

## **GAIA: Strengthening the Reliability of Datacenter Computing via Fast Distributed Consensus**

### **Abstract:**

To process the rapidly increasing amount of data, more and more software applications run within a datacenter containing massive computing resources. To harness these resources, applications are typically ran by either of two independent infrastructures: schedulers and virtual machines (VM). Unfortunately, as an application runs on more computers, computer errors will occur more likely at runtime and can turn down the entire application, causing disasters such as the 2015 NYSE trading halts and recent Facebook outages. Existing infrastructures lack a high availability support for applications.

This GAIA project takes a holistic methodology to greatly improve application availability via three objectives. First, we will create FALCON, a fast distributed consensus protocol for general applications. Distributed consensus, a strong fault-tolerance theory, runs multiple replications of the same application and makes these replications behave consistently as long as a majority of them work normally. An open challenge is that traditional consensus protocols are slow because their protocol messages go through various software layers (e.g., OS kernels). FALCON will introduce a new consensus algorithm with an ultra-fast OS kernel bypassing technique called Remote Direct Memory Access (RDMA). Preliminary results published in [SOSP '15] show that FALCON supports unmodified real-world applications, and it is 32.3x to 85.8x faster than traditional consensus protocols.

Second, we will construct a first scheduler for application availability by integrating FALCON with popular schedulers. A main challenge is that existing schedulers' resource allocation schemes can be conflicting with replication schemes, because the former schemes abstract away computer identity, but the later schemes must deploy replications on different computers. Our scheduler introduces a replication-aware resource allocation scheme to resolve conflicts efficiently. Preliminary results published in [APSys '16] show that this scheme supports real-world applications with only 3.22% performance overhead.

Third, we will build a new VM for application availability by integrating FALCON with popular VMs. One performance problem in existing VM fault-tolerance techniques is that they need to transfer all memory modified by applications across VM replications. Our new VM integrates FALCON into the hypervisor layer to enforce same application inputs across VM replications, so that VM replications maintain mostly same memory. We also propose a new algorithm to efficiently track minor different memory.

This GAIA project will greatly strengthen the reliability of many real-world applications and will benefit almost all computer users and software vendors, including many HK financial platforms. GAIA will also advance broad reliability techniques (e.g., VM migration) and infrastructures.

**Long term impact:**

The emergence of big data with its increasing computational demand is pushing software applications to embrace more and more computing resources. Therefore, applications now often run within a datacenter containing numerous computers. Many applications are mission-critical (e.g., financial platforms, social network platforms, and medical services), so they naturally desire both high reliability and performance.

To harness the massive computing resources within a datacenter, applications are typically ran by either of two independent infrastructures: schedulers and VMs. Depending on runtime trade-off such as performance or security isolation, some applications are ran solely by schedulers (e.g., Mesos [NSDI '11] usually uses lightweight containers, not VMs), and some other applications are ran solely by VMs (e.g., Amazon EC2).

Unfortunately, as an application runs on more computers, computer errors will occur more likely at runtime. If a failed computer happens to run an essential application component, the entire application can be turned down. A downtime often causes severe disasters, especially for online or long-running applications. For instance, due to minor computer errors, New York Stock Exchange (NYSE) was down for a whole day in 2015. Moreover, although social network applications tend to be online 24-7, minor computer errors have turned down the Facebook site for several times in recent years.

A key problem causing these disasters is that datacenter infrastructures lack a high availability support for applications. To tackle this problem, this GAIA project takes a holistic methodology: it first builds a fast consensus protocol, it then integrates this protocol into the two typical infrastructures. By doing so, applications ran by either of these infrastructures can enjoy two significant benefits.

First, the availability of mission-critical applications can be greatly strengthened. Distributed consensus is recognized a strong fault-tolerance theory because it maintains multiple consistent replications of the same application to overcome computer failures in minor replications. Although consensus consumes extra computing resources for fault-tolerance, it has been widely adopted in industry, because resource capacity is often not a bottleneck for mission-critical applications.

Second, it is easy to make fault-tolerance itself robust. Distributed consensus is notoriously difficult to understand, build, or test. For instance, although some genius companies (e.g., Microsoft) have built consensus protocols for individual applications, ironically, recent research tools have detected numerous bugs in these protocols. Building one consensus protocol for each application could be a nightmare for application developers. Fortunately, with GAIA, people only need to carefully test our protocol and infrastructures, then applications can enjoy robust fault-tolerance.

