

W3C Workshop on Permissions

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The mass collection of personal information about online consumers has necessitated platforms to develop “permissions” systems, as a way for consumers to regulate how third party apps and services access their sensitive information. For nearly two decades, I have been conducting basic research to understand consumer privacy preferences and expectations, as well as how existing systems and interfaces are meeting consumers’ needs (or not). Specifically, I have extensively examined how consumers make decisions about their privacy on mobile devices and how mobile apps may be violating various privacy laws, regulations, and policies. Based on this experience and current research interests (including having participated in a precursor W3C workshop on permissions in 2018), I would like to participate in this workshop.

This stream of research specific to permissions started with examining consumer understanding of Android app permissions [4].¹ In order to further study consumer understanding of these types of privacy disclosures, My laboratory instrumented the Android operating system, APIs, and kernel, to study the circumstances under which permission-protected sensitive user data are accessed by mobile apps [26]. We also collected additional contextual data, such as what other activities occur on the device when an app accesses sensitive user data, and then trained a classifier to predict “appropriate” permission requests (applying Nissenbaum’s “Theory of Privacy as Contextual Integrity” [12]). The feature set—the contextual information captured by the instrumentation—allowed us to modify the permissions enforcement mechanism to dynamically regulate access to sensitive data, which resulted in greater accuracy than prior approaches to managing mobile privacy via permissions [27]. Subsequent studies showed that users found the new permissions interface easier to use and users made fewer privacy errors when using them [22, 28].²

This instrumentation was later combined with Lumen (né Haystack), a mobile network traffic monitor also developed by other researchers at ICSI [15]. Combined

¹This paper received the 2012 SOUPS Best Paper Award and the 2017 SOUPS Impact Award.

²This paper received the ACM SIGCHI Honorable Mention Award.

with a UI fuzzer [7], we built an end-to-end system for automatically examining the sensitive user information accessed and shared by mobile apps [17]. As a proof of concept, and to demonstrate the limits of current mobile permissions systems, we used this infrastructure to automatically examine mobile app compliance with the US Children’s Online Privacy Protection Act (COPPA) [18]³ We automatically downloaded almost 6,000 child-directed apps, automatically ran those apps in our testbed, and concluded that more than half of them were potentially violating COPPA. This research has had demonstrated impact on industry and policy:

- **Platform Policy Changes:** The Google Play Store began requiring child-directed Android apps to only use pre-approved ad SDKs [20]; the Apple App Store banned third-party advertising and analytics in child-directed iOS apps [1].
- **Enforcement Actions:** The New Mexico Attorney General filed suit against one particularly egregious app developer [24], citing this research in the complaint.
- **Regulatory Updates:** The Federal Trade Commission (FTC) sought public comment on COPPA rulemaking [23], including specifically seeking feedback from me based on this research.
- **Legislative Action:** Lawmakers in both the Senate and House introduced bills to address several of the issues identified by the research [14, 13], seeking my feedback on both bills, and later asking me to testify at a US Senate hearing in May of 2021 [3].

Our subsequent research used these tools to automatically identify covert and side channels that allowed mobile apps to circumvent the Android permissions system [16].⁴ We received multiple CVEs and bug bounties for identifying apps and SDKs that were actively exploiting these vulnerabilities (i.e., accessing sensitive user data outside of the permissions system), and Google announced multiple privacy changes to Android [8]. This research was subsequently commercialized as the startup, AppCensus, Inc., which is continuing to have impact on the online privacy ecosystem [9, 21, 5, 6, 11, 19, 10, 2, 25]. An additional impact of this research was that it led to ongoing relationships with various regulators, who now regularly consult members of my lab for data and guidance on privacy-related issues.

In sum, I believe that my experience performing research in this area will be beneficial to the goals of the workshop.

³This paper received the 2020 Caspar Bowden PET Award.

⁴This paper received the USENIX Security Distinguished Paper Award, as well as privacy research awards from two international data protection authorities (DPAs): AEPD (Spain) and CNIL (France).

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