Secure Boot ROM

UNDER CONSTRUCTION

Overview

This section introduces concepts/features/behaviors of Secure Bootloader. The term "Secure Bootloader" covers the SBR located in ROM or in OTP, depending on platform hardware specifications.

CAUTION

Note that "non-Secure Bootloader" shall be:

- either based on "secure" one with deactivated parts to keep coherency among platforms.
- either regular "Secure Bootloader" with test keys only.

DISCLAIMER

Current specifications of Secure Bootloader are for mono-core platforms. Multi-cores platforms induce more complex concerns/features that are not addressed here.

Requirements

This paragraph gathers all features/concepts that SBC shall cover/implement.

General

1. Purpose of SBR is to provide a trusted startup for platform.

```
SBL-19 - [SBR][GENERAL] Trusted Startup Platform
OPEN
```

2. When coming from POR, SBR must not be overcome.

```
SBL-20 - [SBR][GENERAL] No overcome.

OPEN
```

3. When resuming from low-power mode, SBR shall be overcome to speed up "Resume Time""

```
SBL-3 - [SBC] Power modes must be managed ... OPEN
```

TBD by customer???

- 4. SBR must perform code check as soon as possible.
 - a. if security check fails then SBR must stop code execution by going into "shutdown"

```
SBL-21 - [SBR][GENERAL] Code selfcheck mode.
```

- 5. SBR shall run self-tests for cryptographic algorithms it's going to use.
 - a. if security check fails then SBR must stop code execution by going into "shutdown"

```
SBL-22 - [SBR][GENERAL] Cryptographic library selfchecks
mode. OPEN
```

6. Any security related error leads SBR to "shutdown mode".

```
SBL-23 - [SBR][GENERAL] Security error OPEN
```

7. 'MSEL' pins shall indicate SBR what is expected boot mode.

```
SBL-24 - [SBR][GENERAL] MSEL mode
OPEN
```

- a. Either "generic/normal mode".
- b. Either "RMA mode".
- c. Either "Watchdog mode".
- 8. SBR restores platform to its previous state. It is to say SBR shall store contexts of all IPs it's going to use and restore them after use.

```
SBL-25 - [SBR][GENERAL] Restore context
```

9. SBR shall be able to start SLB stored in external memory such as eMMC, flash SPI.

```
SBL-26 - [SBR][GENERAL] SLB boot source
```

10. SBR shall have be able to use additional code (drivers, algorithms, ...) if trusted.

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Descriptor

Usecases

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```
SBL-27 - [SBR][GENERAL] Additional code

OPEN

11. SBR shall have different states - Life Cycle Phase - which induce different behaviors.

SBL-28 - [SBR][GENERAL] Life Cycle feature

OPEN

a. "hardware test mode".
b. "user mode".
c. EOL.
d. "unknown".

SBL-29 - [SBR][GENERAL] Watchdog process

12. OPEN

Watchdog feature, if present, shall not interfere with SBR process ... TBD

13. CUK shall be programmed into platform to supplant SSK.

SBL-30 - [SBR][GENERAL] Customer Update Key
```

Update

OPEN

1. At SBR level, a secure update protocol (SUP) must be provided to program internal/external

```
SBL-36 - [SBR][UPDATE] Secure update Protocol memories.
```

2. Secure update protocol may be triggered by external stimulus.

```
SBL-37 - [SBR][UPDATE] SUP stimulus
OPEN
```

3. If an error occurs during update protocol (except packet signature check), SBR shall go to SCL pro

```
SBL-38 - [SBR][UPDATE] SUP casual error behavior cess.
```

4. If packet signature check fails, then SBR must exit SBR management and shall go to SBR pr

```
SBL-39 - [SBR][UPDATE] SUP packet signature check failure ocess.
```

5. After SUP communication session, SBR shall launch SFL.

```
SBL-40 - [SBR][UPDATE] SLB launch after update protocol OPEN
```

6. Any non security related error (except in SUP context) leads to turn SBR into "download mode".

This reset induces a "download mode" identical to previous one.

- 'open source' community will push to have end-user ability to update/change/reflash secure boot keys - troy
 - a. ship fully unlocked jtag enabled 'debug' chips? customer's responsibility
 - customer's public key is loaded by SiFive, charge for loading customer's keys (value added service)
- 8. SUP shall support UART, USB, SPI or Secure JTAG.

