



10

# Elementary Data Structures (Dynamic Sets)

ITX2010, CSX3003, IT2230

Data Structures and Algorithms,  
Information Structures

# Learning Objectives

Students will be able to:

- Understand what dynamic set is.
- Examine the representation of dynamic sets by simple data structures – such as stack queue, linked list and trees.

# Chapter Outline

## 1. Dynamic set

- 1) Elements of a dynamic set
- 2) Operations on dynamic set

## 2. Stack and Queue

- 1) Stack
- 2) Queue

## 3. Linked list

- 1) Linked list
- 2) Searching a linked list
- 3) Inserting into a linked list
- 4) Deleting from a linked list
- 5) Sentinels

## 4. Representing rooted tree

- 1) Binary tree
- 2) Rooted tree with unbounded branching

# 10.1

## Dynamic set

- 1) Elements of a dynamic set
- 2) Operations on dynamic set

# Elements of a dynamic set

## Dynamic Set

- Set is as fundamental both computer science and mathematics.
- Mathematica sets are unchanging.
- The set manipulated by algorithms can grow, shrink, or otherwise change over time -> is called “dynamic”. [1]
  - It requires operations to be performed on it.
  - Stack, Queue, Linked list, tree.
- Implement a dynamic set depends upon the operations that must supported. [1]

# Elements of a dynamic set

## Dynamic Set

- A typical implementation of a dynamic set is represented by an object whose fields can be examined and how we manipulated.
- A dynamic set can be:
  - An identifying key field,
  - A set of key values,

# Operations on dynamic sets

## Dynamic Set

- Operations on a dynamic set can be grouped into two categories:
  1. Query -> simply returns information about the set and
  2. Modification -> changes the set.

### Query

$\text{Search}(S, k)$  = Query the element contains  $k$  in the set.

$\text{Minimum}(S)$  /  $\text{Maximum}(S)$  = Query min / max element of the set.

$\text{Successor}(S, x)$  /  $\text{Predecessor}(S, x)$  = Query the next larger / smaller element pointer of the set

### Modify

$\text{Insert}(S, x)$  = Insert operation

$\text{Delete}(S, x)$  = Delete operation

$\text{Update}(S, x)$  = Update operation

# 10.2

## Stack and Queue

- 1) Stack
- 2) Queue



# Stack

## Stack and Queue

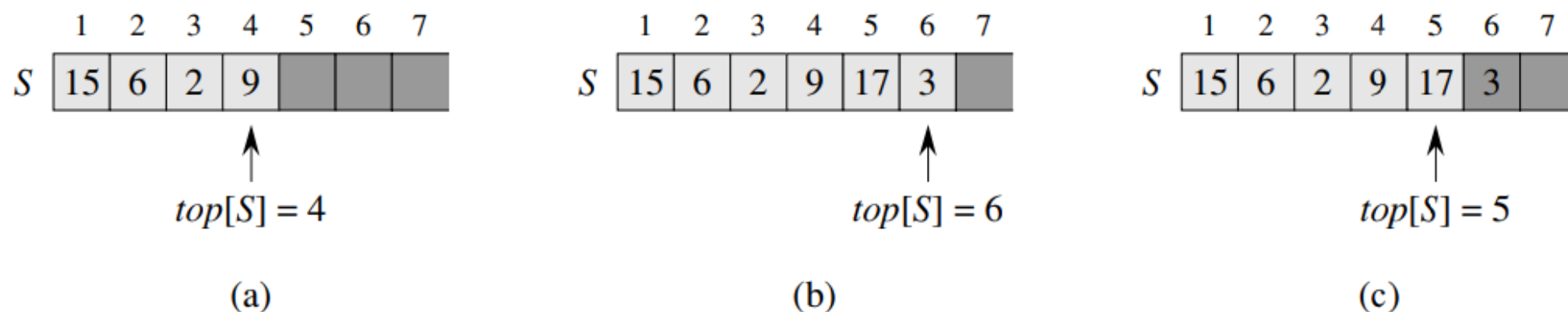
- It is a dynamic sets -> where the element removed from the set is the one most recently inserted. [1]
- It is Last-in, First-out (LIFO) policy
  - The order in which they are popped will be the reverse of the order in which they were pushed onto the stack.
- Insert operation is called “Push”,
- Delete operation is called “Pop” and it must take no argument and always remove the top item.

# Stack

## Stack and Queue

### 10.1 Stacks and queues

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**Figure 10.1** An array implementation of a stack  $S$ . Stack elements appear only in the lightly shaded positions. (a) Stack  $S$  has 4 elements. The top element is 9. (b) Stack  $S$  after the calls  $PUSH(S, 17)$  and  $PUSH(S, 3)$ . (c) Stack  $S$  after the call  $POP(S)$  has returned the element 3, which is the one most recently pushed. Although element 3 still appears in the array, it is no longer in the stack; the top is element 17. [1]

# Stack

## Stack and Queue

### STACK-EMPTY( $S$ )

```

1  if  $top[S] = 0$ 
2    then return TRUE
3    else return FALSE

```

### PUSH( $S, x$ )

```

1   $top[S] \leftarrow top[S] + 1$ 
2   $S[top[S]] \leftarrow x$ 

```

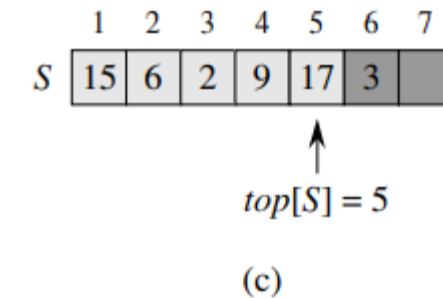
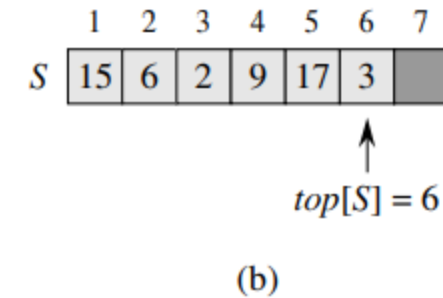
### POP( $S$ )

```

1  if STACK-EMPTY( $S$ )
2    then error "underflow"
3  else  $top[S] \leftarrow top[S] - 1$ 
4    return  $S[top[S] + 1]$ 

```

Figure 10.1 shows the effects of the modifying operations PUSH and POP. Each of the three stack operations takes  $O(1)$  time. [1]



```

1  # Stack array implementation in python
2  # Creating a stack
3  def create_stack():
4      stack = []
5      return stack
6  # Creating an empty stack
7  def check_empty(stack):
8      return len(stack) == 0
9  # Adding items into the stack
10 def push(stack, item):
11     stack.append(item)
12     print("Push: " + item)
13 # Removing an element from the stack
14 def pop(stack):
15     if (check_empty(stack)):
16         return "Stack array S[] is empty"
17     return stack.pop()

18
19 stack = create_stack()
20 print("Stack S array S[]:" + str(stack))
21 push(stack, str(15))
22 push(stack, str(6))
23 push(stack, str(2))
24 push(stack, str(9))
25 print("\nFigure 10.1 (a) Stack S has 4 elements.")
26 print("Stack array S[]:" + str(stack))
27 print("\nFigure 10.1 (b) Stack S after calls push(S, 17) and push(S,3).")
28 push(stack, str(17))
29 push(stack, str(3))
30 print("Stack array S[]:" + str(stack))
31 print("\nFigure 10.1 (c) Stack S after calls pop(S) has returned element 3, which is the one most recently pushed.")
32 print("Pop: " + pop(stack))
33 print("Stack array S[]:" + str(stack))

```

Stack S array S[]:[]

Push: 15

Push: 6

Push: 2

Push: 9

Figure 10.1 (a) Stack S has 4 elements.

