_{;\mu\nu} + \frac{G_{5,X}}{3}[(\square \phi)^3 - 3(\square \phi)\phi_{;\mu\nu}\phi^{;\mu\nu} + 2\phi_{;\mu\nu}\phi^{;\mu\sigma}\phi^{;\nu}_{;\sigma}] .$$

$$X \equiv g^{\mu\nu}\partial_\mu\phi\partial_\nu\phi$$

$$G_i = G_i(\phi, X)$$

Applications
to:

- Late-time Cosmology (dark energy).
- Early-universe Cosmology (inflation).

Horndeski theory:

- G. Horndeski (1974)
- A. Nicolis *et al.*; 0811.2197
- C. Deffayet *et al.*; 1103.3260

$$S = \sum_{i=2}^5 \int d^4x \sqrt{-g} \mathcal{L}_i$$

$$\mathcal{L}_2 = G_2 ,$$

$$\mathcal{L}_3 = G_3 \square \phi ,$$

$$\mathcal{L}_4 = G_4 R - 2G_{4,X}[(\square \phi)^2 - \phi^{;\mu\nu}\phi_{;\mu\nu}] ,$$

$$\mathcal{L}_5 = G_5 G^{\mu\nu}\phi_{;\mu\nu} + \frac{G_{5,X}}{3}[(\square \phi)^3 - 3(\square \phi)\phi_{;\mu\nu}\phi^{;\mu\nu} + 2\phi_{;\mu\nu}\phi^{;\mu\sigma}\phi^{;\nu}_{;\sigma}] .$$

Canonical
inflation:

$$G_2 = -\frac{X}{2} - V(\phi) , \quad G_4 = \frac{M_{\text{pl}}^2}{2} ,$$

$$G_3 = 0 , \quad G_5 = 0 .$$

$$X \equiv g^{\mu\nu}\partial_\mu\phi\partial_\nu\phi$$

$$G_i = G_i(\phi, X)$$

Inflation

- T. Kobayashi *et al.*; 1105.5723
- H. Motohashi, W. Hu; 1704.01128

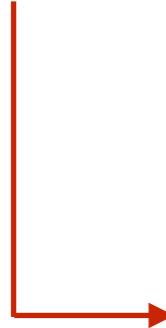
$$S_{\zeta}^{(2)} = \int d^4x \frac{a^3 b_s \epsilon_H}{c_s^2} \left(\dot{\zeta}^2 - \frac{c_s^2 k^2}{a^2} \zeta^2 \right)$$

$$S_{\gamma}^{(2)} = \sum_{\lambda=+,\times} \int d^4x \frac{a^3 b_t}{4c_t^2} \left(\dot{\gamma}_{\lambda}^2 - \frac{c_t^2 k^2}{a^2} \gamma_{\lambda}^2 \right)$$

In canonical inflation:

$$b_s = b_t = c_s = c_t = 1$$

$$S_{\zeta}^{(2)} = \int d^4x \frac{a^3 b_s \epsilon_H}{c_s^2} \left(\dot{\zeta}^2 - \frac{c_s^2 k^2}{a^2} \zeta^2 \right)$$



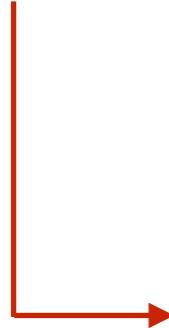
$$\frac{d^2v}{d\tau^2} + \left(c_s^2 k^2 - \frac{1}{z} \frac{d^2z}{d\tau^2} \right) v = 0$$

* Mukhanov - Sasaki equation

- $v = z\zeta$
 - Assume slow-roll approximation.

- $z = a \sqrt{\frac{2b_s \epsilon_H}{c_s^2}}$
 - Solve numerically.
 - Use GSR techniques.

$$S_{\zeta}^{(2)} = \int d^4x \frac{a^3 b_s \epsilon_H}{c_s^2} \left(\dot{\zeta}^2 - \frac{c_s^2 k^2}{a^2} \zeta^2 \right)$$



$$\frac{d^2v}{d\tau^2} + \left(c_s^2 k^2 - \frac{1}{z} \frac{d^2z}{d\tau^2} \right) v = 0$$

* Mukhanov - Sasaki equation

- $v = z\zeta$
 - Assume slow-roll approximation.

- $z = a \sqrt{\frac{2b_s \epsilon_H}{c_s^2}}$
 - Solve numerically.
 - Use GSR techniques.

Outline:

1. Scalar - Tensor theories.
2. Generalized slow-roll (GSR) techniques.
3. G-inflation.

Generalized Slow-Roll

- E. Stewart; 0110322
- C. Dvorkin, W. Hu; 0910.2237
- W. Hu; 1104.4500
- W. Hu; 1405.2020
- H. Motohashi, W. Hu; 1503.04810
- H. Motohashi, W. Hu; 1704.01128

... and others.

