

ENGR-3000:
Renewable Energy, Technology, and Resource Economics

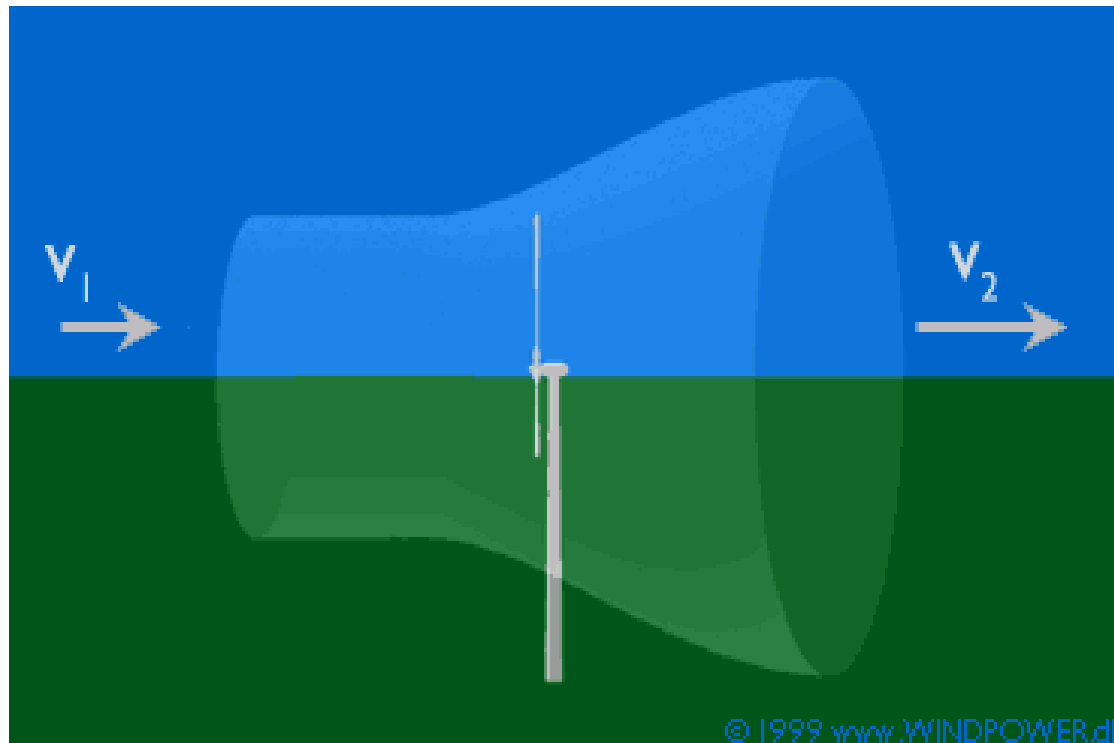
**Supplemental Lecture:
Betz Limit**

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Turbine Energy Extraction

- As the turbine transforms the kinetic energy of the wind into rotational energy of the generator shaft, the wind velocity decreases:



Constant mass flow rate: $\frac{\Delta m}{\Delta t} = \rho A_1 V_1 = \rho A_2 V_2$

Calculating Turbine Power

$$\text{Power} = \frac{1}{2} \rho C_p A V^3$$

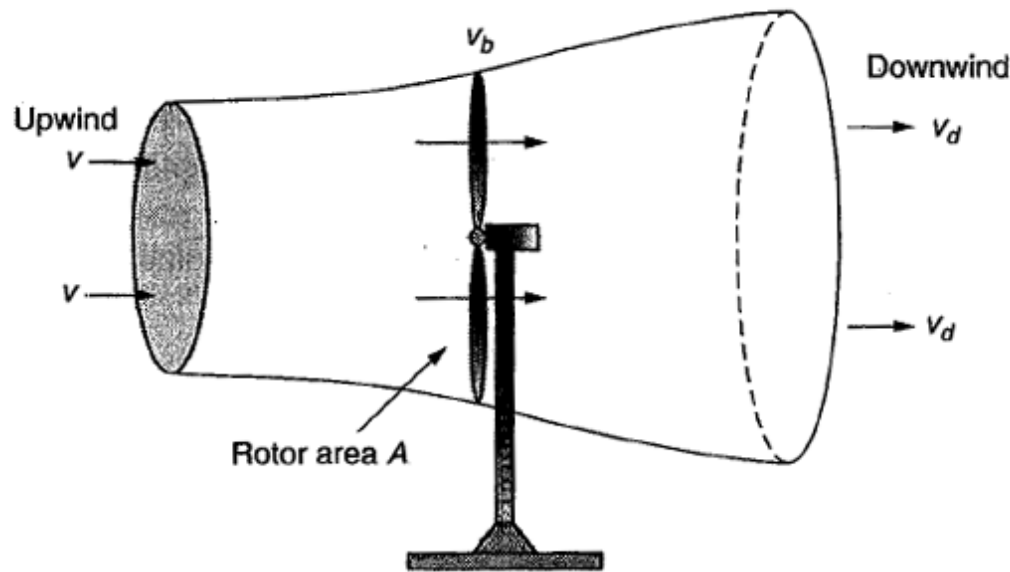
- Where:
 - ρ = air density (kg/m³)
 - C_p = Power coefficient ($0 < C_p < 1$)
 - Swept rotor blade area = $\pi d^2/4$ (m²) for HAWT
 - V = upstream (undisturbed) wind velocity
- For a conventional horizontal axis wind turbine HAWT, $A = (\pi/4)D^2$, so wind power is proportional to the blade diameter squared
- Cost is roughly proportional to blade diameter
- This explains why larger wind turbines are more cost effective

