The image shows the front cover of the book "DEEP LEARNING" by Ian Goodfellow, Yoshua Bengio, and Aaron Courville. The cover features a colorful, abstract illustration of a park scene with trees, flowers, and a building in the background. A white rectangular box on the left side contains the title "DEEP LEARNING" in large, bold, black capital letters, followed by the authors' names in smaller black text.

# DEEP LEARNING

Ian Goodfellow, Yoshua Bengio,  
and Aaron Courville

# DEEP LEARNING FOR SYSTEM 2 PROCESSING

## YOSHUA BENGIO

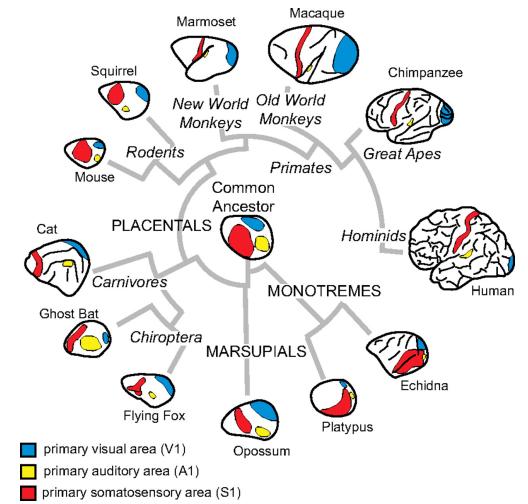
AAAI'2019 Invited Talk  
February 9th, 2020, New York City



**CIFAR** | **ICRA**  
CANADIAN INSTITUTE FOR ADVANCED RESEARCH  
INSTITUT CANADIEN DE RECHERCHES AVANCEES

# NO-FREE-LUNCH THEOREM, INDUCTIVE BIASES & HUMAN-LEVEL AI

- **No-free-lunch theorem** → there is no completely general intelligence, some inductive biases / priors are necessary
- **Generality & discoverability:** simpler less specialized priors are however more likely to be discovered by evolution and applicable to a broader set of contexts
- **Deep learning** already incorporates human-inspired priors
  - *Computation as composition of simpler pieces, neurons in layers, layers over layers* (*Pascanu et al ICLR 2014; Montufar et al NeurIPS 2014*)
  - *More powerful priors can bring up to an exponential advantage in sample complexity*

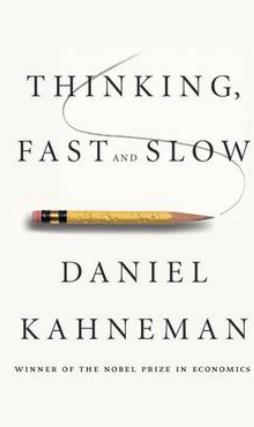


# SYSTEM 1 VS. SYSTEM 2 COGNITION

2 systems (and categories of cognitive tasks):

## System 1

- Intuitive, fast, **UNCONSCIOUS**, non-linguistic, habitual
- Current DL



## System 2

- Slow, logical, sequential, **CONSCIOUS**, linguistic, algorithmic, planning, reasoning
- Future DL



Manipulates high-level / semantic concepts, which can be recombined combinatorially

# MISSING TO EXTEND DEEP LEARNING TO REACH HUMAN-LEVEL AI

- **Out-of-distribution generalization & transfer**
- **Higher-level cognition: system 1 → system 2**
  - *High-level semantic representations*
  - *Compositionality*
  - *Causality*
- **Agent perspective:**
  - *Better world models*
  - *Causality*
  - *Knowledge-seeking*
- **Connections between all 3 above!**



## HYPOTHESES FOR CONSCIOUS PROCESSING BY AGENTS, SYSTEMATIC GENERALIZATION

- *Sparse factor graph in space of high-level semantic variables*
- *Semantic variables are causal: agents, intentions, controllable objects*
- Shared 'rules' across instance tuples (arguments)
- *Distributional changes due to localized causal interventions (in semantic space)*
- Meaning (e.g. grounded by an encoder) is stable & robust wrt changes in distribution
- Credit assignment is only over short causal chains

Proposal: what may be the evolutionary advantage of system 2 processing?