Generalized slow-roll recipe:

1. Write down Mukhanov-Sasaki equation.

Generalized slow-roll recipe:

1. Write down Mukhanov-Sasaki equation.
2. Isolate deviations from de Sitter background.

$$\frac{d^2y}{dx^2} + \left(1 - \frac{2}{x^2}\right)y = \frac{f'' - 3f'}{f} \frac{y}{x^2}$$

de Sitter background

deviations from dS

■ $y \equiv \sqrt{2c_s k} v$

■ $x \equiv ks_s$

■ $s_s \equiv \int c_s d\tau$

■ $f = \sqrt{8\pi^2 \frac{b_s \epsilon_H c_s}{H^2}} \frac{a H s_s}{c_s}$

■ $\Delta_\zeta^2(k) = \lim_{x \rightarrow 0} \left| \frac{xy}{f} \right|^2$

Generalized slow-roll recipe:

1. Write down Mukhanov-Sasaki equation.
2. Isolate deviations from de Sitter background.

$$\frac{d^2y}{dx^2} + \left(1 - \frac{2}{x^2}\right)y = \frac{f'' - 3f'}{f} \frac{y}{x^2}$$

3. Apply Green function techniques (GSR).

$$\ln \Delta^{2(1)} = G(\ln x_m) + \int_{x_m}^{\infty} d(\ln x) W(kx) G'(\ln x)$$

■ $G = -2\ln f + \frac{2}{3} (\ln f)'$ Source function

■ $W(u) = \frac{3 \sin(2u)}{2u^3} - \frac{3 \cos(2u)}{u^2} - \frac{3 \sin(2u)}{2u}$

Generalized slow-roll recipe:

1. Write down Mukhanov-Sasaki equation.
2. Isolate deviations from de Sitter background.

$$\frac{d^2y}{dx^2} + \left(1 - \frac{2}{x^2}\right)y = \frac{f'' - 3f'}{f} \frac{y}{x^2}$$

3. Apply Green function techniques (GSR).

$$\ln \Delta^{2(1)} = G(\ln x_m) + \int_{x_m}^{\infty} d(\ln x) W(kx) G'(\ln x)$$

4. Taylor expand GSR formula and write down analytic equations (OSR).

Optimized SR for Horndeski (leading order):

- H. Motohashi, W. Hu; 1704.01128

$$\ln \Delta_\zeta^2 \approx \ln \left(\frac{H^2}{8\pi^2 b_s c_s \epsilon_H} \right) - \frac{10}{3} \epsilon_H - \frac{2}{3} \delta_1 - \frac{7}{3} \sigma_{s1} - \frac{1}{3} \xi_{s1} \Big|_{x=x_1}$$

Scalars

$$n_s - 1 \approx -4\epsilon_H - 2\delta_1 - \sigma_{s1} - \xi_{s1} - \frac{2}{3} \delta_2 - \frac{7}{3} \sigma_{s2} - \frac{1}{3} \xi_{s2} \Big|_{x=x_1}$$

$$\alpha_s \approx -2\delta_2 - \sigma_{s2} - \xi_{s2} - \frac{2}{3} \delta_3 - \frac{7}{3} \sigma_{s3} - \frac{1}{3} \xi_{s3} - 8\epsilon_H^2 - 10\epsilon_H \delta_1 + 2\delta_1^2 \Big|_{x=x_1}$$

$$\ln \Delta_\gamma^2 \approx \ln \left(\frac{H^2}{2\pi^2 b_t c_t} \right) - \frac{8}{3} \epsilon_H - \frac{7}{3} \sigma_{t1} - \frac{1}{3} \xi_{t1} \Big|_{x=x_1}$$

$$n_t \approx -2\epsilon_H - \sigma_{t1} - \xi_{t1} - \frac{7}{3} \sigma_{t2} - \frac{1}{3} \xi_{t2} \Big|_{x=x_1}$$

Tensors

$$\alpha_t \approx -\sigma_{t2} - \xi_{t2} - \frac{7}{3} \sigma_{t3} - \frac{1}{3} \xi_{t3} - 4\epsilon_H^2 - 4\epsilon_H \delta_1 \Big|_{x=x_1}$$

$$\ln x_1 \equiv \frac{7}{3} - \ln 2 - \gamma_E$$

Optimized SR for Horndeski (leading order):

- H. Motohashi, W. Hu; 1704.01128

$$r \equiv \frac{4\Delta_\gamma^2}{\Delta_\zeta^2} \approx 16\epsilon_H \frac{b_s c_s}{b_t c_t} \approx -\frac{8b_s c_s}{b_t c_t} n_t,$$

Deviations from the standard consistency relation in the observations could be checked in this context.

