

An Enhanced Linear Active Disturbance Rejection Rotor Position Sensorless Control for Permanent Magnet Synchronous Motors

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Abstract—An enhanced linear active disturbance rejection controller (ELADRC) based rotor position sensorless field-oriented control scheme for the permanent magnet synchronous motor (PMSM) drivers is proposed in this article. The ELADRC consists of two linear extended state observers (LESOs) and a proportional current controller. One LESO is designed to estimate the back electromotive force (EMF), which is treated as the external disturbance. Then, the rotor position and speed are obtained from the estimated back EMF without any phase delay or chattering problem. The other LESO is designed to estimate the internal disturbances, such as the parameter and current regulation quality variations. The estimated total disturbance is used as a feedforward compensation term in the current control loop to improve the current regulation quality of the plant, which further improves the rotor position estimation performance. The plant combined with the two LESOs is equivalent to an integrator with a unity gain, which is controlled by a simple proportional current controller to generate the desired voltage vector for the pulsewidth modulation operation. Finally, the stability of the closed-loop PMSM drive system with the ELADRC-based scheme is analyzed. Based on the analysis, the parameters of the ELADRC are designed. The proposed scheme is validated by the experimental results for a 275-W salient-pole PMSM drive in which the PMSM is similar to the traction motor used in Toyota Prius hybrid electric vehicles at a reduced scale.

Index Terms—Field-oriented control (FOC), linear extended state observer (LESO), linear active disturbance rejection control (LADRC), permanent magnet synchronous motor (PMSM), rotor position estimation, sensorless control.

I. INTRODUCTION

PERMANENT magnet synchronous motors (PMSMs) have been widely employed in industrial applications due to their high reliability, high efficiency, and high power density [1], [2]. The field-oriented control (FOC) control systems of PMSM drives commonly use rotor position sensors, such as hall-effect sensors, optical encoders, or resolvers for a closed-loop current

regulation. To reduce the cost and improve the reliability of the PMSM drive systems, rotor position sensorless FOC has been widely researched over the past few decades [3]–[15].

There are two major categories of rotor position sensorless FOC methods for the salient-pole PMSMs. One is based on the back electromotive force (EMF) estimation [3]–[8] and the other is based on the high-frequency (HF) signal injection [9]–[11]. For the standstill and low-speed operations, an HF signal injection-based method is commonly used to observe the rotor position by utilizing the saliency of a salient-pole PMSM since the value of the back EMF is too small to be estimated accurately. For medium- and high-speed operations, a back EMF estimation based method is mainly adopted to obtain the rotor position by observing the back EMF. The HF signal injection-based method is not suitable in this case due to the limited control bandwidth. To achieve a rotor position sensorless FOC for the entire speed operating range, a combination of the two methods is needed.

For some specific applications, e.g., the traction motors of the hybrid electric vehicles, the performance of the observer in medium- and high-speed operations is more important. In such an application, the sliding-mode observer (SMO) is a promising solution. In several previous works [3]–[8], the SMO has been applied to the sensorless PMSM drives due to its simple algorithm and high robustness to the system structure and parameter variations. The defects of the conventional SMO are the chattering and phase delay problems, which have been partially solved by using the improved SMOs with sigmoid functions and a separate back EMF observer [12], [13]. To solve the problems completely, disturbance observers [14], [15] were proposed based on the back EMF model in the stationary reference frame or a rotating reference frame. By selecting proper observer gains, the stability of the disturbance observers can be guaranteed. However, it is usually not easy to design the gains of the disturbance observers due to some factors. First, the variations of the machine parameters used in the observers affect the accuracy of the position estimation, especially when both the d - and q -axis inductances have cross saturations. Moreover, since the measured currents are the inputs of the position observers, the current regulation quality and the position estimation will affect each other in the closed-loop sensorless FOC.

Recently, a new method called the active disturbance rejection control (ADRC) [16], [17] has attracted considerable attention due to its intrinsic ability of disturbance rejection and simple

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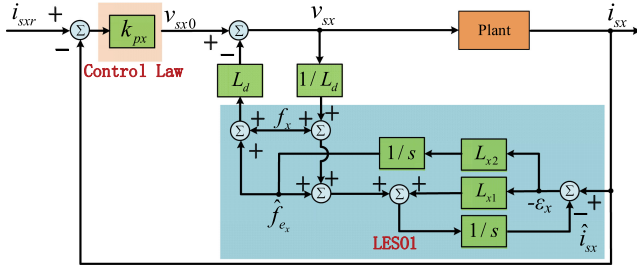


Fig. 2. Block diagram of the plant controlled by the LADRC scheme.

