

# Zero Knowledge Proofs

601.642/442: Modern Cryptography

Fall 2022

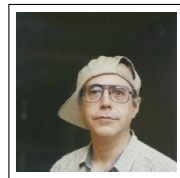
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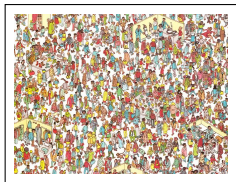
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- Shafi and Silvio won the 2012 Turing Award for work on encryption and proof systems.

# Scenario: Where's Waldo?

  
Alice

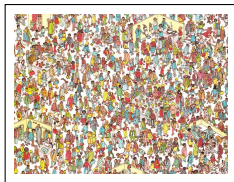


  
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A “Hey Bob, I found Waldo!”

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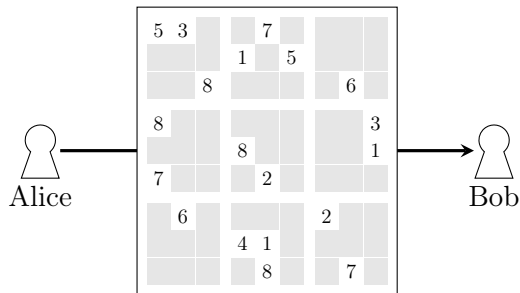


  
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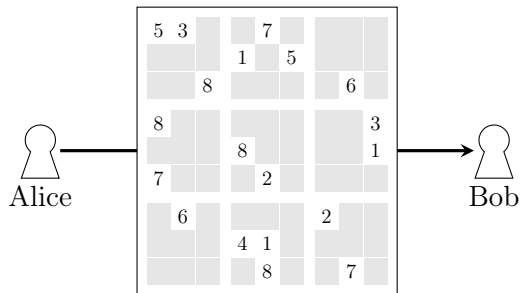
B “That was way too fast, I don’t believe you.”

## Scenario: Sudoku



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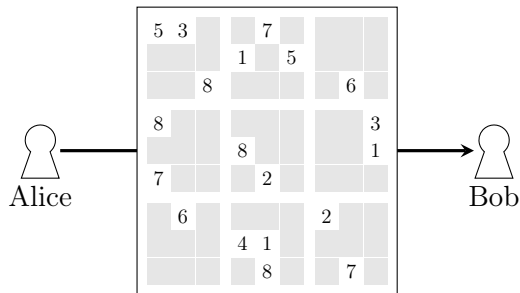


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A “This one has a solution, **trust me.**”

# Scenario: Authentication



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A “Can I have access to the database? It’s me, Alice.”

B “OK, send me your password so I know it’s you.”

# Scenario: Nuclear Disarmament

  
Alice  
(USA)



  
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(Russia)

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- A “Hey Bob, As per our treaty, I have dismantled my nuclear warheads.”
- B “What if you dismantled fake or obsolete warheads and are still keeping high quality fissile material? I don’t believe you.”

# A Problem of Trust and Information

Alice wants to convince Bob of something

- Waldo is in the picture
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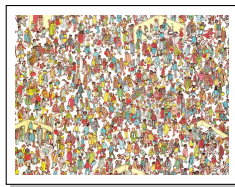
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What might a possible solution look like?



