

# An Introduction to Algorithms

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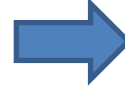
Intro



Complexity



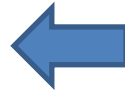
Data Structure



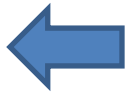
Trees



Hash Functions



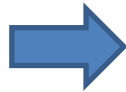
Sorting



Dynamic  
Programming

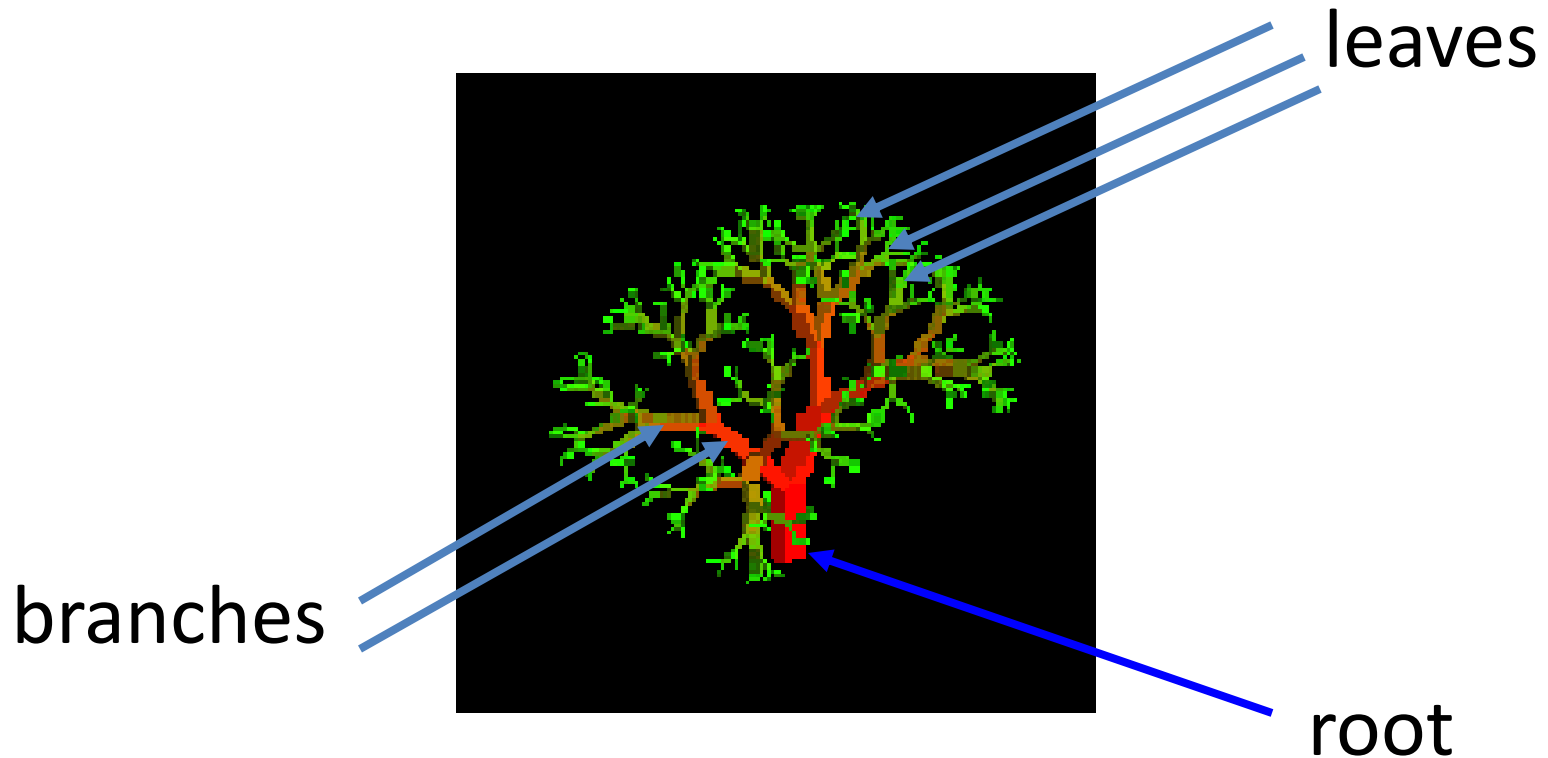


Greedy Algorithm

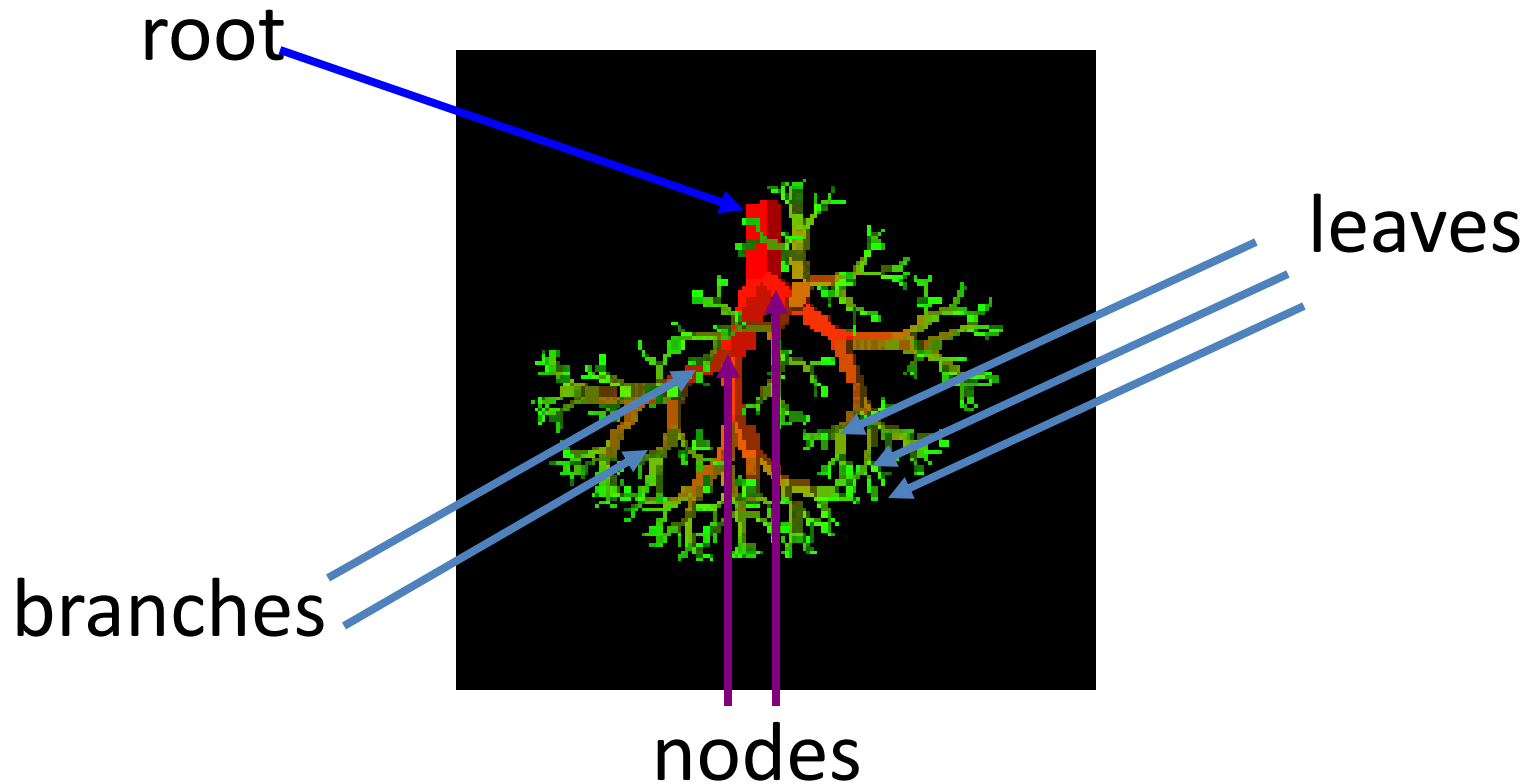


Misc Graph/Tree  
Algorithms

# Nature View of a Tree

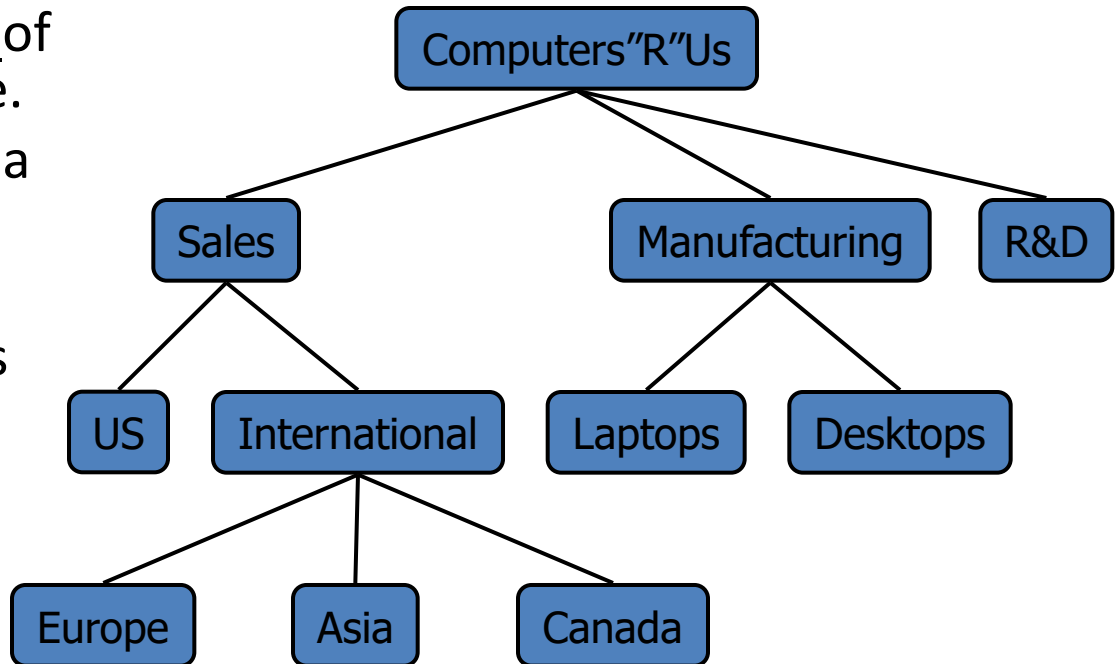


# Computer Scientist's View



# What is a Tree

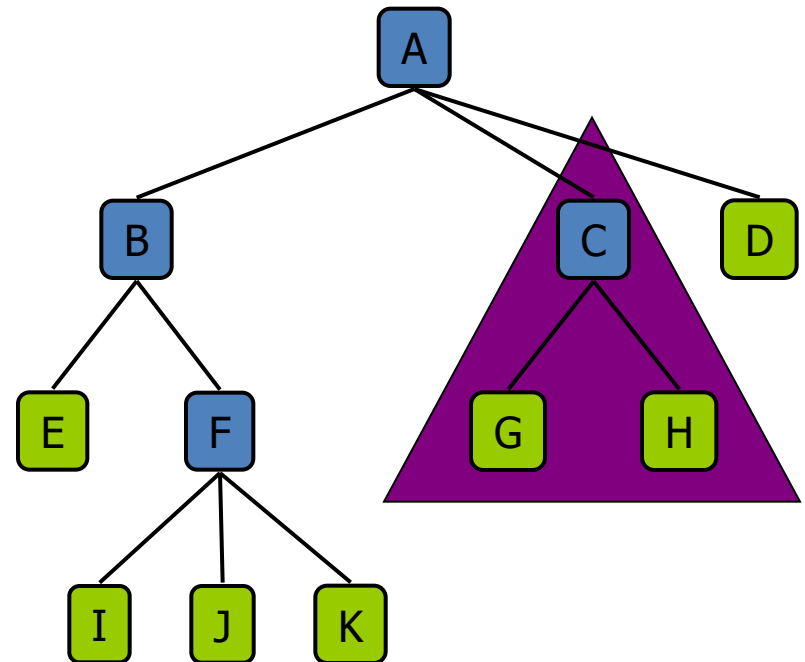
- A tree is a finite nonempty set of elements.
- It is an abstract model of a hierarchical structure.
- consists of nodes with a parent-child relation.
- Applications:
  - Organization charts
  - File systems
  - Programming environments



# Tree Terminology

- **Root:** node without parent (A)
- **Siblings:** nodes share the same parent
- **Internal node:** node with at least one child (A, B, C, F)
- **External node (leaf):** node without children (E, I, J, K, G, H, D)
- **Ancestors** of a node: parent, grandparent, grand-grandparent, etc.
- **Descendant** of a node: child, grandchild, grand-grandchild, etc.
- **Depth** of a node: number of ancestors
