Computing Mondshein Sequence in Linear Time

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1 Background

We have seen before in lecture notes that canonical ordering is a useful tool for graph drawing, we used it to prove some planar graph properties e.g. Splitting to three trees, Visibility representation. A quick recall about the canonical ordering: Its a vertex order $v_1, v_2, ..., v_n$ of a triangulated planar graph where, $v_1v_2v_n$ is an outer face and all other vertices have at least two predecessors and at least one successor. Canonical order exist for any 3-connected planar graph [4], in these notes we extend the canonical ordering for non-planar graphs and make them applicable for an arbitrary 3-connected graphs.

The idea of canonical orderings was given much before, in 1971 by Lee F. Mondshein at M.I.T. in his PhD-thesis [5]. Mondshein proposed a sequence that generalizes canonical orderings to non-planar graphs. Mondshein's sequence was later, in 1988 were independently found by Cheriyan and Maheshwari [2] under the concept of non-separating ear decompositions. Complexity of calculating Mondshein sequences, is an intriguing question. Mondshein himself gave an algorithm with running time of $O(m^2)$. Cheriyan in his work achieved a running time of O(nm) by using Tutte's theorem that proves the existence of non-separating cycles in 3-connected graphs [8]. The challenge of achieving a sub-quadratic time for calculating Mondshein sequences was still open. The work by Jens M. Schmidt [7] presents the first algorithm that computes a Mondshein sequence in O(m) time and space, and this will be the major focus of these notes. The motivation for computing Mondshein sequence in sub-quadratic time stems around three main applications of it, that can now be solved in linear-time. First, computing three independent spanning trees in a 3-connected graph in linear time. Second, linear time processing of the output-sensitive data structure by Di Battista et. al. [3] that reports three internally disjoint paths between any given vertex pair. Third, a simple linear-time planarity testing.

2 Ear Decomposition and Mondshein sequence

This section will give an overview of ear-decomposition and Mondshein-sequences.

2.1 Ear Decomposition

Definition 1. An ear decomposition of a 2-connected graph G = (V, E) is a decomposition $G = (P_0, P_1, ... P_K)$ that partitions E, where P_0 is a cycle and $P_i, 1 \le i \le K$ is a path with only its two distinct end vertices in common with $(P_0 \cup P_1 \cup ... \cup P_{i-1})$. Each P_i is called an ear.

Now we will define some terms associated with an ear decomposition. An ear is called short if it is an edge and long otherwise. For any i, let $G_i = (P_0 \cup P_1 \cup ... \cup P_i)$ and $\overline{V_i} := V - V(G_i)$, and $\overline{G_i}$ is a graph induced by $\overline{V_i}$. Note that, $\overline{G_i}$ does not necessarily contain all edges in $E - E(G_i)$, there can be short ears which have both the end points in G_i . For a path P and two of its vertices x and y, P[x, y] be the sub-path in P from x to y. A path with endpoints v and w is called a vw-path. A vertex x in a vw-path is called an inner

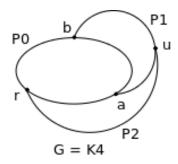


Figure 1: An example of ear decomposition of a K_4 containing 3 ears.

vertex if $x \notin \{v, w\}$. For simplicity we assume that every vertex in P_0 is an inner vertex. inner(P) denotes a set of inner vertices of an ear P. For an edge $e \in G$, birth(e) is the index i where e is born, i.e. i such that P_i contains e. Similarly, for a vertex $v \in G$, birth(v) is the index i of the first path P_i that contains v, in other words, $P_{birth(v)}$ is the ear containing v as an inner vertex.

Definition 2. Let $D = (P_0, P_1, ... P_K)$ be an ear decomposition of G. D is defined as a non-separating ear decomposition if, for all $i, 0 \le i \le k$, $\overline{G_i}$ is connected and each internal vertex of ear P_i has a neighbor in $\overline{G_i}$.

We will now see how canonical ordering for a plane graph can be expressed using a non-separating ear decomposition.