```
SBL-41 - [SBR][UPDATE] SUP communication bus
```

9. SUP communication bus choice is made through parameter stored in OTP.

```
SBL-42 - [SBR][UPDATE] SUP bus choice
OPEN
```

- a. UART is the default, mandatory interface.
- 10. Stimulus to activate SUP shall be settable in OTP.

```
SBL-43 - [SBR][UPDATE] SUP stimulus setting
OPEN
```

Second Level Boot

1. SBR is able to search for SLB/SFL's Secure Header directly from base address given in OTP

```
SBL-31 - [SBR][SLB] SLB secure header
```

2. SBR is able to parse and treat GPT structure in order to access SFL.

```
SBL-32 - [SBR][SLB] GPT management
OPEN
```

Se curi ty Ke y Ch oic

- Secure Update Protocol
- Second Level Boot
- Usecases
 - Nominal Boot no update protocol -Valid SLB
 - Nominal Boot update protocol -Valid SLB
 - Nominal Boot No update protocol -Invalid SLB
 - Nominal Boot -Update protocols errors - N/A SLB

- a. SBR shall introduce new GUID reference for its own use.
- b. strawman proposal for GUIDs https://github.com/tmagik/gptfdisk/commit/d831c2c88 bedc11f85338d90d5a914eff8948c6a
- 3. Any software to be run after SBR must have its header (application format) checked successfully

```
SBL-33 - [SBR][SLB] SLB format check by this latter.
```

4. Any software to be run after SBR must have its digital signature checked successfully by this

```
SBL-34 - [SBR][SLB] Digital signature check latter.
```

5. SLB/SFL's header shall have a field 'version' for application management.

```
SBL-35 - [SBR][SLB] SLB version management
OPEN
```

Cryptographic Keys

1. When platform is in "hardware test mode" only STK is used for communication.

```
SBL-44 - [SBR][CRYPTO] Key for Hardware test mode
```

2. When platform is in "user mode" only SSK/CSK are used for communication.

```
SBL-45 - [SBR][CRYPTO] Key for User mode
OPEN
```

 SBR shall be able to manage customer key_(CSK) with defined depth in order to allow revoke/update of customer key.

```
SBL-46 - [SBR][CRYPTO] Update CSK management.

OPEN
```

4. CSK is granted on platform if, and only if its signature has been checked successfully with S

```
SBL-47 - [SBR][CRYPTO] CSK acceptance
SK hard coded in SBR.
```

5. CSK is stored in non-volatile memory (OTP) large enough for CSK updating.

```
SBL-48 - [SBR][CRYPTO] CSK storage
OPEN
```

CSK must not be stronger than embedded SSK. It is to say, for instance, CSK can't be ECDS A secp384r1 if SSK is ECDSA secp384r1.

```
SBL-49 - [SBR][CRYPTO] CSK strength constraints
OPEN
```

7. CSK signature is checked before any use of key.

```
SBL-50 - [SBR][CRYPTO] CSK check frequency
OPEN
```

8. What ever signature check, process is done, at least twice, to harden its resistance to glitch

```
SBL-51 - [SBR][CRYPTO] Signature check redundancy attack.
```

9. If platform has no valid CSK at all, it shall process only with SSK/CUK.

```
SBL-52 - [SBR][CRYPTO] CSK not present
OPEN
```

10. SSK ans STK are owned by SiFive.

```
SBL-53 - [SBR][CRYPTO] Hardcoded SiFive keys
OPEN
```

11. For"non-Secure Bootloader", only test keys are set in platform.

```
SBL-54 - [SBR][CRYPTO] Key(s) for non-secure platform
OPEN
```

12. CUK is stored in internal non-volatile memory_(OTP) but has no certificate. it is provided by customer to SiFive. CUK is programmed at part's customization step.