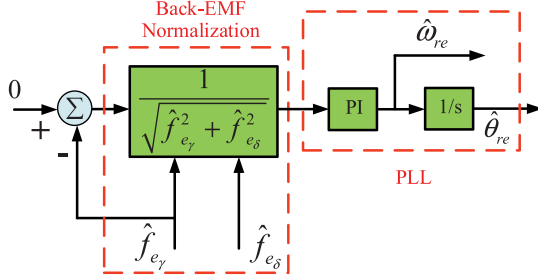


Fig. 3. Block diagram of the tracking controller for rotor position and speed estimation.

where $f_\gamma = \hat{\omega}_{re} \cdot L_q \cdot i_{s\delta} / L_d - R_s \cdot i_{s\gamma} / L_d$ and $f_\delta = -\hat{\omega}_{re} \cdot L_q \cdot i_{s\gamma} / L_d - R_s \cdot i_{s\delta} / L_d$, which represent the γ - and δ -axis known model information, respectively.

Then, a LESO-based external disturbance observer LESO1 can be designed as follows and is illustrated in Fig. 2:

$$\begin{cases} p\hat{i}_{sx} = v_{sx}/L_d + f_x + \hat{f}_{e_x} - L_{x1} \cdot \varepsilon_x \\ p\hat{f}_{e_x} = -L_{x2} \varepsilon_x \end{cases}, \quad x = \gamma \text{ and } \delta \quad (7)$$

where \hat{i}_{sx} is the estimated γ/δ -axis stator current; $\varepsilon_x = \hat{i}_{sx} - i_{sx}$ is the γ/δ -axis current estimation error; \hat{f}_{e_x} is the estimated γ/δ -axis unknown external disturbance; and L_{x1} and L_{x2} are the gains of the LESO1. Then, a simple tracking controller [20], [21] can be implemented to estimate the rotor position and speed by simply using a PLL to regulate the normalized value of the estimated γ -axis unknown external disturbance \hat{f}_{e_γ} , which contains the rotor position error information, to be zero, as shown in Fig. 3. The back EMF normalization guarantees a constant linear dynamic response of the tracking controller regardless of the operating fundamental frequency.

Compared with the conventional SMO-based rotor position estimation, there are two improvements in the proposed scheme. First, by applying the estimated synchronously rotating reference frame instead of the stationary reference frame in the SMO-based method, the back EMF is transformed into the dc components, which removes the need for the low-pass filter in the SMO-based method and, therefore, eliminates the phase delay in the estimated rotor position. Second, due to the use of a continuous integrator $1/s$ in the LESO1 to estimate the back EMF components, the chattering problem caused by the discrete sliding-mode function in the SMO is eliminated.

B. Design of the LADRC-Based Current Controller

According to the ADRC theory [16], the estimated external disturbance can be used as a feedforward compensation term for the input of the plant. Therefore, the final control law of the plant is designed as follows:

$$v_{sx} = v_{sx0} - L_d (f_x + \hat{f}_{e_x}) \quad (8)$$

where v_{sx0} is the plant input generated by the initial control law. Substituting (8) into (6) yields

$$p\hat{i}_{sx} = v_{sx0}/L_d - (f_x + \hat{f}_{e_x}) + f_x + \hat{f}_{e_x} \approx v_{sx0}/L_d. \quad (9)$$

Then, the plant combined with the LESO1, as shown in Fig. 2, is equivalent to an integrator $1/s$ in the Laplace domain. Then, a simple proportional current controller, as expressed by (10), can be designed to generate the voltage output v_{sx0} , which is compensated by the disturbance estimated by the LESO1, as expressed by (8), to generate the voltage output v_{sx} of the LADRC-based current controller

$$v_{sx0} = k_{px} \cdot (i_{sxr} - i_{sx}) \quad (10)$$

where k_{px} is the proportional gain and can be selected as the desired bandwidth of the current loop, which is determined as a tradeoff between the steady-state and transient performance.

III. PROPOSED ELADRC-BASED SENSORLESS FOC SCHEME CONSIDERING PMSM PARAMETER VARIATIONS

A. ELADRC-Based Rotor Position Estimation Algorithm

Since the LESO1 is designed based on the current model, the current regulation quality will also affect the accuracy of the position estimation. According to (7), machine parameters are still needed in the design of the LESO-based sensorless FOC scheme; and the variations of the machine parameters in the LESO1 will affect the current regulation quality, especially when both the d - and q -axis inductances have cross saturations.