## DEALING WITH CHANGES IN DISTRIBUTION

# AGENT LEARNING NEEDS OOD GENERALIZATION

Agents face non-stationarities

Changes in distribution due to

- their actions
- ***ESPECIALLY:***  
*actions of other agents*
- different places, times, sensors, actuators, goals, policies, etc.



*Multi-agent systems: many changes in distribution  
Ood generalization needed for continual learning*

## SYSTEMATIC GENERALIZATION

- Studied in linguistics
- **Dynamically recombine existing concepts**
- Even when new combinations have 0 probability under training distribution
  - E.g. Science fiction scenarios
  - E.g. Driving in an unknown city
- Not very successful with current DL

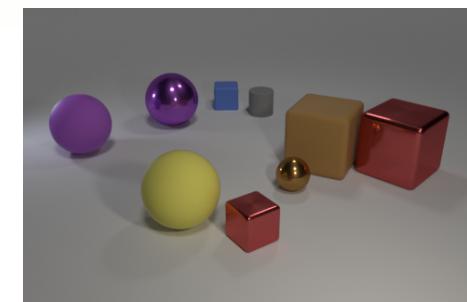
*(Lake & Baroni 2017)*

*(Bahdanau et al & Courville ICLR 2019)*

*CLOSURE: (Bahdanau et al & Courville arXiv:1912.05783) on CLEVR*



*(Lake et al 2015)*



## CONTRAST WITH THE SYMBOLIC AI PROGRAM



### Avoid pitfalls of classical AI rule-based symbol-manipulation

- Need efficient large-scale learning
- Need semantic grounding in system 1
- Need distributed representations for generalization
- Need efficient = trained search (also system 1)
- Need uncertainty handling

### But want

- Systematic generalization
- Factorizing knowledge in small exchangeable pieces
- Manipulating variables, instances, references & indirection