- **Height** of a tree: maximum depth of any node (3)
- **Degree** of a node: the number of its children
- **Degree** of a tree: the maximum number of its node.

✚ **Subtree:** tree consisting of a node and its descendants



subtree

# Tree ADT

- We use positions to abstract nodes
- Generic methods:
  - integer **size**()
  - boolean **isEmpty**()
  - objectIterator **elements**()
  - positionIterator **positions**()
- Accessor methods:
  - position **root**()
  - position **parent**(p)
  - positionIterator **children**(p)
- Query methods:
  - ▣ boolean **isInternal**(p)
  - ▣ boolean **isExternal**(p)
  - ▣ boolean **isRoot**(p)
- Update methods:
  - ▣ **swapElements**(p, q)
  - ▣ object **replaceElement**(p, o)
- Additional update methods may be defined by data structures implementing the Tree ADT

# Intuitive Representation of Tree Node

## 🔗 List Representation

- ❏ ( A ( B ( E ( K, L ), F ), C ( G ), D ( H ( M ), I, J ) ) )
- ❏ The root comes first, followed by a list of links to sub-trees



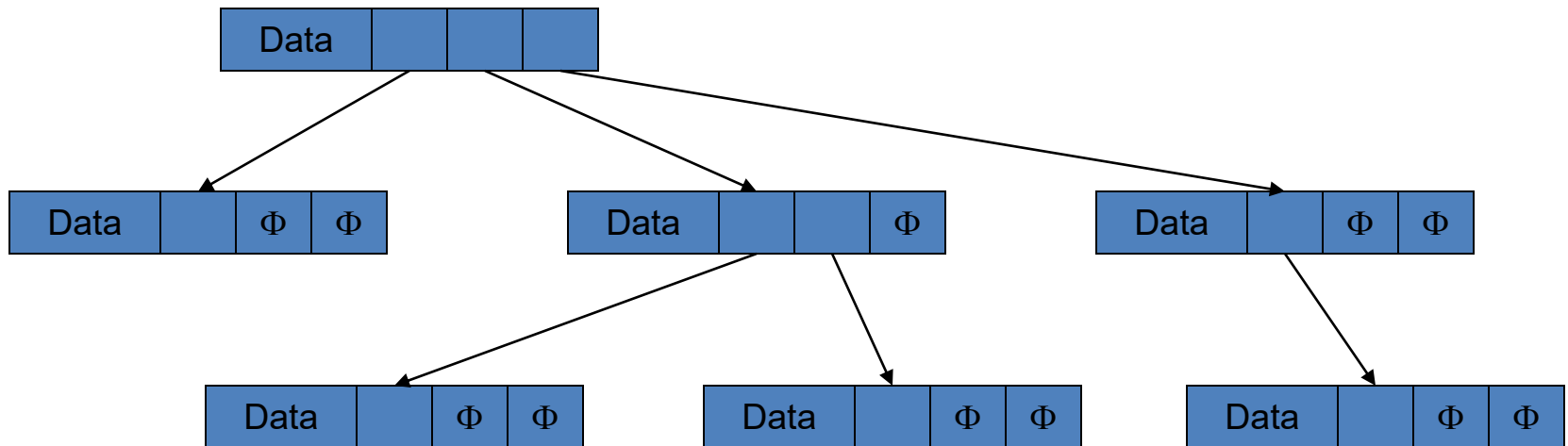
How many link fields are needed in such a representation?

Data	Link 1	Link 2	...	Link n
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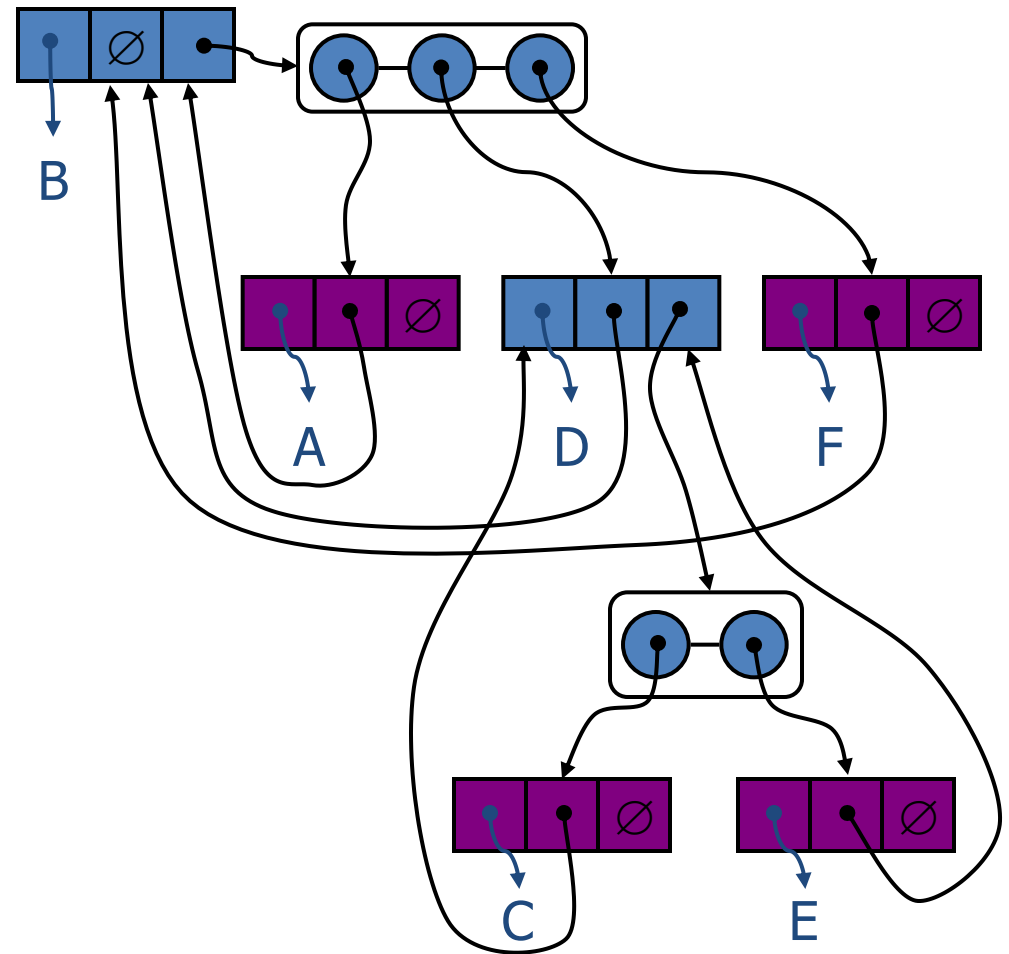
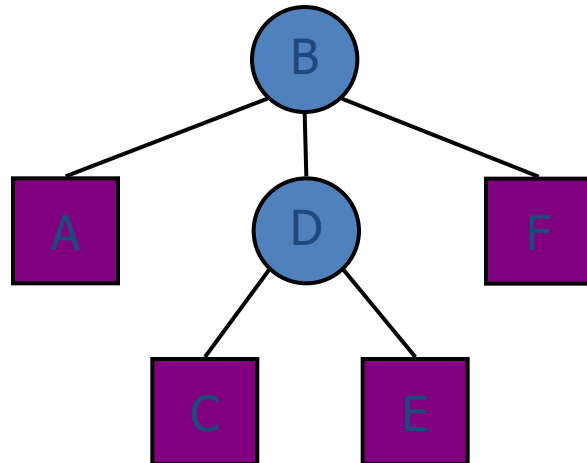
# Trees