The current model of the salient-pole PMSM considering the parameter variations is expressed as

$$\begin{cases} p\hat{i}_{s\gamma} = v_{s\gamma}/L_{d0} + f_\gamma + \hat{f}_{e_\gamma} + f_{id_\gamma} \\ p\hat{i}_{s\delta} = v_{s\delta}/L_{d0} + f_\delta + \hat{f}_{e_\delta} + f_{id_\delta} \end{cases} \quad (11)$$

where f_{id_γ} and f_{id_δ} represent the γ - and δ -axis unknown internal disturbances and are defined as follows:

$$\begin{aligned} f_{id_\gamma} = & -\frac{\Delta R_s}{L_{d0}} \cdot i_{s\gamma} - p \frac{\Delta L_d}{L_{d0}} \cdot i_{s\gamma} + \frac{\hat{\omega}_{re}}{L_{d0}} \Delta L_q \cdot i_{s\delta} \\ & - \left[\frac{(\Delta L_d - \Delta L_q)}{L_{d0}} (-p \cdot i_{s\delta} + \hat{\omega}_{re} \cdot i_{s\gamma}) + \frac{\hat{\omega}_{re} \cdot \Delta \psi_m}{L_{d0}} \right] \\ & (-\sin \Delta \theta_{re}) \\ f_{id_\delta} = & -\frac{\Delta R_s}{L_{d0}} \cdot i_{s\delta} - p \frac{\Delta L_d}{L_{d0}} \cdot i_{s\delta} - \frac{\hat{\omega}_{re}}{L_{d0}} \Delta L_q \cdot i_{s\gamma} \\ & - \left[\frac{(\Delta L_d - \Delta L_q)}{L_{d0}} (-p \cdot i_{s\delta} + \hat{\omega}_{re} \cdot i_{s\gamma}) + \frac{\hat{\omega}_{re} \cdot \Delta \psi_m}{L_{d0}} \right] \\ & (\cos \Delta \theta_{re}) \end{aligned}$$

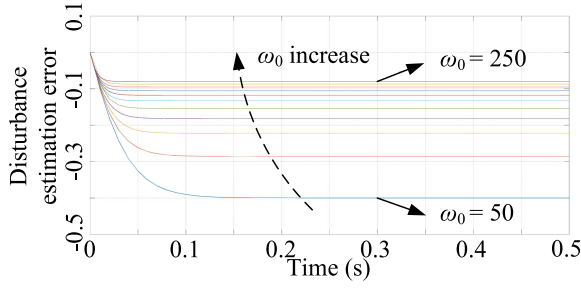


Fig. 6. Time-domain response of external disturbance estimation error at different bandwidths.

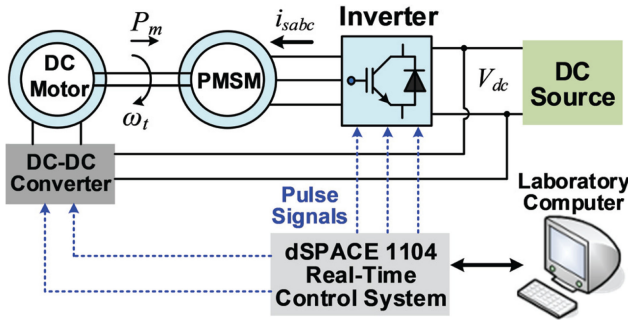


Fig. 7. Block diagram of the experimental system.

Then, the transfer function between the external disturbance estimation error and the actual external disturbance is

$$\frac{\hat{F}_{e_x}(s) - F_{e_x}(s)}{F_{e_x}(s)} = -\frac{s(s+L_{x1})}{s^2+L_{x1}s+L_{x2}} = -\frac{s(s+2\omega_0)}{s^2+2\omega_0s+\omega_0^2}. \quad (18)$$

Under a ramp-change excitation, i.e., the actual external disturbance, with a slope of 75, the time-domain response of the external disturbance estimation error is obtained, according to (18), and plotted in Fig. 6 for different bandwidths ω_0 changing from 50 to 250 rad/s with an increment of 20 rad/s. The results show that the bandwidth of the LESO1 has a significant impact on the disturbance estimation accuracy. When the bandwidth is higher, the disturbance estimation error becomes smaller and the tracking speed becomes faster. The tracking performance of the LESO2 can be analyzed in the same way.

