## Comparing Learning Models for Korean Sound-symbolic Vowel Harmony

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PLC 34

#### Main Goals of Presentation

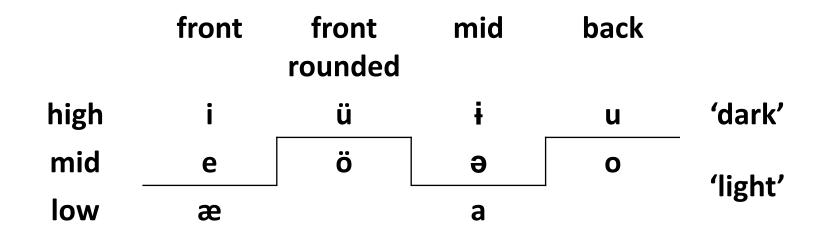
1. Provide quantitative support for vowel harmony in sound-symbolic forms in Korean

2. Establish that [u] behaves like transparent vowels [i] and [i] (Cho, 1994), and to a lesser extent, [ü]

3. Pinpoint challenges for specific learning proposals (tier-based bigram and precedence)

### Sound-symbolic Harmony

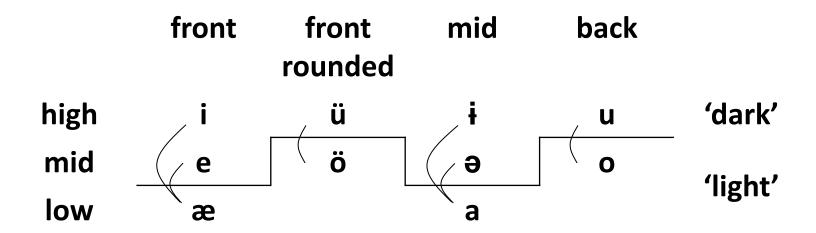
Vowel harmony in sound-symbolic morphemes



• [i] and [i] are 'dark' in initial position, transparent in noninitial position (Kim-Renaud 1976, Cho 1994, inter alia)

### Sound-symbolic Harmony

light-dark pairs (Kim-Renaud 1976)



### Sound-symbolic Harmony

#### Connotations in sound-symbolic words

'light' brightness, lightness, sharpness, quickness, smallness, thinness

'dark' darkness, heaviness, dullness, slowness, deepness, thickness

#### **Examples**

'dark' vowels [phundən] 'splash' (e.g. person falling into water)

'light' vowels [phondan] 'splash' (e.g. a small stone falling into water)

'dark' vowels [pənccək] 'sparkling, twinkling' (e.g. flash of light)

'light' vowels [panccak] 'sparkling, twinkling' (e.g. stars)

### Questions for Corpus Study

- 1. Is VH robust within sound-symbolic reduplicant morphemes phonotactically?
- 2. Do transparent vowels and [u] behave as 'dark' vowels in initial position?
- 3. Does [u] behave as a transparent vowel in noninitial position?
- 4. Does [ü] also behave as a neutral vowel?

#### About the Corpus

- Designed to aid the National Institute of the Korean Language's development of 'The Great Standard Korean Dictionary' (표준국어대사전) http://www.hangeul.pe.kr/symbol/words.htm
- Original corpus contains 29,000 entries of sound-symbolic words.
- Many are variants built on same underlying sound-symbolic form.
- Only one token of each sound-symbolic form was taken
- For ease of extraction, and to minimize possibility of non-sound symbolic words from entering, only reduplicants were selected
- Only reduplicants of 2 or 3 syllables (pre-reduplication) were used.
- Reduplicants containing diphthongs not traditionally discussed in VH literature were excluded (e.g. [wa] 오├...)
- Total of 4,006 such sound-symbolic reduplicants were found.

#### Types of Reduplication

- 1) reduplication of one-syllable forms sal-sal 'gently, softly; slowly'
- 2) reduplication of two-syllable forms curəŋ-curəŋ 'in clusters' (e.g. grapes hanging ~)
- 3) reduplication of three-syllable forms hariri-hariri 'thin and soft texture' (e.g. paper, cloth)
- 4) reduplication of first syllable onto second, and of third syllable onto fourth

chikchikphokphok 'chugga chugga' (e.g. train)