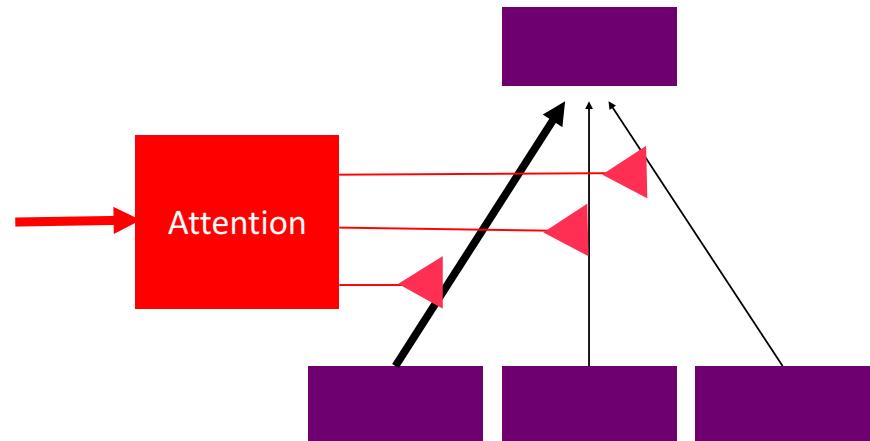


## **SYSTEM 2 BASICS: ATTENTION AND CONSCIOUS PROCESSING**

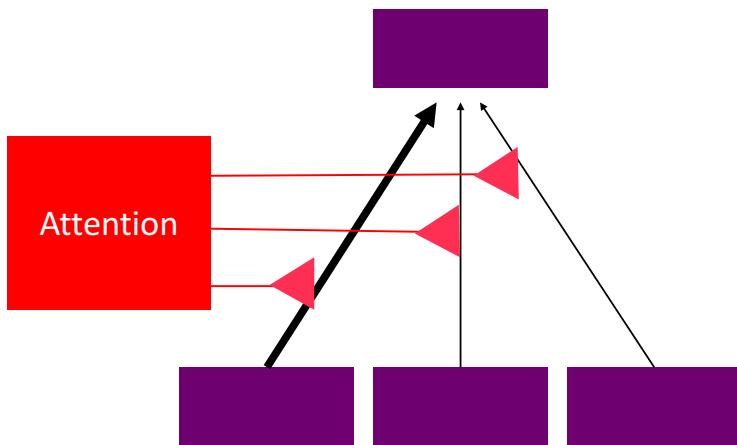
## CORE INGREDIENT FOR CONSCIOUS PROCESSING: ATTENTION

- **Focus** on a one or a few elements at a time
- **Content-based soft attention** is convenient, can backprop to *learn where to attend*
- Attention is an **internal action**, needs a **learned attention policy** (*Egger et al 2019*)
- Operating on unordered SETS of (key, value) pairs
- SOTA in NLP

(Bahdanau et al ICLR 2015)



## FROM ATTENTION TO INDIRECTION



- Attention = dynamic connection
- Receiver gets the selected value
- Value of what? From where?
  - Also send 'name' (or key) of sender
- Keep track of 'named' objects: indirection
- Manipulate sets of objects (transformers)