Definition 3. Canonical ordering (another definition). Let G be a 3-connected plane graph having edges v_1v_2 and v_2v_n on its outer face. A canonical ordering with respect to v_1v_2 and v_2v_n is an ear decomposition D of G such that:

- 1. $v_1v_2 \in P_0$,
- 2. $P_{birth(v_n)}$ is the last long ear, that contains v_n as its only inner vertex and does not contain v_2v_n , and
- 3. D is non-separating.

How is the above definition similar to old definition (Def. 8.1 in lecture notes) of canonical ordering? Recall, old definition (Def 8.1 in lecture notes) also had v_1, v_2, v_n fixed on the outer triangular face. Edge v_1v_2 was first fixed on the outer face and we incrementally formed G by adding v_{k+1} to G_k at each step. Vertex v_{k+1} was adjacent to two or more outer-face vertices of G_k , in other words G_k was connected to $\overline{G_k}$, hence property 3 makes sense. Vertex v_n was added at last, one can imagine arc $v_1v_nv_i$, $i \notin \{1, 2, n\}$ as a last long ear where v_n is an inner vertex. The difference (between Def 3 and Def 8.1 in lecture notes) lies in property 2, remember that in lecture we saw canonical ordering for a triangulated graphs, but Def. 3 is for any 3-connected graph, therefore vertex v_n should have degree at least three. Hence, long ear $P_{birth(v_n)}$ cannot contain v_2v_n ; v_2v_n should be a short ear. It is also evident that adding vertex v_3 forms a cycle $v_1v_2v_3$, think of it as P_0 in the above definition.

2.2 Mondshein sequence

Now we will see how can Definition 3 be extended for non-planar graphs. Notice that Definition 3 uses planarity only at one place: we assumed edges v_1v_2 and v_2v_n are on the outer face. By dropping this assumption we can generalize Definition 3 for non-planar graphs, all we need is that v_1v_2 and v_2v_n should be edges in graph G.

In 1971, Mondshein used a similar definition to define a (2,1) – sequence [[5] Def. 2.2.1], but it was in the notation of a special vertex ordering. For conciseness, we will stick to the ear-decomposition based definition in these notes, which is similar to the one given by Cheriyan [2].

Definition 4. ([5], [2]). Let G be a graph with an edge v_2v_n . A Mondshein sequence avoiding v_2v_n is an ear decomposition D of G such that:

- 1. $v_2 \in P_0$
- 2. $P_{birth(v_n)}$ is the last long ear, that contains v_n as its only inner vertex and does not contain v_2v_n , and
- 3. D is non-separating.

In other words the edge v_2v_n is added last in D as a short ear, right after the last long ear $P_{birth(v_n)}$ has been added, and as a direct consequence of property (2) and (3), G must have a minimum degree 3 to have a Mondshein sequence. This has also been explained after Def. 3. Moreover, Mondshein in his thesis [5] proved that every 3-connected graph has a Mondshein sequence. If D satisfies property (1) and (2) it is said to avoid v_2v_n .

3 Computing a Mondshein Sequence

As mentioned in Section 1, Mondshein himself gave an $O(m^2)$ algorithm to compute his sequence [5], later Cheriyan gave an O(mn) time algorithm [2]. The main focus of these notes is the linear time algorithm proposed by Schmidt [7] to compute a Mondshein sequence. At the core of Schimdt's algorithm lies a classical construction of 3-connected graphs proposed by Barnette and Grunbaum [1] and Tutte [[9], Thms. 12.64 and 12.65]. Before describing the Schimidt's algorithm, we will first see what are BG-Operations.

3.1 BG-Operations

Definition 5. The following operations on simple graphs are BG-operations (See Fig 2):

- 1. vertex-vertex-addition: Add an edge between two distinct non-adjacent vertices.
- 2. edge-vertex-addition: Subdivide an edge ab, $a \neq b$, by a vertex v and add an edge vw where $w \notin \{a, b\}$.

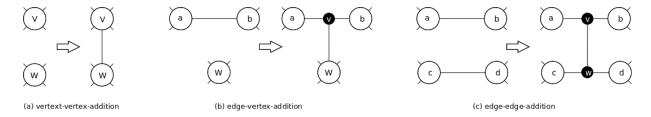


Figure 2: BG Operations as described in Def. 5.