- Every tree node:
  - object – useful information
  - children – pointers to its children

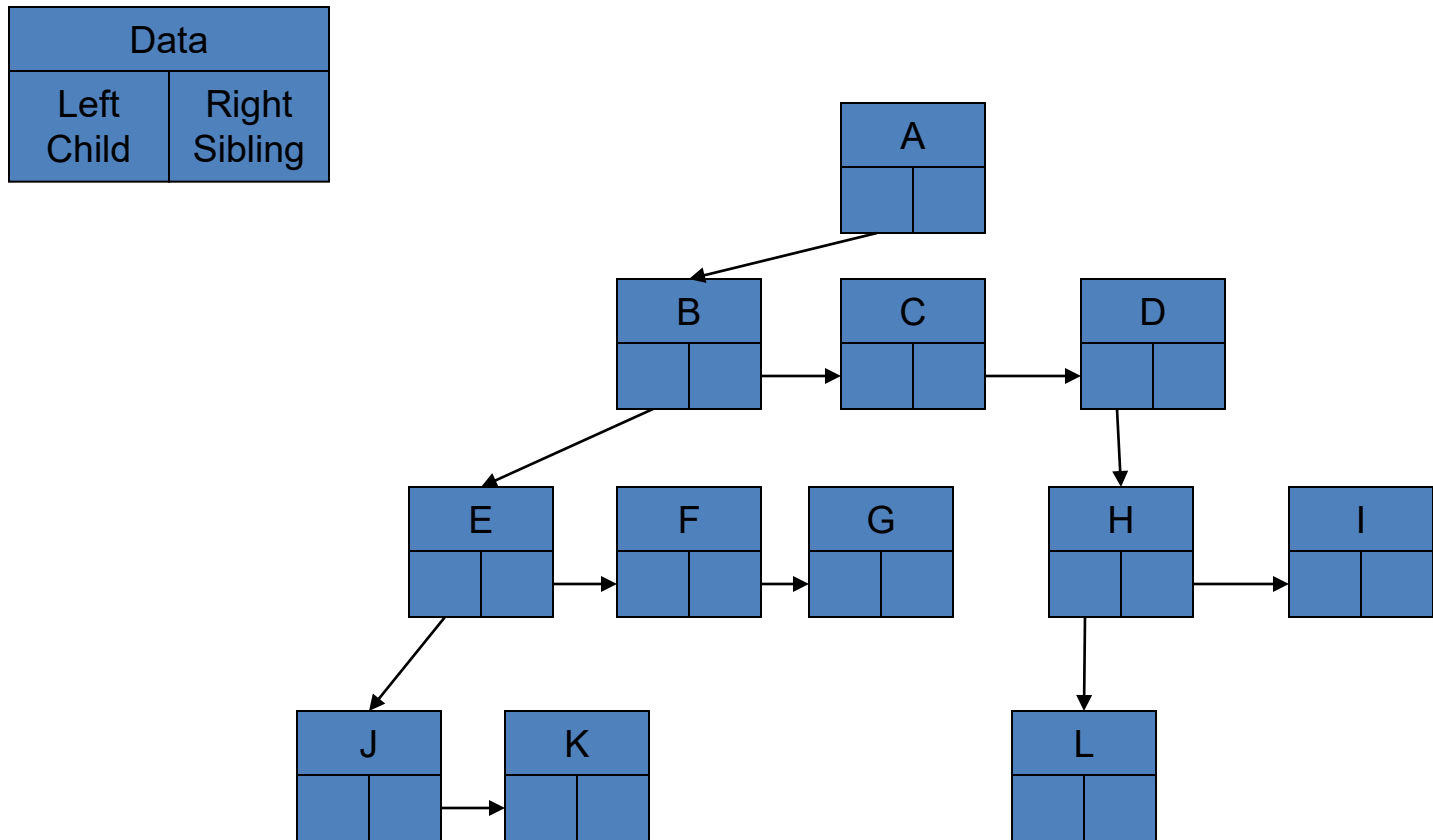


# A Tree Representation

- A node is represented by an object storing
  - Element
  - Parent node
  - Sequence of children nodes



# Left Child, Right Sibling Representation



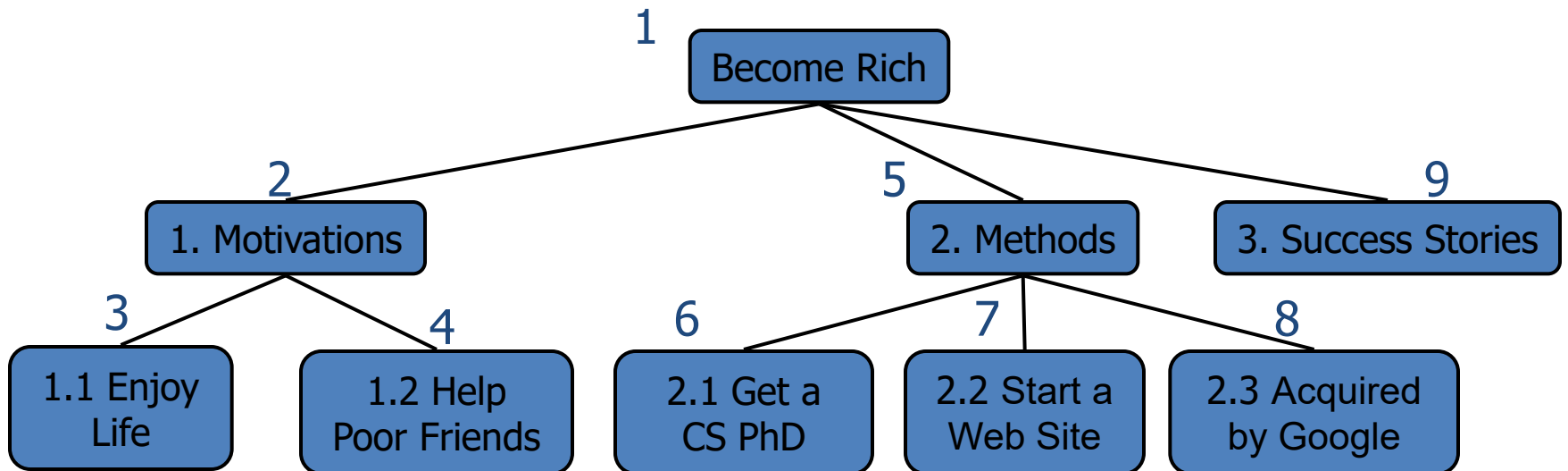
# Tree Traversal

- Two main methods:
  - Preorder
  - Postorder
- Recursive definition
- Preorder:
  - visit the root
  - traverse in preorder the children (subtrees)
- Postorder
  - traverse in postorder the children (subtrees)
  - visit the root

# Preorder Traversal

- A traversal visits the nodes of a tree in a systematic manner
- In a preorder traversal, a node is visited before its descendants
- Application: print a structured document

