## **Server Performance**

#### **Computer Systems**

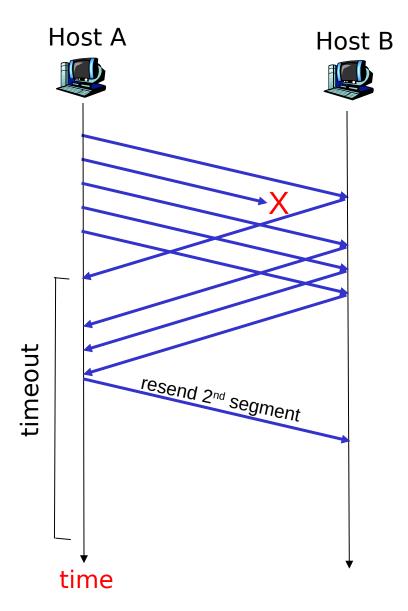
**David Marchant** 

#### **Based on slides by:**

Randal E. Bryant and David R. O'Hallaron

### A Recap

- We need to fill in some gaps that got lost in the last lecture
- Recall the triple acknowlegdement, identifying missing packets quickly
- Two responses here, GBN or SR

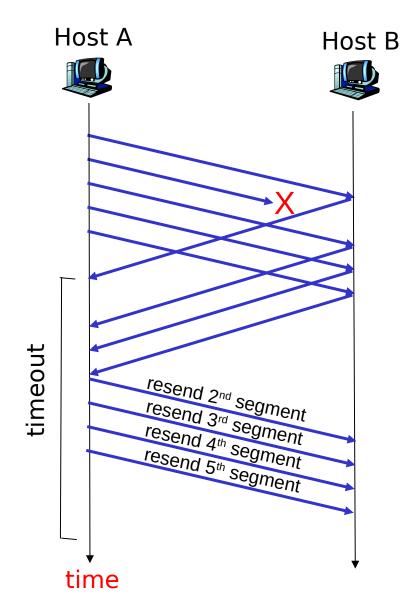




Source: Kurose & Ross (partial)

### Go Back N (GBN)

- Go back to the missed packet and start again from there
- Simple, lightweight strategy that requires little management or additional buffers
- Can acknowledge packets cumulatively
- Will reject packets received out of order

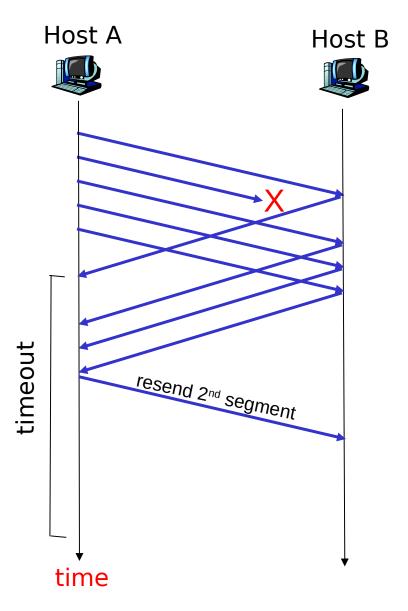




Source: Kurose & Ross (partial)

### Selective Repeat (SR)

- Only resend missed packets
- More complex to implement
- Requires individual acknowledgement of packets
- Can accept packets received out of order





Source: Kurose & Ross (partial)

## Some reminders...

- Threads & Processes: Both can mainting concurrent logical control through context switching. Threads are lighter weight and share more data.
- Concurrency & Parrallel: Parallel means running different processing at the literal same time. Concurrency can simulate this by interweaving
- Semaphores & Mutex: Synchronisation tools to ensure that we don't encounter concurrency problems such as race conditions or deadlock

# **Approaches for Writing Concurrent Servers**

Allow server to handle multiple clients concurrently

## 1. Process-based (Intro to Network Programming Lecture)

- Kernel automatically interleaves multiple logical flows
- Each flow has its own private address space

#### 2. Event-based

- Programmer manually interleaves multiple logical flows
- All flows share the same address space
- Uses technique called I/O multiplexing.

## 3. Thread-based (Intro to Network Programming Lecture)

- Kernel automatically interleaves multiple logical flows
- Each flow shares the same address space
- Hybrid of of process-based and event-based.

# Pros and Cons of Process-based Servers

- + Handle multiple connections concurrently
- + Clean sharing model
  - descriptors (no)
  - file tables (yes)
  - global variables (no)
- + Simple and straightforward
- Additional overhead for process control
- Nontrivial to share data between processes
  - Requires IPC (interprocess communication) mechanisms
    - FIFO's (named pipes), System V shared memory and semaphores

## Pros and Cons of Thread-Based Designs

- + Easy to share data structures between threads
  - e.g., logging information, file cache
- + Threads are more efficient than processes
- Unintentional sharing can introduce subtle and hard-to-reproduce errors!
  - The ease with which data can be shared is both the greatest strength and the greatest weakness of threads
  - Hard to know which data shared & which private
  - Hard to detect by testing
    - Probability of bad race outcome very low
    - But nonzero!
  - Future lectures

# Approach #2: Event-based Servers

- Server maintains set of active connections
  - Array of connfd's

### Repeat:

- Determine which descriptors (connfd's or listenfd) have pending inputs
  - e.g., using select or epoll functions
  - arrival of pending input is an event
- If listenfd has input, then accept connection
  - and add new connfd to array
- Service all connfd's with pending inputs

## How does this help us?

```
int main(int argc, char **argv)
   /* Boring declarations go here */
   listenfd = Open_listenfd(argv[1]);
   while (1) {
     clientlen = sizeof(struct sockaddr_storage);
   connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
     Rio_readinitb(&rio, connfd);
     while((n = Rio_readlineb(&rio, buf, MAXLINE)) != 0) {
        printf("server received %d bytes\n", (int)n);
       Rio_writen(connfd, buf, n);
```

The key issue is that we want to wait on these two lines at the same time

## I/O Multiplexing

Use a select command to combine multiple events into a set, then wait for at least one of them to occur

Our set of waitable events will be input through listenfd, plus any connfd's that have been set up
Transition:
Dead from one

