

## New Systems and Algorithms for Preserving Big-data Privacy in Clouds

### Abstract:

Driven by the rapidly increasing amount of data, many application vendors (e.g., Uber) store data on clouds. Meanwhile, application vendors and third parties often write self-defined queries (e.g., map/reduce) to process the data. This has caused severe privacy problems. One problem is “computation leakage”: third-parties can easily acquire sensitive fields in data records (e.g., a credit card in an Uber transaction) using the self-defined queries. Existing techniques (e.g., differential privacy) add random noises to hide individual sensitive data, but due to the lack of precisely tracking how sensitive data flows to a query result, these techniques often add excessive noise and make query results useless. Another problem is “cloud privacy”: cloud providers can compromise on external attacks and easily steal the data being queried.

This proposal takes a holistic methodology to tackle both the two problems with three objectives. First, to prevent “computation leakage”, we will build Kakute, the first Data Flow Tracking (DFT) system for big-data queries. Kakute provides easy-to-use APIs for application vendors to tag sensitive fields in data records, and it automatically tracks and prevents these fields propagating to query results. An open challenge in existing DFT systems is that propagating tags in data-intensive queries is too slow. For instance, a notable DFT system incurred a 128X slowdown compared to native, unsecure queries in our study. By leveraging subtle efficiency natures of big-data queries, we will create two fast tag propagation techniques called Reference Propagation and Tag Sharing. Our Kakute preliminary prototype presented in [ACSAC '17] shows that it incurs merely 32.3% overhead compared to native queries.

Second, we will develop Fine-grained Differential Privacy (FDP), a novel differential privacy technique and its new algorithms. Compared to DFT, differential privacy has complementary strength because it enables the aggregation of sensitive data while hiding individual privacy. However, existing differential techniques are too coarse-grained: they often add excessive noise to all fields of all data records and make results useless due to the lack of precisely tracking data flow. By leveraging Kakute, our new FDP technique will only add noise to sensitive fields, preserving strong differential privacy for sensitive data and good usability for most results.

Third, to tackle the “cloud privacy” problem, we will leverage the Intel SGX hardware to build the first just-in-time, privacy-preserving Java compiler for unmodified big-data frameworks (e.g., Spark). Existing SGX-based systems for big-data frameworks have two major challenges: they need to rewrite the Java big-data queries into SGX-compatible C++, or their trusted computing base is too large (e.g., the entire JVM). Our new compiler will run only the self-defined Java queries in SGX with a thin, verified just-in-time translator, and we will create fast SGX runtime techniques to achieve reasonable overhead compared to native queries.

The success of this proposal will effectively preserve big-data privacy in clouds, potentially benefiting every computer user, software vendor, organization, and government.

**Long term impact:**

The big-data and cloud computing trends bring fascinating opportunities to all parties, including data providers (e.g., application vendors such as Uber), cloud providers (e.g., Amazon), and computation providers (e.g., application vendors and their third-party contractors).

Unfortunately, despite decades of effort, data leakage remains one of the most severe threats in clouds. In a data provider's perspective, both computation providers (e.g., the 2017 iCloud account leakage caused by third-parties) and cloud providers (e.g., the 2013 Yahoo Cloud compromise and the 2014 J.P. Morgan account leakage) have caused severe breaches on data privacy and huge financial loss.

Even in a private cloud (i.e., the data provider is the cloud provider), sensitive data such as credit cards, user identities, and healthcare records can easily be leaked in the self-defined queries written by computation providers (e.g., the 2017 iCloud leakage). Real-world breaches include directly acquiring particular user's identities from the query results and sending sensitive data outside the cloud through IO functions in the queries.

In the short term, we plan to accomplish both the Objective 1 and Objective 2 of this proposal, which can effectively prevent computation leakage in private clouds. Objective 1 proposes Kakute, the first Data Flow Tracking (DFT) system for big data queries. Although numerous DFT systems have been built to prevent accessing sensitive data in mobile phones and server programs, no DFT system exists for big-data frameworks. A key reason is that DFT's tag propagation incurs prohibitive overhead on data-intensive queries (e.g., we found a notable DFT system running with the WordCount query incurred a 128X slowdown compared to its native query).