We envision significant impacts from this GAIA project in three terms.

In the near term, our fast consensus algorithm and its implementation protocol FALCON (Objective 1) can drastically improve the performance of many applications that use distributed consensus. For instance, Scatter [SOSP '11], a remarkable key-value store application, deploys consensus groups using a traditional consensus protocol. By using FALCON, the latency of Scatter can be one or two orders of magnitude faster.

In the intermediate term, by realizing the new infrastructures in Objective 2 and 3, GAIA will greatly strengthen the reliability of general applications and benefit almost all computer users and software vendors. For instance, HK has many financial applications which naturally demand stringent availability in operational hours, and GAIA can meet this demand.

In the long term, we anticipate that this GAIA project will advance broad datacenter techniques (e.g., VM migration) and attract researchers to build more reliable datacenter infrastructures. As datacenter emerges to be a "giant computer", a fast and reliable datacenter OS for such a novel computer will gradually come up. Therefore, reliable consensus protocols, schedulers, and VMs will become essential datacenter OS techniques, and the outcomes of this project will be adopted in a future datacenter OS.

Overall, due to these prospective impacts, we name our project after gaia, an ancient Greek goddess who takes good care of everything on earth, including software applications.

### **Objectives:**

1.

[To create a fast distributed consensus protocol].

We will develop an RDMA-powered distributed consensus algorithm and its implementation protocol FALCON. This new algorithm aims to be one or two orders of magnitude faster than traditional consensus protocols.

2.

[To construct a first datacenter scheduler for improving application availability].

This scheduler will replicate all or essential application components by integrating FALCON with popular schedulers. We will develop a new scheme to seamlessly manage both resource allocation and replication logic, so that this scheduler can efficiently schedule applications.

3.

[To build a new fault-tolerant VM for improving application availability].

We will leverage the VM hypervisor layer to transparently enforce same application inputs across VM replications. Compared to existing VM fault-tolerance techniques (e.g., primary-backup), our VM will greatly reduce the amount of transferred memory across VM replications, saving most time and network bandwidth. We will also develop a new algorithm to efficiently track and transfer minor different memory across replications.

# 1 Research Background

This section presents the background of consensus (§1.1) and datacenter computing infrastructures (§1.2), motivation of objectives (§1.3), others' related work (§1.4), and PI's related work (§1.5).

## 1.1 Paxos Consensus

Consensus protocols (typically, PAXOS [46, 47, 50, 64]) play a core role in distributed systems, including ordering services [32, 43, 52], leader election [8, 19], and fault-tolerance [26, 34, 44]. A PAXOS protocol replicates the same application on a group of computers (or *replicas*) and enforces the same order of inputs for this application, as long as a majority of replicas are still alive. Therefore, PAXOS tolerates various faults, including minor replica failures and packet losses.

PAXOS is widely served in many systems. For instance, Scatter [32] runs 8~12 replicas in a PAXOS group to order client requests, and it lets replicas reply requests in parallel. A bigger group size will improve Scatter throughput. Moreover, state machine replication (SMR) systems [26, 34, 44] use PAXOS to improve the availability of server applications (e.g., MySQL [11]).

Unfortunately, the group size of existing PAXOS protocols can hardly go up to a dozen because their consensus messages go through OS kernels and software TCP/IP layers (a ping round-trip takes about 200  $\mu$ s in a 10Gbps network), causing the consensus latency to increase almost linearly to group size [8, 26, 32].

To address this PAXOS performance problem, Remote Direct Memory Access (RDMA) (e.g., Infini-band [4]) is a promising solution due to its commonplace in datacenters and its decreasing prices. An RDMA round-trip takes only about 3  $\mu$ s [53]. This ultra low latency not only comes from its kernel bypassing feature, but also its dedicated network stack implemented in hardware. Therefore, RDMA is considered the fastest kernel bypassing technique [42, 53, 60]; it is several times faster than software-only kernel bypassing techniques (e.g., DPDK [2] and Arrakis [59]).

## 1.2 Datacenter Computing Infrastructures

More and more applications are ran in a datacenter by two independent types of infrastructures. The first type is schedulers [12, 18, 37, 38, 40, 65, 66, 74]. Although existing schedulers themselves have been made available via PAXOS (e.g., [37]), their applications are not. Therefore, if failures such as computer hardware errors occur, these schedulers have to re-launch applications, leading to a substantial application downtime and thus a huge lost for mission-critical applications (e.g., financial platforms and social networks).

