#### Making Copies

Jeffrey Heinz

(joint work with Hossep Dolatian)







MIT April 23, 2021

## This Talk

- 1 Making copies is less complex than recognizing copies.
- 2 Consequently, we have a better understanding of how reduplicative processes in natural language fit with morpho-phonological processes generally.
- 3 Mathematical linguistics has a bright future in the 21st century.

https://doi.org/10.15398/jlm.v8i1.245 Dolatian and Heinz (2020)

# Part I

# Classifying Transformations

# Doing Linguistic Typology

#### Requires two books:

- "encyclopedia of categories"
- "encyclopedia of types"



Wilhelm Von Humboldt

# ENCYCLOPEDIA OF TYPES

#### Morphological Transformations

- 1 Null affixation
- 2 Prefixation
- 3 Suffixation
- 4 Circumfixation
- 5 Infixation
- 6 Truncation
- 7 Root and pattern
- 8 Umlaut/Ablaut
- 9 Partial Reduplication
- 10 Total Reduplication

**1** . . .

As a first approximation, we may think of morphological and phonological processes as string-to-string functions.

$$\begin{array}{c}
\text{kæt} \xrightarrow{\text{Pl}} \text{kæt-z} \xrightarrow{\text{Phon}} \text{kæts} \\
\text{(English)}
\end{array}$$

As a first approximation, we may think of morphological and phonological processes as string-to-string functions.

$$\begin{array}{c} \text{hiiga} \xrightarrow{\text{1sg Hab}} \text{m-hiiga} \xrightarrow{\text{Phon}} \text{mpiiga} \\ \\ \text{('hunt', Kerewe)} \end{array}$$

As a first approximation, we may think of morphological and phonological processes as string-to-string functions.

wanita 
$$\xrightarrow{\text{Pl}}$$
 wanita-wanita  $\xrightarrow{\text{Phon}}$  wanitawanita ('woman', Indonesian)

As a first approximation, we may think of morphological and phonological processes as string-to-string functions.

takki 
$$\xrightarrow{\text{Pl}}$$
 tak-takki  $\xrightarrow{\text{Phon}}$  taktakki ('leg', Agta)

# ENCYCLOPEDIA OF CATEGORIES?

$$f: \Sigma^* \to \Delta^*$$

#### Questions

- What is a 'local' transformation?
- 2 What are 'non-local' transformations?
- 3 What kinds of transformations require a lot of memory and/or computational resources?
- 4 What kinds of transformations do not?

# Analogy to Real Functions

$$f: \mathbb{R} \to \mathbb{R}$$

#### Encyclopedia of Categories

- 1 Linear functions
- 2 Step functions
- 3 Polynomial functions (quadratic, cubic, degree n)
- 4 Exponential functions
- 5 Logarithmic functions
- 6 Trigonometric functions (sin, tanh, ...)
- 7 ...

# THE ESTABLISHED, FOUNDATIONAL VIEW

Word formation processes are rational relations, analyzable with (1-way) finite-state methods.



Beesley and Karttunen 2003



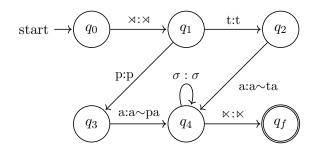
Roark and Sproat 2007

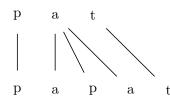
# STRING-TO-STRING FUNCTIONS

#### The established, foundational view

Rational Relations	non-Rational Relations
Prefixation Suffixation Circumfixation Infixation Truncation Root and pattern Umlaut/Ablaut Partial Reduplication	Total Reduplication

# EXAMPLE 1WAY FINITE-STATE TRANSDUCER FOR PARTIAL REDUPLICATION





# STRING-TO-STRING FUNCTIONS

The established, foundational view

Rational Relations	non-Rational Relations
Prefixation	Total Reduplication
Suffixation	
Circumfixation	
Infixation	
Truncation	
Root and pattern	
$\operatorname{Umlaut}/\operatorname{Ablaut}$	
Partial Reduplication	
•••	

