

Enumerating Error Bounded Polytime Algorithms Through Arithmetical Theories

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Abstract

We consider a minimal extension of the language of arithmetic, such that the bounded formulas provably total in a suitably-defined theory *à la Buss* (expressed in this new language) precisely capture polytime *random* functions. Then, we provide two new characterizations of the semantic class **BPP** obtained by internalizing the error-bound check *within* a logical system: one relies on measure-sensitive quantifiers, the other captures such quantifiers by standard first-order quantification. This leads us to introduce a family of effectively enumerable subclasses of **BPP**, each called **BPP_T**, each consisting of languages captured by those probabilistic Turing machines whose underlying error can be proved bounded in the corresponding arithmetical theory **T**. As a paradigmatic consequence of this approach, we establish that polynomial identity testing is in **BPP_{PA}**.

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1 Introduction

Since the early days of computer science, numerous and profound interactions with mathematical logic have emerged (think of the seminal works by Turing [49] and Church [8]). Among the sub-fields of computer science that have benefited the most from this dialogue, we should certainly mention the theory of programming languages (e.g. through the Curry-Howard correspondence [16, 33, 48]), the theory of databases (e.g. through Codd theorem [10]) and computational complexity (e.g. through descriptive complexity [3, 34]). In particular, this last discipline deals with complexity classes [31, 9, 2], the nature of which still remains today, more than fifty years after the introduction of **P** and **NP** [11, 31], essentially mysterious.

The possibility of describing fundamental classes within the language of mathematical logic offered a better understanding of their nature: since the seventies [22, 13], but especially from the eighties and nineties [6, 28, 3, 34, 37], the logical characterization of several crucial classes has made it possible to consider them from a new viewpoint, less dependent on concrete machine models and explicit resource bounds. Characterizing complexity classes by way of a simple enough proof-or-recursion theoretical systems also means being able to *enumerate* the problems belonging to them, and thus to devise sound and complete languages for the class, from which type systems and static analysis methodologies can be derived [32].

Among the various classes of problems with which computational complexity has been concerned, those defined on the basis of *randomized* algorithms [43] have appeared difficult to capture with the tools of logic. These include important and well-studied classes like **BPP** or **ZPP**. The former, in particular, is often considered as *the* class of feasible problems, and most complexity theorists conjecture that it actually coincides with **P**. However, by simply looking at its definition, **BPP** looks pretty different from **P**. Notably, the former, but not the latter, is an example of what is usually called a *semantic* class: the definition **BPP** relies on algorithms which are both efficient and not *too erratic*; in other words, once an input is fixed, one of the two possible outputs must clearly prevail over the other, i.e. it must occur with some probability, strictly greater than one half, and independent

from the input. By their very nature, semantic classes, like **BPP**, are more challenging to be logically captured with respect to other (syntactic) classes, like **P** or **PP**: indeed, *enumerating* them through the underlying machines is harder. Currently, it is simply not known whether an effective enumeration of the aforementioned randomized classes is possible. Indeed, the sparse contributions along these lines are either themselves *semantic*, i.e. do not capture the limitations on the probability of error within the logical system [21, 19] (an interesting exception being [36]), or deal with classes, as **PP**, which are not classifiable as semantic [17, 18].

In this paper we make a step towards a logical characterization of randomized classes by considering a language in which the probability of error can be kept under control *from within* the logic. We introduce a language, called \mathcal{RL} , inspired by the one presented in Ferreira's [24], but in which formulas can access a source of randomness through a distinguished unary predicate **Flip**, this way naturally capturing randomized algorithms. We define a bounded theory of arithmetic, called $R\Sigma_1^b$ -NIA, as the randomized analogue of Buss' S_2^1 [6] and Ferreira's Σ_1^b -NIA [24], and show that the functions which can be proved total in $R\Sigma_1^b$ -NIA are precisely polytime *random* functions [47], i.e. those functions from strings to *distributions* of strings which can be computed by polytime probabilistic Turing machines (PTM, for short). Using this result, we provide two characterizations of the problems in **BPP**: one relies on *measure quantifiers* [42, 40, 1], i.e. well-studied second-order quantifiers capable of measuring *the extent* to which a formula is true; the other consists in showing that these quantifiers, when applied to bounded formulas, can be encoded via *standard* first-order quantification.

Both these approaches provide precise characterizations of **BPP** but are still semantic in nature: the entropy check is translated into conditions which are not based on provability in some formal system, but rather on the truth of some formula in the standard model of first-order arithmetic. Our arithmetization of **BPP**, however, naturally leads to the introduction of a family of new *syntactic* subclasses of **BPP**, namely **BPP_T**, made of languages for which the error-bounding condition is *provable* in a (non necessarily bounded) theory **T**. While we consider unlikely that for some effectively enumerable theory **T**, **BPP_T** = **BPP**, we show that full first-order Peano Arithmetic (PA, for short) provides an interesting candidate theory, as **BPP_{PA}** includes *polynomial identity testing* (PIT), which is one of the few problems in **BPP** currently not known to be in **P**. This fact seems very promising, suggesting an avenue towards a new form of *reverse* computational complexity in the framework of first-order arithmetic.

The main technical contributions of this paper can be summarized as follows:

- We introduce the arithmetical theory $R\Sigma_1^b$ -NIA and prove that the functions which are Σ_1^b -representable in it are precisely the random functions which can be computed in polynomial time. The proof of the correspondence goes through the definition of a class of *oracle recursive* functions, called \mathcal{POR} , which is shown equivalent to that of functions which are Σ_1^b -representable in $R\Sigma_1^b$ -NIA and, then, to the class of probabilistic polytime random functions **RFP**. The overall structure of the proof is described in Section 3, while further details can be found in Appendix A and B.
- Then, in Section 4, we use the aforementioned result to obtain a new syntactic characterization of **PP** and, more interestingly, two semantic characterizations of **BPP**, the first based on measure quantifiers and the second relying on standard, first-order quantification.
- Finally, we introduce a family of syntactic subclasses **BPP_T** \subseteq **BPP**, parametrically on a theory **T**. The core idea is to consider a (sound) theory **T** in which error-bound checks can be syntactically considered, this way potentially restricting the class of problems to be

captured. We then prove that PIT is in \mathbf{BPP}_{PA} , thus identifying a nontrivial effectively enumerable subclass of \mathbf{BPP} . We believe this to be the most interesting and impactful of the results presented in the paper. We conclude by showing how our approach relates to existing works capturing \mathbf{BPP} languages in bounded arithmetic [36]. All this can be found in Sections 5 and 6.

Related Work While a recursion-theoretic characterization of the syntactic class \mathbf{PP} can be found in [17], most existing characterizations of \mathbf{BPP} are based on some external, semantic condition [19, 41]. In particular, Eickmeyer and Grohe [21] provide a semantic characterization of \mathbf{BPP} in a logic with fixed-point operators and a special counting quantifier, associated with a probabilistic semantics not too different from the quantitative interpretation we present in Section 3. On the other hand, a different approach is provided in the previously mentioned [36] and in [35], where Jeřábek relies on bounded arithmetic to provide characterizations of (both syntactic and semantic) randomized classes, as \mathbf{ZPP} , \mathbf{RP} and \mathbf{coRP} (a detailed comparison between our work and Jeřábek’s one is offered in Section 6). Finally, [41] defines a higher-order language for polytime oracle recursive functions based on an adaptation of Bellantoni-Cook’s safe recursion.

2 On the Enumeration of Complexity Classes

Before delving into the technical details, it is worth spending a few words on the problem of enumerating complexity classes, and on the reasons why it is more difficult for semantic classes than for syntactic ones.

Ordinary syntactic classes, as \mathbf{P} , \mathbf{PP} , and \mathbf{PSPACE} , are quite simple to enumerate. While verifying resource bounds for *arbitrary* programs is very difficult, it is surprisingly easy to define an enumeration of resource bounded algorithms containing at least *one* algorithm for any problem in one of the aforementioned classes. To clarify what we mean, suppose we want to characterize the class \mathbf{P} . On the one hand, the class of *all* algorithms working in polynomial time is recursion-theoretically very hard, actually Σ_2^0 -complete [30]. On the other hand, the class of those programs consisting of a *for* loop executed a polynomial number of times, whose body itself consists of conditionals and simple enough instructions manipulating string variables, is both trivial to enumerate and big enough to characterize \mathbf{P} , at least in an extensional sense: every problem in this class is decided by *at least one* program in the class and vice versa. Many characterizations of \mathbf{P} (and of other syntactic classes), as those based on safe-recursion [3, 39], light and soft linear logic [27, 26, 38], and bounded arithmetic [6], can be seen as instances of the just described pattern, where the precise class of polytime programs varies, while the underlying class of problems remains unchanged.

But what about semantic classes? Although the distinction between syntactic and semantic classes appears in many popular textbooks (for instance in [2, 44]), in the literature these notions are not defined in a precise way. Generally speaking, syntactic classes are presented imposing limitations on the *amount of resources* the underlying algorithm is allowed to use. Semantic classes requires an additional condition, typically expressing that the underlying algorithm returns the correct answer *often enough*. Otherwise said, being resource bounded is not sufficient for an algorithm to solve some problem in a semantic class, since there can well be algorithms getting wrong too often. This distinction between semantic classes, as \mathbf{BPP} , and syntactic ones refers to *how* a class is defined and not to the underlying set of problems. It is thus of *intensional* nature.

In semantic classes, unfortunately, the enumeration strategy sketched above does not

seem to be readily applicable. How can we isolate a simple enough subclass of algorithms – which are not only resource bounded, but also not too erratic – at the same time saturating the class? We think that this paper can help us understanding the nature of this problem, without giving a definite answer. We do *not* prove **BPP** to be effectively enumerable, which we believe to be unlikely, but show that there is a subclass of it which is, on the one hand, large enough to include interesting problems in **BPP** and, on the other, “syntactic enough” to be effectively enumerable.

3 From Arithmetic to Randomized Computation, Subrecursively

In this section we introduce the two main ingredients on which our characterization of polytime randomized functions rely: a randomized bounded theory of arithmetic $R\Sigma_1^b\text{-NIA}$, and a Cobham-style function algebra for polytime oracle recursive functions, called \mathcal{POR} .

3.1 Recursive Functions and Arithmetical Formulas

In the 1980s, *bounded theories of arithmetic*, i.e. subsystems of **PA**, where only *bounded quantifications* is admitted, were shown able to characterize several complexity classes [6, 7]. At the core of these characterizations lies the fundamental result (known since Gödel’s [29]) that recursive functions can be *represented* in **PA** by means of Σ_1^0 -formulas, i.e. formulas of the form $\exists x_1. \dots \exists x_n. A$, where A is quantifier-free. For example, the following formula

$$A(x_1, x_2, y) := \exists x_3. x_1 \times x_2 = x_3 \wedge y = \text{succ}(x_3)$$

represents the function $f(x_1, x_2) = (x_1 \cdot x_2) + 1$. Indeed, in **PA** one can prove that $\forall x_1. \forall x_2. \exists! y. A(x_1, x_2, y)$, namely that A expresses a *functional* relation, and check that for all $n_1, n_2, m \in \mathbb{N}$, $A(\overline{n_1}, \overline{n_2}, \overline{m})$ holds (in the standard model \mathcal{N}) precisely when $m = f(n_1, n_2)$. Buss’ intuition was then that, by considering theories *weaker* than **PA**, it is even possible to capture functions computable within given resource bounds [6, 7].

We aim to extend this approach also to classes of *randomized* computable functions. Our strategy focuses on a simple correspondence between first-order predicates over natural numbers and *oracles* from the Cantor space. Indeed, suppose the aforementioned recursive function f has now the ability to observe an infinite sequence of bits. For instance, f might observe the first bit of the infinite oracle string and if this bit is 0, it returns $(x_1 \cdot x_2) + 1$; otherwise, it returns 0. Our idea is that we can capture the call by f to the oracle by adding to the standard language of **PA** a new unary predicate **Flip**, to be interpreted as a stream of (random) bits. Our function f can then be represented by the following formula:

$$B(x_1, x_2, y) := (\text{Flip}(\overline{0}) \wedge \exists x_3. x_1 \times x_2 = x_3 \wedge y = \text{succ}(x_3)) \vee (\neg \text{Flip}(\overline{0}) \wedge y = \overline{0})$$

As in the case above, it is possible to prove that $B(x_1, x_2, y)$ is functional, that is, that $\forall x_1. \forall x_2. \exists! y. B(x_1, x_2, y)$. However, since B now contains the unary predicate symbol **Flip**, the actual numerical function that B represents depends on the choice of an interpretation for **Flip**, i.e. on the choice of an oracle for f .

The rest of the section is devoted to the presentation of the key ingredients of this correspondence, which will be made precise in Section 3.3.

The Language \mathcal{RL} . In the following, we let $\mathbb{B} := \{0, 1\}$, $\mathbb{S} := \mathbb{B}^*$ indicate the set of finite words from \mathbb{B} , and $\mathbb{O} := \mathbb{B}^{\mathbb{S}}$ denote the set of infinite ones. Our first goal is to introduce a language for first-order arithmetic incorporating the new predicate symbol **Flip**(x) and its

180 interpretation in the standard model. Following [25], we consider a first-order signature for
 181 natural numbers *in binary notation*. Consistently, formulas will be interpreted over \mathbb{S} rather
 182 than \mathbb{N} .¹

183 ► **Definition 1.** The terms and formulas of \mathcal{RL} are defined by the grammars below:

$$\begin{aligned}
 184 \quad t, s &::= x \mid \epsilon \mid 0 \mid 1 \mid t \frown s \mid t \times s \\
 185 \quad F, G &::= \text{Flip}(t) \mid t = s \mid t \subseteq s \mid \neg F \mid F \wedge G \mid F \vee G \mid \exists x.F \mid \forall x.F.
 \end{aligned}$$

187 The function symbol \frown stands for string concatenation, while $t \times u$ indicates the concatenation
 188 of t with itself a number of times corresponding to the length of u . The binary predicate \subseteq
 189 stands for the initial substring relation.² As standard, we let $A \rightarrow B := \neg A \vee B$.

190 For readability we use the following abbreviations: ts for $t \frown s$; 1^t for $1 \times t$; $t \preceq s$ for
 191 $1^t \subseteq 1^s$, expressing that the length of t is smaller than that of s ; $t|_r = s$ for $(1^r \subseteq 1^t \wedge s \subseteq$
 192 $t \wedge 1^r = 1^s) \vee (1^t \subseteq 1^r \wedge s = t)$, expressing that s is the *truncation* of t at the length of r . For
 193 each string $\sigma \in \mathbb{S}^*$, we let $\bar{\sigma}$ indicate the term of \mathcal{RL} representing it (so that, $\bar{\epsilon} = \epsilon$, $\overline{\sigma 0} = \bar{\sigma} 0$
 194 and $\overline{\sigma 1} = \bar{\sigma} 1$).

195 As for standard bounded arithmetics [6, 23], a defining feature of our theory is the focus
 196 on so-called *bounded quantifications*. In \mathcal{RL} , *bounded quantifications* are of the forms $\forall x.1^x \subseteq$
 197 $1^t \rightarrow F$ and $\exists x.1^x \subseteq 1^t \wedge F$, abbreviated as $\forall x \preceq t.F$ and $\exists x \preceq t.F$. Following [23], we
 198 adopt *subword quantifications* as those quantifications of the forms $\forall x.(\exists w \subseteq t.wx \subseteq t) \rightarrow F$
 199 and $\exists x.\exists w \subseteq t.wx \subseteq t \wedge F$, abbreviated as $\forall x \subseteq^* t.F$ and $\exists x \subseteq^* t.F$. An \mathcal{RL} -formula F is
 200 said to be a *bounded Σ -formula* (in short, Σ_1^b) if it is of the form $\exists x_1 \preceq t_1 \dots \exists x_n \preceq t_n.G$,
 201 where the only quantifications in G are subword ones. The distinction between bounded
 202 and subword quantifications is relevant for complexity reasons: if $\sigma \in \mathbb{S}$ is a string of length
 203 k , the witness of a subword existentially quantified formula $\exists y.y \subseteq^* \bar{\sigma} \wedge H$ is to be looked
 204 for among all possible sub-strings of σ , i.e. within a space of size $\mathcal{O}(k)$, while the witness
 205 of a bounded formula $\exists y \preceq \bar{\sigma}.H$ is to be looked for among all possible strings of length k ,
 206 i.e. within a space of size $\mathcal{O}(2^k)$.

207 **The Borel Semantics of \mathcal{RL} .** We introduce a *quantitative* semantics for formulas of \mathcal{RL} ,
 208 inspired by [1]. The main intuition behind this semantics is that, while the function symbols
 209 of \mathcal{RL} , as well as the predicate symbols “=” and “ \subseteq ”, have a standard interpretation as
 210 relations over \mathbb{S} , the predicate symbol **Flip** may stand for *an arbitrary* predicate over \mathbb{S} , that
 211 is, an arbitrarily chosen $\omega \in \mathbb{O}$. For this reason, it makes sense to take as the interpretation
 212 of an \mathcal{RL} -formula F the set $\llbracket F \rrbracket \subseteq \mathbb{O}$ of *all* possible interpretations of **Flip** satisfying F .
 213 Importantly, such sets $\llbracket F \rrbracket$ can be proved to be *measurable*, a fact that will turn out essential
 214 in Section 4. Indeed, the canonical first-order model of \mathcal{RL} over \mathbb{S} can be extended to a
 215 probability space $(\mathbb{O}, \sigma(\mathcal{C}), \mu)$ defined in a standard way: here $\sigma(\mathcal{C}) \subseteq \wp(\mathbb{O})$ is the Borel
 216 σ -algebra generated by *cylinders* $C_{\sigma}^b = \{\omega \mid \omega(\sigma) = \mathbf{b}\}$, with $\mathbf{b} \in \mathbb{B}$ (corresponding to

¹ Observe that working with strings is not crucial and all results below could be spelled out in terms of natural numbers. Indeed, theories have been introduced in both formulations, namely Ferreira’s Σ_1^b -NIA and Buss’ S_2^1 , and proved equivalent [25].

² In order to avoid misunderstanding, let us briefly sum up the different notions and symbols used for subword relations. We will use \subseteq to express the relation between strings, i.e. $x \subseteq y$ expresses that x is an initial or prefix substring of y . As said, we use \subseteq as a relation symbol in the language \mathcal{RL} . We will use \preceq as an auxiliary symbol in the language of \mathcal{RL} ; in particular $t \preceq s$ is syntactic sugar for $1^t \subseteq 1^s$. We will use \subseteq^* as an auxiliary symbol in the language \mathcal{RL} to denote the subword relation in general (not necessarily initial subword).

217 $b \in \{0, 1\}$), and the measure μ is uniquely defined by the condition $\mu(C_\sigma^b) = \frac{1}{2}$ (see [4]).
 218 The interpretation for terms in \mathcal{RL} is as predictable, while semantics for formulas is defined
 219 below.

220 ► **Definition 2** (Borel Semantics of \mathcal{RL}). Given a term t , a formula F and an environment
 221 $\xi : \mathcal{G} \rightarrow \mathbb{S}$, where \mathcal{G} is the set of term variables, the *interpretation of F under ξ* is the
 222 measurable set $\llbracket F \rrbracket_\xi \in \sigma(\mathcal{C})$ inductively defined as follows:

$$\begin{aligned} \llbracket t = s \rrbracket_\xi &:= \begin{cases} \mathbb{O} & \text{if } \llbracket t \rrbracket_\xi = \llbracket s \rrbracket_\xi \\ \emptyset & \text{otherwise} \end{cases} & \llbracket \text{Flip}(t) \rrbracket_\xi &:= \{\omega \mid \omega(\llbracket t \rrbracket_\xi) = 1\} & \llbracket \exists x. G \rrbracket_\xi &:= \bigcup_{i \in \mathbb{S}} \llbracket G \rrbracket_{\xi\{x \leftarrow i\}} \\ \llbracket t \subseteq s \rrbracket_\xi &:= \begin{cases} \mathbb{O} & \text{if } \llbracket t \rrbracket_\xi \subseteq \llbracket s \rrbracket_\xi \\ \emptyset & \text{otherwise} \end{cases} & \llbracket \neg G \rrbracket_\xi &:= \mathbb{O} - \llbracket G \rrbracket_\xi & \llbracket \forall x. G \rrbracket_\xi &:= \bigcap_{i \in \mathbb{S}} \llbracket G \rrbracket_{\xi\{x \leftarrow i\}} \\ & & \llbracket G \vee H \rrbracket_\xi &:= \llbracket G \rrbracket_\xi \cup \llbracket H \rrbracket_\xi & & \\ & & \llbracket G \wedge H \rrbracket_\xi &:= \llbracket G \rrbracket_\xi \cap \llbracket H \rrbracket_\xi & & \end{aligned}$$

224 This semantics is well-defined as the sets $\llbracket \text{Flip}(t) \rrbracket_\xi$, $\llbracket t = s \rrbracket_\xi$ and $\llbracket t \subseteq s \rrbracket_\xi$ are measurable
 225 and measurability is preserved by all the logical operators.

226 Observe that an interpretation of the language \mathcal{RL} , in the usual first-order sense, is given
 227 by some ξ (defined as above) plus the choice of an interpretation ω for $\text{Flip}(x)$. One can
 228 easily check by induction that, for any formula F and interpretation ξ , $\omega \in \llbracket F \rrbracket_\xi$ precisely
 229 when $\xi + \omega \models F$ in the first-order sense.

230 **The Bounded Theory $R\Sigma_1^b$ -NIA.** We now introduce a bounded theory in the language \mathcal{RL} ,
 231 called $R\Sigma_1^b$ -NIA, which can be seen as a probabilistic counterpart to Ferreira's Σ_1^b -NIA [23].
 232 The theory $R\Sigma_1^b$ -NIA is defined by axioms belonging to two classes:

233 ■ *Basic axioms* (where $\mathbf{b} \in \{0, 1\}$):

$$\begin{aligned} 234 \quad & x\epsilon = x & x \times \epsilon = \epsilon & x \subseteq \epsilon \leftrightarrow x = \epsilon & x\mathbf{b} = y\mathbf{b} \rightarrow x = y \\ 235 \quad & x(y\mathbf{b}) = (xy)\mathbf{b} & x \times y\mathbf{b} = (x \times y)x & x \subseteq y\mathbf{b} \leftrightarrow x \subseteq y \vee x = y\mathbf{b} & x0 \neq y1 \quad x\mathbf{b} \neq \epsilon \end{aligned}$$

236 ■ *Axiom schema for induction on notation:* $B(\epsilon) \wedge \forall x. (B(x) \rightarrow B(x0) \wedge B(x1)) \rightarrow \forall x. B(x)$,
 237 where B is a Σ_1^b -formula in \mathcal{RL} .

238 The axiom schema for induction on notation adapts the usual induction schema of PA to the
 239 binary representation. The restriction to Σ_1^b -formulas is essential to characterize algorithms
 240 with *bounded* resources. Indeed, more general instances of this schema would lead to represent
 241 functions which are not polytime computable.

242 3.2 An Algebra of Polytime Oracle Recursive Functions

243 We now introduce a Cobham-style function algebra, called \mathcal{POR} , for polytime *oracle* recursive
 244 functions, and show that it is captured by a class of bounded formulas provably representable
 245 in the theory $R\Sigma_1^b$ -NIA. This algebra is inspired by Ferreira's \mathcal{PTCA} [23, 24]. Yet, a
 246 fundamental difference is that the functions we define are of the form $f : \mathbb{S}^k \times \mathbb{O} \rightarrow \mathbb{S}$,
 247 i.e. they carry an additional argument $\omega : \mathbb{S} \rightarrow \mathbb{B}$, to be interpreted as the underlying source
 248 of random bits. Furthermore, our class include the basic *query* function, which can be used
 249 to observe any bit from ω .

250 The class \mathcal{POR} is the smallest class of functions from $\mathbb{S}^k \times \mathbb{O}$ to \mathbb{S} , containing the *empty*
 251 function $E(x, \omega) = \epsilon$, the *projection* functions $P_i^n(x_1, \dots, x_n, \omega) = x_i$, the *word-successor*
 252 function $S_b(x, \omega) = x\mathbf{b}$, the *conditional* function $C(\epsilon, y, z_0, z_1, \omega) = y$ and $C(x\mathbf{b}, y, z_0, z_1, \omega) =$
 253 z_b , where $\mathbf{b} \in \mathbb{B}$ (corresponding to $b \in \{0, 1\}$), the *query* function $Q(x, \omega) = \omega(x)$, and closed
 254 under the following schemata:

255 ■ *Composition*, where f is defined from g, h_1, \dots, h_k as $f(\vec{x}, \omega) = g(h_1(\vec{x}, \omega), \dots, h_k(\vec{x}, \omega), \omega)$.

256 ■ *Bounded recursion on notation*, where f is defined from g, h_0, h_1 as

$$257 \quad f(\vec{x}, \epsilon, \omega) = g(\vec{x}, \omega);$$

$$258 \quad f(\vec{x}, y\mathbf{0}, \omega) = h_0(\vec{x}, y, f(\vec{x}, y, \omega), \omega)|_{t(\vec{x}, y)};$$

$$259 \quad f(\vec{x}, y\mathbf{1}, \omega) = h_1(\vec{x}, y, f(\vec{x}, y, \omega), \omega)|_{t(\vec{x}, y)},$$

261 with t obtained from $\epsilon, \mathbf{0}, \mathbf{1}, \frown, \times$ by explicit definition, i.e. by applying \frown and \times on
 262 constants $\epsilon, \mathbf{0}, \mathbf{1}$, and variables \vec{x} and y .³

263 We now show that functions of \mathcal{POR} are precisely those which are Σ_1^b -representable
 264 in $R\Sigma_1^b$ -NIA. To do so, we slightly modify Buss' representability conditions by adding a
 265 constraint relating the quantitative semantics of formulas in \mathcal{RL} and the additional functional
 266 parameter ω of oracle recursive functions.

267 ► **Definition 3.** A function $f : \mathbb{S}^k \times \mathbb{O} \rightarrow \mathbb{S}$ is Σ_1^b -representable in $R\Sigma_1^b$ -NIA if there exists a
 268 Σ_1^b -formula $G(\vec{x}, y)$ of \mathcal{RL} such that:

269 1. $R\Sigma_1^b$ -NIA $\vdash \forall \vec{x}. \exists! y. G(\vec{x}, y)$,

270 2. for all $\sigma_1, \dots, \sigma_k, \tau \in \mathbb{S}$ and $\omega \in \mathbb{O}$, $f(\sigma_1, \dots, \sigma_k, \omega) = \tau$ iff $\omega \in \llbracket G(\overline{\sigma_1}, \dots, \overline{\sigma_k}, \overline{\tau}) \rrbracket$.

271 Observe that Condition 1. of Definition 3 does *not* say that the unique value y is obtained
 272 as a function of inputs \vec{x} *only*. Indeed, the truth-value of a formula depends both on the
 273 value of its first-order variables and on the value assigned to the random predicate **Flip**.
 274 Hence Condition 1. actually says that y is uniquely determined as a function *both* of its
 275 first-order inputs and of an oracle from \mathbb{O} , precisely as functions of \mathcal{POR} . Indeed, the
 276 following correspondence holds.