The second infrastructure type is VM [13, 15, 45, 58, 67]. VM abstracts away the heterogeneous physical computing resources, making computing resources easy to utilize and balance loads (e.g., via live migration [21, 56]). VM is also known for its secure isolation on resources [15, 45, 63]. Although some VM fault-tolerance approaches such as primary-backup [27] exist, they consume much time and network bandwidth as they need to transfer all memory modified by applications across VM replications.

These two infrastructures usually work independently depending on runtime trade-off such as performance or security isolation. Some applications are ran solely by schedulers (e.g., Mesos [37] usually uses lightweight Linux containers, not VMs), and some other applications are ran solely by VMs (e.g., Amazon EC2). Therefore, this GAIA project strengthens the two infrastructures respectively. If people need to run an application with both infrastructures, they can choose either infrastructure developed from GAIA and the other one from outside.

## 1.3 Motivation of Objectives

The proposed objectives stem from two research problems in datacenter computing. First, despite the core role and wide deployments of PAXOS, it suffers from high consensus latency. Therefore, **Objective 1** addresses this problem by leveraging RDMA to create a fast distributed consensus algorithm and its implementation protocol. Second, although many mission-critical applications demand high availability, existing infrastructures lack such support. To benefit these applications, **Object 2 and 3** take a holistic methodology to integrate our protocol with two major infrastructures, potentially benefiting almost all applications.

## 1.4 Related Work by Others

**Various Consensus Protocols.** There are a rich set of PAXOS algorithms [46, 47, 50, 55, 64] and implementations [19, 20, 26, 50]. PAXOS is notoriously difficult to be fast and scalable [32, 43, 52]. Since consensus protocols play a core role in datacenters [1, 37, 73] and worldwide distributed systems [22, 49], various works have been conducted to improve specific aspects of consensus protocols, including commutativity [55], understandability [47, 57], and verifiable rules [33, 71].

To make PAXOS’s throughput scalable (i.e., more replicas, higher throughput), various systems leverage PAXOS as a core building block to develop advanced replication approaches, including partitioning program states [16, 32], splitting consensus leadership [17, 49], and hierarchical replication [32, 43]. These approaches have shown to largely improve throughput. However, the core of these systems, PAXOS, still faces an unscalable consensus latency [32, 43, 52]. By using GAIA as a building block, these prior systems can scale even better.

**Fault-tolerance in schedulers.** Datacenter schedulers [12, 18, 37, 38, 40, 65, 66, 74] can support diverse applications (e.g., Hadoop [35], Dryad [39], and key-value stores [62]). Existing schedulers mainly focus on ensuring high availability for themselves by replicating their own important components, or focus on recovery instead of availability of applications [74]. To the best of our knowledge, no existing schedulers provide a high availability support to general applications.

**Fault-tolerance in VM.** Two approaches, primary-backup and live migration, exist for improving application reliability in VM. Primary-backup uses the VM hypervisor to track memory pages modified during handling each request on a primary VM and transfers these dirty pages to a backup VM. Live migration is also invoked for improving computer load balance and consolidating VMs on fewer computers to save energy. It uses a similar technique as primary-backup. Despite much effort, both these two approaches consume substantial application down time (e.g., 8 seconds in vMotion [56]) and network bandwidth during transferring memory.

**RDMA techniques.** RDMA has been realized in various types of datacenter networks, including Infini-band [4], RoCE [7], and iWRAP [5]. RDMA has been leveraged in many software systems to improve different performance aspects, including high performance computing [31], key-value stores [29, 41, 42, 53], transactional processing systems [30, 69], and file systems [70]. These systems are complementary to GAIA.

## 1.5 Related Work by the PI

The PI is an expert on reliable datacenter software systems [26, 68] and reliable, secure multithreading runtime systems [23–25, 72]. The PI’s works are published in premier conferences on systems software (OSDI 2010, SOSP 2011, SOSP 2013, and SOSP 2015) and programming languages (PLDI 2012 and ASPLOS 2013). As preliminary results for this GAIA proposal, the PI has developed a general consensus protocol [26] (part of **Objective 1**) and a fault-tolerant datacenter scheduler [68] (part of **Objective 2**).

# 2 Research Plan and Methodology

GAIA strengthens the reliability of datacenter computing with a holistic methodology. This section first proposes FALCON (§2.1), a fast consensus protocol, it then leverages FALCON to build a scheduler (§2.2) and a VM replication infrastructure (§2.3) to improve the availability of applications ran by these infrastructures. Finally, this section describes research plan (§2.4).