Stack array S[]: ['15', '6', '2', '9']

Figure 10.1 (b) Stack S after calls push(S, 17) and push(S,3).

Push: 17

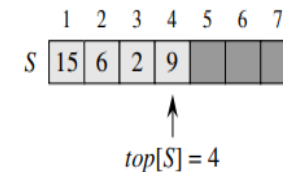
Push: 3

Stack array S[]: ['15', '6', '2', '9', '17', '3']

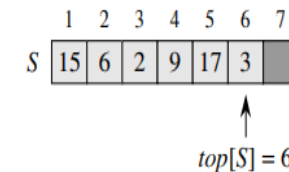
Figure 10.1 (c) Stack S after calls pop(S) has returned element 3, which is the one most recently pushed.

Pop: 3

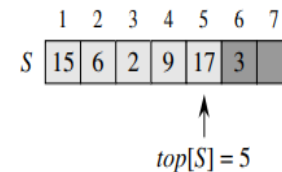
Stack array S[]: ['15', '6', '2', '9', '17']



(a)



(b)



(c)

# Queue

## Stack and Queue

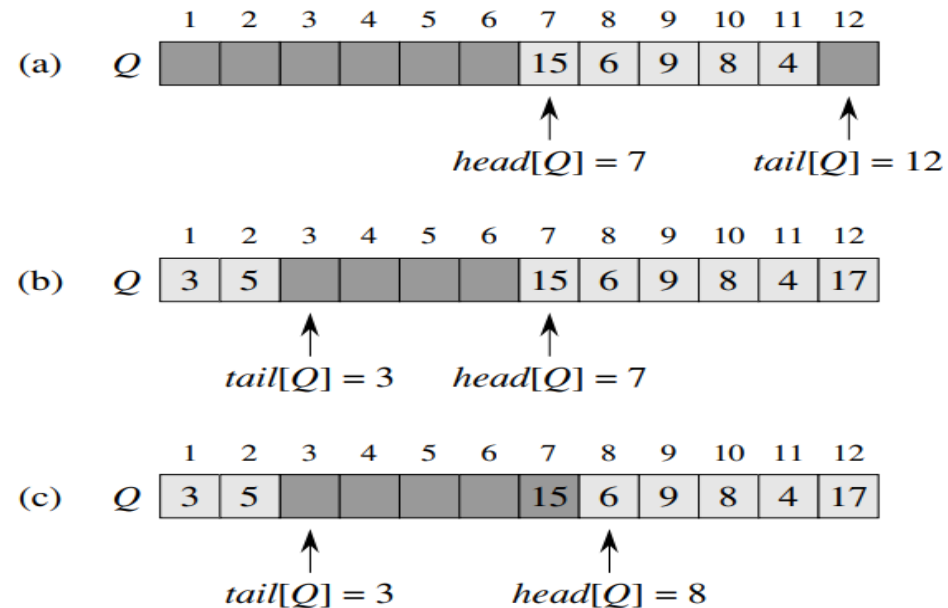
- A dynamic set is -> where the element removed from one side and inserted to another one side. [1]
- It is First-in, First-out (FIFO) policy.
- Dequeue must take no argument but remove from a side that is not enqueue side.
- Insert operation is called “Enqueue”.
- Delete operation is called “Dequeue”.
- It has a head and tail,
  - Enqueued item will take place at the tail of the queue and
  - Dequeued item will be the one at the head of the queue.

# Queue

## Stack and Queue

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**Figure 10.2** A queue implemented using an array  $Q[1..12]$ . Queue elements appear only in the lightly shaded positions. (a) The queue has 5 elements, in locations  $Q[7..11]$ . (b) The configuration of the queue after the calls  $ENQUEUE(Q, 17)$ ,  $ENQUEUE(Q, 3)$ , and  $ENQUEUE(Q, 5)$ . (c) The configuration of the queue after the call  $DEQUEUE(Q)$  returns the key value 15 formerly at the head of the queue. The new head has key 6. [1]

# Queue

## Stack and Queue

### ENQUEUE( $Q, x$ )

```

1   $Q[tail[Q]] \leftarrow x$ 
2  if  $tail[Q] = length[Q]$ 
3      then  $tail[Q] \leftarrow 1$ 
4      else  $tail[Q] \leftarrow tail[Q] + 1$ 

```

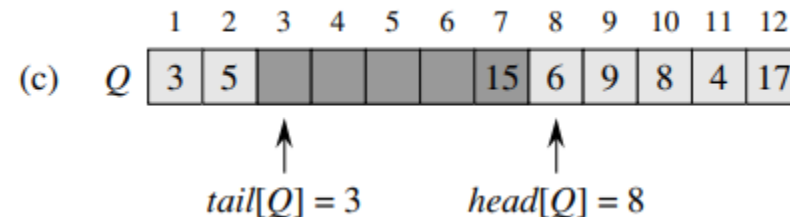
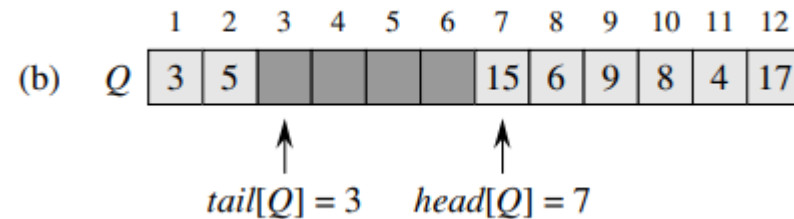
### DEQUEUE( $Q$ )

```

1   $x \leftarrow Q[head[Q]]$ 
2  if  $head[Q] = length[Q]$ 
3      then  $head[Q] \leftarrow 1$ 
4      else  $head[Q] \leftarrow head[Q] + 1$ 
5  return  $x$ 

```

Figure 10.2 shows the effects of the ENQUEUE and DEQUEUE operations. Each operation takes  $O(1)$  time. [1]



```

1 class CircularQueue():
2
3     def __init__(self, size): # initializing the class
4         self.size = size
5         # initializing queue with none
6         self.queue = [None for i in range(size)]
7         self.front = self.rear = -1
8
9     def enqueue(self, data):
10        # condition if queue is full
11        if ((self.rear + 1) % self.size == self.front):
12            print(" Queue is Full\n")
13        # condition for empty queue
14        elif (self.front == -1):
15            self.front = 0
16            self.rear = 0
17            self.queue[self.rear] = data
18        else:
19            # next position of rear
20            self.rear = (self.rear + 1) % self.size
21            self.queue[self.rear] = data
22
23    def dequeue(self):
24        if (self.front == -1): # condition for empty queue
25            print("Queue is Empty\n")
26        # condition for only one element
27        elif (self.front == self.rear):
28            temp=self.queue[self.front]
29            self.front = -1
30            self.rear = -1
31            return temp
32        else:
33            temp = self.queue[self.front]
34            self.front = (self.front + 1) % self.size
35            return temp
36

```

[3]

Queue[] = 0 : 1 , 1 : 2 , 2 : 3 , 3 : 4 , 4 : 5 , 5 : 6 , 6 : 15 , 7 : 6 , 8 : 9 , 9 : 8 , 10 : 4 ,  
 The thread 'MainThread' (0x1) has exited with code 0 (0x0).

