

## **GAIA: Strengthening the Reliability of Datacenter Computing via Fast Distributed Consensus**

### **Abstract:**

To process the rapidly increasing amount of data, more and more software applications run within a datacenter containing massive computing resources. To harness these resources, applications are typically run by either of two independent infrastructures: schedulers and virtual machines (VM). Unfortunately, as an application runs on more computers, computer errors will occur more likely at runtime and can shut down the entire application, causing disasters such as the 2015 New York trading halts and recent Facebook outages. Existing infrastructures lack a high availability support for applications.

This GAIA project takes a holistic methodology to greatly improve application availability via three objectives. First, we will create APUS, a fast distributed consensus protocol for general applications. Distributed consensus, a strong fault-tolerance theory, runs multiple replications of the same application and makes these replications behave consistently as long as a majority of them work normally. An open challenge is that traditional consensus protocols are slow because their protocol messages go through various software layers (e.g., OS kernels). APUS will introduce a new consensus algorithm with an ultra-fast OS kernel bypassing technique called Remote Direct Memory Access (RDMA). Preliminary results presented in [SOSP '15] show that APUS supports unmodified real-world applications, and its latest development is 32.3X to 85.8X faster than traditional consensus protocols.

Second, we will construct a first scheduler for application availability by integrating APUS with popular schedulers. A main challenge is that existing schedulers' resource allocation schemes can be conflicting with replication schemes, because the former schemes abstract away computer identity, but the later schemes must deploy replications on different computers. Our scheduler introduces a replication-aware resource allocation scheme to resolve conflicts efficiently. Our prototype scheduler presented in [APSys '16] incurs only 3.22% performance overhead on popular key-value applications.

Third, we will build a new VM for application availability by integrating APUS with popular VMs. One performance problem in existing VM fault-tolerance techniques is that they need to transfer all memory modified by applications across VM replications. Our new VM integrates APUS into the hypervisor layer to enforce same application inputs across VM replications, then VM replications maintain mostly same memory. We also propose a new algorithm to efficiently track and transfer minor different memory.

This GAIA project will greatly strengthen the reliability of many real-world applications and will benefit almost all computer users and software vendors, including many HK financial platforms. GAIA will also advance broad reliability techniques (e.g., VM migration) and infrastructures.

**Long term impact:**

The emergence of big data with its increasing computational demand is pushing software applications to incorporate more and more computing resources. Therefore, applications now often run within a datacenter containing numerous computers. Many applications are mission-critical (e.g., financial platforms, social network platforms, and medical services), so they naturally desire both high reliability and performance.

To harness the massive computing resources in a datacenter, applications are typically run by either of two independent infrastructures: schedulers and VMs. Depending on runtime trade-off such as performance or security isolation, some applications are run solely by schedulers (e.g., Mesos [NSDI '11] often uses lightweight containers, not VMs), and some other applications are run solely by VMs (e.g., Amazon EC2).

Unfortunately, as an application runs on more computers, computer errors will occur more likely at runtime. If a failed computer happens to run an essential application component, the entire application can be shut down. Such a single point of failure often causes severe disasters, especially for online or long-running applications. For instance, due to minor computer errors, New York Stock Exchange (NYSE) was down for a whole day in 2015. Moreover, although social network applications tend to be online 24-7, minor computer errors have shut down the Facebook site several times in recent years.

A key problem causing these disasters is that datacenter infrastructures lack a high availability support for applications. To tackle this problem, this GAIA project takes a holistic methodology: it first builds a fast consensus protocol, it then integrates this protocol into the two typical infrastructures. By doing so, applications run by either of these infrastructures can enjoy two significant benefits.

First, the availability of mission-critical applications can be greatly strengthened. Distributed consensus is recognized a powerful fault-tolerance theory because it maintains multiple consistent replications of the same computation to tolerate single point of failures. Although consensus consumes extra computing resources for fault-tolerance, it has been adopted by industry, because resource capacity is often not a bottleneck for mission-critical applications.

Second, it is easy to make fault-tolerance itself robust. Distributed consensus is notoriously difficult to understand, build, or test. For instance, although some genius companies (e.g., Microsoft) have built consensus protocols for individual applications, ironically, recent research tools have detected numerous bugs in these protocols. Building one consensus protocol for each application could be a nightmare for application developers. Fortunately, with GAIA, people only need to carefully test our protocol and infrastructures, then applications can enjoy robust fault-tolerance.

