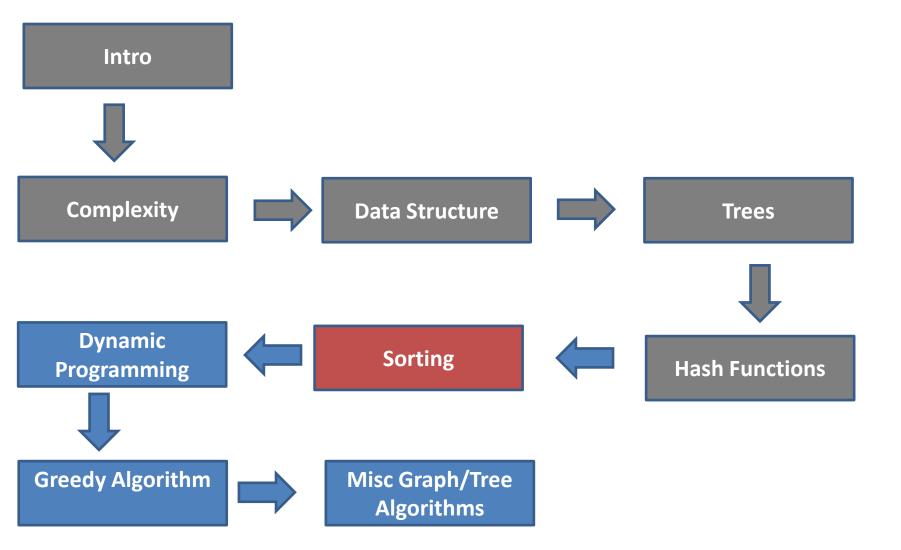
An Introduction to Algorithms By Hossein Rahmani

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Sorting Review

- Insertion Sort
 - $-T(n) = \Theta(n^2)$
- Selection Sort
 - $T(n) = \Theta(n^2)$
- Merge Sort
 - $T(n) = \Theta(n \lg(n))$
- Heap Sort
 - $-T(n) = \Theta(n \lg(n))$

Seems pretty good. Can we do better?

Sorting

- Assumptions
 - 1. No (Prior) knowledge of the keys or numbers we are sorting on.
 - 2. Each key supports a <u>comparison</u> interface or operator.
 - 3. Each key is <u>unique</u> (just for convenience).

Comparison Sorting

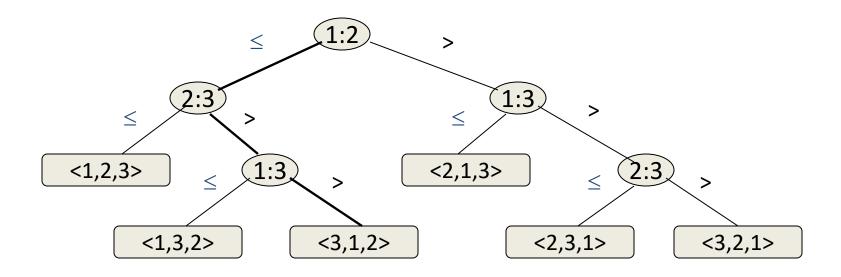
Comparison Sorting

- Given a set of <u>n values</u>, there can be <u>n!</u>
 <u>permutations</u> of these values.
- So if we look at the <u>behavior</u> of the <u>sorting</u> algorithm over all <u>possible n!</u> inputs we can determine the <u>worst-case complexity</u> of the algorithm.

Decision Tree

- Decision tree model
 - Full binary tree
 - A **proper binary tree** (or **2-tree**) is a tree in which every node other than the leaves has two children
 - Internal node represents a comparison.
 - Ignore control, movement, and all other operations, just see comparison
 - Each <u>leaf</u> represents <u>one possible result</u> (a permutation of the elements in sorted order).
 - The <u>height</u> of the tree (i.e., longest path) is the lower <u>bound</u>.

Decision Tree Model



Internal <u>node i:j</u> indicates <u>comparison between $a_{\underline{i}}$ and $a_{\underline{j}}$.</u> suppose three elements $\underline{< a1, a2, a3>}$ with instance $\underline{< 6,8,5>}$ Leaf node $\underline{<\pi(1)}$, $\underline{\pi(2)}$, $\underline{\pi(3)>}$ indicates ordering $a_{\pi(1)} \underline{\le a_{\pi(2)} \underline{\le a_{\pi(3)}}$. Path of **bold lines** indicates sorting path for $\underline{< 6,8,5>}$. There are total $\underline{3}!=6$ possible permutations (paths).

Decision Tree Model

- The <u>longest path</u> is the <u>worst case</u> number of comparisons. The length of the longest path is the <u>height</u> of the decision <u>tree</u>.
- Theorem 8.1: Any comparison sort algorithm requires $\Omega(n | g | n)$ comparisons in the worst case.
- Proof:
 - Suppose <u>height</u> of a decision tree is <u>h</u>, and <u>number of paths</u> (i,e,, permutations) is <u>n!</u>.
 - Since a binary tree of height h has at most 2^h leaves,
 - $\underline{n!} \leq 2^h$, so $h \geq \lg(n!) \geq \Omega(n \lg n)$
- That is to say: any comparison sort in the worst case needs at least nlg n comparisons.

QuickSort Design

- Follows the divide-and-conquer paradigm.
- **Divide:** Partition (separate) the array A[p..r] into two (possibly empty) subarrays A[p..q-1] and A[q+1..r].
 - Each element in A[p..q-1] < A[q].
 - -A[q] < each element in A[q+1..r].
 - Index q is computed as part of the partitioning procedure.
- Conquer: Sort the two subarrays by <u>recursive</u> calls to quicksort.
- *Combine*: The subarrays are sorted in place <u>no work is</u> needed to combine them.
- How do the divide and combine steps of quicksort compare with those of merge sort?

Pseudocode

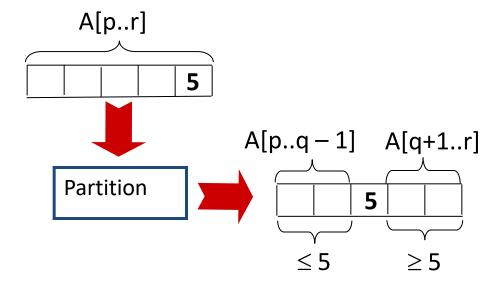
```
Quicksort(A, p, r)

if p < r then

q := Partition(A, p, r);

Quicksort(A, p, q - 1);

Quicksort(A, q + 1, r)
```



```
\begin{aligned} & \underline{\text{Partition}(A, p, r)} \\ & x := A[r], \\ & i := p - 1; \\ & \text{for } j := p \text{ to } r - 1 \text{ do} \\ & \text{ if } A[j] \leq x \text{ then} \\ & i := i + 1; \\ & A[i] \leftrightarrow A[j] \\ & A[i + 1] \leftrightarrow A[r]; \\ & \text{ return } i + 1 \end{aligned}
```

Example

```
2 5 8 3 9 4 1 7 10 6
initially:
next iteration:
                 2 5 8 3 9 4 1 7 10 6
                 2 5 8 3 9 4 1 7 10 6
next iteration:
                 2 5 8 3 9 4 1 7 10 6
next iteration:
next iteration:
                 2 5 3 8 9 4 1 7 10 6
```

```
\begin{aligned} & \underline{\text{Partition}(A, p, r)} \\ & x := A[r], \\ & i := p - 1; \\ & \textbf{for} \ j := p \ \textbf{to} \ r - 1 \ \textbf{do} \\ & \quad \textbf{if} \ A[j] \le x \ \textbf{then} \\ & \quad i := i + 1; \\ & \quad A[i] \longleftrightarrow A[j] \\ & A[i + 1] \longleftrightarrow A[r]; \\ & \quad \textbf{return} \ i + 1 \end{aligned}
```

note: pivot (x) = 6

Example (Continued)

```
2 5 3 8 9 4 1 7 10 6
next iteration:
                    2 5 3 8 9 4 1 7 10 6
next iteration:
                    2 5 3 4 9 8 1 7 10 6
next iteration:
next iteration:
                    2 5 3 4 1 8 9 7 10 6
                    2 5 3 4 1 8 9 7 10 6
next iteration:
next iteration:
                    2 5 3 4 1 8 9 7 10 6
after final swap:
                 2 5 3 4 1 6 9 7 10 8
```

```
\begin{split} & \underbrace{\mathsf{Partition}(\mathsf{A},\,\mathsf{p},\,\mathsf{r})} \\ & \mathsf{x} := \mathsf{A}[\mathsf{r}], \\ & \mathsf{i} := \mathsf{p} - \mathsf{1}; \\ & \mathsf{for}\,\mathsf{j} := \mathsf{p}\,\mathsf{to}\,\mathsf{r} - \mathsf{1}\,\mathsf{do} \\ & \mathsf{if}\,\mathsf{A}[\mathsf{j}] \leq \mathsf{x}\,\mathsf{then} \\ & \mathsf{i} := \mathsf{i} + \mathsf{1}; \\ & \mathsf{A}[\mathsf{i}] \longleftrightarrow \mathsf{A}[\mathsf{j}] \\ & \mathsf{A}[\mathsf{i} + \mathsf{1}] \longleftrightarrow \mathsf{A}[\mathsf{r}]; \\ & \mathsf{return}\,\mathsf{i} + \mathsf{1} \end{split}
```

Partitioning

- Select the last element A[r] in the subarray A[p..r]
 as the <u>pivot</u> the element around which to
 partition.
- As the procedure executes, the <u>array is partitioned</u> into four (possibly empty) regions.
 - 1. A[p..i] All entries in this region are $\leq pivot$.
 - 2. A[i+1..j-1] All entries in this region are $\geq pivot$.
 - 3. A[r] = pivot.
 - 4. A[j..r-1] Not known how they compare to *pivot*.