Dequeue: 1  
 Dequeue: 2  
 Dequeue: 3  
 Dequeue: 4  
 Dequeue: 5  
 Dequeue: 6

Figure 10.2 (a)

Queue[] = 6 : 15 , 7 : 6 , 8 : 9 , 9 : 8 , 10 : 4 ,

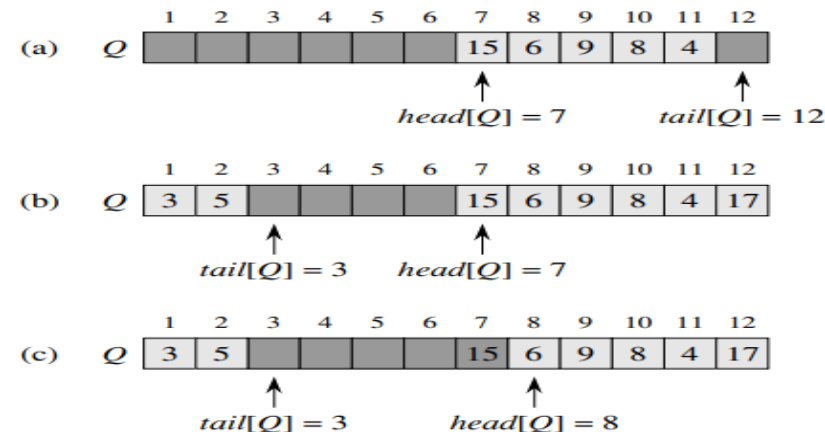
Figure 10.2 (b)

Queue[] = 6 : 15 , 7 : 6 , 8 : 9 , 9 : 8 , 10 : 4 , 11 : 17 ,

Figure 10.2 (c)

Queue[] = 7 : 6 , 8 : 9 , 9 : 8 , 10 : 4 , 11 : 17 , 0 : 3 , 1 : 5 ,

The program 'python.exe' has exited with code 0 (0x0).



[1]



```

36
37
38 def display(self):
39     # condition for empty queue
40     if(self.front == -1):
41         print ("Queue is Empty")
42     elif (self.rear >= self.front):
43         print("Queue[]=",
44               end = " ")
45         for i in range(self.front, self.rear + 1):
46             print(i,":", self.queue[i],", ", end = " ")
47         print ()
48     else:
49         print ("Queue[]=",
50               end = " ")
51         for i in range(self.front, self.size):
52             print(i,":", self.queue[i],", ", end = " ")
53         for i in range(0, self.rear + 1):
54             print(i,":", self.queue[i],", ", end = " ")
55         print ()
56     if ((self.rear + 1) % self.size == self.front):
57         print("Queue is Full")
58
59 # Queue Demonstration
60 ob = CircularQueue(12)
61 ob.enqueue(1)
62 ob.enqueue(2)
63 ob.enqueue(3)
64 ob.enqueue(4)
65 ob.enqueue(5)
66 ob.enqueue(6)
67 ob.enqueue(15)
68 ob.enqueue(6)
69 ob.enqueue(9)
70 ob.enqueue(8)
71 ob.enqueue(4)
72 ob.display()
73 print ("Deque:", ob.dequeue())
74 print ("Deque:", ob.dequeue())
75 print ("Deque:", ob.dequeue())
76 print ("Deque:", ob.dequeue())
77 print ("Deque:", ob.dequeue())
78 print("\nFigure 10.2 (a)")
79 ob.display()
80 ob.enqueue(17)
81 print("\nFigure 10.2 (b)")
82 ob.display()
83 ob.dequeue()
84 ob.enqueue(3)
85 ob.enqueue(5)
86 print("\nFigure 10.2 (c)")
87 ob.display()

```

[3]

Dequeue: 1  
 Dequeue: 2  
 Dequeue: 3  
 Dequeue: 4  
 Dequeue: 5  
 Dequeue: 6

Figure 10.2 (a)

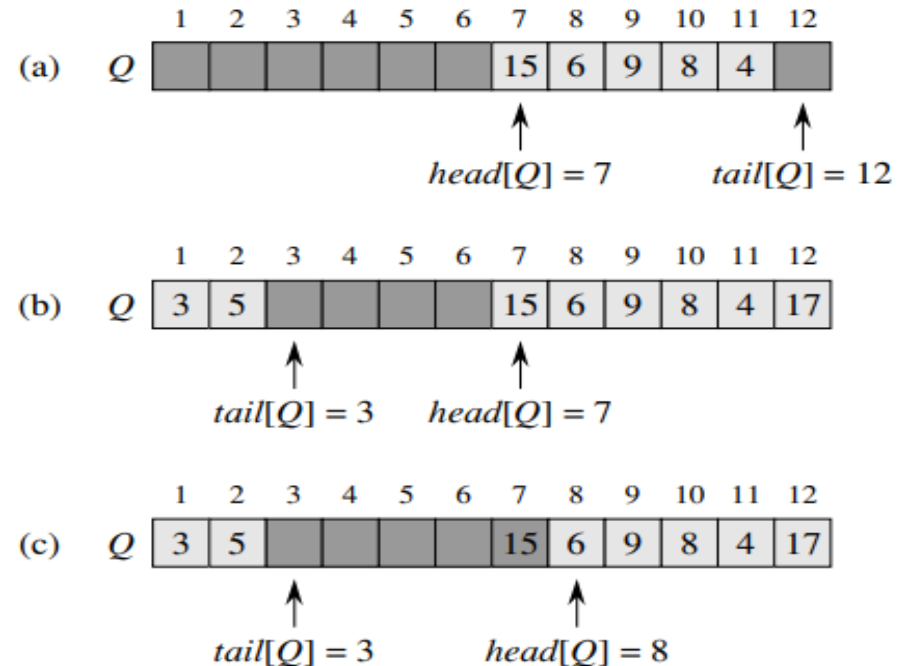
Queue[] = 6 : 15 , 7 : 6 , 8 : 9 , 9 : 8 , 10 : 4 ,

Figure 10.2 (b)

Queue[] = 6 : 15 , 7 : 6 , 8 : 9 , 9 : 8 , 10 : 4 , 11 : 17 ,

Figure 10.2 (c)

Queue[] = 7 : 6 , 8 : 9 , 9 : 8 , 10 : 4 , 11 : 17 , 0 : 3 , 1 : 5 ,  
 The program 'python.exe' has exited with code 0 (0x0).