Outline:

1. Scalar - Tensor theories.
2. Generalized slow-roll (GSR) techniques.
3. G-inflation.

$$G_3 = \text{const}$$

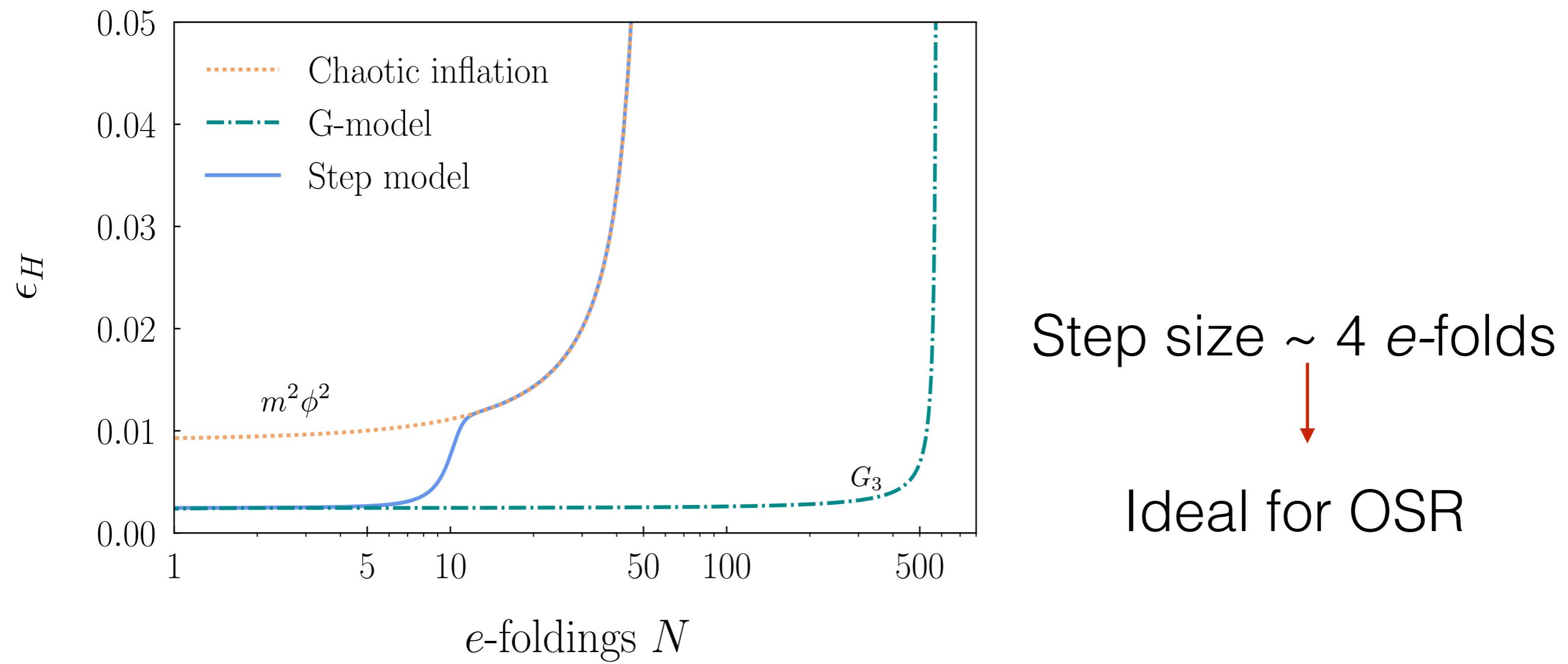
K. Kamada, T. Kobayashi, M. Yamaguchi, J. Yokoyama; 1012.4238

J. Ohashi, S. Tsujikawa; 1207.4879

$G_3 + \tanh + \text{chaotic inflation}$
 = transient G-inflation

$$\begin{aligned}\mathcal{L}_2 &= G_2 , \\ \mathcal{L}_3 &= G_3 \square \phi , \\ \mathcal{L}_4 &= G_4 R - 2G_{4,X}[(\square \phi)^2 - \phi^{\mu\nu} \phi_{;\mu\nu}] , \\ \mathcal{L}_5 &= G_5 G^{\mu\nu} \phi_{;\mu\nu} + \frac{G_{5,X}}{3}[(\square \phi)^3 - 3(\square \phi) \phi_{;\mu\nu} \phi^{\mu\nu} + 2\phi_{;\mu\nu} \phi^{\mu\sigma} \phi^{\nu}_{;\sigma}] .\end{aligned}$$