Initialization:

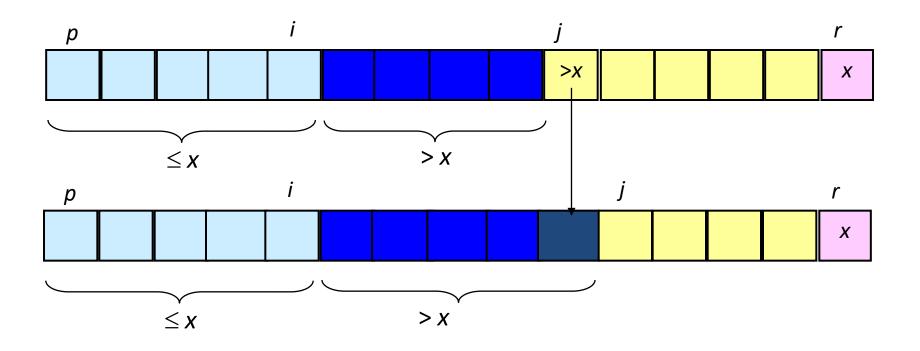
- Before first iteration
 - A[p..i] and A[i+1..j-1] are empty Conds. 1 and 2 are satisfied (trivially).
 - *r* is the index of the *pivot*
 - Cond. 3 is satisfied.

Maintenance:

- Case 1: A[j] > x
 - Increment *j* only.

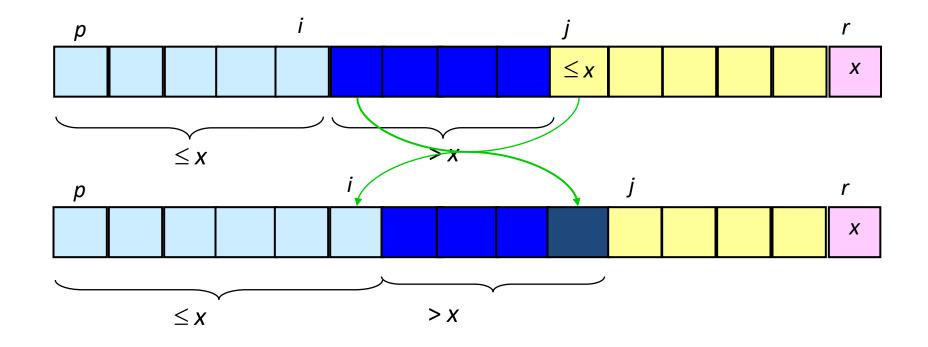
```
\begin{split} & \underbrace{Partition(A, p, r)} \\ & x := A[r], \\ & i := p - 1; \\ & \textbf{for } j := p \textbf{ to } r - 1 \textbf{ do} \\ & \textbf{ if } A[j] \leq x \textbf{ then} \\ & i := i + 1; \\ & A[i] \leftrightarrow A[j] \\ & A[i + 1] \leftrightarrow A[r]; \\ & \textbf{ return } i + 1 \end{split}
```

Case 1:



- Case 2: $A[j] \leq x$
 - Increment i
 - Swap A[i] and A[j]
 - Condition 1 is maintained.

- Increment j
 - Condition 2 is maintained.
- A[r] is unaltered.
 - Condition 3 is maintained.



• Termination:

- When the loop terminates, j = r, so all elements in A are partitioned into one of the three cases:
 - *A*[*p*..*i*] ≤ *pivot*
 - A[i+1..j-1] > pivot
 - A[r] = pivot
- The last two lines swap A[i+1] and A[r].
 - Pivot moves from the end of the array to between the two subarrays.
 - Thus, procedure partition correctly performs the divide step.

Complexity of Partition

- PartitionTime(n) is given by the number of iterations in the for loop.
- $\Theta(n)$: n = r p + 1.

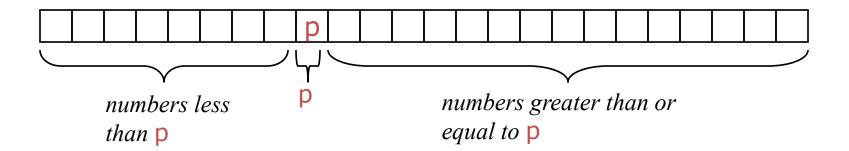
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\begin{aligned} & \underline{\text{Partition}(A, p, r)} \\ & x := A[r], \\ & i := p - 1; \\ & \textbf{for} \ j := p \ \textbf{to} \ r - 1 \ \textbf{do} \\ & \quad \textbf{if} \ A[j] \le x \ \textbf{then} \\ & \quad i := i + 1; \\ & \quad A[i] \longleftrightarrow A[j] \\ & A[i + 1] \longleftrightarrow A[r]; \\ & \quad \textbf{return} \ i + 1 \end{aligned}
```

Quicksort Overview

- To sort a[left...right]:
- 1. if left < right:
 - 1.1. Partition a[left...right] such that:
 all a[left...p-1] are less than a[p], and
 all a[p+1...right] are >= a[p]
 - 1.2. Quicksort a[left...p-1]
 - 1.3. Quicksort a[p+1...right]
- 2. Terminate

Partitioning in Quicksort

- A key step in the Quicksort algorithm is partitioning the array
 - We choose some (any) number p in the array to use as a pivot
 - We partition the array into three parts:



Alternative Partitioning

- <u>Choose</u> an array value (say, the first) to use as the <u>pivot</u>
- Starting from the <u>left end</u>, find the first element that is <u>greater</u> than or equal to the pivot
- Searching backward from the <u>right end</u>, find the first element that is <u>less</u> than the pivot
- Interchange (<u>swap</u>) these two elements
- Repeat, searching from where we left off, until done

Alternative Partitioning

- To partition a[left...right]:
- 1. Set pivot = a[left], l = left + 1, r = right;
- 2. while l < r, do
 - 2.1. while l < right & a[l] < pivot , set <math>l = l + 1
 - 2.2. while r > left & a[r] >= pivot, set r = r 1
 - 2.3. if l < r, swap a[l] and a[r]
- 3. Set a[left] = a[r], a[r] = pivot
- 4. Terminate

Example of partitioning

133122344989656

•	choose pivot:	<u>4</u>	3	6	9	2	4	3	1	2	1	8	9	3	5	6
•	search:	<u>4</u>	3		9	2	4	3	1	2	1	8	9	3	5	6
•	swap:	<u>4</u>	3	3	9	2	4	3	1	2	1	8	9		5	6
•	search:	<u>4</u>	3	3		2	4	3	1	2	1	8	9	6	5	6
•	swap:	<u>4</u>	3	3	1	2	4	3	1	2		8	9	6	5	6
•	search:	<u>4</u>	3	3	1	2		3	1	2	9	8	9	6	5	6
•	swap:	<u>4</u>	3	3	1	2	2	3	1		9	8	9	6	5	6
•	search:	<u>4</u>	3	3	1	2	2	3	1	4		8	9	6	5	6

swap with pivot:

Partition Implementation (Java)

```
static int Partition(int[] a, int left, int right) {
  int p = a[left], l = left + 1, r = right;
  while (l < r) {
     while (l < right && a[l] < p) l++;
     while (r > left && a[r] >= p) r--;
     if (l < r) {
        int temp = a[l]; a[l] = a[r]; a[r] = temp;
  a[left] = a[r];
  a[r] = p;
  return r;
```

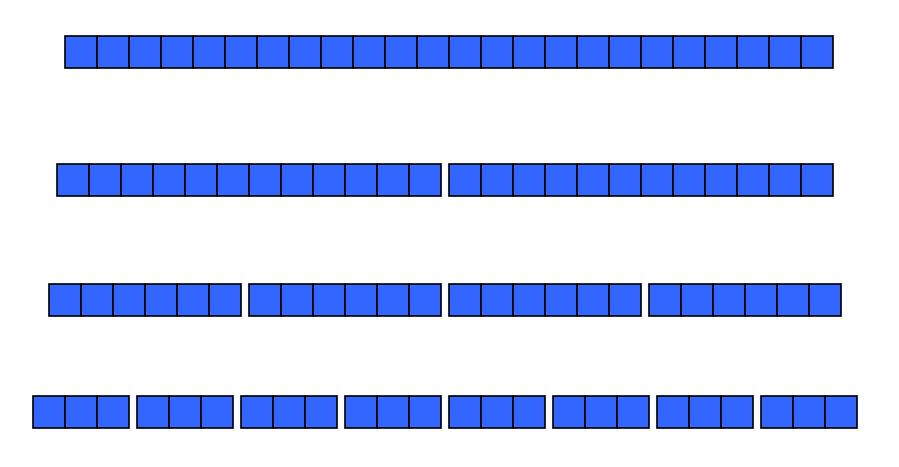
Quicksort Implementation (Java)