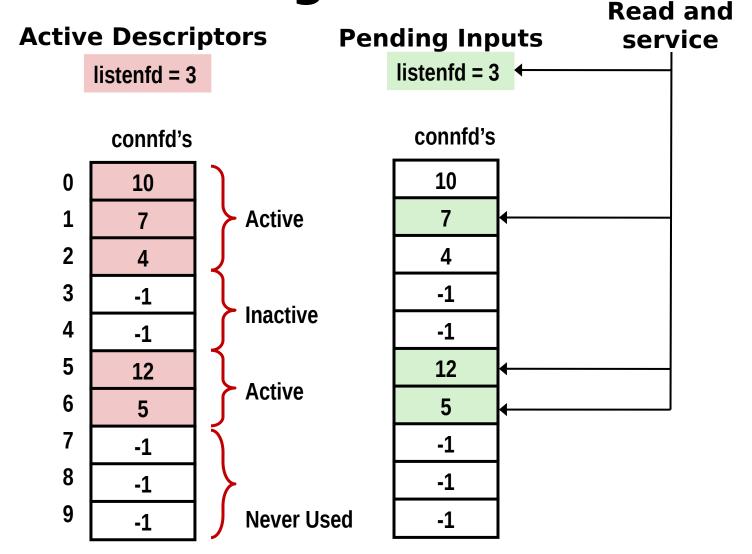
> Input event: Socket is ready to recieve

> > State:
> > Wait for one or more sockets to be ready to read

Read from one

ready socket

# I/O Multiplexed Event Processing



## Abridged Example (from book, pg 1015)

```
int main(int argc, char **argv) {
  // More boring declarations go here
  fd_set all_socks_set, ready_set;
  listenfd = Open_listenfd(PORT);
  FD_ZERO(&all_socks_set);
                                   // Clear all_socks_set
  FD_SET(STDIN_FILENO, &all_socks_set); // Add stdin to all_socks_set
  FD_SET(listenfd, &all_socks_set); // +listenfd to all_socks_set
  while (1) {
    ready_set = all_socks_set;
    Select(listenfd+1, &ready_set, NULL, NULL, NULL);
    if (FD_ISSET(STDIN_FILENO, &ready_set))
      command(); // Read command line from stdin
    if (FD_ISSET(listenfd, &ready_set)) {
      clientlen = sizeof(struct sockaddr_storage);
      connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
      echo(connfd); /* Echo client input until EOF */
      Close(connfd);
}}}
```

## Abridged Example (from book, pg

1015)

```
void command(void) {
   char buf[MAXLINE];
   if (!Fgets(buf, MAXLINE, stdin))
      exit(0); /* EOF */
   printf("%s", buf); /* Process the input command */
}
```

```
void echo(int connfd) {
    size_t n;
    char buf[MAXLINE];
    rio_t rio;

    Rio_readinitb(&rio, connfd);
    while((n = Rio_readlineb(&rio, buf, MAXLINE)) != 0) {
        printf("server received %d bytes\n", (int)n);
        Rio_writen(connfd, buf, n);
    }
}
```

Do remember this code is heavily abridged so will not work as is, full code on pg 1015 of BOH

## Abridged Example (from book, pg 1015)

```
int main(int argc, char **argv) {
  // More boring declarations go here
  fd_set all_socks_set, ready_set;
  listenfd = Open_listenfd(PORT);
                                   // Clear all socks set
  FD_ZERO(&all_socks_set);
  FD_SET(STDIN_FILENO, &all_socks_set); // Add stdin to all_socks_set
  FD_SET(listenfd, &all_socks_set); // listenfd to all_socks_set
                                                    Our server blocks here
  while (1) {
                                                    but in such a way that
    ready_set = all_socks_set;
    Select(listenfd+1, &ready_set, NULL, NULL, NUL
                                                    we can wait for multiple
    if (FD_ISSET(STDIN_FILENO, &ready_set))
                                                    things to happen and
      command(); // Read command line from stdin
                                                    respond accordingly
    if (FD_ISSET(listenfd, &ready_set)) {
      clientlen = sizeof(struct sockaddr_storage);
      connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
      echo(connfd); /* Echo client input until EOF */
      Close(connfd);
}}}
```

## I/O Multiplexing

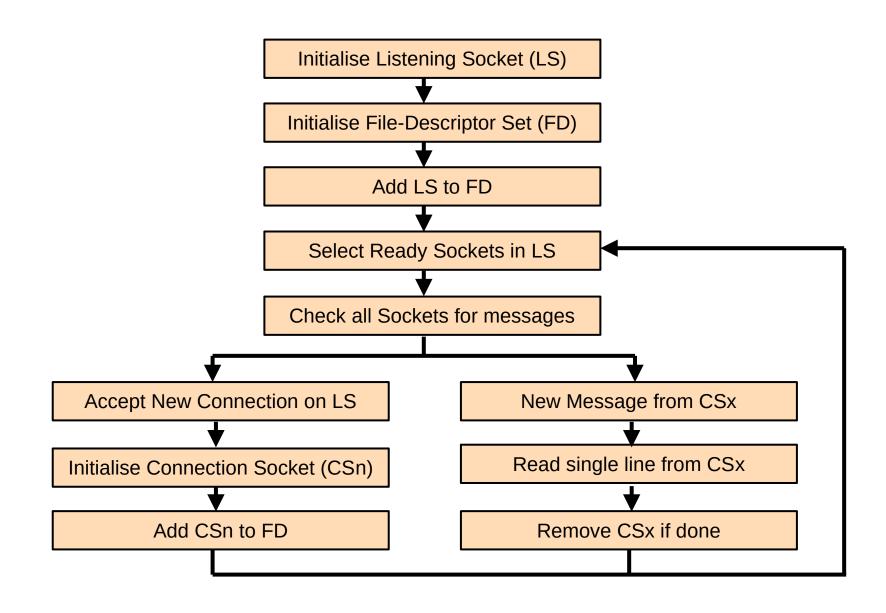
- Thats all well and good, but it doesn't show an example of how we'd actually run a server. . .
- Example on page 1018-20 does though
- Its going to be a lot of code, so we're going to present it slightly differently . . . .

```
#include "csapp.h"
typedef struct { /* Represents a pool of connected descriptors */
   int maxfd; /* Largest descriptor in all socks set */
   fd set all socks set; /* Set of all active descriptors */
   fd_set ready_set; /* Subset of descriptors ready for reading */
   int clientfd[FD SETSIZE]; /* Set of active descriptors */
   rio t clientrio[FD SETSIZE]; /* Set of active read buffers */
} pool;
void init pool(int listenfd, pool *p);
void add client(int connfd, pool *p);
void check clients(pool *p);
int byte cnt = 0; /* Counts total bytes received by server */
```

