

# Privacy-friendly Aggregation for the Smart-grid

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# Overview

- ▶ Introduction
- ▶ Basic Protocols
- ▶ Concrete Protocols
- ▶ Analysis
- ▶ Conclusion & Discussion

# Reference

- ▶ Klaus Kursawe, George Danezis, and Markulf Kohlweiss. *Privacy-friendly Aggregation for the Smart-grid*. Privacy Enhancing Technologies (PETs) 2011, Waterloo, ON, Canada, July 27-29, 2011. LNCS 6794, 2011, pp 175-191.

# Motivation

- ▶ Aggregates of consumption across different populations are used for *leakage detection, fraud detection, forecasting, tuning production to demand, settling the cost of production across electricity suppliers, etc.*
- ▶ Aggregation protocols will also be used to detect leakages in other utilities, e.g., water (which is a big issue in desert countries) and gas (where a leakage poses a safety problem).
- ▶ By aggregation, the communication overhead and storage needed can be dramatically decreased.

# Privacy in Smart Metering

- ▶ The high frequency suggested (i.e., about 15 minutes reading interval) for electricity usage metering totally **exposes one's behavior privacy**.
- ▶ An important aspect in privacy preserving metering protocols is to take into account the rather **limited resources** on such meters, both in terms of **bandwidth** and in terms of **computation**.

## Basic Ideas

- ▶ The protocols we proposed is relying on **masking** the meter consumptions  $c_{t,j}$  output by meter  $j$  for a reading interval  $t$ , in such a way that an adversary cannot recover individual readings.
- ▶ The sum of the masking values across meters sums to a known value (e.g. **0**).
- ▶ To prevent linking masked values, the masks are **recomputed** for every measurement.

# Aggregation Protocols

- ▶ Metered homes use masking values  $x_{t,j}$  to output blinded values  $c_{t,j} + x_{t,j}$ .
- ▶ After the masking values have canceled each other out ( $\sum_j x_{t,j} = 0$ ), the result of the protocol is  $\sum_j c_{t,j}$ .
- ▶ Note that this is **a kind of** protocols.

## Comparison Protocols

- ▶ Homes output  $g^{c_{t,j}+x_j}$  and the result of the protocol is  $g^{\sum_j c_{t,j}}$ .
- ▶ They require that the aggregator **already knows** the (approximate) sum of the values she is aggregating (through a feeder meter), and needs to determine whether her sum is sufficiently close to the aggregate obtained from home meters.
- ▶ One advantage is that in contrast to aggregation protocols, no fresh  $x_{t,j}$  are needed, i.e.  $x_j$  is fixed.
- ▶ Note that this is **a kind of** protocols, too.



## Comparison Protocols

The basic comparison protocol.

- ▶  $H : \{0, 1\}^* \rightarrow G, g_t = H(t).$
- ▶ Pre-installed  $x_j$ , s.t.  $\sum x_j = 0.$
- ▶ Home  $j : g_{t,j} = g_t^{c_{t,j} + x_j}.$
- ▶ Aggregator:  $g_a = \prod_j g_{t,j} = g_t^{\sum_j c_{t,j}}.$   
 $c_a$ : approximate, brute force  $g_t^{c_a}, g_t^{c_a-1}, g_t^{c_a+1}, \dots$

# Interactive Protocol

Our first protocol uses simple **additive secret sharing**.

- ▶ For each round  $t$ , choose  $p$  **leaders** from meters.
- ▶ Each home  $j$  generates  $p$  random numbers for each leader:

$s_{j,1}, \dots, s_{j,p}$ .

$$\begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \left( \begin{array}{ccccccc} & s_{2,1} & s_{3,1} & s_{4,1} & s_{5,1} & s_{6,1} & s_{7,1} \\ s_{1,2} & & s_{3,2} & s_{4,2} & s_{5,2} & s_{6,2} & s_{7,2} \\ s_{1,3} & s_{2,3} & & s_{4,3} & s_{5,3} & s_{6,3} & s_{7,3} \\ s_{1,4} & s_{2,4} & s_{3,4} & & s_{5,4} & s_{6,4} & s_{7,4} \end{array} \right) \end{matrix}$$