[1]

# 10.3

## Linked List

- 1) Searching a linked list
- 2) Inserting into a linked list
- 3) Deleting from a linked list
- 4) Sentinel

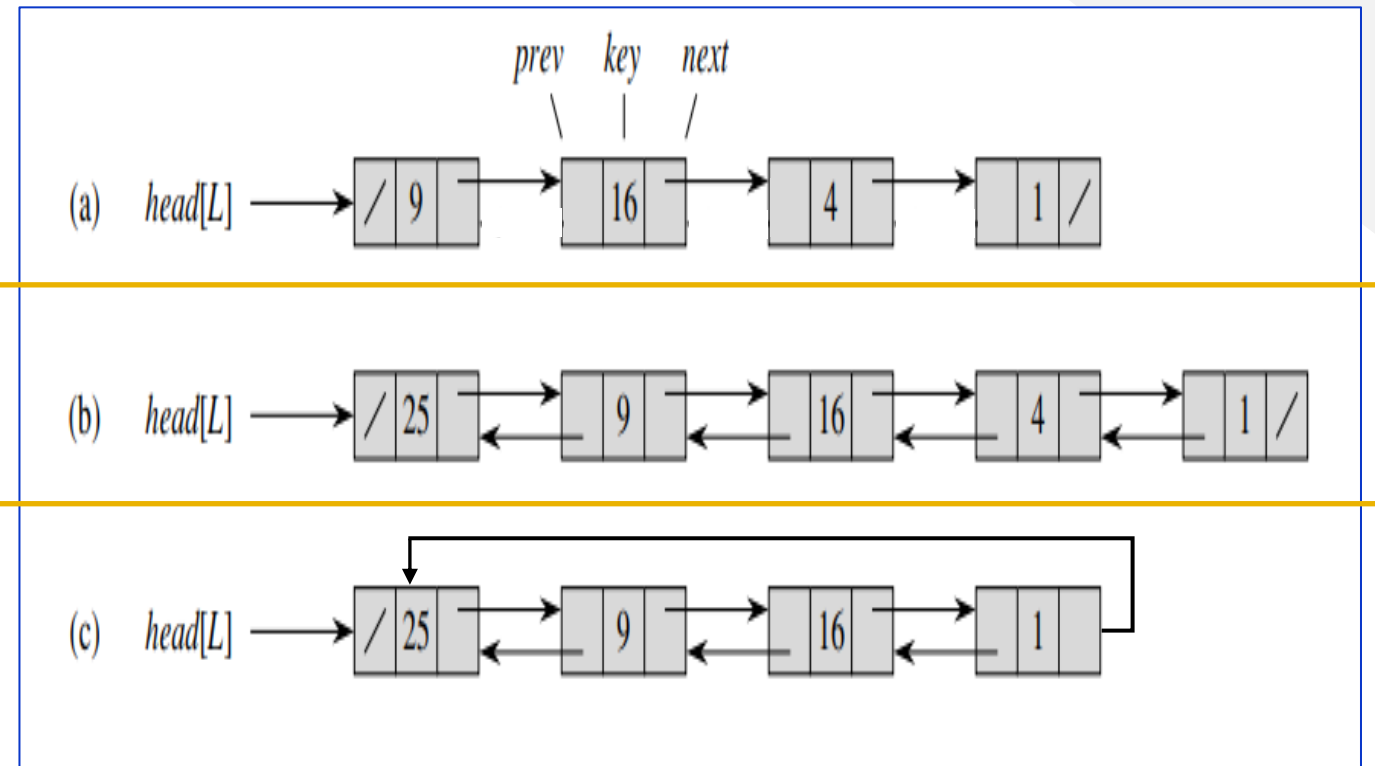
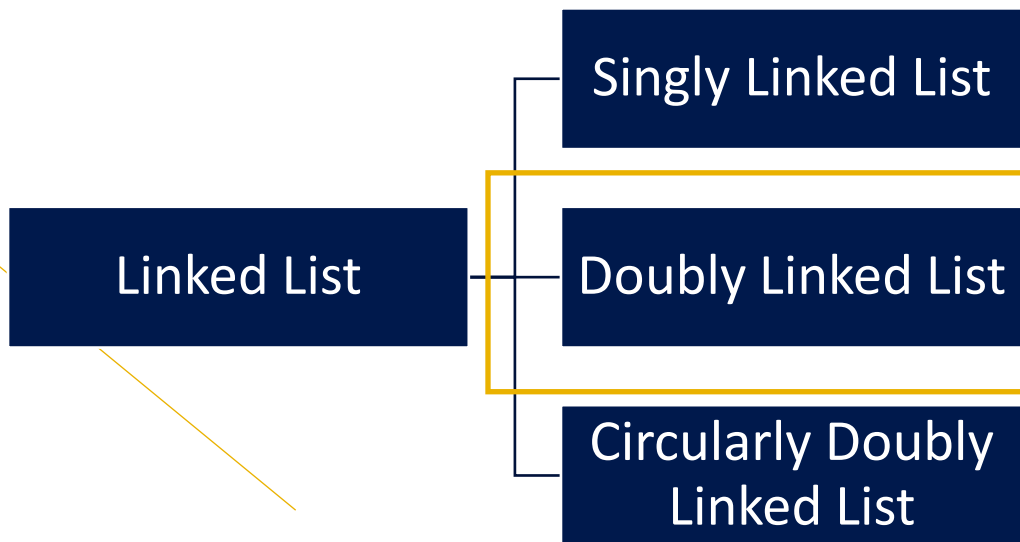
# Linked List

## Linked List

- It is a data structure in which the objects are arranged in a linear order. [1]
- It can be sorted or unsorted list.
- Unlike an array, the linked list order is determined by a pointer in each object.
- Like list, it provides a simple, flexible representation for dynamic set.

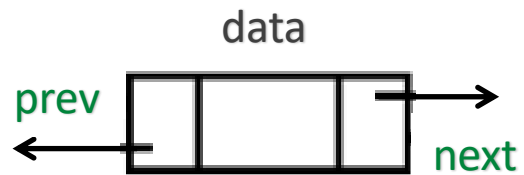
# Linked List

Linked List



# Doubly Linked Node

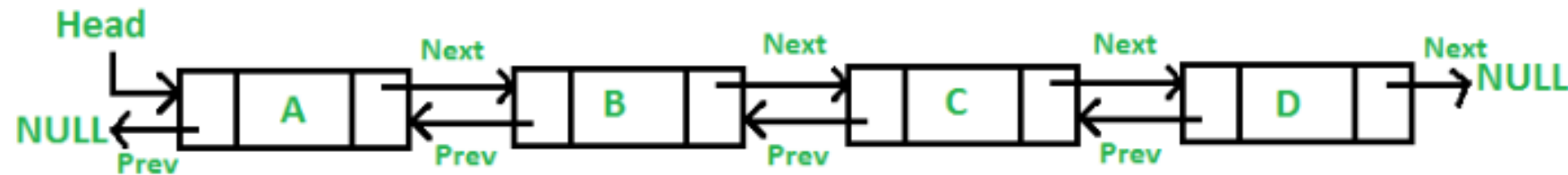
Linked List



```
1  # Node of a doubly linked list [3]
2  class Node:
3      def __init__(self, next=None, prev=None, data=None):
4          self.next = next # reference to next node in DLL
5          self.prev = prev # reference to previous node in DLL
6          self.data = data
```

