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# Topological sorting, $O$ , and $\Theta$

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# Outline

① Topological sorting

②  $\mathcal{O}$  as a relation

③  $\Theta$  as a relation



# Recap and intro

A **partial order** on set  $A$  is a relation  $R$  which is **reflexive**, **antisymmetric**, and **transitive**.

- A **poset**  $(A, \leq)$
- Posets can contain **incomparable** elements.  
**Recall:** Elements 5 and 3 are incomparable in poset  $(\mathbb{Z}^+, |)$ .
- If any two elements in a poset  $(A, \leq)$  are comparable, then we say that  $(A, \leq)$  is **totally ordered**.
- If  $(A, \leq)$  is finite and totally ordered, then its elements can be **sorted** as

$$a_1, \dots, a_n$$

where  $|A| = n$  and  $a_1 \leq a_2 \leq \dots \leq a_n$

**Q:** How can we sort the elements of a **partial** order?



# Sorting elements of a poset $(A, \leq)$

**Goal:** Given an  $n$ -element poset  $(A, \leq)$ , produce an ordering

$$a_1, \dots, a_n \tag{1}$$

which is **consistent** with the “ $\leq$ ” partial order:

$$a_i \leq a_j \Rightarrow i \leq j \tag{2}$$

**Def.** We refer to the ordering in (1) as **topological sorting** of poset  $(A, \leq)$ .

- Contrapositive of (2):  $i > j \Rightarrow a_i \not\leq a_j$
- What does  $a_i \not\leq a_j$  mean?



# Topological sorting of a poset $(A, \leq)$

... is an ordering of its elements

$$a_1, a_2, \dots, a_n$$

where  $i > j \Rightarrow a_i \not\leq a_j$ .

Equivalently:

$$i > 1 \Rightarrow a_i \not\leq a_1$$

$$i > 2 \Rightarrow a_i \not\leq a_2$$

$$i > 3 \Rightarrow a_i \not\leq a_3$$

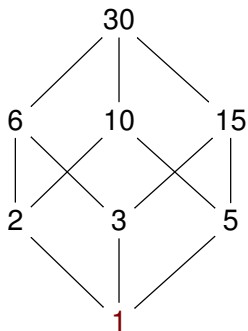
$$\vdots$$

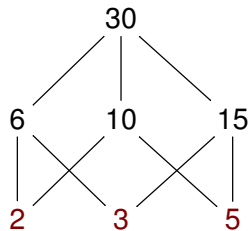
$$i > (n-1) \Rightarrow a_i \not\leq a_{n-1}$$



# How to sort

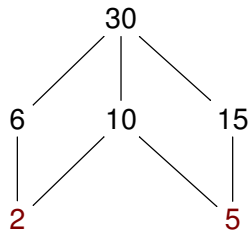
Consider poset  $(\{1, 2, 3, 5, 6, 10, 15, 30\}, |)$





1,

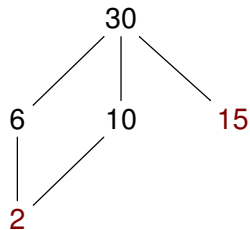




1,3,

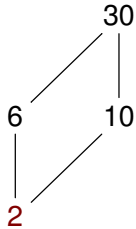






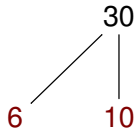
1,3,5,





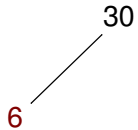
1,3,5,15,





1,3,5,15,2,





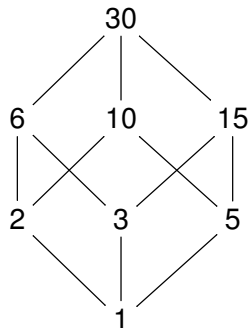
1,3,5,15,2,10



30

1,3,5,15,2,10,6





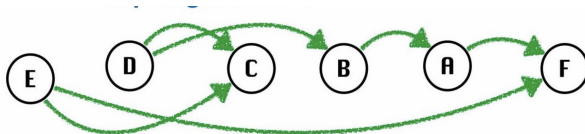
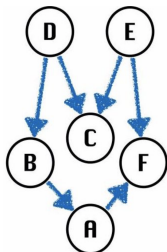
1,3,5,15,2,10,6,30