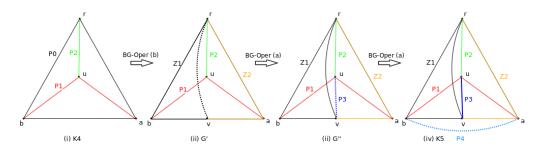


Figure 3: Obtaining K_5 from K_4 using BG-operations. Pi and Zi shows the ear decomposition. Dotted line denotes the newly added edge.

3. edge-edge-addition: Subdivide two distinct edges by vertices v and w, respectively, and add the edge vw.

Barnette and Grunbaum, and Tutte also gave the following Theorem:

Theorem 1. ([1], [9]). A graph is 3-connected if and only if it can be constructed from K_4 using the BG-operations.

It can be seen from Theorem 1 that applying BG-operation on 3-connected graphs keeps them simple and 3-connected. Figure 3 gives an example of obtaining K_5 from K_4 using BG-operations. A sequence of BG-operations that construct G from K_4 is known as BG-sequence. Theorem 6 and 52 in [6] shows that BG-sequence of a 3-connected graph can be computed in time O(m).

3.2 Algorithm

We will first give an overview of the algorithm and later go into the detailed cases. The algorithm starts with computing a Mondshein sequence of K_4 , which is easy. Then using Theorem 1 we construct our G in a step by step manner from K_4 , this can be done in linear time, as BG-sequence can be computed in linear time. Our claim is that, each BG-operation in the BG-sequence of G' to G, modifies the Mondshein sequence in a well defined way given by Lemma 2. In other words there are finite number of cases to which each BG-operation can be mapped while transforming G' to G, and each of those cases have finite number of additions to the Mondshein sequence of G'. This gives us a Mondshein sequence of G' from the Mondshein sequence of G'.

Example 1. For example, Figure 3 explains the changes in Mondshein sequence when obtaining K_5 from K_4 . Mondshein sequence of K_4 is [P0, P1, P2] (follow the color coding). After applying BG - Oper(b) on K_4 , which maps to case 2aiii of Lemma 2 (defined later), we get G' and mondshein sequence is [Z1, Z2, P1, P2]. After BG - Oper(a) on G', which maps to case 1 of Lemma 2, we get G" and Mondshein sequence is [Z1, Z2, P1, P2, P3]. In the final operation BG - Oper(a) which again maps to case 1 of Lemma 2, we get K_5 and final Mondshein sequence is [Z1, Z2, P1, P2, P3, P4].

Now we will define some notations for describing the modifications. Let Γ be a single BG-operation, that adds edge vw, if applicable, as described in Def. 5. Whenever we consider edges ab and cd, without loss of generality (w.l.o.g) we assume that $birth(a) \leq birth(b), birth(c) \leq birth(d)$ and $birth(d) \leq birth(b)$. Let set $S \subseteq \{av, vb, vw, cw, wd\}$ be the set of new edges added after operation Γ , see figure 2.

The following Lemma describes a detailed scheme of modifying Mondshein sequence for each possible scenario of applying Γ on G.

Lemma 2. There is a Mondshein sequence $D' = (P'_0, P'_1, ..., P'_{k+1})$ of G' avoiding ru (respectively, rv or rw if applicable) that can be obtained from $D = (P_0, P_1, ..., P_k)$ of G by performing the following four modifications:

- M1) replacing the long ear $P_{birth(b)}$ with $P'_{b1}, P'_{b2}, P'_{b3}$ in order and if applicable. Each P'_{bi} consists of edges in $P_{birth(b)} \cup S$.
- M2) if $P_{birth(cd)}$ is a long ear and birth(d) < birth(b), subdivide cd with w and replace $P_{birth(cd)}$ with the long ear $P'_{birth(cwd)}$.
- M3) if $P_{birth(ab)}$ is short, delete or replace $P_{birth(ab)}$ with an edge in $\{av, vb, vw\}$; if $P_{birth(cd)}$ is short, delete or replace $P_{birth(cd)}$ with an edge in $\{cw, wd\}$.
- M4) adding vw as new last ear, if applicable.