Our key insight to address the DFT efficiency challenge is that most fields of a record often have the same tags. Leveraging this insight, we present two new techniques, Reference Propagation and Tag Sharing. To achieve a robust DFT architecture for distributed big-data frameworks (e.g., Spark), Kakute completely captures the frameworks' inter-computer data flows (i.e., shuffles). We have implemented a Kakute prototype and integrated it with Spark. Kakute carries built-in checkers for four security and reliability problems: sensitive data leakage, data provenance, programming bugs, and performance bugs. Kakute not only incurs a moderate performance overhead of 32.3% compared to native queries, but it also effectively detects 13 real-world security and performance bugs. These promising preliminary results have been presented in [ACSAC '17] and [TPDS '17].

In the security community, DFT and differential privacy are complementary: DFT enforces mandatory access control on sensitive data, but it may cause some critical query results to be missing; differential privacy allows the aggregation results of sensitive fields while hiding individual privacy, but due to the lack of precisely tracking data flow, it may suffer from excessively added noise and inaccurate (useless) query results. Therefore, the Objective 2 of

this proposal takes the first step to integrate DFT and differential privacy and to develop a novel Fine-grained Differential Privacy (FDP) technique, reaching the best of the both worlds.

In the intermediate term, we will tackle the “cloud privacy” problem in a public cloud (e.g., Amazon) by accomplishing Objective 3. Recently, Intel SGX has attracted high attention on protecting the privacy of data being queried, because it enforces hardware protection for the confidentiality of data and code even if the cloud compromises. Meanwhile, SGX is good fit for big-data queries because these queries are data-intensive in userspace and they hardly invoke system calls. Existing SGX-based systems for big-data have two major challenges: they need to rewrite the Java big-data queries into SGX-compatible C++, or their trusted computing base is too large. To tackle these two challenges, Objective 3 will build the first just-in-time, privacy-preserving Java compiler for unmodified big-data frameworks. Our new compiler will run only the self-defined Java queries in SGX with a thin, verified just-in-time translator, and the rest of the JVM is outside SGX without affecting the privacy of the data being queried. Therefore, our compiler will be the first work to support fast, unmodified Java big-data queries with minimal trusted computing base.

In the long term, by integrating the outcomes of all the three objectives in this proposal and extensively applying them to real-world big-data frameworks, we will help data providers enforce comprehensive privacy against both computation providers and cloud providers. This will benefits almost all individuals, software vendors, organizations, and governments. For instance, many HK finance entrepreneurs demand strong privacy for their data deployed in clouds. Moreover, we envision that the outcomes of this proposal will broadly promote other new security techniques, including strengthening the integrity and availability of real-world software.

**Objectives:****1. [To build the first Data Flow Tracking (DFT) system for private clouds]**

We will create Kakute, a fast DFT system that can track and prevent sensitive data leakage in self-defined big-data queries. We will make Kakute support diverse big-data queries on large, popular datasets, and we aim will design Kakute to incur reasonable performance overhead compared to the native, unsecure queries.

**2. [To develop a novel Fine-grained Differential Privacy (FDP) technique for private clouds]**

We will leverage Kakute to develop FDP and its new algorithm, which will only add noise to sensitive data fields, preserving strong differential privacy for sensitive data and good accuracy for most query results. We will extensively study FDP's accuracy improvements on both sensitive and insensitive data compared to existing differential privacy techniques.

**3. [To create the first compiler for big-data privacy in public clouds]**

Our compiler will support unmodified big-data frameworks by creating a thin translator to automatically convert Java bytecode to SGX-compatible code. We will verify the translator with state-of-the-art verification techniques so that our compiler will have a minimum trusted computing base (i.e., SGX only). We will evaluate whether our compiler can protect the privacy of data being queried against real, privileged attacks. We will evaluate the compiler's performance overhead.

# 1 Research Background

This section presents the background of big-data frameworks (§1.1), software-based privacy techniques (§1.2), hardware-based privacy techniques (§1.3), motivation (§1.4), and related work (§1.5 and §1.6).