# STRING-TO-STRING FUNCTIONS

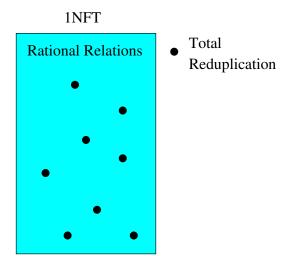
The established, foundational view

Rational Relations	non-Rational Relations
Prefixation	Total Reduplication
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Truncation	
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$\operatorname{Umlaut}/\operatorname{Ablaut}$	
Partial Reduplication	

I think linguistics will be well-served by a more articulated view of this kind of encyclopedia of categories. Formal language theory is not static! Much more to discover.

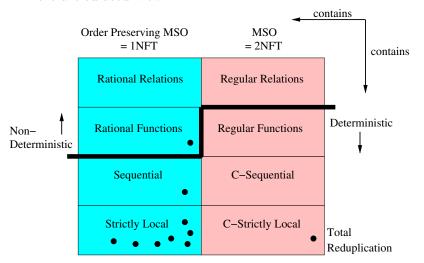
# STRING RELATIONS

The established, foundational view pictorially



## STRING RELATIONS

#### A more articulated view



(Filiot and Reynier 2016, Chandlee 2017, Dolatian and Heinz 2020)

### RATIONAL VS. REGULAR

For stringsets (formal languages) there is no distinction.

$$[1DFA] = [1NFA] = [2NFA]$$
$$= [RE] = [GRE]$$
$$= [MSO(+1)] = [MSO(<)]$$

1/2	1-way or 2-way
N/D	Non-deterministic or Deterministic
FA	Finite-state Acceptor
(G)RE	(Generalized) Regular Expressions
MSO	Monadic Second Order with successor $(+1)$
	or precedence (<)

## RATIONAL VS. REGULAR

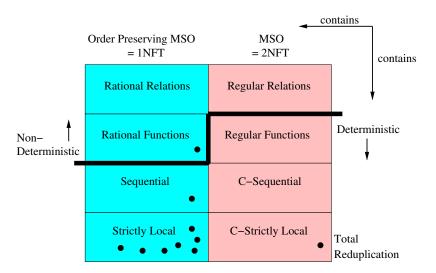
For string relations, there are!

$$\underbrace{ \begin{bmatrix} 1 \text{DFT} \end{bmatrix} \subsetneq \begin{bmatrix} 1 \text{fNFT} \end{bmatrix} \subsetneq \begin{bmatrix} 1 \text{NFT} \end{bmatrix}}_{\text{Rational}} \sim \begin{bmatrix} 2 \text{DFT} \end{bmatrix} \subsetneq \begin{bmatrix} 2 \text{NFT} \end{bmatrix}$$

1/2	1-way or 2-way
N/D	Non-/Deterministic
FT	Finite-state Transducer
$\mathbf{f}$	functional

(Filiot and Reynier 2016)

## STRING RELATIONS



(Filiot and Reynier 2016, Chandlee 2017, Dolatian and Heinz 2020)

# Part II

# Making vs Recognizing Copies

# Making copies vs. Recognizing copies

It is easier to make a copy than to recognize a copy.

1 Given w, is there a v such that w = vv? (recognizing copies)

2 Given w, return ww.

(making copies)

The act of copying is regular but not rational. Recognizing copies is neither.

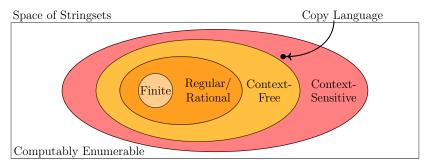
(Filiot and Reynier 2016, Dolatian and Heinz 2020)