```
static void Quicksort(int[] array, int left, int right) {
    if (left < right) {
        int p = Partition(array, left, right);
        Quicksort(array, left, p - 1);
        Quicksort(array, p + 1, right);
    }
}</pre>
```

Analysis of quicksort—best case

- Suppose each <u>partition</u> operation divides the array almost <u>exactly</u> in <u>half</u>
- Then the <u>depth</u> of the recursion in log₂n
 - Because that's how many times we can halve n
- We note that
 - Each partition is linear over its subarray
 - All the partitions at one level cover the array

Partitioning at various levels



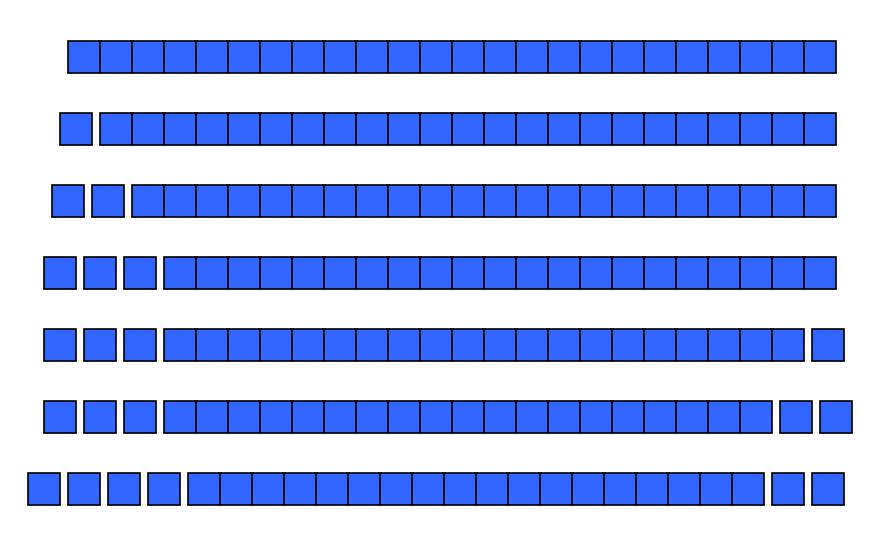
Best Case Analysis

- We cut the array <u>size</u> in <u>half</u> each time
- So the <u>depth</u> of the recursion in log₂n
- At each level of the recursion, all the partitions at that level do work that is linear in n
- $O(log_2n) * O(n) = O(n log_2n)$
- Hence in the <u>best case</u>, quicksort has time complexity <u>O(n log_n)</u>
- What about the worst case?

Worst case

- In the worst case, partitioning always divides the size n array into these three parts:
 - A length one part, containing the <u>pivot itself</u>
 - A length <u>zero</u> part, and
 - A length n-1 part, containing everything else
- We don't recur on the zero-length part
- Recurring on the length n-1 part requires (in the worst case) recurring to depth n-1

Worst case partitioning



Worst case for quicksort

- In the worst case, recursion may be <u>n levels deep</u> (for an array of size <u>n</u>)
- But the partitioning work done at each level is still n
- $O(n) * O(n) = O(n^2)$
- So worst case for Quicksort is $O(n^2)$
- When does this happen?
 - There are many arrangements that could make this happen
 - Here are two common cases:
 - When the array is <u>already sorted</u>
 - When the array is <u>inversely</u> sorted (sorted in the opposite order)

Typical case for quicksort

- If the array is <u>sorted</u> to begin with, Quicksort is terrible: $O(n^2)$
- It is possible to construct other bad cases
- However, Quicksort is <u>usually O(n log_n</u>)
- The constants are so good that Quicksort is generally the <u>faster algorithm</u>.
- Most <u>real-world</u> sorting is done by Quicksort

Picking a better pivot

- Before, we picked the <u>first element</u> of the subarray to use as a pivot
 - If the array is <u>already sorted</u>, this results in O(n²) behavior
 - It's no better if we pick the <u>last element</u>
- We could do an <u>optimal</u> <u>quicksort</u> (guaranteed O(n log n)) if we always picked a <u>pivot value</u> that exactly cuts the array in half
 - Such a value is called a <u>median</u>: half of the values in the array are larger, half are smaller
 - The easiest way to find the median is to sort the array and pick the value in the middle (!)

Median of three

- Obviously, it <u>doesn't make sense</u> to sort the array in order to find the median to use as a pivot.
- Instead, compare just three elements of our (sub)array—the first, the last, and the middle
 - Take the median (middle value) of these three as the pivot
 - It's possible (but not easy) to construct cases which will make this technique $O(n^2)$

Quicksort for Small Arrays

- For very <u>small arrays</u> (N<= 20), quicksort does not perform as well as <u>insertion</u> sort
- A good cutoff range is N=10
- Switching to insertion sort for small arrays can save about 15% in the running time

Mergesort vs Quicksort

- Both run in O(n lgn)
 - Mergesort always.
 - Quicksort on average
- Compared with Quicksort, <u>Mergesort</u> has <u>less</u> number of <u>comparisons</u> but <u>larger</u> number of <u>moving</u> elements
- In <u>Java</u>, an element <u>comparison</u> is <u>expensive</u> but <u>moving</u> elements is <u>cheap</u>. Therefore, <u>Mergesort</u> is used in the standard Java library for generic sorting

Mergesort vs Quicksort

In C++, copying objects can be expensive while comparing objects often is relatively cheap.

Therefore, quicksort is the sorting routine commonly used in C++ libraries



Partition Implementation (Java)

```
static int Partition(int[] a, int left, int right) {
  int p = a[left], l = left + 1, r = right;
  while (l < r) {
     while (l < right && a[l] < p) l++;
     while (r > left && a[r] >= p) r--;
     if (l < r) {
        int temp = a[l]; a[l] = a[r]; a[r] = temp;
  a[left] = a[r];
  a[r] = p;
  return r;
```



Quicksort Implementation (Java)