277 ► **Theorem 1.** For any function $f : \mathbb{S}^k \times \mathbb{O} \rightarrow \mathbb{S}$, f is Σ_1^b -representable in $R\Sigma_1^b$ -NIA iff
 278 $f \in \mathcal{POR}$.

279 **Proof sketch.** (\Leftarrow) The desired Σ_1^b -formula is constructed by induction on the structure of
 280 oracle recursive functions. Observe that the formula $\forall \vec{x}. \exists! y. G(\vec{x}, y)$ occurring in Condition
 281 1. of Definition 3 is *not* Σ_1^b , since it is universally quantified while the existential quantifier is
 282 not bounded. Hence, in order to apply the inductive steps (corresponding to functions defined
 283 by composition and bounded recursion on notation), we need to adapt Parikh's theorem [45]
 284 (which holds for S_2^1) to $R\Sigma_1^b$ -NIA, to state that if $R\Sigma_1^b$ -NIA $\vdash \forall \vec{x}. \exists y. G(\vec{x}, y)$, where $G(\vec{x}, y)$
 285 is a Σ_1^b -formula, then we can find a term t such that $R\Sigma_1^b$ -NIA $\vdash \forall \vec{x}. \exists y. y \preceq t.G(\vec{x}, y)$. (\Rightarrow) The
 286 proof consists in adapting Cook and Urquhart's argument for system IPV^ω [12], and this
 287 goes through a *realizability interpretation* of the intuitionistic version of $R\Sigma_1^b$ -NIA, called
 288 $IR\Sigma_1^b$ -NIA. Further details can be found in the Appendix A. ◀

289 3.3 Characterizing Polytime Random Functions

290 Theorem 1 shows that it is possible to characterize \mathcal{POR} by means of a system of bounded
 291 arithmetic. Yet, this is not enough to deal with classes, as **BPP** or **RP**, which are defined
 292 in terms of functions computed by a PTM. Observe that there is a crucial difference in the
 293 way in which probabilistic machines and oracle recursive functions access randomness, so our
 294 next goal is to fill the gap, by relating these classes of functions.

295 Let $\mathbb{D}(\mathbb{S})$ indicate the set of *distributions over* \mathbb{S} , that is, those functions $\lambda : \mathbb{S} \rightarrow [0, 1]$ such
 296 that $\sum_{\sigma \in \mathbb{S}} \lambda(\sigma) = 1$. By a *random function* we indicate a function of the form $f : \mathbb{S} \rightarrow \mathbb{D}(\mathbb{S})$.

³ Notice that this language is identical to the one of \mathcal{RL} terms, see Definition 1.

Observe that any polytime PTM \mathcal{M} computes a random function $f_{\mathcal{M}}$, where, for every $\sigma_1, \dots, \sigma_k, \tau \in \mathbb{S}$, $f_{\mathcal{M}}(\sigma_1, \dots, \sigma_k)(\tau)$ coincides with the probability that $\mathcal{M}(\sigma_1 \# \dots \# \sigma_k) \Downarrow \tau$. However, a random function needs not be computed by a PTM in general. We define the following class of *polytime random functions*:

► **Definition 4** (Class **RFP**). The class **RFP** is made of all random functions $f : \mathbb{S}^k \rightarrow \mathbb{D}(\mathbb{S})$ such that $f = f_{\mathcal{M}}$, for some PTM \mathcal{M} running in polynomial time.

Observe that functions of **RFP** are closed by *monadic composition* \diamond , where $(g \diamond f)(\sigma)(\tau) = \sum_{\rho \in \mathbb{S}} g(\rho)(\tau) \cdot f(\sigma)(\rho)$ (indeed, one can easily check $f_{\mathcal{M}'} \diamond f_{\mathcal{M}} = f_{\mathcal{M}' \circ \mathcal{M}}$, where \circ indicates PTM composition).

Since functions of **RFP** have a different shape from those of **POR**, we must adapt the notion of Σ_1^b -representability for them, relying on the fact that any closed \mathcal{RL} -formula F generates a *measurable* set $\llbracket F \rrbracket \subseteq \mathbb{B}^{\mathbb{N}}$.

► **Definition 5**. A function $f : \mathbb{S}^k \rightarrow \mathbb{D}(\mathbb{S})$ is Σ_1^b -representable in $R\Sigma_1^b$ -NIA if there exists a Σ_1^b -formula $G(\vec{x}, y)$ of \mathcal{RL} such that:

1. $R\Sigma_1^b$ -NIA $\vdash \forall \vec{x}. \exists! y. G(\vec{x}, y)$,
2. for all $\sigma_1, \dots, \sigma_k, \tau \in \mathbb{S}$, $f(\sigma_1, \dots, \sigma_k, \tau) = \mu(\llbracket G(\vec{\sigma}_1, \dots, \vec{\sigma}_k, \tau) \rrbracket)$.

Notice that any Σ_1^b -formula $G(\vec{x}, y)$ satisfying Condition 1. from Definition 5 actually defines a random function $\langle G \rangle : \mathbb{S} \rightarrow \mathbb{D}(\mathbb{S})$ given by $\langle G \rangle(\vec{\sigma})(\tau) = \mu(\llbracket G(\vec{\sigma}, \tau) \rrbracket)$, where $\langle G \rangle$ is Σ_1^b -represented by G . Moreover, if G represents some $f \in \mathbf{RFP}$, then $f = \langle G \rangle$. In analogy with Theorem 1, we can now prove the following result:

► **Theorem 2**. For any function $f : \mathbb{S}^k \rightarrow \mathbb{D}(\mathbb{S})$, f is Σ_1^b -representable in $R\Sigma_1^b$ -NIA iff $f \in \mathbf{RFP}$.

Thanks to Theorem 1, the proof of the result above simply consists in showing that **POR** and **RFP** can be related as stated below.

► **Lemma 3**. For all functions $f : \mathbb{S}^k \times \mathbb{O} \rightarrow \mathbb{S}$ in **POR** there exists $g : \mathbb{S}^k \rightarrow \mathbb{D}(\mathbb{S})$ in **RFP** such that for all $\sigma_1, \dots, \sigma_k, \tau \in \mathbb{S}$, $\mu(\{\omega \mid f(\vec{\sigma}, \omega) = \tau\}) = g(\sigma_1, \dots, \sigma_k, \tau)$, and conversely.

Proof sketch. The proof is rather convoluted. The first step consists in replacing the class **RFP** by an intermediate class **SFP** corresponding to functions computed by polytime *stream Turing machines* (STM, for short). These are defined as deterministic TM with one extra read-only tape: at the beginning of the computation the extra tape is sampled from $\mathbb{B}^{\mathbb{N}}$, and at each computation step the machine reads one new bit from this tape. Then we show that for any function $f : \mathbb{S}^k \rightarrow \mathbb{D}(\mathbb{S})$ computed by some polytime PTM there is a function $g : \mathbb{S}^k \times \mathbb{B}^{\mathbb{N}} \rightarrow \mathbb{S}$ computed by a polytime STM such that for all $\sigma_1, \dots, \sigma_k, \tau \in \mathbb{S}$, and $\eta \in \mathbb{B}^{\mathbb{N}}$, $f(\sigma_1, \dots, \sigma_k, \tau) = \mu(\{\eta \mid g(\sigma_1, \dots, \sigma_k, \eta) = \tau\})$, and conversely. To conclude, we prove the correspondence between the classes **POR** and **SFP**:

(**SFP** \Rightarrow **POR**) The encoding relies on the remark that, given an input $x \in \mathbb{S}$ and an extra-tape $\eta \in \mathbb{B}^{\mathbb{N}}$, an STM \mathcal{S} running in polynomial time can only access a *finite* portion of η , bounded by some polynomial $p(|x|)$. This way the behavior of \mathcal{S} is encoded by a **POR**-function $h(x, y)$, where the second string y corresponds to $\eta_{p(|x|)}$, and we can define $f^\#(x, \omega) = h(x, e(x, \omega))$, where $e : \mathbb{S} \times \mathbb{O} \rightarrow \mathbb{S}$ is a function of **POR** which mimics the prefix extractor $\eta \mapsto \eta_{p(|x|)}$, in the sense that its outputs have the same distributions of all possible η 's prefixes (yet over \mathbb{O} rather than $\mathbb{B}^{\mathbb{N}}$).

(**POR** \Rightarrow **SFP**) Here we must consider that these two models not only invoke oracles of different shape, but also that functions of **POR** can manipulate such oracles in a much more liberal way than STMs. Notably, the STM accesses oracle bits in a *linear* way: each

342 bit is used exactly once and cannot be re-invoked. Moreover, at each step of computation
 343 the STM queries a new oracle bit, while functions of \mathcal{POR} can access the oracle, so to
 344 say, *on demand*. The argument rests then on a chain of simulations, making use of a
 345 class of imperative languages inspired by Winskell's IMP [50], each one taking care of one
 346 specific oracle access policy: first non-linear and on-demand (as for \mathcal{POR}), then linear
 347 but still on-demand, and finally linear and not on-demand (as for STMs).
 348 For further details, see the Appendix B. ◀

349 4 Towards BPP

350 We now turn our attention to randomized complexity classes. This requires us to consider
 351 how random functions (and thus PTMs) correspond to languages, namely subsets of \mathbb{S} . First
 352 observe that the language computed by a random function can naturally be defined via a
 353 majority rule:

354 ▶ **Definition 6.** Let $f : \mathbb{S} \rightarrow \mathbb{D}(\mathbb{S})$ be a random function. The language $\text{Lang}(f) \subseteq \mathbb{S}$ is
 355 defined by $\sigma \in \text{Lang}(f)$ iff $f(\sigma)(\epsilon) > \frac{1}{2}$.

356 As a warm-up, let us take a look at how **PP** can be characterized. Clearly, a language L
 357 is in **PP** precisely when $L = \text{Lang}(f)$, for some polytime random function $f \in \mathbf{RFP}$. Using
 358 Theorem 2, this readily leads then to a characterization of **PP** within $R\Sigma_1^b$ -NIA.

359 ▶ **Proposition 4** (Syntactic Characterization of **PP**). *For any language $L \subseteq \mathbb{S}$, $L \in \mathbf{PP}$ iff*
 360 *there is a Σ_1^b -formula $G(x, y)$ such that:*

- 361 1. $R\Sigma_1^b\text{-NIA} \vdash \forall x \exists! y. G(x, y)$,
- 362 2. $L = \text{Lang}(\langle G \rangle)$.

363 The characterization above is syntactic as it provides an enumeration of **PP** (by enumerating the pairs made of a formula G and a proof in $R\Sigma_1^b$ -NIA satisfying Condition 1). While
 364 a majority rule is enough to capture the problems in **PP**, a semantic class like **BPP** requires
 365 a stronger uniformity condition.

367 ▶ **Definition 7 (BPP).** Given a language $L \subseteq \mathbb{S}$, $L \in \mathbf{BPP}$ iff there is a polynomial time
 368 PTM \mathcal{M} such that for any $\sigma \in L$, $\Pr[\mathcal{M}(\sigma) = \chi_L(\sigma)] \geq \frac{2}{3}$ (where, $\chi_L : \mathbb{S} \rightarrow \{0, 1\}$ is the
 369 characteristic function of L).

370 The class **BPP** can be captured by “non-erratic” probabilistic algorithms, i.e. such that, for
 371 a fixed input, one possible output is definitely more likely than the others.

372 ▶ **Definition 8.** A random function $f : \mathbb{S} \rightarrow \mathbb{D}(\mathbb{S})$ is said *non-erratic* if for all $\sigma \in \mathbb{S}$,
 373 $f(\sigma)(\tau) \geq \frac{2}{3}$ holds for some value $\tau \in \mathbb{S}$.

374 ▶ **Lemma 5.** *For any language $L \subseteq \mathbb{S}$, $L \in \mathbf{BPP}$ iff $L = \text{Lang}(f)$, for some non-erratic*
 375 *function of **RFP** f .*

376 **Proof.** For any non-erratic **RFP**-function f , let \mathcal{M} be the PTM computing $k \diamond f$, where
 377 $k(\epsilon) = 1$ and $k(\sigma \neq \epsilon) = 0$; then \mathcal{M} computes $\chi_{\text{Lang}(f)}$ with error $\leq \frac{1}{3}$. Conversely, if
 378 $L \in \mathbf{BPP}$, let \mathcal{M} be a PTM accepting L with error $\leq \frac{1}{3}$; then $L = \text{Lang}(h \diamond f_{\mathcal{M}})$, where
 379 $h(1) = \epsilon$ and $h(\sigma \neq 1) = 0$. ◀

380 Lemma 5 suggests that, in order to characterize **BPP** in the spirit of Proposition 4, a new
 381 condition has to be added, corresponding to the fact that G represents a non-erratic random

function. A natural question is whether a theory like $R\Sigma_1^b$ -NIA or some of its extensions can be used to capture not only the resource bounds but also this new probabilistic condition.

We will show that this is actually the case. In the rest of this section we discuss two approaches to measure error bounds for probabilistic algorithms, leading to two different characterizations of **BPP**: first via measure quantifiers [1], then by purely arithmetical means. While both such methods ultimately consists in showing that the *truth* of a formula in the standard model of $R\Sigma_1^b$ -NIA, they suggest a proof-theoretic approach, that we explore in the Section 5.

4.1 BPP via Measure Quantifiers

As we have seen, any \mathcal{RL} -formula F is associated with a measurable set $\llbracket F \rrbracket \subseteq \mathbb{O}$. So, a natural idea, already explored in [1], consists in enriching \mathcal{RL} with *measure-quantifiers* [42, 40], that is, second-order quantifiers of the form $\mathbf{C}^q F$, where $q \in [0, 1] \cap \mathbb{Q}$, intuitively expressing that the measure of $\llbracket F \rrbracket$ is greater than (or equal to) q . Then, let \mathcal{RL}^{MQ} be the extension of \mathcal{RL} with measure-quantified formulas $\mathbf{C}^{t/s} F$, where t, s are terms. The Borel semantics of \mathcal{RL} naturally extends to \mathcal{RL}^{MQ} letting:

$$\llbracket \mathbf{C}^{t/s} F \rrbracket_\xi := \begin{cases} \mathbb{O} & \text{if } |\llbracket s \rrbracket_\xi| > 0 \text{ and } \mu(\llbracket F \rrbracket_\xi) \geq \frac{|\llbracket t \rrbracket_\xi|}{|\llbracket s \rrbracket_\xi|} \\ \emptyset & \text{otherwise.} \end{cases}$$

To improve readability, for all $n, m \in \mathbb{N}$, we abbreviate $\mathbf{C}^{1^n/1^m} F$ as $\mathbf{C}^{n/m} F$.

Measure quantifiers can now be used to express that the formula representing a random function is non-erratic, as shown below.

► **Theorem 6** (First Semantic Characterization of **BPP**). *For any language $L \subseteq \mathbb{S}$, $L \in \mathbf{BPP}$ iff there is a Σ_1^b -formula $G(x, y)$ such that:*

1. $R\Sigma_1^b\text{-NIA} \vdash \forall x \exists! y. G(x, y)$,
2. $\models \forall x. \exists y. \mathbf{C}^{2/3} G(x, y)$,
3. $L = \text{Lang}(\langle G \rangle)$.

Proof. Suppose $L \in \mathbf{BPP}$ and let $g : \mathbb{S} \rightarrow \mathbb{D}(\mathbb{S})$ be a function of **RFP** computing L with uniform error bound (which, thanks to Lemma 5, we can suppose to be non-erratic). By Theorem 2, there is a Σ_1^b -formula $G(x, y)$ such that $g = \langle G \rangle$. In particular, for all $\sigma \in \mathbb{S}$, $\mu(\llbracket G(\bar{\sigma}, \bar{\tau}) \rrbracket) = g(\sigma)(\tau) \geq \frac{2}{3}$ holds for some $\tau \in \mathbb{S}$, which shows that Condition 2. holds. Conversely, if Conditions 1.-3. hold, then $\langle G \rangle$ computes L with the desired error bound, so $L \in \mathbf{BPP}$. ◀

4.2 Arithmetizing Measure Quantifiers

Theorem 6 relies on the tight correspondence between arithmetic and probabilistic computation; yet, Condition 2. involves formulas which are not in the language of first-order arithmetics. Lemma 7 below shows that measure quantifications over bounded formulas of \mathcal{RL} can be expressed in the arithmetical language.

► **Lemma 7.** *For any Σ_1^b -formula $F(\vec{x})$ of \mathcal{RL} , there is a Σ_3^b -formula $\text{TwoThirds}[F](\vec{x})$ such that for any $\bar{\sigma} \in \mathbb{S}$, $\models \text{TwoThirds}[F](\bar{\sigma})$ holds whenever $\mu(\llbracket F(\bar{\sigma}) \rrbracket) \geq \frac{2}{3}$.*

Proof Sketch. In the construction of $\text{TwoThirds}[F](\vec{x}, y)$ we exploit the formula $\exp(x, y)$ that defines the exponential function 2^x , given by $2^\epsilon = \mathbf{1}$ and $2^{\sigma b} = 2^\sigma 2^b$. First, observe that for any bounded \mathcal{RL} -formula $F(\vec{x})$ and $\omega \in \mathbb{O}$, to check whether $\omega \in \llbracket F(\bar{\sigma}) \rrbracket$ only a

finite portions of bits of ω has to be observed. More precisely, we construct a \mathcal{RL} -term $t_F(\vec{x})$ (containing 2^x), such that for any $\vec{\sigma} \in \mathbb{S}$ and $\omega, \omega' \in \mathbb{O}$, if $\omega|_{|t_F(\vec{\sigma})|} = \omega'|_{|t_F(\vec{\sigma})|}$, then $\omega \in \llbracket F(\vec{\sigma}) \rrbracket$ when $\omega' \in \llbracket F(\vec{\sigma}) \rrbracket$. Using this fact, measuring $\llbracket F(\vec{\sigma}) \rrbracket$ is reduced to *counting* the elements of a finite set of strings of length $|t_F(\vec{\sigma})|$. This set can be captured by transforming $F(\vec{x})$ into a formula $\text{NoFlip}[F](\vec{x}, y)$, intuitively expressing that y is a string of length $|t_F(\vec{x})|$ encoding the initial segment of ω satisfying $F(\vec{x})$, and this way eliminating all the occurrences of $\text{Flip}(x)$. Using so-called *threshold quantifiers* $\exists^{\geq t_F} x.F$, the original formula is then converted into $\exists^{\geq u_{2/3}} y. \text{NoFlip}[F](\vec{x}, y)$, where u is a large-enough term corresponding to the $\frac{2}{3}$ probability requirement. Finally, we show that threshold quantification $\exists^{\geq w} y. F(\vec{x}, y)$ over a Σ_1^b -formula can be encoded in \mathcal{RL} via the Σ_3^b -formula $\exists y \preceq u_F. \text{Threshold}[F](\vec{x}, y, w)$. So, we conclude defining $\text{TwoThirds}[F](\vec{x}) := \exists y \preceq u_{\text{NoFlip}[F]}. \text{Threshold}[\text{NoFlip}[F](\vec{x}, y)](\vec{x}, y, u)$. ◀

Theorem 6 and Lemma 7 together yield a purely arithmetical characterization of **BPP**.

► **Theorem 8** (Second Semantic Characterization of **BPP**). *For any language $L \subseteq \mathbb{S}$, $L \in \mathbf{BPP}$ when there is a Σ_1^b -formula $G(x, y)$ such that:*

1. $R\Sigma_1^b\text{-NIA} \vdash \forall x. \exists! y. G(x, y)$,
2. $\models \forall x. \exists y. \text{TwoThirds}[G](x, y)$,
3. $L = \text{Lang}(\langle\langle G \rangle\rangle)$.

5 On Syntactic Subclasses of BPP

The characterization provided by Theorem 8 is still semantic in nature, as it provides no way to effectively enumerate **BPP**. Indeed, the crucial Condition 2 is not checked within a formal system, but over the standard model of $R\Sigma_1^b\text{-NIA}$. Yet, since the condition is now expressed in purely arithmetical terms, it makes sense to consider *syntactic* variants of Condition 2, where the check on \mathbb{S} is replaced by provability in some sufficiently expressive theory.

We will work in extensions of $\Sigma_1^b\text{-RNIA} + \text{Exp}$, where Exp is the formula expressing the totality of 2^x (which is used in the de-randomization of Lemma 7). This naturally leads to the following definition:

► **Definition 9** (Class \mathbf{BPP}_T). Let $T \supseteq \Sigma_1^b\text{-RNIA} + \text{Exp}$ be a theory in the language \mathcal{RL} . The *class **BPP** relative to T* , namely \mathbf{BPP}_T , contains all languages $L \subseteq \mathbb{S}$ such that for some Σ_1^b -formula $G(x, y)$ the following hold:

1. $R\Sigma_1^b\text{-NIA} \vdash \forall x. \exists! y. G(x, y)$,
2. $T \vdash \forall x. \exists y. \text{TwoThirds}[G](x, y)$,
3. $L = \text{Lang}(\langle\langle G \rangle\rangle)$.

Whenever T is sound (i.e. $T \vdash F$ implies that F is true in the standard model), it is clear that $\mathbf{BPP}_T \subseteq \mathbf{BPP}$. However, a crucial difference between the *syntactic* class \mathbf{BPP}_T and **BPP** is that, when T is recursively enumerable, \mathbf{BPP}_T can be *enumerated* (by enumerating the proofs of Condition 1. and 2. in T), while, as we discussed above, it does not seem possible to define an enumeration of randomized algorithms containing a witness for any problem in **BPP**, at least not *directly*. For this reason, it is unlikely that one can find a sound r.e. theory T such that $\mathbf{BPP}_T = \mathbf{BPP}$.

In the rest of this section, we investigate how far we can go in this direction, namely whether there is any sound theory T such that the corresponding class \mathbf{BPP}_T looks more similar to **BPP** than to, say, **P**. A fundamental observation is that, while the restriction to bounded theories is crucial to capture polytime algorithms by a totality condition (i.e. Condition 1.), there is no reason to restrict ourselves to such theories to prove probabilistic algorithms to

be non-erratic (i.e. Condition 2.). For this reason in the following we take full PA as our candidate theory T , and show that polynomial identity testing (PIT) is provably **BPP** in this theory.

5.1 Polynomial Zero Testing is in $\mathsf{BPP}_{\mathsf{PA}}$

In this subsection we pave the way to the proof that PIT is in $\mathsf{BPP}_{\mathsf{PA}}$. Here we take PA as formulated in the sub-language of \mathcal{RL} , where the symbol $\mathbf{0}$ is omitted, each natural number n is represented by the term $\mathbf{1}^n$, and the successor is interpreted as $\cdot \frown \mathbf{1}$.

The PIT problem asks to decide the identity of the polynomial computed by two arithmetical circuits. These are basically DAGs whose nodes can be labeled so as to denote an input, an output, the constants 0, 1 or an arithmetic operation. These structures can easily be encoded, e.g. using lists, as terms of PA.

► **Definition 10** (cf. [2]). The problem PIT asks to decide whether two arithmetical circuits p, q encoded as lists of nodes describe the same polynomial, i.e. $\mathbb{Z} \models p = q$.

Usually, PIT is reduced to another problem: the so-called Polynomial Zero Testing (PZT) problem, which asks to decide whether a polynomial computing a circuit over \mathbb{Z} is zero, i.e. to check whether $\mathbb{Z} \models p = 0$. Indeed, $\mathbb{Z} \models p = q$ if and only if $\mathbb{Z} \models p - q = 0$. Our proof of the fact that the language PZT is in $\mathsf{BPP}_{\mathsf{PA}}$ is structured as follows:

- We identify a Σ_1^b -formula of \mathcal{RL} G characterizing the polytime algorithm PZT proposed in [2] for solving PZT.
- We define a **Flip**-less Σ_3^b -formula $H(x, y)$ which represents the naïve deterministic algorithm for PZT.
- We turn G into a **Flip**-less equivalent formula of $\mathcal{RL}^{\text{exp}}$ G^v using the procedure described in Section 4, and show that $\mathsf{PA} \vdash \forall x. \forall y. \text{TwoThirds}[G(x, y) \leftrightarrow H(x, y)]$.

Observe that from the last step, using the totality of H (i.e. $\mathsf{PA} \vdash \forall x. \exists! y. H(x, y)$), one can deduce $\mathsf{PA} \vdash \forall x. \exists y. \text{TwoThirds}[G](x, y)$, as required in Definition 9.

Each of the aforementioned steps will be described in one of the forthcoming subsections, although the details are discussed in the Appendix C.

The Randomized Algorithm Intuitively, our algorithm for PZT takes an input x , which encodes a circuit p of size m on the variables v_1, \dots, v_n , it draws r_1, \dots, r_n uniformly at random from $\{0, \dots, 2^{m+3} - 1\}$ and k from $\{1, \dots, 2^{2m}\}$, then it computes the value of $p(r_1, \dots, r_n) \bmod k$, so to ensure that during the evaluation no overflow can take place. This is done linearly many times in $|x|$ (we call this value s), as to ensure that, if the polynomial is not identically zero, the probability to evaluate p on values witnessing this property at least once grows over $\frac{2}{3}$.⁴ Finally, if all the evaluations returned 0 as output the input is accepted; otherwise, it is rejected.

As we discuss in Appendix C.2, the procedure described above is correct only when the size of the input circuit x is greater than some constant ϱ . If this is not the case, our algorithm queries a table T storing all the pairs $(x_i, \chi_{\text{PZT}}(x_i))$ for $|x_i| < \varrho$, to obtain $\chi_{\text{PZT}}(x_i)$. The table T can be pre-computed, having just a constant number of entries. This algorithm, which we call PZT, is inspired by [2] and can be expressed as follows:

1. If the input x is not the output of a circuit, reject it. Otherwise, let n be its arity, d its degree and m its size. Set i to 1.

⁴ A suitable value of s is shown in the Appendix C.1.

501 2. Check whether m is smaller than some constant value $\rho \in \mathbb{N}$.
 502 – If so, walk the table T looking for a pair (x_j, y_j) where $x_j = x$; set $o_i = 0$ if $y_j = 1$, set
 503 $o_i = 1$, otherwise.
 504 – Otherwise, proceed as follows. Choose r_1, \dots, r_n uniformly and independently in
 505 $\{0, \dots, 2^{m+3} - 1\} \subseteq \mathbb{N}$. Let k be a random value in $\{1, \dots, 2^{2m}\} \subseteq \mathbb{N}$. Finally, evaluate
 506 the result of x , seen as a circuit, on $r_1, \dots, r_n \bmod k$, with result o_i .
 507 3. If $i < s$, then increase i by 1 and go back to 2.
 508 4. If for all i , $1 \leq i \leq s$, $o_i = 0$ output ϵ ; otherwise, output $\mathbf{0}$.
 509 Because of the evaluation of the input circuit modulo some $k \in \mathbb{Z}$, this algorithm works in
 510 time polynomial with respect to $|x|$. Therefore, as a consequence of Theorem 1 and Lemma
 511 3, there is a Σ_1^b -formula $G(x, y)$ of \mathcal{RL} which characterizes it. A lower-level description of
 512 the formula G is presented in Appendix C.1.