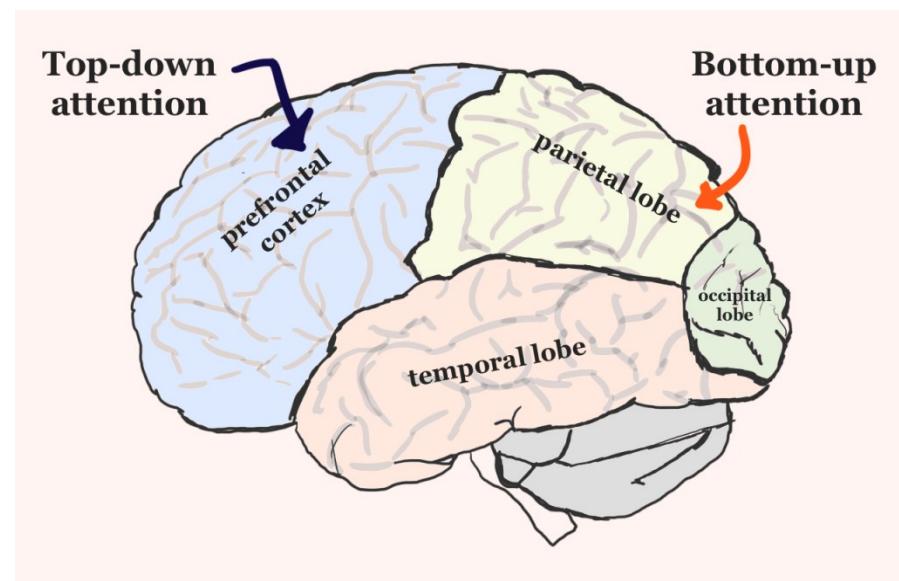
# FROM ATTENTION TO CONSCIOUSNESS

C-word not taboo anymore in cognitive neuroscience

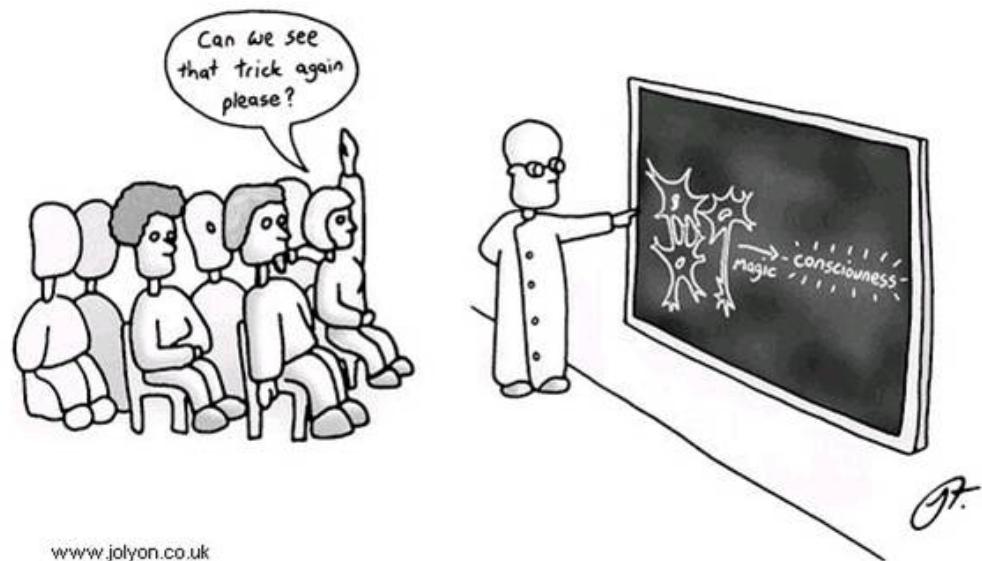
## Global Workspace Theory

(Baars 1988++, Dehaene 2003++)

- Bottleneck of conscious processing
  - *WHY A BOTTLENECK?*
- Selected item is broadcast, stored in short-term memory, conditions perception and action
- System 2-like sequential processing, conscious reasoning & planning & imagination



# ML FOR CONSCIOUSNESS & CONSCIOUSNESS FOR ML



- Formalize and test **specific hypothesized functionalities of consciousness**
- Get the magic out of consciousness
- Understand evolutionary advantage of consciousness: computational and statistical (e.g. systematic generalization)
- Provide these advantages to learning agents

# THOUGHTS, CONSCIOUSNESS, LANGUAGE

- Consciousness: from humans reporting
- High-level representations  $\Leftrightarrow$  language
- High-level concepts: meaning anchored in low-level perception and action  $\rightarrow$  **tie system 1 & 2**
- Grounded high-level concepts
  - $\rightarrow$  better natural language understanding
- **Grounded language learning**
  - e.g. BabyAI: (*Chevalier-Boisvert and al ICLR 2019*)



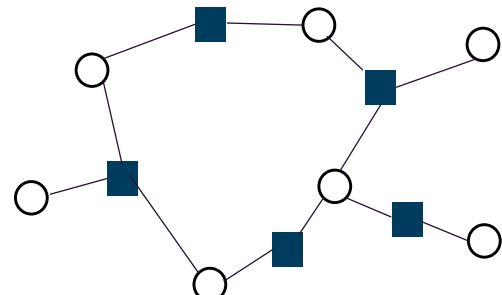
# WHY A CONSCIOUSNESS BOTTLENECK?

*THE CONSCIOUSNESS  
PRIOR*  
= SPARSE FACTOR  
GRAPH

# CONSCIOUSNESS PRIOR → SPARSE FACTOR GRAPH

*Bengio 2017, arXiv:1709.08568*

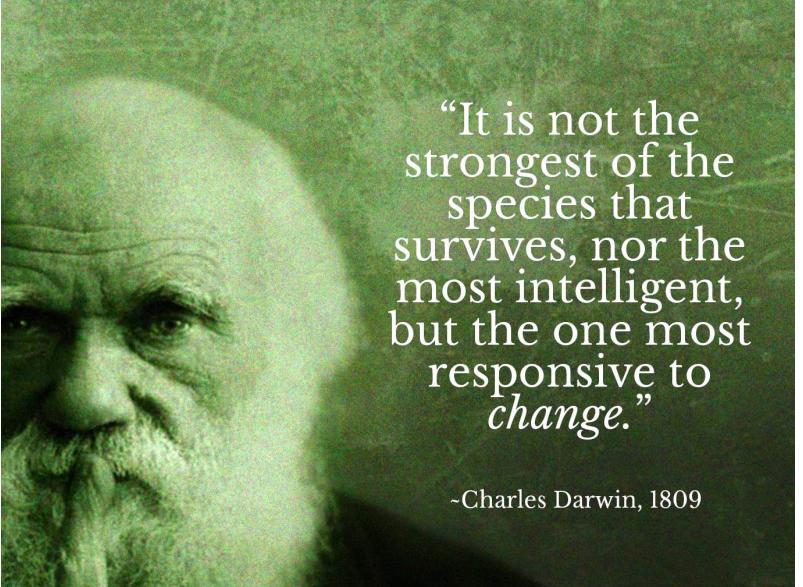
- Property of **high-level variables** which we **manipulate with language**:  
*we can predict some given very few others*
  - E.g. "if I drop the ball, it will fall on the ground"
- **Disentangled factors** != marginally independent,  
e.g. ball & hand
- **Prior**: sparse factor graph joint distribution between high-level variables
- Inference involves few variables at a time, selected by **attention mechanism** and memory retrieval