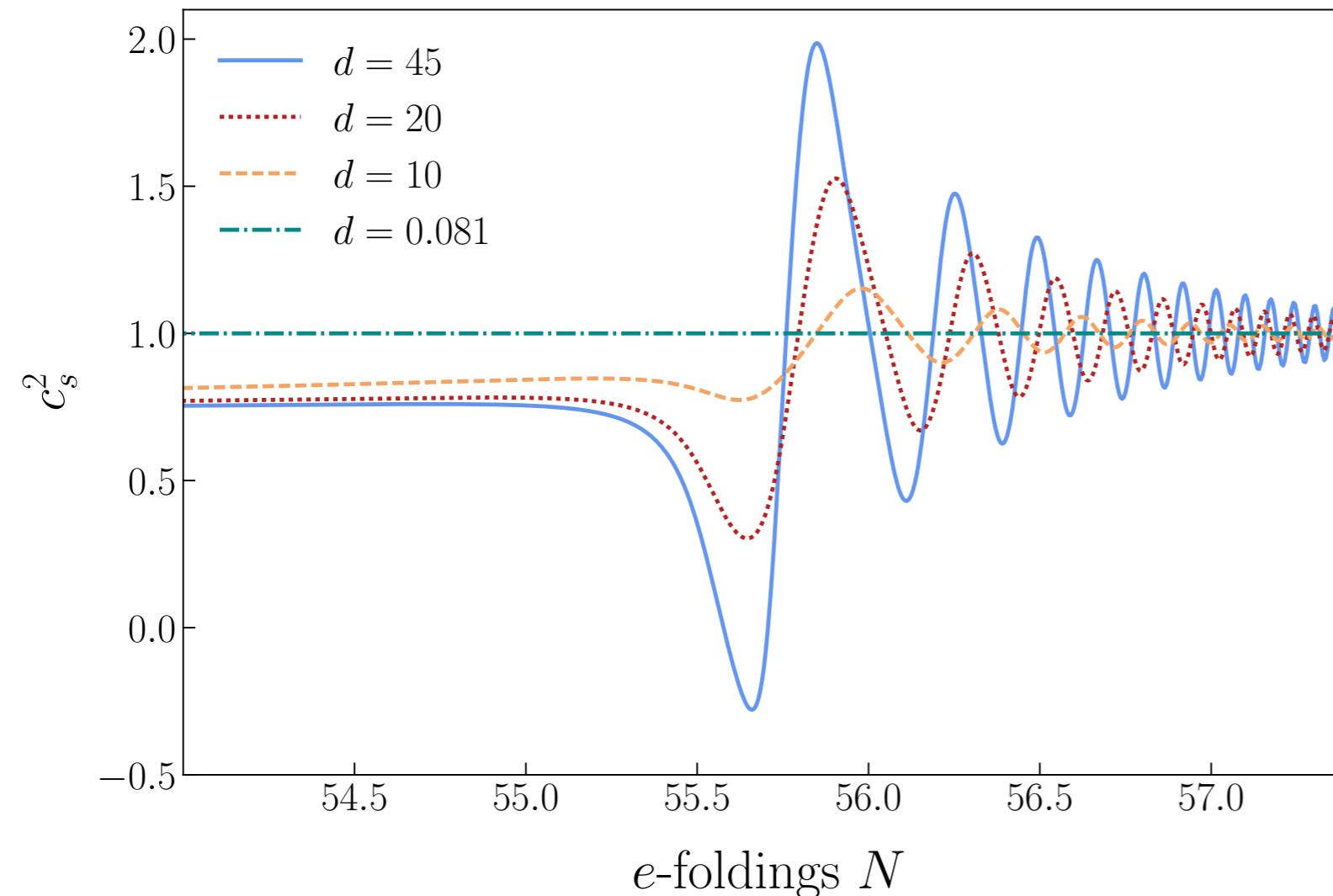
$$G_2 = \frac{1}{2} \dot{\phi}^2 - \frac{1}{2} m^2 \phi^2 , \boxed{G_3 = M^{-3} \left[1 + \tanh \left(\frac{\phi - \phi_r}{d} \right) \right] , G_4 = \frac{1}{2} M_{\text{pl}}^2 , G_5 = 0}$$