We envision significant impacts from this GAIA project in three terms. In the near term, our fast consensus algorithm and its implementation protocol APUS (Objective 1) can greatly promote

the adoption of the distributed consensus theory. Dr. Leslie Lamport received a Turing Award in 2013 mainly due to the invention of this powerful fault-tolerance theory. However, most mission-critical applications have not adopted this theory due to its slow performance. Since our preliminary results have shown that APUS supports real-world key-value applications with merely 3.22% performance overhead, GAIA may contribute to a pervasive deployment of this powerful theory.

In the intermediate term, by realizing the new infrastructures in Objective 2 and 3, GAIA will greatly strengthen the reliability of general applications and benefit almost all computer users and software vendors. For instance, HK has many financial applications which naturally demand stringent availability in operational hours, and GAIA can meet this demand. As per our tradition, we will make all GAIA source code public for research and deployments.

In the long term, we anticipate that this GAIA project will advance broad datacenter techniques (e.g., VM migration) and attract researchers to build more reliable datacenter infrastructures. As a datacenter emerges to be a "giant computer", a fast and reliable datacenter OS for such a novel computer will gradually come up. Therefore, reliable consensus protocols, schedulers, and VMs will become essential datacenter OS techniques, and the outcomes of this project will be adopted in a future datacenter OS.

### **Objectives:**

1.

[Create a fast distributed consensus protocol].

We will develop an RDMA-powered distributed consensus algorithm and its implementation protocol APUS. This new protocol aims to be one or two orders of magnitude faster than traditional consensus protocols.

2.

[Construct a first datacenter scheduler for improving application availability].

This scheduler will replicate essential application components by integrating APUS with popular schedulers. We will develop a new scheme to seamlessly manage both resource allocation and replication logic, then this scheduler can efficiently support applications.

3.

[Build a new fault-tolerant VM for improving application availability].

We will leverage the VM hypervisor layer to transparently enforce same application inputs across VM replications. Compared to existing VM fault-tolerance techniques (e.g., primary-backup), our VM will greatly reduce the amount of transferred memory across VM replications, saving most time and network bandwidth. We will also develop a new algorithm to efficiently track minor different memory across replications.

# 1 Research Background

This section presents the background of consensus (§1.1) and datacenter computing infrastructures (§1.2), motivation of objectives (§1.3), others’ related work (§1.4), and the PI and co-I’s related work (§1.5).

## 1.1 PAXOS: tolerating single point of failures

Distributed consensus (typically, PAXOS [50, 51, 51, 54, 73]) plays a core role in distributed systems, including fault-tolerance [27, 36, 47], leader election [7, 18], and ordering services [34, 46, 56]. A PAXOS protocol replicates the same application on a group of computers (or *replicas*) and enforces the same order of inputs for this application, as long as a majority of replicas are still alive. If leader fails, it elects a new leader [54]. Therefore, PAXOS tolerates various faults, including minor replica failures and packet losses.

Unfortunately, an open challenge is that the consensus latency of existing PAXOS protocols is too high, because their consensus messages go through OS kernels and software TCP/IP layers (a ping round-trip takes about 200  $\mu$ s in a 10Gbps network). §2.1.1 presents this problem in detail.

Recently, as Remote Direct Memory Access (RDMA) becomes popular in datacenter networks (e.g., Infiniband [4]), it becomes a promising solution to address the PAXOS performance challenge. A fastest type of RDMA operations called “one-sided RDMA writes” (for short, *WRITE* in the rest of this proposal) round-trip takes only about 3  $\mu$ s [57], because it allows a local computer to directly writes to a remote computer’s memory without involving OS kernel or CPU on the remote computer. This ultra low latency not only comes from its kernel bypassing feature, but also its dedicated network stack implemented in hardware. Therefore, RDMA is considered the fastest kernel bypassing technique [45, 57, 65]; it is several times faster than software-only kernel bypassing techniques (e.g., DPDK [2] and Arrakis [64]).

## 1.2 Datacenter computing infrastructures

More and more applications are run in a datacenter by two independent types of infrastructures. The first type is schedulers [10, 17, 39, 40, 42, 74, 75, 84]. Although existing schedulers themselves have been made available via PAXOS (e.g., [39]), their applications are not. Therefore, if failures such as computer hardware errors occur, these schedulers have to re-launch applications, leading to a substantial application downtime and thus a huge lost for mission-critical applications (e.g., financial platforms and social networks).

