## Computational Phonology - Class 7

Jeffrey Heinz (Instructor) Jon Rawski (TA)

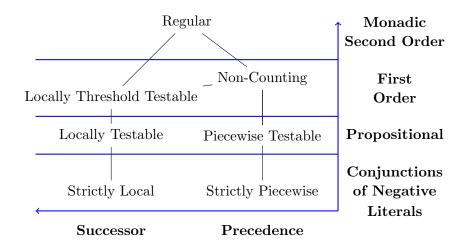


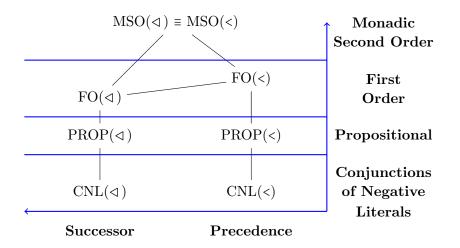
LSA Summer Institute UC Davis July 15, 2019

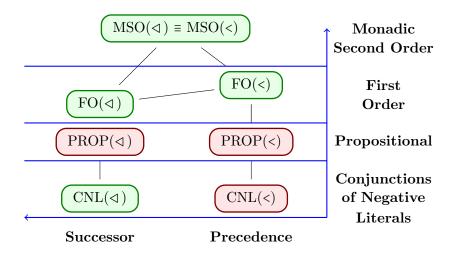
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Part I

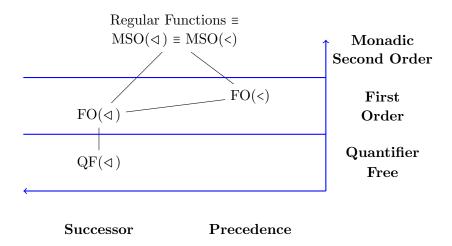
Review





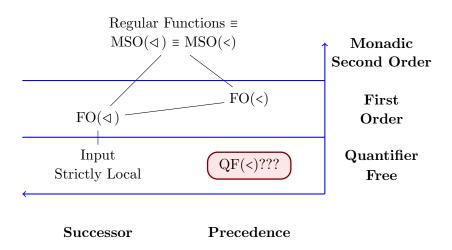


## HIERARCHIES OF TRANSFORMATIONS



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## Today

- 1 Filling out Hierarchies of Constraints
  - PROP(⊲)
  - PROP(<)
  - ( CNL(<)
  - ...more representations, more logics?

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  - What's the problem with QF(<) transformations?
  - Characterizing Long-distance transformations

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  - What's the problem with QF(<) transformations?
  - Characterizing Long-distance transformations
- 3 Questions and Review regarding everything in the class

## Part II

Hierarchies of Constraints

## Propositional Logic

### **Syntax**

- 1 Base cases: There is a set of atomic propositions  $\{P,Q,R,S,\ldots\}$ , each element of which is a sentence of propositional logic.
- 2 Inductive case: If  $\varphi, \psi$  are sentences of propositional logic, then so are:

<ul> <li>¬φ</li> </ul>	(negation)
• $\varphi \wedge \psi$	(conjunction)
• $\varphi \lor \psi$	(disjunction)
• $\varphi \Rightarrow \psi$	(implication)
$\bullet$ $( \land \Leftrightarrow y )$	(biconditional)

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• $\varphi \Rightarrow \psi$	(implication)
• $\varphi \Leftrightarrow \psi$	(biconditional)

#### Semantics

- 1 The atomic propositions are assigned values typically from a truth table.
- 2 The inductive cases are determined compositionally in the usual way.

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## Interpretation of atoms

 $\mathcal{M}_w \models \mathcal{S}$  if and only if  $\mathcal{S} \sqsubseteq \mathcal{M}_w$ .

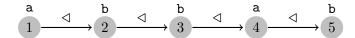
(Rogers and Lambert 2019, Chandlee et al 2019)

## **EXAMPLES**

In the successor model, the model of **ba** 



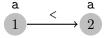
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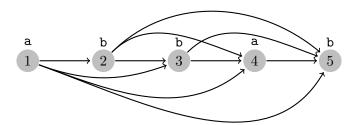
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## **EXAMPLES**

In the precedence model, the model of aa



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## Factors of $\mathcal{M}^{\triangleleft}$ and $\mathcal{M}^{\triangleleft}$

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## Factors of $\mathcal{M}^{\triangleleft}$ and $\mathcal{M}^{\triangleleft}$

- 1 Factors of the *successor* model are essentially *substrings*.
- 2 Factors of the *precedence* model are essentially subsequences.

(Rogers et al. 2013, Rogers and Lambert 2019)

# LOCALLY TESTABLE CONSTRAINTS $\equiv PROP(\mathcal{M}^{\triangleleft})$

### Definition: Locally Testable

A constraint is Locally Testable if and only if it can be defined with propositional logic with the successor model where the atoms are factors of the word models.