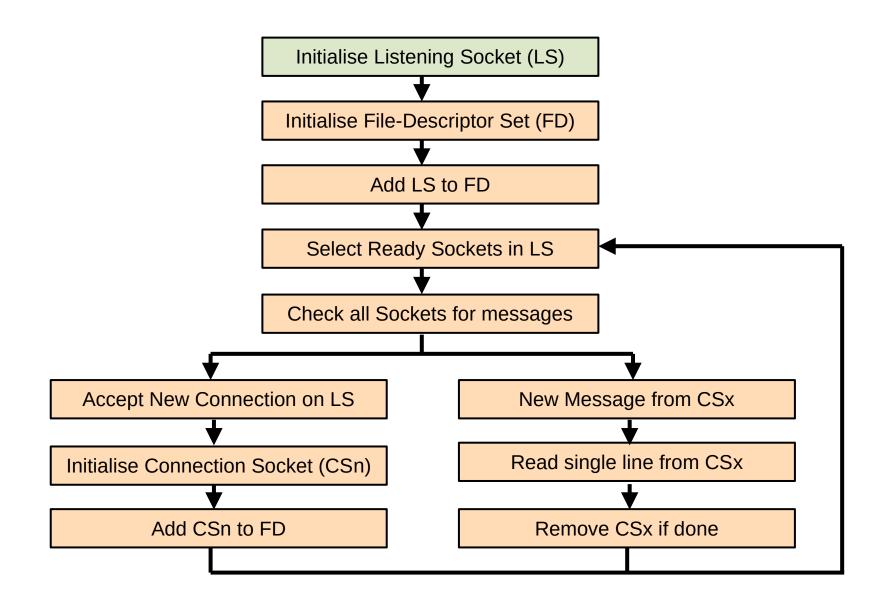
```
int main(int argc, char **argv){
    int listenfd, connfd;
    socklen t clientlen;
    struct sockaddr storage clientaddr;
    static pool pool;
    if (argc != 2) {
        fprintf(stderr, "usage: %s <port>\n", argv[0]);
       exit(0):
    listenfd = Open listenfd(argv[1]);
    init pool(listenfd, &pool);
   while (1) {
        /* Wait for listening/connected descriptor(s) to be ready */
        pool.ready set = pool.all socks set;
        pool.nready = Select(pool.maxfd+1, &pool.ready set, NULL, NULL, NULL);
        /* If listening descriptor ready, add new client to pool */
        if (FD ISSET(listenfd, &pool.ready set)) {
            clientlen = sizeof(struct sockaddr storage);
            connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
            add client(connfd, &pool);
        check clients(&pool); /* Echo line from each ready descriptor */
```

```
void add client(int connfd, pool *p) {
    int i;
    p->nready--;
    for (i = 0; i < FD_SETSIZE; i++) /* Find an available slot */</pre>
       if (p->clientfd[i] < 0) {</pre>
           /* Add connected descriptor to the pool */
           p->clientfd[i] = connfd;
           Rio readinitb(&p->clientrio[i], connfd);
            /* Add the descriptor to descriptor set */
            FD SET(connfd, &p->all socks set);
           /* Update max descriptor and pool highwater mark */
           if (connfd > p->maxfd)
               p->maxfd = connfd;
           if (i > p->maxi)
               p -> maxi = i;
           break:
    if (i == FD SETSIZE) /* Couldn't find an empty slot */
       app error("add client error: Too many clients");
}
```

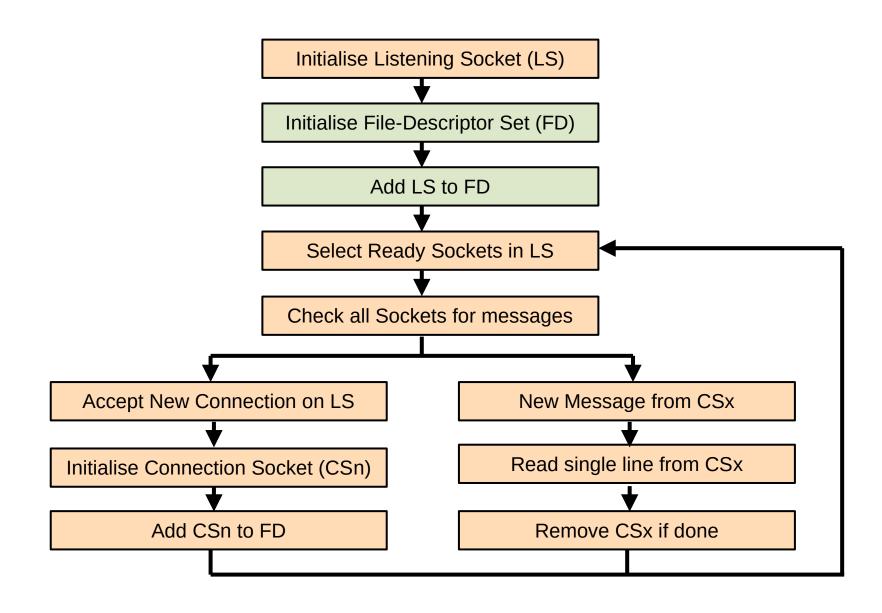
```
void check clients(pool *p) {
    int i, connfd, n;
    char buf[MAXLINE];
    rio t rio;
    for (i = 0; (i \le p->maxi) \& (p->nready > 0); i++) {
        connfd = p->clientfd[i];
        rio = p->clientrio[i];
        /* If the descriptor is ready, echo a text line from it */
        if ((connfd > 0) && (FD_ISSET(connfd, &p->ready_set))) {
            p->nready--;
            if ((n = Rio_readlineb(&rio, buf, MAXLINE)) != 0) {
                byte cnt += n;
                printf("Server received %d (%d total) bytes on fd %d\n",
                   n, byte cnt, connfd);
                Rio writen(connfd, buf, n);
            /* EOF detected, remove descriptor from pool */
            else {
                Close(connfd);
                FD CLR(connfd, &p->all socks set);
                p->clientfd[i] = -1;
            }}}
```