## 1.1 Big-data computing frameworks

Big-data frameworks (e.g., Spark [74] and MapReduce [15]) are popular for computations on tremendous amounts of data. These frameworks provide self-defined Java functions (e.g., MAP/REDUCE) to let computational providers write their algorithms, and they automatically apply these functions on the data stored across computers in parallel.

To avoid excessive computation, big-data frameworks adopt a lazy transformation approach [48, 73, 74]. Spark often uses lazy transformations (e.g., MAP), and calls to these transformations only create a new data structure called RDD with *lineage* (the sequence of transformations for a data record). The actual transformations are only triggered when collecting operations (e.g., COLLECT, COUNT) are called. These collecting operations trigger transformations along lineages, so unnecessary computations are avoided. **Objective 1** will leverage lazy transformation to create a fast DFT technique called Reference Propagation (§2.1).

## 1.2 Software-based privacy techniques

Data Flow Tracking (DFT) is a mandatory access control technique for preventing sensitive information leakage [46]. DFT attaches a tag to a variable (or object), and this tag will propagate during computations on the variable at runtime. DFT has been applied to various areas, such as preventing sensitive information (e.g. GPS data and contacts) leakage in cellphone [23, 64], web services [51], and server programs [36]. To the best of our knowledge, no DFT system exists for big-data computing.

Complimentary to DFT, statistical techniques, including K-anonymization methods [39, 63] and differential privacy [40, 44, 54], allow the aggregation of sensitive data while adding random noise to preserve individual privacy. However, these statistical techniques are either not secure (K-anonymization) or suffering from great losses of accuracy (differential privacy). A recent work [29] reports more than 30% losses of accuracy. For a query results, low accuracy means bad utility: a simple KMeans program will return centroids far from the accurate ones, and the accuracy loss rate is much larger than the training error rate which is several percents in practice.

A key reason for this bad utility problem is that differential privacy can not track how sensitive data fields flow to query results, so they have to take a coarse-grained approach, which conservatively adds noise to all fields and records. **Objective 2** (§2.2) proposes a novel fine-grained differential privacy technique, which combines the strengths of DFT and differential privacy.

## 1.3 Hardware-based privacy techniques

Trusted Execution Environment (TEE) is a promising technique for protecting computation in a public cloud even if the cloud's operating systems or hypervisors are compromised. For instance, Intel-SGX [30], a popular commercial TEE product, runs a program in a hardware-protected *enclave*, so code and data are protected from outside. Compared with the approach of computing on encrypted data (§1.5), TEE is much safer and 100X to 1000X faster. For instance, a SGX-based system Opaque [76] incurs a moderate performance overhead of 30% compared to native big-data queries.

However, to practically run Java big-data queries with SGX, two open challenges remain. First, existing SGX-based systems [76] require computational providers to manually rewrite the readily pervasive Java queries into SGX-compatible C++, a time-consuming and error-prone process. Second, existing SGX-based systems for big-data have too large Trusted Computing Base (TCB). Existing systems (e.g., SGX-BigMatrix [56]) run a whole language interpreter (e.g., JVM and Python runtime) in enclaves, causing a too large (and too dangerous) TCB: JVM code comes from many different parties/vendors and extremely hard to verified. **Objective 3** (§2.3) tackles these two open challenges by building a new just-in-time compiler.

## 1.4 Motivation of objectives

Data leakage (or breach) is a top threat [3, 35] in cloud computing. In a data provider’s perspective, both computation providers (e.g., the 2017 iCloud account leakage caused by third-parties [71]) and cloud providers (e.g., the 2013 Yahoo Cloud compromise [65]) have caused severe data leakage and huge financial loss. This proposal aims to preserve the data provider’s privacy by going two directions. First, we will propose two novel complimentary techniques in **Objective 1** (KAKUTE) and **Objective 2** (fine-grained differential privacy) to protect privacy against the computational providers in private clouds. Second, we will propose **Objective 3** (a new privacy-preserving compiler) to protect privacy against the (public) cloud providers. By integrating the outcomes from all three objectives, data privacy will be effectively preserved.