# Why Recognizing Copies is Not Regular

$$L_{\mathsf{copy}} := \{ww : w \in \Sigma^*\}$$

#### Three proofs

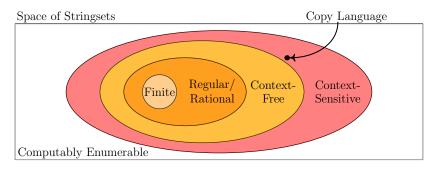
- 1 The Myhill/Nerode equivalence relation on  $L_{copy}$  has infinite index.
- 2 Proof by the Regular Pumping Lemma
- 3 Proof by the Context-Free Pumping Lemma



c.f. cross-serial dependencies in syntax (Shieber 1985)

# Why Recognizing Copies is Not Regular

$$L_{\texttt{copy}} := \{ww : w \in \Sigma^*\}$$



Consequently there is no way to recognize  $L_{\tt copy}$  with any of these extensionally-equivalent formalisms:

1DFA, 1NFA, 2NFA, RE, GRE, MSO(+1), MSO(<)

# Why Making Copies is not Rational

$$f_{\texttt{copy}} := \{(w, ww) : w \in \Sigma^*\} \quad ; \qquad (\forall w \in \Sigma^*) \; f_{\texttt{copy}}(w) := ww$$

### Proof in 2 steps:

- The image of a rational relation is a rational language (Scott and Rabin 1959).
- The image of  $f_{copy}$  is  $L_{copy}$ , which is not rational.

The issue is you have to keep track of the entirety of w, which can be arbitrarily large, to know to write another w when you get to the end of the string (and you can only "read" the string once and only "write" once.)

# Why Making Copies is Regular

$$f_{\texttt{copy}} := \{(w, ww) : w \in \Sigma^*\} \quad ; \qquad (\forall w \in \Sigma^*) \; f_{\texttt{copy}}(w) := ww$$

#### Proof by Construction

- 1 Logical transductions (MSO with Successor)
- 2 2-way Deterministic Finite-State Transducer
- 3 Cost Register Automata

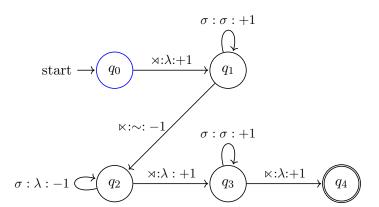
(Engelfriedt and Hoogeboom 2001, Alur et al. 2013)

# Making Copies with Logical Transductions

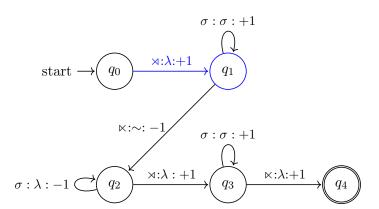
$$\langle \mathcal{D}; \lhd, \sigma \rangle_{\sigma \in \Sigma} \quad \to \quad \langle \mathcal{D}; \lhd, \sigma \rangle_{\sigma \in \Sigma}$$

```
\begin{array}{rclcrcl} \varphi_{\mathtt{domain}} & := & \mathtt{true} \\ & C & := & \{1,2\} \\ \varphi^1_{\mathtt{license}}(x) = \varphi^2_{\mathtt{license}}(x) & := & \mathtt{true} \\ & \varphi^{1,1}_{\lhd}(x,y) = \varphi^{2,2}_{\lhd}(x,y) & := & x \lhd y \\ & \varphi^{1,2}_{\lhd}(x,y) & := & \mathtt{word-final}(x) \land \mathtt{word-initial}(y) \\ & \varphi^{2,1}_{\lhd}(x,y) & := & \mathtt{false} \\ \forall \sigma \in \Sigma : \varphi^1_{\sigma}(x) = \varphi^2_{\sigma}(x) & := & \sigma(x) \end{array}
```

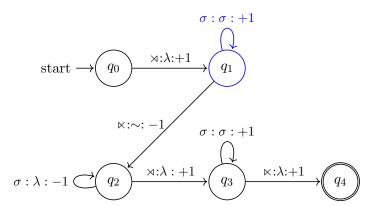
• Working example: bye $\rightarrow$ bye $\sim$ bye Input:  $\bowtie$  b y e  $\bowtie$  Output:



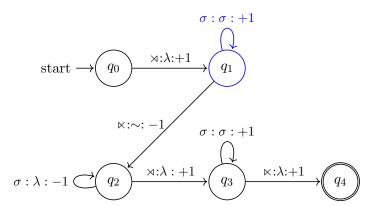
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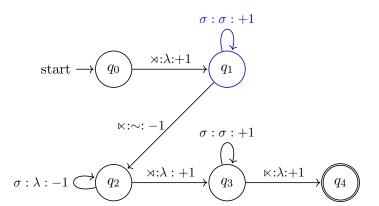
• Working example: bye $\rightarrow$ bye $\sim$ bye Input:  $\bowtie$  b y e  $\bowtie$  Output: b



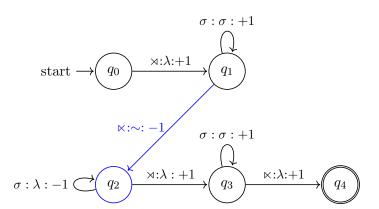
• Working example: bye $\rightarrow$ bye $\sim$ bye
Input:  $\rtimes$  b y e  $\ltimes$ Output: b y



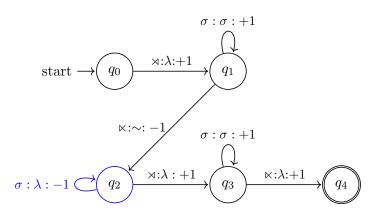
• Working example: bye $\rightarrow$ bye $\sim$ lnput:  $\bowtie$  b y e  $\bowtie$  Output: b y e



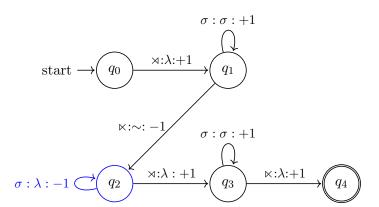
• Working example: bye $\rightarrow$ bye Input:  $\rtimes$  b y e  $\bowtie$  Output: b y e  $\sim$ 



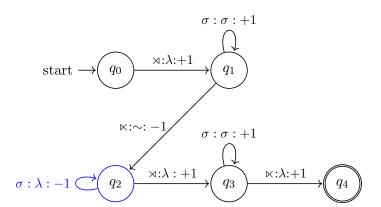
• Working example: bye $\rightarrow$ bye $\sim$ bye
Input:  $\bowtie$  b y e  $\bowtie$ Output: b v e  $\sim$ 



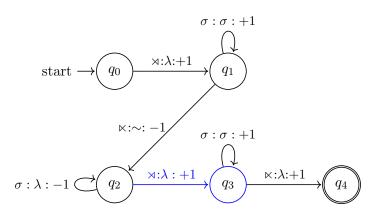
• Working example: bye $\rightarrow$ bye $\sim$ bye
Input:  $\bowtie$  b y e  $\bowtie$ Output: b y e  $\sim$ 



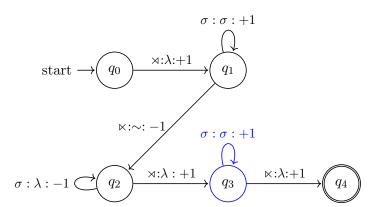
• Working example: bye $\rightarrow$ bye $\sim$ bye
Input:  $\bowtie$  b y e  $\bowtie$ Output: b y e  $\sim$ 



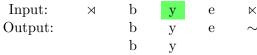
• Working example: bye $\rightarrow$ bye $\sim$ lnput:  $\qquad$  b y e  $\bowtie$  Output: b y e  $\sim$ 

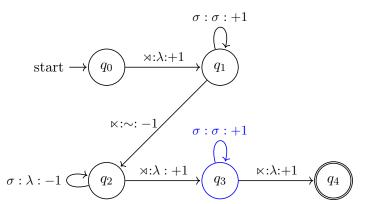


• Working example: bye $\rightarrow$ bye $\sim$ bye
Input:  $\rtimes$  b y e  $\ltimes$ Output: b y e  $\sim$ 