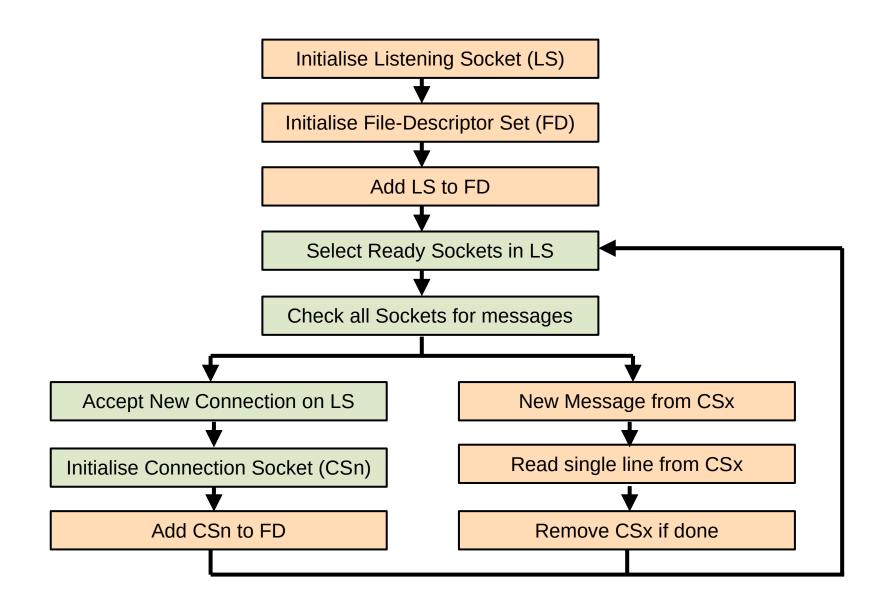
```
#include "csapp.h"
typedef struct { /* Represents a pool of connected descriptors */
   int maxfd; /* Largest descriptor in all socks set */
   fd set all socks set; /* Set of all active descriptors */
   fd_set ready_set; /* Subset of descriptors ready for reading */
   int clientfd[FD SETSIZE]; /* Set of active descriptors */
   rio t clientrio[FD SETSIZE]; /* Set of active read buffers */
} pool;
void init pool(int listenfd, pool *p);
void add client(int connfd, pool *p);
void check clients(pool *p);
int byte cnt = 0; /* Counts total bytes received by server */
```



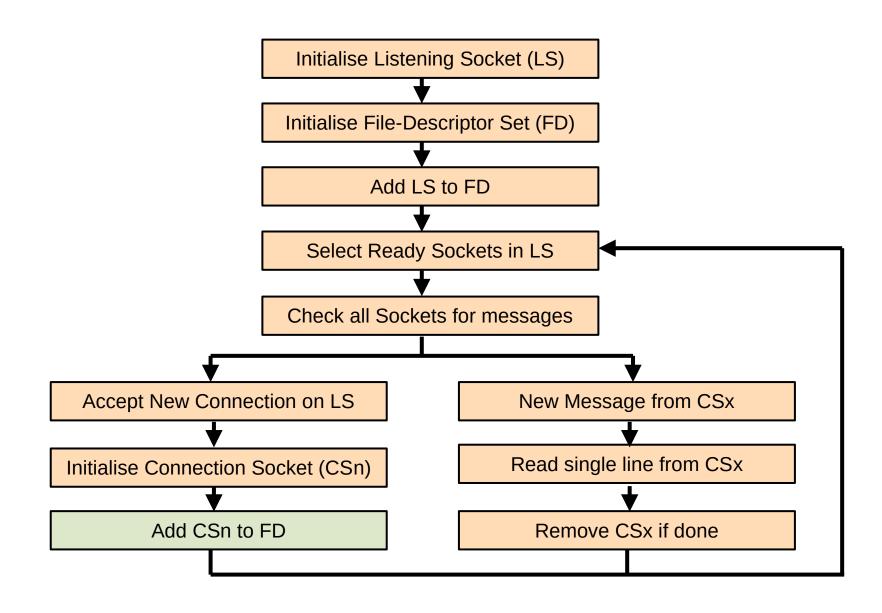
```
int main(int argc, char **argv){
                int listenfd, connfd;
                socklen t clientlen;
                struct sockaddr storage clientaddr;
                static pool pool;
                if (argc != 2) {
                                fprintf(stderr, "usage: %s <port>\n", argv[0]);
                               exit(0);
                listenfd = Open listenfd(argv[1]);
                init pool(listenfd, &pool);
               while (1) {
                               /* Wait for listening/connected descriptor(s) to be ready */
                                pool.ready set = pool.all socks set;
                                pool.nready = Select(pool.maxfd+1, &pool.ready_set, NULL, NULL, NULL);
                                /* If listening descriptor ready, add new client to pool */
                                                                                              Tool, and the part of the part
                                                connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
                                                add client(connfd, &pool);
                                check clients(&pool); /* Echo line from each ready descriptor */
```