V. EXPERIMENTAL RESULTS

A. Experimental Setup

Experimental studies are carried out for a 275-W salient-pole PMSM drive to evaluate the proposed ELADRC-based rotor position sensorless FOC scheme in comparison with the conventional [24] and adaptive [4] SMO-based sensorless FOC schemes and the LADRC-based sensorless FOC scheme. The PMSM is similar to the traction motor used in Toyota Prius but has a reduced scale. The block diagram and the hardware setup of the experimental system are shown in Figs. 7 and 8, respectively. The parameters of the PMSM and experimental system are listed in Table I. The FOC schemes are implemented in a dSPACE 1104 real-time control system. The same PI controller with

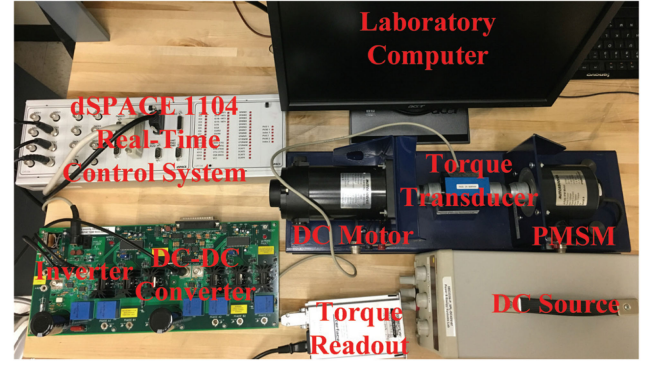


Fig. 8. Hardware setup of the experimental system.

 TABLE I
PARAMETERS OF THE PMSM AND EXPERIMENTAL SYSTEM

	Parameter	Value	Parameter	Value
PMSM	Rated Speed	1500 rpm	Stator Resistance	0.268 Ω
	Rated Power	275 W	<i>d</i> -axis Inductance	1.12 mH
	Rated Load Torque	1.8 N·m	<i>q</i> -axis Inductance	1.51 mH
	Moment of Inertia	7e-6 kg·m ²	Flux Linkage	0.0191 V·s
	Voltage Constant	13.5 V/rpm	# of Pole Pairs	2
Inverter	DC-Bus Voltage	41.75 V	Switching Frequency	10 kHz
Control System	Dead time	1 μ s	Sampling Period	100 μ s

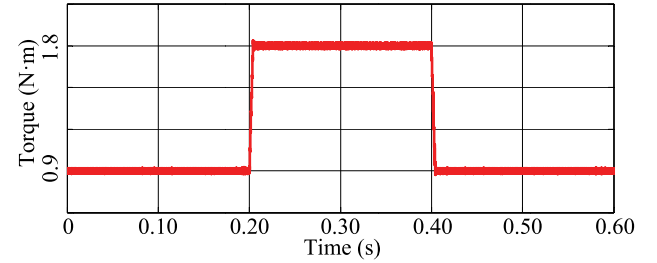


Fig. 9. Load torque for evaluating the four sensorless FOC schemes.

a bandwidth of 28.5 Hz is used for the speed loops of the four different sensorless FOC schemes. In the conventional and adaptive SMO-based sensorless FOC schemes, the bandwidth of the PI controllers used in the current loop is chosen to be 2000 Hz according to Astrom and Hagglund [23]. Moreover, the parameters of the LADRC- and ELADRC-based sensorless FOC schemes are the same: the bandwidth of the LESO1 and LESO2 is chosen to be 2000 Hz as a tradeoff between the current tracking performance and immunity to noise, and the proportional gain K_p of the current controller is set as 500.

B. Sudden Load Torque Changes at a Constant Speed Without PMSM Parameter Mismatch

The rotor position and speed estimation performance of the four sensorless FOC schemes is compared for the salient-pole PMSM operating at 1500 r/min, where the load torque is changed from 0.9 to 1.8 N·m and then back to 0.9 N·m with a slope of 75 N·m/s, as shown in Fig. 9. The corresponding rotor positions,