$G_3 + \tanh + \text{chaotic inflation}$
 = transient G-inflation

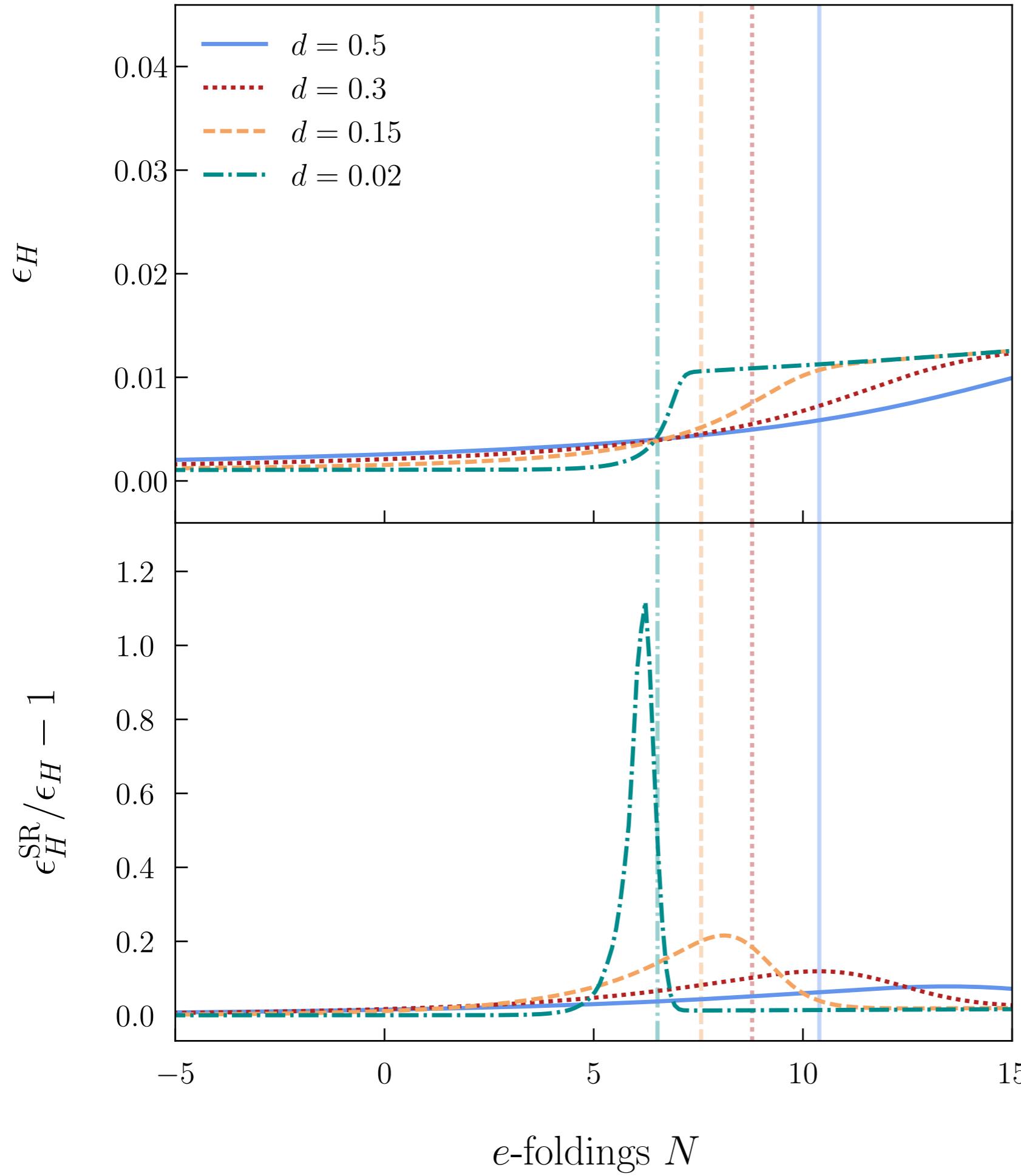
$$\begin{aligned}\mathcal{L}_2 &= G_2 , \\ \mathcal{L}_3 &= G_3 \square \phi , \\ \mathcal{L}_4 &= G_4 R - 2G_{4,X}[(\square \phi)^2 - \phi^{\mu\nu} \phi_{;\mu\nu}] , \\ \mathcal{L}_5 &= G_5 G^{\mu\nu} \phi_{;\mu\nu} + \frac{G_{5,X}}{3}[(\square \phi)^3 - 3(\square \phi) \phi_{;\mu\nu} \phi^{\mu\nu} + 2\phi_{;\mu\nu} \phi^{\mu\sigma} \phi^{\nu}_{;\sigma}] .\end{aligned}$$

$$G_2 = \frac{1}{2} \dot{\phi}^2 - \frac{1}{2} m^2 \phi^2 , \boxed{G_3 = M^{-3} \left[1 + \tanh \left(\frac{\phi - \phi_r}{d} \right) \right] , G_4 = \frac{1}{2} M_{\text{pl}}^2 , G_5 = 0}$$