513 **The Underlying Language** We show that there is a PA-definable predicate H such that
 514 $H(x, \epsilon)$ holds if and only if x is the encoding of a circuit in PZT; otherwise, $H(x, \mathbf{0})$ holds.
 515 This predicate realizes the function h described by the following algorithm:

- 516 1. Take in input x , and check whether it is a polynomial circuit with one output; if it is not,
 517 reject it. Otherwise:
 518 2. Compute the polynomial term p represented by x , and reduce it to a normal form \bar{p} .
 519 3. Check whether all the coefficients of the terms are null. If this is true, output ϵ , otherwise
 520 output $\mathbf{0}$ and terminate.

521 For reasonable encodings of polynomial circuits and expressions, h is primitive recursive and
 522 therefore there is a PA-definable predicate H which characterizes it. Moreover $h = \chi_{\text{PZT}}$,
 523 indeed:

524 ► Remark 9. For every polynomial p with coefficients in \mathbb{Z} , it holds that $\mathbb{Z} \models \forall \vec{x}. p(\vec{x}) = 0$ if
 525 and only if all the monomials in the normal form of p have zero as coefficient.

526 **Proving the Error Bound** We now show that, within PA, it is possible to prove that the
 527 formula G is not erratic and that it decides $\text{Lang}(\langle G \rangle)$, as required by Definition 9, for
 528 $T = \text{PA}$. This can be reduced to showing that:

$$529 \quad \text{PA} \vdash \forall x. \forall y. \text{TwoThirds}[G(x, y) \leftrightarrow H(x, y)], \quad (*)$$

530 To do so, we assume to have an encoding of finite sets — and set-theoretic predicates, such
 531 as belonging, size, etc. — as terms and predicates of PA. This allows us to reduce bounded
 532 threshold-existential quantifiers to statements on the size of finite sets. For instance, Claim
 533 (*) is equivalent to the following one:

$$534 \quad \text{PA} \vdash \forall x. \forall y. 3 \cdot |\{z \in \mathbb{B}^{t(x)} \mid \text{NoFlip}[G](x, y, z) \leftrightarrow H(x, y)\}| \geq 2^{t(x)+1},$$

535 where $\text{NoFlip}(G)$ is a formula equivalent to G , which uses z as a source of randomness, and t
 536 is a polynomially-sized term depending on x only. Further details on these objects can be
 537 found in Appendix C.2. We proceed by showing two intermediate claims:

$$538 \quad \text{PA} \vdash \forall z. |z| = t(x) \rightarrow \text{NoFlip}[G](x, \mathbf{0}, z) \rightarrow H(x, \mathbf{0}), \quad (1)$$

539 which assesses that whenever the randomized algorithm rejects an input, then so does the
 540 deterministic one, and

$$541 \quad \text{PA} \vdash \forall x. \forall y. 3 \cdot |\{z \in \mathbb{B}^{t(x)} \mid \text{NoFlip}[G](x, \epsilon, z) \rightarrow H(x, \epsilon)\}| \geq 2^{t(x)+1} \quad (2)$$

which asserts that if the randomized algorithm accepts the circuit, the probability that the deterministic one accepts the language is higher than $\frac{2}{3}$. Claim (1) is a consequence of the compatibility of the mod k function with addition and multiplication, which can be proved in PA.

While Claim (1) shows that the PZT algorithm always accepts members of PZT, Claim (2) determines a bound to the entropy of the algorithm. The proof of the latter claim is more articulated and relies on the proofs in PA of the Schwartz-Zippel Lemma, providing a lower bound to the probability of evaluating the polynomial on values witnessing that it is not identically zero, and the Prime Number Theorem which bounds the probability to choose a *bad* value for k , i.e. one of those values causing PZT to return the wrong value. While the formalization in PA of the Prime Number Theorem is known [15], a precise formulation and a proof of the latter results are reported in the Appendix C.2. Conditions (1) and (2) entail Conditions (2), (3) of Definition 9 for $T = PA$, and thus $PZT \in \mathbf{BPP}_{PA}$.

Closure of \mathbf{BPP}_{PA} under Polytime Reduction Only assessing that a problem belongs to \mathbf{BPP}_{PA} does not tell us anything about other languages of this class; for this reason, we are interested in showing that \mathbf{BPP}_{PA} is closed under polytime reduction. This allows us to start from $PZT \in \mathbf{BPP}_{PA}$ to conclude that all problems which can be reduced to PZT in polynomial time belong to this class, not only that $PIT \in \mathbf{BPP}_{PA}$. This is assessed by the following proposition:

► **Proposition 1.** For every language $L \in \mathbf{BPP}_{PA}$ and every language $M \subseteq \mathbb{S}$, if there is a polytime reduction from M to L , then $M \in \mathbf{BPP}_{PA}$.

A detailed proof of this result is given in Appendix C.4. This has as Corollary the main claim of this section:

► **Corollary 1.** PIT is in \mathbf{BPP}_{PA} .

6 On Jeřábek's Characterization of BPP

As mentioned in the Section 1, a semantic characterization of \mathbf{BPP} due to bounded arithmetic was already provided by Jeřábek in [36]. This approach relies on checking, against the standard model, the truth of a formula which, however, does not express an entropy condition, but can be seen as a second totality condition (beyond the formula expressing the totality of the algorithm). Hence, also in this case one can investigate which problems can be proved to be in \mathbf{BPP} within some given theory.

In this section, we relate the two approaches by showing that the problems in \mathbf{BPP}_T are provably definable \mathbf{BPP} problems, in the sense of [36], *within some suitable extension* of the bounded theory PV_1 [12]. Indeed, Jeřábek focuses on the theory PV_1 , extended with an axiom schema dWPHP called the *dual weak pigeonhole principle* (cf. [36, pp. 962ff.]), which turns out useful in counting arguments.

A PTM is represented in this setting by two provably total functions (A, r) , where the machine accepts on input x with probability less than p/q when $\Pr_{w < r(x)}(A(x, w)) \leq p/q$. This formula, corresponding to asking that the set $\{w < r(x) \mid \models A(x, w)\}$ has cardinality smaller than $p/q \cdot r(x)$, can be formalized in the language of PV_1 . The representation of \mathbf{BPP} problems hinges on the definition, for any probabilistic algorithm (A, r) , of $L_{A,r}^+(x) := \Pr_{w < r(x)}(\neg A(x, w)) \leq 1/3$ and $L_{A,r}^-(x) := \Pr_{w < r(x)}(A(x, w)) \leq 1/3$. Checking if the algorithm (A, r) solves some problem in \mathbf{BPP} reduces then to checking the “totality” formula $\models \forall x. L_{A,r}^+(x) \vee L_{A,r}^-(x)$.

Now, first observe that, modulo an encoding of strings via numbers, everything which is provable in $R\Sigma_1^b$ -NIA *without* the predicate **Flip** can be proved in the theory $S_2^1(PV)$ [12], which extends both PV_1 and Buss' S_2^1 . Moreover, by arguing as in the proof of Lemma 5, in our characterization of **BPP** we can w.l.o.g. suppose that the formula G satisfies $\text{EpsZero}[G] := \forall x.\forall y.G(x, y) \rightarrow y = \epsilon \vee y = \mathbf{0}$. Under this assumption, the derandomization procedure described in the proof of Lemma 7 turns G into a pair (A, r) , where $A = \text{noFlip}[G]$ and $r(x) = t_G(x)$, and the languages $L_{A,r}^+(x)$ and $L_{A,r}^-(x)$ correspond to the formulas $L_G^+(x) := \text{TwoThirds}[G(-, \epsilon)](x)$, and $L_G^-(x) := \text{TwoThirds}[G(-, \mathbf{0})](x)$. Notice that, contrarily to [36], $t_G(x)$ needs not be a polynomial term, since it may contain 2^x . Yet this makes little difference since we work in extensions of Σ_1^b -RNIA + Exp, i.e. in theories which capture *more* than polytime computation.

Observing that, from $\mathbf{T} \vdash \forall x.\exists y.\text{TwoThirds}[G](x, y)$ and $\text{EpsZero}[G]$, one can deduce $\mathbf{T} \vdash \forall x.L_G^+(x) \vee L_G^-(x)$, we arrive at the following:

► **Proposition 10.** *If $L \in \mathbf{BPP}_{\mathbf{T}}$, with $L = \text{Lang}(\langle G \rangle)$, then $\forall x.L_G^+(x) \vee L_G^-(x)$ is provable in some recursively enumerable extension of $PV_1 + dWPHP$.*

7 Conclusion

The logical characterization of randomized complexity classes, in particular those having a semantic nature, is a great challenge. This paper contributes to the understanding of this problem by showing not only how resource bounded randomized computation can be captured within the language of arithmetic, but also that the latter offers convenient tools to control error bounds, the essential ingredient in the definition of classes like **BPP** and **ZPP**.

We believe that the main contribution of this work is a first example of a sort of *reverse* computational complexity for probabilistic algorithms. As we discussed in Section 5, while the restriction to bounded theories is crucial in order to capture polytime algorithms via a totality condition, it is not necessary to prove error bounds for probabilistic (even polynomial time) algorithms. Actually, since it is unlikely that $\mathbf{BPP} = \mathbf{BPP}_{\mathbf{T}}$ for some sound r.e. theory \mathbf{T} , it is worth exploring how much can be proved within expressive arithmetical theories. For this reason we focused here on the problems which can be proved to be in **BPP** in full **PA**, and we showed that PIT is among them. Actually, we conjecture that our whole argument can be formalized in the fragment $I\Delta_0 + \text{Exp}$ of **PA**, with induction restricted to Δ_0 -formulas plus the totality of the exponential function.

Indeed, an exciting direction is the study of the expressiveness of the new syntactic classes $\mathbf{BPP}_{\mathbf{T}}$, that is, an investigation on the kinds of error bounds which can be proved in the arithmetical theories lying *between* standard bounded theories like S_2^1 or **PV** and **PA**, but also in theories which are more expressive than **PA** (like e.g. second-order theories). Given the tight connections between bounded arithmetics and proof complexity, another natural direction is the study of applications of our work to probabilistic approaches in this field, for example recent investigations on *random resolution refutations* [36, 5, 46], i.e. resolution systems where proofs may make errors but are correct most of the time. These problems, intriguing as they are, are anyway left to future work.

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A Proofs from Section 3.2

Theorem 1.(\Leftarrow). As anticipated, in order to apply inductive steps (namely, composition and bounded recursion on notation) we need to adapt Parikh's theorem [45] to $R\Sigma_1^b$ -NIA.⁵

► **Proposition 2** ("Parikh" [45]). Let $F(\vec{x}, y)$ be a bounded \mathcal{RL} -formula such that $R\Sigma_1^b$ -NIA $\vdash \forall \vec{x}. \exists y. F(\vec{x}, y)$. Then, there is a term t such that, $R\Sigma_1^b$ -NIA $\vdash \forall \vec{x}. \exists y \preceq t(\vec{x}). F(\vec{x}, y)$.

Proof for Theorem 1(\Leftarrow). The proof is by induction on the structure of functions in \mathcal{POR} .

Base Case. Each basic function is Σ_1^b -representable in $R\Sigma_1^b$ -NIA.

■ The empty function $f = E$ is Σ_1^b -represented in $R\Sigma_1^b$ -NIA by the formula:

$$F_E(x, y) : x = x \wedge y = \epsilon.$$

1. Existence is proved considering $y = \epsilon$. For the reflexivity of identity both $R\Sigma_1^b$ -NIA $\vdash x = x$ and $R\Sigma_1^b$ -NIA $\vdash \epsilon = \epsilon$ hold. So, by rules for conjunctions, we obtain $R\Sigma_1^b$ -NIA $\vdash x = x \wedge \epsilon = \epsilon$, and conclude $R\Sigma_1^b$ -NIA $\vdash \forall x. \exists y. (x = x \wedge y = \epsilon)$. Uniqueness is proved assuming $R\Sigma_1^b$ -NIA $\vdash x = x \wedge z = \epsilon$. By rules for conjunction, in particular $R\Sigma_1^b$ -NIA $\vdash z = \epsilon$, and since $R\Sigma_1^b$ -NIA $\vdash y = \epsilon$, by the transitivity of identity, we conclude $R\Sigma_1^b$ -NIA $\vdash y = z$.

2. Assume $E(\sigma, \omega^*) = \tau$. If $\tau = \epsilon$, then $\llbracket \sigma = \sigma \wedge \tau = \epsilon \rrbracket = \llbracket \sigma = \sigma \rrbracket \cap \llbracket \tau = \epsilon \rrbracket = \mathbb{O} \cap \mathbb{O} = \mathbb{O}$. So, for any $\omega^*, \omega^* \in \llbracket \sigma = \sigma \wedge \tau = \epsilon \rrbracket$, as clearly $\omega^* \in \mathbb{O}$. If $\tau \neq \epsilon$, then $\llbracket \sigma = \sigma \wedge \tau = \epsilon \rrbracket = \llbracket \sigma = \sigma \rrbracket \cap \llbracket \tau = \epsilon \rrbracket = \mathbb{O} \cap \emptyset = \emptyset$. So, for any $\omega^*, \omega^* \notin \llbracket \sigma = \sigma \wedge \tau = \epsilon \rrbracket$, as clearly $\omega^* \notin \emptyset$.

■ Functions $f = P_i^n$, $f = S_b$ and $f = C$ are Σ_1^b -represented in $R\Sigma_1^b$ -NIA by respectively the formulas:

$$F_{P_i^n}(x_1, \dots, x_n, y) : \bigwedge_{j \in J} (x_j = x_j) \wedge y = x_i,$$

$$F_{S_b}(x, y) : y = x\mathbf{b},$$

$$F_C(x, v, z_0, z_1, y) : (x = \epsilon \wedge y = v) \vee \exists x' \preceq x. (x = x'0 \wedge y = z_0) \\ \vee \exists x' \preceq x. (x = x'1 \wedge y = z_1).$$

where $1 \leq i \leq n$, $J = \{1, \dots, n\} \setminus \{i\}$, and $\mathbf{b} \in \{0, 1\}$ corresponding to (resp.) $b \in \{0, 1\}$.

Proofs are omitted as straightforward.

■ $f = Q$ is Σ_1^b -represented in $R\Sigma_1^b$ -NIA by the formula:

$$F_Q(x, y) : (\text{Flip}(x) \wedge y = 1) \vee (\neg \text{Flip}(x) \wedge y = 0).$$

Observe that, in this case, the proof crucially relies on the fact that oracle functions invoke *exactly one* oracle:

1. Existence is proved by cases. If $R\Sigma_1^b$ -NIA $\vdash \text{Flip}(x)$, let $y = 1$. By the reflexivity of identity, $R\Sigma_1^b$ -NIA $\vdash (\text{Flip}(x) \wedge 1 = 1) \vee (\neg \text{Flip}(x) \wedge 0 = 1)$ and so,

$$R\Sigma_1^b\text{-NIA} \vdash \exists y. ((\text{Flip}(x) \wedge y = 1) \vee (\neg \text{Flip}(x) \wedge y = 0)).$$

⁵ The theorem is usually presented in the context of Buss' bounded theories, as stating that given a bounded formula F in \mathcal{L}_N such that $S_2^1 \vdash \forall \vec{x}. \exists y. F$, then there is a term $t(\vec{x})$ such that also $S_2^1 \vdash \forall \vec{x}. \exists y \preceq t(\vec{x}). F(\vec{x}, y)$ [6, 7]. Furthermore, due to [25], Buss' syntactic proof can be adapted to Σ_1^b -NIA in a natural way. The same result holds for $R\Sigma_1^b$ -NIA, as not containing specific rules concerning $\text{Flip}(\cdot)$.

If $R\Sigma_1^b\text{-NIA} \vdash \neg\text{Flip}(x)$, let $y = 0$. By the reflexivity of identity $R\Sigma_1^b\text{-NIA} \vdash 0 = 0$ holds. Thus, by the rules for conjunction, $R\Sigma_1^b\text{-NIA} \vdash \neg\text{Flip}(x) \wedge 0 = 0$ and for disjunction, we conclude $R\Sigma_1^b\text{-NIA} \vdash (\text{Flip}(x) \wedge 0 = 1) \vee (\neg\text{Flip}(x) \wedge 0 = 0)$ and so,

$$R\Sigma_1^b\text{-NIA} \vdash \exists y. ((\text{Flip}(x) \wedge y = 1) \vee (\neg\text{Flip}(x) \wedge y = 0)).$$

Uniqueness is established relying on the transitivity of identity.

2. Finally, it is shown that for every $\sigma, \tau \in \mathbb{S}$ and $\omega^* \in \mathbb{O}$, $Q(\sigma, \omega^*) = \tau$ when $\omega^* \in \llbracket F_Q(\bar{\sigma}, \bar{\tau}) \rrbracket$. Assume $Q(\sigma, \omega^*) = \mathbf{1}$, which is $\omega^*(\sigma) = \mathbf{1}$,

$$\begin{aligned} \llbracket F_Q(\bar{\sigma}, \bar{\tau}) \rrbracket &= \llbracket \text{Flip}(\bar{\sigma}) \wedge \bar{\tau} = \mathbf{1} \rrbracket \cup \llbracket \neg\text{Flip}(\bar{\sigma}) \wedge \bar{\tau} = \mathbf{0} \rrbracket \\ &= (\llbracket \text{Flip}(\bar{\sigma}) \rrbracket \cap \llbracket \mathbf{1} = \mathbf{1} \rrbracket) \cup (\llbracket \neg\text{Flip}(\bar{\sigma}) \rrbracket \cap \llbracket \mathbf{1} = \mathbf{0} \rrbracket) \\ &= (\llbracket \text{Flip}(\bar{\sigma}) \rrbracket \cap \mathbb{O}) \cup (\llbracket \neg\text{Flip}(\bar{\sigma}) \rrbracket \cap \emptyset) \\ &= \llbracket \text{Flip}(\bar{\sigma}) \rrbracket \\ &= \{\omega \mid \omega(\sigma) = \mathbf{1}\}. \end{aligned}$$

Clearly, $\omega^* \in \llbracket (\text{Flip}(\bar{\sigma}) \wedge \bar{\tau} = \mathbf{1}) \vee (\neg\text{Flip}(\bar{\sigma}) \wedge \bar{\tau} = \mathbf{0}) \rrbracket$. The case $Q(\sigma, \omega^*) = \mathbf{0}$ and the opposite direction are proved in a similar way.

Inductive Case. If f is defined by composition or bounded recursion from Σ_1^b -representable functions, then f is Σ_1^b -representable in $R\Sigma_1^b\text{-NIA}$:

- *Composition.* Assume that f is defined by composition from functions g, h_1, \dots, h_k so that $f(\vec{x}, \omega) = g(h_1(\vec{x}, \omega), \dots, h_k(\vec{x}, \omega), \omega)$ and that g, h_1, \dots, h_k are represented in $R\Sigma_1^b\text{-NIA}$ by the Σ_1^b -formulas $F_g, F_{h_1}, \dots, F_{h_k}$, respectively. By Proposition 2, there exist suitable terms $t_g, t_{h_1}, \dots, t_{h_k}$ such that (the existential part of) Condition 1. can be strengthened to $R\Sigma_1^b\text{-NIA} \vdash \forall \vec{x}. \exists y \preceq t_i. F_i(\vec{x}, y)$ for each $i \in \{g, h_1, \dots, h_k\}$. We conclude that $f(\vec{x}, \omega)$ is Σ_1^b -represented in $R\Sigma_1^b\text{-NIA}$ by the following formula:

$$F_f(x, y) : \exists z_1 \preceq t_{h_1}(\vec{x}) \dots \exists z_k \preceq t_{h_k}(\vec{x}). (F_{h_1}(\vec{x}, z_1) \wedge \dots \wedge F_{h_k}(\vec{x}, z_k) \wedge F_g(z_1, \dots, z_k, y)).$$

Indeed, by IH, $F_g, F_{h_1}, \dots, F_{h_k}$ are Σ_1^b -formulas. Then, also F_f is in Σ_1^b . Conditions 1.-2. are proved to hold by slightly modifying standard proofs.

- *Bounded Recursion.* Assume that f is defined by bounded recursion from g, h_0, h_1 , and t , so that:

$$\begin{aligned} f(\vec{x}, \epsilon, \omega) &= g(\vec{x}, \omega) \\ f(\vec{x}, y\mathbf{b}, \omega) &= h_i(\vec{x}, y, f(\vec{x}, y, \omega), \omega)|_{t(\vec{x}, y)}, \end{aligned}$$

where $i \in \{0, 1\}$ and $\mathbf{b} = \mathbf{0}$ when $i = 0$ and $\mathbf{b} = \mathbf{1}$ when $i = 1$. Let g, h_0, h_1 be represented in $R\Sigma_1^b\text{-NIA}$ by, respectively, the Σ_1^b -formulas F_g, F_{h_0} , and F_{h_1} . Moreover, by Proposition 2, there exist suitable terms t_g, t_{h_0} , and t_{h_1} such that the existential part of condition 1. can be strengthened to its “bounded version”. Then, it can be proved that $f(\vec{x}, y)$ is Σ_1^b -represented in $R\Sigma_1^b\text{-NIA}$ by the formula below:

$$\begin{aligned} F_f(x, y) : &\exists v \preceq t_g(\vec{x}) t_f(\vec{x})(y \times t(\vec{x}, y) t(\vec{x}, y) \mathbf{1}) . (F_{lh}(v, \mathbf{1} \times y \mathbf{1}) \\ &\wedge \exists z \preceq t_g(\vec{x}). (F_{eval}(v, \epsilon, z) \wedge F_g(\vec{x}, z)) \\ &\wedge \forall u \subset y. \exists z. (\tilde{z} \preceq t(\vec{x}, y)) (F_{eval}(v, \mathbf{1} \times u, z) \wedge F_{eval}(v, \mathbf{1} \times u \times, \tilde{z})) \\ &\wedge (u\mathbf{0} \subseteq y \rightarrow \exists z_0 \preceq t_{h_0}(\vec{x}, u, z). (F_{h_0}(\vec{x}, u, z, z_0) \wedge z_0|_{t(\vec{x}, u)} = \tilde{z})) \\ &\wedge (u\mathbf{1} \subseteq y \rightarrow \exists z_1 \preceq t_{h_1}(\vec{x}, u, z). (F_{h_1}(\vec{x}, u, z, z_1) \wedge z_1|_{t(\vec{x}, u)} = \tilde{z}))))), \end{aligned}$$

where F_{lh} and F_{eval} are Σ_1^b -formulas defined as in [23]. Intuitively, $F_{lh}(x, y)$ states that the number of 1s in the encoding of x is yy , while $F_{eval}(x, y, z)$ is a “decoding” formula (strongly resembling Gödel’s β -formula), expressing that the “bit” encoded in x as its y -th bit is z . Moreover $x \subset y$ is an abbreviation for $x \subseteq y \wedge x \neq y$. Then, this formula F_f satisfies all the requirements to Σ_1^b -represent in $R\Sigma_1^b$ -NIA the function f , obtained by bounded recursion from g, h_0 , and h_1 . In particular, Condition 1. concerning existence and uniqueness, have already been proved to hold by Ferreira [23]. Furthermore, F_f expresses that, given the desired encoding sequence v : (i.) the ϵ -th bit of v is (the encoding of) z' such that $F_g(\vec{x}, z')$ holds, where (for IH) F_g is the Σ_1^b -formula representing the function g , and (ii.) given that for each $u \subset y$, z denotes the “bit”, encoded in v at position $1 \times u1$, then if $ub \subseteq y$ (that is, if we are considering the initial substring of y the last bit of which correspond to b), then there is a z_b such that $F_{h_b}(\vec{x}, y, z, z_b)$, where F_{h_b} Σ_1^b -represents the function f_{h_b} and the truncation of z_b at $t(\vec{x}, u)$ is precisely \tilde{z} , with $b = 0$ when $b = 0$ and $b = 1$ when $b = 1$.

Theorem 1.(\Rightarrow). The proof is obtained by adapting that by Cook and Urquhart for IPV^ω [12], and is structured as follows:

1. We define \mathcal{POR}^λ a basic equational theory for a simply typed λ -calculus endowed with primitives corresponding to functions of \mathcal{POR} .
2. We introduce a first-order *intuitionistic* theory $IPOR^\lambda$, which extends \mathcal{POR}^λ with the usual predicate calculus as well as an **NP**-induction schema. It is shown that $IPOR^\lambda$ is strong enough to prove all theorems of $IR\Sigma_1^b$ -NIA.
3. We develop a realizability interpretation of $IPOR^\lambda$ (inside itself), showing that for any derivation of $\forall x. \exists y. F(x, y)$ (where F is a Σ_0^b -formula) one can extract a λ -term t of \mathcal{POR}^λ , such that $\forall x. F(x, tx)$ is provable in $IPOR^\lambda$. From this we deduce that every function which is Σ_1^b -representable in $IR\Sigma_1^b$ -NIA is in \mathcal{POR} .
4. We extend this result to classical $R\Sigma_1^b$ -NIA showing that any Σ_1^b -formula provable in $IPOR^\lambda + \text{Excluded Middle (EM, for short)}$ is already provable in $IPOR^\lambda$.

The System \mathcal{POR}^λ .

We define an equational theory for a simply typed λ -calculus augmented with primitives for functions of \mathcal{POR} . Actually, these do not exactly correspond to the ones of \mathcal{POR} , although the resulting function algebra is proved equivalent.

► **Definition 11.** *Types of \mathcal{POR}^λ* are defined by the grammar below:

$$\sigma := s \mid \sigma \Rightarrow \sigma.$$

► **Definition 12.** *Terms of \mathcal{POR}^λ* are standard, simply typed λ -terms plus the constants:

$$0, 1, \epsilon : s$$

$$\circ, \text{Trunc} : s \Rightarrow s \Rightarrow s$$

$$\text{Tail}, \text{Flipcoin} : s \Rightarrow s$$

$$\text{Cond} : s \Rightarrow s \Rightarrow s \Rightarrow s \Rightarrow s$$

$$\text{Red} : s \Rightarrow (s \Rightarrow s \Rightarrow s) \Rightarrow (s \Rightarrow s \Rightarrow s) \Rightarrow (s \Rightarrow s) \Rightarrow s \Rightarrow s.$$

Intuitively, $\text{Tail}(x)$ computes the string obtained by deleting the first digit of x ; $\text{Trunc}(x, y)$ computes the string obtained by truncating x at the length of y ; $\text{Cond}(x, y, z, w)$ computes

the function that yields y when $x = \epsilon$, z when $x = x'0$, and w when $x = x'1$; Flipcoin(x) indicates a random $0/1$ generator; Rec is the operator for bounded recursion on notation.

► **Notation 1.** We abbreviate xoy as xy and being \top any constant Tail, Trunc, Cond, Flipcoin, Rec of arity n , we indicate Tu_1, \dots, u_n as $T(u_1, \dots, u_n)$.