# META-LEARNING: END- TO-END OOD GENERALIZATION, *SPARSE CHANGE PRIOR*

# META-LEARNING FOR TRAINING TOWARDS OOD GENERALIZATION



“It is not the strongest of the species that survives, nor the most intelligent, but the one most responsive to change.”

~Charles Darwin, 1809

- Meta-learning or learning to learn  
*(Bengio et al 1991; Schmidhuber 1992)*
  - Backprop through inner loop or REINFORCE-like estimators
  - Bi-level optimization
    - Inner loop (may optimize something) → outer loss
    - Outer loop: optimizes  $E[\text{outer loss}]$  (over tasks, environments)
  - E.g.
    - Evolution ◦ individual learning
    - Lifetime learning ◦ fast adaptation to new environments
  - Multiple time-scales of learning
- **End-to-end learning to generalize ood + fast transfer**

# WHAT CAUSES CHANGES IN DISTRIBUTION?

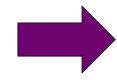
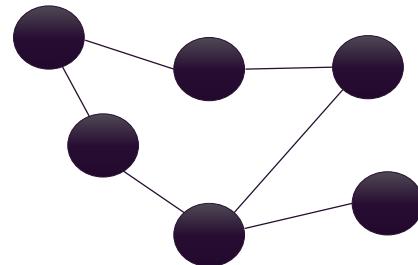
Hypothesis to replace iid assumption:

**changes = consequence of an intervention on few causes or mechanisms**

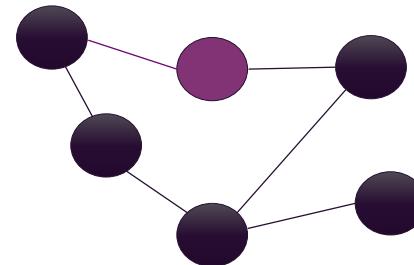
Extends the hypothesis of (informationally) Independent Mechanisms (*Scholkopf et al 2012*)

Underlying physics: actions are localized in space and time.

→ local inference or adaptation in the right model



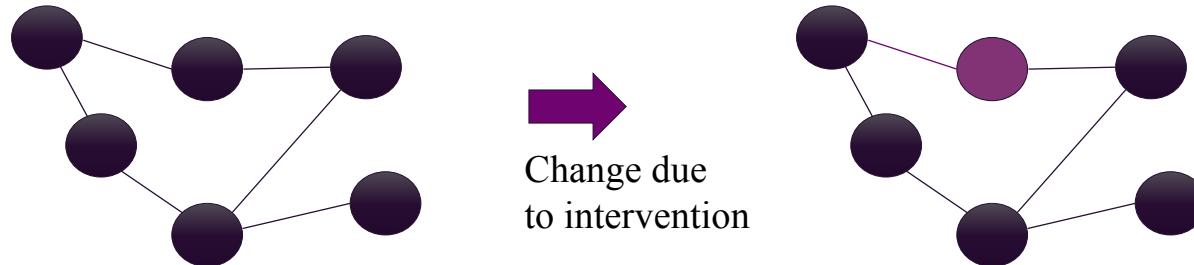
Change due  
to intervention



## COUNTING ARGUMENT: LOCALIZED CHANGE → OOD TRANSFER

**Good representation of variables and mechanisms + localized change hypothesis**

- few bits need to be accounted for (by inference or adaptation)
- few observations (of modified distribution) are required
- good ood generalization/fast transfer/small ood sample complexity