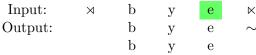


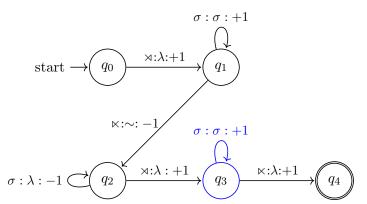
• Working example: bye $\rightarrow$ bye $\sim$ bye





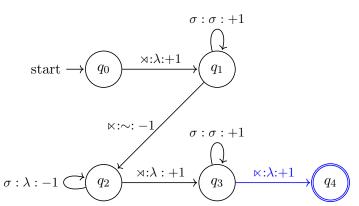
• Working example: bye→bye~bye





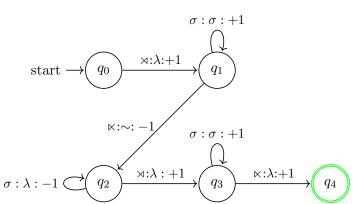
• Working example: bye→bye~bye
Input: ⋈ b v

Input:  $\times$  b y e  $\times$  Output: b y e  $\sim$  b y e



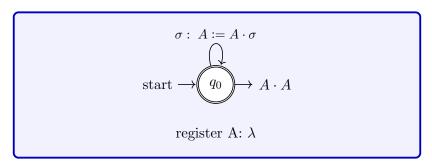
• Working example: bye→bye~bye
Input: ⋈ b v

Input:  $\rtimes$  b y e  $\ltimes$  Output: b y e  $\sim$  b y e



### Making Copies with Cost Register Automata

• Working example:  $\times$ bye $\times$   $\rightarrow$  bye $\sim$ bye



(cf. Cohen-Sygal and Wintner 2006)

# EACH OF THESE CONSTRUCTIONS CONCATENATE 1-WAY-DEFINABLE FUNCTIONS!

$$f_{\text{copy}}(w) = \text{id}(w) \cdot \text{id}(w)$$

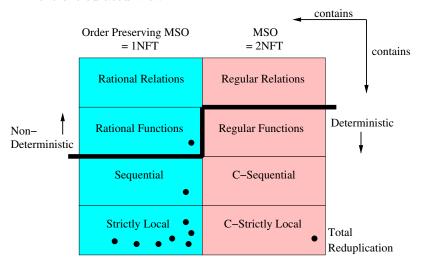
# EACH OF THESE CONSTRUCTIONS CONCATENATE 1-WAY-DEFINABLE FUNCTIONS!

$$f_{\text{RED}}(w) = \underbrace{g(w)} \cdot \underbrace{h(w)}$$

What kinds of functions are g and h?

#### STRING RELATIONS

#### A more articulated view



(Filiot and Reynier 2016, Chandlee 2017, Dolatian and Heinz 2020)

# LINGUISTICALLY INTERESTING OBSERVATION #1

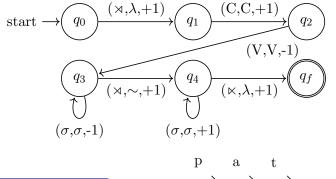
$$f_{\text{RED}}(w) = \underbrace{g(w)} \cdot \underbrace{h(w)}$$

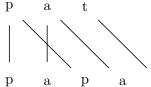
- 1 Total reduplication is the case where g = h = id.
- 2 Partial reduplication can be understood where either g or h is truncation, and the other is id.
- 3 More complex cases can be understood as variations on these themes.

(cf. Steriade 1988)

## Linguistically interesting observation #2

The semantics of regular formalisms is *copying*, not memorization.





(Bojańczyk 2014)

#### Interim Summary

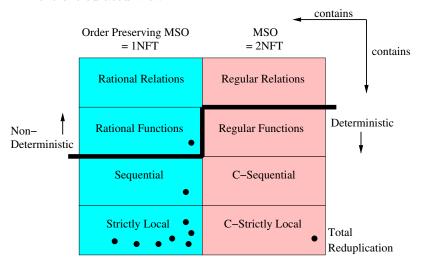
- 1 'Rational' and 'Regular' mean the same thing for *stringsets* but not for *string relations*.
- 2 Making copies with regular formalisms such as logic, 2way DFT, and register automata are easy.
- 3 Recognizing copies is more complex.
- 4 Regular formalisms make better contact with linguistic theories of reduplication than rational formalisms.
- 5 Do the reduplicative patterns in the world's languages need the full power of the regular formalisms?