We also introduce the following abbreviations for composed functions:

- $B(x) := \text{Cond}(x, \epsilon, 0, 1)$ denotes the function computing the last digit of x .
- $\text{BNeg}(x) := \text{Cond}(x, \epsilon, 0, 1)$ denotes the function computing the Boolean negation of $B(x)$.
- $\text{BOr}(x, y) := \text{Cond}(B(x), B(y), B(y), 1)$ denotes the function that coerces x and y to Booleans and then performs the OR operation.
- $\text{BAnd}(x, y) := \text{Cond}(B(x), \epsilon, 0, B(y))$ denotes the function that coerces x and y to Booleans and then performs the AND operation.
- $\text{Eps}(x) := \text{Cond}(x, 1, 0, 0)$ denotes the characteristic function of “ $x = 0$ ”.
- $\text{Bool}(x) := \text{BAnd}(\text{Eps}(\text{Tail}(x)), \text{BNeg}(\text{Eps}(x)))$ denotes the characteristic function of “ $x = 0 \vee x = 1$ ”.
- $\text{Zero}(x) := \text{Cond}(\text{Bool}(x), 0, \text{Cond}(x, 0, 0, 1), 0)$ denotes the characteristic function of predicate “ $x = 0$ ”.
- $\text{Conc}(x, y)$ denotes the concatenation function defined as:

$$\text{Conc}(x, \epsilon) := x \quad \text{Conc}(x, yb) := \text{Conc}(x, y)b,$$

with $b \in \{0, 1\}$.

- $\text{Eq}(x, y)$ denotes the characteristic function of “ $x = y$ ” and defined by double recursion by the equations below:

$$\begin{aligned} \text{Eq}(\epsilon, \epsilon) &:= 1 & \text{Eq}(\epsilon, yb) &:= 0 \\ \text{Eq}(xb, \epsilon) &= \text{Eq}(x0, y1) = \text{Eq}(x1, y0) := 0 & \text{Eq}(xb, yb) &:= \text{Eq}(x, y), \end{aligned}$$

with $b \in \{0, 1\}$.

- $\text{Times}(x, y)$ denotes the function for self-concatenation, $x, y \mapsto x \times y$ and is defined by the equations below:

$$\text{Times}(x, \epsilon) := \epsilon \quad \text{Times}(x, yb) := \text{Conc}(\text{Times}(x, y), x),$$

with $b \in \{0, 1\}$.

- $\text{Sub}(x, y)$ denotes the initial substring function, $x, y \mapsto S(x, y)$, and is defined by bounded recursion as follows:

$$\text{Sub}(x, \epsilon) := \text{Eps}(x) \quad \text{Sub}(x, yb) := \text{BOr}(\text{Sub}(x, y), \text{Eq}(x, yb)),$$

with $b \in \{0, 1\}$.

► **Definition 13.** Formulas of \mathcal{POR}^λ are equations $t = u$, where t and u are terms of type s .

► **Definition 14** (Theory \mathcal{POR}^λ). Axioms of \mathcal{POR}^λ are the following ones:

- Defining equations for the constants of \mathcal{POR}^λ :

$$\begin{aligned} \epsilon x &= x\epsilon = x & x(yb) &= (xy)b \\ \text{Tail}(\epsilon) &= \epsilon & \text{Tail}(xb) &= x \\ \text{Trunc}(x, \epsilon) &= \text{Trunc}(\epsilon, x) = \epsilon & \text{Trunc}(xb, yb) &= \text{Trunc}(x, y)b \\ \text{Cond}(\epsilon, y, z, w) &= y & \text{Cond}(x0, y, z, w) &= z & \text{Cond}(x1, y, z, w) &= w \\ \text{Bool}(\text{Flipcoin}(x)) &= 1 \\ \text{Rec}(x, h_0, h_1, k, \epsilon) &= x & \text{Rec}(x, h_0, h_1, k, yb) &= \text{Trunc}(h_b y(\text{Rec}(x, h_0, h_1, k, y)), ky), \end{aligned}$$

where $b \in \{0, 1\}$ and $b \in \{0, 1\}$ (correspondingly).

■ The (β) - and (ν) -axioms:

$$C[(\lambda x.t)u] = C[t\{u/x\}] \quad (\beta)$$

$$C[\lambda x.tx] = C[t]. \quad (\nu)$$

where

where $C[\cdot]$ indicates a context with a unique occurrence of the hole $[\cdot]$, so that $C[t]$ denotes the variable capturing replacement of $[\cdot]$ by t in $C[\cdot]$.

The inference rules of \mathcal{POR}^λ are the following ones:

$$t = u \vdash t = u \quad (R1)$$

$$t = u, u = v \vdash t = v \quad (R2)$$

$$t = u \vdash v\{t/x\} = v\{u/x\} \quad (R3)$$

$$t = u \vdash t\{v/x\} = u\{v/x\}. \quad (R4)$$

As predictable, $\vdash_{\mathcal{POR}^\lambda} t = u$ expresses that the equation $t = u$ is deducible using instances of the axioms above plus inference rules (R1) – (R4). Similarly, given any set T of equations, $T \vdash_{\mathcal{POR}^\lambda} t = u$ expresses that the equation $t = u$ is deducible using instances of the quoted axioms and rules together with equations from T .

For any string $\sigma \in \mathbb{S}$, let $\bar{\sigma} : s$ denote the term of \mathcal{POR}^λ corresponding to it, that is:

$$\bar{\epsilon} = \epsilon \quad \overline{\sigma 0} = \bar{\sigma} 0 \quad \overline{\sigma 1} = \bar{\sigma} 1.$$

For any $\omega \in \mathbb{O}$, let T_ω be the set of all equations of the form $\text{Flipcoin}(\bar{\sigma}) = \overline{\omega(\sigma)}$.

► **Definition 15** (Provable Representability). Let $f : \mathbb{O} \times \mathbb{S}^j \rightarrow \mathbb{S}$. A term $t : s \Rightarrow \dots \Rightarrow s$ of \mathcal{POR}^λ provably represents f when for all strings $\sigma_1, \dots, \sigma_j, \sigma \in \mathbb{S}$ and $\omega \in \mathbb{O}$,

$$f(\sigma_1, \dots, \sigma_j, \omega) \quad \text{iff} \quad T_\omega \vdash_{\mathcal{POR}^\lambda} t\bar{\sigma}_1 \dots \bar{\sigma}_j = \bar{\sigma}.$$

► **Example 11.** The term $\text{Flipcoin} : s \Rightarrow s$ provably represents the query function $Q(x, \omega) = \omega(x)$ of \mathcal{POR} , since for any $\sigma \in \mathbb{S}$ and $\omega \in \mathbb{O}$,

$$\text{Flipcoin}(\bar{\sigma}) = \overline{\omega(\sigma)} \vdash_{\mathcal{POR}^\lambda} \text{Flipcoin}(\bar{\sigma}) = \overline{Q(\sigma, \omega)}.$$

We consider some of the terms described above and show them to provably represent the intended functions. Let $\text{Tail}(\sigma, \omega)$ indicate the string obtained by chopping the first digit of σ , and $\text{Trunc}(\sigma_1, \sigma_2, \omega) = \sigma_1|_{\sigma_2}$.

► **Lemma 12.** Terms Tail , Trunc and Cond provably represent the functions Tail , Trunc and C , respectively.

► **Theorem 13.** 1. Any function $f \in \mathcal{POR}$ is provably represented by a term $t \in \mathcal{POR}^\lambda$.
2. For any term $t \in \mathcal{POR}^\lambda$, there is a function $f \in \mathcal{POR}$ such that f is provably represented by t .

Proof Sketch. 1. The proof is by induction on the structure of $f \in \mathcal{POR}$.

Base Case. Each base function is provably represented. Let us consider two examples:

■ $f = E$ is provably represented by $\lambda x.\epsilon$. For any string $\sigma \in \mathbb{S}$, $\overline{E(\sigma, \omega)} = \bar{\epsilon} = \epsilon$ and $\vdash_{\mathcal{POR}^\lambda} (\lambda x.\epsilon)\bar{\sigma} = \epsilon$ is an instance of (β) -axiom. We conclude, $\vdash_{\mathcal{POR}^\lambda} (\lambda x.\epsilon)\bar{\sigma} = \overline{E(\sigma, \omega)}$.

904 ■ $f = Q$ is provably represented by the term Flipcoin, as observed in Example 11 above.

905 *Inductive Case.* Each function defined by composition or bounded recursion from provably
906 represented functions is provably represented as well. We consider bounded recursion. Let f
907 be defined as:

$$\begin{aligned} 908 \quad & f(\sigma_1, \dots, \sigma_n, \epsilon, \omega) = g(\sigma_1, \dots, \sigma_n, \omega) \\ 909 \quad & f(\sigma_1, \dots, \sigma_n, \sigma \mathbf{b}, \omega) = h_b(\sigma_1, \dots, \sigma_n, \sigma, f(\sigma_1, \dots, \sigma_n, \sigma, \omega), \omega)|_{k(\sigma_1, \dots, \sigma_n, \sigma)}. \end{aligned}$$

910
911

912 By IH, g, h_0, h_1 and k are provably represented by the corresponding terms $\mathbf{t}_g, \mathbf{t}_{h_0}, \mathbf{t}_{h_1}, \mathbf{t}_k$.
913 So, for any $\sigma_1, \dots, \sigma_{n+2}, \sigma \in \mathbb{S}$ and $\omega \in \mathbb{O}$:

$$914 \quad T_\omega \vdash_{\mathcal{POR}^\lambda} \mathbf{t}_g \overline{\sigma_1} \dots \overline{\sigma_n} = \overline{g(\sigma_1, \dots, \sigma_n, \omega)} \quad (\mathbf{t}_g)$$

$$915 \quad T_\omega \vdash_{\mathcal{POR}^\lambda} \mathbf{t}_{h_0} \overline{\sigma_1} \dots \overline{\sigma_{n+2}} = \overline{h_0(\sigma_1, \dots, \sigma_{n+2}, \omega)} \quad (\mathbf{t}_{h_0})$$

$$916 \quad T_\omega \vdash_{\mathcal{POR}^\lambda} \mathbf{t}_{h_1} \overline{\sigma_1} \dots \overline{\sigma_{n+2}} = \overline{h_1(\sigma_1, \dots, \sigma_{n+2}, \omega)} \quad (\mathbf{t}_{h_1})$$

$$917 \quad T_\omega \vdash_{\mathcal{POR}^\lambda} \mathbf{t}_k \overline{\sigma_1} \dots \overline{\sigma_n} = \overline{k(\sigma_1, \dots, \sigma_n, \omega)}. \quad (\mathbf{t}_k)$$

919 We prove by induction on σ , that $T_\omega \vdash_{\mathcal{POR}^\lambda} \mathbf{t}_f \overline{\sigma_1} \dots \overline{\sigma_n} \overline{\sigma} = \overline{f(\sigma_1, \dots, \sigma_n, \omega)}$, where $\mathbf{t}_f =$
920 $\lambda x_1 \dots \lambda x_n \lambda x. \text{Rec}(\mathbf{t}_g x_1 \dots x_n, \mathbf{t}_{h_0} x_1 \dots x_n, \mathbf{t}_{h_1} x_1 \dots x_n, \mathbf{t}_k x_1 \dots x_n, x)$. Then,

921 ■ if $\sigma = \epsilon$, then $f(\sigma_1, \dots, \sigma_n, \sigma, \omega) = g(\sigma_1, \dots, \sigma_n, \omega)$. Using the (β) -axiom we deduce,
922 $\vdash_{\mathcal{POR}^\lambda} \mathbf{t}_f \overline{\sigma_1} \dots \overline{\sigma_1} \dots \overline{\sigma_n} \overline{\sigma} = \text{Rec}(\mathbf{t}_g \overline{\sigma_1} \dots \overline{\sigma_n}, \mathbf{t}_{h_0} \overline{\sigma_1} \dots \overline{\sigma_n}, \mathbf{t}_{h_1} \overline{\sigma_1} \dots \overline{\sigma_n}, \mathbf{t}_k \overline{\sigma_1} \dots \overline{\sigma_n}, \overline{\sigma})$ and

923 using the axiom $\text{Rec}(\mathbf{t}_g x_1 \dots x_n, \mathbf{t}_{h_0} x_1 \dots x_n, \mathbf{t}_{h_1} x_1 \dots x_n, \mathbf{t}_k x_1 \dots x_n, \epsilon = \mathbf{t}_g x_1 \dots x_n)$, we
924 obtain $\vdash_{\mathcal{POR}^\lambda} \mathbf{t}_f \overline{\sigma_1} \dots \overline{\sigma_n} \overline{\sigma} = \mathbf{t}_g \overline{\sigma_1} \dots \overline{\sigma_n}$, by (R2) and (R3). We conclude using (\mathbf{t}_g)
925 together with (R2).

926 ■ $\sigma = \sigma_m \mathbf{0}$, then $f(\sigma_1, \dots, \sigma_n, \sigma, \omega) = h_0(\sigma_1, \dots, \sigma_n, \sigma_m, f(\sigma_1, \dots, \sigma_n, \sigma, \omega), \omega)|_{k(\sigma_1, \dots, \sigma_n, \sigma_m)}$.

927 By IH, suppose $T_\omega \vdash_{\mathcal{POR}^\lambda} \mathbf{t}_f \overline{\sigma_1} \dots \overline{\sigma_n} \overline{\sigma_m} = \overline{f(\sigma_1, \dots, \sigma_n, \sigma', \omega)}$. Thus, using the (β) -
928 axiom $\mathbf{t}_f \overline{\sigma_1} \dots \overline{\sigma_n} \overline{\sigma} = \text{Rec}(\mathbf{t}_g \overline{\sigma_1} \dots \overline{\sigma_n}, \mathbf{t}_{h_0} \overline{\sigma_1} \dots \overline{\sigma_n}, \mathbf{t}_{h_1} \overline{\sigma_1} \dots \overline{\sigma_n}, \mathbf{t}_k \overline{\sigma_1} \dots \overline{\sigma_n}, \overline{\sigma})$ the axiom $\text{Rec}(g,$
929 $h_0, h_1, k, x0) = \text{Trunc}(\mathbf{t}_{h_0} x(\text{Rec}(g, h_0, h_1, k, 0)), kx)$ and IH we deduce, $\vdash_{\mathcal{POR}^\lambda} \mathbf{t}_f \overline{\sigma_1} \dots \overline{\sigma_n} \overline{\sigma} =$
930 $\text{Trunc}(\mathbf{t}_{h_0} \overline{\sigma_1} \dots \overline{\sigma_n} \overline{\sigma_m} \overline{f(\sigma_1, \dots, \sigma_n, \sigma_m, \omega)}, \mathbf{t}_k \overline{\sigma_1} \dots \overline{\sigma_n})$, by (R2) and (R3). Using (\mathbf{t}_{h_0})
931 and (\mathbf{t}_k) we conclude using (R3) and (R2): $\vdash_{\mathcal{POR}^\lambda} \mathbf{t}_f \overline{\sigma_1} \dots \overline{\sigma_n} \overline{\sigma} = \overline{h_0(\sigma_1, \dots, \sigma_n, \sigma_m,$
932 $\overline{f(\sigma_1, \dots, \sigma_n, \sigma_m, \omega)})|_{k(\sigma_1, \dots, \sigma_n, \sigma_m)}}$.

933 ■ the case $\sigma = \sigma_m \mathbf{1}$ is proved in a similar way.

934 2. It is a consequence of the normalization property for the simply typed λ -calculus: a
935 β -normal term $\mathbf{t} : s \Rightarrow \dots \Rightarrow s$ cannot contain variables of higher types. By exhaustively
936 inspecting possible normal forms, representability is checked. ◀

937 ► **Corollary 2.** For any function $f : \mathbb{S}^j \times \mathbb{O} \rightarrow \mathbb{S}$, $f \in \mathcal{POR}$ when f is provably represented
938 by some term $\mathbf{t} : s \Rightarrow \dots \Rightarrow s \in \mathcal{POR}^\lambda$.

939 *The Theory $IPOR^\lambda$.* We introduce a first-order *intuitionistic* theory $IPOR^\lambda$, which
940 extends \mathcal{POR}^λ with basic predicate calculus and a restricted induction principle. We also
941 define $IR\Sigma_1^b$ -NIA as a variant of $R\Sigma_1^b$ -NIA having the intuitionistic predicate calculus as its
942 logical basis. All theorems of \mathcal{POR}^λ and $IR\Sigma_1^b$ -NIA are provable in $IPOR^\lambda$. In fact, $IPOR^\lambda$
943 can be seen as an extension of \mathcal{POR}^λ and provides a language to associate derivations in
944 $IR\Sigma_1^b$ -NIA with poly-time computable functions, corresponding to terms of $IPOR^\lambda$.

945 The language of $IPOR^\lambda$ extends that of \mathcal{POR}^λ with (a translation for) all expressions
946 of $R\Sigma_1^b$ -NIA. In particular, the grammar for terms of $IPOR^\lambda$ is precisely the same as that
947 of Definition 12, while that for formulas is defined below.

► **Definition 16.** Formulas of $IPOR^\lambda$ are defined as follows: i. all equations of POR^λ $t = u$, are formulas of $IPOR^\lambda$; ii. for any (possibly open) POR^λ -term t, u , $t \subseteq u$ and $\text{Flip}(t)$ are formulas of $IPOR^\lambda$; iii. formulas of $IPOR^\lambda$ are closed under $\wedge, \vee, \rightarrow, \forall, \exists$.

We adopt the standard conventions: $\perp := 0 = 1$ and $\neg F := F \rightarrow \perp$. The notions of Σ_0^b - and Σ_1^b -formula of $IPOR^\lambda$ are precisely as those for $R\Sigma_1^b$ -NIA.

► **Remark 14.** Any formula of $R\Sigma_1^b$ -NIA can be seen as a formula of $IPOR^\lambda$, where each occurrence of 0 is replaced by 0, of 1 by 1, of \frown by \circ (usually omitted), of \times by Times. In the following, we assume that any formula of $R\Sigma_1^b$ -NIA is a formula of $IPOR^\lambda$, modulo the substitutions defined above.

► **Definition 17.** The axioms of $IPOR^\lambda$ include standard rules of the intuitionistic first-order predicate calculus, usual rules for the equality symbol, plus the following axioms: 1. all axioms of POR^λ , 2. $x \subseteq y \leftrightarrow \text{Sub}(x, y) = 1$, 3. $x = \epsilon \vee x = \text{Tail}(x)0 \vee x = \text{Tail}(x)1$, 4. $0 = 1 \rightarrow x = \epsilon$, 5. $\text{Cond}(x, y, z, w) = w' \leftrightarrow (x = \epsilon \wedge w' = y) \vee (x = \text{Tail}(x)0 \wedge w' = z) \vee (x = \text{Tail}(x)1 \wedge w' = w)$, 6. $\text{Flip}(x) \leftrightarrow \text{Flipcoin}(x) = 1$, 7. any formula of the form:

$$(F(\epsilon) \wedge \forall x.(F(x) \rightarrow F(x0)) \wedge \forall x.(F(x) \rightarrow F(x1))) \rightarrow \forall y.F(y),$$

where F is of the form $\exists z \preceq t.u = v$, with t containing only first-order open variables.

► **Notation 2.** We refer to a formula of the form $\exists z \preceq t.u = v$, with t containing only first-order open variables, as an **NP-predicate**.

Now that $IPOR^\lambda$ has been introduced we show that all theorems of both POR^λ and the intuitionistic version of $R\Sigma_1^b$ -NIA are derived in it. First, Proposition 3 is established inspecting all rules of POR^λ .

► **Proposition 3.** Any theorem of POR^λ is a theorem of $IPOR^\lambda$.

Then, we show that every theorem of $IR\Sigma_1^b$ -NIA is derivable in $IPOR^\lambda$. To do so, we prove a few properties concerning $IPOR^\lambda$. In particular, its recursion schema differs from that of $IR\Sigma_1^b$ -NIA as dealing with formulas of the form $\exists y \preceq t.u = v$ and not with all the Σ_1^b -ones. The two schemas are related by Proposition 4 proved by induction on the structure of formulas.

► **Proposition 4.** For any Σ_0^b -formula $F(x_1, \dots, x_n)$ in \mathcal{RL} , there is a term $t_F(x_1, \dots, x_n)$ of POR^λ such that: 1. $\vdash_{IPOR^\lambda} F \leftrightarrow t_F = 0$, 2. $\vdash_{IPOR^\lambda} t_F = 0 \vee t_F = 1$.

This leads us to the following corollary and to Theorem 15, realting $IPOR^\lambda$ and $IR\Sigma_1^b$ -NIA.

► **Corollary 3.** i. For any Σ_0^b -formula F , $\vdash_{IPOR^\lambda} F \vee \neg F$; ii. For any closed Σ_0^b -formula of \mathcal{RL} F and $\omega \in \mathbb{O}$, either $T_\omega \vdash_{IPOR^\lambda} F$ or $T_\omega \vdash_{IPOR^\lambda} \neg F$.

► **Theorem 15.** Any theorem of $IR\Sigma_1^b$ -NIA is a theorem of $IPOR^\lambda$.

Proof. First, observe that, as a consequence of Proposition 4, for any $\Sigma^b - 1$ -formula $F = \exists x_1 \preceq t_1 \dots \exists x_n \preceq t_n. G$ in \mathcal{RL} , $\vdash_{IPOR^\lambda} F \leftrightarrow \exists x_1 \preceq t_1 \dots \exists x_n \preceq t_n. t_G = 0$, any instance of the Σ_1^b -recursion schema of $IR\Sigma_1^b$ -NIA is derivable in $IPOR^\lambda$ from the **NP**-induction schema. Then, we conclude noticing that basic axioms of $IR\Sigma_1^b$ -NIA are provable in $IPOR^\lambda$. ◀

► **Corollary 4.** For any closed Σ_0^b -formula F and $\omega \in \mathbb{O}$, either $T_\omega \vdash_{IPOR^\lambda} F$ or $T_\omega \vdash_{IPOR^\lambda} \neg F$.

982 Due to Corollary 4 we establish the following Lemma 16.

983 ► **Lemma 16.** *For any closed Σ_0^b -formula F and $\omega \in \mathbb{O}$, either $T_\omega \vdash_{IPOR^\lambda} F$ iff $\omega \in \llbracket F \rrbracket$.*

984 **Proof.** (\Rightarrow) By induction on the structure of rules for $IPOR$. (\Leftarrow) For Corollary 4, either
 985 $T_\omega \vdash_{IPOR^\lambda} F$ or $T_\omega \vdash_{IPOR^\lambda} \neg F$. Hence, if $\omega \in \llbracket F \rrbracket$, then it cannot be $T_\omega \vdash_{IPOR^\lambda} \neg F$ (by
 986 soundness). We conclude $T_\omega \vdash_{IPOR^\lambda} F$. ◀

987 *Realizability.* We introduce realizability internal to $IPOR^\lambda$. As a corollary, we obtain
 988 that from any derivation in $IR\Sigma_1^b$ -NIA – actually, in $IPOR^\lambda$ – of a formula in the form
 989 $\forall x.\exists y.F(x, y)$, one can extract a functional term of \mathcal{POR}^λ $f : s \Rightarrow s$, such that \vdash_{IPOR^λ}
 990 $\forall x.F(x, fx)$. This allows us to conclude that if f is Σ_1^b -representable in $IR\Sigma_1^b$ -NIA, then
 991 $f \in \mathcal{POR}$.

992 ► **Notation 3.** Let \mathbf{x}, \mathbf{y} denote finite sequences of term variables, (resp.) x_1, \dots, x_n and
 993 y_1, \dots, y_k and $\mathbf{x}(\mathbf{y})$ be an abbreviation for $y_1(\mathbf{x}), \dots, y_k(\mathbf{x})$. Let Λ be a shorthand for the
 994 empty sequence and $y(\Lambda) := y$.

995 ► **Definition 18.** *Formulas $x \textcircled{R} F$ are defined by induction as follows:*

$$\begin{aligned}
 996 \quad & \Lambda \textcircled{R} F := F \\
 997 \quad & \mathbf{x}, \mathbf{y} \textcircled{R} (G \wedge H) := (\mathbf{x} \textcircled{R} G) \wedge (\mathbf{y} \textcircled{R} H) \\
 998 \quad & z, \mathbf{x}, \mathbf{y} \textcircled{R} (G \vee H) := (z = 0 \wedge \mathbf{x} \textcircled{R} G) \vee (z \neq 0 \wedge \mathbf{y} \textcircled{R} H) \\
 999 \quad & \mathbf{y} \textcircled{R} (G \rightarrow H) := \forall \mathbf{x}.(\mathbf{x} \textcircled{R} G \rightarrow \mathbf{y}(\mathbf{x}) \textcircled{R} H) \wedge (G \rightarrow H) \\
 1000 \quad & z, \mathbf{x} \textcircled{R} \exists y.G := \mathbf{x} \textcircled{R} G\{z/y\} \\
 1001 \quad & \mathbf{x} \textcircled{R} \forall y.G := \forall y.(\mathbf{x}(y) \textcircled{R} G), \\
 1002
 \end{aligned}$$

1003 where no variable in \mathbf{x} is free in F . Given terms $\mathbf{t} = t_1, \dots, t_n$ we let $\mathbf{t} \textcircled{R} F := (\mathbf{x} \textcircled{R} F)\{\mathbf{t}/\mathbf{x}\}$.

1004 We relate the derivability of these new formulas with that of formulas of $IPOR^\lambda$. Proofs
 1005 below are by induction (resp.) on the structure of $IPOR^\lambda$ -formulas and on the height of
 1006 derivations.

1007 ► **Theorem 17 (Soundness).** *If $\vdash_{IPOR^\lambda} \mathbf{t} \textcircled{R} F$, then $\vdash_{IPOR^\lambda} F$.*

1008 ► **Notation 4.** Given $\Gamma = F_1, \dots, F_n$, let $\mathbf{x} \textcircled{R} \Gamma$ be a shorthand for $\mathbf{x}_1 \textcircled{R} F_1, \dots, \mathbf{x}_n \textcircled{R} F_n$.

1009 ► **Theorem 18 (Completeness).** *If $\vdash_{IPOR^\lambda} F$, then \mathbf{t} such that $\vdash_{IPOR^\lambda} \mathbf{t} \textcircled{R} F$.*

1010 **Proof.** We prove that if $\Gamma \vdash_{IPOR^\lambda} F$, there exist terms \mathbf{t} such that $\mathbf{x} \textcircled{R} \Gamma \vdash_{IPOR^\lambda}$
 1011 $\mathbf{t}\mathbf{x}_1 \dots, \mathbf{x}_n \textcircled{R} F$. The proof is by induction on the derivation of $\Gamma \vdash_{IPOR^\lambda} F$. Let us consider
 1012 just one example:

$$1013 \quad \frac{\vdots}{\Gamma \vdash G} \vee R_1$$

1014 By IH, there exist terms \mathbf{u} , such that $\mathbf{t} \textcircled{R} \Gamma \vdash_{IPOR^\lambda} \mathbf{t}\mathbf{u} \textcircled{R} G$. Since $x, y \textcircled{R} G \vee H$ is defined
 1015 as $(x = 0 \wedge y \textcircled{R} G) \vee (x \neq 0 \wedge y \textcircled{R} H)$, we can take $\mathbf{t} = 0, \mathbf{u}$. ◀

1016 ► **Corollary 5.** Let $\forall x.\exists y.F(x, y)$ be a closed term of $IPOR^\lambda$, where F is a Σ_1^b -formula.
 1017 Then, there is a closed term $\mathbf{t} : s \Rightarrow s$ of \mathcal{POR}^λ such that $\vdash_{IPOR^\lambda} \forall x.F(x, \mathbf{t}x)$.