In particular, each Γ lies in one of the following cases and defines the construction of D' from D, also shown in Fig. 4:

- 1. Γ is a vertex-vertex-addition: use M4.
- 2. Γ is an edge-vertex-addition: This case will depend whether b is an inner vertex or not
 - (a) w.l.o.g. birth(b) = birth(ab), i.e. b is an inner-vertex. Let a' and b' be the endpoints of $P_{birth(b)}$ such that a' is closer to a than to b. Let P'_{avb} be the path obtained by subdividing ab in $P_{birth(b)}$ with v. This will have three cases depending upon w's position:
 - i. $w \notin G_{birth(b)}$ i.e. birth(w) > birth(b)Obtain P'_{avb} from $P_{birth(b)}$ and add ear vw at the end.

- ii. $w \in G_{birth(b)} P_{birth(b)}$ i.e. birth(w) < birth(b) and $w \notin \{a', b'\}$ Obtain P'_{avb} from $P_{birth(b)}$ and replace $P_{birth(b)}$ with $Z_1 = a'w - path$ and $Z_2 = vb' - path$ in this order to obtain D'.
- iii. $w \in P_{birth(b)}$ i.e. birth(w) = birth(b) or $w \in \{a', b'\}$ Obtain $Z = P'_{avb}$ from $P_{birth(b)}$. Let $Z_2 = vw - path$, $Z_1 = Z - Z_2 + vw$. (If $P_{birth(b)}$ is P_0 then there will be two vw-path, choose the one not containing r as an inner vertex.) Get D' by replacing $P_{birth(b)}$ with Z_1 and Z_2 in this order, (from now on we will call this process as applying $M1(Z_1, Z_2, \emptyset)$, with $P'_{b1} = Z_1, P'_{b2} = Z_2, P'_{b3} = \emptyset$.)
- (b) w.l.o.g. birth(b) < birth(ab) and $P_{birth(ab)} = ab$, i.e. b is not an inner vertex. Note that $ear\ P_{birth(ab)}$ cannot be long as a and b have to be born before ab. Again three cases depending upon w's position:
 - i. birth(w) > birth(b) $Replace\ P_{birth(ab)} = ab\ with\ ear\ av \cup vb\ and\ add\ ear\ ear\ vw\ at\ the\ end.$
 - $ii.\ birth(w) < birth(b)$: cases depending upon a's relation with b.
 - A. birth(a) < birth(b)If ab = ru, add new ear $wv \cup vu$ directly after $P_{birth(u)}$ and replace $P_{birth(ru)} = ru$ with rv. If $ab \neq ru$, add new ear $av \cup vw$ directly after $P_{birth(b)-1}$ and replace $P_{birth(ab)} = ab$ with vb.
 - B. birth(a) = birth(b) $Let Z_1 = av \cup vw \cup P_{birth(b)}[a', a] \text{ and } Z_2 = P_{birth(b)}[a, b']. \text{ Apply } M1(Z_1, Z_2, \emptyset)$ and $replace P_{birth(ab)} = ab \text{ with } vb.$
 - iii. birth(w) = birth(b)If birth(a) = birth(b) > 0, let a' and b' be the endpoints of $P_{birth(b)}$ such that a' is closer to a than to b. Cases depending upon a's relation with b:
 - A. birth(a) = birth(b) > 0 and w lies strictly between either a and a or b and b in $P_{birth(b)}$, (say w.l.o.g. between b and b)

 Let $Z_1 = av \cup vw \cup P_{birth(b)}[a', a] \cup P_{birth(b)}[w, b']$ and $Z_2 = P_{birth(b)}[a, w]$.

 Apply $M1(Z_1, Z_2, \emptyset)$ and replace $P_{birth(ab)} = ab$ with vb.
 - B. birth(a) = birth(b) > 0 and w lies strictly between a and b in $P_{birth(b)}$. Let $Z_1 = av \cup vb \cup P_{birth(b)}[a', a] \cup P_{birth(b)}[b, b']$ and $Z_2 = P_{birth(b)}[a, b]$. Apply $M1(Z_1, Z_2, \emptyset)$ and replace $P_{birth(ab)} = ab$ with vw.
 - C. birth(a) = birth(b) = 0In this case we are at P_0 , consider $P_0 = P_{ab} \cup P_{bw} \cup P_{wa}$, one of these path must contain r, say P_{ab} . Let Z be the union of remaining two paths, $Z = P_{bw} \cup P_{wa}$. Let P'_0 be the cycle 'arbva', and $Z_2 = Z$ added directly after P'_0 , and replacing $P_{birth(ab)} = ab$ with vw, i.e. connect v to vertex $j \in \{a, b, w\}$ that is not an endpoint of Z.
 - D. birth(a) < birth(b)Let b' and b" be the two endpoints of $P_{birth(b)}$ such that b' is closer to w than to b. If $b' \neq a$, $Z_1 = av \cup vw \cup P_{birth(b)}[w, b']$ and $Z_2 = P_{birth(b)}[w, b'']$.