## 1.5 Related work by others

**Computing on encrypted data.** Homomorphic encryption [22, 27, 49] is a technique for performing computations on encrypted data in untrusted environments. Homomorphic encryption contain two kinds: Fully homomorphic encryption (FHE) and partial homomorphic encryption. Partial homomorphic encryption (e.g. Additive Homomorphic Encryption [49]) incurs a much lower overhead compared with FHE. A evaluation [26] on FHE shows a  $10e9$  slowdown, which is acceptable in practice. Systems that adopts PHE (e.g. Monomi [67], Crypsis [62], CryptDB [53], MrCrypt [66]) reports a much better overhead, but it has limited expressiveness (e.g., SQL operators) and requires extra trusted servers for computations. Seabed [50] proposes asymmetric encryption schemes and reduces performance overhead incur by AHE, but it still has limited expressiveness.

**SGX-based systems.** Intel SGX is a promising technique to provide privacy-preserving analytic in public clouds. Compared with software-based solutions, hardware-based solutions incurs much lower overhead. TrustedDB [6] is a hardware-based secure database. VC3 [55] proposes a secure distributed analytic platform with read-write validations on MapReduce [15]. Opaque [76] supports secure and oblivious SQL operators on SparkSQL [5]. However, all these systems have limited expressiveness (e.g. SQL operators), and VC3 even needs to rewrite the program with C++. A recent work [47] proposes a oblivious machine leaning framework on trusted processors. BigMatrix [56] proposes an oblivious and secure vectorization abstraction on python, but it has limited expressiveness and it needs to rewrite the original program with this new abstraction. Although BigMatrix provides guideline for writing a oblivious program, but it would be a time-consuming and error-prone process.

**Big-data privacy systems.** Big-data privacy has been a top emerging threat [4, 35] as more and more user sensitive data is stored and processed in clouds. Airavat[54], PINQ [40] and GUPT [44] propose to apply differential privacy [21, 42] in MapReduce, to prevent leakage from user query, but differential privacy can result in incorrect results. Sedic [75] proposes to offload sensitive computations to private clouds. MrLazy [2] proposes a framework of combining data provenance and static DFT analysis for self-defined queries, to provide fine-grained information flow for security. However, static DFT is not precise and may suffer from false positive. KAKUTE provides fine-grained information control of sensitive data, with no need to modify the original program.

## 1.6 Related work by the PI and co-I

The PI is an expert on secure and reliable distributed systems [10–13, 24, 32, 69, 70, 72]. The PI’s works are published in top conferences on systems (OSDI, SOSP, SOCC, TPDS, and ACSAC) and programming languages (PLDI and ASPLOS). Recently, the PI has collaborated with Huawei to launch a technology transfer project based on his dependable distributed system [70]. The co-I is an expert on high-performance computing [1, 8, 34, 43, 77], fault-tolerance [57, 58], and Java compilers [37, 59, 68]. The co-I’s works are published in top systems conferences (Cluster, SC, and ICPADS) and journals (JPDC, TPDS, IEEE Tran. Computers). As preliminary results for this proposal, the PI has presented KAKUTE [32] in ACSAC ’17, and the PI and co-I have collaborated to present CONFLUENCE [24] in TPDS ’17.





sensitivity levels, suitable for detecting data leakage and performance bugs. OBJECT provides an arbitrary number of tags, which is suitable for data provenance and programming debugging.

**Preliminary results.** We have implemented a KAKUTE prototype and evaluated it on seven popular big-data algorithms, including three text processing algorithms WordCount [61], WordGrep [38] and TwitterHot [61], two graph algorithms TentativeClosure [61] and ConnectComponent [61], and two medical analysis programs MedicalSort [52] and MedicalGroup [52]. We evaluated these algorithms with real-world datasets that are comparable with related systems [9, 28, 31]. Our evaluation shows that: (1) KAKUTE incurred merely 32.3% overhead (Figure 3) with INTEGER tag, about two orders of magnitudes faster than a recent DFT system Phorspor [7]; and (2) KAKUTE effectively detected 13 real-world security and reliability bugs presented in other papers [14, 28, 54]. These promising preliminary results are published in ACSAC ’17 and TPDS ’17.

**Future work.** We will further improve and extend KAKUTE in two directions. First, we will extend KAKUTE to detect broader types of security bugs, including access patterns and timing channels. Second, we will leverage KAKUTE to improve other complementary privacy techniques, including anonymization techniques and differential privacy (**Objective 2**).