Proof. By Theorem 18, there exist $\mathbf{t} = \mathbf{t}, w$ such that $\vdash_{IPOR^\lambda} \mathbf{t} \text{ @ } \forall x. \exists y. F(x, y)$. So,
 $\mathbf{t} \text{ @ } \forall x. \exists y. F(x, y) \equiv \forall x. (\mathbf{t}(x) \text{ @ } \exists y. F(x, y)) \equiv \forall x. (w(x) \text{ @ } F(x, \mathbf{t}x))$. From this, by
 Theorem 17, we deduce $\vdash_{IPOR^\lambda} \forall x. F(x, \mathbf{t}x)$. \blacktriangleleft

Now, we have all the ingredients to prove that if a function is Σ_1^b -representable in $IR\Sigma_1^b$ -NIA, then it is in \mathcal{POR} .

► **Corollary 6.** For any function $f : \mathbb{O} \times \mathbb{S} \rightarrow \mathbb{S}$, if there is a closed Σ_1^b -formula in \mathcal{RL} $F(x, y)$, such that:

1. $IR\Sigma_1^b\text{-NIA} \vdash \forall x. \exists! y. F(x, y)$
 2. $\llbracket F(\overline{\sigma_1}, \overline{\sigma_2}) \rrbracket = \{\omega \mid f(\sigma_1, \omega) = \sigma_2\}$,
- then $f \in \mathcal{POR}$.

Proof. Since $\vdash_{IR\Sigma_1^b\text{-NIA}} \forall x. \exists! y. F(x, y)$, by Theorem 15 $\vdash_{IPOR^\lambda} \forall x. \exists! y. F(x, y)$. Then, from $\vdash_{IPOR^\lambda} \forall x. \exists y. F(x, y)$, we deduce $\vdash_{IPOR^\lambda} \forall x. F(x, gx)$ for some closed term $g \in \mathcal{POR}^\lambda$, by Corollary 6. Furthermore, by Theorem 13.2, there is a $g \in \mathcal{POR}$ such that for any $\sigma_1, \sigma_2 \in \mathbb{S}$ and $\omega \in \mathbb{O}$, $g(\sigma_1, \omega) = \sigma_2$, when $T_\omega \vdash_{IPOR^\lambda} g\overline{\sigma_1} = \overline{\sigma_2}$. So, by Proposition 3, for any $\sigma_1, \sigma_2 \in \mathbb{S}$ and $\omega \in \mathbb{O}$ if $g(\sigma_1, \omega) = \sigma_2$, then $T_\omega \vdash_{IPOR^\lambda} g\overline{\sigma_1} = \overline{\sigma_2}$ and so $T_\omega \vdash_{IPOR^\lambda} F(\overline{\sigma_1}, \overline{\sigma_2})$. By Lemma 16, $T_\omega \vdash_{IPOR^\lambda} F(\overline{\sigma_1}, \overline{\sigma_2})$, when $\omega \in \llbracket F(\overline{\sigma_1}, \overline{\sigma_2}) \rrbracket$, that is $f(\sigma_1, \omega) = \sigma_2$. But then $f = g$, so since $g \in \mathcal{POR}$ also $f \in \mathcal{POR}$. \blacktriangleleft

$\forall \mathbf{NP}$ -Conservativity of $IPOR^\lambda + EM$ over $IPOR^\lambda$. Corollary 6 is already close to the result we are looking for. The remaining step to conclude our proof is its extension from intuitionistic $IR\Sigma_1^b$ -NIA to classical $R\Sigma_1^b$ -NIA, showing that any function which is Σ_1^b -representable in $R\Sigma_1^b$ -NIA is also in \mathcal{POR} . The proof adapts method by [12]. We start by considering an extension of $IPOR^\lambda$ via EM and show that the realizability interpretation extends to it so that for any of its closed theorems $\forall x. \exists y. \preceq \mathbf{t}. F(x, y)$, being F a Σ_1^b -formula, there is a closed term $\mathbf{t} : s \Rightarrow s$ of \mathcal{POR}^λ such that $\vdash_{IPOR^\lambda} \forall x. F(x, \mathbf{t}x)$.

Let EM be the excluded-middle schema $F \vee \neg F$, and Markov's principle be defined as follows $\neg\neg(\exists x. F \rightarrow (\text{exists } x)F)$ where F is a Σ_1^b -formula.

► **Proposition 5.** For any Σ_1^b -formula F , if $\vdash_{IPOR^\lambda + EM} F$, then $\vdash_{IPOR^\lambda + (\text{Markov})} F$.

Proof Sketch. The proof is by double negation translation with the following two remarks:
 1. for any Σ_0^b -formula F , $\vdash_{IPOR^\lambda} \neg\neg F \rightarrow F$; 2. using (Markov), the double negation of an instance of the \mathbf{NP} -induction can be shown equivalent the \mathbf{NP} -induction schema. \blacktriangleleft

We conclude by that the realizability interpretation defined above extends to $IPOR^\lambda + (\text{Markov})$, that is for any closed theorem $\forall x. \exists y. \preceq \mathbf{t}. F(x, y)$ with F Σ_1^b -formula of $IPOR^\lambda + (\text{Markov})$ there is a closed term of \mathcal{POR}^λ $\mathbf{t} : s \Rightarrow s$ such that $\vdash_{IPOR^\lambda} \forall x. F(x, \mathbf{t}x)$.

Let assume given an encoding $\sharp : (s \Rightarrow s) \Rightarrow s$ in $IPOR^\lambda$ of first-order unary functions as strings, together with a “decoding” function $\mathbf{app} : s \Rightarrow s \Rightarrow s$ satisfying $\vdash_{IPOR^\lambda} \mathbf{app}(\sharp f, x) = fx$. Moreover, let $x * y := \sharp(\lambda z. \mathbf{BAnd}(\mathbf{app}(x, z), \mathbf{app}(y, z)))$ and $T(x) := \exists y. (\mathbf{B}(\mathbf{app}(x, y)) = 0)$. There is a *meet semi-lattice* structure on the set of terms of type s defined by $\mathbf{t} \sqsubseteq \mathbf{u}$ when $\vdash_{IPOR^\lambda} T(\mathbf{u}) \rightarrow T(\mathbf{t})$ with top element $\mathbf{1} := \sharp(\lambda x. \mathbf{1})$ and meet given by $x * y$. Indeed, from $T(x * \mathbf{1}) \leftrightarrow T(x)$, $x \sqsubseteq \mathbf{1}$ follows. Moreover, from $\mathbf{B}(\mathbf{app}(x, \mathbf{u})) = 0$, we obtain $\mathbf{B}(\mathbf{app}(x * y, \mathbf{u})) = \mathbf{BAnd}(\mathbf{app}(x, \mathbf{u}), \mathbf{app}(y, \mathbf{u})) = 0$, whence $T(x) \rightarrow T(x * y)$, i.e. $x * y \sqsubseteq x$. One can similarly prove $x * y \sqsubseteq y$. Finally, from $T(x) \rightarrow T(y)$ and $T(y) \rightarrow T(v)$, we deduce $T(x * y) \rightarrow T(v)$, by observing that $\vdash_{IPOR^\lambda} T(x * y) \rightarrow T(y)$. Notice that the formula $T(x)$ is *not* a Σ^b -one, as its existential quantifier is not bounded.

1061 ► **Definition 19.** For any $IPOR^\lambda$ -formula F and fresh variable x , we define formulas $x \Vdash F$:

$$1062 \quad x \Vdash F := F \vee T(x) \quad (F \text{ atomic})$$

$$1063 \quad x \Vdash G \wedge H := x \Vdash G \wedge x \Vdash H$$

$$1064 \quad x \Vdash G \vee H := x \Vdash G \vee x \Vdash H$$

$$1065 \quad x \Vdash G \rightarrow H := \forall y.(y \Vdash G \rightarrow x * y \Vdash H)$$

$$1066 \quad x \Vdash \exists y.G := \exists y.x \Vdash G$$

$$1067 \quad x \Vdash \forall y.G := \forall y.x \Vdash G.$$

1069 ► **Lemma 19.** *If F is provable in $IPOR^\lambda$ without using **NP**-induction, then $x \Vdash F$ is*
 1070 *provable in $IPOR^\lambda$.*

1071 **Proof Sketch.** By induction on the structure of formulas of $IPOR^\lambda$ as in [14]. ◀

1072 ► **Lemma 20.** *Let $F = \exists x \preceq t.G$, where F is a Σ_0^b -formula. Then, there is a term $u_F : s$*
 1073 *with $FV(u_F) = FV(G)$ such that $\vdash_{IPOR^\lambda} F \leftrightarrow T(u_F)$.*

1074 **Proof.** Since $G(x)$ is a Σ_0^b -formula, for all terms $u : s$, $\vdash_{IPOR^\lambda} G(x) \leftrightarrow u_{x \preceq t \wedge G}(x) = 0$, where
 1075 $t_{x \preceq t \wedge G}$ has the free variables of t and G . Let $H(x)$ be a Σ_0^b -formula, it is shown by induction
 1076 on its structure that for any term $v : s$, $t_{H(v)} = t_H(v)$. Then, $\vdash_{IPOR^\lambda} F \leftrightarrow \exists x.t_{x \preceq u \wedge G}(x) =$
 1077 $0 \leftrightarrow \exists x.T(\#(\lambda x.t_{x \preceq u \wedge G}(x)))$. So, we let $u_F = \#(\lambda x.t_{x \preceq u \wedge G}(x))$. ◀

1078 From which we deduce the following three properties: i. $\vdash_{IPOR^\lambda} (x \Vdash F) \leftrightarrow (F \vee T(x))$;
 1079 ii. $\vdash_{IPOR^\lambda} (x \Vdash F) \leftrightarrow (F \rightarrow T(x))$; iii. $\vdash_{IPOR^\lambda} (x \Vdash \neg F) \leftrightarrow (F \vee T(x))$, where F is a
 1080 Σ_1^b -formula.

1081 ► **Corollary 7** (Markov's Principle). If F is a Σ_1^b -formula, then $\vdash_{IPOR^\lambda} x \Vdash \neg F \rightarrow F$.

To define the extension $(IPOR^\lambda)^*$ of $IPOR^\lambda$, we introduce $PIND(F)$ as:

$$(F(\epsilon) \wedge (\forall x.(F(x) \rightarrow F(x0)) \wedge \forall x.(F(x) \rightarrow F(x1)))) \rightarrow \forall x.F(x).$$

1082 Observe that if $F(x)$ is a formula of the form $\exists y \preceq t.u = v$, then $z \Vdash PIND(F)$ is of the form
 1083 $PIND(F(x) \vee T(z))$, which is *not* an instance of the **NP**-induction schema.

1084 ► **Definition 20.** Let $(IPOR^\lambda)^*$ be the theory extending $IPOR^\lambda$ with all instances of the
 1085 induction schema $PIND(F(x) \vee G)$, where $F(x)$ is of the form $\exists y \preceq t.u = v$, and G is an
 1086 arbitrary formula with $x \notin FV(G)$.

1087 The following Proposition relates derivability in $IPOR^\lambda$ and in $(IPOR^\lambda)^*$.

1088 ► **Proposition 6.** For any Σ_1^b -formula F , if $\vdash_{IPOR^\lambda} F$, then $\vdash_{(IPOR^\lambda)^*} x \Vdash F$.

1089 Finally, we extend realizability to $(IPOR^\lambda)^*$ by constructing a realizer for $PIND(F(x) \vee G)$.

1090 ► **Lemma 21.** *Let $F(x) : \exists y \preceq t.u = 0$ and G be any formula not containing free occurrences*
 1091 *of x . Then, there exist terms t such that $\vdash_{IPOR^\lambda} t \mathbb{R} PIND(F(x) \vee G)$.*

1092 So, by Theorem 17, for any Σ_1^b -formula F and formula G , with $x \notin FV(F)$, \vdash_{IPOR^λ}
 1093 $PIND(F(x) \vee G)$.

1094 ► **Corollary 8** (\forall NP-Conservativity). Let F be a Σ_1^b -formula. If $\vdash_{IPOR^\lambda + EM} \forall x.\exists y \preceq$
 1095 $t.F(x, y)$, then $\vdash_{IPOR^\lambda} \forall x.\exists y \preceq t.F(x, y)$.

1096 We conclude the proof establishing the following Proposition 7.

1097 ► **Proposition 7.** Let $\forall x.\exists y \preceq t.F(x, y)$ be a closed term of $IPOR^\lambda + (\text{Markov})$, where F is a
1098 Σ_1^b -formula. Then, there is a closed term of POR^λ $t : s \Rightarrow s$ such that $\vdash_{IPOR^\lambda} \forall x.F(x, tx)$.

1099 **Proof.** If $\vdash_{IPOR^\lambda + (\text{Markov})} \forall x.\exists y.F(x, y)$, then by Proposition 2, also $\vdash_{IPOR^\lambda + (\text{Markov})}$
1100 $\exists y \preceq t.F(x, y)$. Moreover, $\vdash_{(IPOR^\lambda)^*} z \Vdash \exists y \preceq t.F(x, y)$. Then, let us consider $G =$
1101 $\exists y \preceq t.F(x, y)$. Taking $v = u_G$, by Lemma 20, we deduce $\vdash_{(IPOR^\lambda)^*} G$ and, thus, by
1102 Lemma 19 and 21, we conclude that there exist t, u such that $\vdash_{IPOR^\lambda} t, u \textcircled{R} G$, which
1103 implies $\vdash_{IPOR^\lambda} F(x, tx)$ and so $\vdash_{IPOR^\lambda} \forall x.(F(x), tx)$. ◀

1104 So, by Proposition 5, if $\vdash_{IPOR^\lambda + EM} \forall x.\exists y \preceq t.F(x, y)$, being F a closed Σ_1^b -formula,
1105 then there is a closed term of POR $t : s \Rightarrow s$ such that $\vdash_{IPOR^\lambda} \forall x.F(x, tx)$. Finally, we
1106 conclude the desired Corollary 9.

1107 ► **Corollary 9.** Let $R\Sigma_1^b\text{-NIA} \vdash \forall x.\exists y \preceq t.F(x, y)$, where F is a Σ_1^b -formula with only x, y
1108 free. For any $f : \mathbb{S} \times \mathbb{O} \rightarrow \mathbb{S}$, if $\forall x.\exists y \preceq t.F(x, y)$ represents f so that:

- 1109 1. $R\Sigma_1^b\text{-NIA} \vdash \forall x.\exists! y.F(x, y)$
- 1110 2. $\llbracket F(\overline{\sigma_1}, \overline{\sigma_2}) \rrbracket = \{\omega \mid f(\sigma_1, \omega) = \sigma_2\}$,
- 1111 then $f \in POR$.

1112 **B Proofs from Section 3**

1113 **B.1 From RFP to POR**

1114 The goal of this section is to establish a correspondence between **RFP** and POR . This passes
1115 through Proposition 8, which assesses the equivalence between **RFP** and the intermediate
1116 class **SFP** and Proposition 9, which concludes the proof showing the equivalence between
1117 POR and **SFP**. To establish rigorously the results mentioned above, we fix some definitions.
1118 We start with those of STMs and their configurations:

1119 ► **Definition 21** (Stream Turing Machine). A *stream Turing machine* is a quadruple $M :=$
1120 $\langle \mathcal{Q}, q_0, \Sigma, \delta \rangle$, where:

- 1121 ■ \mathcal{Q} is a finite set of states ranged over by q_i and similar meta-variables;
- 1122 ■ $q_0 \in \mathcal{Q}$ is an initial state;
- 1123 ■ Σ is a finite set of characters ranged over by c_i et simila;
- 1124 ■ $\delta : \hat{\Sigma} \times \mathcal{Q} \times \hat{\Sigma} \times \mathbb{B} \longrightarrow \hat{\Sigma} \times \mathcal{Q} \times \hat{\Sigma} \times \{L, R\}$ is a transition function describing the new
1125 configuration reached by the machine.
- 1126 L and R are two fixed and distinct symbols, e.g. **0** and **1**, $\hat{\Sigma} = \Sigma \cup \{\otimes\}$ and \otimes represents the
1127 blank character, such that $\otimes \notin \Sigma$. Without loss of generality, in the following, we will use
1128 STMs with $\Sigma = \mathbb{B}$.

1129 ► **Definition 22** (Configuration of STM). The *configuration of an STM* is a quadruple
1130 $\langle \sigma, q, \tau, \eta \rangle$, where:

- 1131 ■ $\sigma \in \{\mathbf{0}, \mathbf{1}, \otimes\}^*$ is the portion of the work tape on the left of the head;
- 1132 ■ $q \in \mathcal{Q}$ is the current state of the machine;
- 1133 ■ $\tau \in \{\mathbf{0}, \mathbf{1}, \otimes\}^*$ is the portion of the work tape on the right of the head;
- 1134 ■ $\eta \in \mathbb{B}^\mathbb{N}$ is the portion of the oracle tape that has not been read yet.

1135 Thus, we give the definition of the family of reachability relations for STM machines.

1136 ► **Definition 23** (Stream Machine Reachability Functions). Given an STM \mathcal{S} with transition
 1137 function δ , we denote with \vdash_δ its standard step function and we call $\{\triangleright_{\mathcal{S}}^n\}_n$ the smallest
 1138 family of relations for which:

$$1139 \quad \langle \sigma, q, \tau, \eta \rangle \triangleright_M^0 \langle \sigma, q, \tau, \eta \rangle$$

$$1140 \quad \left(\langle \sigma, q, \tau, \eta \rangle \triangleright_M^n \langle \sigma', q', \tau', \eta' \rangle \right) \wedge \left(\langle \sigma', q', \tau', \eta' \rangle \vdash_\delta \langle \sigma'', q', \tau'', \eta'' \rangle \right) \rightarrow \left(\langle \sigma, q, \tau, \eta \rangle \triangleright_M^{n+1} \langle \sigma'', q', \tau'', \eta'' \rangle \right)$$

1141 ► **Definition 24** (STM Computation). Given an STM, $\mathcal{S} = \langle \mathcal{Q}, q_0, \Sigma, \delta \rangle$, $\eta : \mathbb{N} \rightarrow \mathbb{B}$ and a
 1142 function $g : \mathbb{N} \rightarrow \mathbb{B}$, we say that \mathcal{S} *computes* g , written $f_{\mathcal{S}} = g$ iff for every string $\sigma \in \mathbb{S}$, and
 1143 oracle tape $\eta \in \mathbb{B}^{\mathbb{N}}$, there are $n \in \mathbb{N}$, $\tau \in \mathbb{S}$, $q' \in \mathcal{Q}$, and a function $\psi : \mathbb{N} \rightarrow \mathbb{B}$ such that:

$$\langle \epsilon, q_0, \sigma, \eta \rangle \triangleright_{\mathcal{S}}^n \langle \gamma, q', \tau, \psi \rangle,$$

1142 and $\langle \gamma, q', \tau, \psi \rangle$ is a final configuration for \mathcal{S} with $f_{\mathcal{S}}(\sigma, \eta)$ being the longest suffix of γ not
 1143 including \oplus .

1144 Similar notations are employed for all the families of Turing-like machines we define in
 1145 this paper. However, PTMs are an exception since they compute distributions over \mathbb{S} instead
 1146 of functions $\mathbb{S} \times \mathbb{O} \rightarrow \mathbb{S}$.

1147 ► **Definition 25** (Probabilistic Turing Machines). A Probabilistic Turing Machine (PTM) is a
 1148 TM with two transition functions δ_0 and δ_1 at each step of the computation, δ_0 is applied
 1149 with probability $\frac{1}{2}$, otherwise δ_1 is applied. Given a PTM \mathcal{M} , a configuration $\langle \sigma, q, \tau \rangle$, we
 1150 define its semantics on configurations $\langle \sigma, q, \tau \rangle$ as the following *sequence of random variables*:

$$1151 \quad \forall \eta \in \mathbb{B}^{\mathbb{N}}. X_{\mathcal{M},0}^{\langle \sigma, q, \tau \rangle} := \eta \mapsto \langle \sigma, q, \tau \rangle$$

$$1152 \quad \forall \eta \in \mathbb{B}^{\mathbb{N}}. X_{\mathcal{M},n+1}^{\langle \sigma, q, \tau \rangle} := \eta \mapsto \begin{cases} \delta_{\mathbf{b}}(X_{\mathcal{M},n}^{\langle \sigma, q, \tau \rangle}(\eta)) & \text{if } \eta(n) = \mathbf{b} \wedge \exists \langle \sigma', q', \tau' \rangle. \delta_{\mathbf{b}}(X_{\mathcal{M},n}^{\langle \sigma, q, \tau \rangle}(\eta)) = \langle \sigma', q', \tau' \rangle \\ X_{\mathcal{M},n}^{\langle \sigma, q, \tau \rangle}(\eta) & \text{if } \eta(n) = \mathbf{b} \wedge \neg \exists \langle \sigma', q', \tau' \rangle. \delta_{\mathbf{b}}(X_{\mathcal{M},n}^{\langle \sigma, q, \tau \rangle}(\eta)) = \langle \sigma', q', \tau' \rangle \end{cases}$$

1154 Intuitively, the variable $X_{\mathcal{M},n}^{\langle \sigma, q, \tau \rangle}$ maps each possible cylinder $\eta : \mathbb{N} \rightarrow \mathbb{B}$ to the configuration
 1155 reached by the machine after exactly n transitions where the first transition step has employed
 1156 $\delta_{\eta(0)}$, the second has employed $\delta_{\eta(1)}$ and so on. We say that a PTM \mathcal{M} computes $Y_{\mathcal{M},\sigma}$ iff
 1157 $\exists t \in \mathbb{N}. \forall \sigma. X_{\mathcal{M},t}^{\langle \sigma, q_0, \tau \rangle}$ is final. In such case, for every η , $Y_{\mathcal{M},\sigma}(\eta)$ is the longest suffix of the
 1158 leftmost element in $X_{\mathcal{M},t}^{\langle \sigma, q_0, \epsilon \rangle}(\eta)$, which does not contain \oplus .

1159 We start with the proof of the equivalence between the class of the PTM's polytime
 1160 functions and that of the STMs' polytime ones.

► **Proposition 8.** For any poly-time STM \mathcal{S} there is a polytime PTM \mathcal{M} such that for every
 $\sigma \tau \in \mathbb{S}$:

$$\mu(\{\omega \in \mathbb{O} \mid N(\sigma\omega) = \tau\}) = \Pr[M(\sigma) = \tau]$$

1161 and viceversa.

1162 **Proof.** The claim can be restated as follows:

$$1163 \quad \forall \sigma, \tau. \mu(\{\eta \in \mathbb{B}^{\mathbb{N}} \mid N(\sigma, \eta) = \tau\}) = \mu(M(\sigma)^{-1}(\tau))$$

$$1164 \quad \forall \sigma, \tau. \mu(\{\eta \in \mathbb{B}^{\mathbb{N}} \mid N(\sigma, \eta) = \tau\}) = \mu(\{\eta \in \mathbb{B}^{\mathbb{N}} \mid Y_{M,\sigma}(\eta) = \tau\}).$$

1166 Actually, we will show a stronger result: there is bijection $I : \text{STMs} \rightarrow \text{PTM}$ such that:

$$1167 \quad \forall n \in \mathbb{N}. \{\eta \in \mathbb{B}^{\mathbb{N}} \mid \langle \sigma, q_0, \tau, \eta \rangle \triangleright_{\mathcal{S}}^n \langle \tau, q, \psi, n \rangle\} = \{\eta \in \mathbb{B}^{\mathbb{N}} \mid X_{I(N),n}^{\langle \epsilon, q_0, \sigma \rangle}(\eta) = \langle \tau, q, \psi \rangle\} \quad (3)$$

1168 which entails:

$$1169 \quad \{\eta \in \mathbb{B}^{\mathbb{N}} \mid N(\sigma, \eta) = \tau\} = \{\eta \in \mathbb{B}^{\mathbb{N}} \mid Y_{I(N),\sigma}(\eta) = \tau\}. \quad (4)$$

$$\begin{array}{ccccc}
c := \langle \sigma, q, \tau, y \rangle & \xrightarrow{\quad \quad \quad} & \vdash_\delta & \xrightarrow{\quad \quad \quad} & d := \langle \sigma, q, \tau, y \rangle \\
\downarrow e_c & & & & \downarrow e_c \\
s_c \in \mathbb{S} & \xrightarrow{\quad \quad \quad} & \forall \omega. \text{step}(s_c, e_t(\delta), \omega) = s_d & \xrightarrow{\quad \quad \quad} & s_d \in \mathbb{S}
\end{array}$$

■ **Figure 1** Behavior of the function *step*.

1170 For this reason, it suffices to construct I and prove that (3) holds. I splits the function δ
 1171 of N in such a way that transition is assigned to δ_0 if it matches the character $\mathbf{0}$ on the
 1172 oracle-tape, otherwise it is assigned to δ_1 . Observe that I is bijective, indeed, its inverse is a
 1173 function as well, because it consists in a disjoint union. Claim (3) can be shown by induction
 1174 on the number of steps required by N to compute its output value, the proof is standard, so
 1175 we omit it.

1176

► **Proposition 9.** For every $f : \mathbb{S} \times \mathbb{B}^{\mathbb{N}} \longrightarrow \mathbb{S}$ in **SFP**, there is a function $g : \mathbb{S} \times \mathbb{O} \longrightarrow \mathbb{S}$ in **POR** such that:

$$\forall x, y \in \mathbb{S}. \mu(\{\omega \in \mathbb{O} | g(x, \omega) = y\}) = \mu(\{\eta \in \mathbb{B}^{\mathbb{N}} | f(x, \eta) = y\}).$$

1177 To prove the correspondence between the class of polytime STM computable function
 1178 and **POR**, we pass through the class of *finite-stream* TMs. These machines are defined
 1179 analogously to STMs, but instead of an infinite stream of bits η , they employ a finite sequence
 1180 of random bits as additional argument.