## Q1) Is vowel harmony robust in soundsymbolic reduplicants?

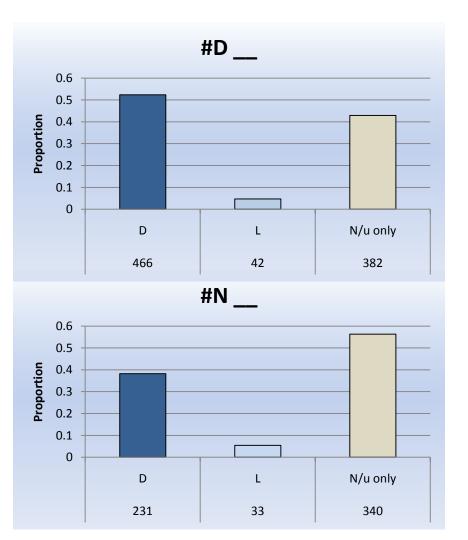
Out of 4,006 morphemes, only 3.4% contain both L and D vowels. This is when counting initial N vowels as D.

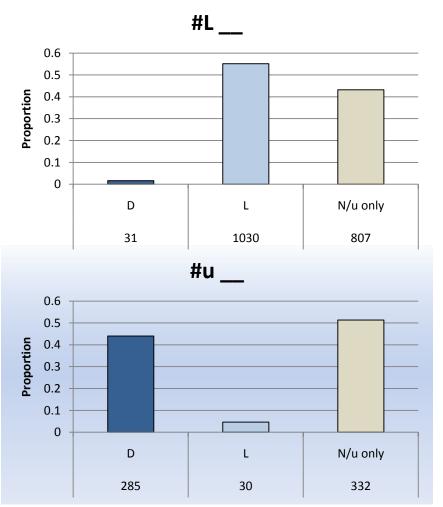
¬#_ #_	L[a] 0} (925)	L[o] 오 (223)	L[æ] 0   (33)	L [ö] 외 (0)	D [ə] 어 (973)	D [e] 에 (1937)	D [ü] 위 (10)
L[a] 0\ (952)					16	3	3
L[o] 오 (605)					3	3	
L[æ] 애 (281)					3		
L[ö] 외 (27)							
D [ə] 어 (769)	31						
D [e] 에 (85)	10						
D [ü] 위 (36)	2						
D [u] 우 (647)	28		2				
D[i] 0  (378)	21	3					
D[i] \( \text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\tint{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\tinit}}\ext{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\ti}\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\ti}\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\ti}}\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\tinit}\\ \text{\text{\text{\text{\text{\text{\text{\text{\text{\ti}\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\texi}\text{\text{\text{\text{\text{\text{\text{\texi}\text{\text{\text{\text{\texi}\text{\text{\text{\texi}\text{\text{\texi}\text{\texitilex{\tiint{\texiti}\text{\texi}\text{\texitilex{\texitilex{\tii}\texitilex{\	7		1				

# Q2) Do neutral vowels behave as 'dark' vowels in initial position?

- If so:
  - i. should allow D, N vowels to follow
  - ii. should not allow L vowels to follow

## Q2) Do neutral vowels behave as 'dark' vowels in initial position?

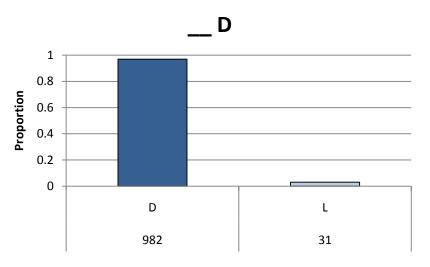


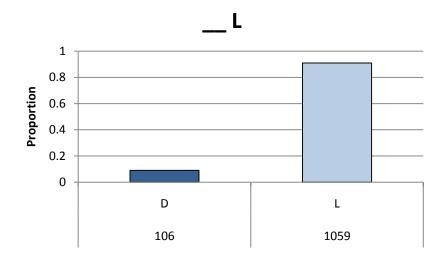


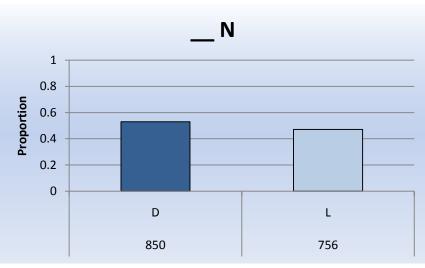
## Q3) Does [u] behave as a neutral vowel in noninitial position?