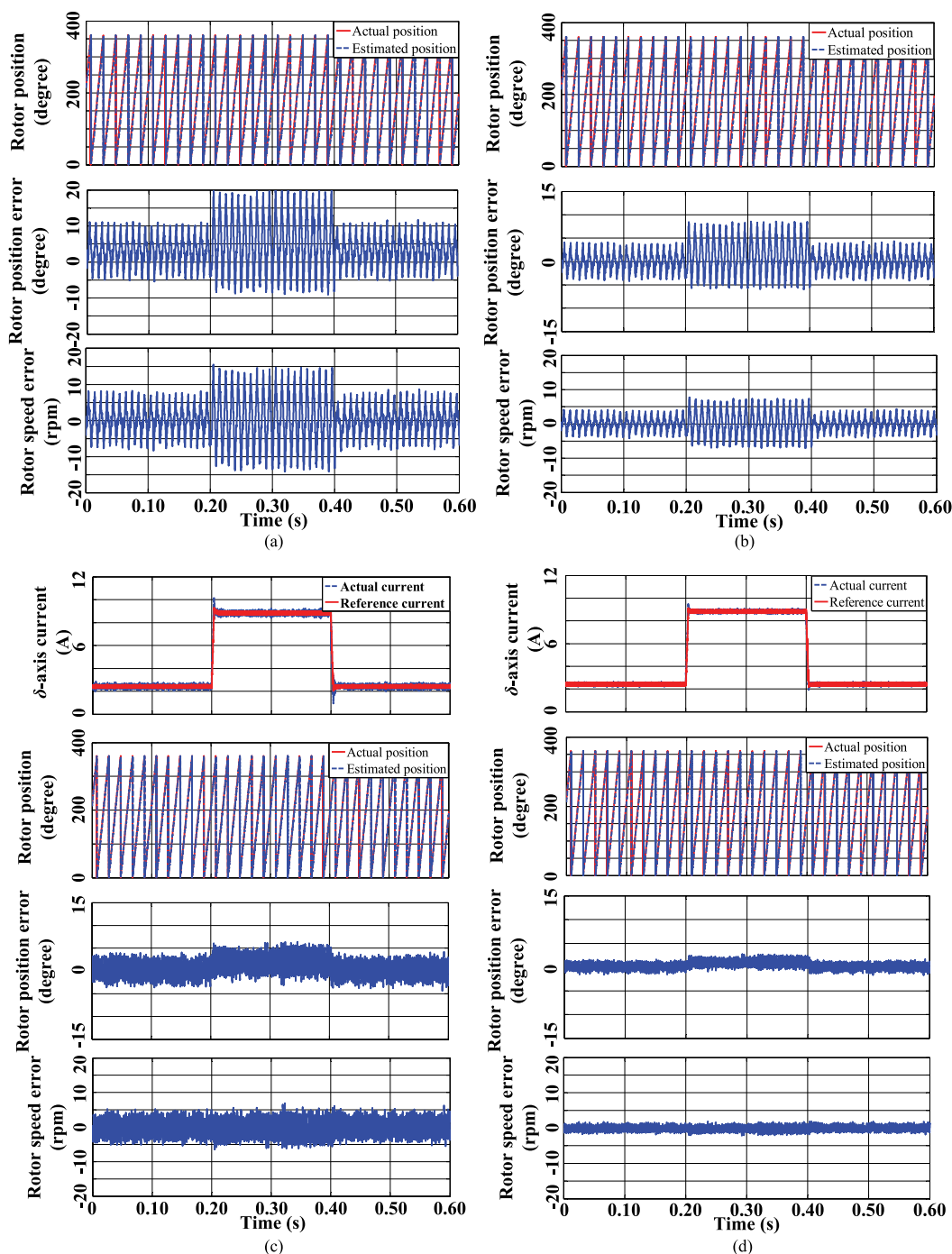


Fig. 10. Test results under sudden load torque changes: (a) conventional SMO-, (b) adaptive SMO-, (c) LADRC-, and (d) ELADRC-based sensorless FOC schemes.

TABLE II
PERFORMANCE COMPARISON OF THE FOUR SENSORLESS FOC SCHEMES
UNDER CONSTANT SPEED WITH SUDDEN LOAD TORQUE CHANGES

Performance Metric	Before/After Sudden Load Torque Change			
	Conventional SMO	Adaptive SMO	LADRC	ELADRC
Amplitude of rotor position estimation error (degree)	8.2/16	4.5/8	4/6	2.5/3
Amplitude of rotor speed estimation error (rpm)	8/15.3	4.9/7	4.7/5.2	1/1.2

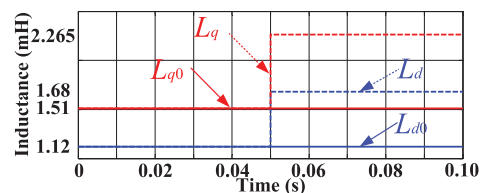


Fig. 11. Mismatch of the d - and q -axis inductances used by the four sensorless FOC schemes.