```
static void Quicksort(int[] array, int left, int right) {
   if (left < right) {
      int p = Partition(array, left, right);
      Quicksort(array, left, p - 1);
      Quicksort(array, p + 1, right);
   }
}</pre>
```

 0
 1
 2
 3
 4
 5

 quickSort(arr,0,5)
 6
 5
 9
 12
 3
 4

partition(arr,0,5)

0	1	2	3	4	5
6	5	9	12	3	4

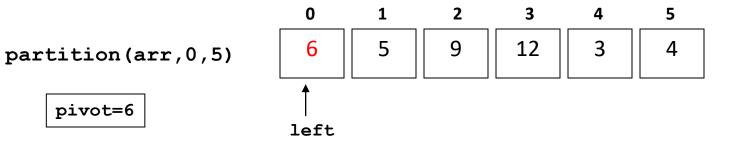
partition(arr,0,5)

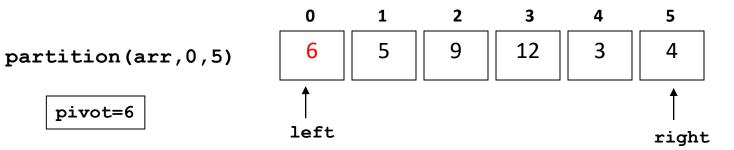
)

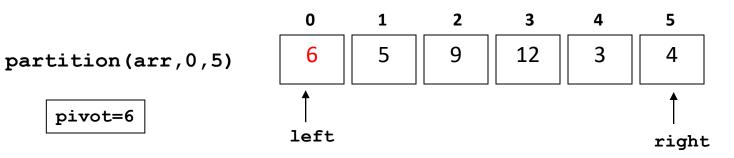
pivot= ?

0 1 2 3 4 5
partition(arr,0,5) 6 5 9 12 3 4

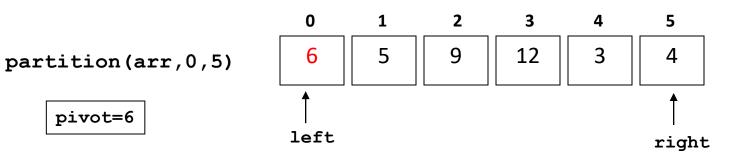
pivot=6

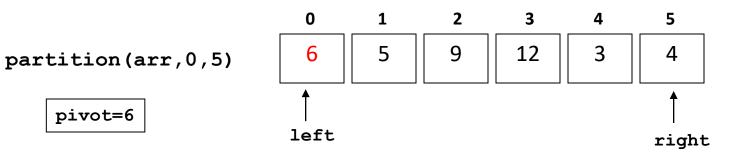




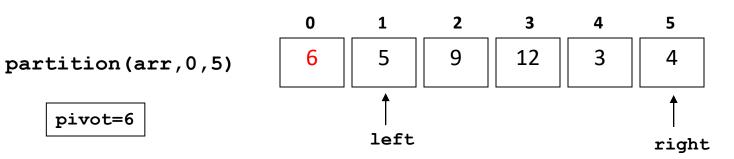


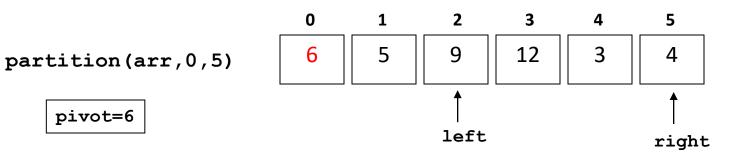
right moves to the left until value that should be to left of pivot...

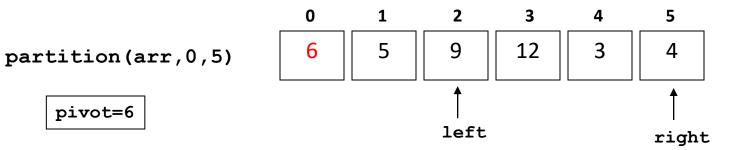




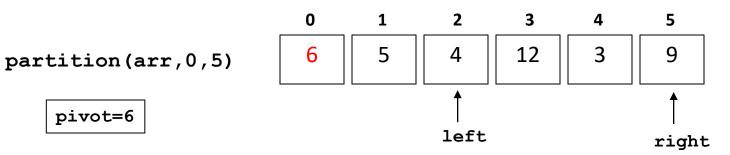
left moves to the right until value that should be to right of pivot...



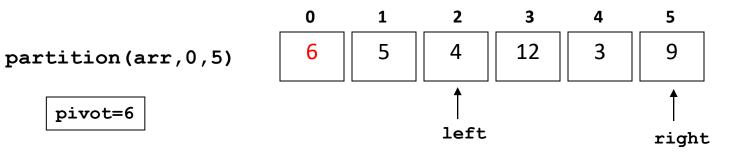




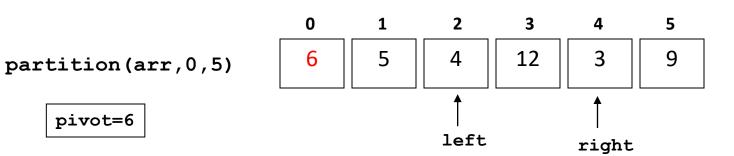
swap arr[left] and arr[right]

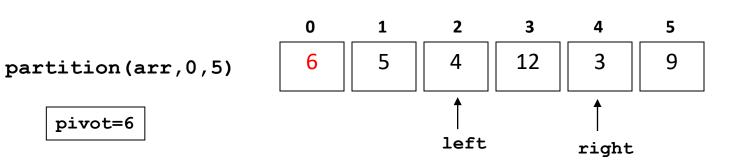


repeat right/left scan UNTIL left & right cross

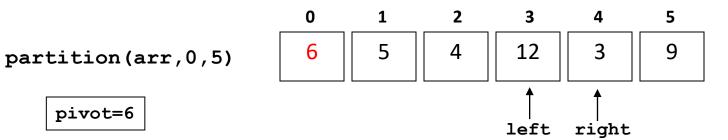


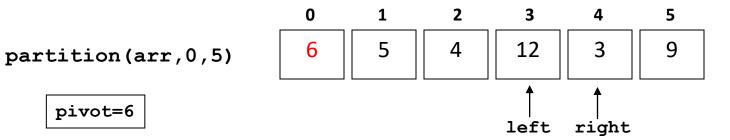
right moves to the left until value that should be to left of pivot...



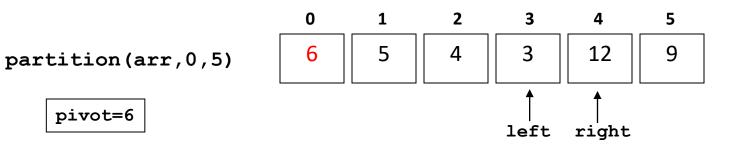