# Where's Waldo? Solution

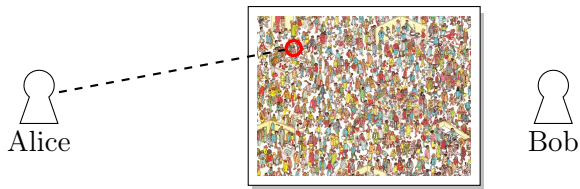
  
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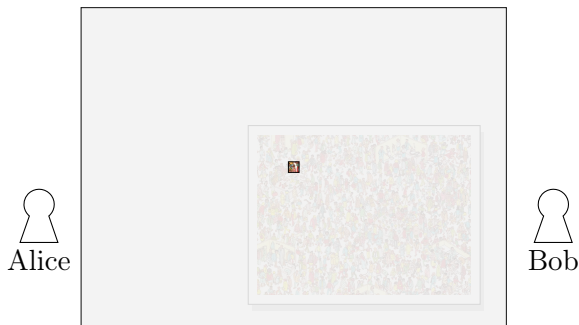
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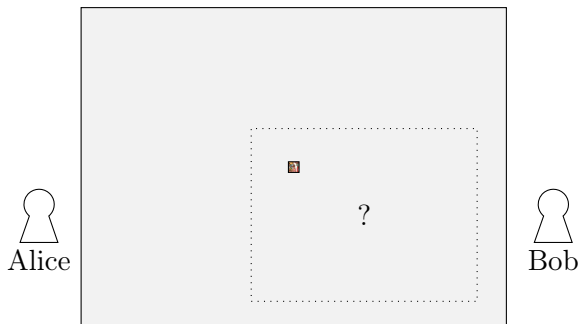
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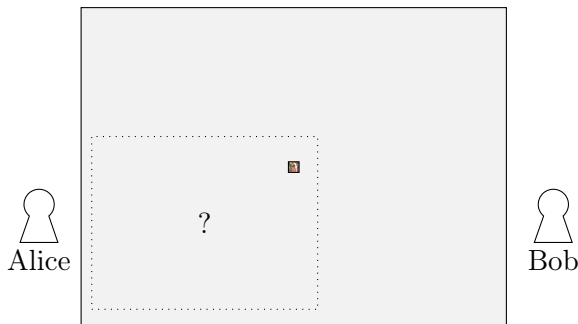


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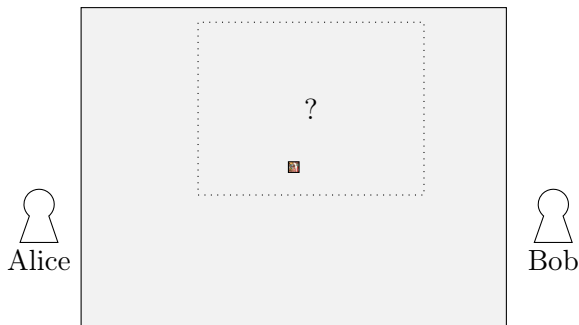


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- E.g., Proof that there are infinitely many primes should not simply be a list of all the primes. Not only would it take forever to generate that proof, it would also take forever to verify it

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- ② Question 2: Must a proof be non-interactive?
  - Or can a proof be a conversation? (i.e., interactive)

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- $\text{Out}_{M_i}(e)$ : Output of  $M_i$  in an execution  $e$
- $\text{View}_{M_i}(e)$ : View of  $M_i$  in an execution  $e$  consists of its input, random tape, auxiliary input and all the protocol messages it sees.

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Remark: In the above definition, prover is not required to be efficient. Later, we will also consider efficient provers.



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So why use interactive proofs after all?

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- ② Achieving privacy guarantee for prover
  - Zero knowledge: Verifier learns nothing from the proof beyond the validity of the statement!

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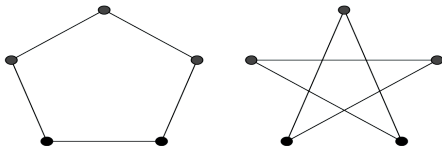
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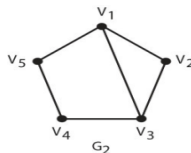
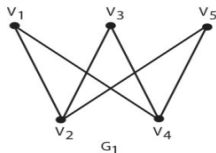
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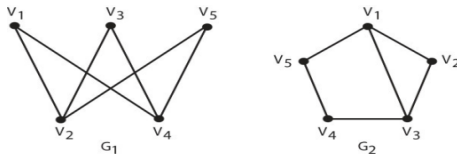
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- Graph Non-Isomorphism is in **co-NP**, and not known to be in **NP**



# How to Prove Graph Non-Isomorphism?

- Suppose  $P$  wants to prove to  $V$  that  $G_0$  and  $G_1$  are not isomorphic

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- How to design an efficiently verifiable interactive proof?