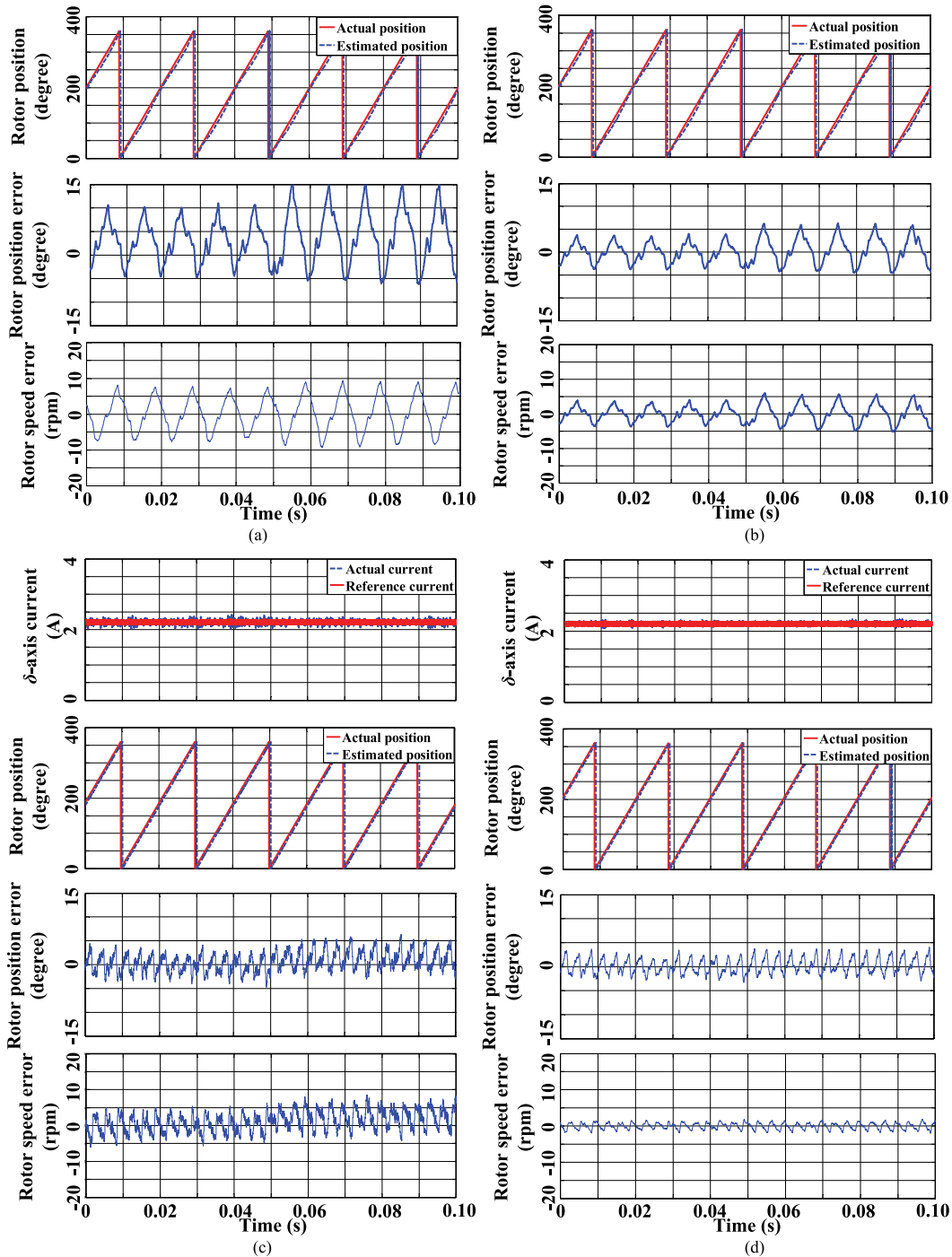


Fig. 12. Test results with an inductance mismatch: (a) conventional SMO-, (b) adaptive SMO-, (c) LADRC-, and (d) ELADRC-based sensorless FOC schemes.