# Doubly Linked List

## Linked List



```

1  # Node of a doubly linked list [3]
2  class Node:
3      def __init__(self, next=None, prev=None, data=None):
4          self.next = next # reference to next node in DLL
5          self.prev = prev # reference to previous node in DLL
6          self.data = data
7
8  # Class to create a Doubly Linked List
9  class DoublyLinkedList:
10     # Constructor for empty Doubly Linked List
11     def __init__(self):
12         self.head = None

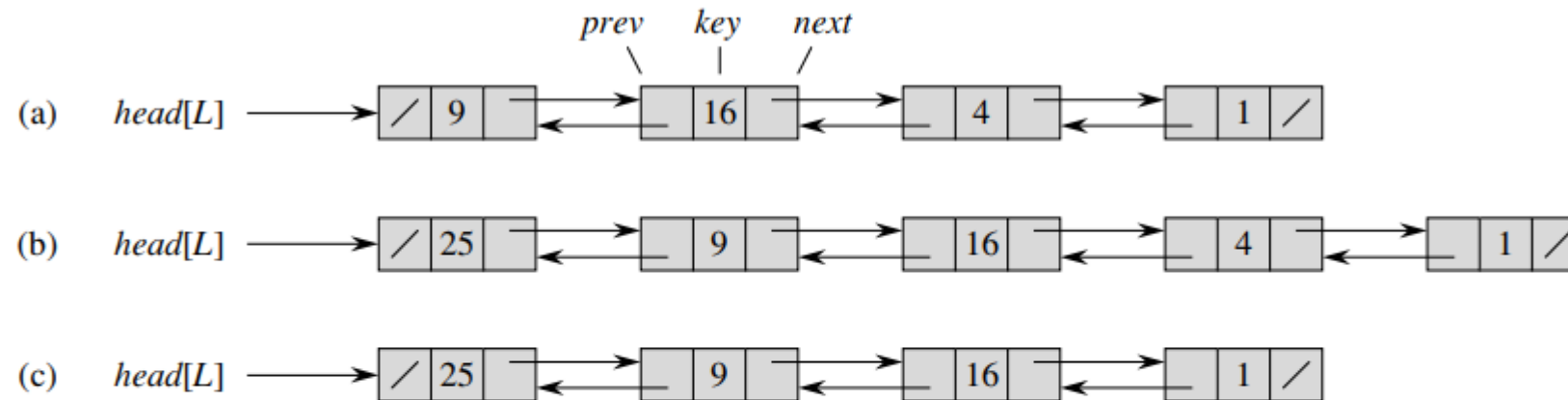
```

# Linked List

## Linked List

10.2 Linked lists

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**Figure 10.3** (a) A doubly linked list  $L$  representing the dynamic set  $\{1, 4, 9, 16\}$ . Each element in the list is an object with fields for the key and pointers (shown by arrows) to the next and previous objects. The *next* field of the tail and the *prev* field of the head are NIL, indicated by a diagonal slash. The attribute  $head[L]$  points to the head. (b) Following the execution of  $LIST-INSERT(L, x)$ , where  $key[x] = 25$ , the linked list has a new object with key 25 as the new head. This new object points to the old head with key 9. (c) The result of the subsequent call  $LIST-DELETE(L, x)$ , where  $x$  points to the object with key 4. [1]

# Inserting into a linked list

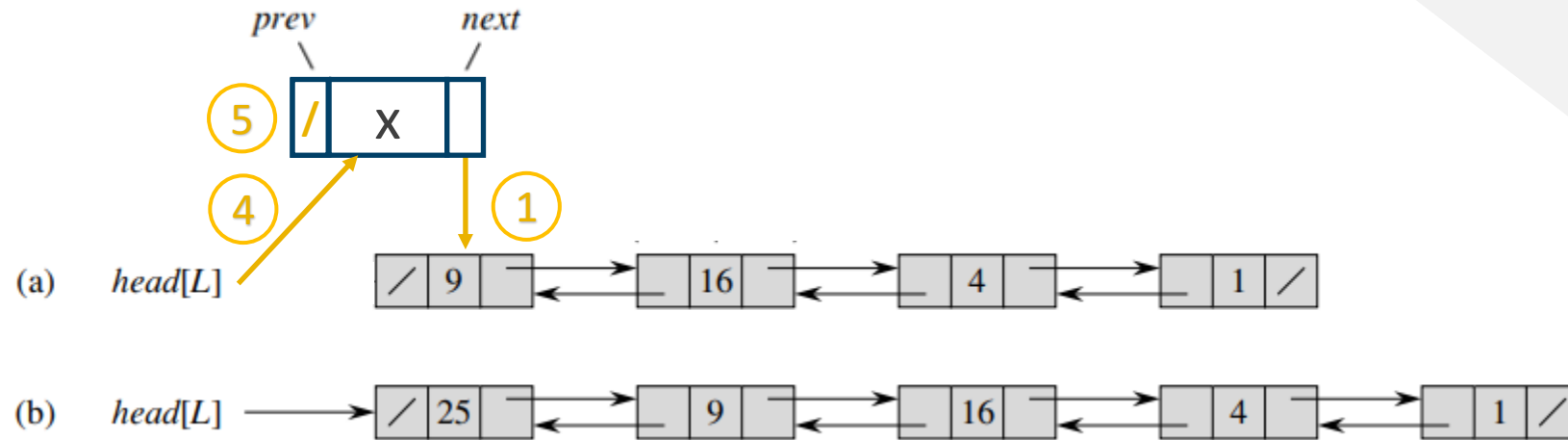
## Linked List

- An inserted node can be added in several conditions:
  1. Add at front
    - -> can be implemented in Push of a stack list
  2. Add between two nodes
    - -> This should provide for supporting a normal insertion and carefully be dropped in the restricted list such as stack list or queue list.
  3. Add at end
    - -> can be adopted to be Enqueue of a queue list



# Inserting into a linked list

Linked List



**LIST-INSERT**(*L*, *x*)

```

1  next[x] ← head[L]
2  if head[L] ≠ NIL
3      then prev[head[L]] ← x
4  head[L] ← x
5  prev[x] ← NIL

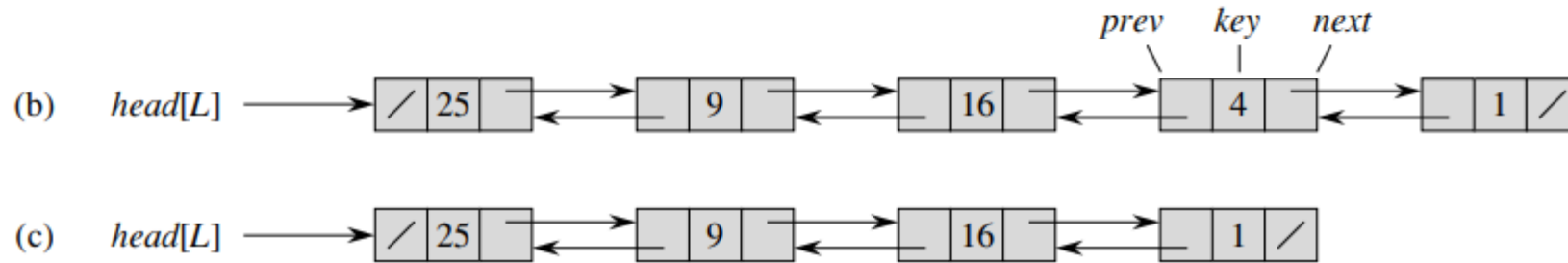
```

[1]

The running time for LIST-INSERT on a list of  $n$  elements is  $O(1)$ .