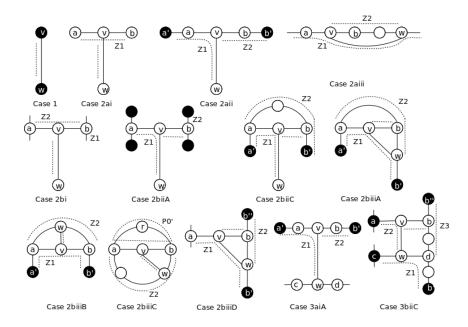


Figure 4: Case 1, 2 and 3 of the Lemma 2. Black vertices are the endpoints of the ears that are contained in $G_{birth(b)}$. Dashed paths are part of the ears in D'.

If
$$b' = a$$
, $Z_1 = av \cup vb \cup P_{birth(b)}[b', b'']$ and $Z_2 = P_{birth(b)}[b, b']$. Apply $M1(Z_1, Z_2, \emptyset)$ and replace $P_{birth(ab)} = ab$ with vw .

- 3. Γ is an edge-edge-addition: It will again depend whether b is an inner vertex or not. (Due to space constraints we will only see 2 of the 13 cases described in [7].)
 - 3aiA) birth(b) = birth(ab) (inner vertex), birth(d) < birth(b) and birth(cd) < birth(b). Let a' and b' be the endpoints of $P_{birth(b)}$ such that a' is closer to a than to b. Subdivide ab with v. Then $Z_1 = P_{birth(b)}[a', v] \cup vw$ and $Z_2 = P_{birth(b)}[v, b']$. $P_{birth(cd)}$ can be short or long. If $P_{birth(cd)} = cd$ (i.e. short), delete $P_{birth(cd)}$ and apply $M1(cw \cup wd, Z_1, Z_2)$. If $P_{birth(cd)}$ is long, apply M2 and $M1(Z_1, Z_2, \emptyset)$.
- 3biiC) birth(b) < birth(ab) and $P_{birth(ab)} = ab$, birth(d) = birth(b), birth(a) < birth(b) and $C \notin P_{birth(b)}$.

 Then $P_{birth(cd)} = cd$, have to be a short ear, because $C \notin P_{birth(b)}$, birth(d) = birth(b) and birth(c) < birth(d). Let b' and b" be the two endpoints of $P_{birth(b)}$ such that b' is closer to d than to b on $P_{birth(b)}$ (a may be in $\{b', b'', c\}$). There

can be cases where b=d, and b=d and $ru \in \{ab, cd\}$, but due to space constraint we will not go into their details. Consider $b \neq d$, $Z_1 = P_{birth(b)}[d, b'] \cup cw \cup wd$, $Z_2 = av \cup vw$ and $Z_3 = P_{birth(b)}[d, b'']$. Apply $M1(Z_1, Z_2, Z_3)$, delete $P_{birth(cd)} = cd$ and replace $P_{birth(ab)} = ab$ with vb.

4 Proof of Lemma 2

Completeness - We will first prove that Lemma 2 covers all the possible cases of BG-operations. Case (1) is simple and cannot have further subdivisions in the main case. Case (2), is an edge-vertex-operation. W.l.o.g we can choose either vertex a or b to look all possible relations with birth(ab), say b. Observe, only two possibilities, either b will be an inner vertex of $P_{birth(ab)}$ or not, hence birth(b) < birth(ab) or birth(b) = birth(ab). Third vertex in Case (2) is w, it can either be in $P_{birth(b)}$ or in $G_{birth(b)} - P_{birth(b)}$ or in $G_{birth(b)}$, and hence three cases under (2a) and (2b). See [7] for completeness of case (3).