# Interactive Proof for Graph Non-Isomorphism

**Common Input:**  $x = (G_0, G_1)$

**Protocol**  $(P, V)$ : Repeat the following procedure  $n$  times using fresh randomness

$V \rightarrow P$ :  $V$  chooses a random bit  $b \in \{0, 1\}$  and a random permutation  $\pi \in \Pi_n$ . It computes  $H = \pi(G_b)$  and sends  $H$  to  $P$

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$V(x, b, b')$ :  $V$  outputs 1 if  $b' = b$  and 0 otherwise

# $(P, V)$ is an Interactive Proof

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- **Completeness:** If  $G_0$  and  $G_1$  are not isomorphic, then an unbounded prover can always find  $b'$  s.t.  $b' = b$
- **Soundness:** If  $G_0$  and  $G_1$  are isomorphic, then  $H$  is isomorphic to both  $G_0$  and  $G_1$ ! Therefore, in one iteration, any (unbounded) prover can correctly guess  $b$  with probability at most  $\frac{1}{2}$ . Since each iteration is independent, prover can succeed in all iterations with probability at most  $2^{-n}$ .



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## Definition

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- **Main Goal:** Zero Knowledge, i.e., ensuring that verifier does not gain any knowledge from its interaction with prover beyond learning the validity of the statement  $x$  (e.g.,  $P$ 's witness  $w$  remains private from  $V$ )

# Towards Zero Knowledge

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- Q. 2: What is knowledge?



# Towards Zero Knowledge (contd.)

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That is, by learning the result of a random process or result of a polynomial time computation, we gain no knowledge

# When is knowledge conveyed?

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Think: Should you accept any of these offers?

We can generate 100-bit random string for free by flipping a coin, and we can also multiply on our own for free. But an exponential-time computation is hard to perform on our own, since we are PPT. So we should reject first and second offers, but seriously consider the third one!

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- Formalized via notion of Simulator, as in definition of semantic security for encryption

# Zero Knowledge: Definition I

## Definition (Honest Verifier Zero Knowledge)

An interactive proof  $(P, V)$  for a language  $L$  with witness relation  $R$  is said to be honest verifier zero knowledge if there exists a PPT simulator  $S$  s.t. for every non-uniform PPT distinguisher  $D$ , there exists a negligible function  $\nu(\cdot)$  s.t. for every  $x \in L$ ,  $w \in R(x)$ ,  $z \in \{0, 1\}^*$ ,  $D$  distinguishes between the following distributions with probability at most  $\nu(n)$ :

- $\left\{ \text{View}_V[P(x, w) \leftrightarrow V(x, z)] \right\}$
- $\left\{ S(1^n, x, z) \right\}$

## Remarks on the Definition

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- Problem: However, the above is promised only if verifier  $V$  follows the protocol
- What if  $V$  is malicious and deviates from the honest strategy?
- Want: Existence of a simulator  $S$  for every, possibly malicious (efficient) verifier strategy  $V^*$

# Zero Knowledge: Definition II

## Definition (Zero Knowledge)

An interactive proof  $(P, V)$  for a language  $L$  with witness relation  $R$  is said to be zero knowledge if for every non-uniform PPT adversary  $V^*$ , there exists an (expected) PPT simulator  $S$  s.t. for every non-uniform PPT distinguisher  $D$ , there exists a negligible function  $\nu(\cdot)$  s.t. for every  $x \in L$ ,  $w \in R(x)$ ,  $z \in \{0, 1\}^*$ ,  $D$  distinguishes between the following distributions with probability at most  $\nu(n)$ :

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- If the distributions are statistically close, then we call it statistical zero knowledge
  - If the distributions are identical, then we call it perfect zero knowledge

# Reflections on Zero Knowledge

**Paradox?**

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- Bob: “Yes, you can see this accepting transcript”
- Eve: “That doesn’t mean anything. Anyone can come up with such a transcript without knowing a witness for  $x$ !”
- Bob: “But I computed this transcript by talking to Alice who answered my challenge correctly every time!”



# Reflections on Zero Knowledge (contd.)

Moral of the story:

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# Reflections on Zero Knowledge (contd.)

Moral of the story:

- Bob participated in a “live” conversation with Alice, and was convinced by how the transcript was generated
- But to Eve, who did not see the live conversation, there is no way to tell whether the transcript is from real execution or produced by simulator