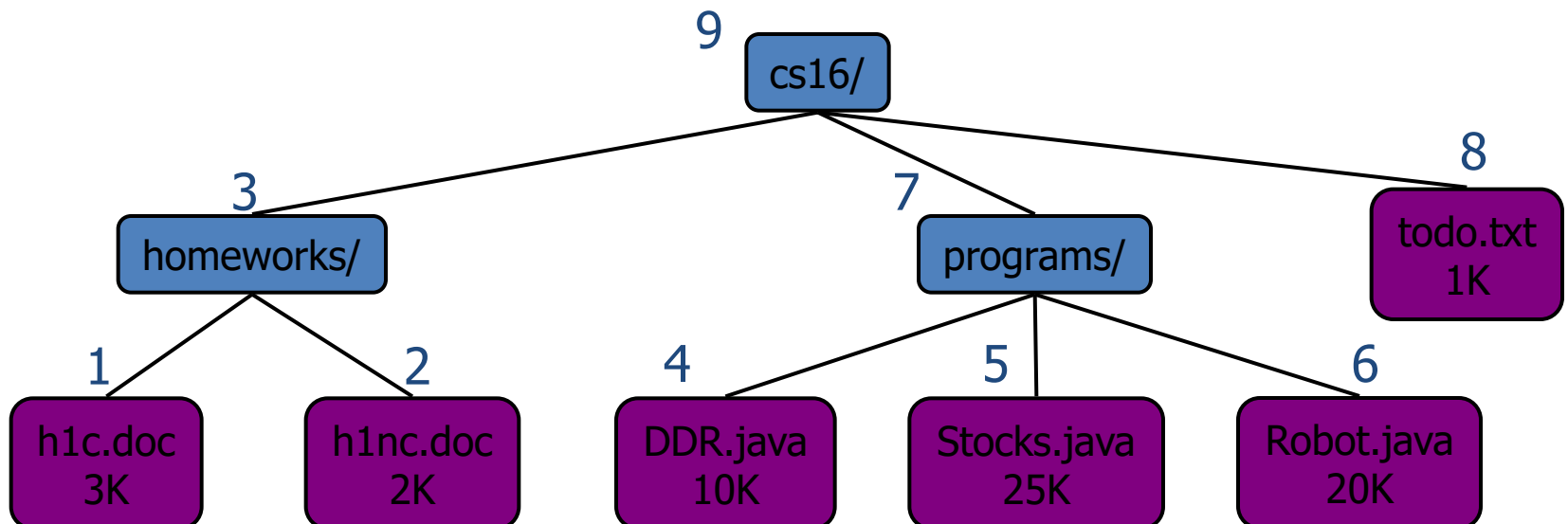
**Algorithm** *preOrder(v)*  
*visit(v)*  
**for each** child *w* of *v*  
*preorder(w)*

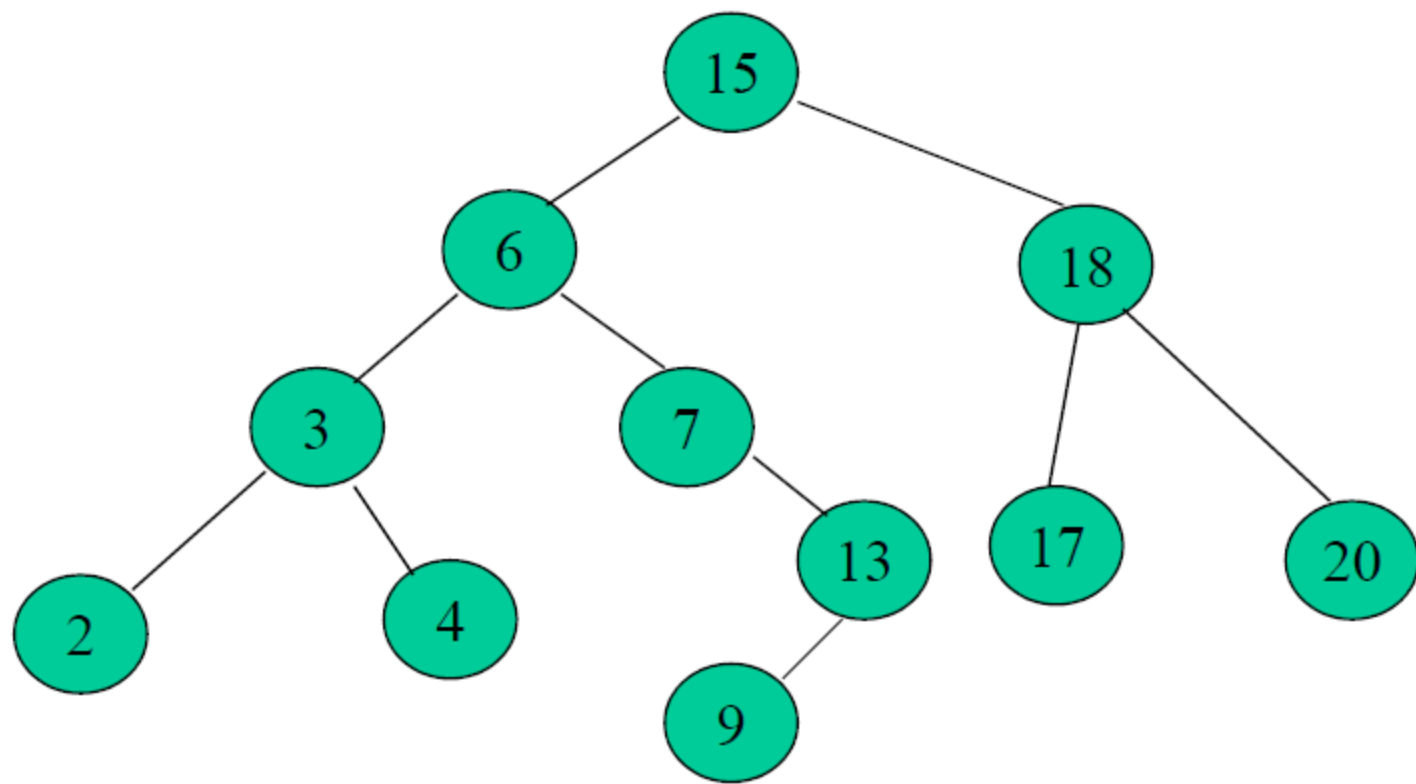


# Postorder Traversal

- In a postorder traversal, a node is visited after its descendants
- Application: compute space used by files in a directory and its subdirectories

**Algorithm** *postOrder(v)*  
for each child *w* of *v*  
    *postOrder(w)*  
    *visit(v)*





Preorder: 15, 6, 3, 2, 4, 7, 13, 9, 18, 17, 20

Inorder: 2, 3, 4, 6, 7, 9, 13, 15, 17, 18, 20

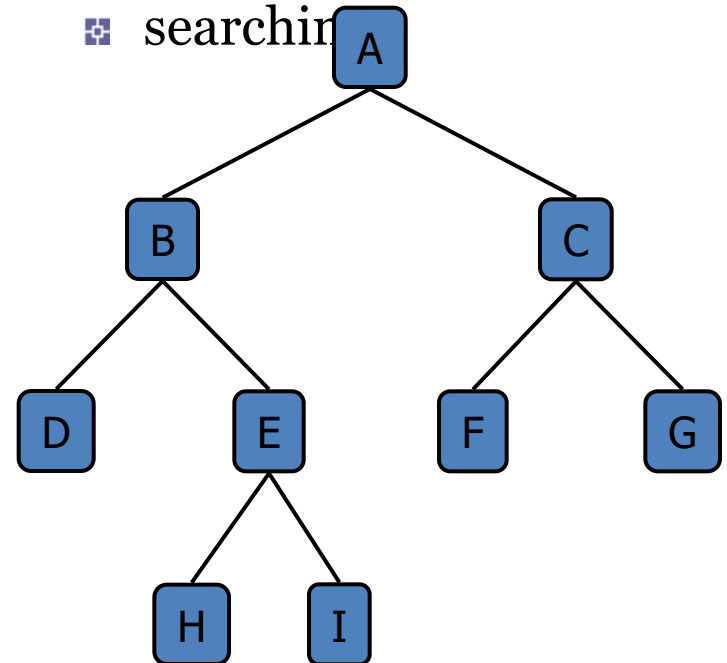
Postorder: 2, 4, 3, 9, 13, 7, 6, 17, 20, 18, 15

# Binary Tree

- A binary tree is a tree with the following properties:
  - Each internal node has at most two children (degree of two)
  - The children of a node are an ordered pair
- We call the children of an internal node left child and right child
- Alternative recursive definition: a binary tree is either
  - a tree consisting of a single node, OR
  - a tree whose root has an ordered pair of children, each of which is a binary tree