**Note:** The ordering produced by topological sorting is **not unique**.



# Topological sorting and digraphs

**Informally speaking**, a topological sorting of an **acyclic** digraph is an ordering of the vertices, where all the arrows “point to the right”.



$$A = \{A, B, C, D, E, F\}$$

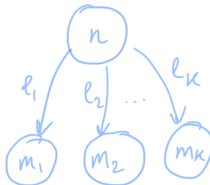
$$R = \{(A, F), (B, A), (D, B), (D, C), (E, C), (E, F)\}$$



# Finding the next minimal element efficiently

Kahn's algorithm with  $O(|V| + |E|)$  runtime

```
L ← Empty list that will contain the sorted elements
S ← Set of all nodes with no incoming edge
while S is non-empty do
    remove a node n from S
    add n to tail of L
    for each node m with an edge e from n to m do
        remove edge e from the graph
        if m has no other incoming edges then
            insert m into S
```





# $O$ as a relation



# O as a relation

**Recall:** For asymptotically positive sequences  $(a_n)$ ,  $(b_n)$ , we say that  $(a_n)$  is  $O((b_n))$  if  $\exists C > 0$  and  $\exists k \geq 1$  such that  $\forall n \geq k$

$$a_n \leq Cb_n$$

How to think of  $O$  as a relation on some set  $A$ :

- $A = \{\text{all asymptotically positive sequences } (c_n) \text{ of numbers}\}$
- $(a_n)O(b_n) \Leftrightarrow \exists C > 0 \exists k \geq 1 (n \geq k \Rightarrow a_n \leq Cb_n)$

**Question:** Is  $O$  a partial order?



# Is $O$ a partial order? $\times$

Reflexive,  $(a_n)O(a_n)$ ?  $\checkmark$

For  $C = 1, k = n_0$  we have  $(n \geq 1 \Rightarrow a_n \leq 1 \cdot a_n)$

Transitive,  $(a_n)O(b_n) \wedge (b_n)O(c_n) \Rightarrow (a_n)O(c_n)$ ?  $\checkmark$

- $\exists C > 0 \exists k \geq 1 (n \geq k \Rightarrow a_n \leq Cb_n)$
- $\exists D > 0 \exists \ell \geq 1 (n \geq \ell \Rightarrow b_n \leq Dc_n)$
- Let  $E = CD, m = \max\{k, \ell\}$ . Assume  $n \geq m$ . Then

$$a_n \leq Cb_n \leq CDc_n = Ec_n$$

So  $n \geq m \Rightarrow a_n \leq Ec_n$

Antisymmetric,  $(a_n)O(b_n) \wedge (b_n)O(a_n) \Rightarrow (a_n) = (b_n)$ ?  $\times$

$(n)O(2n)$  and  $(2n)O(n)$ , but  $(n) \neq (2n)$



# $\Theta$ as a relation



# $\Theta$ as a relation

**Recall:** We say that  $(a_n)$  is  $\Theta((b_n))$  if  $(a_n)$  is  $O((b_n))$  and  $(b_n)$  is  $O((a_n))$

- $A = \{\text{all asymptotically positive sequences } (c_n) \text{ of numbers}\}$
- $(a_n)\Theta(b_n) \Leftrightarrow (a_n)O(b_n) \text{ and } (b_n)O(a_n)$
- So  $\Theta = O \cap O^{-1}$

**Question:** Is  $\Theta$  an equivalence relation<sup>1</sup>?

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<sup>1</sup>reflexive, symmetric, and transitive



# Is $\Theta = O \cap O^{-1}$ an equivalence relation?

- $O$  is reflexive and transitive.
- **Thm.** If  $R$  is reflexive/transitive then  $R^{-1}$  is also reflexive/transitive.

So  $O^{-1}$  is reflexive and transitive.

- **Recall from last week: Thm.** If  $R$  and  $S$  are reflexive/transitive then  $R \cap S$  is reflexive/transitive.

So  $\Theta = O \cap O^{-1}$  is reflexive and transitive.

- **Final observation:**  $\Theta = O \cap O^{-1}$  is symmetric.
- **Answer:**  $\Theta$  is an equivalence relation.