D' is an ear decomposition - As the newly added ears in all the cases are paths, it is sufficient to show that only the first ear, P'_0 in D' is a cycle. The only cases which can modify P_0 are (2ai), (2aiii), (2bi), (2biiic), and some cases in (3) (see [7]). All of these cases can subdivide an edge in P_0 by a new vertex and replace a path in P_0 with a shorter one having the same endpoints. This will still maintain a cycle in D'. Hence D' is an ear decomposition.

D' avoids ru (rv or rw if applicable) - Recall Def 4, to prove this we need show that D' satisfy conditions (1) and (2) of Def. 4. To prove condition (1), it is sufficient to consider cases where P_0 is different from P'_0 , i.e. cases (2aiii), (2biiic) and some in case (3). In all the cases, a path of P_0 that does not contain r has been modified, therefore $r \in P'_0$ also. This shows condition (1) is satisfied.

To prove condition (2), first consider the vertex-vertex-addition: only a short ear is added at the end, hence satisfied. Now consider a edge-vertex-addition, first consider the case where v does not subdivide ru. $P_{birth(u)}$ is the last long ear in D, therefore $birth(u) \ge birth(b)$. If birth(u) > birth(b), that means change is in $P_{birth(b)}$ and $P_{birth(u)}$ is unchanged and is still a last long ear in D'. Thus, condition (2) satisfied for this case.

If birth(u) = birth(b), and as $P_{birth(b)}$ has b as inner vertex, it is long; this implies b = u as u is the only inner vertex of $P_{birth(u)}$. Now it will depend if $a \in P_{birth(b)}$, a must be a neighbor of the inner vertex b = u and birth(ab) = birth(b) follows and also $w \in G_{birth(b)}$, because we are at the last ear. This means we are in case (2aii) or (2aiii). In both these cases Z_2 (the last long ear) contains exactly b = u as the inner vertex and does not contain v, hence condition (2) satisfied. If $a \notin P_{birth(b)}$, birth(a) < birth(b) < birth(ab) and again $w \in G_{birth(b)}$, therefore we are in case (2biiA) with $a \ne r$. See that in this case $P_{birth(b)}$ remains unchanged and no long ear is added after $P_{birth(b)}$, hence condition (2) satisfied.

Now lets assume that v subdivides ru. This mean a = r and b = u with birth(a) < birth(b), as $r \in P_0$ and $u \notin P_0$. Also, as D satisfies condition (2), it cannot have ab = ru in its last long hear, hence, is itself a short ear. Also $w \in G_{birth(b)}$, hence we are in case (2biiA) with ab = ru. In this ear $wv \cup vb$ is added as last long ear and av is the new avoided edge of D', hence condition (2) satisfied.

See [7] for edge-edge-addition correctness.

D' is non-separating - Consider $D' = (P'_0, P'_1, ..., P'_{k+1})$ and let z be any inner vertex of P'_i . It suffices to prove that z has a neighbor in $\overline{G'_i} \neq \emptyset$. First consider the vertex-vertex-addition: it only adds a short ear at the end, hence the configuration of all long ears remains identical in D and D'. Given that D is non-separating, D' is also non-separating.

	(2ai)	(2aii)	(2aiii)	(2bi)	(2biiA)	(2biiB)	(2biiiA)	(2biiiB)	(2biiiC)	(2biiiD)
Neighbor of v	W	b	a or b	W	b	b	a or b	W	$inner(Z) \cap \{a, b, w\}$	b or w

Table 1: Neighbors of $v \in inner(P'_i)$ in $\overline{G'_i}$

Now consider a edge-vertex-addition. We will see an overview of the proof, due to space constraints. Observe that z=v is the only new inner vertex possible in D', compared to D. It is sufficient to show that z=v has a neighbor in $\overline{G'_i}$. Table 4 shows the neighbors of z=v in $\overline{G'_i}$ for all the sub-cases in case (2).

This ends the proof of Lemma 2. The paper [7] presents the Case (3) and its proof in more detail, and also presents 3 applications which can now be solved in linear time, because Mondshein sequence can be calculated in linear time. Due to space constraints we conclude these notes here.

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