## 2.1 Objective 1: Building Fast Distributed Consensus via RDMA

This section describes a performance problem (§2.1.1) in existing PAXOS protocols and presents FALCON (§2.1.2), a fast PAXOS protocol by leveraging RDMA.

### 2.1.1 Problem: consensus latency of existing PAXOS protocols are slow and unscalable

Despite the wide deployments of PAXOS (§1.1), its high consensus latency makes many software applications suffer. For efficiency, PAXOS typically assigns one replica as the leader to propose consensus requests,

and the other replicas as backups to agree on requests. To agree on an input, at least one message round-trip is required between the leader and a backup. A round-trip causes big latency (hundreds of  $\mu\text{s}$ ) as it goes through various software layers (e.g., OS kernels).

As replica group size increases, PAXOS consensus latency scales poorly: it increases drastically [32] due to the linearly increasing number of consensus messages. One common approach to improve PAXOS scalability is leveraging parallel techniques such as multithreading [8, 17] or asynchronous IO [26, 61]. However, the high TCP/IP round-trip latency still exists, and synchronizations in these techniques frequently invoke expensive OS events such as context switches.

Our preliminary study (Figure 3) ran four PAXOS-like protocols [8, 17, 26, 61] on 40Gbps network with only one client sending consensus requests. When changing the replica group size from 3 to 9, the consensus latency of three protocols increased by 30.3% to 156.8%, and 36.5% to 63.7% of this increase was in OS kernel. The only exception is SPaxos [17] because it batches requests from backups and invokes consensus when the batch is full. More replicas causes shorter time to form a batch, but batching causes its consensus latency the highest with less replicas.

RDMA appears a promising approach (§1.1) to speed up PAXOS. However, fully exploiting RDMA speed in software systems is widely considered challenging by the community [30, 42, 53, 60]. For instance, DARE [60] presents a two-round, RDMA-based PAXOS protocol in a sole-leader manner: leader does all RDMA workloads and backups do nothing. Although DARE was fast with 3~5 replicas, our study (Figure 3) shows that DARE’s sole-leader nature incurred a scalability bottleneck and thus a linearly increasing consensus latency.

### 2.1.2 Falcon: a fast, scalable RDMA-based PAXOS protocol

Our key observation is that we should carefully separate RDMA workloads among the leader and backups, especially in a scalability-sensitive context. Intuitively, we can let both leader and backups do RDMA writes directly on destination replicas’ memory, and let all replicas poll their local memory to receive messages.

Although doing so will consume more CPU resources than a sole-leader protocol [60], it has two major benefits. First, both leader and backups participate in consensus, making it possible to reach consensus with only one round [50]. Second, all replicas can just receive consensus messages on their bare, local memory. An analogy is threads receiving other threads’ data via bare memory, a fast and scalable computation pattern.

We propose FALCON,<sup>1</sup> a new RDMA-based PAXOS protocol and its runtime system. In GAIA, all replicas directly write to destination replicas’ memory and poll messages from local memory to receive messages, and our runtime system handles other technical challenges such as checking message delivery and recovering replica failures.

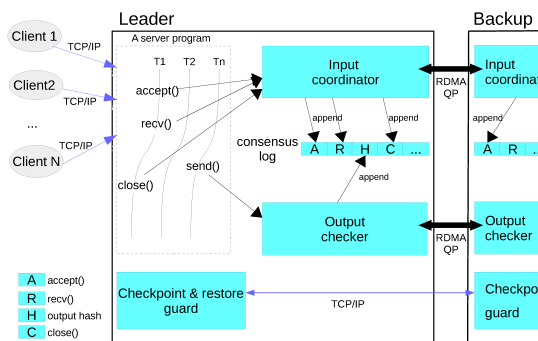


Figure 1: The FALCON protocol architecture. Protocol components are shaded (and in blue color).

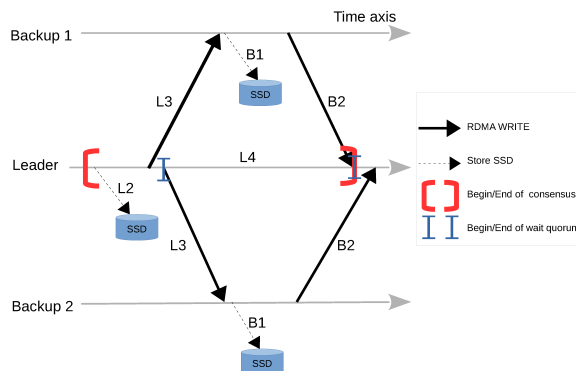


Figure 2: RDMA-powered consensus algorithm.