$$\begin{aligned}\phi_r &= 13 \\ M &= 1.3 \times 10^{-4}\end{aligned}$$

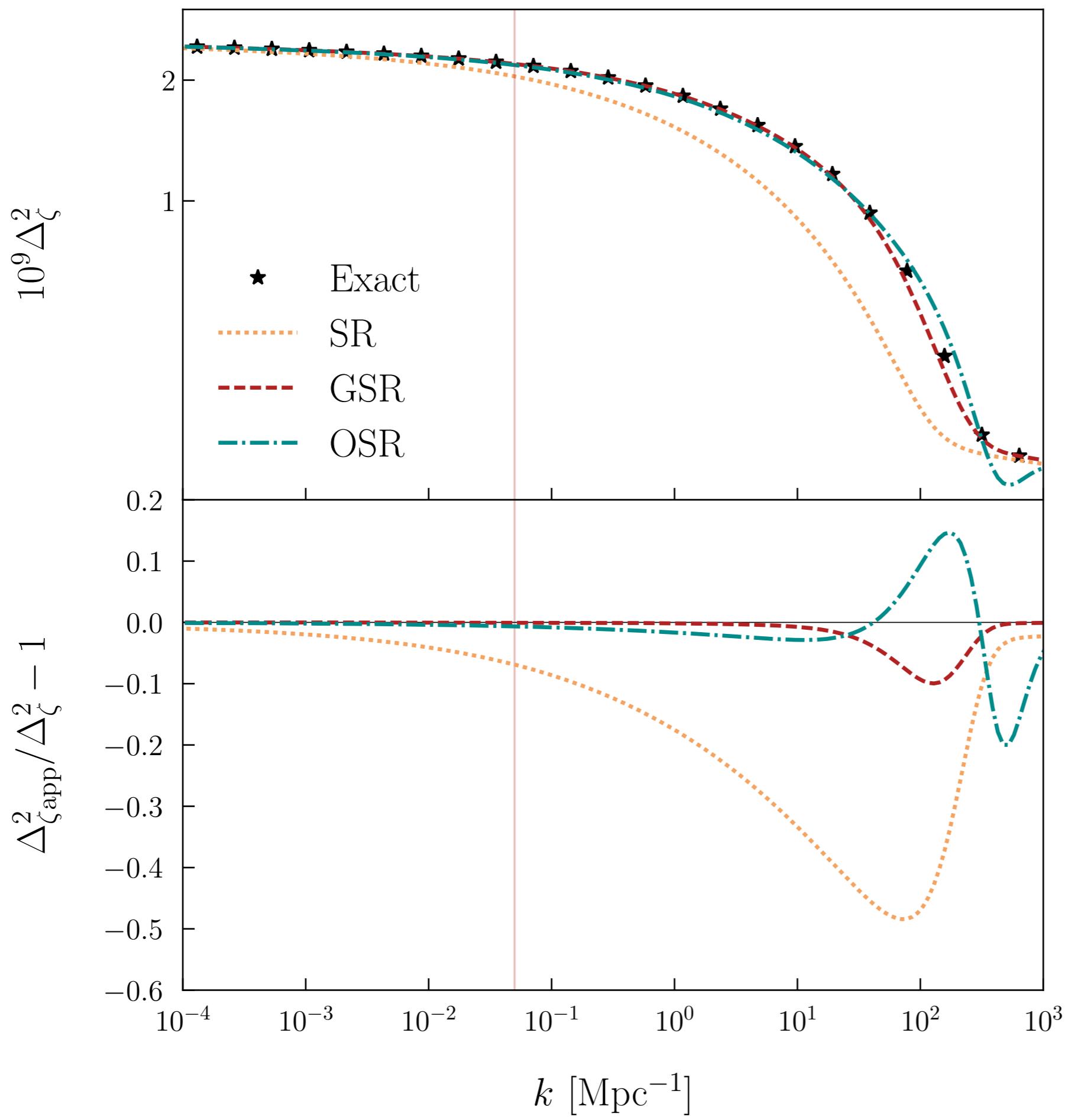
No gradient instabilities for any mass scale M .



Slow - roll violation:

$$\epsilon_H = -\frac{\dot{H}}{H^2}$$

- $N = 0$: CMB scales.
- Vertical lines: where the transition occurs.
- SR violation is maximal around the transition.