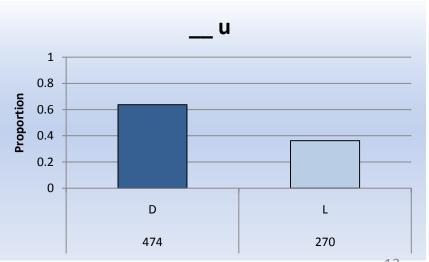
- If so:
  - i. should appear after both L and D vowels
  - ii. in 3-syllable words, should allow harmony to pass over it

## Q3i) Does noninitial [u] appear after both D and L?

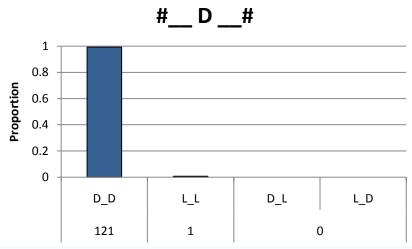


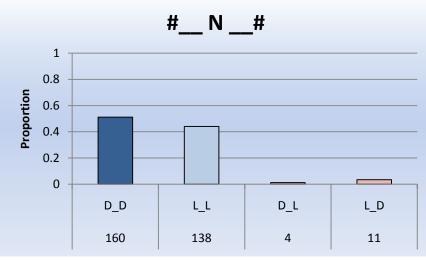


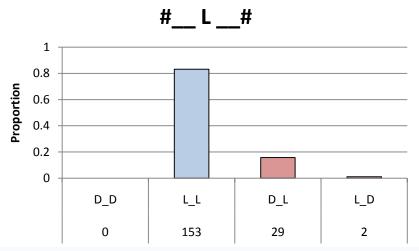


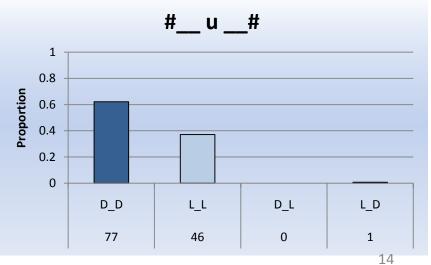


## Q3ii) Does [u] allow harmony to pass over it?



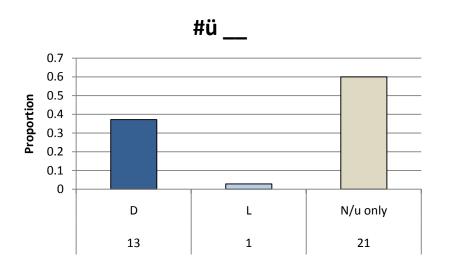


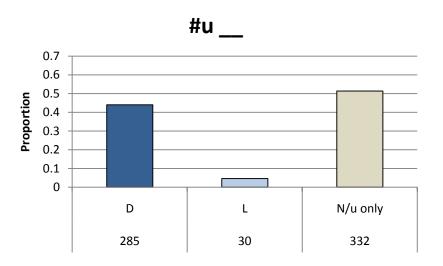




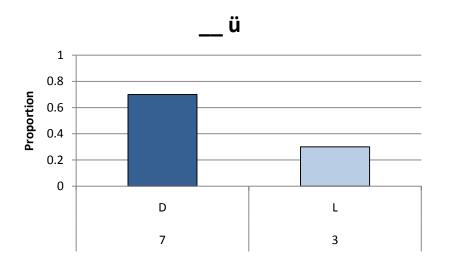
- The remaining [+high] vowel appears to behave like [u] as well.
- Only limited data (46/4,006 forms contain [ü])

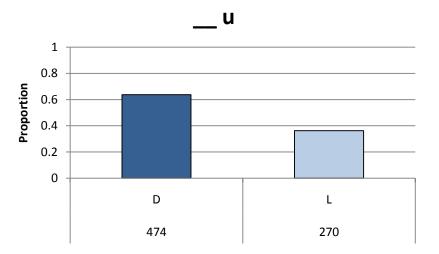
• In initial position:



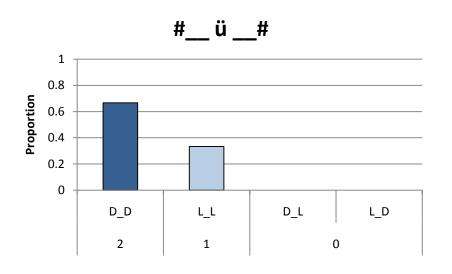


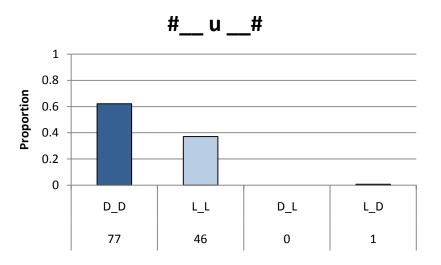
• In noninitial position:





Medial position – can VH pass over [ü]?