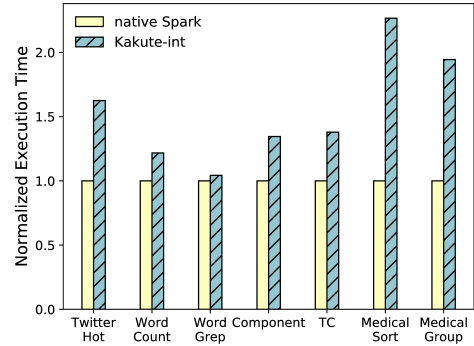


Figure 3: KAKUTE execution time normalized to native Spark executions. 100% means no overhead.

## 2.2 Objective 2: developing the Fine-grained Differential Privacy (FDP) technique

KAKUTE (**Objective 1**) strictly prevents sensitive data flowing to IO functions or query results, but in some scenarios it is still desirable to let computational providers acquire aggregation results (e.g., the sum of citizens who have got cancer in a country) on sensitive fields as long as individual information is not leaked. Differential privacy [16–18] can enforce statistical bounds on aggregation results and prevent individual information leakage, so it is complementary to DFT and has attracted much attention recently.

### 2.2.1 Challenge: existing differential privacy techniques are coarse-grained and thus inaccurate

Unfortunately, despite much effort, existing differential privacy techniques often suffer from great losses of accuracy. To prevent computational providers revealing individual information, differential privacy typically adds noise either on input data records or output query results. However, due to the lack of precisely tracking how inputs are computed and propagated to outputs, to enforce statistical guarantee on outputs, differential privacy often conservatively, universally add the same noise to all fields of a data record and to all records, causing inaccurate query results. For instance, A recent work [29] reported more than 30% losses of accuracy when the security guarantee is high (the probability of leakages is low). In such case, a simple KMeans program will return centroids far from the accurate ones. Such accuracy loss rate is useless as it is much larger than the KMeans training error rate (a few percents).

### 2.2.2 FDP and its new algorithm

Our key insight is that DFT and differential privacy can complement each other, getting the best of the both worlds. Considering each data record, DFT can precisely track how sensitive data fields flow to which query result, so differential privacy needs only add noise to the sensitive input fields or results. Considering all data records, DFT can also distinguish which records are more important, so differential privacy can add more noise to the more important records than the others.

This insight nurtures Fine-grained Differential Privacy (FDP). In FDP, each record belongs to a user who assigns a security tag to all its data records. A security tag that is related to the privacy budget  $\epsilon$  (or accuracy level). When the privacy budget is high, the probability of leakages is high. We adopts the Personalized



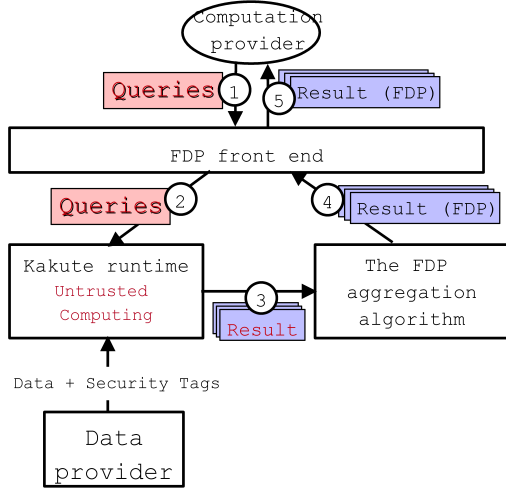


Figure 4: The workflow of FDP with five steps.

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**Algorithm 1:** The FDP aggregation algorithm

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**Input:** Dataset  $T$ , dataset size  $N$ , privacy budget  $\epsilon_k$  for security level  $k$ , output range (min, max)

$n = \text{a suitable partition}$

**for**  $i \leftarrow 1$  **to**  $n$  **do**

$O_i \leftarrow f(T_i)$ ;

if  $O_i > \text{max}$ ,  $O_i \leftarrow \text{max}$  if  $O_i < \text{min}$ ,  $O_i \leftarrow \text{min}$

**for** dimension  $j$  of the output  $O$  **do**

$k \leftarrow \text{getLevel}(O_j)$ ;

$O_j \leftarrow \frac{1}{n} \sum_{i=1}^n O_{ij} + \text{Lap}(\frac{\text{max} - \text{min}}{n\epsilon_k})$

**Output:**  $O$

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Differential Privacy (PDP) model in recent work [33].  $\Phi(x)$  return the  $\epsilon$  of a particular record  $x$ . The threat model of FDP is the same as KAKUTE's (Figure 1), because FDP aims to defend against malicious computational providers in private clouds.