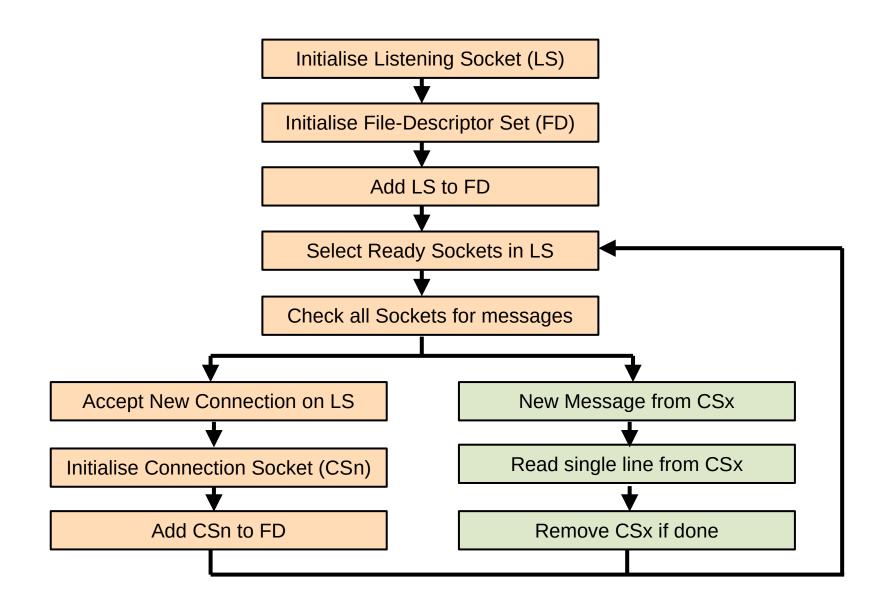
```
int main(int argc, char **argv){
   int listenfd, connfd;
   socklen t clientlen;
   struct sockaddr storage clientaddr;
                          DY COVERED
   static occ pool
       fprintf(stderr, "usage: %s <port>\n", argv[0]);
       exit(0):
   listenfd = Open listenfd(argv[1]);
   init pool(listenfd, &pool);
   while (1) {
       /* Wait for listening/connected descriptor(s) to be ready */
       pool.ready_set = pool.all socks set;
       pool.nready = Select(pool.maxfd+1, &pool.ready set, NULL, NULL, NULL);
       /* If listening descriptor ready, add new client to pool */
             Ent Ent Dzel Grid (chi Ret La Gacilla La);
           add_client(connfd, &pool);
       check clients(&pool); /* Echo line from each ready descriptor */
```



```
int main(int argc, char **argv){
   int listenfd, connfd;
   socklen t clientlen;
   struct sockaddr storage clientaddr;
   static pool_pool;
         The tderr, "Rate: as port (p, a g 0); HERE
       exit(0):
   listenfd = Open listenfd(argv[1]);
   init pool(listenfd, &pool);
   while (1) {
       /* Wait for listening/connected descriptor(s) to be ready */
       pool.ready set = pool.all socks set;
       pool.nready = Select(pool.maxfd+1, &pool.ready set, NULL, NULL, NULL);
       /* If listening descriptor ready, add new client to pool */
       if (FD ISSET(listenfd, &pool.ready set)) {
           clientlen = sizeof(struct sockaddr storage);
           connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
           add client(connfd, &pool);
       check clients(&pool); /* Echo line from each ready descriptor */
```



```
void add client(int connfd, pool *p) {
    int i;
    p->nready--;
    for (i = 0; i < FD_SETSIZE; i++) /* Find an available slot */</pre>
       if (p->clientfd[i] < 0) {</pre>
           /* Add connected descriptor to the pool */
           p->clientfd[i] = connfd;
           Rio readinitb(&p->clientrio[i], connfd);
            /* Add the descriptor to descriptor set */
             FD SET(connfd, &p->all socks set);
           /* Update max descriptor and pool highwater mark */
           if (connfd > p->maxfd)
               p->maxfd = connfd;
           if (i > p->maxi)
               p -> maxi = i;
           break:
    if (i == FD SETSIZE) /* Couldn't find an empty slot */
       app error("add client error: Too many clients");
}
```



```
void check clients(pool *p) {
    int i, connfd, n;
    char buf[MAXLINE];
    rio t rio;
    for (i = 0; (i \le p->maxi) \& (p->nready > 0); i++) {
        connfd = p->clientfd[i];
        rio = p->clientrio[i];
        /* If the descriptor is ready, echo a text line from it */
        if ((connfd > 0) && (FD_ISSET(connfd, &p->ready_set))) {
            p->nready--;
            if ((n = Rio_readlineb(&rio, buf, MAXLINE)) != 0) {
                byte cnt += n;
                printf("Server received %d (%d total) bytes on fd %d\n",
                   n, byte cnt, connfd);
                Rio writen(connfd, buf, n);
            /* EOF detected, remove descriptor from pool */
            else {
                Close(connfd);
                FD CLR(connfd, &p->all socks set);
                p->clientfd[i] = -1;
            }}}
```

## Pros and Cons of Eventbased Servers

- + One logical control flow and address space.
- + Can single-step with a debugger.
- + No process or thread control overhead.
  - Design of choice for high-performance Web servers and search engines. e.g., Node.js, nginx, Tornado
- Significantly more complex to code than process- or thread-based designs.
- Hard to provide fine-grained concurrency
  - E.g., how to deal with partial HTTP request headers
- Cannot take advantage of multi-core
  - Single thread of control

## **Summary: Approaches to Concurrency**

#### Process-based

- Hard to share resources: Easy to avoid unintended sharing
- High overhead in adding/removing clients

#### Event-based

- Tedious and low level
- Total control over scheduling
- Very low overhead
- Cannot create as fine grained a level of concurrency
- Does not make use of multi-core

#### Thread-based

- Easy to share resources: Perhaps too easy
- Medium overhead
- Not much control over scheduling policies
- Difficult to debug
  - Event orderings not repeatable

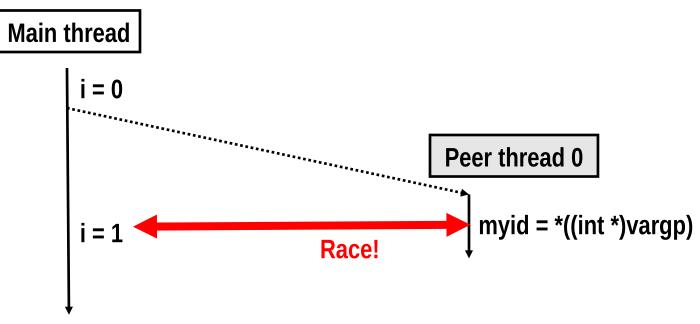
## One worry: Races

A race occurs when correctness of the program depends on one thread reaching point x before another thread reaches point y

```
/* A threaded program with a race */
int main()
                                      N threads are
  pthread t tid[N];
  int i; ←
                                         sharing i
  for (i = 0; i < N; i++)
     Pthread_create(&tid[i], NULL, thread, &i);
  for (i = 0; i < N; i++)
     Pthread join(tid[i], NULL);
  exit(0);
/* Thread routine */
void *thread(void *vargp)
  int myid = *((int *)vargp);
  printf("Hello from thread %d\n", myid);
  return NULL;
```