# Deleting from a linked list

## Linked List



### LIST-DELETE( $L, x$ )

```

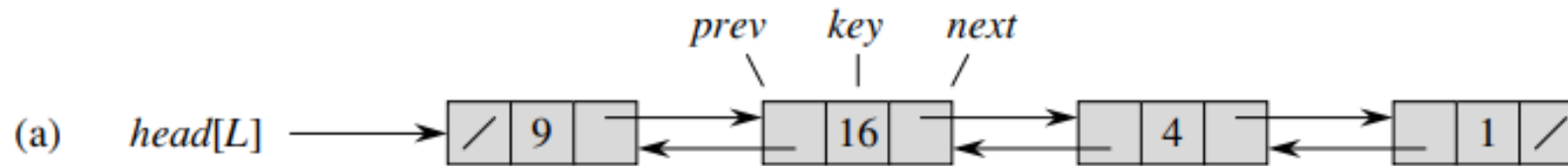
1  if  $prev[x] \neq \text{NIL}$ 
2    then  $next[prev[x]] \leftarrow next[x]$ 
3    else  $head[L] \leftarrow next[x]$ 
4  if  $next[x] \neq \text{NIL}$ 
5    then  $prev[next[x]] \leftarrow prev[x]$ 

```

Figure 10.3(c) shows how an element is deleted from a linked list. LIST-DELETE runs in  $O(1)$  time, but if we wish to delete an element with a given key,  $\Theta(n)$  time is required in the worst case because we must first call LIST-SEARCH. [1]

# Searching a linked list

## Linked List



**LIST-SEARCH( $L, k$ )**

```

1   $x \leftarrow head[L]$ 
2  while  $x \neq \text{NIL}$  and  $key[x] \neq k$ 
3      do  $x \leftarrow next[x]$ 
4  return  $x$ 

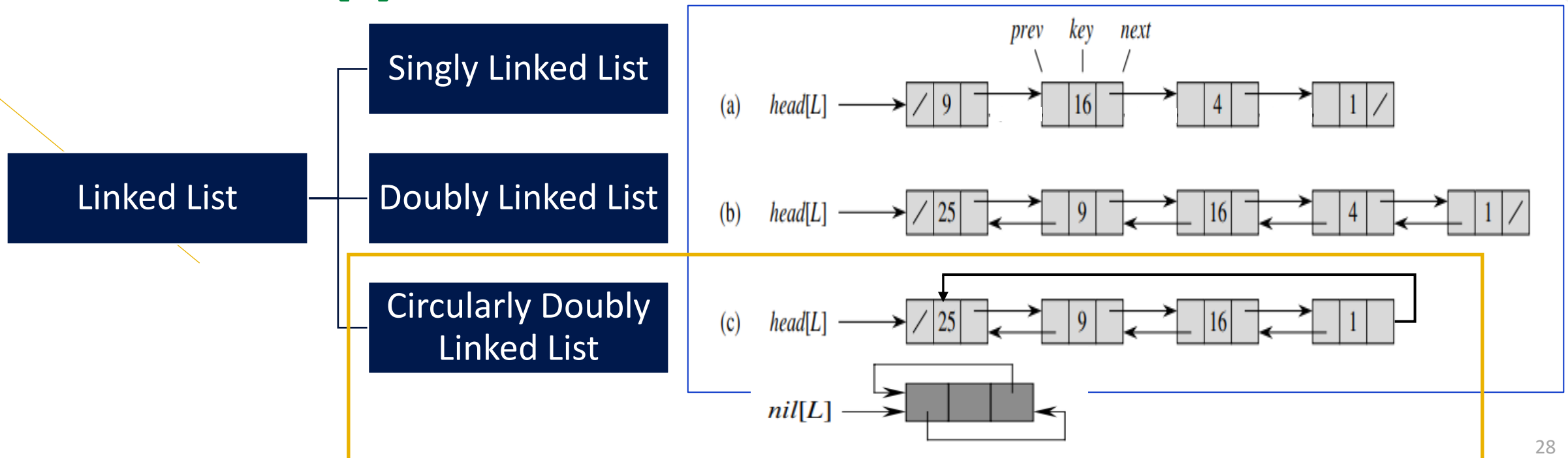
```

To search a list of  $n$  objects, the LIST-SEARCH procedure takes  $\Theta(n)$  time in the worst case, since it may have to search the entire list. [1]

# Sentinels

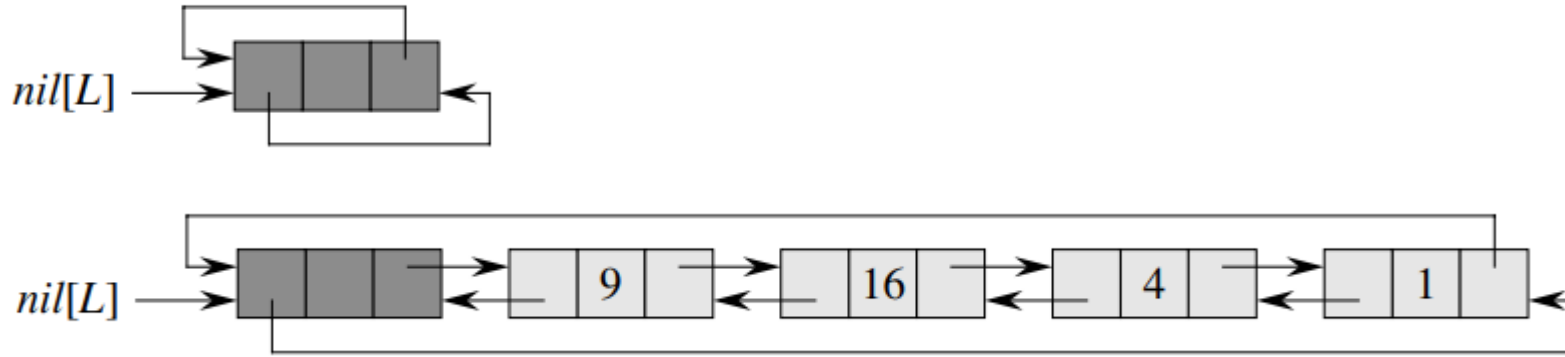
## Linked List

- It is a dummy object ( $nil[L]$ ) that allows us to simplify the boundary condition.
- If linked list is a circular doubly linked list, the sentinel  $nil[L]$  is placed between head and tail. **[1]**



# Linked List

## Linked List



### LIST-SEARCH( $L, k$ )

```

1  $x \leftarrow head[L]$ 
2 while  $x \neq NIL$  and  $key[x] \neq k$ 
3     do  $x \leftarrow next[x]$ 
4 return  $x$ 