left moves to the right until value that should be to right of pivot...

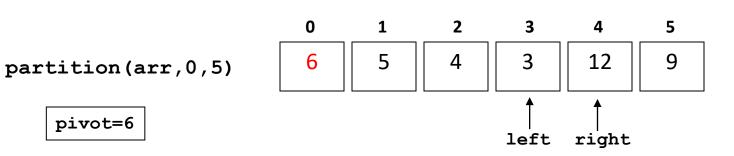




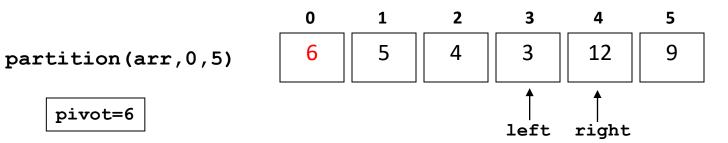
swap arr[left] and arr[right]



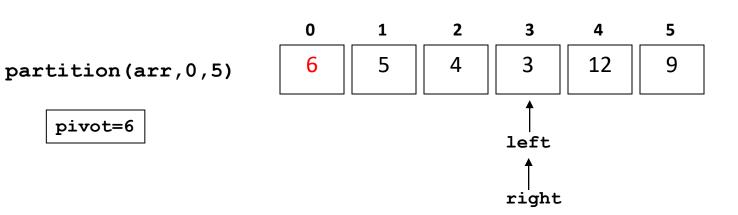
swap arr[left] and arr[right]

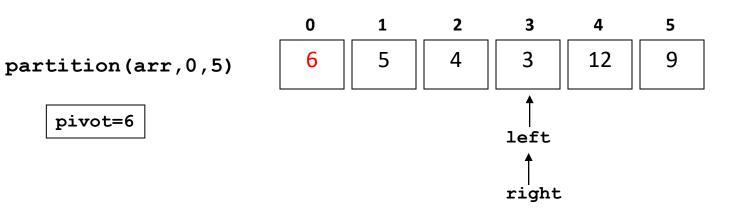


repeat right/left scan UNTIL left & right cross

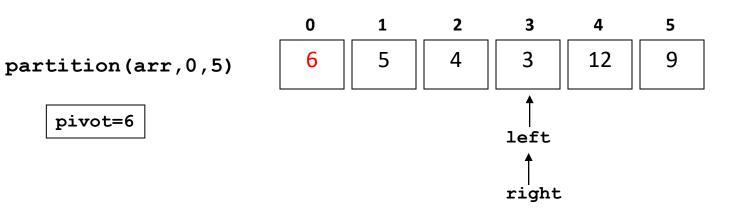


right moves to the left until value that should be to left of pivot...

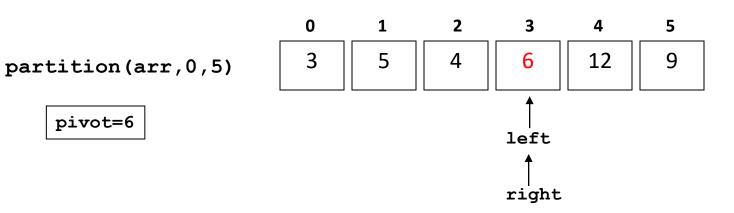




right & left CROSS!!!



right & left CROSS!!!
1 - Swap pivot and arr[right]

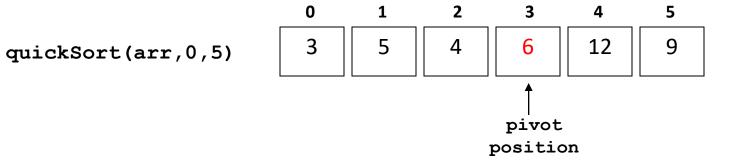


right & left CROSS!!!
1 - Swap pivot and arr[right]

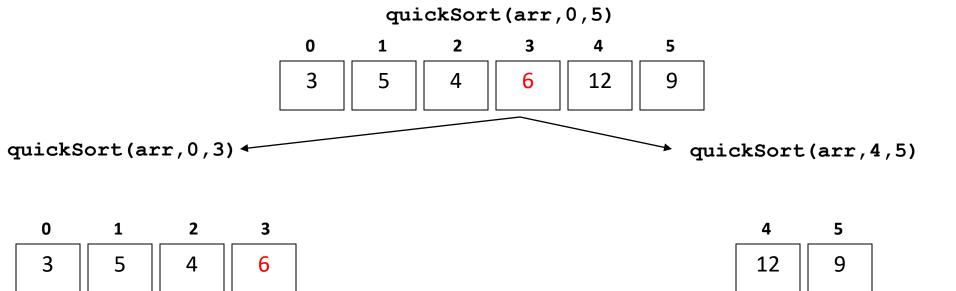
right & left CROSS!!!

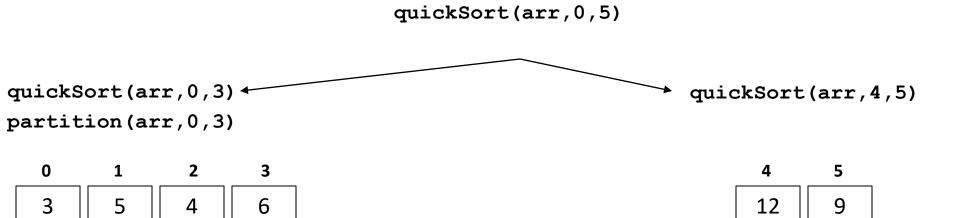
- 1 Swap pivot and arr[right]
- 2 Return new location of pivot to caller

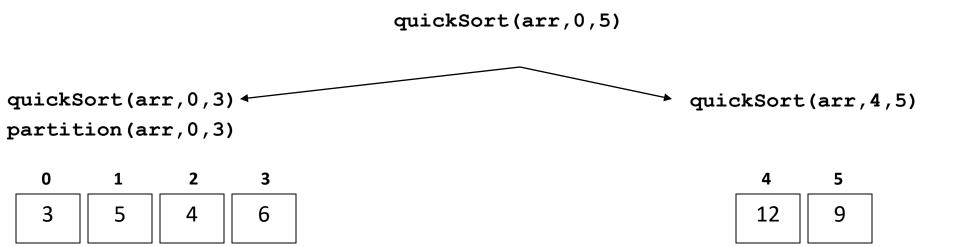
return 3

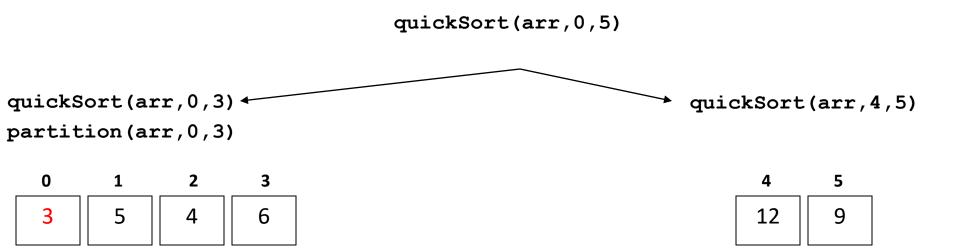


Recursive calls to quickSort() using partitioned array...









quickSort(arr,0,5) quickSort(arr,0,3) ← quickSort(arr,4,5) partition(arr,0,3) left

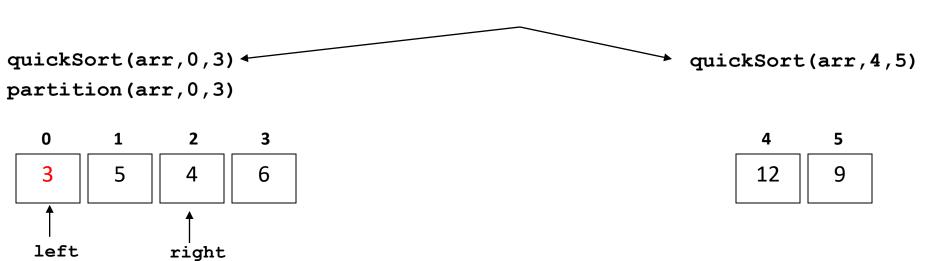
quickSort(arr,0,5) quickSort(arr,0,3) ← quickSort(arr,4,5) partition(arr,0,3) left right

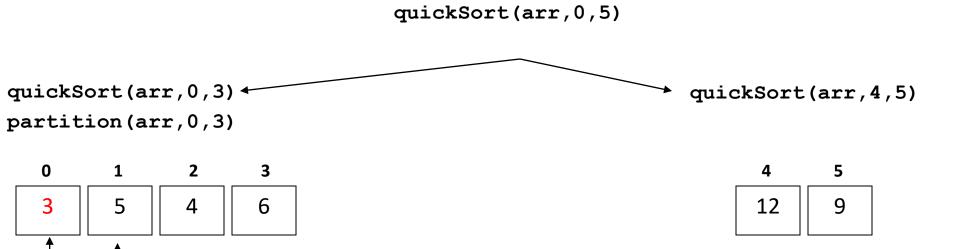
quickSort(arr,0,5) quickSort(arr,0,3) ← quickSort(arr,4,5) partition(arr,0,3)