Different approximations

$$d = 0.086$$

$$M = 1.3 \times 10^{-4}$$

SR

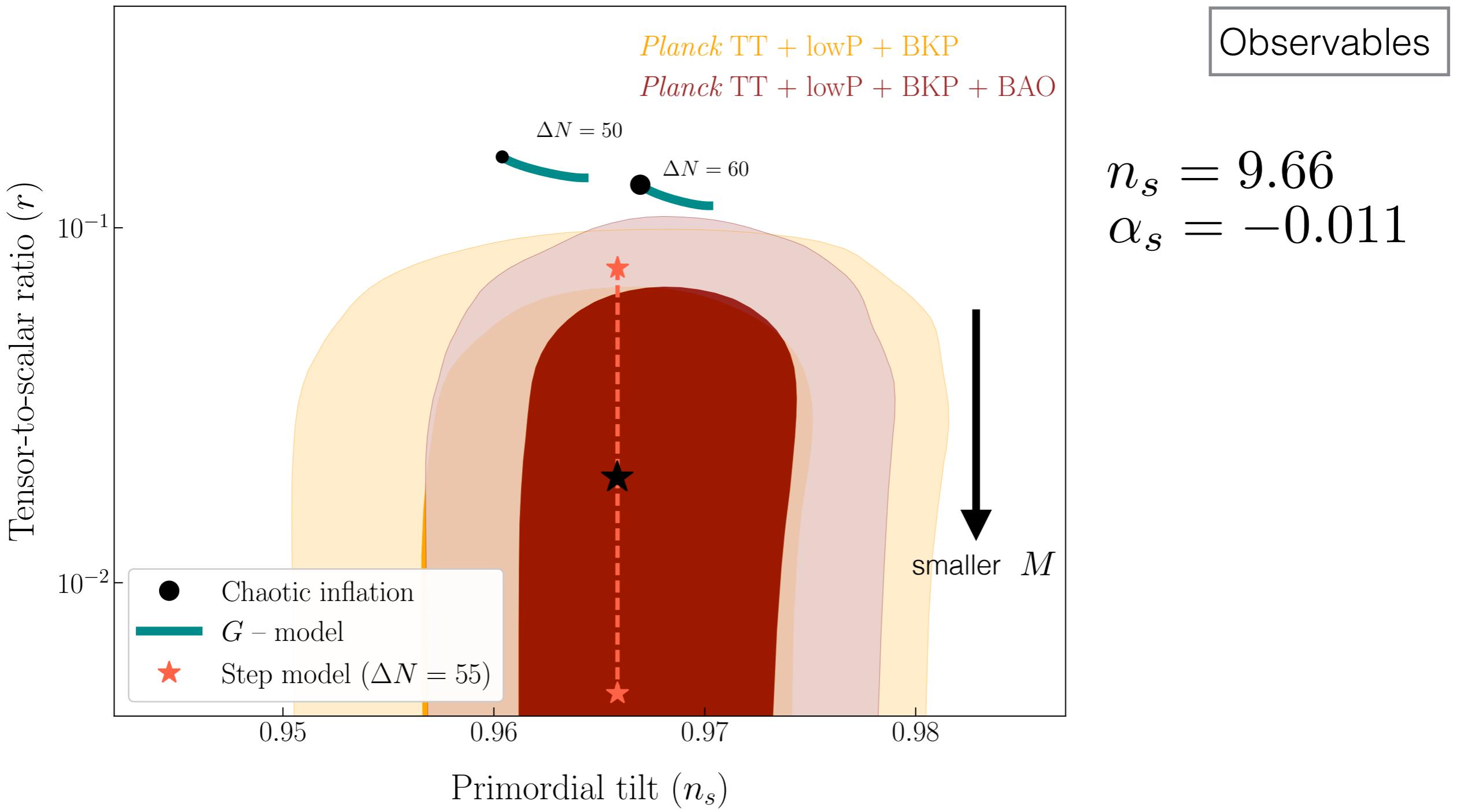


GSR

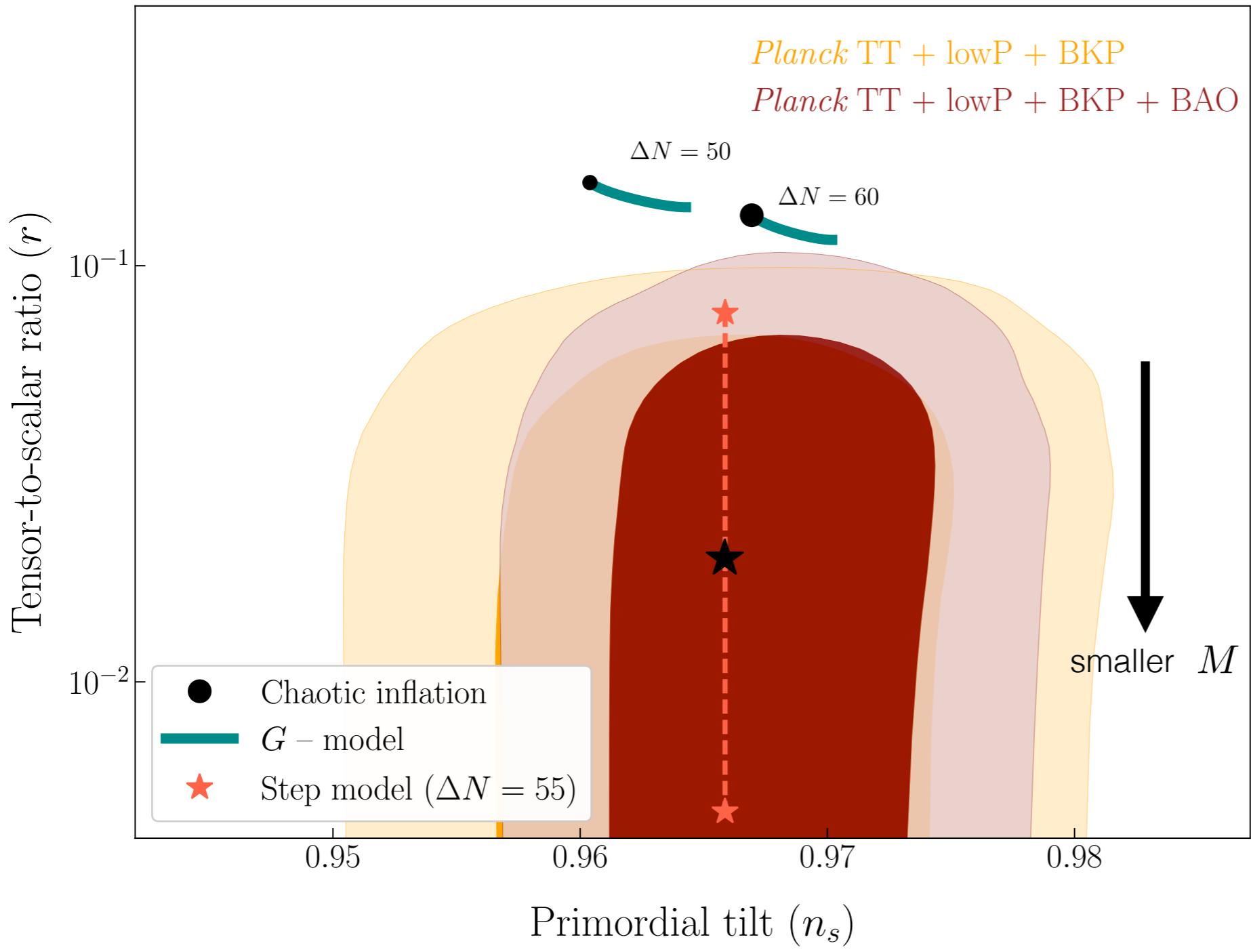


OSR





- n_s and α_s fixed.
- Find a set of values for d and ϕ_r .
- This places lower and upper bounds on r .