**Definition 2.1.** *Differential Privacy For two neighbor dataset  $D$  and  $D'$  differing at record  $x$ , a mechanism  $\mathcal{M}(y)$  is differentially private with the following condition:*

$$\Pr[\mathcal{M}(D) \in O] \leq e^{\Phi(x)} \times \Pr[\mathcal{M}(D') \in O] \quad (1)$$

Intuitively, Differential Privacy guarantees that the probability of producing different result with neighboring dataset is low. For the personalized model, the probability is different for records belonging to different users, so that different users have various levels of protections.

To start with, we need to determine the relation of the privacy budget and the security level (a `getLevel` function). We adopt a deterministic model, and there are 6 security levels: insecure,  $dp_1$ ,  $dp_2$ ,  $dp_3$ ,  $dp_4$  and non-released. Insecure records can be release directly, while non-released can not be used in any computation to the final result.  $dp_1$  to  $dp_4$  varies in terms of their privacy budgets for differential privacy.

**Theorem 2.1.** *Laplace Mechanism [16] Adding noise with Laplace distribution  $\text{Laplace}(\frac{\Delta f}{\epsilon})$  enforces Differential Privacy, and global sensitivity  $\Delta f$  is defined as*

$$\Delta f = \max \|f(D) - f(D')\|_1 \quad (2)$$

To calibrate out to enforce differential privacy, a simple approach is to use the  $\epsilon$  inferred by the highest security level of each dimension, then add noise to the output directly, but this approach is too naive for diversity of security levels. Instead, we adopt the sample-and-aggregate framework proposed in previous work [60]. In this model, data is partitioned into multiple parts (size of each part is  $m$ ). Each partition may consists different level. The noise aggregator adds noise to final result of each partition according to the security level of each partition. Formally, data is partitioned into multiple parts denoted as  $p_1, p_2, \dots, p_n$ . The security level and its corresponding  $\epsilon$  are  $\epsilon_1, \epsilon_2, \dots, \epsilon_n$ .

**Theorem 2.2.** *For any output record in  $O$ , the estimator is  $\max(\epsilon_k)$ -differentially private.*

*Proof.* For each dimension, we can divide the output dataset as  $k$  parts according to their security levels. Then we apply the Laplace Mechanism to each subset of data using their privacy budget  $\epsilon_k$ . According to the composition theorem [19, 41, 78], the whole output dataset is  $\max(\epsilon_k)$ -differentially private.  $\square$

The error of the final result incurs in this aggregator comes from two parts: the Laps noise error and the partition error. It is crucial to reduce the final error incurs by this aggregator while keeping the differential security guarantee. We can adopt a hill-climbing approach for optimization.

**Future directions.** We will fully develop this FDP technique by going along two directions. First, we will do an extensive study on real-world big-data queries and quantify the improvements on result accuracy. Second, currently we propose a end-to-end differentially private computation system. It adopts a personalized differential privacy model [33], which improves usability without reducing the security guarantee. As for future direction, we can consider a  $(\epsilon, \delta)$ -differentially [20] private model.

### 2.3 Objective 3: creating a privacy-preserving compiler for big-data queries in public clouds

More and more sensitive data are stored and processed in public clouds (e.g., Amazon EC2 and Dropbox), and recent real-world privacy breaches have shown that data are often leaked while being processed by public cloud providers. Trusted Execution Environment (TEE) is a promising technique to protect computation on public clouds even if the cloud’s operating system is compromised. For example, Intel-SGX [30] runs programs in a enclave, so code and data are protected and can not be seen by the attackers. Meanwhile, SGX is good fit for big-data queries because these queries are data-intensive in userspace and they hardly invoke system calls (OS kernel can easily break SGX’s security on memory). A latest big-data analytic system Opaque [76] reports only 30% overhead compared to native, insecure executions.