```

### LIST-SEARCH'( $L, k$ )

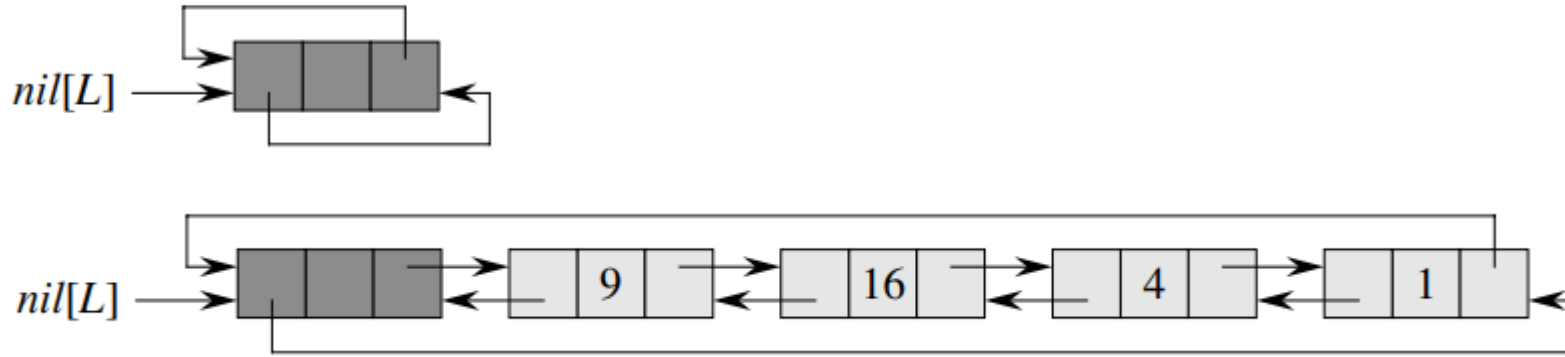
```

1  $x \leftarrow next[nil[L]]$ 
2 while  $x \neq nil[L]$  and  $key[x] \neq k$ 
3     do  $x \leftarrow next[x]$ 
4 return  $x$ 

```

# Linked List

## Linked List

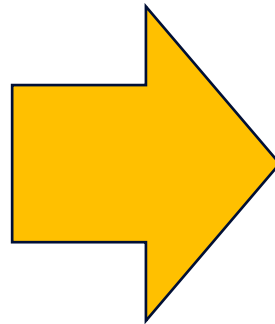


### LIST-INSERT( $L, x$ )

```

1   $next[x] \leftarrow head[L]$ 
2  if  $head[L] \neq NIL$ 
3      then  $prev[head[L]] \leftarrow x$ 
4   $head[L] \leftarrow x$ 
5   $prev[x] \leftarrow NIL$ 

```

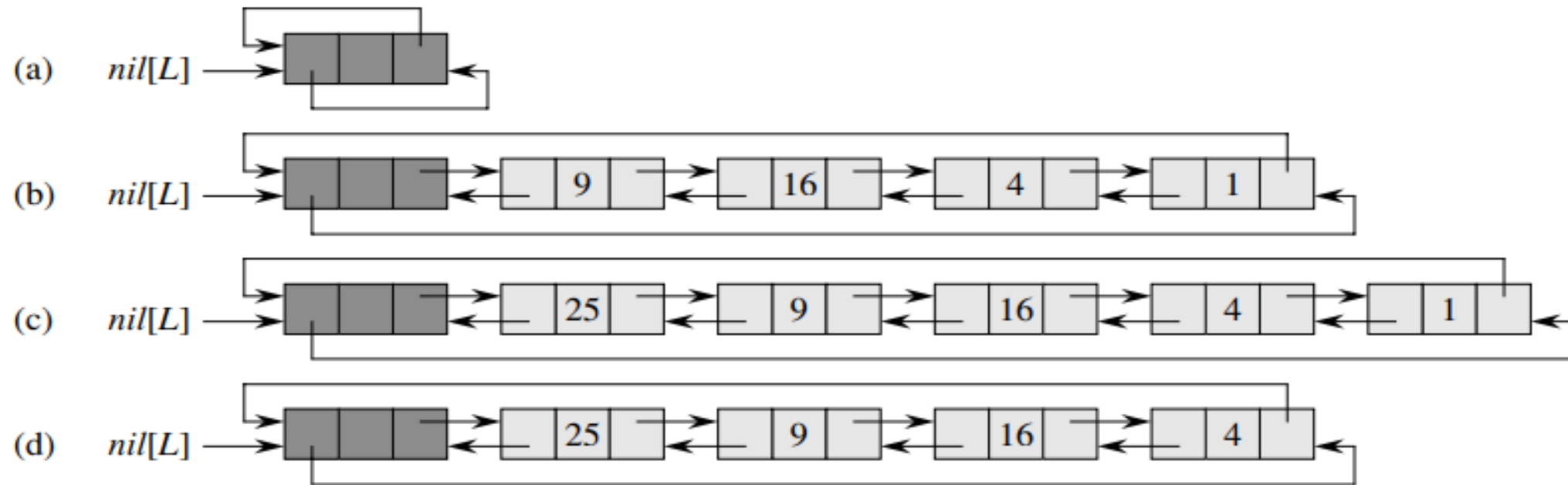


### LIST-INSERT'( $L, x$ )

```

1   $next[x] \leftarrow next[nil[L]]$ 
2   $prev[next[nil[L]]] \leftarrow x$ 
3   $next[nil[L]] \leftarrow x$ 
4   $prev[x] \leftarrow nil[L]$ 

```



**Figure 10.4** A circular, doubly linked list with a sentinel. The sentinel  $nil[L]$  appears between the head and tail. The attribute  $head[L]$  is no longer needed, since we can access the head of the list by  $next[nil[L]]$ . (a) An empty list. (b) The linked list from Figure 10.3(a), with key 9 at the head and key 1 at the tail. (c) The list after executing  $LIST-INSERT'(L, x)$ , where  $key[x] = 25$ . The new object becomes the head of the list. (d) The list after deleting the object with key 1. The new tail is the object with key 4. [1]

# Sentinels

## Linked List

- Sentinel rarely reduces the asymptotic time bounds of data structure operations, but they can reduce constant factors. [1]
  - Sentinel may help to tighten the code in the loop, thus reducing the coefficient of term in the running time.
- The gain from using sentinel within loop -> is usually used in simplifying the code rather than improving the speed.
  - Searching, Insertion and Deletion running time require  $O(1)$  time.
- Sentinel should not be used indiscriminately.
  - If there are many small lists, the extra storage can represent wasted memory.