rotor position estimation errors, and the rotor speed estimation errors of the system using the four sensorless FOC schemes are compared in Fig. 10 and Table II. These results show that before the load torque changes, the proposed ELADRC-based sensorless FOC scheme has the smallest position and speed estimation errors among the four sensorless FOC schemes, and the proposed LADRC-based sensorless FOC scheme is also better than the two SMO-based schemes. Compared to the conventional SMO-based scheme, the rotor position waveforms

of the adaptive SMO-based scheme have no dc offset, which means that there is no phase delay problem. However, there is still the chattering problem. For the proposed LADRC-based and ELADRC-based schemes, both the phase delay and the chattering problem presented in the SMO-based schemes are significantly mitigated. As discussed at the end of Section II-A, these improvements are due to the estimation of the back EMF in the estimated synchronously rotating reference frame instead of the stationary reference frame in the SMO-based schemes and

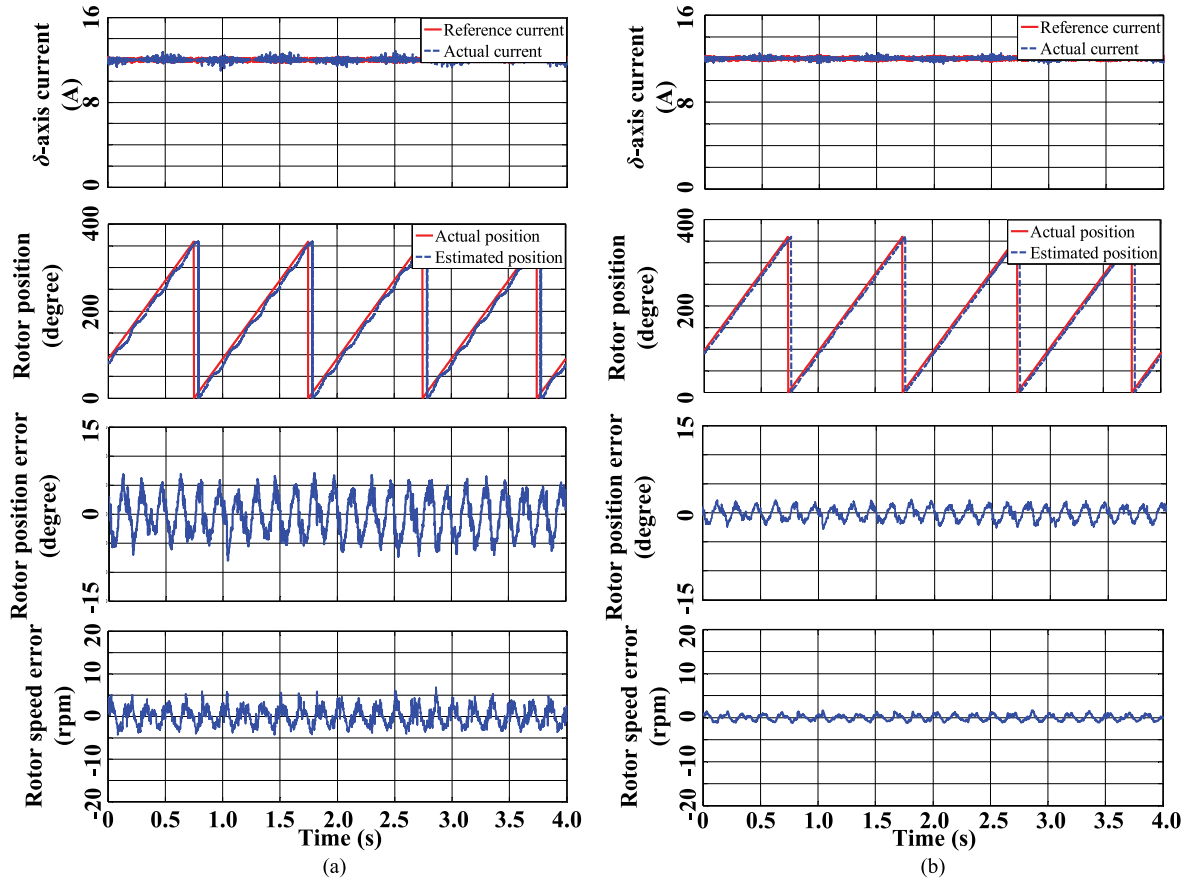


Fig. 13. Test results under a low speed with a large load torque: (a) LADRC- and (b) ELADRC-based sensorless FOC schemes.

the use of a continuous integrator $1/s$ in the LESO1 to estimate the back EMF components. The former ensures no phase delay problem while the latter significantly mitigates the chattering problem.