### **Race Illustration**

```
for (i = 0; i < N; i++)
  Pthread_create(&tid[i], NULL, thread, &i);</pre>
```



- Race between increment of i in main thread and deref of vargp in peer thread:
  - If deref happens while i = 0, then OK
  - Otherwise, peer thread gets wrong id value

## Could this race really occur?

#### Main thread

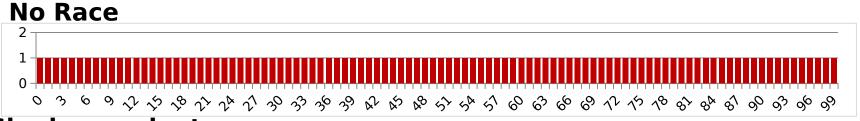
#### Peer thread

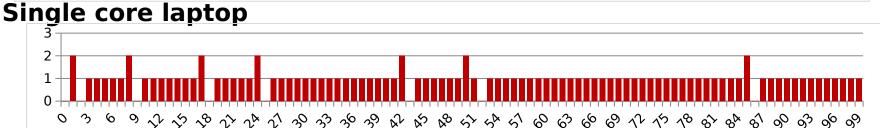
```
void *thread(void *vargp) {
   Pthread_detach(pthread_self());
   int i = *((int *)vargp);
   save_value(i);
   return NULL;
}
```

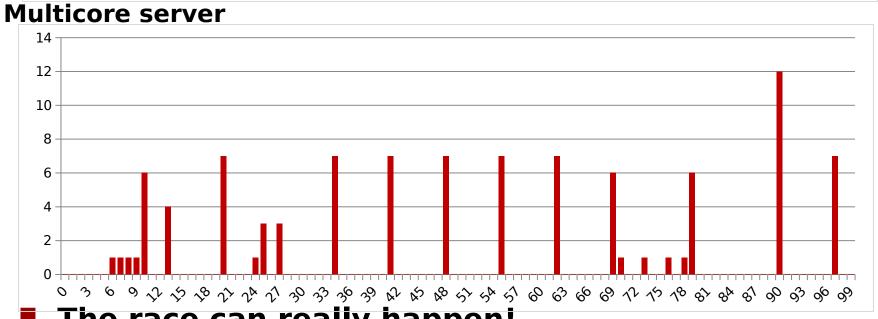
#### Race Test

- If no race, then each thread would get different value of i
- Set of saved values would consist of one copy each of 0 through 99

## **Experimental Results**







41

### **Race Elimination**

```
/* Threaded program without the race */
int main()
                                   Avoid unintended
  pthread t tid[N];
                                   sharing of state
  int i, *ptr;
  for (i = 0; i < N; i++) {
    ptr = Malloc(sizeof(int));
     *ptr = i;
     Pthread_create(&tid[i], NULL, thread, ptr);
  for (i = 0; i < N; i++)
    Pthread join(tid[i], NULL);
  exit(0);
/* Thread routine */
void *thread(void *vargp)
  int myid = *((int *)vargp);
  Free(vargp);
  printf("Hello from thread %d\n", myid);
  return NULL:
```

and O'Hallar norade.c

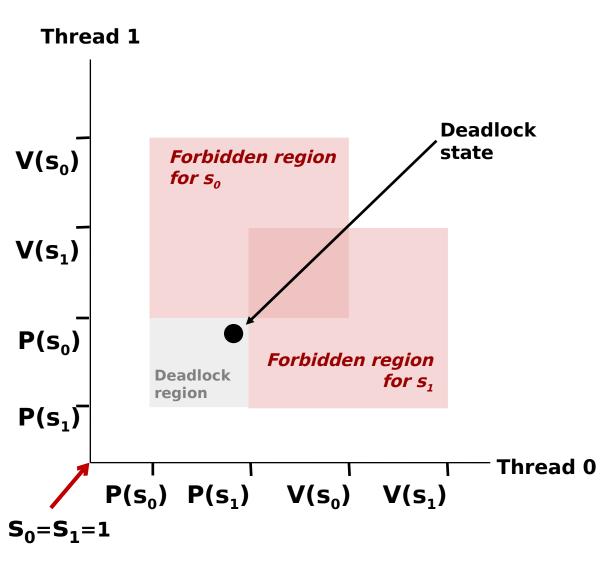
### **Deadlocking With Semaphores**

```
int main()
{
    pthread_t tid[2];
    Sem_init(&mutex[0], 0, 1); /* mutex[0] = 0 */
    Sem_init(&mutex[1], 0, 1); /* mutex[1] = 1 */
    Pthread_create(&tid[0], NULL, count, (void*) 0);
    Pthread_create(&tid[1], NULL, count, (void*) 1);
    Pthread_join(tid[0], NULL);
    Pthread_join(tid[1], NULL);
    printf("cnt=%d\n", cnt);
    exit(0);
}
```

```
void *count(void *vargp)
{
    int i;
    int id = (int) vargp;
    for (i = 0; i < NITERS; i++) {
        P(&mutex[id]); P(&mutex[1-id]);
        cnt++;
        V(&mutex[id]); V(&mutex[1-id]);
    }
    return NULL;
}</pre>
```

```
Tid[0]: Tid[1]: P(s<sub>0</sub>); P(s<sub>1</sub>); P(s<sub>0</sub>); Cnt++; V(s<sub>0</sub>); V(s<sub>1</sub>); V(s<sub>0</sub>);
```

### Deadlock Visualized in Progress Graph



Locking introduces the potential for *deadlock:* waiting for a condition that will never be true

Any trajectory that enters the *deadlock region* will eventually reach the *deadlock state*, waiting for either S<sub>0</sub> or S<sub>1</sub> to become nonzero

Other trajectories luck out and skirt the deadlock region

Unfortunate fact: deadlock is often nondeterministic (race)