Maximum Rotor Efficiency

- Two extreme cases, and neither makes sense-
 - Downwind velocity is the same as the upwind velocity – turbine extracted no power
 - Downwind velocity is zero – turbine extracted all of the power
- Albert Betz 1919 - There must be some ideal slowing of the wind so that the turbine extracts the maximum power
- There is a limit on the ability of a wind turbine to convert kinetic energy in the wind into mechanical power extracted by the turbine

Maximum Rotor Efficiency

- As wind passes thru a turbine- it slows down and the pressure is reduced so it expands:



Turbine Power:

$$P_b = \frac{1}{2} \dot{m} (v^2 - v_d^2)$$

- \dot{m} = mass flow rate of air within stream tube
- v = upwind undisturbed windspeed
- v_d = downwind windspeed
- From the difference in kinetic energy between upwind and downwind air flows

Determining Mass Flow Rate

- Easiest to determine at the plane of the rotor because we know the cross sectional area A
- Then, the mass flow rate is

$$\dot{m} = \rho A v_b$$

- Assume the velocity through the rotor v_b is the average of upwind velocity v and downwind velocity v_d :

$$v_b = \frac{v + v_d}{2} \quad \Rightarrow \quad \dot{m} = \rho A \left(\frac{v + v_d}{2} \right)$$

Power Extracted by the Blades

- Then the turbine power equation becomes

$$P_b = \frac{1}{2} \rho A \left(\frac{v + v_d}{2} \right) (v^2 - v_d^2)$$

- Define λ (lambda) as the ratio of exit to inlet velocities:

$$\lambda = \frac{v_d}{v} \quad \Rightarrow \quad v_d = \lambda v$$

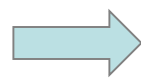
Thus:

$$P_b = \frac{1}{2} \rho A \left(\frac{v + \lambda v}{2} \right) (v^2 - \lambda^2 v^2)$$

Power Extracted by the Blades

$$P_b = \frac{1}{2} \rho A \left(\frac{v + \lambda v}{2} \right) (v^2 - \lambda^2 v^2)$$

$$\begin{aligned} \left(\frac{v + \lambda v}{2} \right) (v^2 - \lambda^2 v^2) &= \frac{v^3}{2} - \frac{\lambda^2 v^3}{2} + \frac{\lambda v^3}{2} - \frac{\lambda^3 v^3}{2} \\ &= \frac{v^3}{2} [(1 + \lambda) - \lambda^2 (1 + \lambda)] \\ &= \frac{v^3}{2} [(1 + \lambda)(1 - \lambda^2)] \end{aligned}$$


$$P_b = \underbrace{\frac{1}{2} \rho A v^3}_{P_W} \cdot \underbrace{\frac{1}{2} [(1 + \lambda)(1 - \lambda^2)]}_{C_P}$$

8 P_W = Power in the wind C_P = Power Coefficient

Maximum Rotor Efficiency

- Find the speed windspeed ratio λ which maximizes the power coefficient, C_P
- From the previous slide

$$C_P = \frac{1}{2}[(1 + \lambda)(1 - \lambda^2)] = \frac{1}{2} - \frac{\lambda^2}{2} + \frac{\lambda}{2} - \frac{\lambda^3}{2}$$

- Set the derivative $\partial C_P / \partial \lambda$ to zero and solve for λ :

$$\frac{\partial C_P}{\partial \lambda} = -\lambda + \frac{1}{2} - \frac{3\lambda^2}{2} = 0$$

$$3\lambda^2 + 2\lambda - 1 = (3\lambda - 1)(\lambda + 1) = 0$$

$$(3\lambda - 1) = 0 \Rightarrow \lambda = \frac{1}{3} \quad \leftarrow \text{maximizes rotor efficiency}$$

Maximum Rotor Efficiency: Betz Limit

- Plug the optimal value of $\lambda = 1/3$ back into C_P to find the maximum rotor efficiency:

$$C_P = \frac{1}{2} \left[\left(1 + \frac{1}{3} \right) \left(1 - \frac{1}{3^2} \right) \right] = \frac{16}{27} = 59.3\%$$

- The maximum efficiency of 59.3% occurs when air is slowed to 1/3 of its upstream rate
- Called the “Betz efficiency” or “Betz’ law”

Betz Limit

- The maximum fraction of available wind energy that can be extracted by a wind turbine rotor.
- The down stream velocity (v_2) cannot be zero, in fact, the smallest it can be is $v_1/3$.
- This corresponds to a $C_{p_{\max}} = 0.5926$