#### 2.3.1 Challenges: existing SGX-based systems require rewriting queries and have too-large TCB

Despite recent advances (Opaque, VC3, Coco, and SGX-BigMatrix) on building SGX-based systems for big-data queries, two major challenges still remain. First, enclaves require completely rewriting the readily pervasive Java big-data queries into C++, an time-consuming and error-prone process. Second, to easy implementation, existing systems typically run the entire language runtime (e.g., JVM or Python runtime) within SGX, causing the Trusted Computing Base (TCB) to be too large and vulnerable (e.g., JVM has millions of lines of code from many companies and is vulnerable to insider attacks [3]).

#### 2.3.2 MAAT: a just-in-time (JIT), privacy-preserving Java compiler for big-data queries

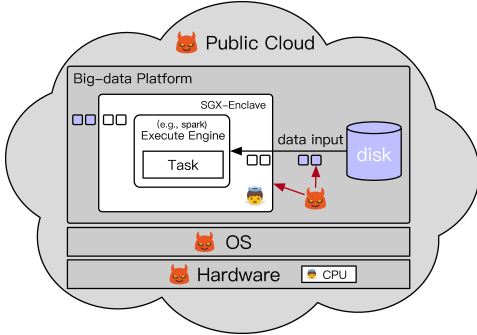


Figure 5: Threat model of MAAT. Data records with blue color are encrypted, and white color are plaintext. Shaded (grey) components may leak data, and MAAT is designed to defend against them.

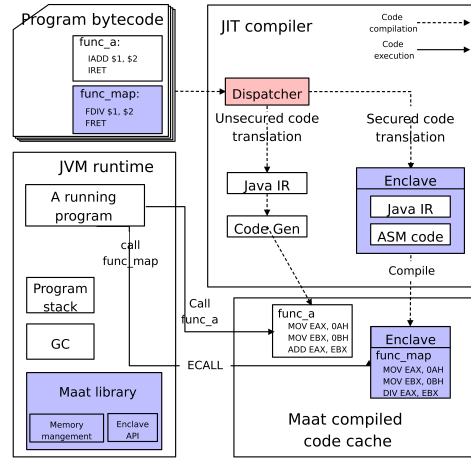


Figure 6: MAAT JIT compiler architecture. Key components are shaded (and in blue).

We propose MAAT, the first compiler that runs unmodified Java big-data queries in SGX enclaves securely with minimal TCB (i.e., the TCB contains only SGX and self-defined code itself). MAAT works as a Java JIT compiler which automatically compiles self-defined big-data functions (e.g., MAP/REDUCE) into enclave-compatible assembly code. Therefore, the JVM itself does not run in MAAT’s enclaves.

The goal of MAAT is to preserve the confidentiality of data while being processed in public clouds. Other attacks such as changing execution paths (i.e., integrity) have been well defended in prior work [25], and MAAT can directly use it.

Figure 5 shows MAAT’s threat model: both SGX and computation providers are trusted, and cloud providers are malicious. Figure 6 shows the architecture of MAAT. In MAAT, both the translation of self-defined code and the execution of the code are protected by enclaves, so that even if the cloud’s OS compromised, it can not see the executions of big-data queries or inject malicious code to the queries during MAAT’s translation.

Our MAAT architecture contains two software components: our JIT translator and our own management library. The JIT translator is a thin layer which translate each Java bytecode instruction into a number of SGX-compatible assembly code (e.g., Figure 6). The management is for our own use of encryption/decryption on data records and maintaining SGX memory for the queries at runtime. We will proactively implement the JIT translator and management library to be easy to verify, and we plan to use state-of-the-art verification techniques [45] to verify both of them, so that we do not need to include them into MAAT’s TCB. Specifically, we plan to implement the two components without recursions and with as few as loops.