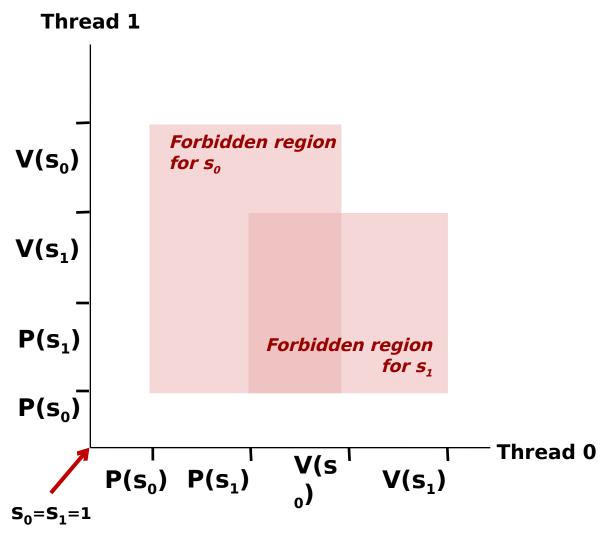
### Avoiding Deadlock Acquire shared resources in same order

```
int main()
{
    pthread_t tid[2];
    Sem_init(&mutex[0], 0, 1); /* mutex[0] = 0 */
    Sem_init(&mutex[1], 0, 1); /* mutex[1] = 0 */
    Pthread_create(&tid[0], NULL, count, (void*) 0);
    Pthread_create(&tid[1], NULL, count, (void*) 1);
    Pthread_join(tid[0], NULL);
    Pthread_join(tid[1], NULL);
    printf("cnt=%d\n", cnt);
    exit(0);
}
```

```
void *count(void *vargp)
{
    int i;
    int id = (int) vargp;
    for (i = 0; i < NITERS; i++) {
        P(&mutex[0]); P(&mutex[1]);
    cnt++;
    V(&mutex[id]); V(&mutex[1-id]);
    }
    return NULL;
}</pre>
```

```
Tid[0]: Tid[1]: P(s0); P(s1); P(s1); cnt++; V(s0); V(s1); V(s0);
```

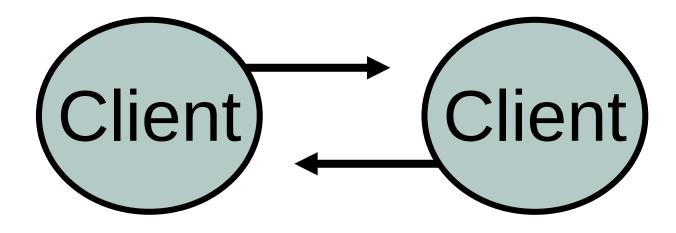
### **Avoided Deadlock in Progress Graph**



No way for trajectory to get stuck

Processes acquire locks in same order

Order in which locks released immaterial

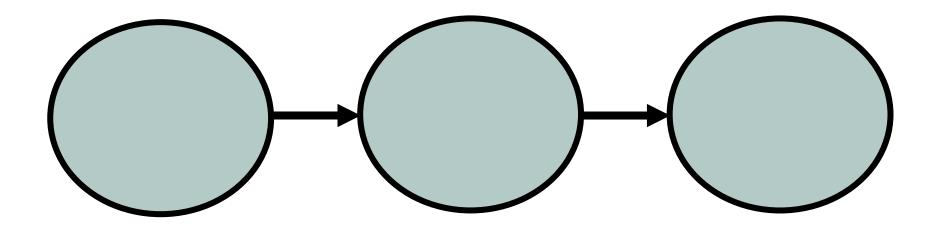


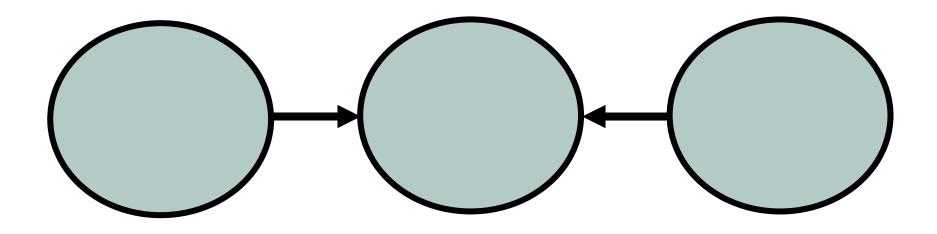
Deadlock can occur when two processes/threads/hosts try to act as clients at the same time.

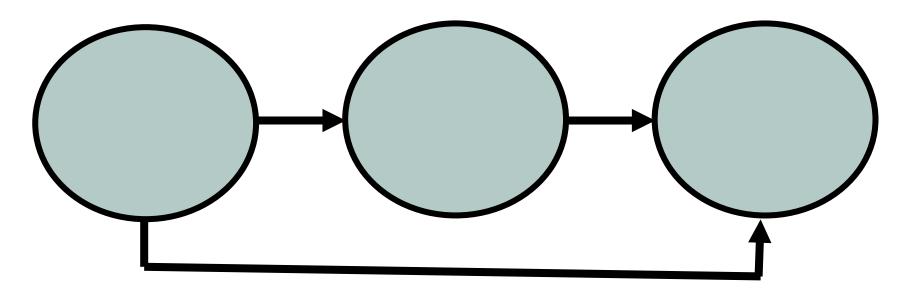
Or if they both act as servers at the same time

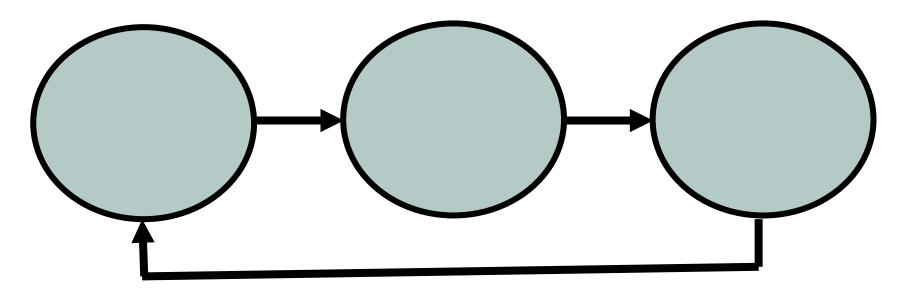
To solve this we can use process diagrams to draw interactions