```
SBL-55 - [SBR][CRYPTO] Customer Update Key storage
OPEN
```

Architecture

In order to be flexible and configurable, bootloader shall be based on software modules. This means that features shall be isolated in dedicated modules.

Features

- Modules only deal with what is going to be used in SBR context. At first, it's not attended to provide upper layers software, any kind of public API.
- Each module shall have its "stubbed" version. This very specific version of the module implements the "public layer" (c.f. Module Structure) with predefined returned values/parameters/function outputs.
- A "process" shall gather all procedures mandatory to its operation, but exclusive to itself.
 - For instance, "Secure Protocol" gathers bus management, protocol management but it uses "Key Management" procedures.
- Software module shall be adaptable to platform specifications or stubbed if not relevant.

[...]

Hereafter, the features of main() are not exhaustive but represents the main concerns about SBR.

Platform Phase Management

This software module deals with features of platform depending on its "Life Cycle".

Patch Initialization UNDER STUDY

This part checks and initializes patches stored in OTP. It readies internal RAM table which role is to make indirection for targeted function(s).

Secure Boot Core Management

This module gathers functionalities that are "transverse" or "non-specific". It initializes SBR context, saves and restores platform context in order to restore this latter before jumping in SCL.

Key Management

This module manages the security keys used in generic SBL context. It interacts with cryptographic library and internal storage $_{(OTP)}$ mainly.

Second Level Boot Verification

Here is where SBR prepares the platform to exit from its context, check next "application" to launch (S LB/SFL) and if it has been verified successfully, it jumps into it.

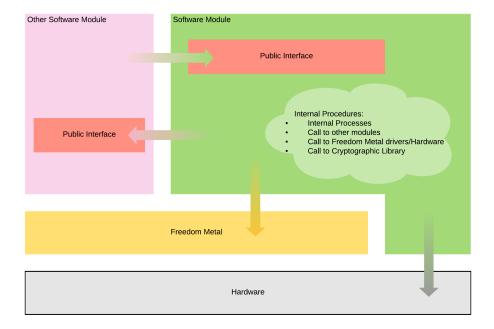
Secure Protocol

This module implements protocol(s) used in SBR context_(for instance, SUP). A dedicated page for secure update protocol is located here.

This module is in charge of managing bus or communication interfaces such as *UART*, *SPI*, *USB*, *Eth ernet*, ...

Debug Authentication Interface Management UNDER STUDY

This module manages the AM hardware device in order to allow Secure Debug on platform. For instance, it could re-enable debug when DM has been disabled.



Public

This layer gathers all API called by "user" (i.e. other SBR modules). Note that "public" layer must implement a list of generic services functions such as:

• init()/shutdown() functions.

Internal Procedures