► **Lemma 22.** For each $f \in \mathbf{SFP}$ with time-bound $p \in \mathbf{POLY}$, there is a polytime finite-stream
 TM computable function h such that for any $\eta \in \mathbb{B}^{\mathbb{N}}$ and $x, y \in \mathbb{S}$,

$$f(x, \eta) = h(x, \eta_{p(|x|)}).$$

Proof. Assume that $f \in \mathbf{SFP}$. For this reason there is a polytime STM, $\mathbb{S} = \langle \mathcal{Q}, q_0, \Sigma, \delta \rangle$,
 such that $f = f_{\mathbb{S}}$. Take the *Finite Stream Turing Machine* (FSTM) \mathbb{S}' which is defined
 identically to \mathbb{S} . It holds that for any $k \in \mathbb{N}$ and some $\sigma, \tau, y' \in \mathbb{S}$,

$$\langle \epsilon, q'_0, x, y \rangle \triangleright_{\mathbb{S}}^k \langle \sigma, q, \tau, y' \rangle \Leftrightarrow \langle \epsilon, q'_0, x, y\eta \rangle \triangleright_{\mathbb{S}'}^k \langle \sigma, q, \tau, y'\eta \rangle.$$

1181 Moreover, \mathbb{S}' requires a number of steps which is exactly equal to the number of steps required
 1182 by \mathbb{S} , and thus the complexity is preserved. We conclude the proof defining $h = f_{\mathbb{S}'}$. ◀

1183 The next step is to show that each polytime FSTM computable function f corresponds
 1184 to a function $g : \mathbb{S} \times \mathbb{S} \times \mathbb{O} \longrightarrow \mathbb{S}$ of **POR** which can be defined without recurring to Q .

1185 ► **Lemma 23.** For any polytime FSTM computable function f and $x \in \mathbb{S}$, there is $g \in \mathbf{POR}$
 1186 such that $\forall x, y, \omega. f(x, y) = g(x, y, \omega)$. Moreover, if f is defined without recurring to Q , g can
 1187 be defined without Q as well.

1188 A formal proof of Lemma 23 requires too much effort to be done extensively. In this
 1189 work, we will simply mention the high-level structure of the proof. It relies on the following
 1190 observations:

- 1191 1. It is possible to encode FSTMs, together with configurations and their transition functions
 1192 using strings, call these encodings $e_c \in \mathcal{POR}$ and e_t . Moreover, there is a function
 1193 $step \in \mathcal{POR}$ which satisfies the simulation schema of Figure 1. The proof of this result
 1194 is done by explicit definition of the functions e_c , e_t and $step$, proving the correctness of
 1195 these entities with respect to the simulation schema above.
- 1196 2. For each $f \in \mathcal{POR}$ and $x, y \in \mathbb{S}$, if there is a term $t(x)$ in \mathcal{RL} which bounds the size of
 1197 $f(x, \omega)$ for each possible input, then the function $m(z, x, \omega) = f^{|z|}(x, \omega)$ is in \mathcal{POR} as
 1198 well, moreover, if f is defined without recurring to Q , also m can be defined without
 1199 recurring to Q . This is shown in Lemma 24.
- 1200 3. Fixed a machine M , if $\sigma \in \mathbb{S}$ is a correct encoding of a configuration of M , for every ω , it
 1201 holds that $|step(\sigma, \omega)| \leq |\sigma| + c$, for $c \in \mathbb{N}$ fixed once and for all.
- 1202 4. If $c := e_c(\sigma, q, \tau, y, \omega)$ for some omega, then there is a function $dectape$ such that $\forall \omega \in$
 1203 $\mathbb{O}.dectape(x, \omega)$ is the longest suffix without occurrences of \otimes of σ .

► **Lemma 24.** *For each $f : \mathbb{S}^{k+1} \times \mathbb{O} \rightarrow \mathbb{S} \in \mathcal{POR}$, if there is a term $t \in \mathcal{RL}$ such that
 $\forall x, \vec{z}, \omega. f(x, \vec{z}, \omega)|_t = f(x, \vec{z}, \omega)$ then there is also a function $sa_{f,t} : \mathbb{S}^{k+2} \times \mathbb{O} \rightarrow \mathbb{S}$ such that:*

$$\forall x, n \in \mathbb{S}, \omega \in \mathbb{O}. sa_{f,t}(x, n, \vec{z}, \omega) = \underbrace{f(f(f(x, \vec{z}, \omega), \vec{z}, \omega), \dots))}_{|n| \text{ times}}.$$

1204 Moreover, if f is defined without recurring to Q , $sa_{f,t}$ can be defined without Q as well.

1205 **Proof.** Given $f \in \mathcal{POR}$ and $t \in \mathcal{L}_{\mathbb{PW}}$, let $sa_{f,t}$ be defined as follows:

$$\begin{aligned} 1206 \quad sa_{f,t}(x, \epsilon, \vec{z}, \omega) &:= x \\ 1207 \quad sa_{f,t}(x, y\mathbf{b}, \vec{z}, \omega) &:= f(sa_{f,t}(x, y, \omega), \vec{z}, \omega)|_t \end{aligned}$$

1209 The correctness of sa comes as a direct consequence of its definition by induction on n . ◀

1210 Combining these results, we are able to prove Lemma 23

Proof of Lemma 23 (Sketch). As a consequence of points (2) and (3), we obtain that:
 $k(x, n, y, \omega) = step^{|n|}(x, y, \omega)$ belongs to \mathcal{POR} and can be defined without recurring to Q .
 As a consequence of (1) we have that:

$$k'(x, y, \omega) := k(e_c(x, q_0, \epsilon, y, \omega), y, \omega)$$

belongs to \mathcal{POR} as well and can be defined without recurring to Q . Finally, as a consequence
 of (4) and \mathcal{POR} 's closure under composition, there is a function g which returns the longest
 prefix of the leftmost projection of the output of k' . This function is exactly:

$$g(x, y, \omega) := dectape(k'(x, y, \omega), \omega).$$

1211 ◀

1212 As another consequence of Lemma 23, we show the result we were aiming to: each function
 1213 $f \in \mathbf{SFP}$ can be simulated by a function in $g \in \mathcal{POR}$, using as an additional input a
 1214 polynomial prefix of f 's oracle.

► **Corollary 10.** For each $f \in \mathbf{SFP}$ and polynomial time-bound $p \in \mathbf{POLY}$, there is a function
 $g \in \mathcal{POR}$ such that for any $\eta : \mathbb{N} \rightarrow \mathbb{B}$, $\omega : \mathbb{N} \rightarrow \mathbb{B}$ and $x \in \mathbb{S}$,

$$f(x, \eta) = g(x, \eta_{p(|x|)}, \omega).$$

Now, we need to establish that there is a function $e \in \mathcal{POR}$ which produces strings with the same distribution of the prefixes of the functions in $\mathbb{S}^{\mathbb{N}}$. Intuitively, this function is very simple: it extracts $|x| + 1$ bits from ω and concatenates them in its output. The definition of the function e passes through a bijection $dyad : \mathbb{N} \rightarrow \mathbb{S}$, called *dyadic representation* of a natural number. Thus, the function e can simply create the strings $\mathbf{1}^0, \mathbf{1}^1, \dots, \mathbf{1}^k$, and sample the function ω on the coordinates $dy(\mathbf{1}^0), dy(\mathbf{1}^1), \dots, dy(\mathbf{1}^k)$, concatenating the result in a string.

► **Definition 26.** The function $dyad : \mathbb{N} \rightarrow \mathbb{S}$ associates each $n \in \mathbb{N}$ to the string obtained stripping the left-most bit from the binary representation of $n + 1$.

► **Remark 25.** There is a \mathcal{POR} function $dy : \mathbb{S} \times \mathbb{O} \rightarrow \mathbb{S}$ such that $\forall \sigma \in \mathbb{S}. \forall \omega \in \mathbb{O}. dy(\sigma, \omega) = dyad(\mathbf{1}^{|\sigma|})$.

The construction of this function is not much interesting to the aim of our proof, so we omit it.

► **Definition 27.** Let $e : \mathbb{S} \times \mathbb{O} \rightarrow \mathbb{S}$ be defined as follows:

$$\begin{aligned} e(\epsilon, \omega) &= \epsilon; \\ e(x\mathbf{b}, \omega) &= e(x, \omega)Q(dy(x, \omega), \omega)|_{x\mathbf{b}}. \end{aligned}$$

► **Lemma 26** (Correctness of e). *For any $\sigma \in \mathbb{S}$ and $i \in \mathbb{N}$, if $|\sigma| = i + 1$, for any $j \leq i \in \mathbb{N}$ and $\omega \in \mathbb{O}$, (i) $e(\sigma, \omega)(j) = \omega(dy(\mathbf{1}^j, \omega))$ and (ii) the length of $e(\sigma, \omega)$ is exactly $i + 1$.*

Proof. Both claims are proved by induction on σ . The latter is trivial, whereas the former requires some more effort:

► **Claim 1.** The claim comes from vacuity of the premise $|\sigma| = i + 1$.
 ► **Claim 2.** It holds that: $e(\tau\mathbf{b}, \omega)(j) = e(\tau, \omega)Q(dy(\tau, \omega), \omega) = e(\tau, \omega)\omega(dy(\tau, \omega))$. By (ii), for $j = i + 1$, the j -th element of $e(\tau\mathbf{b}, \omega)$ is exactly $Q(dy(\tau, \omega), \omega)$, which is equal to $\omega(dy(\tau, \omega))$, in turn equal to $\omega(dy(\mathbf{1}^j, \omega))$ (by Remark 25). For smaller values of j , the first claim is a consequence of the definition of e together with IH.

► **Definition 28.** We define \sim_{dy} as the smallest relation in $\mathbb{O} \times \mathbb{B}^{\mathbb{N}}$ such that:

$$\eta \sim_{dy} \omega \leftrightarrow \forall n \in \mathbb{N}. \eta(n) = \omega(dy(\mathbf{1}^{n+1}, \omega)).$$

► **Lemma 27.** *It holds that:*

- $\forall \eta \in \mathbb{B}^{\mathbb{N}}. \exists! \omega \in \mathbb{O}. \eta \sim_{dy} \omega;$
- $\forall \omega \in \mathbb{O}. \exists! \eta \in \mathbb{B}^{\mathbb{N}}. \eta \sim_{dy} \omega.$

Proof. The proofs of the two claims are very similar. Since $dyad$ is a bijection, applying Remark 25, we obtain the existence of an ω which is in relation with η . Now suppose that there are ω_1, ω_2 both in relation with η but they are different. Then, there is a $\sigma \in \mathbb{S}$, such that $\omega_1(\sigma) \neq \omega_2(\sigma)$ and, by Remark 25, $dy(\sigma, \omega_1) = dy(\sigma, \omega_2)$ which entails that $\eta(k) \neq \eta(k)$ for some k , that is a contradiction.

► **Corollary 11.** The relation \sim_{dy} is a bijection.

Proof. Consequence of Lemma 27.

► **Lemma 28.**

$$\eta \sim_{dy} \omega \rightarrow \forall n \in \mathbb{N}. \eta_n = e(\mathbf{1}^{n+1}, \omega).$$

1252 **Proof.** By contraposition: suppose $\eta_n \neq e(\underline{n}_N, \omega)$. As a consequence of the correctness of e
 1253 (Lemma 26), there is an $i \in \mathbb{N}$ such that $\eta(i) \neq \omega(dy(\underline{i}_N, \omega))$, which is a contradiction. ◀

1254 We can finally conclude the proof of Proposition 9.

1255 **Proof of Proposition 9.** From Corollary 10, we know that there is a function $f' \in \mathcal{POR}$,
 1256 and a $p \in \text{POLY}$ such that:

$$1257 \quad \forall x, y \in \mathbb{S}. \forall \eta. \forall \omega. y = \eta_{p(x)} \rightarrow f(x, \eta) = f'(x, y, \omega). \quad (*)$$

1258 Fixed an $\bar{\eta} \in \{\eta \in \mathbb{B}^{\mathbb{N}} \mid f(x, \eta) = y\}$, its image with respect to \sim_{dy} is in $\{\omega \in \mathbb{O} \mid$
 1259 $f'(x, e(p'(s(x, \omega), \omega), \omega), \omega) = y\}$, where s is the \mathcal{POR} -function computing $\mathbf{1}^{|x|}$. Indeed, by
 1260 Lemma 28, it holds that $\bar{\eta}_{p(x)} = e(p(\text{size}(x, \omega), \omega))$, where p' is the \mathcal{POR} -function computing
 1261 the polynomial p , defined without recurring to Q . By $(*)$, we prove the claim. It also holds
 1262 that, given a fixed $\bar{\omega} \in \{\omega \in \mathbb{O} \mid f'(x, e(p'(\text{size}(x, \omega), \omega), \omega), \omega) = y\}$, its pre-image with
 1263 respect to \sim_{dy} is in $\{\eta \in \mathbb{B}^{\mathbb{N}} \mid f(x, \eta) = y\}$. The proof is analogous to the one we showed
 1264 above. Now, since \sim_{dy} is a bijection between the two sets: $\mu(\{\eta \in \mathbb{B}^{\mathbb{N}} \mid f(x, \eta) = y\}) =$
 1265 $\mu(\{\omega \in \mathbb{O} \mid f'(x, e(p(\text{size}(x, \omega), \omega), \omega), \omega) = y\})$, which concludes the proof. ◀

1266 B.2 From \mathcal{POR} to SFP

1267 We start defining the imperative language **SIFP_{RA}** and proving its polytime programs
 1268 equivalent to \mathcal{POR} . To do so, we first introduce the definition of **SIFP_{RA}** and its *big-step*
 1269 semantics.

1270 ► **Definition 29** (Correct programs of **SIFP_{RA}**). The language of **SIFP_{RA}** programs is
 1271 $\mathcal{L}(\text{Stm}_{\text{RA}})$, i.e. the set of strings produced by the non-terminal symbol **Stm_{RA}** defined by:

$$\begin{aligned} 1272 \quad \text{Id} &::= X_i \mid Y_i \mid S_i \mid R \mid Q \mid Z \mid T \quad i \in \mathbb{N} \\ 1273 \quad \text{Exp} &::= \epsilon \mid \text{Exp}.0 \mid \text{Exp}.1 \mid \text{Id} \mid \text{Exp} \sqsubseteq \text{Id} \mid \text{Exp} \wedge \text{Id} \mid \neg \text{Exp} \\ 1274 \quad \text{Stm}_{\text{RA}} &::= \text{Id} \leftarrow \text{Exp} \mid \text{Stm}_{\text{RA}}; \text{Stm}_{\text{RA}} \mid \text{while}(\text{Exp})\{\text{Stm}\}_{\text{RA}} \mid \text{Flip}(\text{Exp}) \end{aligned}$$

1276 The *big-step* semantics associated to the language of the **SIFP_{RA}** programs relies on the
 1277 notion of *Store*, which for us is a function $\Sigma : \text{Id} \rightarrow \{0, 1\}^*$.

1278 We define the updating of a store Σ with a mapping from $y \in \text{Id}$ to $\tau \in \{0, 1\}^*$ as:

$$1279 \quad \Sigma[y \leftarrow \tau](x) := \begin{cases} \tau & \text{if } x = y \\ \Sigma(x) & \text{otherwise.} \end{cases}$$

1280 ► **Definition 30** (Semantics of **SIFP** expressions). The semantics of an expression $E \in \mathcal{L}(\text{Exp})$
 1281 is the smallest relation $\rightarrow : \mathcal{L}(\text{Exp}) \times (\text{Id} \rightarrow \{0, 1\}^*) \times \mathbb{O} \times \{0, 1\}^*$ closed under the following
 1282 rules:

$$\begin{aligned} 1283 \quad & \frac{}{\langle \epsilon, \Sigma \rangle \rightarrow \epsilon} \quad \frac{\langle e, \Sigma \rangle \rightarrow \sigma}{\langle e.0, \Sigma \rangle \rightarrow \sigma \frown 0} \quad \frac{\langle e, \Sigma \rangle \rightarrow \sigma}{\langle e.1, \Sigma \rangle \rightarrow \sigma \frown 1} \\ 1284 \quad & \frac{\langle e, \Sigma \rangle \rightarrow \sigma \quad \Sigma(\text{Id}) = \tau \quad \sigma \subseteq \tau}{\langle e \sqsubseteq \text{Id}, \Sigma \rangle \rightarrow 1} \quad \frac{\langle e, \Sigma \rangle \rightarrow \sigma \quad \Sigma(\text{Id}) = \tau \quad \sigma \not\subseteq \tau}{\langle e \sqsubseteq \text{Id}, \Sigma \rangle \rightarrow 0} \\ 1285 \quad & \frac{\Sigma(\text{Id}) = \sigma}{\langle \text{Id}, \Sigma \rangle \rightarrow \sigma} \quad \frac{\text{Id} \notin \text{dom}(\Sigma)}{\langle \text{Id}, \Sigma \rangle \rightarrow \epsilon} \quad \frac{\langle e, \Sigma \rangle \rightarrow 0}{\langle \neg e, \Sigma \rangle \rightarrow 1} \quad \frac{\langle e, \Sigma \rangle \rightarrow \sigma \quad \sigma \neq 0}{\langle \neg e, \Sigma \rangle \rightarrow 0} \end{aligned}$$

$$\begin{array}{c}
1286 \quad \frac{\langle e, \Sigma \rangle \rightarrow 1 \quad \Sigma(\text{Id}) = 1}{\langle e \wedge \text{Id}, \Sigma \rangle \rightarrow 1} \quad \frac{\langle e, \Sigma \rangle \rightarrow \sigma \quad \Sigma(\text{Id}) = \tau \quad \sigma \neq 1 \wedge \tau \neq 1}{\langle e \wedge \text{Id}, \Sigma \rangle \rightarrow 0}
\end{array}$$

1287 ► **Definition 31** (big-step Operational Semantics of $\mathbf{SIFP}_{\mathbf{RA}}$). The semantics of a program
1288 $P \in \mathcal{L}(\mathbf{Stm}_{\mathbf{RA}})$ is the smallest relation $\triangleright \subseteq \mathcal{L}(\mathbf{Stm}_{\mathbf{RA}}) \times (\text{Id} \rightarrow \{0, 1\}^*) \times \mathbb{O} \times (\text{Id} \rightarrow \{0, 1\}^*)$
1289 closed under the following rules:

$$\begin{array}{c}
1290 \quad \frac{\langle e, \Sigma \rangle \rightarrow \sigma}{\langle \text{Id} \leftarrow e, \Sigma, \omega \rangle \triangleright \Sigma[\text{Id} \leftarrow \sigma]} \quad \frac{\langle s, \Sigma, \omega \rangle \triangleright \Sigma' \quad \langle t, \Sigma', \omega \rangle \triangleright \Sigma''}{\langle s; t, \Sigma, \omega \rangle \triangleright \Sigma''} \\
1291 \quad \frac{\langle e, \Sigma \rangle \rightarrow 1 \quad \langle s, \Sigma, \omega \rangle \triangleright \Sigma' \quad \langle \text{while}(e)\{s\}, \Sigma', \omega \rangle \triangleright \Sigma''}{\langle \text{while}(e)\{s\}, \Sigma, \omega \rangle \triangleright \Sigma''} \quad \frac{\langle e, \Sigma \rangle \rightarrow \sigma \quad \sigma \neq 1}{\langle \text{while}(e)\{s\}, \Sigma, \omega \rangle \triangleright \Sigma} \\
1292 \quad \frac{\langle e, \Sigma \rangle \rightarrow \sigma \quad \omega(\sigma) = b}{\langle \text{Flip}(e), \Sigma, \omega \rangle \triangleright \Sigma[R \leftarrow b]}
\end{array}$$

1293 This semantics allows us to associate each $\mathbf{SIFP}_{\mathbf{RA}}$ program to the function it evaluates:

1294 ► **Definition 32** (Function evaluated by a $\mathbf{SIFP}_{\mathbf{RA}}$ program). We say that the function
1295 evaluated by a correct $\mathbf{SIFP}_{\mathbf{RA}}$ program P is $\llbracket \cdot \rrbracket : \mathcal{L}(\mathbf{Stm}_{\mathbf{RA}}) \rightarrow (\mathbb{S}^n \times \mathbb{O} \rightarrow \mathbb{S})$, defined
1296 as below⁶:

$$1297 \quad \llbracket P \rrbracket := \lambda x_1, \dots, x_n, \omega. \triangleright (\langle P, \llbracket [X_1 \leftarrow x_1], \dots, [X_n \leftarrow x_n], \omega \rangle \rangle (R)).$$

1298 Observe that, among all the different registers, the register R is meant to contain the
1299 value computed by the program at the end of its execution, similarly the $\{X_i\}_{i \in \mathbb{N}}$ registers
1300 are used to store the function's inputs. The correspondence between \mathcal{POR} and $\mathbf{SIFP}_{\mathbf{RA}}$
1301 can be stated as follows:

1302 ► **Lemma 29** (Implementation of \mathcal{POR} in $\mathbf{SIFP}_{\mathbf{RA}}$). For every function $f \in \mathcal{POR}$, there is
1303 a polytime $\mathbf{SIFP}_{\mathbf{RA}}$ program P such that: $\forall x_1, \dots, x_n. \llbracket P \rrbracket(x_1, \dots, x_n, \omega) = f(x_1, \dots, x_n, \omega)$.
1304 Moreover, if f can be defined without recurring to Q , then P does not contain any $\text{Flip}(e)$
1305 statement.

1306 The proof of this result is quite simple: it relies on the fact that it is possible to associate
1307 to each \mathcal{POR} function an equivalent polytime program, and on the observation that it is
1308 possible to compose them and to implement bounded recursion on notation in $\mathbf{SIFP}_{\mathbf{RA}}$ with
1309 a polytime overhead.

1310 **Proof Sketch of Lemma 29.** For each function $f \in \mathcal{POR}$ we define a program \mathfrak{L}_f such that
1311 $\llbracket \mathfrak{L}_f \rrbracket(x_1, \dots, x_n) = f(x_1, \dots, x_n)$ The correctness of \mathfrak{L}_f is given by the following invariant
1312 properties:

- 1313 ■ The result of the computation is stored in R .
- 1314 ■ The inputs are stored in the registers of the group X .
- 1315 ■ The function \mathfrak{L} does not change the values it accesses as input.

1316 We define the function \mathfrak{L}_f as follows: $\mathfrak{L}_E := R \leftarrow \epsilon$; $\mathfrak{L}_{S_0} := R \leftarrow X_0.0$; $\mathfrak{L}_{S_1} := R \leftarrow X_0.1$;
1317 $\mathfrak{L}_{P_i^n} := R \leftarrow X_i$; $\mathfrak{L}_C := R \leftarrow X_1 \sqsubseteq X_2$; $\mathfrak{L}_Q := \text{Flip}(X_1)$. The correctness of these base cases
1318 is trivial. Moreover, it is simple to see that the only translation containing $\text{Flip}(e)$ for some

⁶ Instead of the infix notation for \triangleright , we will use its prefixed notation. So, the notation express the store associated to the P , Σ and ω by \triangleright . Moreover, notice that we employed the same function symbol \triangleright to denote two distinct functions: the *big-step* operational semantics of $\mathbf{SIFP}_{\mathbf{RA}}$ programs and the *big-step* operational semantics of $\mathbf{SIFP}_{\mathbf{LA}}$ programs

1319 $e \in \mathcal{L}(\text{Exp})$ is the translation of Q . The encoding of the composition and of the bounded
 1320 recursion are a little more convoluted: the proof of their correctness requires a conspicuous
 1321 amount of low-level definitions and technical results, whose presentation is not the aim of
 1322 this work. \blacktriangleleft

1323 The next step is to show that every **SIFP_{RA}** program is equivalent to a **SIFP_{LA}** program in
 1324 the sense of Lemma 30.

► **Lemma 30.** *For each total program $P \in \mathbf{SIFP}_{\mathbf{RA}}$ there is a $Q \in \mathbf{SIFP}_{\mathbf{LA}}$ such that:*

$$\forall x, y, \mu \left(\{\omega \in \mathbb{B}^{\mathbb{S}} \mid \llbracket P \rrbracket(x, \omega) = y\} \right) = \mu \left(\{\eta \in \mathbb{B}^{\mathbb{N}} \mid \llbracket Q \rrbracket(x, \eta) = y\} \right).$$

1325 Moreover, if P is polytime Q is polytime, too.

1326 Before delving into the details of the proof of this Lemma, we must define the language
 1327 **SIFP_{LA}** together with its standard semantics:

► **Definition 33 (SIFP_{LA}).** The language of the **SIFP_{LA}** programs is $\mathcal{L}(\text{Stm}_{\mathbf{LA}})$, i.e. the set of strings produced by the non-terminal symbol **Stm_{LA}** described as follows:

$$\text{Stm}_{\mathbf{LA}} ::= \text{Id} \leftarrow \text{Exp} \mid \text{Stm}_{\mathbf{LA}}; \text{Stm}_{\mathbf{LA}} \mid \text{while}(\text{Exp})\{\text{Stm}\}_{\mathbf{LA}} \mid \text{RandBit}()$$

1328 ► **Definition 34 (Big Step Operational Semantics of SIFP_{LA}).** The semantics of a pro-
 1329 gram $P \in \mathcal{L}(\text{Stm}_{\mathbf{LA}})$ is the smallest relation $\triangleright \subseteq (\mathcal{L}(\text{Stm}_{\mathbf{LA}}) \times (\text{Id} \rightarrow \{0, 1\}^*) \times \mathbb{B}^{\mathbb{N}}) \times$
 1330 $((\text{Id} \rightarrow \{0, 1\}^*) \times \mathbb{B}^{\mathbb{N}})$ closed under the following rules:

$$\begin{array}{c} \frac{\langle e, \Sigma \rangle \rightarrow \sigma}{\langle \text{Id} \leftarrow e, \Sigma, \eta \rangle \triangleright \langle \Sigma[\text{Id} \leftarrow \sigma], \eta \rangle} \quad \frac{\langle s, \Sigma, \eta \rangle \triangleright \langle \Sigma', \eta' \rangle \quad \langle t, \Sigma', \eta \rangle \triangleright \langle \Sigma'', \eta'' \rangle}{\langle s; t, \Sigma, \eta \rangle \triangleright \langle \Sigma'', \eta'' \rangle} \\[10pt] \frac{\langle e, \Sigma \rangle \rightarrow 1 \quad \langle s, \Sigma, \eta \rangle \triangleright \langle \Sigma', \eta' \rangle \quad \langle \text{while}(e)\{s\}, \Sigma', \eta \rangle \triangleright \langle \Sigma'', \eta'' \rangle}{\langle \text{while}(e)\{s\}, \Sigma, \eta \rangle \triangleright \langle \Sigma'', \eta'' \rangle} \\[10pt] \frac{\langle e, \Sigma \rangle \rightarrow \sigma \quad \sigma \neq 1}{\langle \text{while}(e)\{s\}, \Sigma, \eta \rangle \triangleright \langle \Sigma, \eta \rangle} \quad \frac{}{\langle \text{RandBit}(), \Sigma, \mathbf{b}\eta \rangle \triangleright \langle \Sigma[R \leftarrow \mathbf{b}], \eta \rangle} \end{array}$$

1334 In particular, we prove Lemma 30 showing that **SIFP_{RA}** can be simulated in **SIFP_{LA}**
 1335 with respect to two novel *small-step* semantic relations ($\rightsquigarrow_{\mathbf{LA}}, \rightsquigarrow_{\mathbf{RA}}$) derived splitting the
 1336 *big-step* semantics into small transitions, one per each $;$ instruction. Intuitively, the idea
 1337 behind this novel semantics is to enrich the *big-step* operational semantic with some pieces
 1338 of information necessary to build a proof that it is possible to each **SIFP_{LA}** instruction
 1339 by means of a sequence of **SIFP_{RA}** instructions preserving the distribution of the values in
 1340 the register R , i.e. that storing the result. In particular we enrich the configurations of
 1341 **SIFP_{LA}**'s and **SIFP_{RA}**'s *small-step* operational semantics with a list Ψ containing pairs
 1342 (x, b) . In the case of **SIFP_{LA}**, this list is meant to keep track of the calls to the primitive
 1343 **Flip**(x) and their result b . While, on the side of **SIFP_{RA}**, the x -th call of the primitive
 1344 **RandBit**() causes the pair (x, b) to be added on top of the list.