#### Interim Summary

- 1 'Rational' and 'Regular' mean the same thing for *stringsets* but not for *string relations*.
- 2 Making copies with regular formalisms such as logic, 2way DFT, and register automata are easy.
- 3 Recognizing copies is more complex.
- 4 Regular formalisms make better contact with linguistic theories of reduplication than rational formalisms.
- 5 Do the reduplicative patterns in the world's languages need the full power of the regular formalisms?
- 6 Probably not.

#### STRING RELATIONS

#### A more articulated view



(Filiot and Reynier 2016, Chandlee 2017, Dolatian and Heinz 2020)

Part III

 ${\rm RedTyp}$ 

# Building a computationally explicit typology of patterns

### RedTyp

- is a SQL database of reduplicative processes;
- models 138 reduplicative processes across 90 languages using 57 2DFTs;
- pulled generalizations from Moravcsik (1978), Rubino (2005), Inkelas and Downing (2015), McCarthy and Prince (1995) and others.
- Some include morpho-phonological interactions.

(Dolatian and Heinz 2019)

#### TECHNICAL DETAILS

- Average # of states = 8.8
- Largest 2DFT has 30 states (would be 1000s for 1 way transducer)
- Python implementation
- http://github.com/jhdeov/RedTyp

## UTILITY OF REDTYP

- 1 Comparative typology (Types)
- 2 Computational Models of Learning Reduplication
- 3 ...

#### Example of Modelling Learning

• Use RedTyp to generate training, development, and test sets for machine learning experiments.

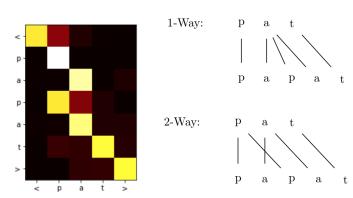
"Probing RNN Encoder-Decoder Generalization of Subregular Functions Using Reduplication" by Max Nelson, Hossep Dolatian, Jonathan Rawski, Brandon Prickett (2020)

• They show attention weights on RNNs mirror 2DFT processing, which suggests the RNNs are approximating them. (And RNNs without attention fail to learn.)

#### Example of Modelling Learning

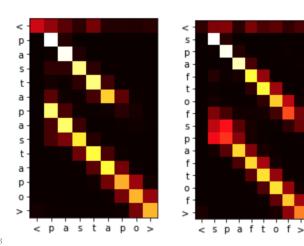
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#### ATTENTION AND ORIGIN SEMANTICS



#### Example of Modelling Learning

"Probing RNN Encoder-Decoder Generalization of Subregular Functions Using Reduplication" by Max Nelson, Hossep Dolatian, Jonathan Rawski, Brandon Prickett (2020)



#### Part IV

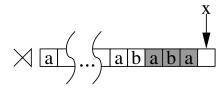
Refining the Encyclopedia of Categories

#### LOCAL STRING-TO-STRING FUNCTIONS

- What could it mean for a string-to-string function to be local?
- Consider the Markov property.

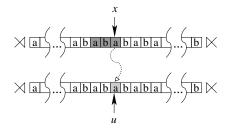
$$P(a_{n+1} \mid a_1 a_2 \dots a_n) \approx P(a_{n+1} \mid a_{n-k} a_{n-k+1} \dots a_n)$$

• The probability of the next item only depends on the previous k symbols.



Chandlee develops the same idea in the context of string rewriting.

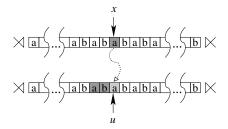
#### Input Strictly Local



(Chandlee 2014, Chandlee et al. 2014, 2015)

Chandlee develops the same idea in the context of string rewriting.