In this layer is located all the source code that is not module's public API.

This layer is enriched with more specific piece of code that have not to be in "public":

- It gathers the calls to other SBR modules. Therefore you find here the calls to "public" functions of other modules.
- It implements protocol functions_(SUP for instance), sub-routines for module's internal mechanisms.
- It calls Freedom Metal drivers and uses directly_(if needed) hardware registers/devices.

Secure Patch Management

This feature is introduced to deport specific code outside ROM in order to be updated/fixed/changed/removed functions in platform's life.

Please find here its dedicated page.

Parameters Mapping

In this paragraph is put together a list of major SBR parameters. Those are shown in OTP mapping p age.

[...]

Life Cycle Management

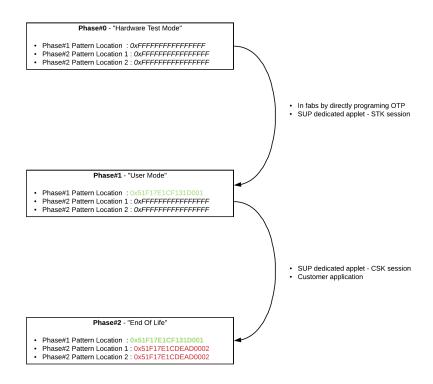
Purpose of this feature is to constrain platform to several behavior depending its life cycle. Some functions are available in one life cycle. There 3 life cycle phases:

- Phase#0 In this phase, platform is not allowed to run second level bootloader. SBR conside
 rs platform in "hardware test mode"; as a consequence, only SUP is active with specific key_{(S}

 _{TK)} and no SLB launch is allowed. No that "RMA download mode" is not active in this phase.
- Phase#1 In this phase, platform is allowed to run second level bootloader. SBR considers
 platform in "user mode". SUP is active with SSK and CSK, STK is no more accepted as valid
 key. "RMA download mode" is active. Pattern '0x51f17e1cf131d001' is set in "Phase#1
 Location".
- Phase#2 End Of Life. Note that platform is considered in Phase#2 if, at least, one of the two "Phase#2 Locations" holds '0x51f17e1cDEAD0002' pattern. Normal behavior is to have this pattern in the two locations.
- Phase#U When SBR cannot identify platform's phase, then it considers platform in this
 phase "Unknown". Here is only authorized SUP communication like in "RMA download mode
 ". Once in Phase#U, platform cannot move to another phase.

Platform's phase increment is monotonic; meaning that it can only move from **Phase#0** to **Phase#1** (fo r instance) and cannot go backward. Please refer to diagram below.

Here, pattern 'Oxffffffffffffff represents "virgin value", it is OTP dependent.



Special Case - RMA Mode

Only when platform is in **Phase#1**, "*RMA download mode*" can be activated with specific input at platform's boot time. When in **Phase#U**, this mode is normal behavior, nothing else is authorized. Compared to regular **Phase#1** behaviors, this mode differs in following points:

