

## Privacy Policy

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AIC and its representatives take your privacy seriously. We recognize our obligation to keep your personal information secure and confidential. **It is important for you to know that we do not sell information about current or former clients to anyone.**

### **Information we collect:**

When you obtain services from us, we collect information such as name, address, social security number, telephone number, email address, date of birth, income, employment, tax bracket and net worth.

### **How we collect information:**

We collect nonpublic personal information about you from applications, statements and other forms received from you and from other oral and electronic communications such as e-mail. We also receive information from transactions in your account(s) and may receive information from consumer reporting agencies.

### **Who we share the information with:**

As permitted by law, we may share information with third parties when necessary in order to provide the services you seek from us. An example would be the sharing of your information with a mutual fund or other such company in order to process your business. We may also provide nonpublic information about you to non-affiliated third parties as otherwise permitted or required by law. An example of this would be the sharing of your information with the Financial Industry Regulatory Authority or the Securities and Exchange Commission who oversee our activities.

Except as provided above and as permitted by law, we do not share your information with any non-affiliated third-persons.

### **If your relationship with us ends:**

If your relationship with us ends, we will continue to treat personal information in accordance with this Privacy Notice, which means that, except as provided above and as permitted by law, we will not disclose any nonpublic personal information about former customers to anyone.

### **Protecting your information:**

We restrict access to nonpublic personal information about you to those representatives and employees who need to know this information to provide products or services to you. We maintain physical, electronic and procedural safeguards to protect your nonpublic personal information.

You do not need to call or do anything as a result of this notice. It is meant to inform you of how AIC safeguards your nonpublic personal information.