right moves to the left until value that should be to left of pivot...

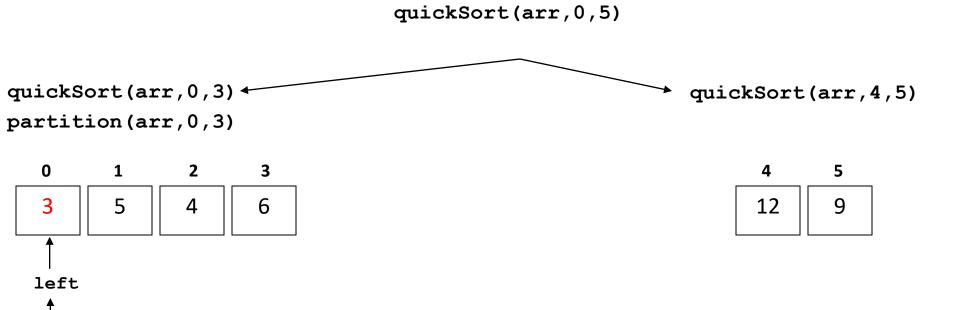
right

left





left right



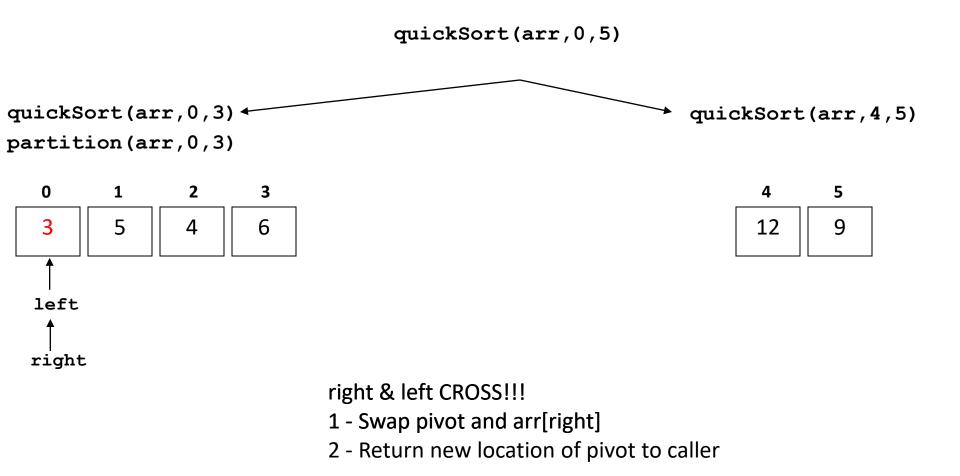
right

quickSort(arr,0,5) quickSort(arr,0,3) ← quickSort(arr,4,5) partition(arr,0,3) left right

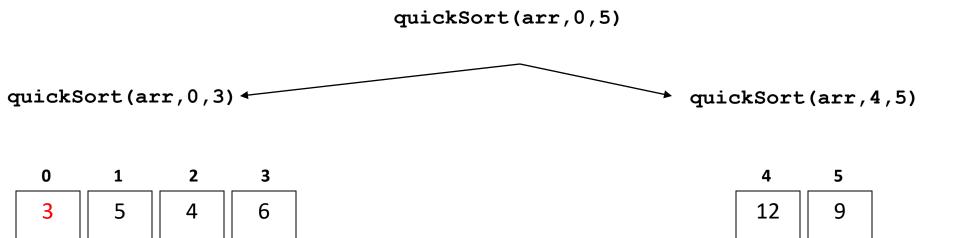
right & left CROSS!!!

quickSort(arr,0,5) quickSort(arr,4,5) quickSort(arr,0,3) ← partition(arr,0,3) 0 1 2 3 4 5 3 5 6 12 9 4 left right right & left CROSS!!!

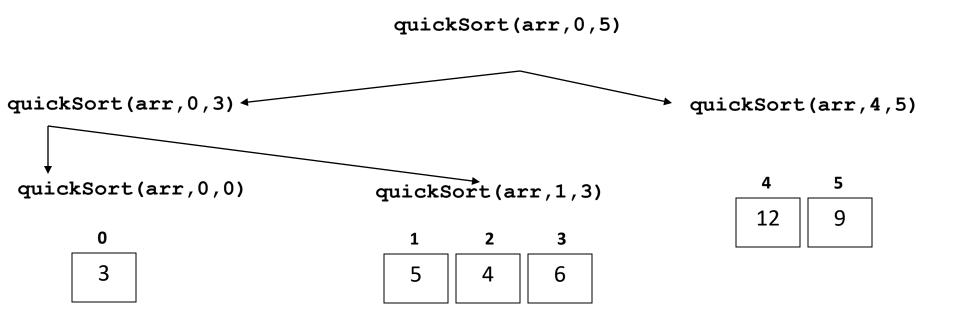
1 - Swap pivot and arr[right]

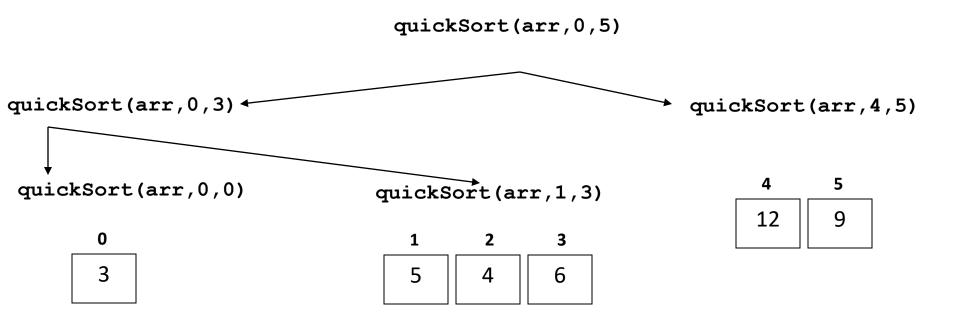


return 0

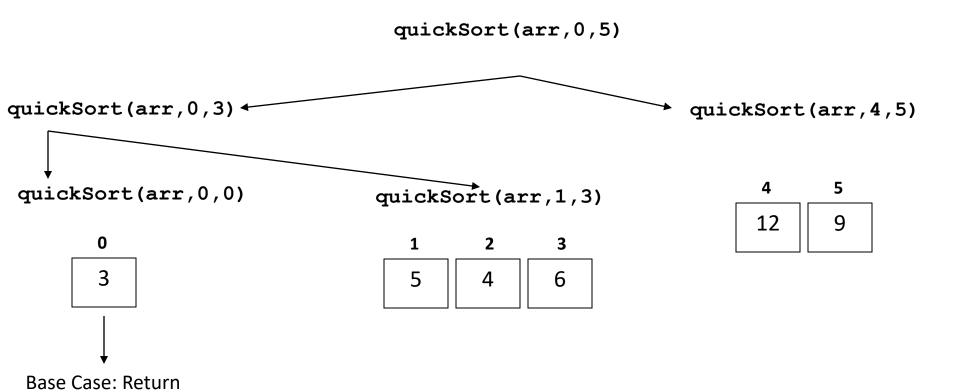


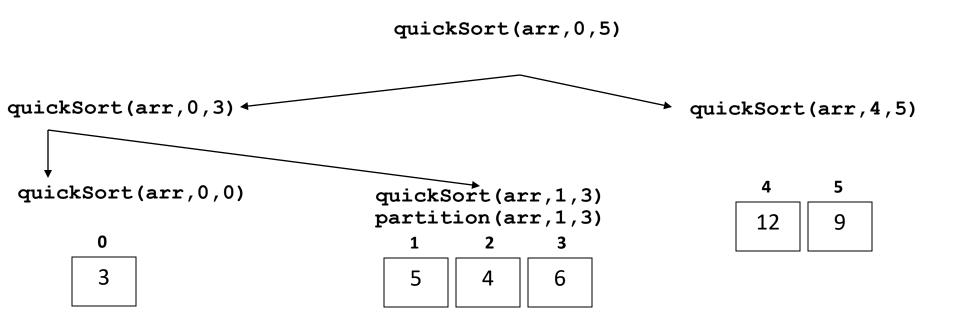
Recursive calls to quickSort() using partitioned array...



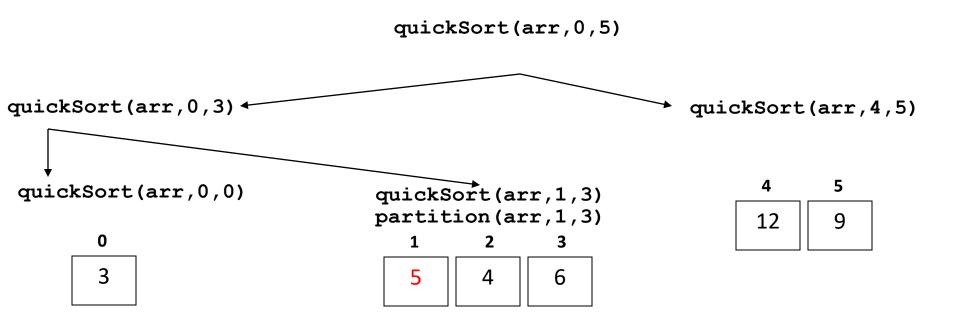


Base case triggered... halting recursion.

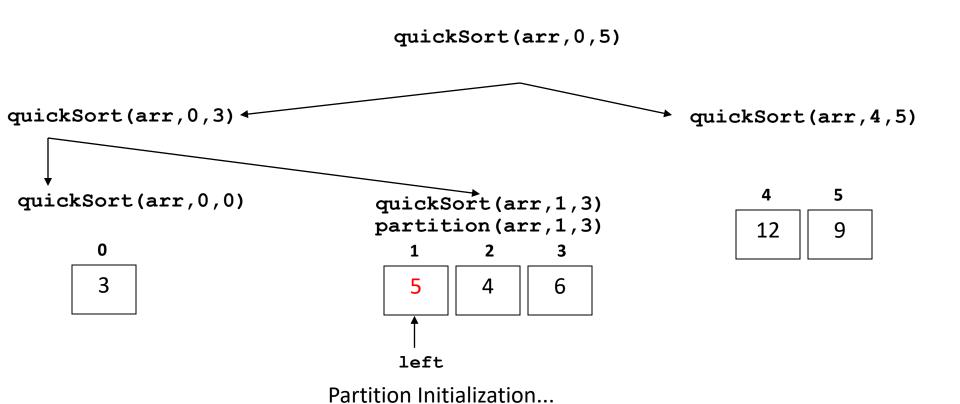


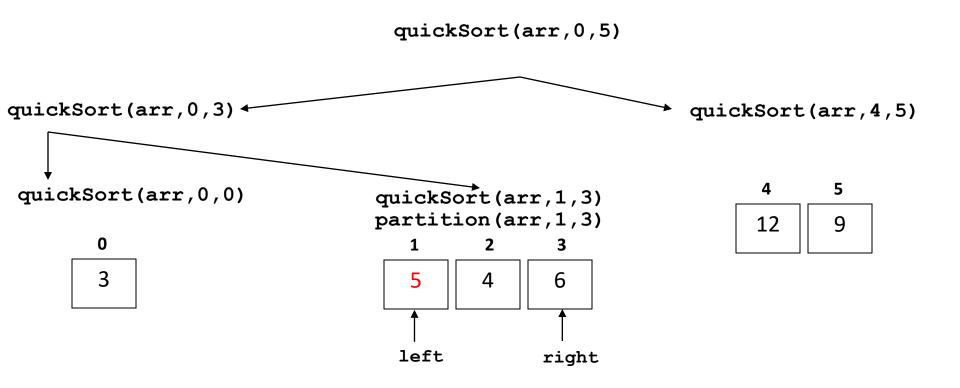


Partition Initialization...

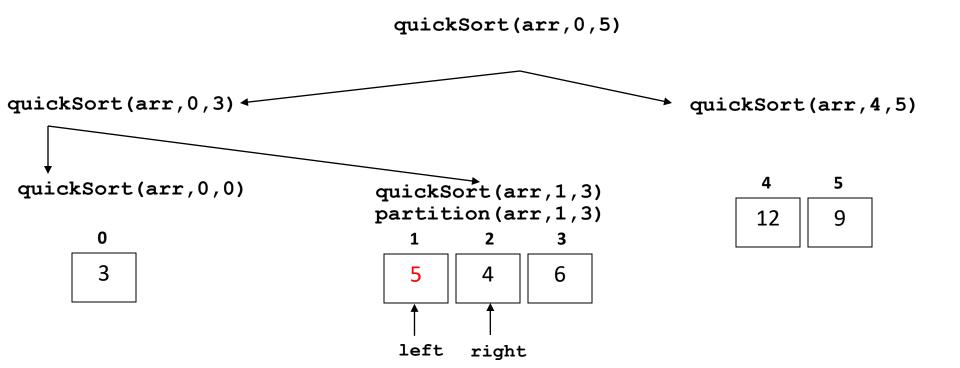


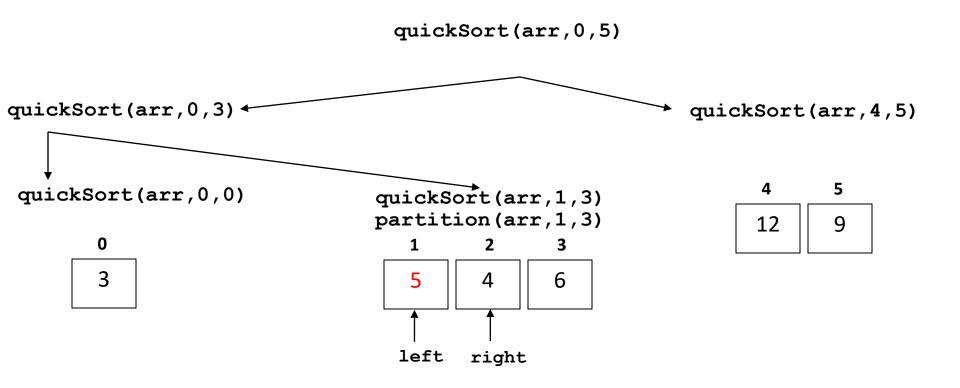
Partition Initialization...



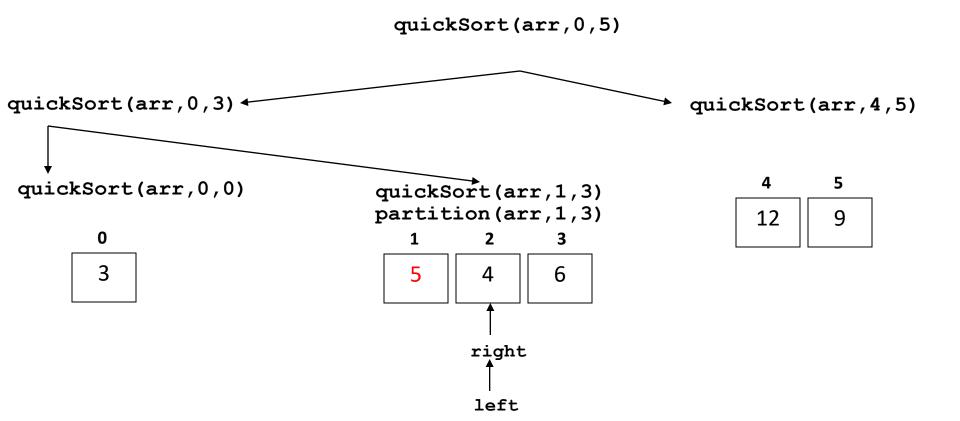


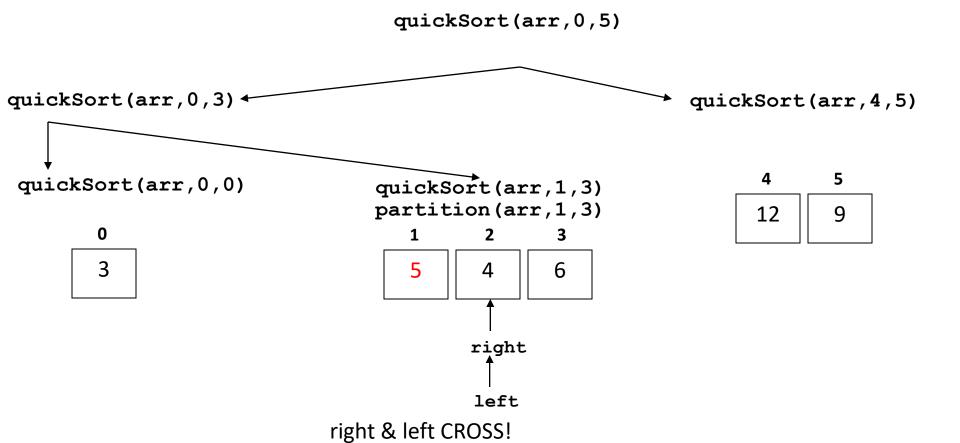
right moves to the left until value that should be to left of pivot...

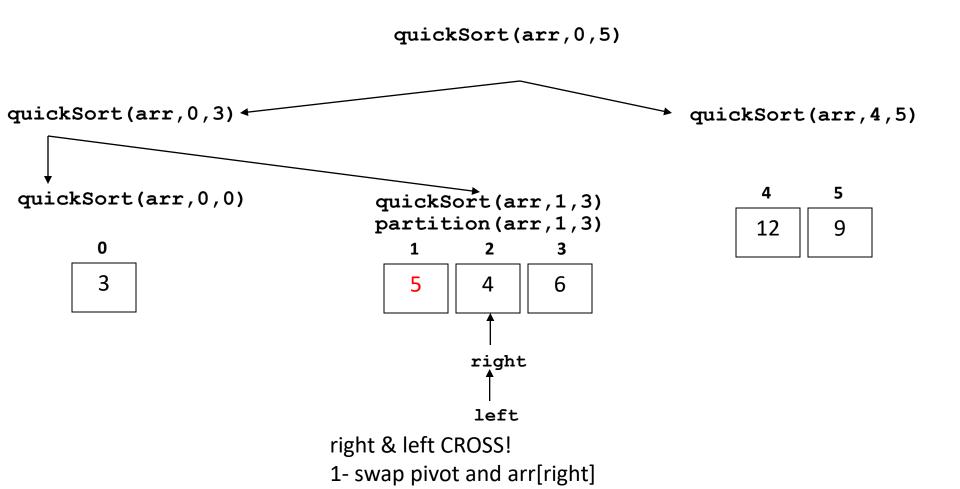


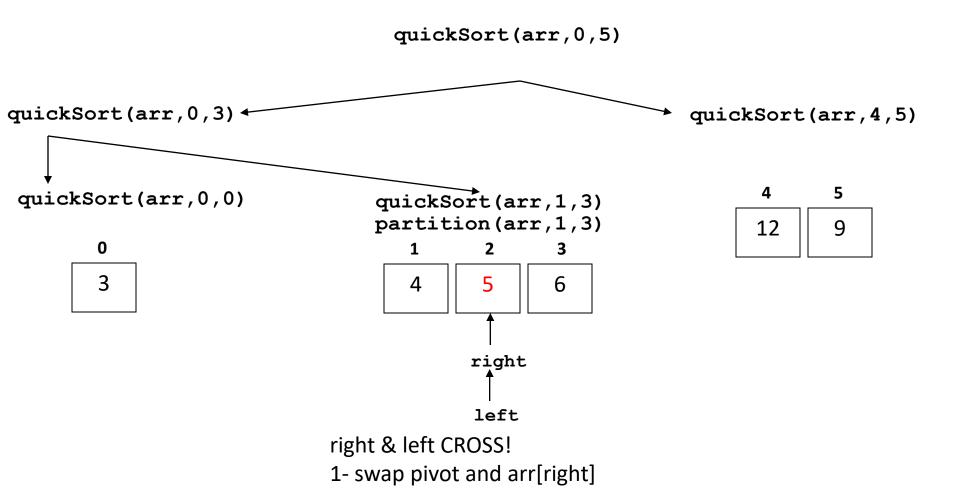