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- ▶ Each leader  $k$  generates  $s_{k,k}$  s.t.  $\sum_{i=1}^n s_{i,k} = 0$ .
- ▶ Let  $s_j = \sum_i s_{j,i}$ .

$$\begin{array}{c}
 \begin{matrix} & 1 & 2 & 3 & 4 & 5 & 6 & 7 \\
 \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \left( \begin{array}{cccccc}
 \textcolor{red}{s}_{1,1} & s_{2,1} & s_{3,1} & s_{4,1} & s_{5,1} & s_{6,1} & s_{7,1} \\
 s_{1,2} & \textcolor{red}{s}_{2,2} & s_{3,2} & s_{4,2} & s_{5,2} & s_{6,2} & s_{7,2} \\
 s_{1,3} & s_{2,3} & \textcolor{red}{s}_{3,3} & s_{4,3} & s_{5,3} & s_{6,3} & s_{7,3} \\
 s_{1,4} & s_{2,4} & s_{3,4} & \textcolor{red}{s}_{4,4} & s_{5,4} & s_{6,4} & s_{7,4}
 \end{array} \right) & \begin{matrix} 0 \\ 0 \\ 0 \\ 0 \end{matrix} \\
 \begin{matrix} s_1 & s_2 & s_3 & s_4 & s_5 & s_6 & s_7 \end{matrix}
 \end{array}$$

# Interactive Protocol

For the aggregation protocol:

- ▶ let  $x_{t,j} = s_j$ .
- ▶ To **update** the masking values, the above steps are repeated with a **different set** of leaders for each round  $t$ .
- ▶  $b_{t,j} = c_{t,j} + x_{t,j} \bmod 2^{32}$ , thus  $\sum_j c_{t,j} = \sum_j b_{t,j} \bmod 2^{32}$ .

For the comparison protocol:

- ▶ The interactive protocol can also be used in combination with the basic comparison protocol by setting  $x_j = s_j$ , removing the need for updating shares.

## Diffie-Hellman Key-Exchange Based Protocol

- ▶ For each round  $t$ ,  $g_t = H(t)$ .
- ▶ Each home  $j$  computes a round specific public key  $\text{Pub}_{t,j} = g_t^{X_j}$ , and distributes it to all.
- ▶ Each home collects  $\text{Pub}_{t,1}, \dots, \text{Pub}_{t,n}$ , and computes

$$g_t^{x_j} = \prod_{k \neq j} \text{Pub}_{t,k}^{(-1)^{I(k < j)} X_j},$$

where  $I(k < j) = 1$  while  $k < j$ , 0 otherwise. And we have

$$\sum_j x_j = \sum_j \sum_{k \neq j} (-1)^{I(k < j)} X_k \cdot X_j = 0$$

# Diffie-Hellman Key-Exchange Based Protocol

$$\sum_j x_j = \sum_j \sum_{k \neq j} (-1)^{I(k < j)} x_k \cdot x_j = 0.$$

	1	2	3	4	k	
1	$\left( \begin{array}{cccc} \text{null} & X_2 X_1 & X_3 X_1 & X_4 X_1 \\ -X_1 X_2 & \text{null} & X_3 X_2 & X_4 X_2 \\ -X_1 X_3 & -X_2 X_3 & \text{null} & X_4 X_3 \\ -X_1 X_4 & -X_2 X_4 & -X_3 X_4 & \text{null} \end{array} \right)$					$x_1$
2						$x_2$
3						$x_3$
4						$x_4$
j						

# Diffie-Hellman Key-Exchange Based Protocol

$$\sum_j x_j = \sum_j \sum_{k \neq j} (-1)^{I(k < j)} X_k \cdot X_j = 0.$$

$$\begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 & k \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \\ j \end{matrix} & \left( \begin{array}{ccccc} \text{null} & X_2 X_1 & X_3 X_1 & X_4 X_1 & \\ -X_1 X_2 & \text{null} & X_3 X_2 & X_4 X_2 & \\ -X_1 X_3 & -X_2 X_3 & \text{null} & X_4 X_3 & \\ -X_1 X_4 & -X_2 X_4 & -X_3 X_4 & \text{null} & \end{array} \right) \end{matrix} \begin{matrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_j \end{matrix}$$

$$R_{(i,t)} = N + \sum_{j=1, i \neq j}^k r_{(i \rightarrow j, t)} - \sum_{j=1, i \neq j}^k r_{(j \rightarrow i, t)}.$$