## ✚ Applications:

- ✚ arithmetic expressions
- ✚ decision processes
- ✚ searching



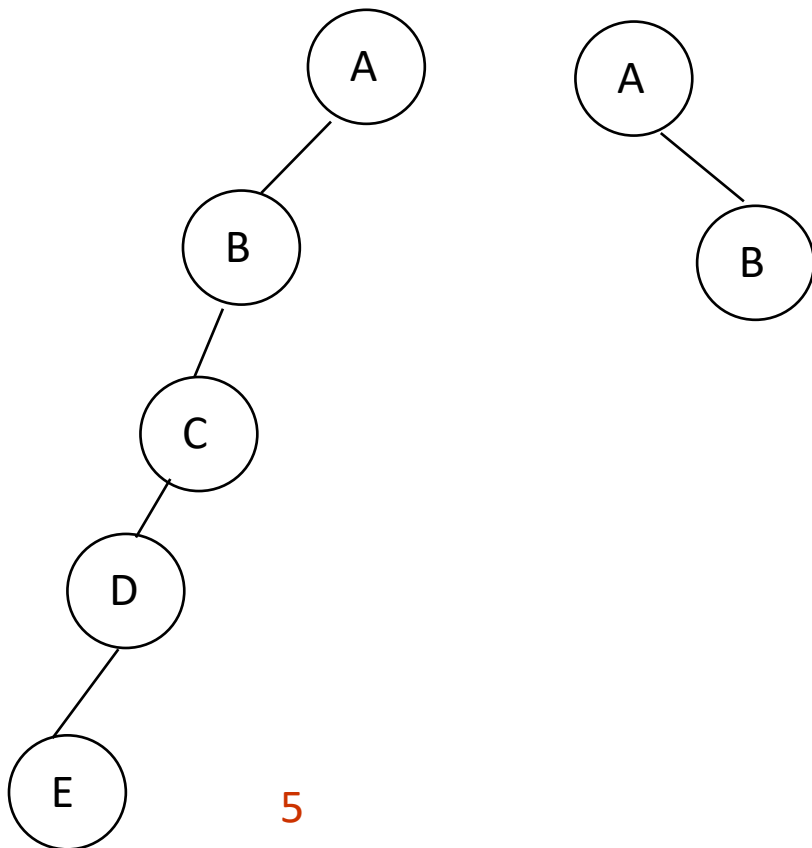


# BinaryTree ADT

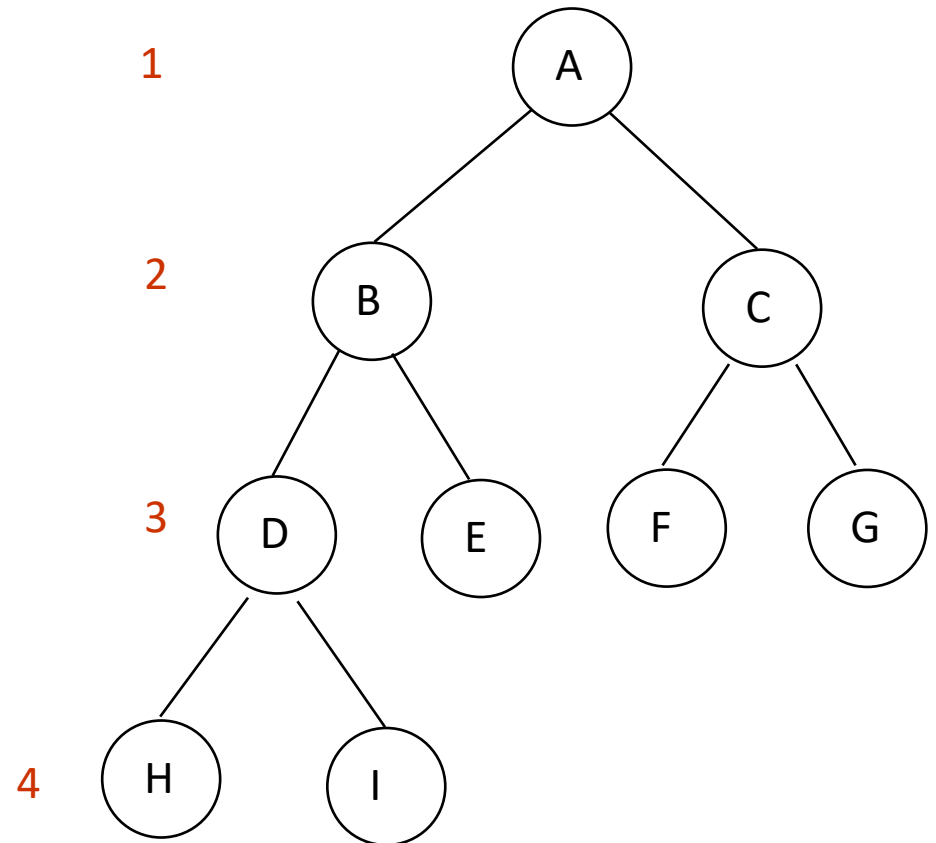
- The BinaryTree ADT extends the Tree ADT, i.e., it inherits all the methods of the Tree ADT
- Additional methods:
  - position `leftChild(p)`
  - position `rightChild(p)`
  - position `sibling(p)`
- Update methods may be defined by data structures implementing the BinaryTree ADT

# *Examples of the Binary Tree*

Skewed Binary Tree



Complete Binary Tree



# Differences Between A Tree and A Binary Tree

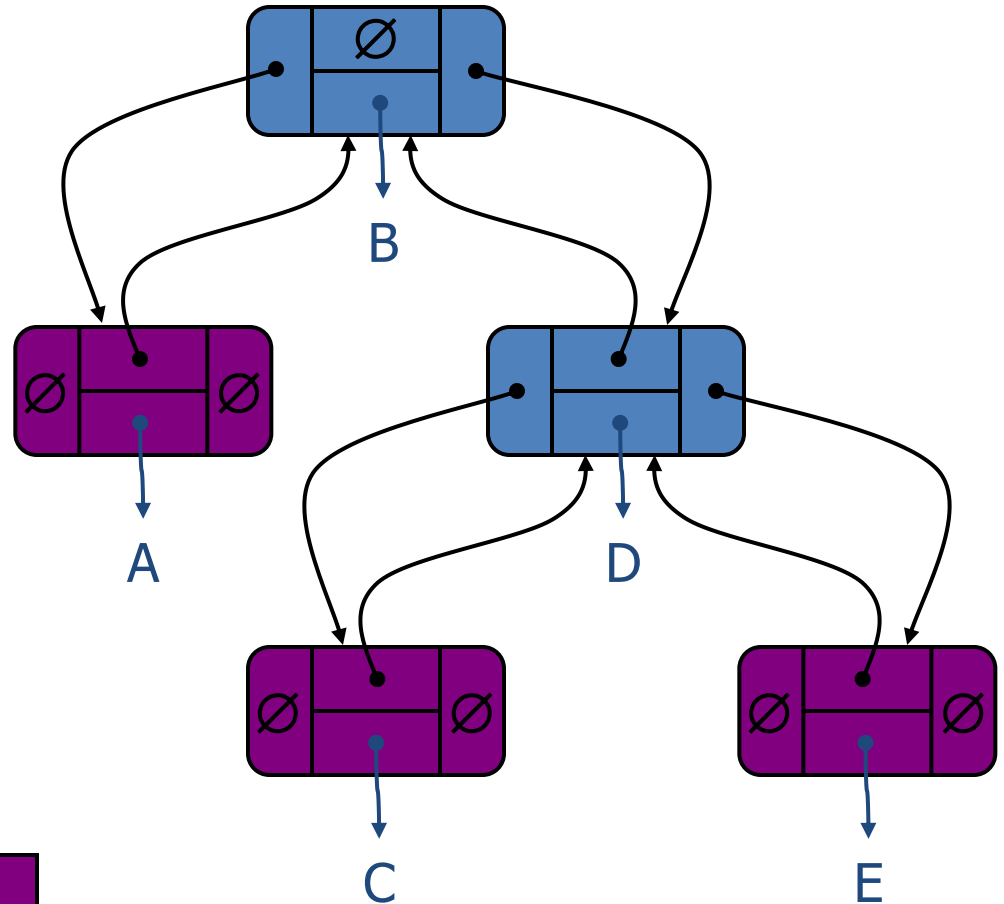
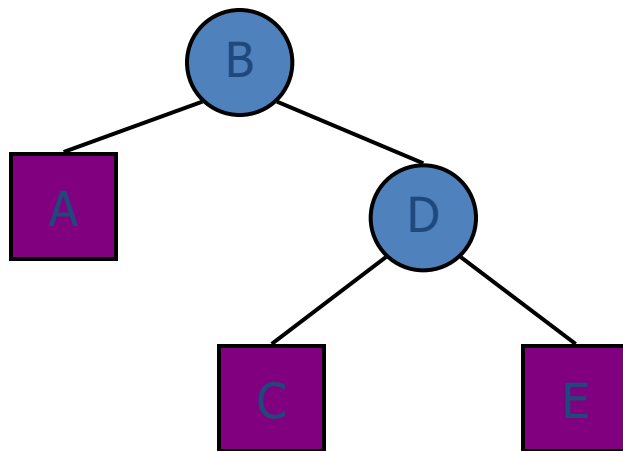
- The subtrees of a binary tree are ordered; those of a tree are not ordered.



- Are different when viewed as binary trees.
- Are the same when viewed as trees.