One subtle performance challenge for MAAT is that it should have reasonable performance overhead compared to native executions. When calling into and out of a function in enclaves, an ECALL and OCALL will be invoked in the CPU and enclave transitions are invoked. Such transitions are several hundreds times slower than user function calls. Moreover, encryption and decryption on data records are invoked during such transitions. Our study on a SGX-based big-data system Opaque [76] shows that it incurs 3.4k enclave transitions for processing only 10k data (with two queries `select` and `groupBy`), which confirms the challenge.

To mitigate this challenge, we will create a new enclave runtime abstraction called Data-locality-aware Asynchronous Enclave calls (DAE). DAE converts the synchronous enclave calls (similar to Java function calls) to asynchronous, data-locality-aware calls into enclaves. Specifically, DAE will run a number of  $n$  processes ( $E_1$  to  $E_n$ ) in an enclave on a local computer. When a JVM process  $T$  calls a big-data query function, the call and its parameters are appended to a queue to DAE, and DAE arranges a process  $E_i$  with good data locality (e.g., according to prior arrangements) to execute the call. The call result is appended to a return queue of the DAE for process  $T$ . We expect that DAE will achieve reasonable performance, data locality, and parallelism.

**Future work.** By realizing a privacy-preserving Java JIT compiler for public clouds, MAAT has broad applications in other security areas, and we will further extend it along three directions. First, we will fully implement it and evaluate its efficacy on defending against diverse real-world privacy attacks launched by cloud providers. Second, we will augment the translator to automatically translate the big-data queries with access patterns on particular data into those without (e.g., oblivious executions). Third, we will further enhance DAE to support well isolated, secured operating system calls (e.g., library operating system calls), so that MAAT will not only benefit big-data queries, but other distributed computing paradigms (e.g., key-value stores and SQL queries).

## 2.4 Research plan

This project will require two PhD students S1 and S2 to work for three years. In the first year, S1 will design and fully implement the KAKUTE system (part of **Objective 1**), and S2 will evaluate its performance and robustness on various real-world big-data queries (part of **Objective 1**). In the second year, S1 will use KAKUTE to fully develop the proposed fine-grained privacy model (part of **Objective 2**), and S2 will implement the two algorithms proposed for this model (part of **Objective 2**). In the third year, S1 will build the secure big-data compiler **Objective 3** and S2 will evaluate this compiler on diverse real-world big-data queries.

## Appendix (C): Non-text figures

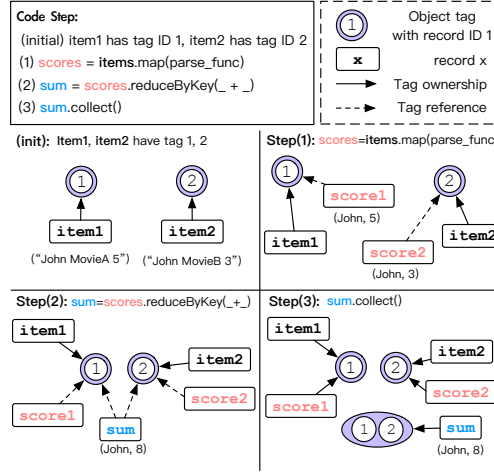


Figure 1: The Reference Propagation technique with the given code.

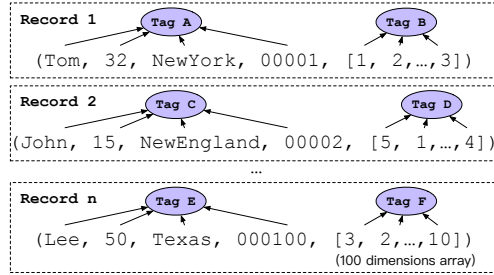


Figure 2: The Tag Sharing technique between fields in each record.

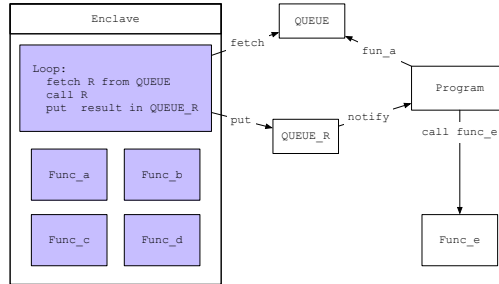


Figure 3: The Data-locality-aware Asynchronous Enclave (DAE) call abstraction.

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