<sup>1</sup>We name our protocol after falcon, one of the fastest birds.

FALCON supports unmodified applications. FALCON automatically deploys the same application on multiple replicas, intercepts the application’s network inputs from its inbound socket calls (e.g., `recv`) with a Linux technique called `LD_PRELOAD`, and invokes its RDMA-based consensus protocol to enforce same network inputs across replicas. Figure 1 shows FALCON’s architecture on the leader replica with three key components: an input consensus coordinator, an in-memory consensus log, and a guard that checkpoints and recovers application execution states.

Figure 2 shows FALCON’s consensus algorithm in normal case. The leader first executes the actual inbound socket call to get and store the actual inputs, it then invokes consensus across replicas. All solid arrows (**L3** and **B2**) are direct RDMA writes to remote replicas’ memory. All replicas poll from their own bare memory to receive consensus messages. To handle replica failures, all replicas persistently log inputs to local SSD storage (**L2** and **B1**). For these writes, the sending replicas only need to copy the data to local RDMA NIC and then the writes finish, FALCON is scalable when more backup replicas are added.

**Preliminary results.** We implemented a FALCON prototype with two steps. First, to support unmodified applications, we implemented its socket-intercepting protocol, published in SOSP 2015 [26]. FALCON was able to support five widely used server applications (e.g., MySQL) without modifying them. Second, we implemented its RDMA-powered consensus algorithm on a 40bps RDMA network. Figure 3 shows FALCON performance with 5 existing consensus protocols. To analyze the scalability of our algorithm, we ran FALCON and DARE on up to 105 replicas. FALCON’s consensus latency outperforms 4 popular PAXOS protocols by 32.3x to 85.8x on 3 to 9 replicas. FALCON is faster than DARE by up to 3.3x.

**Future work.** Since FALCON is the keystone of our objectives, this proposal plans to further improve its practicality in two directions: (1) evaluate its performance and generality on more latency-critical applications, and (2) study its protocol robustness on failure scenarios, including leader election and adding/removing replicas.

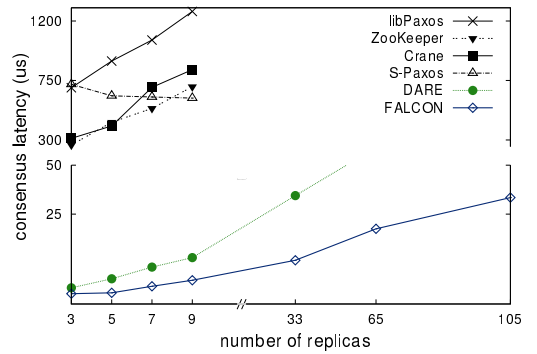


Figure 3: Consensus latency of six PAXOS protocols. Both X and Y axes are broken to fit in all these protocols. FALCON achieves the smallest consensus latency.

## 2.2 Objective 2: building a fault-tolerant scheduler to improve application availability

Many existing schedulers have adopted PAXOS to improve availability for themselves. Typically, a scheduler use PAXOS to replicate its essential components such as a controller, which handles scheduling computation jobs to run on resources. In normal case, only one leading controller does the real work, and the other controllers act as backup to handle the leader’s failure. Unfortunately, most applications running by schedulers have not been made highly-available (although individual applications carry a replication approach [14, 28]).

A naive approach to achieve high application availability could be implementing a PAXOS protocol for every application. However, this will cause two major issues. First, PAXOS is notoriously difficult to understand [46, 57], implement [20, 50], or test [33, 71]. Developing a PAXOS protocol for every application is widely considered a nightmare [20, 33, 71] for application developers.

The second issue is, the scheduler can be conflicting with PAXOS due to unawareness of the application’s PAXOS replication logic. For instance, if an application submits multiple replications of the same computation job to the scheduler, the scheduler may incorrectly schedule several replications on the same computer (it should schedule each copy on different computers to achieve PAXOS fault-tolerance).