Which words have models that satisfy  $\varphi$ ?

- $\bullet \varphi \stackrel{\mathrm{def}}{=} \mathcal{M}_{aa}?$
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- $4 \varphi \stackrel{\mathrm{def}}{=} \mathcal{M}_{aa} \Rightarrow \mathcal{M}_{ab}?$
- 6 Which of the above are Strictly Local?

## Abstract Characterization of LT

## Theorem: Local Testability (v1)

A constraint is Locally Testable if and only if there is a number k such that for all  $u, v \in \Sigma^*$ , if u and v have the same set of k-substrings then either both u, v obey the constraint or neither does.

(McNaughton and Papert 1971, Rogers and Pullum 2011, Rogers et al. 2013, Rogers and Lambert 2019)

## Abstract Characterization of LT

## Theorem: Local Testability (v2)

A constraint is Locally Testable if and only if there is a number k such that for all  $u, v \in \Sigma^*$ , if the successor models of u and v have the same set of k-factors then either both u, v obey the constraint or neither does.

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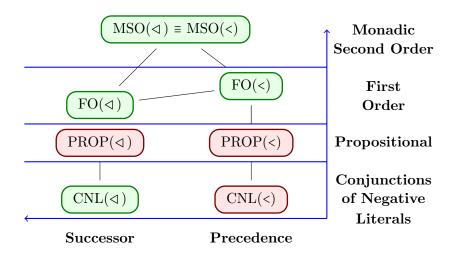
- 1 Provides a way to decide what is NOT Locally Testable.
- 2 Provides inference rules for learning.
- 3 Provides a characterization independent of any formalism.

(McNaughton and Papert 1971, Rogers and Pullum 2011, Rogers et al. 2013, Rogers and Lambert 2019)

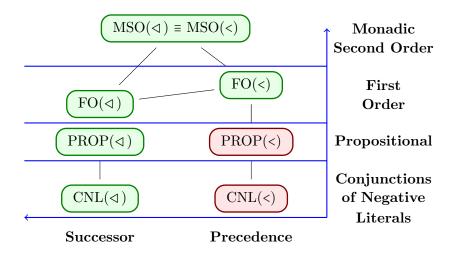
## IN CLASS EXERCISES

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- 1 Prove that the constraint which says "Words have at least two as" is not LT.
- 2 You are born on planet Locally 2-Testable, where everyone's DNA has a UG programmed for LT-2 constraints. You observe the word *aab*.
  - 1 Can you infer that *aaab* is a word in your language?
  - 2 What about ab?
  - 3 Do inhabitants of planet Strictly 2-Local generalize in the same way?



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# PIECEWISE TESTABLE CONSTRAINTS $\equiv PROP(\mathcal{M}^{<})$

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A constraint is Piecewise Testable if and only if it can be defined with propositional logic with the precedence model where the atoms are factors of the word models.

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## ABSTRACT CHARACTERIZATION OF PT

#### Theorem: Piecewise Testability (v1)

A constraint is Locally Testable if and only if there is a number k such that for all  $u, v \in \Sigma^*$ , if u and v have the same k-subsequences then either both u, v obey the constraint or neither does.

(Simon 1975, Rogers et al. 2013, Rogers and Lambert 2019)

## ABSTRACT CHARACTERIZATION OF PT

#### Theorem: Piecewise Testability (v2)

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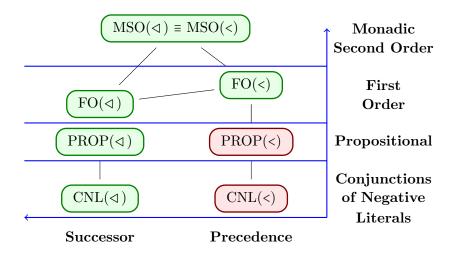
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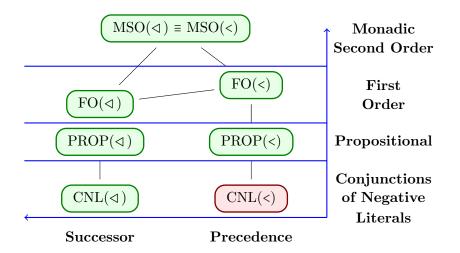
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#### HIERARCHIES OF CONSTRAINTS



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# Strictly Piecewise Testable Constraints $\equiv$ $\mathrm{CNL}(\mathcal{M}^{<})$

#### Definition: Stricty Piecewise

A constraint is Strictly Piecewise if and only if it can be defined as the conjunctions of negative literals within a propositional logic with the precedence model where the atoms are factors of the word models.