Observables

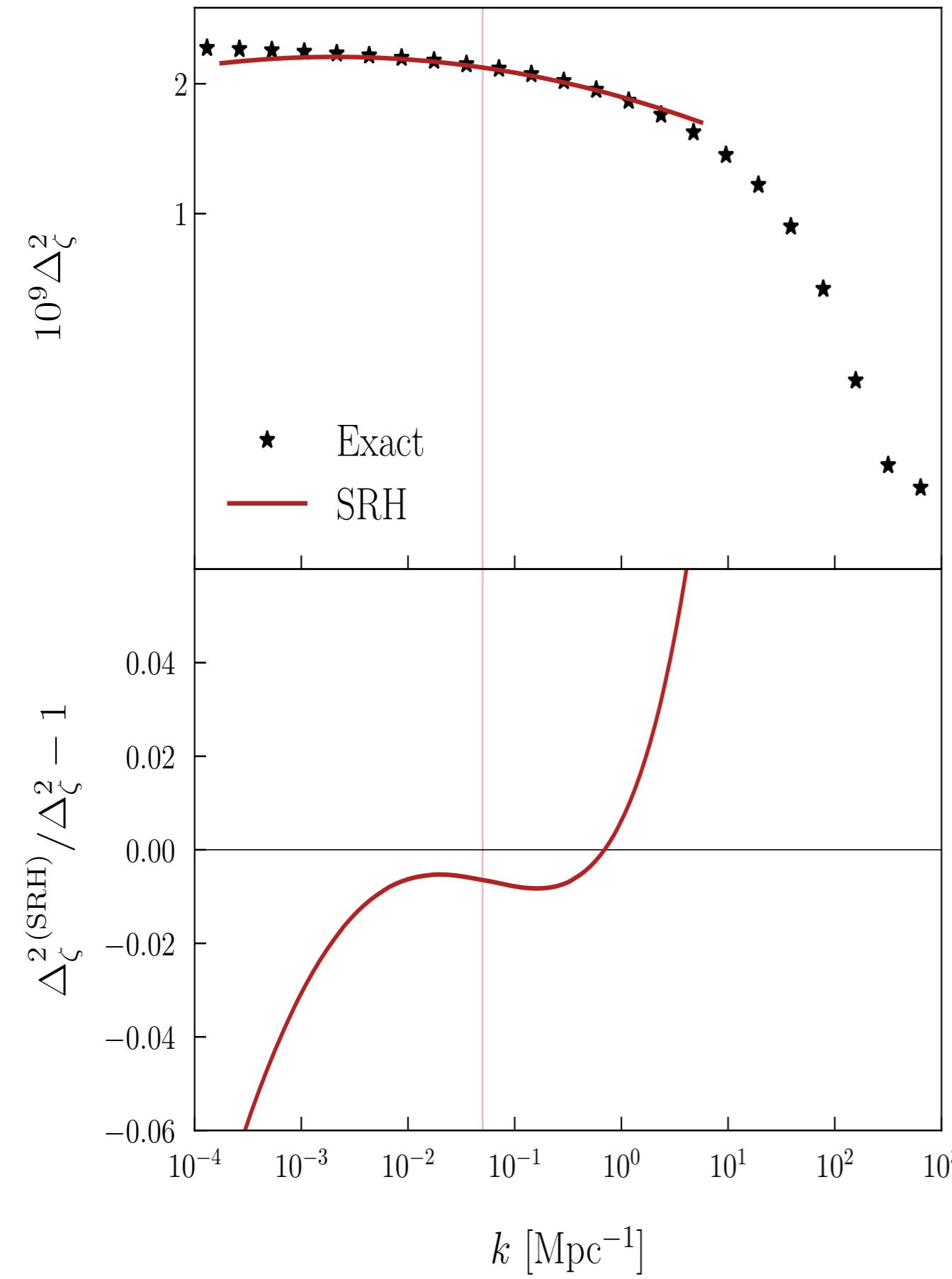
$$n_s = 9.66$$

$$\alpha_s = -0.011$$

$$r \gtrsim 0.005$$

Potentially observable
with next-generation
surveys.

- A smaller α_s would shift the line upwards because the step gets wider.
- A larger α_s would be in tension with measurements.



Slow - roll hierarchy formula:

$$\Delta_\zeta^{2(\text{SRH})}(k) = A_s \left(\frac{k}{k_*} \right)^{n_s - 1 + \frac{1}{2} \alpha_s \ln(k/k_*)}$$

■ Using OSR parameters

Deviations of less
than 1%

Summary

- ★ Inflation in the Horndeski framework is viable and can *cure* some popular models.
- ★ The **transient G-inflation** model allows us to compute observables during a G-inflation period and to end inflation as canonical.
- ★ Generalized slow-roll and Optimized slow-roll techniques are efficient tools for this type of models to compute the power spectra and also the bispectrum.