### 2.2.1 TRIPOD: a first fault-tolerant scheduler architecture

This section proposes the design of TRIPOD, a scheduler infrastructure that can replicate all or essential computations of a general application. TRIPOD is formed by a widely used scheduler MESOS [37] and



FALCON (**Objective 1**). To avoid the two aforementioned issues (§2.2), TRIPOD’s design integrates PAXOS in a scheduler, not in applications. To achieve high application availability, unlike existing schedulers which let only one controller schedule jobs, TRIPOD runs replicas of the same job using replicas of controllers: after controllers agree on a new job with FALCON, TRIPOD lets each controller independently schedule a replication of this job. To seamlessly resolve conflicts between the resource allocation scheme and replication scheme, TRIPOD introduces a replication-aware resource allocation scheme (§2.2.2).

Figure 4 depicts TRIPOD’s architecture, and its key components are shaded (and in blue). To illustrate how TRIPOD works in an application perspective, this figure shows two applications, Hadoop and MPI. Each job has a *replica strength* ( $R$ ) to denote the level of fault-tolerance it demands. This  $R$  value is either 1 (default, no replication) or no more than the number of controllers in TRIPOD. An application can assign other  $R$  numbers to a job when it submits this job to TRIPOD.

It is often easy for an application to identify which computation jobs require high availability and thus a bigger  $R$  value. For instance, Alluxio [10], a distributed storage application ran in MESOS, has an essential manager component to manage storages across computers. When running in our TRIPOD scheduler, Alluxio can just assign  $R=3$  to the launching job of this manager.

Figure 4 shows an example of replicating all Hadoop jobs with  $R=3$ . Suppose Hadoop submits two jobs to TRIPOD’s leader controller, each has different shapes (triangle or hexagon). The leader controller then invokes a consensus on each job across controllers. Once a consensus is reached, each controller assigns the same job on different slave machines. The leader controller directly returns its computation result to the Hadoop scheduler. A standby controller ignores computation results unless it becomes a new leader.

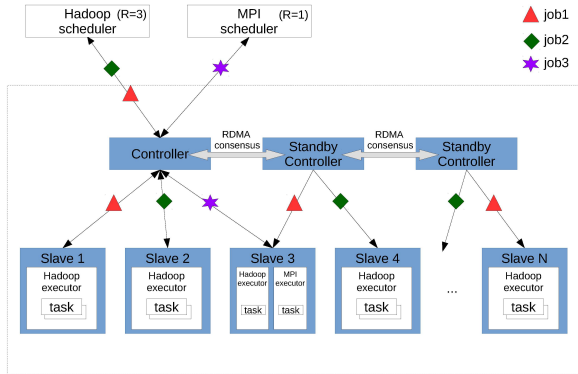


Figure 4: The TRIPOD fault-tolerant scheduler.

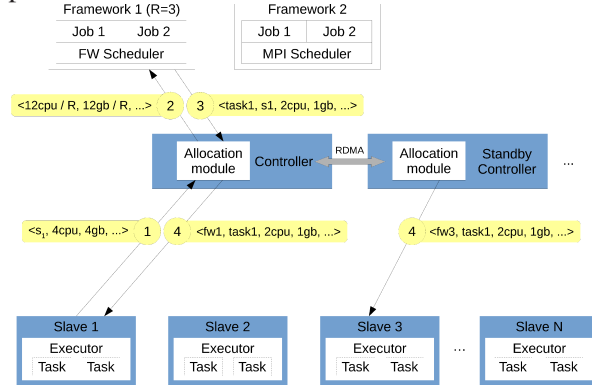


Figure 5: The replication-aware resource allocation scheme.

### 2.2.2 Replication-aware resource allocation scheme

Figure 5 shows TRIPOD’s scheme on scheduling jobs with four steps. This scheme is similar to that in MESOS except the second and fourth steps. These two steps TRIPOD abstract away the replication logic in its resource offers and allocations from the application. An application runs as if GAIA does not replicate any of its jobs, and TRIPOD transparently handles all the replication logic.

In the first step, slave machines periodically report their available computing resources (e.g., CPU cores and memory) to the leader controller. In the second step, instead of offering the available resources aggregated from slave machines, TRIPOD conservatively divides the amount of resources by each application’s  $R$  value and then sends a resource offer to the application. The goal is to reserve enough resources for TRIPOD to replicate a job with  $R$  copies, although some jobs have only  $R=1$ .

In the third step, an application scheduler submits jobs to the leader controller. If  $R$  is 1, the leader schedules the job without consensus and revokes  $R-1$  resources specified in this job from the application. By doing so, the conservatively reserved resources are given back to TRIPOD. If  $v \cdot R$  is larger than 1, The



leader controller then invokes a consensus on this job across controllers.