#### Part 1: Conclusions

- 1. VH is strongly attested in Korean sound-symbolic reduplicant base morphemes
- [u] behaves like transparent vowels in noninitial position, supporting Cho (1994)
- 3. [i, i, u] behave as 'dark' vowels in initial syllables, but as transparent vowels in noninitial syllables
- 4. [ü] appears to behave like [u], though more data is needed

#### Part 2: Learning Models

 Can existing learning models account for the behavior of neutral vowels?

- Previous approaches to long-distance learning:
  - Bigram learner applied over a vowel tier (Hayes and Wilson (2008), Goldsmith and Xanthos (2009), Goldsmith and Riggle (to appear))
  - Precedence learner (Rogers et al. (2009), Heinz to appear, Heinz and Rogers, under review)

### Bigram Learner

Categorical version:

Time	Word	Bigrams	Grammar
0			Ø
1	NDD	{#N, ND, DD, D#}	{ #N, ND, DD, D# }
2	LNL	{#L, LN, NL, L#)	{ #N, ND, DD, D#, <b>#L, LN, NL,</b> L# }
3	DDN	{#D, DD, DN, N#}	{ #N, ND, DD, D#, #L, LN, NL, L#, <b>#D, DN, N#</b> }

### Bigram Learner

$$Grammar_{VH} = \begin{bmatrix} \#D & DD & DN & D\# \\ \#L & LL & LN & L\# \\ \#N & ND & NL & NN & N\# \end{bmatrix}$$

fails to capture vowel harmony over transparent vowels

allows:

\*LND

\*DNL

LN+ND

**DN+NL** 

fails to distinguish between initial and noninitial N

allows:

#LNL

\*#NLL

#L+LN+NL #N+NL+LL

#### Bigram Learner

 A trained probabilistic bigram learner (Jurafsky & Martin, 2008) also fails to make the right distinctions:

Word	Prob(word)
LNL	0.003611
DND	0.006353
LND	0.007325
DNL	0.003132
NDD	0.001942
NLL	0.001178

#### Precedence Learner

• Categorical version (Heinz 2007, to appear):

Time	Word	Precedence Relations	Grammar
0			Ø
1	NDD	{#N, #D, ND, DD, D#, N#}	{ #N, #D, ND, DD, D#, N# }
2	LNL	{#L, #N, LN, NL, LL, L#, N#)	{ #N, #D, ND, DD, DH, #L, LN, NL, LL, N#, L# }
3	DDN	{#D, #N, DD, DN, D#, N#}	{ #N, #D, ND, DD, DH, #L, LN, NL, LL, N#, L#, DN }

#### Precedence Learner

allows harmony to spread without a vowel tier

 and disallows disharmonious sequences with transparent vowel intervening

but fails to distinguish between initial and noninitial N

#### Precedence Learner

 A trained precedence learner (Heinz & Rogers, under review) learns the transparency of noninitial N vowels, but not the behavior of initial-syllable N vowels.

Word	Prob(word)
LNL	0.002893
DND	0.004357
LND	0.000142
DNL	0.000255
NDD	0.001867
NLL	0.000657

#### Part 2: Conclusion

 The tier-based bigram learner fails to learn what the precedence learner is able to learn: the transparency of noninitial N vowels.

 Neither the bigram learner nor the precedence learner can account for bifunctionality of 'neutral' vowels in Korean VH

# Potential solution for tier-based bigram learner

- N vowels only project to harmony tier if initial
- Captures transparency for noninitial N vowels because they are not on the tier
- Captures behavior of initial N because it learns that NL sequences are absent on the tier

But... How do you learn which vowels are N?

## Potential solution for precedence learner

- Treat initial vowels differently
- The learner realizes  $N_1$ ...L is bad but  $N_2$ ...L is OK.
- Sounds at word boundaries frequently behave differently (Endress 2009)
- But the learner also learns  $D_1$  and  $D_2$  behave the same, etc. Seems to be missing the right generalization.

#### Conclusions

- 1. Vowel harmony in sound-symbolic forms in Korean is robust in the phonotactics.
- 2. [u] behaves like the transparent vowels [i,  $\frac{1}{4}$ ]; [ü] appears to as well.
- 3. A precedence learner is better suited to capture vowel harmony over transparent vowels than a tier-based bigram learner; however, both learners fail to capture the bifunctionality of N vowels in Korean.

#### Acknowledgments

 Phonology and Phonetics Lab Group at the University of Delaware, Karthik Durvasula, Bill Idsardi, James Rogers

## Thank you for listening!

Questions?

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