When the load torque changes suddenly, the rotor position and the speed estimation errors only increase slightly when using the ELADRC-based scheme but increase more significantly when using the other three schemes, particularly the two SMO-based schemes. With the help of the LESO2 to estimate and compensate for the internal disturbance, the current regulation quality of the ELADRC-based scheme is better than that of the LADRC-based scheme in both the steady-state and transient conditions, as shown in the PMSM δ -axis current plots in Fig. 10(c) and (d). Thus, the rotor position and speed estimation performance of the ELADRC-based scheme is better than that of the LADRC-based scheme.

C. Constant Load Torque and Constant Speed With PMSM Parameter Mismatch

The rotor position and speed estimation performance of the four sensorless FOC schemes is compared for the salient-pole PMSM operating at 1500 r/min, where the load torque reference is 0.9 N·m. Assume that the d - and q -axis inductances used by the four schemes are mismeasured to be 150% of L_{d0} and L_{q0} from 0.05 s onward, as shown in Fig. 11.

TABLE III
PERFORMANCE COMPARISON OF THE FOUR ROTOR POSITION SENSORLESS FOC SCHEMES UNDER PARAMETER MISMATCHES

Performance Metric	Before/After Parameter Mismatches			
	Conventional SMO	Adaptive SMO	LADRC	ELADRC
Amplitude of rotor position estimation error (degree)	8.2/12	4.5/5.2	4/4.4	2.5/2.5
Amplitude of rotor speed estimation error (rpm)	8/10	4.9/5.1	4.7/4.9	1/1

The rotor positions, rotor position estimation errors, and the rotor speed estimation errors of the system using the four sensorless FOC schemes are compared in Fig. 12 and Table III. These results show that the ELADRC-based sensorless FOC scheme has the best rotor position and speed estimation performance among the four schemes. When the parameter mismatch occurs, the rotor position and speed estimation errors do not change at all when using the ELADRC-based scheme but increase significantly or obviously when using the other three schemes. The robustness of the ELADRC to the parameter mismatch is achieved by using the LESO2, which estimates the internal disturbance caused by the parameter mismatch and compensates the estimated disturbance in the current control loop to improve the current regulation quality of the ELADRC-based

scheme over the LADRC-based scheme, as shown in the first plots of Fig. 12(c) and (d).

D. Low-Speed Operation With a Large Load Torque

To verify the superior performance of the ELADRC-based sensorless FOC scheme under low-speed conditions, which are more challenging for the back EMF based rotor position sensorless control methods, the salient-pole PMSM with each of the four sensorless FOC schemes was tested at 30 r/min with a load torque of 2.16 N·m, which is 120% of the rated value. At such a low speed and large load torque condition, the two SMO-based sensorless FOC schemes failed to control the PMSM; however, both the LADRC- and the ELADRC-based sensorless FOC schemes controlled the PMSM well, as shown in Fig. 13. With the help of the LESO2 to estimate and compensate for the internal disturbance, the current (e.g., δ -axis current) regulation quality of the ELADRC-based scheme is better than that of the LADRC-based scheme; and the amplitudes of the rotor position and speed estimation errors of the ELADRC-based scheme are 2.5° and 1.1 r/min, respectively, which are much smaller than 6.8° and 5.2 r/min, respectively, of the LADRC-based scheme.

VI. CONCLUSION

An ELADRC-based rotor position sensorless FOC scheme was proposed for the PMSM drives in an estimated synchronously rotating reference frame. The proposed scheme does not have the phase delay or chattering problem as seen in the conventional SMO-based sensorless FOC schemes. In addition, the current regulation quality of the proposed scheme was improved by timely estimating and compensating for the internal disturbances, such as the parameter and current regulation quality variations in the current control loop, which further improved the position estimation performance. The stability of the closed-loop PMSM drive system with the ELADRC-based sensorless FOC scheme was analyzed. Based on the analysis, the parameters of the ELADRC were designed. The performance of the ELADRC-based scheme was validated by the experimental results for a 275-W salient-pole PMSM drive. The experimental results showed that the PMSM drive using the ELADRC-based scheme was not affected by PMSM parameter mismatches at all and had a better rotor position estimation performance than that using the conventional and adaptive SMO-based and the LADRC-based sensorless FOC schemes.

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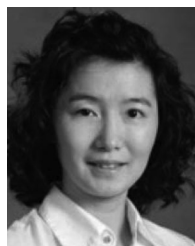


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