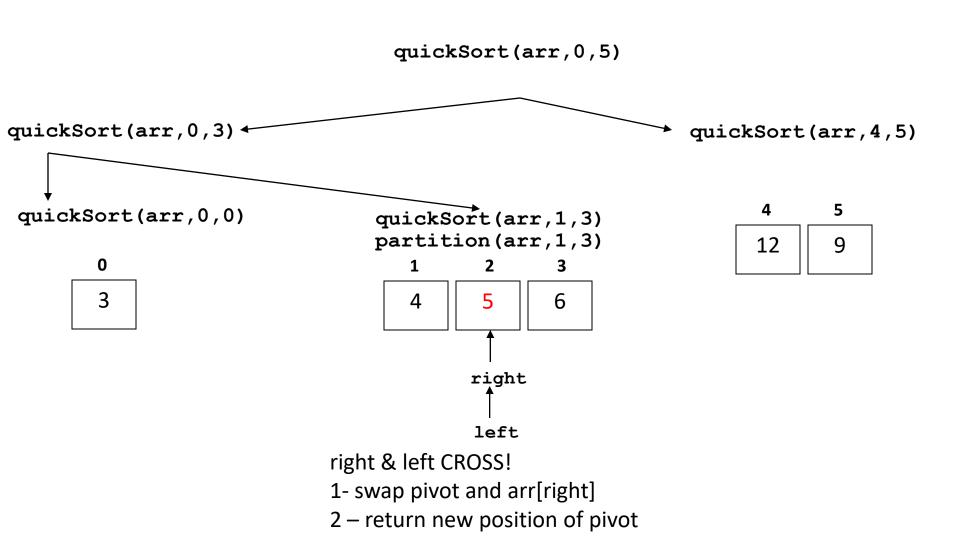
left moves to the right until value that should be to right of pivot...



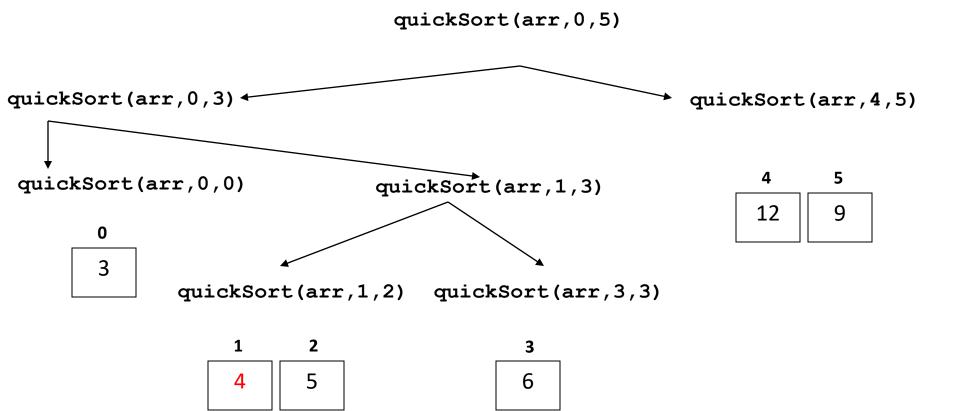


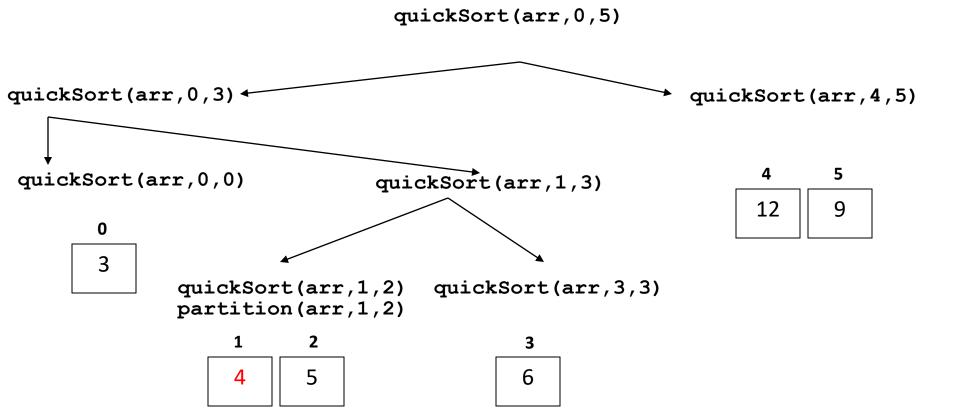


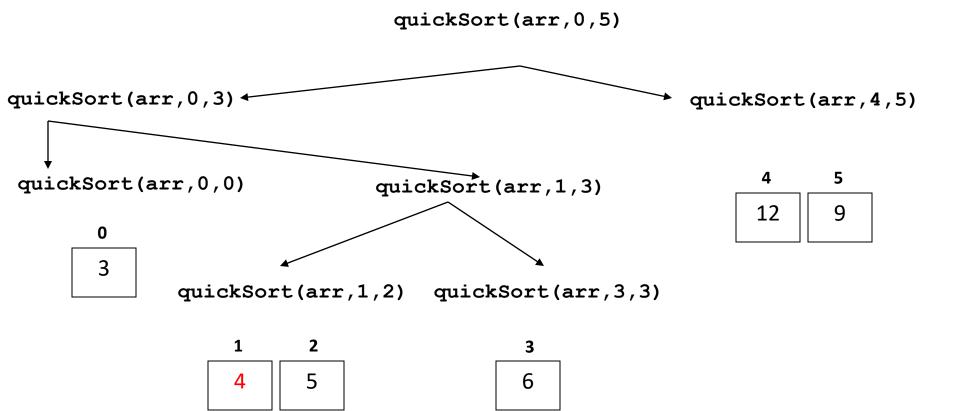


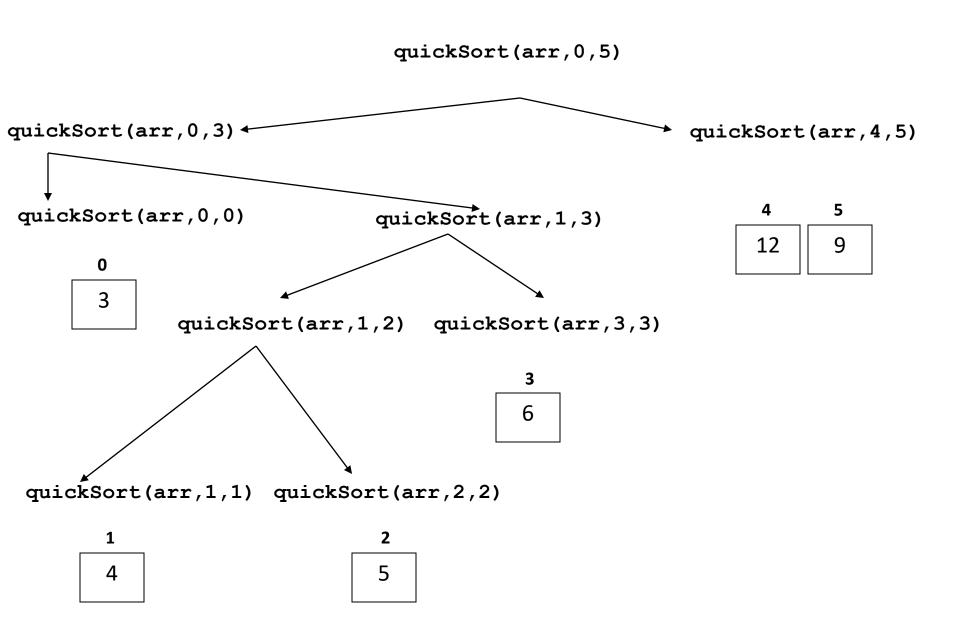


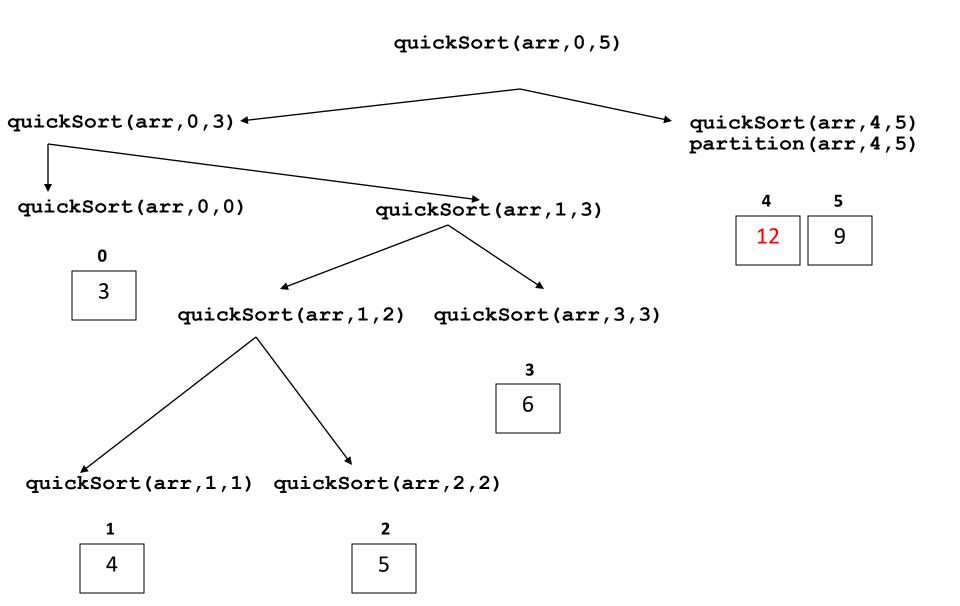
return 2

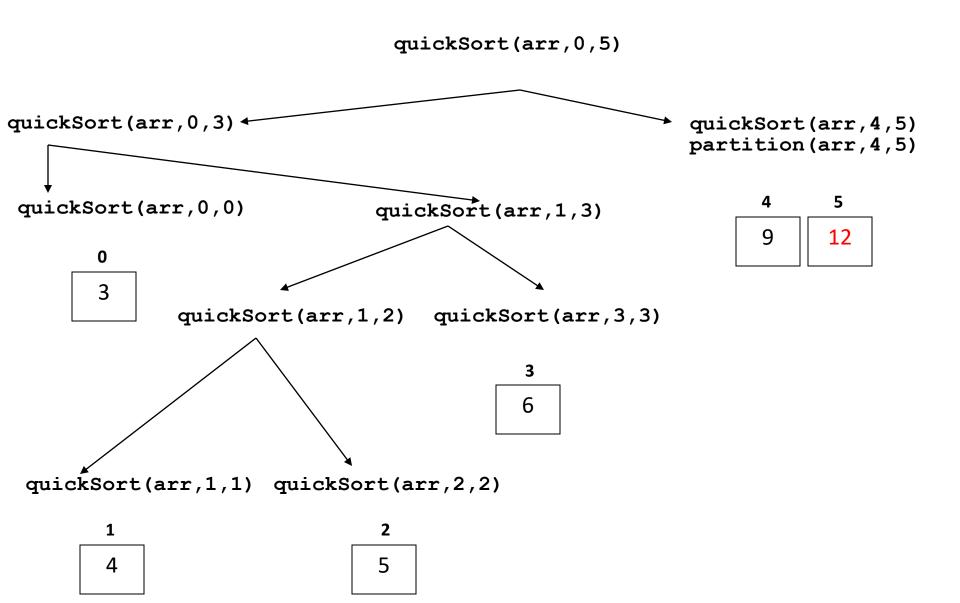


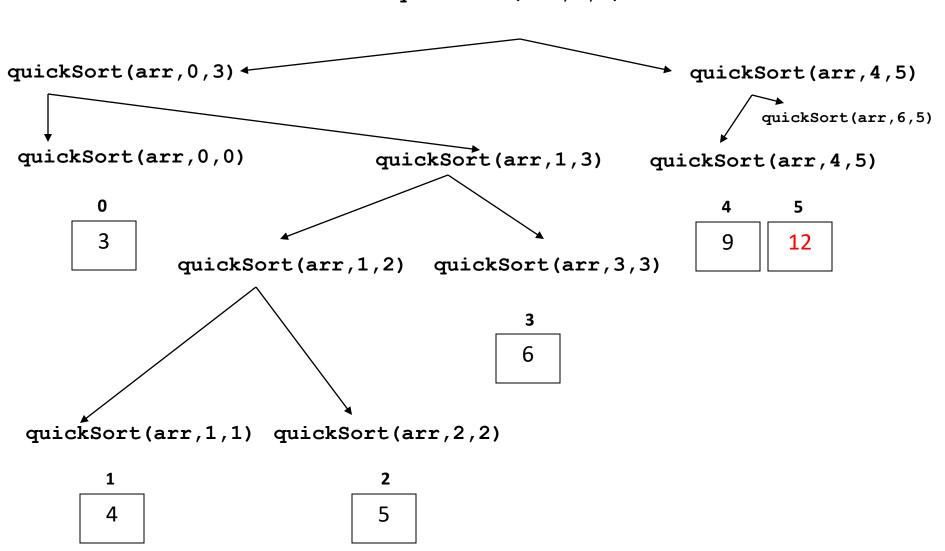


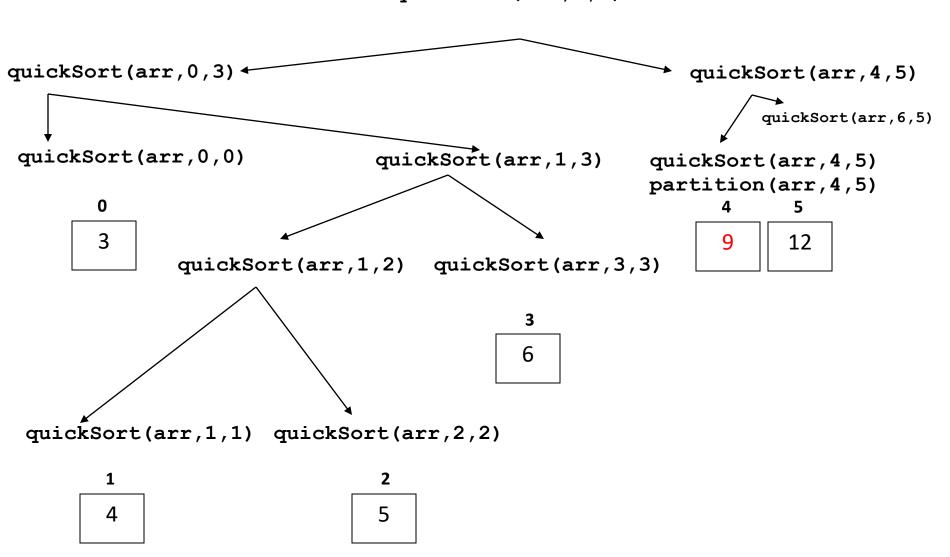


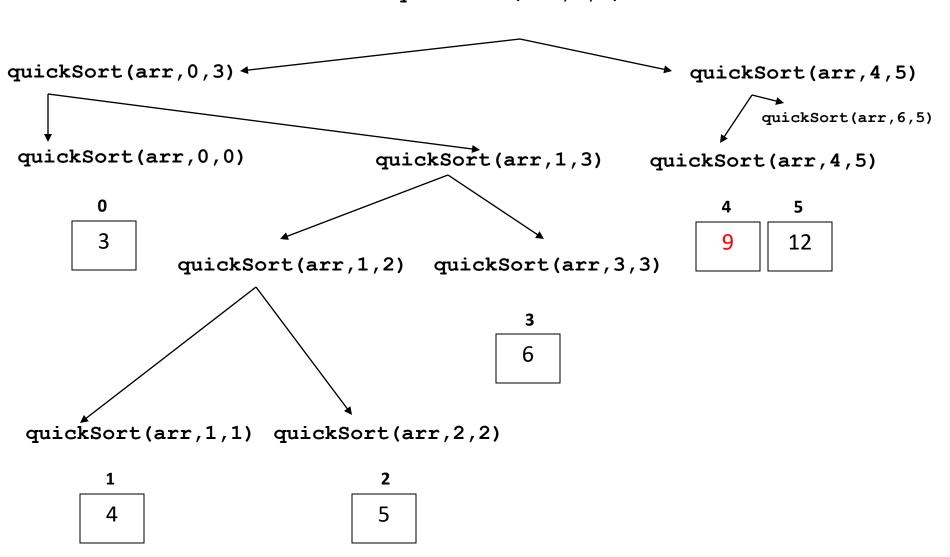


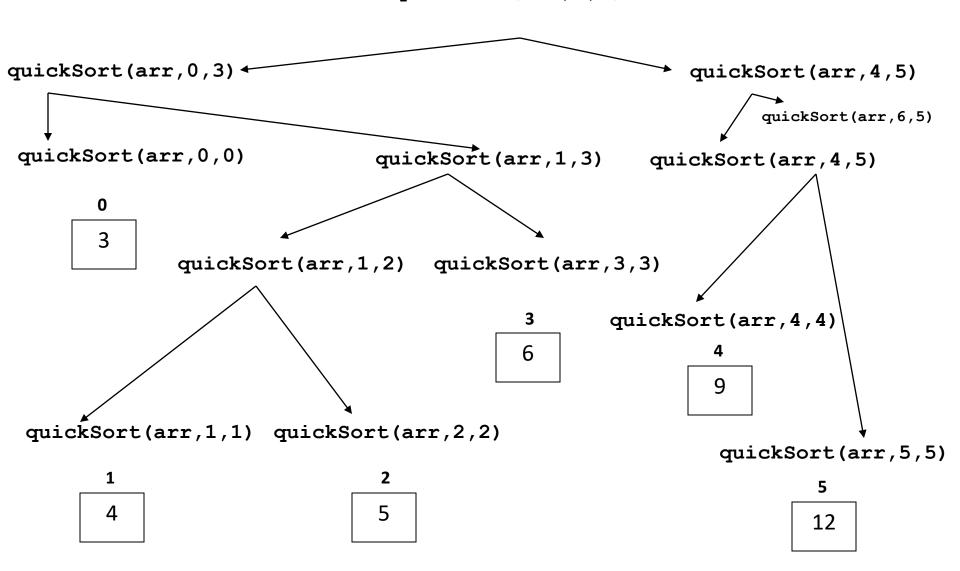
















- Suppose we are sorting an array of eight integers using quicksort, and we have just finished the first partitioning with the array looking like this: 2 5 1 7 9 12 11 10 Which statement is correct?
- A The pivot could be either the 7 or the 9.
- B The pivot could be the 7, but it is not the 9
- C The pivot is not the 7, but it could be the 9
- D Neither the 7 nor the 9 is the pivot.

- Let P be a QuickSort Program to sort numbers in ascending order using the <u>first element as pivot</u>.
 Let <u>t1</u> and <u>t2</u> be the <u>number of comparisons</u> made by P for the inputs {1, 2, 3, 4, 5} and {4, 1, 5, 3, 2} respectively. Which one of the following holds?
- A t1 = 5
- B t1 < t2
- C t1 > t2
- D t1 = t2

 <u>Discuss</u> Which one of the following in place sorting algorithms needs the <u>minimum</u> <u>number of swaps</u>?