The second infrastructure type is VM [12, 14, 48, 63, 77]. VM abstracts away the heterogeneous physical computing resources, making computing resources easy to utilize and balance loads (e.g., via live migration [21, 61]). VM is also known for its secure isolation on resources [14, 48, 72]. Although some VM fault-tolerance approaches such as primary-backup [28, 68] exist, they consume much time and network bandwidth as they need to transfer all memory modified by applications across VM replications.

These two infrastructures usually work independently depending on runtime trade-off such as performance or security isolation. Some applications are run solely by schedulers (e.g., Mesos [39] usually uses lightweight Linux containers, not VMs), and some other applications are run solely by VMs (e.g., Amazon EC2). Therefore, this proposal strengthens the two infrastructures respectively. If people need to run an application with both infrastructures, they can choose either infrastructure developed from this proposal and the other one from outside. For instance, people can choose our TRIPOD scheduler (§2.2.1) and VMWare [77].

## 1.3 Motivation of objectives

The objectives of GAIA<sup>1</sup> stem from two research problems in datacenter computing. First, despite the core role and good deployments of PAXOS, it suffers from high consensus latency. Therefore, **Objective 1** addresses this problem by leveraging RDMA to create a fast consensus algorithm and implementation protocol. Second, although many mission-critical applications demand stringent availability, existing infrastructures lack such support. To benefit these applications, **Object 2 and 3** take a holistic methodology to integrate our protocol with two major infrastructures, potentially benefiting almost all applications.

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<sup>1</sup>Gaia is an ancient Greek goddess who takes good care of everything on earth, including software applications.

## 1.4 Related work by others

**Various consensus protocols.** There are a rich set of PAXOS algorithms [50, 51, 54, 60, 73] and implementations [18, 20, 27, 54]. Since consensus protocols play a core role in datacenters [1, 39, 83] and worldwide distributed systems [22, 53], various works have been conducted to improve specific aspects of consensus protocols, including commutativity [60], understandability [51, 62], and verifiable rules [35, 81]. PAXOS is notoriously difficult to be fast and scalable to a large consensus group [34, 46, 56].

To make PAXOS’s throughput scalable (i.e., more replicas, higher throughput), various systems leverage PAXOS as a core building block to develop advanced replication approaches, including partitioning program states [15, 34], splitting consensus leadership [16, 53], and hierarchical replication [34, 46]. These approaches have improved throughput. However, the core of these systems, PAXOS, still faces an unscalable consensus latency [34, 46, 56]. By realizing **Objective 1** (§2.1), these systems can scale even better.

**Fault-tolerance in schedulers.** Datacenter schedulers [10, 17, 39, 40, 42, 74, 75, 84] support diverse applications (e.g., Hadoop [37], Dryad [41], and key-value stores [67]). Existing schedulers mainly focus on obtaining high availability for themselves by replicating their own essential components, or focus on application recovery [84] instead of availability. To the best of our knowledge, no existing schedulers provide a high availability support to general applications. **Objective 2** (§2.2) aims to provide this support.

**Fault-tolerance in VM.** Two techniques, primary-backup [28, 68] and live migration [21, 61], exist for improving application reliability in VM. Primary-backup (e.g., vSphere [68]) uses the hypervisor on a primary VM to track memory pages modified by applications, it then transfers these pages to a backup VM. Live migration uses a similar technique as primary-backup. These techniques can be used to improve computer load balance and consolidating many VMs on fewer computers to save datacenter energy. A common problem in the two techniques is that they incur substantial application downtime (e.g., 8 seconds in vMotion [61]) and network bandwidth during the memory transfer. **Objective 3** (§2.3) will greatly mitigate this problem.

**RDMA techniques.** Recently RDMA is deployed in various types of datacenter networks, including Infini-band [4] and RoCE [6]. RDMA has been leveraged in many software systems to improve different performance aspects, including high performance computing [33], key-value stores [30, 43, 45, 57], distributed transactions [31, 79], and file systems [80]. These systems are complementary to GAIA objectives.