Once a majority of controllers agrees on executing a job, each controller does the fourth step. It schedules this job on an available slave machine according to the resource offer. To prevent controllers putting the same job on the same slave machine, the leader controller first makes an assignment on which controller should run this job on which slave machine, it then carries this assignment in its consensus request. Once a consensus on this job is reached, each controller follows this assignment.

**Availability v.s. resource consumption.** TRIPOD is designed to make a mission-critical application highly available by using  $R-1$  more resources for the application’s essential computations. Non-essential computations use same resources as those in MESOS. We deemed this extra resource consumption reasonable, because a major trend is that an application runs on more and more computers, thus minor computer failures tend to happen more likely. Such failures may turn down the entire application and cause if the failure computer runs an essential computation. Given that minor computer failures have caused severe outage disasters (e.g., 2015 NYSE trading halts [6] and several Facebook outage events in recent years [3]), we consider these extra resource consumptions necessary on improving applicaiton availability.

**Preliminary results.** We built and published a TRIPOD prototype in [68]. To evaluate a typical social-networking application, we ran TRIPOD with Memcached [51], a popular key-value store used by Twitter and financial platforms [36]. Compared to Memcached’s unreplicated execution, TRIPOD incurred merely a 3.22% overhead in throughput and 3.31% in response time.

**Future work.** Our TRIPOD development will go along two directions. First, currently our replication and resource allocation scheme is tied with MESOS. We will study other popular schedulers and summarize general resource allocation scheme patterns, and we will develop a general, scheduler-agnostic scheme for other popular schedulers. Second, we will study new differential replication schemes, so that we can automatically assign different  $R$  values to different components of an application, obtaining both satisfiable availability and optimal resource consumption.

### 2.3 Objective 3: building a fault-tolerant VM to improve application availability

As mentioned in related work (§1.4), primary-backup and live migration are two similar techniques on improving VM fault-tolerance. For instance, after serving each request, the primary-backup technique transfers all memory pages modified by applications (i.e., dirty pages of 4KB) from the primary to the backup. This technique consumes  $2 \times R$  resources ( $R$  is the amount of resources consumed by unreplicated execution). Because there can be GBs of dirty bytes (§2.3.2), these two existing techniques face the problems of significant application downtime (e.g., 8 seconds in vMotion [56]) and network bandwidth consumption.

A key reason that causes these problems is that existing techniques have only one actual application execution. Therefore, to transfer dirty pages to a remote computer, substantial downtime and network bandwidth consumption are unavoidable.

#### 2.3.1 Integrating FALCON with KVM

Fortunately, PAXOS replication can construct multiple actual executions on multiple computers due to its reliability and consistency guarantee. To ensure high application availability, we can just run PAXOS make VM replicas process same sequence of inputs, then most dirty memory pages across replicas are the same and they need not be transfered.

We propose a new fault-tolerant VM by integrating FALCON into the hypervisor layer of popular VMs. Specifically, we choose the popular KVM [45] for three main reasons. First, KVM is an open source hypervisor in Linux. Second, it provides `tap_send()`, an input capturing API in its hypervisor. This API allows FALCON to intercept network inputs on the leader VM and transparently replicates them on backup VMs. Third, this KVM API runs in userspace, which allows RDMA to work (RDMA runs in userspace).

We practively design our VM to achieve same  $2 \times R$  resource consumption as existing VM fault-tolerance techniques. Although PAXOS replication normally consume  $3 \times R$  resources, our VM design reduces this consumption by letting one backup act as the *major backup* with a high priority to become a new leader if

current leader fails. The major backup agrees on and executes input requests, while the other backup acts as the *standby backup* which only agrees on requests without executing them. The major backup periodically checkpoints application states and send them to the standby backup. Checkpoints do not affect performance because the leader and the standby backup still form a majority and agree on requests rapidly. Even if the standby backup is elected as a new leader, it can extract checkpoints and use the agreed inputs to catch up with the old leader quickly.

### 2.3.2 A new synchronization technique to track divergent pages across VM replicas

Although our fault-tolerant VM has enforced same inputs across VM replicas, minor memory pages may still diverge across replicas (e.g., due to data races [48]). We propose a synchronization technique to efficiently track and transfer divergent pages, including (1) an efficient  $\log(N)$  algorithm to track divergent pages, and (2) leveraging FALCON’s RDMA-powered protocol to efficiently and reliably compare divergent pages.