1345 This is done to keep track of the accesses to the random tapes done by the simulated
 1346 and the simulator programs. On the side of **SIFP_{RA}** it is possible to show that this table is
 1347 stored in a specific register. This register plays an important role in the simulation of the
 1348 **Flip**(e) instructions. In particular, this is done as follows:

- 1349 ■ At each simulated query **Flip**(e), the destination program looks up the associative table;
- 1350 ■ If it finds the queried coordinate e within a pair (e, b) , it returns b , otherwise:
- 1351 ■ It reduces **Flip**(e) to a call of **RandBit**() which outputs either $\mathbf{b} = \mathbf{0}$ or $\mathbf{b} = \mathbf{1}$.

1352 ■ It records the pair $\langle e, b \rangle$ in the associative table and stores b in R .
 1353 Even in this case the construction of the proof is convoluted, but we believe that it is not
 1354 too much of a problem to see, at least intuitively, that this kind of simulation preserves the
 1355 distributions of strings computed by the original program. This concludes Lemma 30.
 1356 The next step is to show that **SIFP_{LA}** can be reduced to **SFP_{OD}**: the class corresponding
 1357 to **SFP** defined on a variation of the Stream Machines which are capable to read characters
 1358 from the oracle tape *on-demand*, i.e. only on those states $q \in Q_{\natural} \subseteq Q$. The transition
 1359 function of the **SFP_{OD}** is a function $\delta : \hat{\mathbb{B}} \times Q \times (\hat{\mathbb{B}} \cup \{\natural\}) \times \mathbb{B} \longrightarrow \hat{\mathbb{B}} \times Q \times \hat{\mathbb{B}} \times \{L, R\}$
 1360 which for all the states in Q_{\natural} is labeled with the symbol \natural instead of a Boolean value. This
 1361 peculiarity will be employed in Definition 35 to distinguish those configurations causing the
 1362 oracle tape to shift to the others.

1363 We do not show the reduction from **SIFP_{LA}** to **SFP_{OD}** extensively because this kind of
 1364 reductions are cumbersome and, in literature, it is common to avoid their formal definition
 1365 on behalf of a more readable presentation. For this reason, we only describe informally but
 1366 exhaustively how to build the *on-demand* stream machine which corresponds to a generic
 1367 program $P \in \mathcal{L}(\text{Stm}_{\text{LA}})$. The correspondence between **SFP_{OD}** is expressed by the following
 1368 Proposition:

1369 ► **Proposition 10.** For every $P \in \mathcal{L}(\text{Stm}_{\text{LA}})$ there is a $M_P \in \mathbf{SFP}$ such that for every $x \in \mathbb{S}$
 1370 and $\eta \in \mathbb{B}^{\mathbb{S}}$, $P(x, \eta) = P(x, \eta)$. Moreover, if P is polytime, then M_P is polytime.

1371 **Proof.** The construction relies on the fact that it is possible to implement a **SIFP_{LA}** program
 1372 by means of a multi-tape *on-demand stream machine* which uses a tape to store the values
 1373 of each register, plus an additional tape containing the partial results obtained during the
 1374 evaluation of the expressions and another tape containing η . We denote the tape used for
 1375 storing the result coming from the evaluation of the expressions with e .

1376 The machine works thanks to some invariant properties:

- 1377 ■ On each tape, the values are stored to the immediate right of the head.
- 1378 ■ The result of the last expression evaluated is stored on the e tape to the immediate right
 1379 of the head.

1380 The value of a **SIFP** expression can be easily computed using the e tape. We show it by
 1381 induction on the syntax of the expression:

- 1382 ■ Each access to the value stored in a register basically consist in a copy of the content of
 1383 the corresponding tape to the e tape, which is a simple operation, due to the invariant
 1384 properties mentioned above.
- 1385 ■ Concatenations ($f.0$ and $f.1$) are easily implemented by the addition of a character at
 1386 the end of the e tape which contains the value of f , as stated by the induction hypothesis
 1387 on the invariant properties.
- 1388 ■ The binary expressions are non-trivial, but since one of the two operands is a register
 1389 identifier, the machine can directly compare e with the tape which corresponding to the
 1390 identifier, and to replace the content of e with the result of the comparison, which in all
 1391 cases **0** or **1**.

1392 All these operations can be implemented without consuming any character on the oracle tape
 1393 and with linear time with respect to the size of the expression's value. To each statement s_i ,
 1394 we assign a sequence of machine states, $q_{s_i}^I, q_{s_i}^1, q_{s_i}^2, \dots, q_{s_i}^F$.

- 1395 ■ Assignments consist in a copy of the value in e to the tape corresponding to the destination
 1396 register and a deletion of the value on e by replacing its symbols with \otimes characters. This
 1397 can be implemented without consuming any character on the oracle tape.

- 1398 ■ The sequencing operation $s; t$ can be implemented inserting in δ a composed transition
- 1399 from q_s^F to q_t^I , which does not consume the oracle tape.
- 1400 ■ A `while()`{s}tatement $s := \text{while}(f)\{t\}$ requires the evaluation of f and then passing to
- 1401 the evaluation of t , if $f \rightarrow 1$, or stepping to the next transition if it exists and $f \not\rightarrow 1$.
- 1402 After the evaluation of the body, the machine returns to the initial state of this statement,
- 1403 namely: q_s^I .
- 1404 ■ A `RandBit()` statement is implemented consuming a character on the tape and copying
- 1405 its value on the tape which corresponds to the register R .

1406 The following invariant properties hold at the beginning of the execution and are kept
 1407 true throughout M_P 's execution. In particular, if we assume P to be polytime, after the
 1408 simulation of each statement, it holds that:

- 1409 ■ The length of the non blank portion of the first tapes corresponding to the register is
- 1410 polynomially bounded because their contents are precisely the contents of P 's registers,
- 1411 which are polynomially bounded as a consequence of the hypotheses on their polynomial
- 1412 time complexity.
- 1413 ■ The head of all the tapes corresponding to the registers point to the leftmost symbol of
- 1414 the string thereby contained.

1415 It is well-known that the reduction of the number of tapes on a polytime Turing Machine
 1416 comes with a polynomial overhead in time; for this reason, we can conclude that the polytime
 1417 *multi-tape* on-demand stream machine we introduced above can be *shrunk* to a polytime
 1418 *canonical* on-demand stream machine. This concludes the proof. ◀

1419 It remains to show that each on-demand stream machine can be reduced to an equivalent
 1420 STM.

► **Lemma 31.** *For every $\mathcal{S} = \langle \mathcal{Q}, \mathcal{Q}_\natural, \Sigma, \delta, q_0 \rangle \in \mathbf{SFP}_{\text{OD}}$, the machine $\mathcal{S}' = \langle \mathcal{Q}, \Sigma, H(\delta), q_0 \rangle \in \mathbf{SFP}$ is such that for every $n \in \mathbb{N}$, for every configuration of \mathcal{S} $\langle \sigma, q, \tau, \eta \rangle$ and for every $\sigma', \tau' \in \mathbb{S}, q \in \mathcal{Q}$:*

$$\mu \left(\{ \eta \in \mathbb{B}^{\mathbb{N}} \mid \exists \eta'. \langle \sigma, q, \tau, \eta \rangle \triangleright_{\delta}^n \langle \sigma', q', \tau', \eta' \rangle \} \right) = \mu \left(\{ \chi \in \mathbb{B}^{\mathbb{N}} \mid \exists \chi'. \langle \sigma, q, \tau, \xi \rangle \triangleright_{H(\delta)}^n \langle \sigma', q', \tau', \chi' \rangle \} \right).$$

1421 Even in this case, the proof relies on a reduction. In particular, we show that given an
 1422 on-demand stream machine \mathcal{S} it is possible to build a stream machine \mathcal{S}' which is equivalent
 1423 to \mathcal{S} . Intuitively, the encoding from an *on-demand* stream machine \mathcal{S} to an ordinary stream
 1424 machine takes the transition function δ of \mathcal{S} and substitutes each transition not causing the
 1425 oracle tape to shift — i.e. tagged with \natural — with two distinct transitions, so to match both
 1426 0 and 1 on the tape storing ω . This causes the resulting machine to reach the same target
 1427 state with the same behavior on the work tape, and to shift to the right the head on the
 1428 oracle tape.

► **Definition 35** (Encoding from On-Demand to Canonical Stream Machines). We define the encoding from an On-Demand Stream Machine to a Canonical Stream Machine as below:

$$H := \langle \mathcal{Q}, \Sigma, \delta, q_0 \rangle \mapsto \left\langle \mathcal{Q}, \Sigma, \bigcup \Delta_H(\delta), q_0 \right\rangle.$$

1429 where Δ_H is defined as follows:

$$\begin{aligned}
 1430 \quad \Delta_H(\langle p, c_r, \mathbf{0}, q, c_w, d \rangle) &:= \{\langle p, c_r, \mathbf{0}, q, c_w, d \rangle\} \\
 1431 \quad \Delta_H(\langle p, c_r, \mathbf{1}, q, c_w, d \rangle) &:= \{\langle p, c_r, \mathbf{1}, q, c_w, d \rangle\} \\
 1432 \quad \Delta_H(\langle p, c_r, \mathbf{1}, q, c_w, d \rangle) &:= \{\langle p, c_r, \mathbf{0}, q, c_w, d \rangle, \langle p, c_r, \mathbf{1}, q, c_w, d \rangle\}.
 \end{aligned}$$

1433
1434

1435 **Proof of Lemma 31.** The definition of \triangleright_δ^n allows us to rewrite the statement $\exists \eta'. \langle \sigma, q, \tau, \eta \rangle \triangleright_\delta^n$
1436 $\langle \sigma', q', \tau', \eta' \rangle$ as:

$$\begin{aligned}
 1437 \quad &\exists \eta', \eta'' \in \mathbb{B}^{\mathbb{N}}. \exists c_1, \dots, c_k. \\
 1438 \quad &\langle \sigma, q, \tau, c_1 c_2 \dots c_k \eta' \rangle \triangleright_\delta^{n_1} \langle \sigma_1, q_{i_1}, \tau_1, c_1 c_2 \dots c_k \eta' \rangle \triangleright_\delta^1 \langle \sigma'_1, q'_{i_1}, \tau_1, c_2 \dots c_k \eta' \rangle \wedge \\
 1439 \quad &\langle \sigma'_1, q'_{i_1}, \tau_1, c_2 \dots c_k \eta' \rangle \triangleright_\delta^{n_2} \langle \sigma_2, q_{i_2}, \tau_2, c_2 \dots c_k \eta' \rangle \triangleright_\delta^1 \langle \sigma'_2, q'_{i_2}, \tau'_2, c_3 \dots c_k \eta' \rangle \wedge \\
 1440 \quad &\langle \sigma'_2, q'_{i_2}, \tau'_2, c_3 \dots c_k \eta' \rangle \triangleright_\delta^{n_3} \dots \triangleright_\delta^{n_{k+1}} \langle \sigma', q', \tau', \eta' \rangle.
 \end{aligned}$$

1442 The claim can be rewritten as follows:

$$\begin{aligned}
 &\exists \eta'', c_1, \dots, c_k \in \mathbb{B}. \exists n_1, \dots, n_{k+1} \in \mathbb{N}. \forall \xi_1, \dots, \xi_{k+1} \in \mathbb{S}. |\xi_1| = n_1 \wedge \dots \wedge |\xi_{k+1}| = n_{k+1} \wedge \\
 &\langle \sigma, q, \tau, c_1 c_2 \dots c_k \eta' \rangle \triangleright_\delta^{n_1} \langle \sigma_1, q_{i_1}, \tau_1, c_1 c_2 \dots c_k \eta' \rangle \triangleright_\delta^1 \langle \sigma'_1, q'_{i_1}, \tau_1, c_2 \dots c_k \eta' \rangle \wedge \\
 &\langle \sigma'_1, q'_{i_1}, \tau_1, c_2 \dots c_k \eta' \rangle \triangleright_\delta^{n_2} \langle \sigma_2, q_{i_2}, \tau_2, c_2 \dots c_k \eta' \rangle \triangleright_\delta^1 \langle \sigma'_2, q'_{i_2}, \tau'_2, c_3 \dots c_k \eta' \rangle \wedge \\
 &\langle \sigma'_2, q'_{i_2}, \tau'_2, c_3 \dots c_k \eta' \rangle \triangleright_\delta^{n_3} \dots \triangleright_\delta^{n_{k+1}} \langle \sigma', q', \tau', \eta' \rangle \\
 1443 \quad &\iff \\
 &\langle \sigma, q, \tau, \xi_1 \xi_2 \xi_3 \dots \xi_k \xi_{k+1} \eta'' \rangle \triangleright_{H(\delta)}^{n_1} \langle \sigma_1, q_{i_1}, \tau_1, \xi_1 \xi_2 \xi_3 \dots \xi_k \xi_{k+1} \eta'' \rangle \triangleright_{H(\delta)}^1 \langle \sigma'_1, q'_{i_1}, \tau_1, \xi_2 \xi_3 \dots \xi_k \xi_{k+1} \eta'' \rangle \wedge \\
 &\langle \sigma'_1, q'_{i_1}, \tau_1, \xi_2 \xi_3 \dots \xi_k \xi_{k+1} \eta'' \rangle \triangleright_{H(\delta)}^{n_2} \langle \sigma_2, q_{i_2}, \tau_2, \xi_2 \xi_3 \dots \xi_k \xi_{k+1} \eta'' \rangle \triangleright_{H(\delta)}^1 \langle \sigma'_2, q'_{i_2}, \tau'_2, \xi_3 \xi_4 \dots \xi_k \xi_{k+1} \eta'' \rangle \wedge \\
 &\langle \sigma'_2, q'_{i_2}, \tau'_2, \xi_3 \xi_4 \dots \xi_k \xi_{k+1} \eta'' \rangle \triangleright_{H(\delta)}^{n_3} \dots \triangleright_{H(\delta)}^{n_{k+1}} \langle \sigma', q', \tau', \eta'' \rangle.
 \end{aligned}$$

1444 Intuitively, this holds because it suffices to take the n_i s as the length of longest sequence of
1445 non-shifting transitions of the on-demand stream machine and the correspondence can be
1446 proven by induction on the number of steps of each formula in the conjunction. Thus, we
1447 can express the sets of the claim as follows:

$$\begin{aligned}
 1448 \quad &\{\eta \in \mathbb{B}^{\mathbb{N}} \mid \exists \eta'. \langle \sigma, q, \tau, \eta \rangle \triangleright_\delta^n \langle \sigma', q', \tau', \eta' \rangle\} = \{\eta \in \mathbb{B}^{\mathbb{N}} \mid \forall 0 \leq i < k. \eta(i) = c_i\} \\
 1449 \quad &\{\chi \in \mathbb{B}^{\mathbb{N}} \mid \exists \chi'. \langle \sigma, q, \tau, \chi \rangle \triangleright_{H(\delta)}^n \langle \sigma', q', \tau', \chi' \rangle\} = \{\chi \in \mathbb{B}^{\mathbb{N}} \mid \forall 1 \leq i \leq k. \chi(n_i + i) = c_i \wedge \chi(0) = c_1\}.
 \end{aligned}$$

1451 The conclusion comes because both these sets are cylinders with the same measure. \blacktriangleleft

1452 **► Proposition 32 (From POR to SFP).** For any $f : \mathbb{S}^k \times \mathbb{B}^{\mathbb{S}} \rightarrow \mathbb{S}$ in POR there exists a
1453 function $f^\sharp : \mathbb{S}^k \times \mathbb{B}^{\mathbb{N}} \rightarrow \mathbb{S}$ such that for all $n_1, \dots, n_k, m \in \mathbb{S}$,

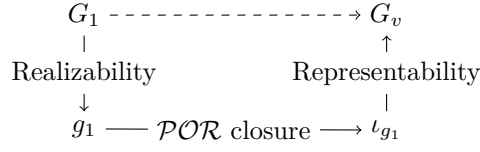
$$1454 \quad \mu(\{\eta \in \mathbb{B}^{\mathbb{N}} \mid f(n_1, \dots, n_k, \eta) = m\}) = \mu(\{\omega \in \mathbb{O} \mid f^\sharp(n_1, \dots, n_k, \omega) = m\}).$$

1455 **Proof.** This result is a consequence of Lemma 29, Lemma 30, Proposition 10 and Lemma
1456 31. \blacktriangleleft

1457 **C Proofs from Section 5**

1458 **C.1 The Randomized Algorithm**

1459 In order to show that the formula G characterizes a randomized algorithm with *low-entropy*,
1460 namely, point (2) of Definition 9, we need more details on the internal structure of G . To do



■ **Figure 2** Summary of the proof of the existence of G^v

so, we introduce a set of basic predicates, which will be employed for the definition of G :

$Circ(x) := x$ is the encoding of a circuit with a single output

$Eval(x, k, y, t) :=$ When fed with inputs encoded by y , x produces in output t modulo k

$NumVar(x, n) := x$ is the encoding of an arithmetic circuit with n variables

$Degree(x, d) :=$ The degree of the arithmetic circuit encoded by x is d

$T(x, y) := \bigvee_{\bar{x} \in \bigcup_{i=0}^e \{0,1\}^i} (x = \bar{x} \wedge y = y_{\bar{x}})$

$K(r, z) := z$ is a uniformly chosen random string in $\{0, 1\}^{|r|}$.

All these predicates characterize polytime random functions; for this reason, we can assume without lack of generality that they are Σ_1^b -formulae of \mathcal{RL} . Using some of these predicates, it is possible to define a formula G_1 which executes one evaluation of the polynomial x :

$$\begin{aligned}
G_1(x, m, n, d, z, y) := & [m \leq \varrho \wedge T(x, 1) \rightarrow y = 0 \wedge T(x, 0) \rightarrow y = 1] \vee [(m > \varrho) \wedge \\
& ((y = 0 \wedge \exists z_0, z_1. |z_0| = 2m \wedge |z_1| = n \cdot (m + 3) \wedge z_0 \cdot z_1 = z \wedge \exists t \preceq z. (Eval(x, z_0, z_1, t) \wedge t \neq 0)) \vee \\
& (y = 1 \wedge \exists z_0, z_1. |z_0| = 2m \wedge |z_1| = n \cdot (m + 3) \wedge z_0 \cdot z_1 = z \wedge Eval(x, z_0, z_1, 0)))] .
\end{aligned}$$

► **Remark 33.** The formula G_1 is a Σ_1^b predicate of \mathcal{RL} which characterizes one iteration of the algorithm PZT.

Differently from the original algorithm, the formula G_1 employs an additional parameter z as a *source of randomness* to determine the values of r_i, \dots, r_n and k . This way, we are able to isolate the randomization part in a small portion of the formula we are building, i.e. that one where we determine the value of z by means of the predicate **Flip**.

Thanks to this construction, G_1 is a Σ_1^b formula realizing some **Flip**-less \mathcal{POR} function g_1 . We can leverage this fact to define another **Flip**-less function $\iota_{g_1}(x, n, d, y, z, i)$ which iterates the function g_1 i times with the i -th $(n(m + 3) + 2m)$ -long sub-string of z as source of randomness — i.e. as argument for g 's z — and returns ϵ if and only if all these executions of g returned 1, otherwise it returns 0.

This proves that there is a **Flip**-less Σ_1^b formula G^v realizing that function provable under $R\Sigma_1^b$ -NIA — and even S_1^0 . A picture of the proof of the existence of G^v is given in Figure 2. Intuitively, the formula G_v characterizes steps 2-7 of the PZT algorithm.

In order to define G , we will only need to compose G_v with another sub-formula which characterizes the first step of the PZT algorithm. This is quite simple because we only need to encode the generation of the values of d, n, m, z and to fix a number of iterations, as we will show in section C.2, $37m$ is an appropriate choice. Thus, the Σ_1^b formula G can be defined as follows:

$$\begin{aligned}
G(x, y) &:= \exists m \preceq x. |x| = m \wedge \left[((\text{Circ}(x) \wedge \exists n \preceq 2^m. \exists d \preceq 2^m. \exists z. |z| = 37m \cdot (n(m+3) + 2m) \wedge \right. \\
&\quad \text{NumVar}(x, n) \wedge \text{Degree}(x, d) \wedge K(1^{37m \cdot (n(m+3) + 2m)}, z) \wedge G^v(x, m, n, d, y, z, 37m)) \vee (\neg \text{Circ}(x) \wedge y = 0) \Big].
\end{aligned}$$

C.2 Proving the Error Bound

Within this section, we argument that:

$$\text{PA} \vdash \forall x. \forall y. \text{TwoThirds}[G(x, y) \leftrightarrow H(x, y)],$$

which is equivalent to showing that:

$$\text{PA} \vdash \forall x. \forall y. \text{Threshold}[\text{NoFlip}[G](x, y, z) \leftrightarrow H(x, y)].$$

In turn, it is possible to obtain a formula equivalent to $\text{NoFlip}[G](x, y, z)$ by removing the quantification over z and the randomization its value by means of K . Doing so, we are able to obtain an equivalent claim:

$$\begin{aligned}
\text{PA} \vdash \forall x. \forall y. \exists m, d, n \preceq 1^{|x|}. |x| = m \wedge \text{NumVar}(x, n) \wedge \text{Degree}(x, d) \\
\exists \frac{2}{3} 2^{37m \cdot (n(m+3) + 2m)} z. |z| = 37m \cdot (n(m+3) + 2m) \wedge (G^v(x, n, d, z, y) \leftrightarrow H(x, y)).
\end{aligned}$$

It also is easy to observe $\exists \geq^h z. |z| = k \wedge P(z) \Leftrightarrow |\{z \in \mathbb{B}^k \mid P(z)\}| \geq h$. This allows to replace the threshold quantification with a formula of PA measuring the cardinality of some finite set. Therefore, we can reduce out goal to showing Lemma 34 using only the instruments provided by PA:

► **Lemma 34.** *For every encoding of a polynomial circuit x , for every $y \in \mathbb{B}$, whereas x has n variables, size m and degree d , it holds that:*

$$|\{z \in \mathbb{B}^{37m \cdot (n(m+3) + 2m)} \mid (G^v(x, n, d, z, y) \leftrightarrow H(x, y))\}| \geq \frac{2}{3} \cdot 2^{37m \cdot (n(m+3) + 2m)}.$$

Since G and H characterize two decisional functions, the claim of Lemma 34 can be restated in the following way:

$$|\{z \in \mathbb{B}^{37m \cdot (n(m+3) + 2m)} \mid (G^v(x, n, d, z, 0) \wedge H(x, 0)) \vee (G^v(x, n, d, z, \epsilon) \wedge H(x, \epsilon))\}| \geq \frac{2}{3} \cdot 2^{37m \cdot (n(m+3) + 2m)}.$$

Thus, it is possible Lemma 34 as a consequence of (1) and (2) which, respectively, can be stated as Lemmas 35 and 36.

► **Lemma 35 (Claim (1)).** *For every $z \in \mathbb{B}^{37m \cdot (n(m+3) + 2m)}$, every encoding of a polynomial circuit x , every $y \in \mathbb{B}$, whereas x has n variables, size m and degree d , it holds that $G^v(x, n, d, z, 0) \rightarrow H(x, 0)$.*

Proof. This result is a consequence of the compatibility of $\text{mod } k$ with respect to addition, multiplication and inverse in \mathbb{Z} . Precisely: for every $n, m, k \in \mathbb{Z}$ with $k \geq 2$, it holds that:

1. $(n \odot m) \bmod k = ((n \bmod k) \odot (m \bmod k)) \bmod k$ for $\odot \in \{+, \cdot\}$.
2. $(-n) \bmod k = -(n \bmod k)$.
3. $n \bmod k \neq 0 \rightarrow n \neq 0$.

These claims are shown as follows:

1. In this case, we will only show the case for $+$, that of \cdot is analogous. The proof goes by induction on the recursion parameter, e.g. x . The case $x = 0$ is trivial. For the inductive case, let $x = ak + b$ and $y = ck + d$ for $b, d < k$, the existence and uniqueness of this

decomposition can be shown by induction on x . The IH tells that $(x \bmod k + y \bmod k) \bmod k = b + d \bmod k$.

$$\begin{aligned} (x + 1 \bmod k + y \bmod k) \bmod k &= (x + 1 \bmod k + d) \bmod k \\ &= (b + 1 \bmod k + d) \bmod k \end{aligned}$$

Now, if $b < k - 1$, then $(b + 1 \bmod k + d) \bmod k = b + 1 + d \bmod k = ak + b + 1 + ck + d \bmod k = x + 1 + y \bmod k$. If $b = k - 1$

$$\begin{aligned} (x + 1 \bmod k + y \bmod k) \bmod k &= (d) \bmod k \\ &= (b + 1 + ak + ck + d) \bmod k \\ &= x + 1 + y \bmod k \end{aligned}$$

2. This proof goes by cases on n . The case where $n = 0$ is trivial. The case $n + 1$ relies on the uniqueness of the decomposition of $n = ak + b$, which allows us to show that $-((ak + b + 1) \bmod k) = -((b + 1) \bmod k)$. If $0 \leq b < k - 1$, then it is equal to $-b - 1$, otherwise it is equal to 0. On the other hand, $(-ak - b - 1) \bmod k = (-b - 1) \bmod k$ and still, if $0 \leq b < k - 1$, then this is equal to $-b - 1$, otherwise it is equal to 0.

3. The counter-nominal is trivial: $n = 0 \rightarrow n \bmod k = 0$.

Leveraging points 1 and 2, we show that the evaluation of a polynomial x as performed by the predicate *Eval* on input \vec{r} is equal to $x(\vec{r}) \bmod k$, the assumption $G^v(x, n, d, \vec{r}k, 0)$ allows us to conclude the premise of point 3, thus an application of point 3, allows us to conclude that $\mathbb{Z} \models p(\vec{x}) \neq 0$, by the definition of H , we conclude that $H(x, 0)$ holds. ◀

► **Lemma 36** (Claim (2)). *For every encoding of a polynomial circuit x , every $y \in \mathbb{B}$, whereas x has n variables, size m and degree d , it holds that:*

$$|\{z \in \mathbb{B}^{37m \cdot (n(m+3)+2m)} \mid G^v(x, n, d, z, \epsilon) \rightarrow H(x, \epsilon)\}| \geq \frac{2}{3} \cdot 2^{37m \cdot (n(m+3)+2m)}.$$

C.3 Proof of Lemma 36

Lemma 36 is shown finding an upper bound to the probability of error of the algorithm, i.e. to the number of values for z causing $G^v(x, n, d, z, \epsilon) \rightarrow H(x, \epsilon)$. Intuitively, there are two possible causes of error within the algorithm underlying the formula G .