- Only SUP with field UID set, signed with CSK_(SSK if no CSK programmed) is accepted by SBR.
- When/if exiting from SUP session, platform resets.
- No SLB launch of any kind.

Security Keys

SiFive Test Key

This key (ECDSA specp384r1 by default) is used only in Phase#0

The public part of this key is hard coded in SBR. Private part is stored in SiFive's HSM.

There are two kinds of value depending on platform development step.

Test version, known by "everyone" to ease development and tests

• Production version, specific to production.

SiFive Signing Key

This key_(ECDSA secp384r1 by default) is used only in **Phase#1**.

The public part of this key is hard coded in SBR. Private part is stored in SiFive's HSM.

There are two kinds of value depending on platform development step.

- Test version, known by SiFive only to ease development and tests. It does not depend on platform.
- Production version, specific to production.

Customer Signing Key

This key (ECDSA specp384p1 by default) is used only in Phase#1.

The key is stored in OTP with it $signature_{(from \ SSK)}$ and a descriptor.

Private part of this customer key should be stored in customer's HSM.

There could have two kinds of value depending on platform development step.

- Test version, known by "everyone" to ease development and tests. It does not depend on platform.
- Production version, specific to production.

Customer Update Key

This key (ECDSA specp384p1 by default) is used only in Phase#1.

The key is stored in OTP without signature but with a descriptor.

Private part of this customer key should be stored in customer's HSM.

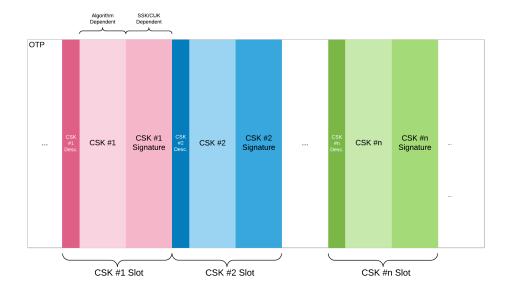
This key is completely managed by customer and shall be programmed during either part's customiza tion, either with SUP applet (signed with SSK/CSK)

CSK Management

CSK is customer dependent, even if SiFive uses a "test version" common to all platforms but unique for a specific cryptographic algorithm.

This key must be signed by platform's SSK and its signature is stored with it. Therefore key storage element location gathers key, its signature and a parameter describing key nature (ECDSA, RSA, ...)

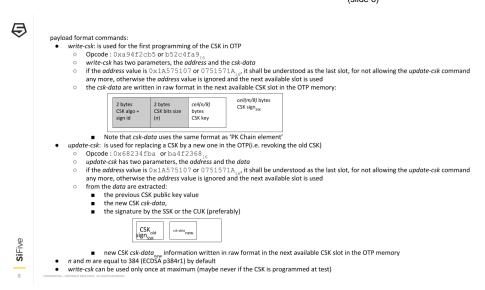
Hereafter a mapping of CSK storage location in OTP.



Please consider 'n' as an example; but platform shall have, at least two, locations to store CSK, meaning that after CSK has been programmed once, it can be updated. When CSK is updated (by programming a new one), the "old" is revoked.

CSK Descriptor

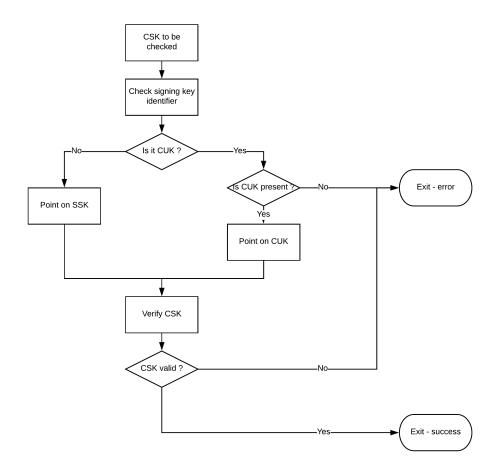
This descriptor is 32bits large for ECDSA secp384r1, as described below (slide 6)



Usecases