#### **Output Strictly Local**



(Chandlee 2014, Chandlee et al. 2014, 2015)

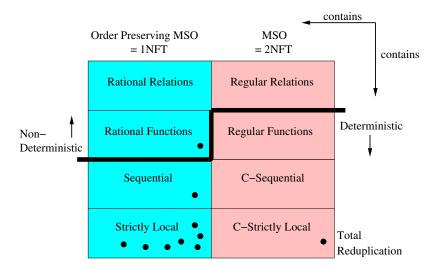
Chandlee develops the same idea in the context of string rewriting.

#### Input-Output Strictly Local

$$\times$$
 a  $\leq$  ...  $\leq$  a ba ba ba ba  $\leq$  ...  $\leq$  b  $\times$   $\times$  a  $\leq$  ...  $\leq$  a ba ba ba ba  $\leq$  ...  $\leq$  b  $\times$ 

(Chandlee 2014, Chandlee et al. 2014, 2015)

- In the diagram, I group all of these types here as "strictly local functions."
- They cover quite a bit of the typology of morphology and phonology (Chandlee 2017, Chandlee and Heinz 2018)
- Notably they cannot capture phonological processes where the conditioning environment is unboundedly far from the target (e.g. consonant harmony).
- When parameterized by a k-window, there are algorithms that learn these functions from (input,output) pairs with good theoretical guarantees (Chandlee et al. 2014, 2015).



• English nicknames: truncate name to first (C)VC

```
\begin{array}{lll} \mbox{/dzefri/} & \rightarrow [\mbox{dzef}] & \mbox{'Jeffrey'} \rightarrow '\mbox{Jeff'} \\ \mbox{/delvid/} & \rightarrow [\mbox{delv}] & \mbox{'David'} \rightarrow '\mbox{Dave'} \\ \mbox{/ælən/} & \rightarrow [\mbox{æl}] & \mbox{'Alan} \rightarrow \mbox{Al'} \end{array}
```

• Specifically, this example is Output Strictly Local with k=3 because one needs to keep track of the last 2 segments output and the current segment. Everything after the first VC is deleted.

• Working example: 'Samuel' /sæmjəl/ $\rightarrow$ [sæm]

• Working example: 'Samuel' /sæmjəl/ $\rightarrow$ [sæm]
Input:  $\rtimes$  s æ m j ə l  $\ltimes$ Output:

start  $\rightarrow$   $q_0$   $\rtimes:\lambda$  C:C V:V CV C:C V:C C:C C:C

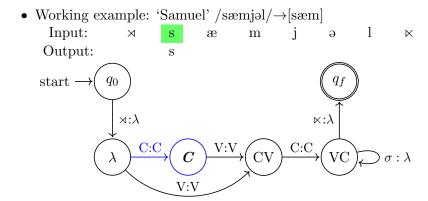
 $MIT \mid 2021/04/23$  J. Heinz | 42

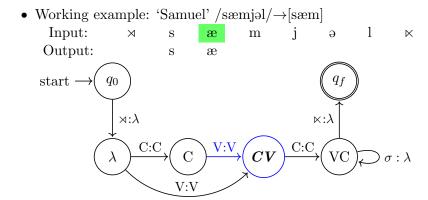
V:V

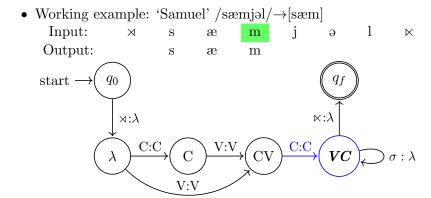
 $MIT \mid 2021/04/23$  J. Heinz | 42

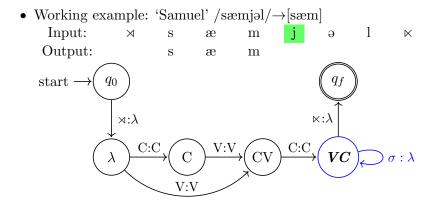
V:V

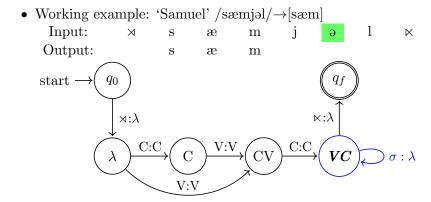
• Working example: 'Samuel' /sæmjəl/→[sæm] Input:  $\mathbf{S}$ æ  $_{\mathrm{m}}$ X Output: start  $\rtimes:\lambda$  $\ltimes : \lambda$ C:CV:VV:V