# META-LEARNING KNOWLEDGE REPRESENTATION FOR GOOD OOD PERFORMANCE

- Use ood generalization as training objective
- Good decomposition / knowledge representation → good ood performance
- Good ood performance = training signal for factorizing knowledge



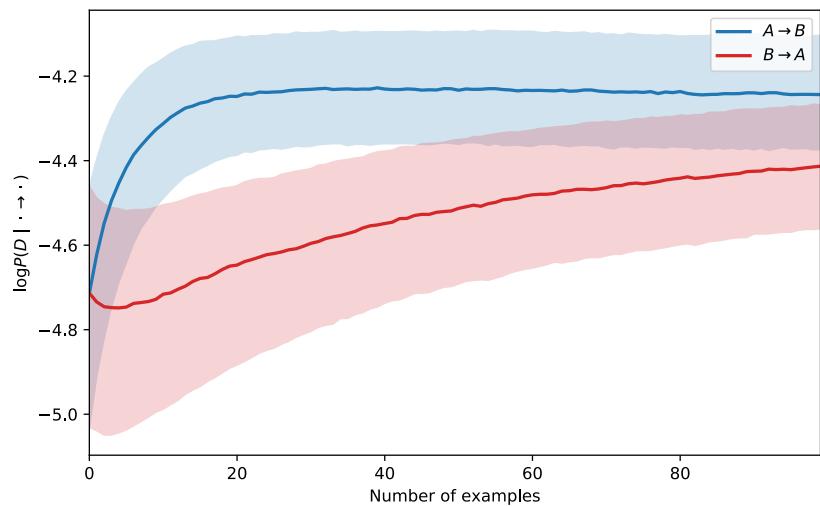
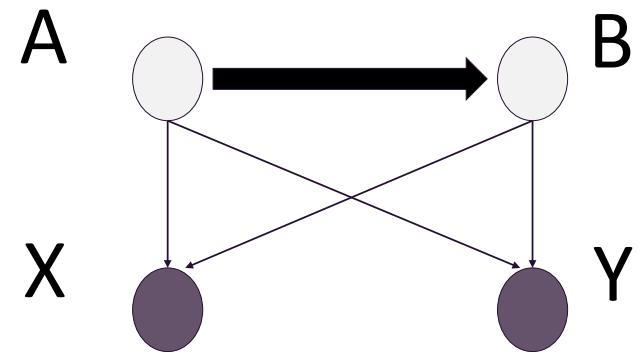
# EXAMPLE: DISCOVERING CAUSE AND EFFECT = HOW TO FACTORIZE A JOINT DISTRIBUTION?

## A Meta-Transfer Objective for Learning to Disentangle Causal Mechanisms

- Learning whether A causes B or vice-versa
- Learning to disentangle (A,B) from observed (X,Y)
- Exploit changes in distribution and speed of adaptation to guess causal direction

Bengio et al 2019 arXiv:1901.10912

- *Ongoing work: theory proving when the correct model converges faster by online SGD*



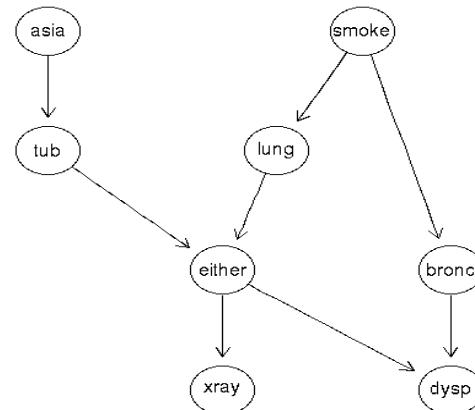
# EXAMPLE: DISCOVERING CAUSE AND EFFECT = HOW TO FACTORIZIZE A JOINT DISTRIBUTION?