# Diffie-Hellman Key-Exchange Based Protocol

- ▶ No aggregation protocol. Because  $x_j$  cannot be known or recovered by any other meters.
- ▶ For the comparison protocol, each meter computes:

$$g_{t,j} = g_t^{c_{t,j}} \cdot g_t^{x_j} = g_t^{c_{t,j} + x_j}$$



## Diffie-Hellman and Bilinear-map Based Protocol

- ▶  $e(\mathbb{G}_1, \mathbb{G}_2) \rightarrow \mathbb{G}_T$ ,  $H : \{0, 1\}^* \rightarrow \mathbb{G}_2$ .
- ▶ Each home  $j$  computes **fixed** public key  $\text{Pub}_j = \hat{g}_0^{X_j}$ , where  $\hat{g}_0$  is a generator of  $\mathbb{G}_1$ .
- ▶ In round  $t$ , homes compute  $\hat{g}_t = H(t)$  and let  $g_t = e(\hat{g}_0, \hat{g}_t)$ . Homes can now compute  $g_t^{X_j}$  as

$$g_t^{X_j} = \left( \prod_{k \neq j} e(\text{Pub}_k, \hat{g}_t) \right)^{(-1)^{I(k < j)} X_j},$$

where  $I(k < j) = 1$  while  $k < j$ , 0 otherwise. And we have

$$\sum_j X_j = \sum_j \sum_{k \neq j} (-1)^{I(k < j)} X_k \cdot X_j = 0$$

# Diffie-Hellman and Bilinear-map Based Protocol

- ▶ No aggregation protocol. Because  $x_j$  cannot be known or recovered by any other meters.
- ▶ For the comparison protocol, each meter computes:

$$g_{t,j} = g_t^{c_{t,j}} \cdot g_t^{x_j} = g_t^{c_{t,j} + x_j}$$

## Low-overhead Protocol

- ▶ Similar as the Bilinear map based scheme, we assume that all meters have a fixed public key  $\text{Pub}_j = g^{X_j}$ .
- ▶ Each home  $j$  computes a set of shared keys, as:  

$$K_{j,k} = H(\text{Pub}_k^{X_j}).$$
- ▶ In round  $t$  of masking value generation, each meter  $j$  outputs:

$$x_{t,j} = \sum_{k \neq j} (-1)^{I(k < j)} H(K_{j,k} || t)$$

# Low-overhead Protocol

- ▶ For the aggregation protocol, only 32 bits of  $x_{t,j}$  are needed.  
Let  $b_{t,j} = c_{t,j} + x_{t,j} \bmod 2^{32}$ , we have  $\sum_j c_{t,j} = \sum_j b_{t,j} \bmod 2^{32}$ .
- ▶ For the comparison protocol, set  $x_j = x_{t',j}$  for a fixed  $t'$ , then we have

$$g_{t,j} = g^{c_{t,j}} \cdot g^{x_j} = g^{c_{t,j} + x_j}$$

# Privacy

- ▶ If all participants are honest-but-curious and do not collude, the privacy is maintained.
- ▶ In case of collusion, the DH based protocol, the bilinear maps based protocol, and the low-overhead protocol ensure that the **anonymity set** within which meter readings are aggregated includes all **the non colluding meter** readings.
- ▶ The interactive protocol has a **similar property** for any number of colluding nodes that **does not include all leaders**. If all leaders collude all privacy is lost.

## Converting an Comparison Protocol back into an Aggregation Protocol

- ▶ In some scenarios, there is no feeder meter that provides the approximate sum.
- ▶ A typical smart meter reading is a **four byte value**. If we assume up to 250 devices in one group, that would give us a **40 bit** value for the aggregated reading.
- ▶ In most cases, the aggregator has a fairly good idea on the rough total consumption.
- ▶ A normal computer can brute-force the sum in a reasonable short time.

## Conclusion & Discussion

- ▶ This paper proposes several privacy-friendly aggregation schemes relying on masking the meter consumptions.
- ▶ Based on the feature that meter readings are small and predicable, brute-force computation can be used after the relative large masking values are canceled.
- ▶ Discussion? (Differences between the scheme I presented last week?)