A Quick sort

B Insertion sort

Selection sort

D Heap sort

You have an array of <u>n elements</u>. Suppose you implement quick sort by always choosing the <u>central</u> element of the array as the <u>pivot</u>. Then the tightest upper bound for the <u>worst case</u> performance is ??

• The Quicksort algorithm we have seen in class is the following:

OUICKSORT(4, p, r)

```
QUICKSORT(A, p, r)
    if p < r:
         q \leftarrow PARTITION(A, p, r)
        QUICKSORT(A, p, q-1)
         QUICKSORT(A, q+1, r)
PARTITION(A, p, r)
    i \leftarrow p-1
    for j \leftarrow p to r-1
        if A[j] \leq A[r]
            i \leftarrow i + 1
            exchange A[i] < -> A[j]
     exchange A[i+1] < -> A[r]
     return i+1
```

Quiz 5 Continues...

5-1- Make the <u>smallest possible change</u> to the algorithm such that it would <u>stop</u> with a <u>nearly-sorted array</u>. This is accomplished by not sorting subarrays with k elements or less. Mark your change on the copy of the algorithm given above. 5-2 With the change you made in part 1, and k=3, <u>how many recursive calls</u> to Quicksort are required to nearly-sort the following array?

5-3- With the change you made in part 1, k = 3, and the array given in part 2, compute the <u>final array</u> obtained by Quicksort