## Learning Neural Causal Models from Unknown Interventions      *Ke et al 2019 arXiv:1910.01075*

- Learning small causal graphs, avoid exponential explosion of # of graphs by parametrizing factorized distribution over graphs
- With enough observations of changes in distribution: perfect recovery of the causal graph without knowing the intervention; converges faster on sparser graphs
- Inference over the intervention:  
faster causal discovery

Asia graph, CE on ground truth edges, comparison against other causal induction methods

Our method	(Eaton & Murphy, 2007a)	(Peters et al., 2016)	(Zheng et al., 2018)
0.0	0.0	10.7	3.1



*Consequence of the consciousness prior (sparse factor graph):*

# OPERATING ON SETS OF POINTABLE OBJECTS WITH DYNAMICALLY RECOMBINED MODULES



# RIMS: MODULARIZE COMPUTATION AND OPERATE ON SETS OF NAMED AND TYPED OBJECTS

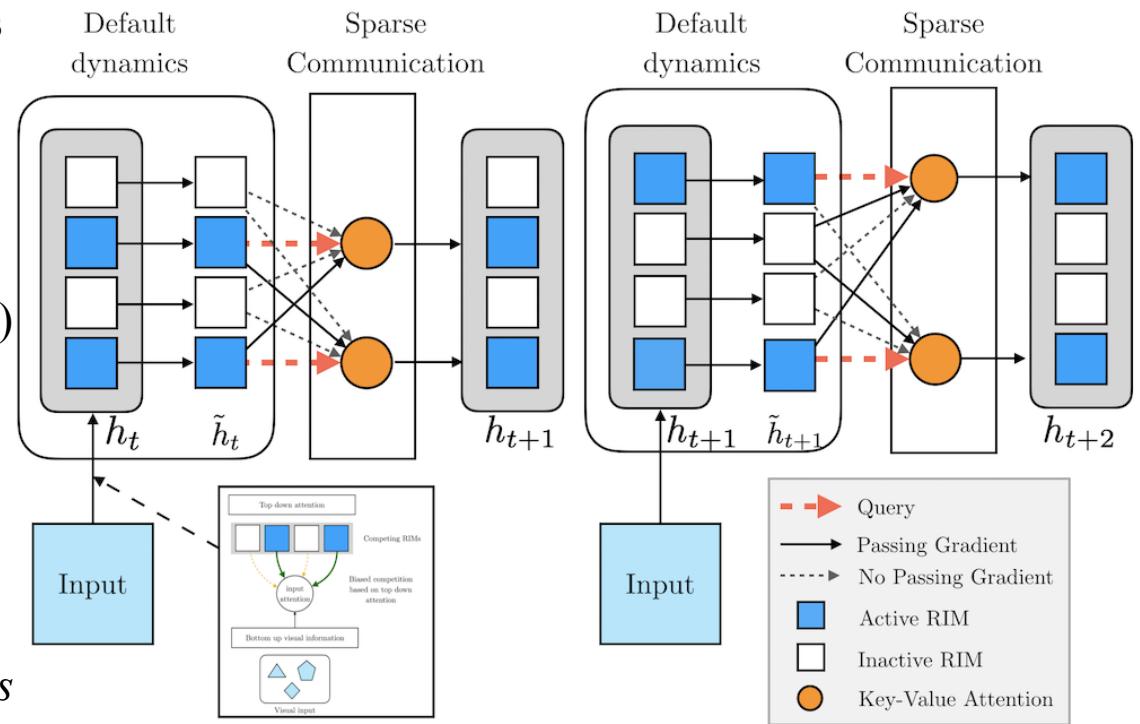
## Recurrent Independent Mechanisms

Goyal et al 2019, arXiv:1909.10893

Multiple recurrent sparsely interacting modules, each with their own dynamics, with object (key/value pairs) input/outputs selected by multi-head attention