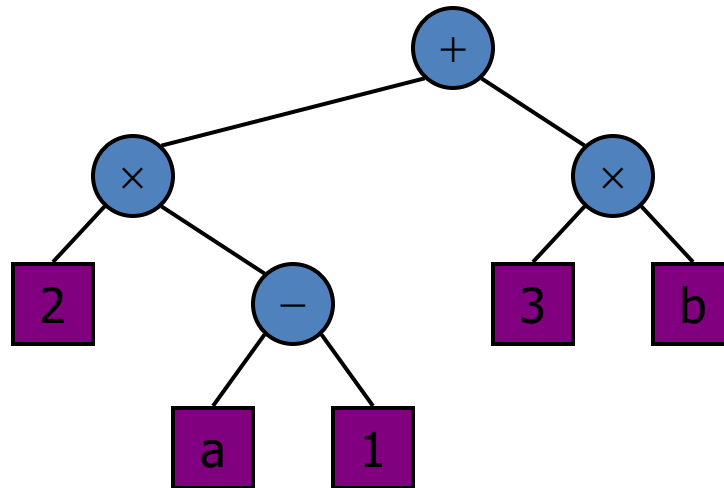
# Data Structure for Binary Trees

- A node is represented by an object storing
  - Element
  - Parent node
  - Left child node
  - Right child node



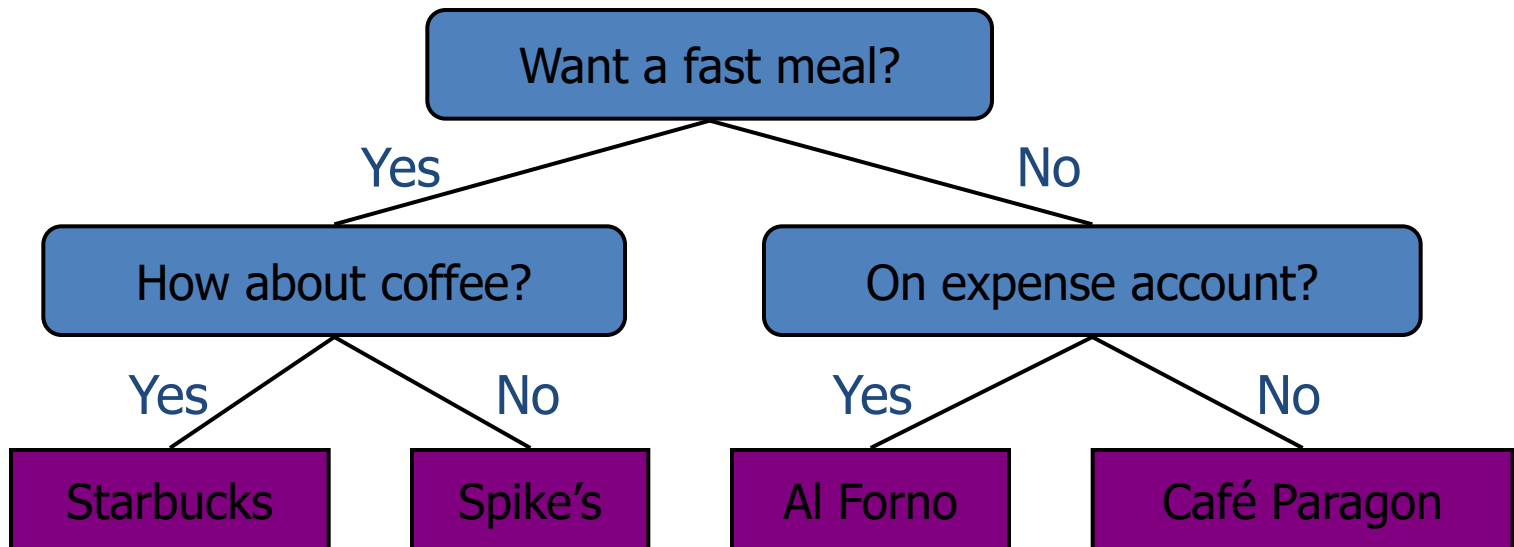
# Arithmetic Expression Tree

- Binary tree associated with an arithmetic expression
  - internal nodes: operators
  - external nodes: operands
- Example: arithmetic expression tree for the expression  $(2 \times (a - 1) + (3 \times b))$



# Decision Tree

- Binary tree associated with a decision process
  - internal nodes: questions with yes/no answer
  - external nodes: decisions
- Example: dining decision



# *Maximum Number of Nodes in a Binary Tree*

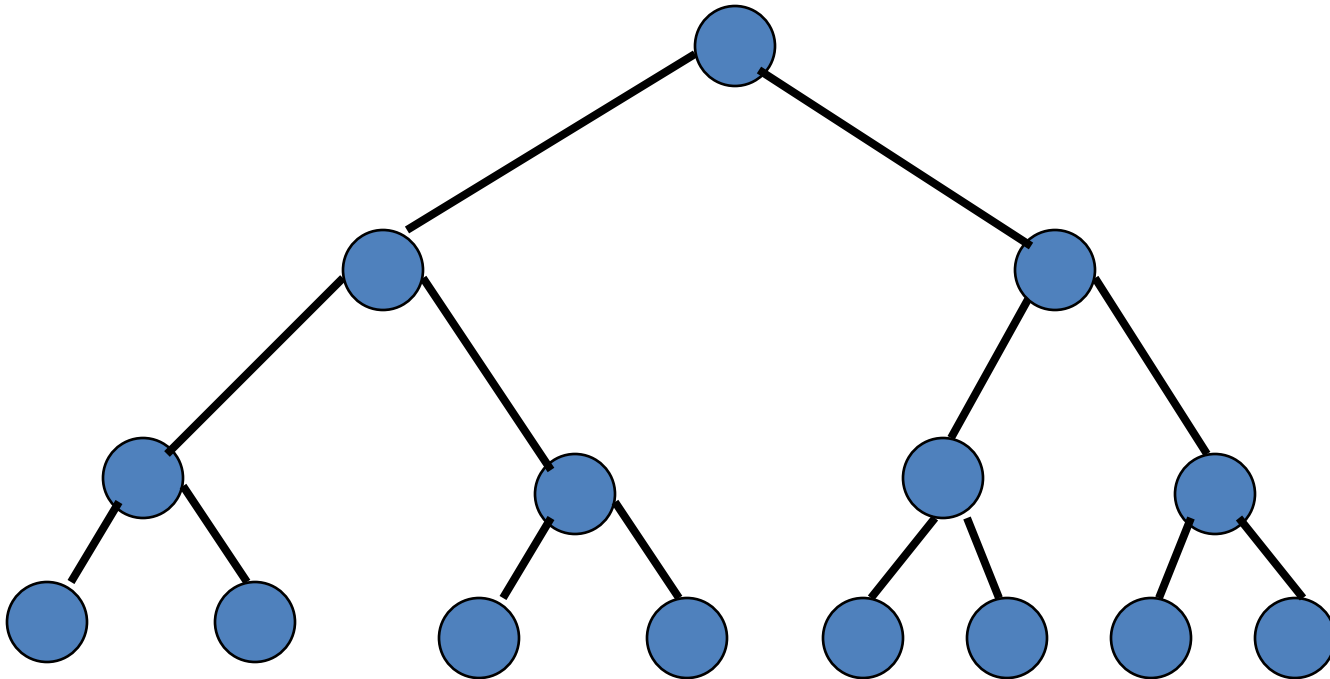
- ⊕ The maximum number of nodes on depth  $i$  of a binary tree is  $2^i$ ,  $i \geq 0$ .
- ⊕ The maximum number of nodes in a binary tree of height  $k$  is  $2^{k+1} - 1$ ,  $k \geq 0$ .

**Prove by induction.**

$$\sum_{i=0}^k 2^i = 2^{k+1} - 1$$

# Full Binary Tree

- A full binary tree of a given height  $k$  has  $2^{k+1}-1$  nodes.

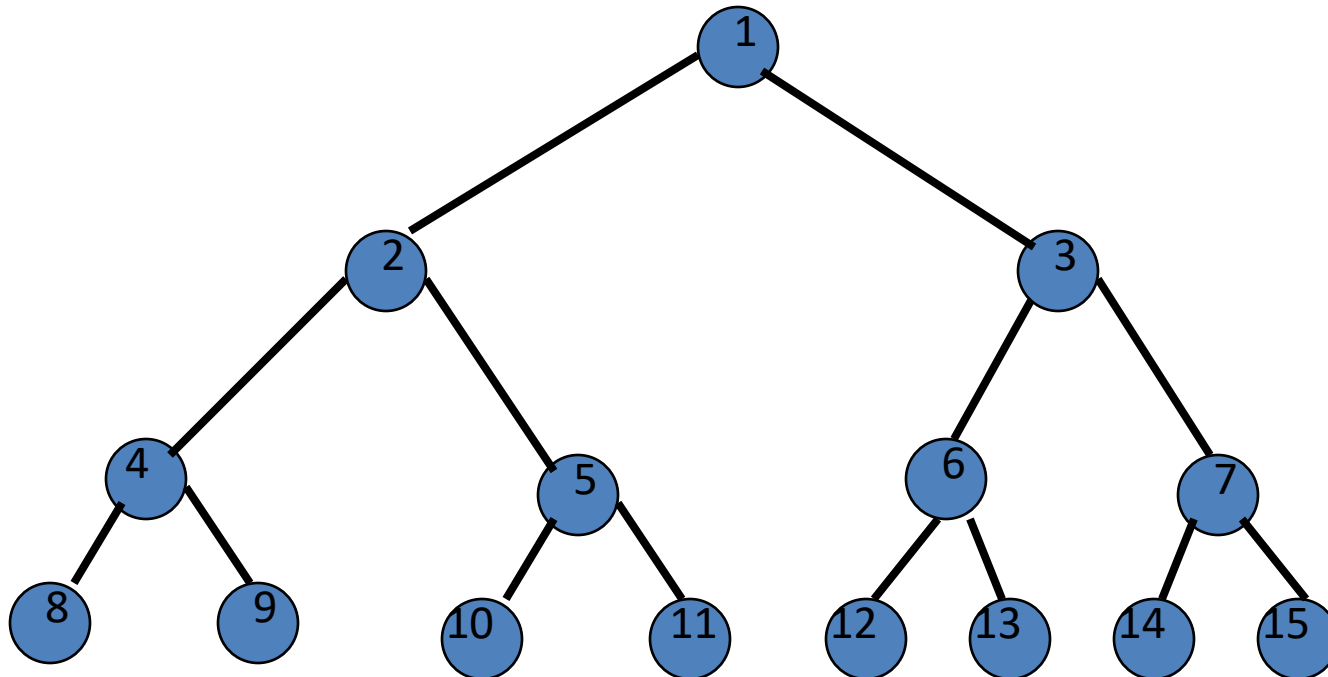


Height  $3$  full binary tree.