A naive way is linearly comparing all  $N$  dirty pages between replicas to find divergent ones, but this is as slow as traditional primary-backup techniques. Instead, our algorithm uses a Merkle tree [44] to track dirty pages for each replica. As shown in Figure 6, once a physical page is modified, our VM hypervisor appends it as a leaf to a local Merkle and incrementally recomputes a hash with the page content along all its parents. This tree is efficient because if the roots of two trees have same hash, all leaves (pages) are the same.

However, our VM design poses a new interesting challenge: unlike previous works that tree leaves are static (e.g., Eve [44] treats static global variables in application code as leaves), the leaves in our trees are dynamic as they are dirty pages. Because dirty pages across replicas can be different, the structures (i.e., the number of internal nodes) of Merkle trees between two replicas can be different and hard to compare.

Our synchronization technique tackles this challenge by introducing a *tree adjustment* phase. It works with four steps. First, after processing one request, leader sends a dirty page bitmap provided by KVM to the major backup. Major backup does a union on this bitmap and its own one and sends back to leader. Second, both leader and the major backup do a tree adjustment with the union bitmap (e.g., a non-dirty page in the union is still appended to the tree), then leader and the backup’s trees have same leaves and same structure. Third, leader invokes **Algorithm 1** to do a  $\log(N)$  traversal from tree root to find divergent leaves. To compare internal node hashes between leader and backup, the algorithm invokes an augmented FALCON protocol as **FALCON()**, which returns divergent nodes carried in the backup’s consensus reply. Fourth, leader transfers divergent pages to the major backup, then they both clear trees and dirty page flags.

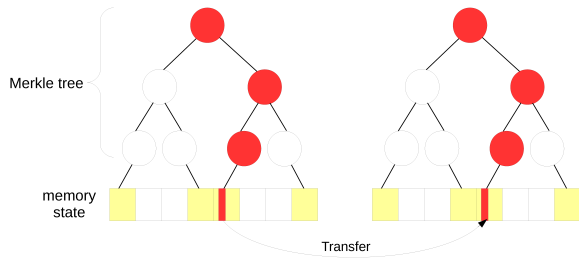


Figure 6: Data structures on tracking divergent pages between replicas. **Algorithm 1** identifies divergent pages and their parent nodes as red color.

#### Algorithm 1: Tracking different memory pages

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**Input** : The root of merkle tree on leader, *root*  
**Output**: Different memory pages between leader and replica, *pages*  
**Track**(*root*)  
     *pages*  $\leftarrow$  empty sequence  
     *diverged*  $\leftarrow$  **FALCON**(*root*, *root*.left(), *root*.right())  
     **for** *i*  $\leftarrow$  *diverged*.push\_front() **do**  
         **if** *i* is\_leaf() **then**  
             *pages*.append(*i*)  
         **else**  
             *diff*  $\leftarrow$  **FALCON**(*i*, *i*.left(), *i*.right())  
             *diverged*.append(*diff*)  
     **return** *pages*

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To verify whether divergent dirty pages are rare, we ran three real-world applications, MySQL [11], MongoDB [54], and Memcached [51], on two KVMs and ran same real-world workloads [9] on both VMs. We found that 6,010 to 14,295 application memory pages were dirty. Existing primary-backup techniques need to transfer all these dirty memory from primary to backup. We also found that only 471 to 983 of these dirty pages were divergent between two VMs. This preliminary study implies that our algorithm can reduce up to 96.7% transferred pages compared to existing primary-backup techniques.

**Future work.** We will fully implement this new fault-tolerant VM, compare its performance with existing VM primary-backup techniques (e.g., Remus [27]), and quantify our reduction on transferred pages. Moreover, we will broadly apply our tracking algorithm to construct new lightweight VM live migration techniques for improving resource utilization and saving datacenter energy [56].

## 2.4 Research Plan

This project will require two PhD students S1 and S2 to work for three years. In the first year, S1 will design and fully implement the FALCON protocol (part of **Objective 1**), and S2 will evaluate its performance and robustness on various real-world storage applications (part of **Objective 1**). In the second year, S1 will integrate FALCON to a scheduler MESOS (part of **Objective 2**), and S2 will make FALCON and KVM form an eco-system (part of **Objective 3**). In the third year, S1 and S2 will respectively evaluate the efficacy of their infrastructures built from **Object 2** and **Object 3** on diverse real-world applications.

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