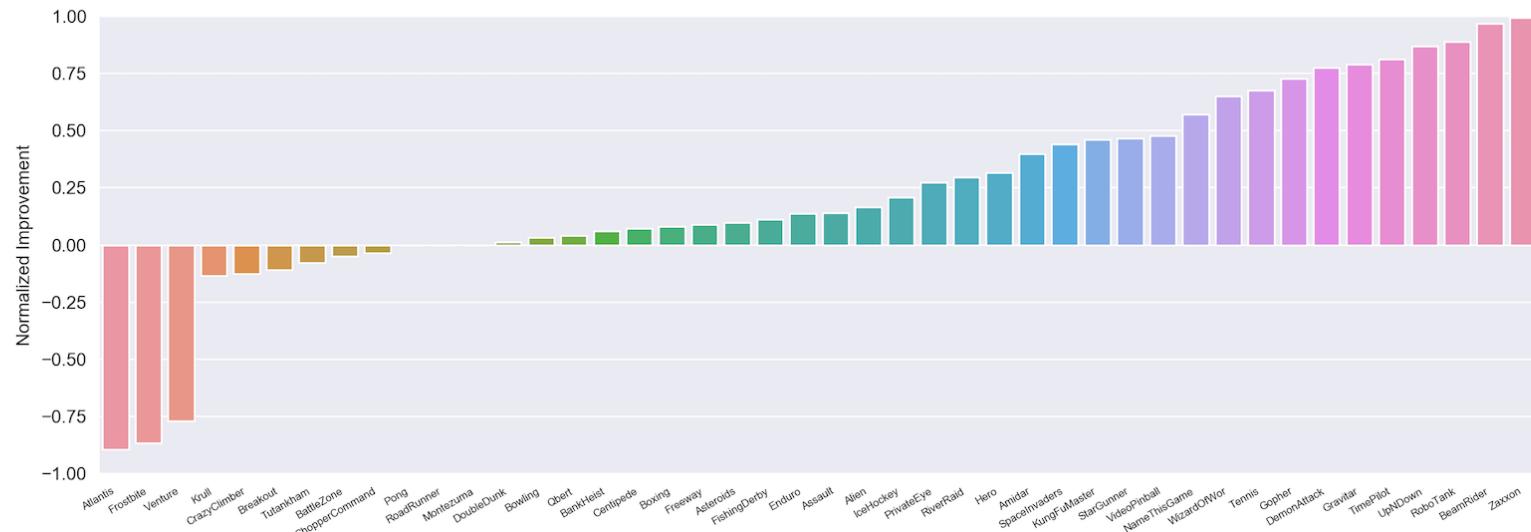
Results: better ood generalization

Ongoing work: hierarchy, top-down broadcasting, spatial layout of modules



## RESULTS WITH RECURRENT INDEPENDENT MECHANISMS

- RIMs drop-in replacement for LSTMs in PPO baseline over all Atari games.
- Above 0 (horizontal axis) = improvement over LSTM.



## HYPOTHESES FOR CONSCIOUS PROCESSING BY AGENTS, SYSTEMATIC GENERALIZATION

- *Sparse factor graph in space of high-level semantic variables*
- *Semantic variables are causal: agents, intentions, controllable objects*
- Shared 'rules' across instance tuples (arguments)
- *Distributional changes due to localized causal interventions (in semantic space)*
- Meaning (e.g. grounded by an encoder) is stable & robust wrt changes in distribution
- Credit assignment is only over short causal chains

# CONCLUSIONS

- After cog. neuroscience, time is ripe for ML to explore consciousness
- Could bring new priors to help systematic & ood generalization
- Could benefit cognitive neuroscience too
- Would allow to expand DL from system 1 to system 2



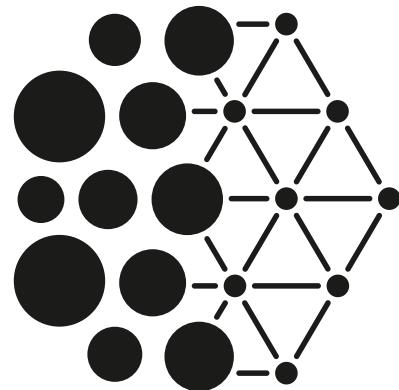
System 1



System 2

THANK YOU





# Mila

Université  de Montréal



McGill

Québec  **CIFAR**