# Examples of Strictly Piecewise Constraints

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$$\bullet \varphi \stackrel{\mathrm{def}}{=} \neg \mathcal{M}_{aa}?$$

$$\begin{array}{ccc}
& \varphi & \stackrel{\text{def}}{=} \neg \mathcal{M}_{aa} \wedge \mathcal{M}_{bb}?
\end{array}$$

## Examples of Strictly Piecewise Constraints

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$$\mathfrak{G} \varphi \stackrel{\mathrm{def}}{=} \neg \mathcal{M}_{aa} \wedge \mathcal{M}_{bb} \wedge \mathcal{M}_{ab}?$$

## ABSTRACT CHARACTERIZATION OF SP

#### Subsequence Closure

A constraint is Strictly Piecewise if and only if it is closed under subsequence.

In other words, if a string u obeys the constraint then every one of its subsequences also obeys the constraint.

- 1 Provides a way to decide what is NOT Strictly Piecewise.
- 2 Provides inference rules for learning.
- 3 Provides a characterization independent of any formalism.

(Rogers et al. 2010, 2013)

- (PROP(⊲)
- ( PROP(<)
- (CNL(<)
- ... more representations, more logics?

Tier-based Strictly Local

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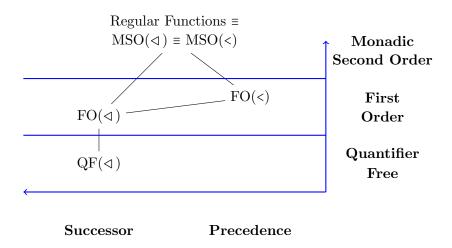
• My guess is this is also CNL with a successor relation that is defined according to the structure-sensitive tier-projection. Somebody ought to look into it!

(Heinz et al. 2011, DeSanto and Graf 2019)

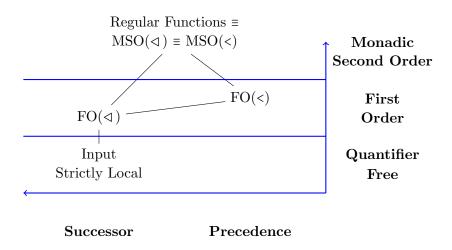
## Part III

Hierarchies of Transformations

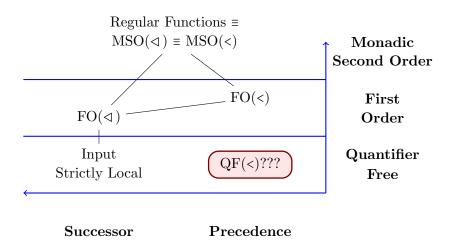
#### HIERARCHIES OF TRANSFORMATIONS



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# Locality and Quantification

#### Compare:

 $1 P(x) \stackrel{\text{def}}{=} Q(x) \land \exists y [R(y)]$  (First Order Definable)

Requires scanning whole word for such a y!!

2  $P(x) \stackrel{\text{def}}{=} Q(x) \wedge R(\text{successor}(x))$  (QF Definable)

Information to decide P is local to x in the input!!

## LOGICAL CHARACTERIZATION OF ISL FUNCTIONS

#### Theorem.

ISL functions correspond exactly to the functions definable with Quantifier-Free logic with successor:  $QF(\triangleleft)$ .

(Lindell and Chandlee, LICS 2016)

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#### Theorem.

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Quantifier-free formulas are ones without any quantification!

(Lindell and Chandlee, LICS 2016)

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#### The payoff

Instead of

$$C_x C \stackrel{\mathrm{def}}{=} \mathsf{cons}(x) \land \exists y [x \lhd y \land \mathsf{cons}(y)]$$

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We can write

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No quantification needed to introduce elements local to x!

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### **Open Questions**

• How can the notion of precedence be employed to describe transformations?

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### **Open Questions**

- How can the notion of precedence be employed to describe transformations?
- 1 Tiers: Chandlee and McMullin (2018), Burness and McMullin (2019)
- 2 Least fixed point logics: Chandlee and Jardine (2019)

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- 1 Tiers: Chandlee and McMullin (2018), Burness and McMullin (2019)
- 2 Least fixed point logics: Chandlee and Jardine (2019)
- 3 Plenty of room for new ideas here!

- 1 Using model signatures with functions instead of relations is a key element to obtaining Quantifier-Free transformations.
- 2 Characterizing long-distance transformations is a challenging frontier.
- 3 The constraint hierarchies have two levels below FO: Prop and CNL. The transformation hierarchies only have one level QF, which appears to correspond to CNL. What fragment of FO in the transformation hierarchy corresponds to the Prop level in the constraint hierarchy?

## Part IV

Questions regarding anything in class

# You fill in the slide here

## Summary

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1 We completed the basic hierarchies of constraints over strings.

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#### Next class

1 Computational Theories of Learning?