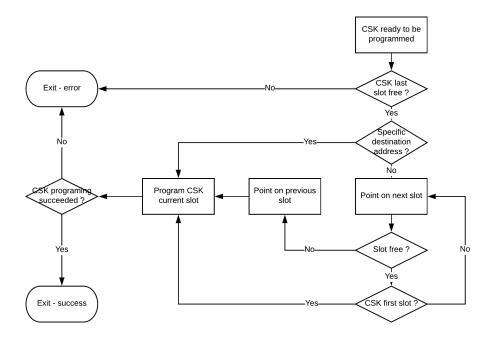
CSK SIGNATURE CHECK

Hereafter is shown procedure to verify CSK signature depending on which key to be used.

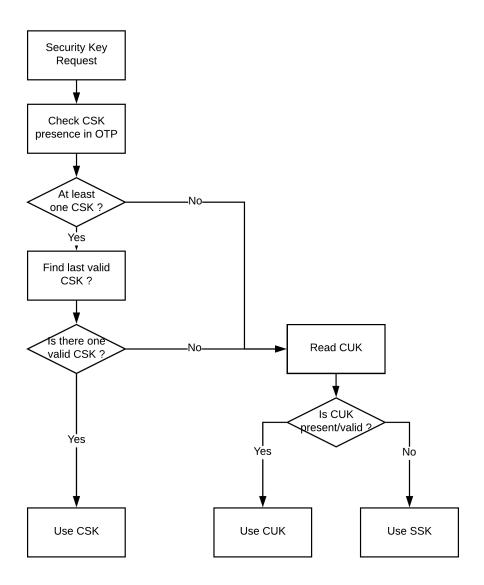


CSK SLOT MANAGEMENT

Hereafter is shown how is chosen the slot where to program CSK. Note that "*Program CSK*" success induces platform to reset. If procedure isn't successful, then error is returned to Host via SUP.



Whether it is for SUP or for SFL check and launch, signature has to be checked with security key. SB R has to choose the key to use depending on availability of it.



Secure Update Protocol

This protocol provides update software capacity to secure bootloader. It has a dedicated page here.

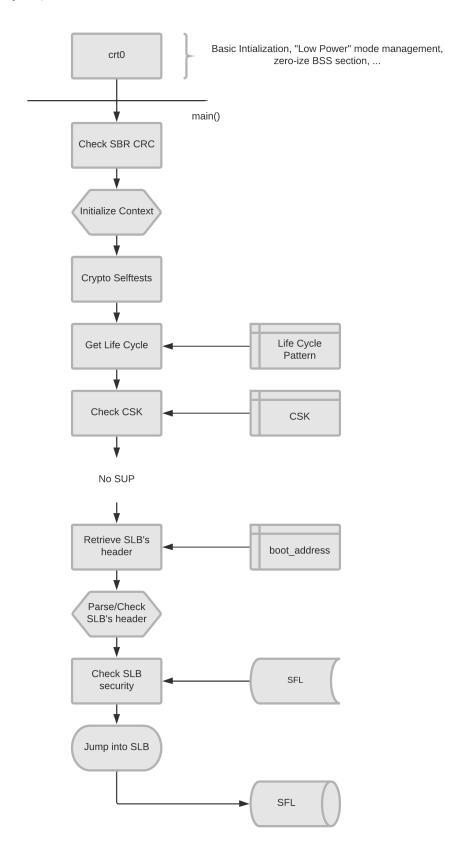
Second Level Boot

The SLB recalls at the same time the step where SBR check for next step software to launch and this software too. It has its dedicated page here.

Usecases

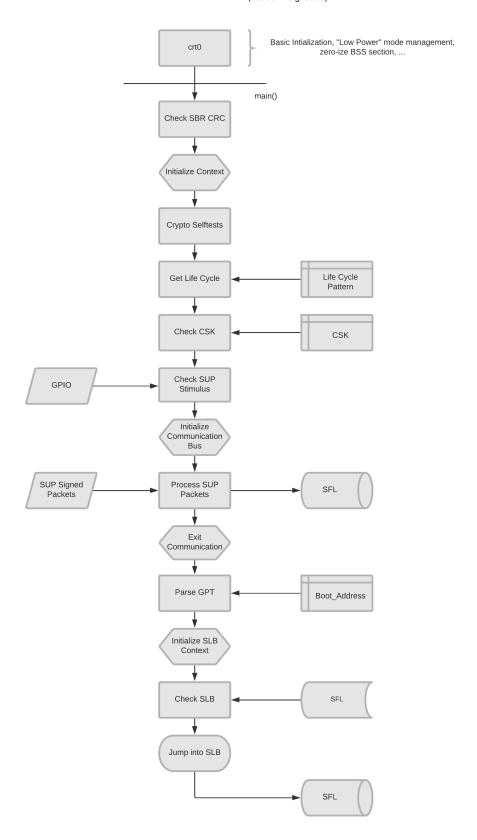
Nominal Boot - no update protocol - Valid SLB

In this usecase, platform's life cycle allows to launch a second level bootloader. CSK is present in OT P and valid. No SUP has been requested (no stimulus). "boot_address" is present in OTP and match platform's memory mapping. SFL is stored as described in GPT and match SBR format (header + signature).



Nominal Boot - update protocol - Valid SLB

In this usecase, platform's life cycle allows to launch a second level bootloader. CSK is present in OT P and valid. Stimulus is applied at boot and during SUP communication. SUP packets are valid then accepted by SBR. "Boot_Address" is present in OTP and match platform's memory mapping. SFL is stored as described in GPT and match SBR format_(header + signature).



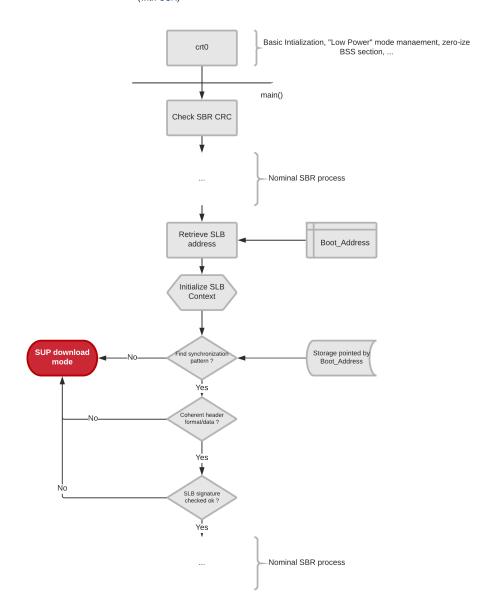
Nominal Boot - No update protocol - Invalid SLB

In this usecase, platform's life cycle allows to launch a second level bootloader. CSK is present in OT P and valid. No SUP has been requested $_{(no\ stimulus)}$.

"Boot_Address" present in OTP is checked, if it results with error, SBR goes into "download mode".

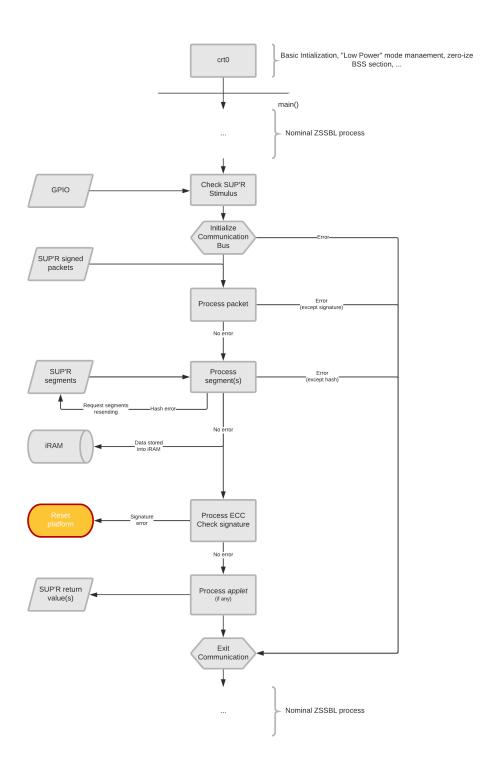
SLB's header is checked (synchronization pattern, addresses, ...), if it results with error, SBR goes into "dow nload mode".

 ${\sf SLB's\ signature\ is\ checked}_{\hbox{(with\ CSK)}}, if it\ results\ with\ error,\ {\sf SBR\ goes\ into\ "} {\it download\ mode"}.$



Nominal Boot - Update protocols errors - N/A SLB

In this usecase is described only the "high level" error behaviors for SUP. For detailed, "packet layer" and "segment layer" processes, please refer to paragraphs describing SUP packet layer and SUP segment layer.



[...]