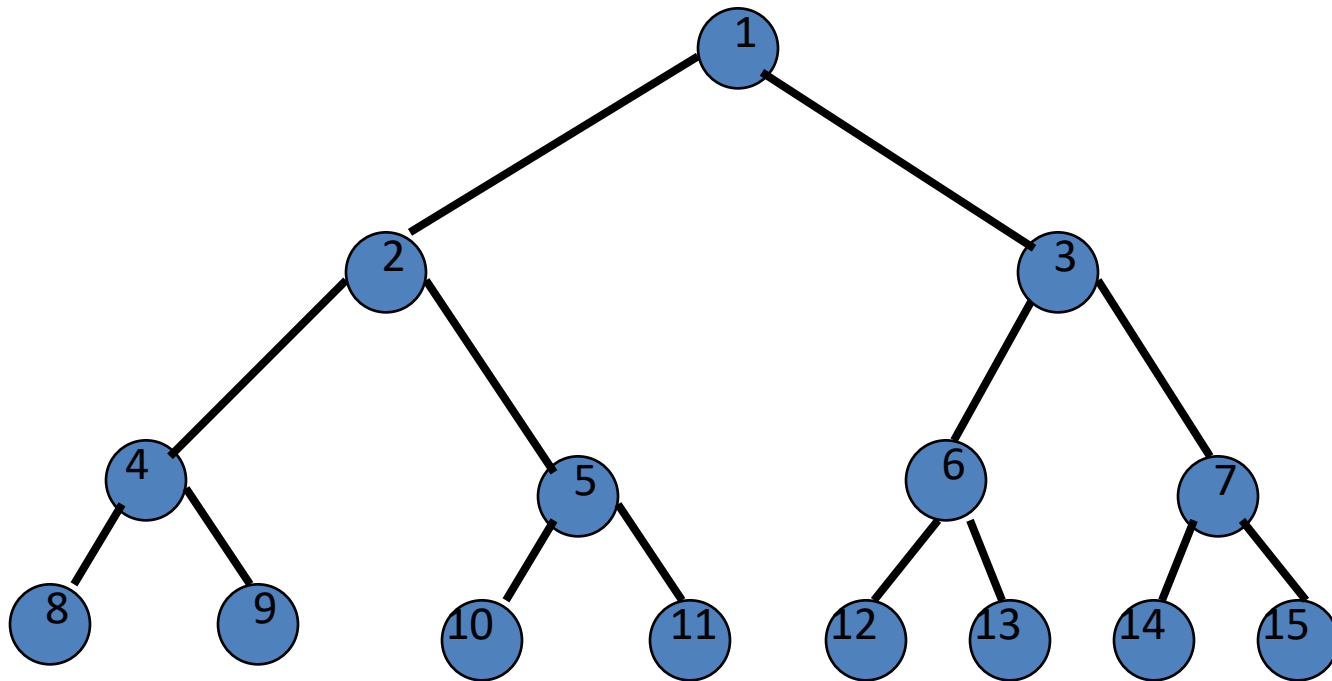


# Labeling Nodes In A Full Binary Tree

- Label the nodes **1** through  $2^{k+1} - 1$ .
- Label by levels from top to bottom.
- Within a level, label from left to right.

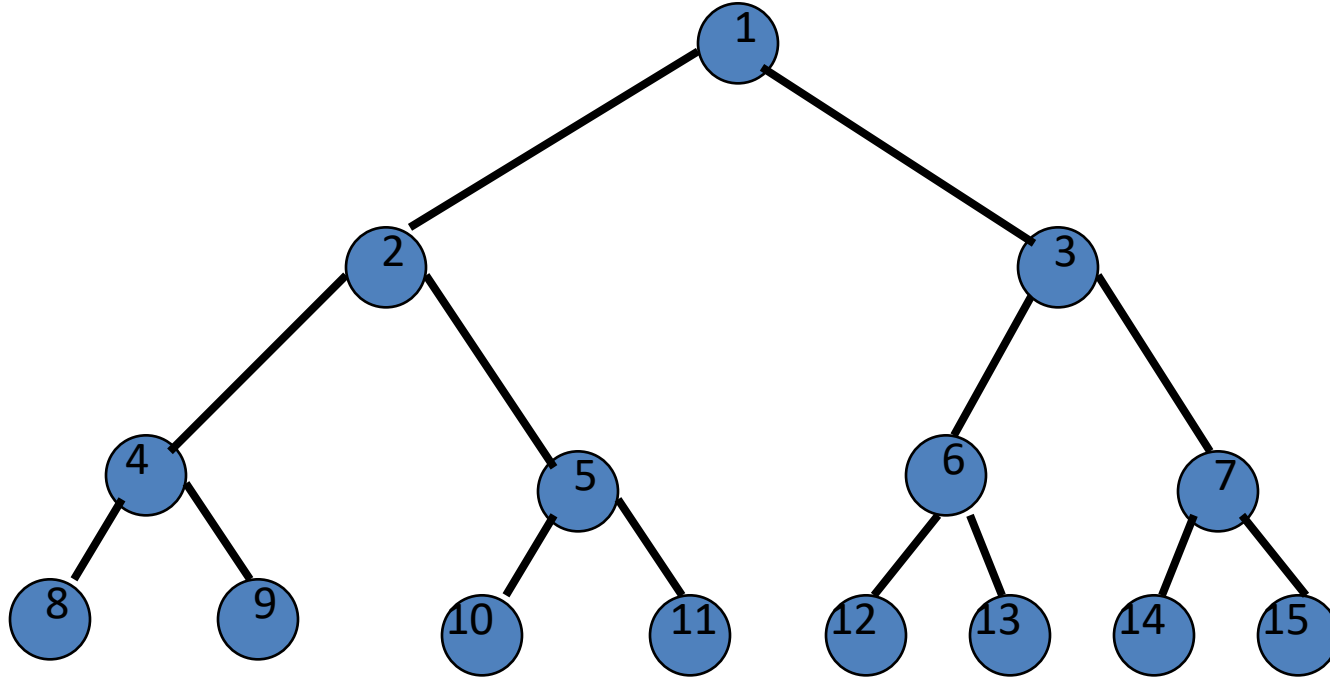


# Node Number Properties



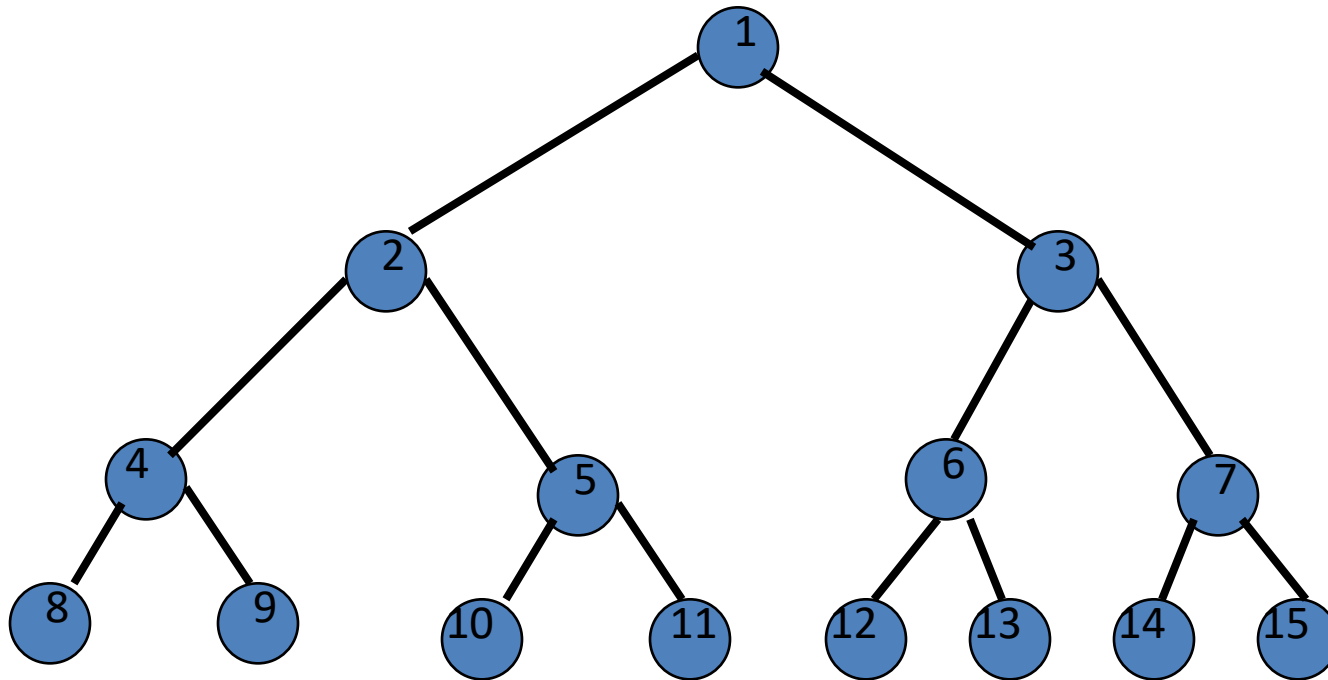
- Parent of node  $i$  is node  $i / 2$ , unless  $i = 1$ .
- Node  $1$  is the root and has no parent.