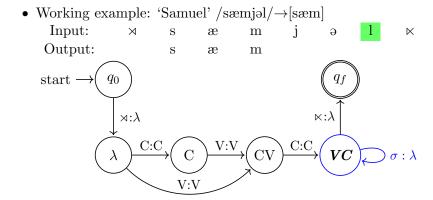


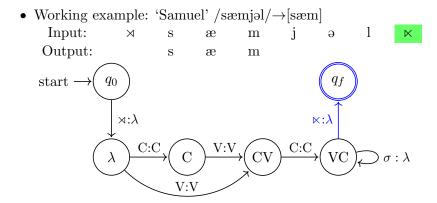












• Working example: 'Samuel' /sæmjəl/→[sæm] Input: ×  $\mathbf{S}$ æ  $\mathbf{m}$ Э X Output:  $\mathbf{S}$ æ  $\mathbf{m}$ start  $\rtimes : \lambda$  $\ltimes : \lambda$ V:VC:CV:V

# Part V

Comparing the Types with the Categories

# HOW MUCH OF REDTYP IS C-STRICTLY LOCAL?

- 87% of the 57 distinct reduplicative patterns in RedTyp are the Concatenation of Output Strictly Local functions (C-OSL).
- This includes total reduplication.
- The other  $\sim 13\%$  are
  - 1 Concatenation of Sequential functions.
  - 2 Potentially require *Compositions* of Strictly Local or Sequential functions.
  - 3 These deserve further study.

# LEARNING C-OSL

- Learning k-C-OSL can be reduced to learning the 1-way k-OSL functions (Dolatian and Heinz 2018).
  - 1 If the boundary is overtly represented, then this is straightforward.
    - 1 Break up input data D into two data sets  $D1 = \{(w, u) \mid (w, u \sim v) \in D\}$  and  $D2 = \{(w, v) \mid (w, u \sim v) \in D\}$
    - 2 Submit D1 and D2 and k to OSLFIA (Chandlee et al. 2015) which learns 1-way transducers T1 and T2 representing OSL functions.
    - 3 Concatenate T1 and T2.
  - 2 If the boundary is latent then the learning problem reduces to finding this boundary (still an open problem).

# Part VI

Conclusion

## Conclusion

- 1 An encyclopedia of categories for string-to-string functions is valuable.
- 2 Regular functions and rational functions are different.
- 3 Regular formalisms make better contact with linguistic theories of reduplication than rational formalisms.
- 4 Strictly Local functions are a natural way to understand locality in string transformations.
- 5 Partial Reduplication and Total reduplication are not the outliers they appeared to be they are mostly the concatenation of Strictly Local functions.
- 6 The clustering of attested types in this region also helps us understand how such patterns may be learned.

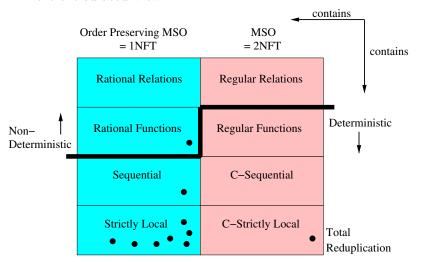
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- 7 Everything that was done here with strings we can do with trees (Stabler 2019), and other linguistic structures using model theory and logic.

 $\operatorname{HT} \mid 2021/04/23$  J. Heinz | 47

#### THANKS!

#### A more articulated view



(Filiot and Reynier 2016, Chandlee 2017, Dolatian and Heinz 2020)