As long as they is no loop, theres no deadlock

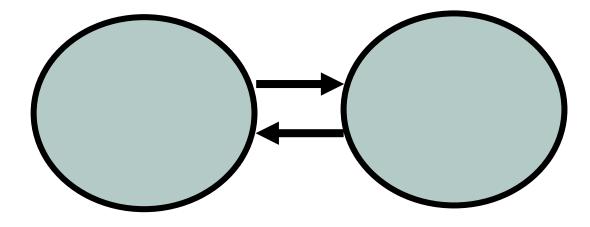






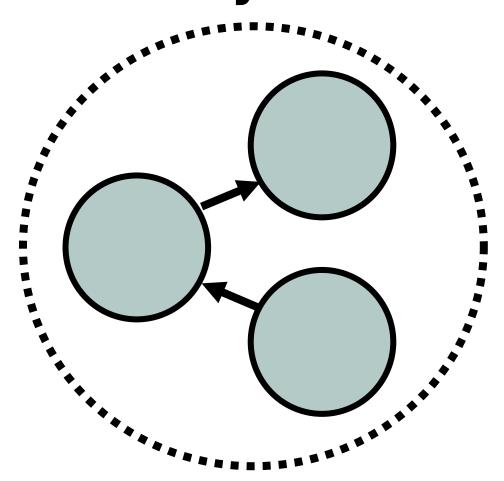


Deadlock

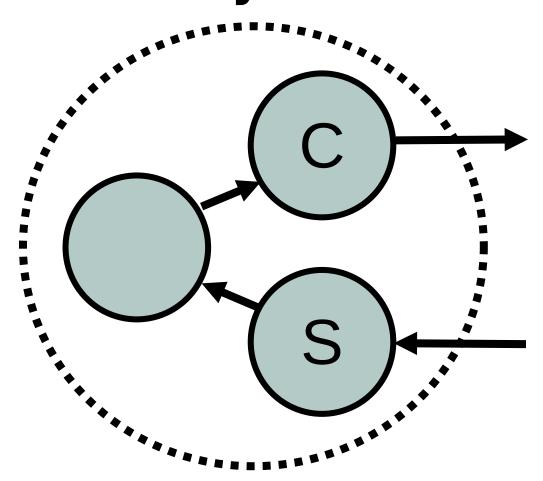


## Deadlock

# Didn't we solve this already?

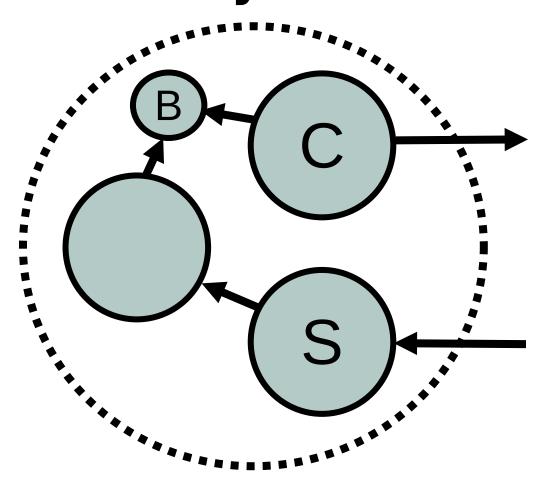


# Didn't we solve this already?





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# Summary: Approaches to Concurrency

#### Process-based

- Hard to share resources: Easy to avoid unintended sharing
- High overhead in adding/removing clients

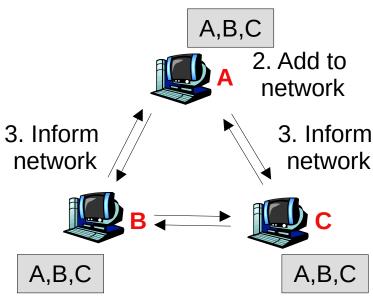
#### Event-based

- Tedious and low level
- Total control over scheduling
- Very low overhead
- Cannot create as fine grained a level of concurrency
- Does not make use of multi-core

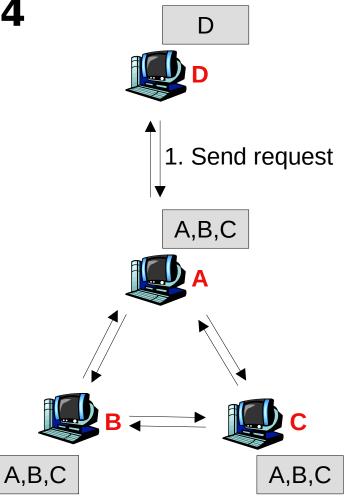
#### Thread-based

- Easy to share resources: Perhaps too easy
- Medium overhead
- Not much control over scheduling policies
- Difficult to debug
  - Event orderings not repeatable

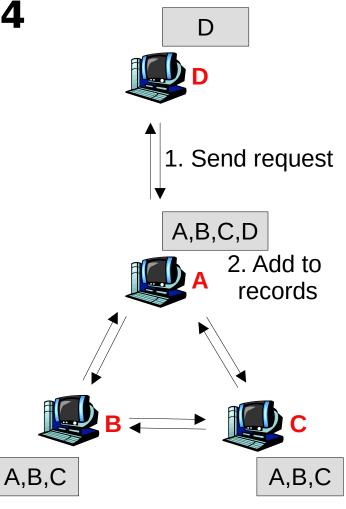
- P2P is a way to share data files
- Peers connect to a network by registering with someone already on it
- Each Peer will attempt to maintain a list of everyone on the network
- If a peer gets a request to join, it will inform all the peers it knows about



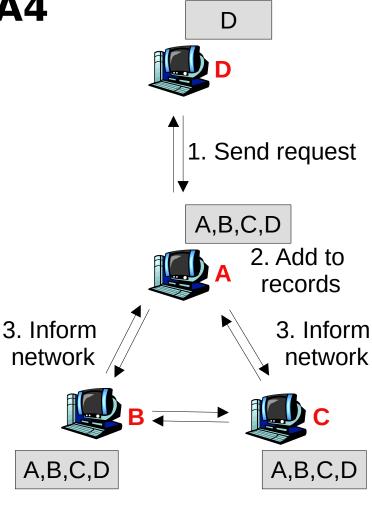
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