# 10.4

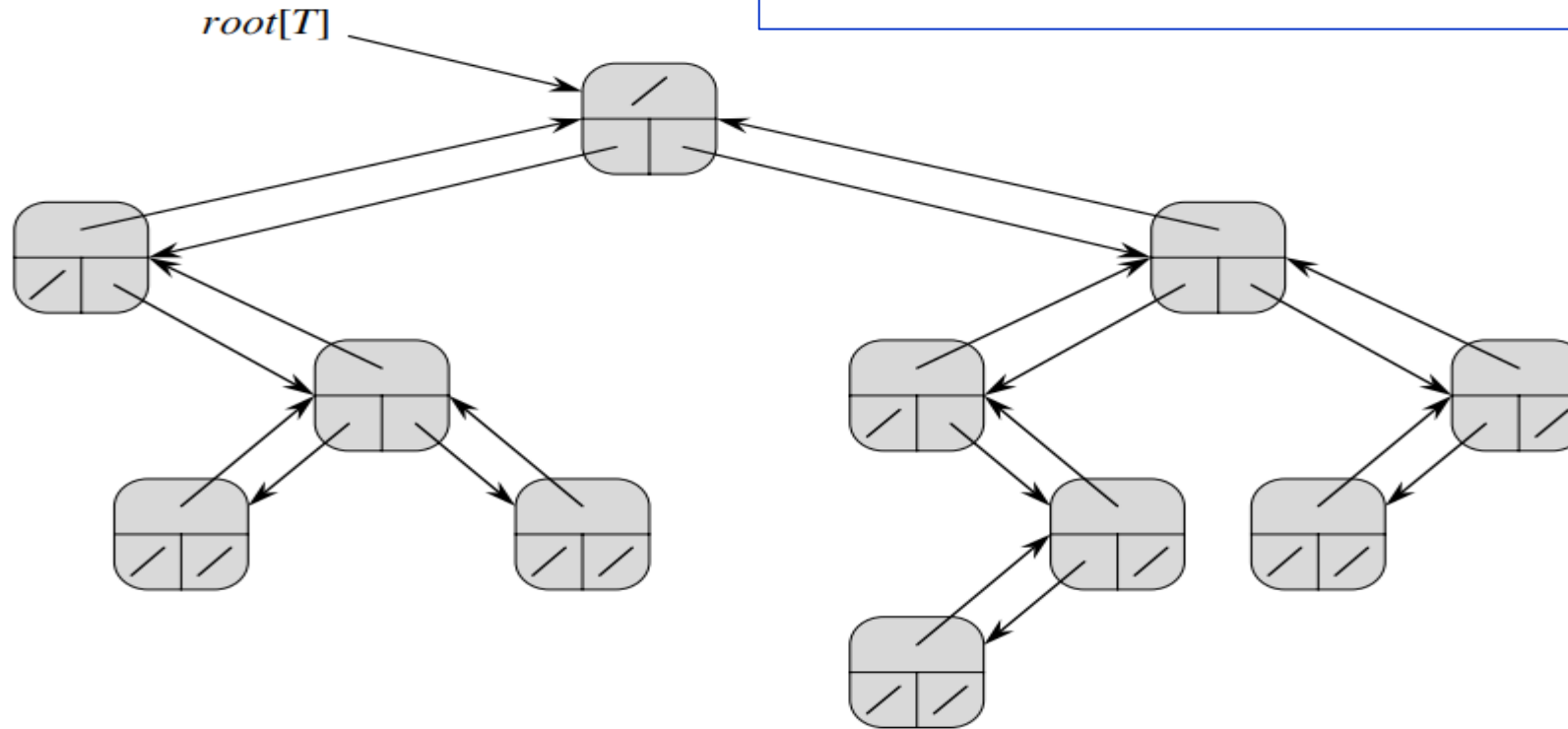
## Representing rooted tree

- 1) Binary trees
- 2) Root trees with unbounded branching

# Binary trees

## Representing rooted tree

As shown in Figure 10.9, we use the fields  $p$ ,  $left$ , and  $right$  to store pointers to the parent, left child, and right child of each node in a binary tree  $T$ . If  $p[x] = \text{NIL}$ , then  $x$  is the root. If node  $x$  has no left child, then  $left[x] = \text{NIL}$ , and similarly for the right child. The root of the entire tree  $T$  is pointed to by the attribute  $root[T]$ . If  $root[T] = \text{NIL}$ , then the tree is empty.



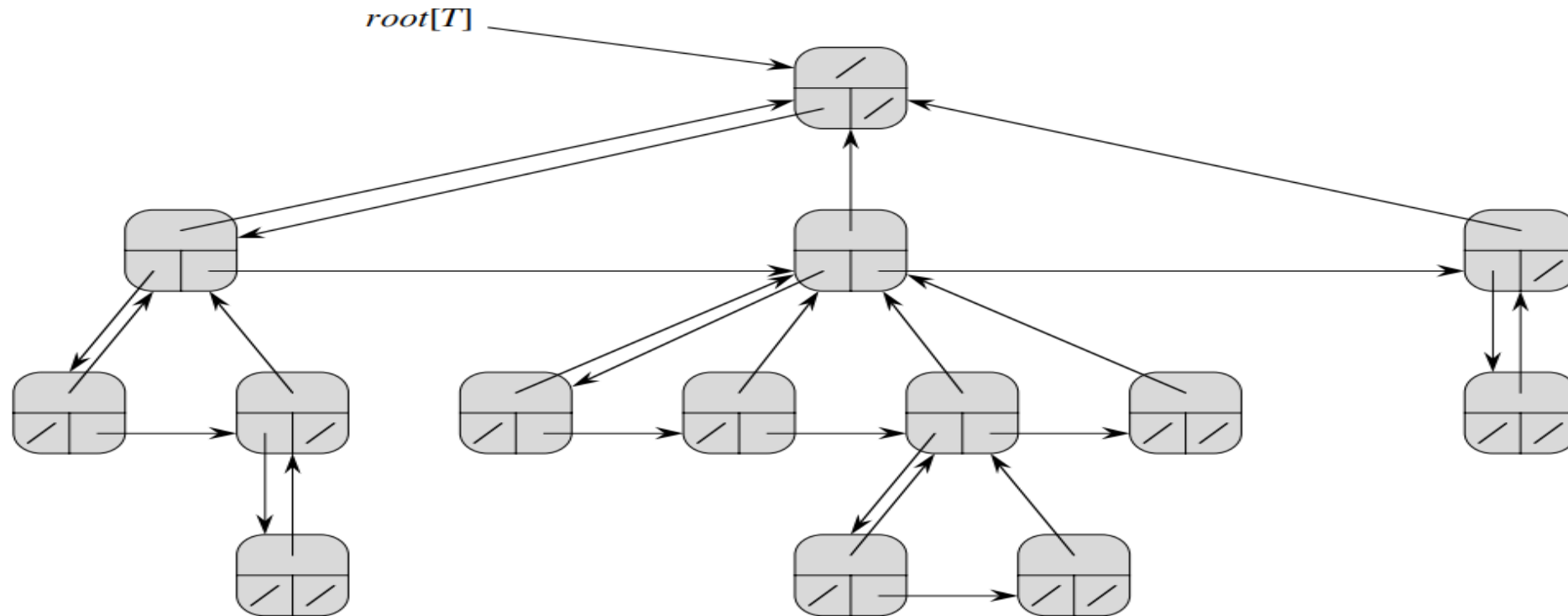
**Figure 10.9** The representation of a binary tree  $T$ . Each node  $x$  has the fields  $p[x]$  (top),  $left[x]$  (lower left), and  $right[x]$  (lower right). The *key* fields are not shown. [1]

# Root trees with unbounded branching

## Representing rooted tree

- The scheme for representing a binary tree ( $k=2$ )  $\rightarrow$  can be extended to any class of trees in which the member of children of each node is at most some constant  $k$  ( $k>2$ ).
  - It no longer works when the number of children of a node is unbounded ( $k$  is not defined or unknown).
  - The advantage is only  $O(n)$  space for any  $n$ -node rooted tree.
- It is called “**left-child, right-sibling representation**”. [1]
- Instead of having a pointer to each of its children, each node  $x$  has only two pointers:
  1. *left-child* $[x]$  points to the leftmost child of node  $x$ , and
  2. *right-sibling* $[x]$  points to the sibling of  $x$  immediately to the right.

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**Figure 10.10** The left-child, right-sibling representation of a tree  $T$ . Each node  $x$  has fields  $p[x]$  (top),  $\text{left-child}[x]$  (lower left), and  $\text{right-sibling}[x]$  (lower right). Keys are not shown. [1]

# References

Texts | Integrated Development Environment (IDE)

[1] Introduction to Algorithms, Second Edition, Thomas H. C., Charles E. L., Ronald L. R., Clifford S., The MIT Press, McGraw-Hill Book Company, Second Edition 2001.

[2] <https://visualstudio.microsoft.com/>

[3] <https://www.geeksforgeeks.org/circular-queue-set-1-introduction-array-implementation/>