# Node Number Properties



- Left child of node  $i$  is node  $2i$ , unless  $2i > n$ , where  $n$  is the number of nodes.
- If  $2i > n$ , node  $i$  has no left child.

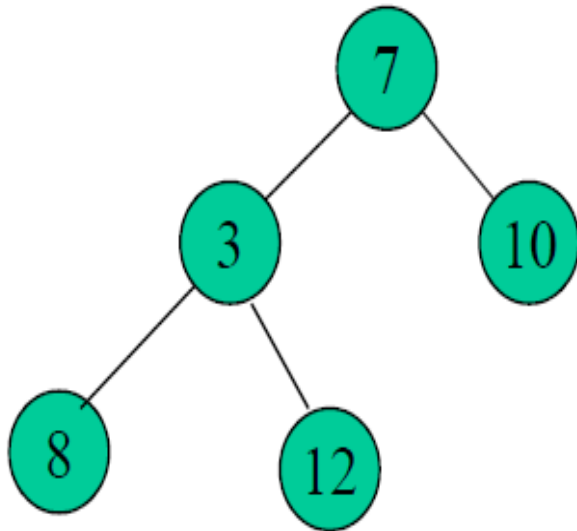
# Node Number Properties



- Right child of node  $i$  is node  $2i+1$ , unless  $2i+1 > n$ , where  $n$  is the number of nodes.
- If  $2i+1 > n$ , node  $i$  has no right child.

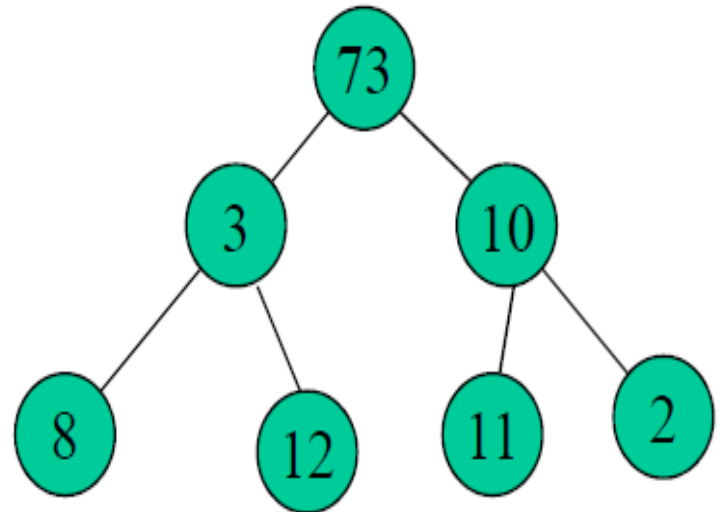
### Full binary tree:

Each node is either a leaf  
or has degree exactly 2.



### Complete binary tree:

All leaves have the same  
depth and all internal nodes  
have degree 2.



# (Binary Tree Traversals)

## Inorder Traversal

- In an inorder traversal a node is visited after its left subtree and before its right subtree

Algorithm *inOrder(v)*

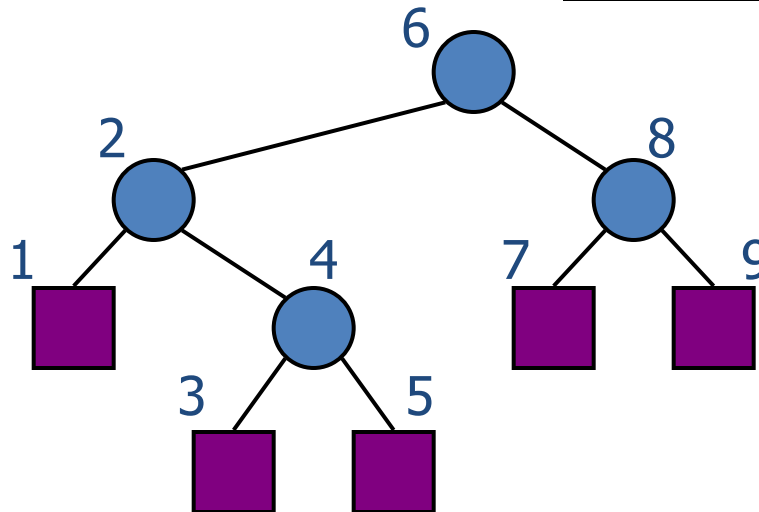
if *isInternal(v)*

*inOrder(leftChild(v))*

*visit(v)*

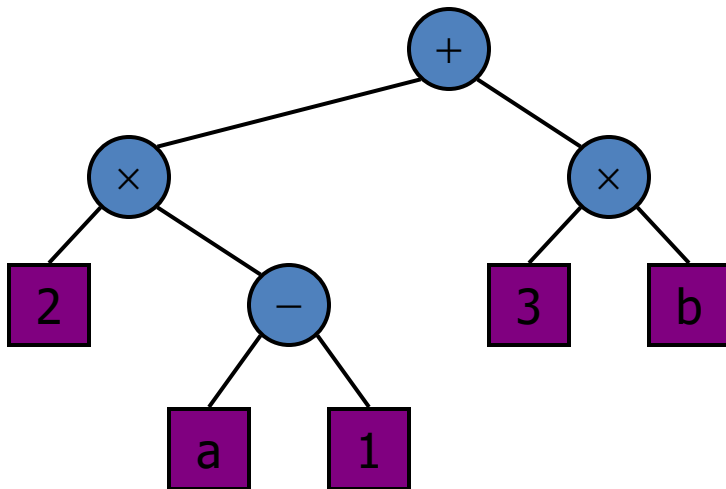
if *isInternal(v)*

*inOrder(rightChild(v))*



# Print Arithmetic Expressions

- Specialization of an inorder traversal
  - print operand or operator when visiting node
  - print "(" before traversing left subtree
  - print ")" after traversing right subtree



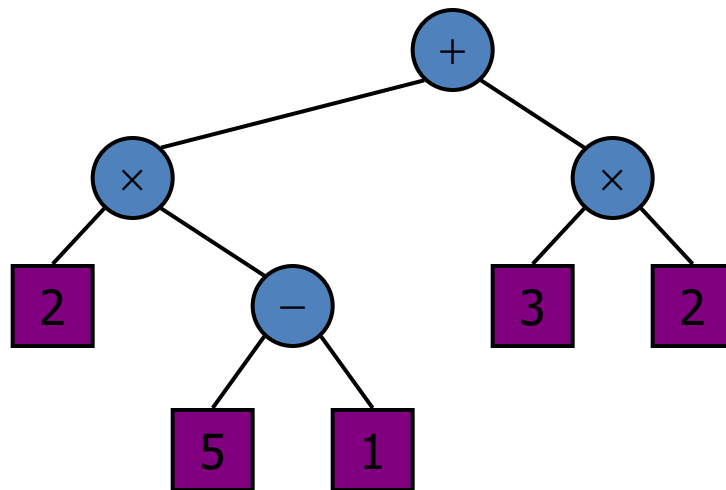
## Algorithm *inOrder* (*v*)

```
if isInternal (v) {  
    print("(")  
    inOrder (leftChild (v))  
    print(v.element ())  
    if isInternal (v) {  
        inOrder (rightChild (v))  
    }  
    print(")")  
}
```

$((2 \times (a - 1)) + (3 \times b))$

# Evaluate Arithmetic Expressions

- recursive method returning the value of a subtree
- when visiting an internal node, combine the values of the subtrees



Algorithm *evalExpr(v)*

if *isExternal(v)*

return *v.element()*

else

*x* ← *evalExpr(leftChild(v))*

*y* ← *evalExpr(rightChild(v))*

◊ ← operator stored at *v*

return *x* ◊ *y*





# Quiz 1



- In a complete k-ary tree, every internal node has exactly k children or no child. The number of leaves in such a tree with n internal nodes is:

- |   |                |
|---|----------------|
| A | $nk$           |
| B | $(n - 1)k + 1$ |
| C | $n(k - 1) + 1$ |
| D | $n(k - 1)$     |

# Quiz 2

- The inorder and preorder traversal of a binary tree are:

d b e a f c g and

a b d e c f g, respectively.

The postorder traversal of the binary tree is?

# Quiz 3

- What does the following function do for a given binary tree?

```
int fun(struct node *root)
{
    if (root == NULL) return 0;
    if (root->left == NULL && root->right == NULL)
        return 0;
    return 1 + fun(root->left) + fun(root->right);
}
```