1. if the outcome of the evaluation of the polynomial on \vec{r} is some value $y \neq 0$ and k divides y , then the algorithm will reject its input even though x belongs to the language.
2. if the values \vec{r} are a solution of the *non-identically zero* polynomial, then the algorithm will reject x , even though it belongs to the language.

The bound to the first error is found in section C.3.1, while a bound to the probability of the second error is found in section C.3.2. Lemma 36 can be shown combining these results.

C.3.1 Estimation of the Error, Case 1

► **Proposition 11** (Argument in [2]). There is some $\varrho \in \mathbb{N}$ such that for every $m \in \mathbb{N}$ greater than ϱ , every $0 \leq y < 2^{(m+3) \cdot 2^m}$:

$$|\{k \in \{1, \dots, 2^{2^m}\} \mid k \text{ is not a prime not dividing } y\}| \leq 2^{2^m} - \frac{2^{2^m}}{16m}$$

This probability depends on the range bounding k and on the number of evaluations taken in exam. The proof of this result relies on the following observations:

- 1557 (a) Thanks to the Prime Number Theorem, there is some m' such that, for every $m \geq m'$,
 1558 the number of primes in $\{1, \dots, 2^{2m}\}$ is at least $K = \frac{2^{2m}}{4 \cdot 2^m}$.
 1559 (b) For sufficiently big m , the number of primes in \mathbb{Z} dividing $0 \leq y < 2^{(m+3) \cdot 2^m}$ is smaller
 1560 than $L = 3m \cdot 2^m$.
 1561 (c) For every $n \in \mathbb{N}$, it holds that $3(2n + 201) \leq 2^{n+96}$.
 1562 (d) For every $m \geq 100$, said L the number of primes dividing y , it holds that $L \leq \frac{K}{2} = \frac{2^{2m}}{16m}$.
 1563 This is shown by induction using (c).

1564 As we anticipated, points (a), (b), (d) hold only for sufficiently big values of m . For this
 1565 reason, we define ϱ as the greatest of these values.

1566 C.3.1.1 Point (a)

We exploit here the fact, proved in [15], that the PNT is provable in PA (actually, in the fragment $I\Delta_0 + \text{Exp}$); the formulation of the PNT can be paraphrased as follows:

$$\forall x \in \mathbb{N}. \forall q \in \mathbb{Q}^+. \exists a_x, z_x. z_q \leq x \Rightarrow \left| \frac{\pi(x) \log_{a_x}^*(x)}{x} - 1 \right| \leq q$$

Where $\pi(x)$ is the number of primes in $\{1, \dots, x\}$ and $\log_a^*(x)$ is a *good approximation* of $\log_2(x)$, i.e. $\forall x. \log_2(x) \leq 2 \log_{a_x}^*(x) \leq 4 \log_2(x)$. This can be used to deduce that:

$$z_q \leq x \Rightarrow \frac{x}{\log_{a_x}^*(x)} (1 - q) \leq \pi(x) \leq \frac{x}{\log_{a_x}^*(x)} (1 + q),$$

Thus, fixing $q = \frac{1}{2}$ we obtain the following claim:

$$z_q \leq x \Rightarrow \frac{x}{4 \cdot \log_2(x)} \leq \frac{x}{2 \log_{a_x}^*(x)} \leq \pi(x)$$

1567 We have shown that:

$$\forall x. \pi(x) = |\{p \in \{1, \dots, x\} \mid p \text{ is prime}\}| \geq \frac{x}{4 \cdot \log_2(x)}.$$

1568 For sake of readability, we name $\Pi(x)$ the set $\{p \in \{1, \dots, x\} \mid p \text{ is prime}\}$.

1569 C.3.1.2 Point(b)

1570 To this aim, it suffices to observe that y is the product of q_1, \dots, q_L primes where $L \leq |y| =$
 1571 $\log(2^{(m+3)2^m})$. This is a consequence of the Fundamental Theorem of Arithmetic.

1572 ► **Theorem 37** (The Fundamental Theorem of Arithmetic \in PA). $\forall n \geq 2. \text{prime}(n) \vee$
 1573 $\exists S, s. \text{card}(S, s) \wedge s \leq |n| \wedge \forall q \in S. n|q \text{ is provable in PA.}$

1574 **Proof.** We observe that the predicates $\in, |, \text{prime}, \text{card}$ can be easily modeled in PA. Then
 1575 we go by induction on n to show the claim. The base case is trivial since 2 is prime and
 1576 can be divided only by 2, so the cardinality of S is smaller than $|2|$. For the inductive case
 1577 suppose that $n + 1$ is prime, in this case the claim is trivial, otherwise, if $n + 1$ is not prime,
 1578 there are $a, b \in \mathbb{N}$, $ab = n + 1$, thus we can apply the IH on a, b , building S as the union of
 1579 S_a, S_b . ◀

Thus, $L \leq |y| = (3 + m) \cdot 2^m = 3 \cdot 2^m + m \cdot 2^m \leq 3m \cdot 2^m$ — the last step is for $m \geq 1$, and is shown by induction. To sum up:

$$\forall 0 \leq y \leq 2^{(m+3)2^m}. |\{p \in \mathbb{Z} \mid q \text{ divides } y\}| \leq 3m \cdot 2^m.$$

1580 For simplicity's sake, we omit the proof of (c) and (d), which are standard by induction.

1581 **Proof of Proposition 11.** From (d) we can deduce that $|\{p \in \Pi(2^{2m}) \mid p \text{ does not divide } y\}|$
 1582 $> \frac{2^{2m}}{4 \cdot 2^m}$. Thus, the claim is a trivial consequence of the following observation, which concludes
 1583 the proof:

$$1584 \quad |\{1, \dots, 2^{2m} - 1\} \setminus \{p \in \Pi(2^{2m}) \mid p \text{ does not divide } y\}| \leq$$

$$1586 \quad 2^{2m} - |\{p \in \Pi(2^{2m}) \mid p \text{ does not divide } y\}|$$

1588 ◀

1589 C.3.2 Estimation of Error, case 2

1590 The goal of this section is to show that for every non-zero n -variate polynomial p of degree d
 1591 and every set $S \subseteq \mathbb{Z}$, S contains sufficiently many witnesses of the fact that p is a non-zero
 1592 polynomial.

▶ **Lemma 38** (Schwartz-Zippel). *For every n -variate polynomial p , for every $S \subseteq \mathbb{Z}$, said d the degree of p , it holds that:*

$$|\{(x_1, \dots, x_n) \in S^n \mid \mathbb{Z} \models p(x_1, \dots, x_n) = 0\}| \leq d|S|^{n-1}$$

1593 The proof of this result is by induction on the number of variables and the degree of
 1594 the polynomial p . It relies on a weak statement of the Fundamental Theorem of Algebra,
 1595 stating that each univariate polynomial has at most d roots in \mathbb{Z} , where d is the degree of
 1596 the polynomial. This is shown in Lemma 41. We start by defining the notion of non-zero
 1597 univariate polynomial:

1598 ▶ **Definition 36.** We say that $p \in \text{POLY}$ is a univariate *irreducible* polynomial if and only
 1599 if it is univariate, and $\mathbb{Z} \models \forall x. p(x) \neq 0$. Moreover, we say that $p \in \text{POLY}$ is a *non-zero*
 1600 polynomial if and only if $\mathbb{Z} \models \exists x. p(x) \neq 0$.

1601 This notion extends naturally to multivariate polynomials. The first step of the proof is to
 1602 show that each univariate polynomial in \mathbb{Z} can be expressed as the product of $\prod_{i=0}^{i \leq k} (x - \bar{x}_i) q(x)$
 1603 for k smaller than the degree of d . This result relies itself on the proof of the correctness of
 1604 the polynomial division algorithm, in particular on the following properties:

1605 ▶ **Remark 39.**

- 1606 1. Let p be an univariate polynomial in normal form with coefficients in \mathbb{Z} and let \bar{x} be a
 1607 solution of p . The division algorithm applied on p , $(x - \bar{x})$ outputs a polynomial q with
 1608 no remainder.
- 1609 2. Let p be an univariate polynomial in normal form with coefficients in \mathbb{Z} and let r be a
 1610 non-zero polynomial in normal form with degree smaller than that of p . If q is obtained
 1611 with remainder 0 applying the division algorithm to p and q , it holds that $r q = p$.
- 1612 3. The degree of $r = p/q$ plus the degree of q is equal to the degree of p .

1613 Although these results may seem very simple, for our purpose it is important to ensure
 1614 that it can be shown in PA as well. This is true, because the algorithm performing the
 1615 polynomial division is total and can be defined by induction on the number of monomials
 1616 in the normal form of the dividend, thus its correctness can be proved by induction on this
 1617 value as well.

1618 Now, we want to prove that each uni-variate non-zero polynomial of degree d has at most
 1619 d roots in \mathbb{Z} . We start by showing that it is always possible to express it as a product of
 1620 simpler polynomials.

► **Lemma 40.** *For every univariate polynomial p there is a polynomial t :*

$$t(x) := \prod_{i=0}^{i < k} (x - \bar{x}_i) q(x)$$

with q an irreducible polynomial of degree equal to $\deg(p) - k$, such that:

$$\mathbb{Z} \models \forall x. p(x) = t(x).$$

1621 **Proof.** The formula we want to show is:

1622

$$1623 \quad \forall p. \forall d \leq t(p). \text{NumVar}(p, 1) \wedge \text{Degree}(p, d) \rightarrow \exists k < d. \exists q, x_0, \dots, x_{k-1}.$$

1624

1625

$$\forall x. \prod_{i=0}^{i < k} (x - \bar{x}_i) q(x) = p(x) \wedge \forall x. q(x) \neq 0.$$

1626 By induction on d :

1627 ■ If it is equal to zero, then the normal form of p is a constant, so it suffices to take p as a
1628 candidate for q .

1629 ■ If it is not equal to zero, we have two cases: if p is non-zero, then we can take p as a
1630 candidate for q , otherwise, we know that there is an $\bar{x} \in \mathbb{Z}$ such that $\mathbb{Z} \models p(\bar{x}) = 0$. Let
1631 p' be the polynomial obtained dividing p for $(x - \bar{x})$, then it holds that the degree of p'
1632 is equal to $\deg(p) - 1$, so we can apply the induction hypothesis on p' and obtain that
1633 $\mathbb{Z} \models \forall x. p'(x) = \prod_{i=0}^{i < k-1} (x - \bar{x}_i) q(x)$, so $\mathbb{Z} \models \forall x. p(x) = (x - \bar{x}) \prod_{i=0}^{i < k-1} (x - \bar{x}_i) q(x)$.
1634 ◀

► **Lemma 41.** *For each non-zero univariate polynomial it holds that:*

$$|\{x \in \mathbb{Z} \mid \mathbb{Z} \models p(x) = 0\}| \leq \deg(p)$$

1635 **Proof.** This result is shown applying the previous lemma:

$$\begin{aligned} 1636 \quad |\{x \in \mathbb{Z} \mid \mathbb{Z} \models p(x) = 0\}| &= |\{x \in \mathbb{Z} \mid \mathbb{Z} \models \prod_{i=0}^{i < k} (x - \bar{x}_i) q(x) = 0\}| = \\ 1637 \quad &= |\{x \in \mathbb{Z} \mid \mathbb{Z} \models \prod_{i=0}^{i < k} (x - \bar{x}_i) = 0\}| = k \leq \deg(p) \\ 1638 \end{aligned}$$

1639

► **Corollary 12.** For every $S \subseteq \mathbb{Z}$ and for every non-zero univariate polynomial, it holds that:

$$|\{x \in S \mid \mathbb{Z} \models p(x) = 0\}| \leq \deg(p).$$

1640 **Proof of Lemma 38.** The proof is by induction on n :

1641 ■ The claim for $n = 1$ coincides with Corollary 12.

■ In the general case, we take the normal form of p , call that polynomial p' . It holds that

$$\mathbb{Z} \models \forall \vec{x}. p(\vec{x}) = p'(\vec{x}),$$

then we go by induction on d , we can factorize x_1 from each monomial of p and show that

$$\exists k. \mathbb{Z} \models \forall x_1, \dots, x_n. p'(x_1, \dots, x_n) = \sum_{i=0}^k x_1^i \cdot p_i(x_2, \dots, x_n)$$

Where p_k is non-zero. Applying the IH on d to p_k , we obtain that:

$$\{(x_2, \dots, x_n) \in S^{n-1} \mid \mathbb{Z} \models p_k(x_2, \dots, x_n) = 0\} \leq (d-k)|S|^{n-2}$$

1642 Fix some $(y_2, \dots, y_n) \in S^{n-1}$ and assume that $p_k(y_2, \dots, y_n) \neq 0$. In this case, the
 1643 polynomial $\bar{p}_{y_2, \dots, y_n}(x) := \sum_{i=0}^k x^i \cdot p_i(y_2, \dots, y_n)$ is not identically zero because it is a
 1644 normal form and a normal form is identically zero if and only if all its coefficients are
 1645 different from zero, but the coefficient of x^k is different from 0 for construction. Thus, we
 1646 can apply the IH on n , showing that:

$$1647 \quad \forall (y_2, \dots, y_n) \in S^{n-1}. |\{x \in S \mid \mathbb{Z} \models \bar{p}_{y_2, \dots, y_n}(x) = 0\}| \leq k, \quad (*)$$

We continue by observing that

$$\forall (y_2, \dots, y_n) \in S^{n-1}. \{x \in S \mid \mathbb{Z} \models \bar{p}_{y_2, \dots, y_n}(x) = 0\} = \{x \in S \mid \mathbb{Z} \models p(x, y_2, \dots, y_n) = 0\},$$

1648 for the definition of \bar{p} . We can conclude that

$$\begin{aligned} & \{(x_1, \dots, x_n) \in S^n \mid \mathbb{Z} \models p(x_1, \dots, x_n) = 0\} = \\ & \{(x_1, \dots, x_n) \in S^n \mid \mathbb{Z} \models p(x_1, \dots, x_n) = 0 \wedge p_k(x_2, \dots, x_n) = 0\} \cup \\ & \{(x_1, \dots, x_n) \in S^n \mid \mathbb{Z} \models p(x_1, \dots, x_n) = 0 \wedge p_k(x_2, \dots, x_n) \neq 0\} = \\ 1649 & \{(x_1, \dots, x_n) \in S^n \mid \mathbb{Z} \models p(x_1, \dots, x_n) = 0 \wedge p_k(x_2, \dots, x_n) = 0\} \cup \\ & \{(x_1, \dots, x_n) \in S^n \mid \mathbb{Z} \models p(x_1, \dots, x_n) = 0 \wedge p_k(x_2, \dots, x_n) \neq 0 \wedge \bar{p}_{x_2, \dots, x_n}(x_1) = 0\} \subseteq \\ & \{(x_1, \dots, x_n) \in S^n \mid \mathbb{Z} \models p_k(x_2, \dots, x_n) = 0\} \cup \{(x_1, \dots, x_n) \in S^n \mid \mathbb{Z} \models \bar{p}_{x_2, \dots, x_n}(x_1) = 0\} = \\ & S \times \{(x_2, \dots, x_n) \in S^{n-1} \mid \mathbb{Z} \models \bar{p}_{x_2, \dots, x_n}(x_1) = 0\} \cup \{(x_1, \dots, x_n) \in S^n \mid \mathbb{Z} \models \bar{p}_{x_2, \dots, x_n}(x_1) = 0\} \end{aligned}$$

1650 This result can be lifted to sizes, obtaining the claim.

1651 ◀

1652 **Proof of Lemma 36.** We omit the case for $|x| < \varrho$, since the conclusion is trivial. The claim
 1653 is equivalent to the following one:

$$1654 \quad \left| \left\{ z = \begin{pmatrix} x_{1,1} & \dots & x_{n,1} & k_1 \\ x_{1,2} & \dots & x_{n,2} & k_2 \\ \vdots & \vdots & \ddots & \vdots \\ x_{1,37m} & \dots & x_{n,37m} & k_r \end{pmatrix} \in (\{0, 2^{m+3} - 1\}^n \times \{1, 2^{2m}\})^{37m} \mid G^v(p, m, n, d, \epsilon, z, 37m) \wedge H(p, 0) \right\} \right| \leq \frac{1}{3} 2^{37m \cdot (n(m+3) + 2m)}$$

1655 The set on the left is:

$$1656 \quad \left\{ \begin{pmatrix} x_{1,1} & \dots & x_{n,1} & k_1 \\ x_{1,2} & \dots & x_{n,2} & k_2 \\ \vdots & \vdots & \ddots & \vdots \\ x_{1,37m} & \dots & x_{n,37m} & k_r \end{pmatrix} \in (\{0, 2^{m+3} - 1\}^n \times \{1, 2^{2m}\})^{37m} \mid \forall 1 \leq j \leq 48m. \mathbb{Z} \models p(\vec{x}_j) = 0 \vee (\mathbb{Z} \models p(\vec{x}_j) \neq 0 \wedge k_j \text{ does divide } p(\vec{x}_j)) \right\}$$

1657 Thus, it is equal to:

$$\begin{aligned} & \{(x_1, \dots, x_n, k) \in (\{0, 2^{m+3} - 1\}^n \times \{1, 2^{2m}\}) \mid \\ & \quad \mathbb{Z} \models p(\vec{x}) = 0 \vee (\mathbb{Z} \models p(\vec{x}) \neq 0 \wedge k \text{ does divide } p(\vec{x}))\}^{37m} \subseteq \\ 1660 & \\ 1661 & \{(\{x_1, \dots, x_n, k) \in \{0, 2^{m+3} - 1\}^n \times \{1, 2^{2m}\} \mid \mathbb{Z} \models p(\vec{x}) = 0\} \cup \\ 1662 & \{x_1, \dots, x_n, k) \in \{0, 2^{m+3} - 1\}^n \times \{1, 2^{2m}\} \mid \mathbb{Z} \models p(\vec{x}) \neq 0 \wedge k \text{ does divide } p(\vec{x})\})^{37m} \\ 1663 & \\ 1664 & \{(\{x_1, \dots, x_n, k) \in \{0, 2^{m+3} - 1\}^n \times \{1, 2^{2m}\} \mid \mathbb{Z} \models p(\vec{x}) = 0\} \cup \\ 1665 & \{x_1, \dots, x_n, k) \in \{0, 2^{m+3} - 1\}^n \times \{1, 2^{2m}\} \mid \mathbb{Z} \models p(\vec{x}) \neq 0 \wedge k \text{ does divide } p(\vec{x})\})^{37m} \\ 1666 & \end{aligned}$$

Thus, its size is smaller than:

$$\left(\frac{|\{(x_1, \dots, x_n, k) \in \{0, 2^{m+3} - 1\}^n \times \{1, 2^{2m}\} \mid \mathbb{Z} \models p(\vec{x}) = 0\}|}{2^{n(m+3)+2m}} + \frac{|\{(x_1, \dots, x_n, k) \in \{0, 2^{m+3} - 1\}^n \times \{1, 2^{2m}\} \mid \mathbb{Z} \models p(\vec{x}) \neq 0 \wedge k \text{ does divide } p(\vec{x})\}|}{2^{n(m+3)+2m}} \right)^{37m}.$$

Our goal is equivalent to showing the ratio between that value and $2^{37m \cdot (n(m+3)+2m)}$ is smaller than $\frac{1}{3}$. Which, in turn, resolves to:

$$\left(\frac{|\{(x_1, \dots, x_n, k) \in \{0, 2^{m+3} - 1\}^n \times \{1, 2^{2m}\} \mid \mathbb{Z} \models p(\vec{x}) = 0\}|}{2^{n(m+3)+2m}} + \frac{|\{(x_1, \dots, x_n, k) \in \{0, 2^{m+3} - 1\}^n \times \{1, 2^{2m}\} \mid \mathbb{Z} \models p(\vec{x}) \neq 0 \wedge k \text{ does divide } p(\vec{x})\}|}{2^{n(m+3)+2m}} \right)^{37m} \leq \frac{1}{3}.$$

From Lemma 38 and point (e), it is smaller than:

$$\left(\frac{2^m \cdot 2^{(m+3) \cdot (n-1)} \cdot 2^{2m}}{2^{n(m+3)+2m}} + \frac{|\{(x_1, \dots, x_n, k) \in \{0, 2^{m+3} - 1\}^n \times \{1, 2^{2m}\} \mid \mathbb{Z} \models p(\vec{x}) \neq 0 \wedge k \text{ does divide } p(\vec{x})\}|}{2^{n(m+3)+2m}} \right)^{37m} = \left(\frac{1}{8} - h + \frac{|\{(x_1, \dots, x_n, k) \in \{0, 2^{m+3} - 1\}^n \times \{1, 2^{2m}\} \mid \mathbb{Z} \models p(\vec{x}) \neq 0 \wedge k \text{ does divide } p(\vec{x})\}|}{2^{n(m+3)+2m}} \right)^{37m} \leq$$

Applying Proposition 11, we can find an upper bound to this value, which is:

$$\begin{aligned} & \left(\frac{1}{8} - h + \left(1 - \frac{1}{8} + h \right) \frac{2^{n(m+3)+2m} - \frac{2^{n(m+3)+2m}}{16m}}{2^{n(m+3)+2m}} \right)^{37m} = \\ & \left(\frac{1}{8} - h + \left(\frac{7}{8} + h \right) \frac{2^{n(m+3)+2m} - \frac{2^{n(m+3)+2m}}{16m}}{2^{n(m+3)+2m}} \right)^{37m} = \\ & \left(\frac{1}{8} - h + \left(\frac{7}{8} + h \right) \left(1 - \frac{1}{16m} \right) \right)^{37m} = \left(\frac{1}{8} - h + \frac{7}{8} - \frac{7}{8 \cdot 16m} + h - \frac{h}{16m} \right)^{37m} = \\ & \left(1 - \frac{7}{8 \cdot 16m} - \frac{h}{16m} \right)^{37m} = \left(1 - \frac{1}{16m} \left(\frac{7}{8} + h \right) \right)^{37m} \leq \left(1 - \frac{1}{\frac{8}{7} 16m} \right)^{37m} \leq \\ & \leq \left(1 - \frac{1}{\frac{8}{7} 16m} \right)^{\frac{8}{7} 16m} \cdot \left(1 - \frac{1}{\frac{8}{7} 16m} \right)^{\frac{8}{7} 16m} \leq \frac{1}{4} \end{aligned}$$

The very last observation is a consequence that $\forall n \geq 2. (1 + \frac{1}{n})^n \geq 2$. This, in turn, is done expanding the binomial $(1 + \frac{1}{n})^n$ and obtaining:

$$\left(1 + \frac{1}{n} \right)^n = \sum_{k=0}^n \frac{n!}{(n-k)!k!} \frac{1}{n^k} = \sum_{k=0}^n \frac{1}{k!} \prod_{i=1}^{k-1} \left(1 - \frac{i}{n^k} \right) = 1 + 1 + \sum_{k=2}^n \frac{1}{k!} \prod_{i=1}^{k-1} \left(1 - \frac{i}{n^k} \right)$$

Where the derivation is justified by:

- The binomial expansion, namely: $\forall a, b, n. (a + b)^n = \sum_{k=0}^n \frac{n!}{k!(n-k)!} a^{n-k} b^k$. The proof is by induction on n . We will omit the details, which can be found in many text-books.
- $\forall k. k! \geq 2^k$. The proof is by induction. The base case is trivial, the inductive one is done as follows: $(k+1)! = k!(k+1) \geq 2^k(k+1)$. Now we go by cases on k : if it is 0, the claim is trivial, otherwise we observe that $(k+1) \geq 2$ and thus $2^k(k+1) \geq 2^{k+1}$.

1693 ■ $\forall n. \sum_{k=1}^n 2^{-k} = 1 - 2^{-n}$, which is shown by induction on n . The base case is trivial. The
 1694 inductive one is done as follows: $\sum_{k=1}^{n+1} 2^{-k} = \sum_{k=1}^n 2^{-k} + 2^{-n-1} = 1 - 2^{-n} + 2^{-n-1} =$
 1695 $1 - 2^{-n-1}$.

These proof are completely syntactic thus, modulo an encoding for rational numbers and for their operations, they can be done in PA without much effort. The proof proceeds observing that

$$\left(1 + \frac{1}{n}\right)^n = \left(1 + \frac{1}{n}\right)^{-1 \cdot -1 \cdot n} = \left(\frac{n}{n+1}\right)^{-n} = \left(1 - \frac{1}{n}\right)^{-n}.$$

Therefore, $\forall n \geq 4. \left(1 - \frac{1}{n}\right)^n \leq \frac{1}{2}$, and so:

$$\left(1 - \frac{1}{\frac{8}{7}16m}\right)^{\frac{8}{7}16m} \cdot \left(1 - \frac{1}{\frac{8}{7}16m}\right)^{\frac{8}{7}16m} \leq \frac{1}{4}.$$

1696

1697 As we have shown, even last result can be proved in PA , using an encoding of finite sets
 1698 and standard arithmetic on \mathbb{N} .

1699 C.4 Closure of BPP_{PA} under polytime reduction

1700 The statement of Proposition 1 can be given more precisely as:

1701 ► **Proposition 12.** For every language $L \in \text{BPP}_{\text{PA}}$ and every language $M \in \mathbb{B}^*$, if there is
 1702 an polytime function $r_{M,L} : \mathbb{S} \rightarrow \mathbb{S}$, such that for every string in $\sigma \in \mathbb{S}$, $x \in M \leftrightarrow r(x) \in L$,
 1703 then M is in BPP_{PA} .

1704 **Proof.** Assume that $L \in \text{BPP}_{\text{PA}}$, and let G_L be the Σ_1^b \mathcal{RL} formula characterizing L as
 1705 required in Definition 9. Since $r_{M,L}$ is poly-time, there is a Σ_1^b **Flip**-less formula of \mathcal{RL}
 1706 R characterizing $r_{M,L}$ as well. This has a consequence that the formula $C(x, y) := \exists w \leq$
 1707 $t(x). R(x, w) \wedge G_L(w, y)$ characterizes the composition of the reduction $r_{M,L}$ and the function
 1708 χ_L . The function $C(x, y)$ is still a Σ_1^b formula, and characterizes a function deciding M
 1709 due to the hypothesis on the correctness of the reduction $r_{M,L}$. Form this conclusion and
 1710 $\text{Lang}(G_L) = L$, we deduce $\text{Lang}(C) = M$, we only need to show point (2) of Definition 9 for
 1711 C :

1712 $\text{PA} \vdash \forall x. \exists! y. \text{TwoThirds}[C]$

1713 $\text{PA} \vdash \forall x. \exists! y. \text{TwoThirds}[\exists w \leq t(x). R(x, w) \wedge G_L(w, y)]$

1714 $\text{PA} \vdash \forall x. \exists! y. \text{Threshold}[\text{NoFlip}[\exists w \leq t(x). R(x, w) \wedge G_L(w, y)]]$

1715 $\text{PA} \vdash \forall x. \exists w \leq t(x). R(x, w) \wedge \exists! y. \text{Threshold}[\text{NoFlip}[G_L(w, y)]]$
 1716

1717 This is a consequence of a Σ_1^b **Flip**-less formula of \mathcal{RL} and the hypothesis on G_L . ◀