## 1.5 Related work by the PI and co-I

The PI is an expert on reliable concurrent and distributed systems [23, 24, 26, 27, 78, 82]. The PI’s works are published in premier conferences on systems (OSDI ’10, SOSP ’11, SOSP ’13, and SOSP ’15) and programming languages (PLDI ’12 and ASPLOS ’13). As preliminary results for this GAIA proposal, the PI has developed a fast consensus protocol [27] (part of **Objective 1**) and a fault-tolerant prototype scheduler [78] (part of **Objective 2**). The co-I is an expert on high-performance computing [11, 19, 44, 58, 85], fault-tolerance [69, 70], and VMs [49, 71, 76]. The co-I’s works are published in top systems conferences (Cluster ’02, SC ’13, and ICPADS ’14) and journals (JPDC ’00, TPDS ’13, IEEE Tran. Computers ’14).

# 2 Research Plan and Methodology

This section first proposes APUS (§2.1), a fast consensus protocol, it then leverages APUS to construct a scheduler (§2.2) and a VM (§2.3) for application availability. Finally, it describes research plan (§2.4).

## 2.1 Objective 1: building fast distributed consensus via RDMA

This section presents PAXOS performance problem (§2.1.1) and a fast, RDMA-powered protocol (§2.1.2).

### 2.1.1 Problem: consensus latency of existing PAXOS protocols are slow and unscalable

For efficiency, PAXOS (§1.1) typically assigns one replica as the leader to propose consensus requests, and the other replicas as backups to agree on requests. To agree on an input, at least one message round-trip is required between the leader and a backup. A round-trip causes big latency (hundreds of  $\mu$ s) as it goes through various software layers (e.g., OS kernels).

As replica group size increases, PAXOS consensus latency scales poorly: it increases drastically [34] due to the linearly increasing number of consensus messages. One common approach to improve PAXOS scalability is leveraging parallel techniques such as multithreading [7, 16] or asynchronous IO [27, 66]. However, the high TCP/IP round-trip latency still exists, and synchronizations in these techniques frequently invoke expensive OS events such as context switches.

Our preliminary study (Figure 3) ran four PAXOS-like protocols [7, 16, 27, 66] on 40Gbps network with only one client sending consensus requests. When changing the replica group size from 3 to 9, the consensus latency of three protocols increased by 30.3% to 156.8%, and 36.5% to 63.7% of this increase was in OS kernel. The only exception is SPaxos [16] because it batches requests from backups and starts consensus when the batch is full. More replicas causes shorter time to form a batch, but batching aggravates latency.

RDMA appears a promising solution (§1.1) to speed up PAXOS. However, fully exploiting RDMA speed in software systems is widely considered challenging by the community [31, 45, 57, 65]. For instance, DARE [65] presents a two-round, RDMA-based PAXOS protocol in a sole-leader manner: leader does all RDMA workloads and backups do nothing. Although DARE is fast with 3~5 replicas, our study (Figure 3) shows that DARE’s sole-leader nature incurred a scalability bottleneck and thus a linearly increasing latency.

### 2.1.2 APUS: a fast, scalable RDMA-based PAXOS protocol

Our key observation is that we should carefully separate RDMA workloads among the leader and backups, especially in a scalability-sensitive context. Intuitively, we can let both leader and backups do RDMA writes directly on destination replicas’ memory, and let all replicas poll their local memory to receive messages.

Although doing so will consume more CPU resources than a sole-leader protocol [65], it has two major benefits. First, both leader and backups participate in consensus, making it possible to reach consensus with only one round [54]. Second, all replicas can just receive consensus messages on their bare, local memory. An analogy is threads receiving other threads’ data via bare memory, a fast and scalable computation pattern.

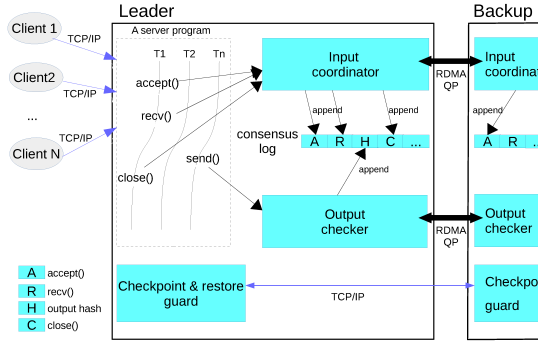


Figure 1: The APUS protocol architecture. Protocol components are shaded (and in blue color).

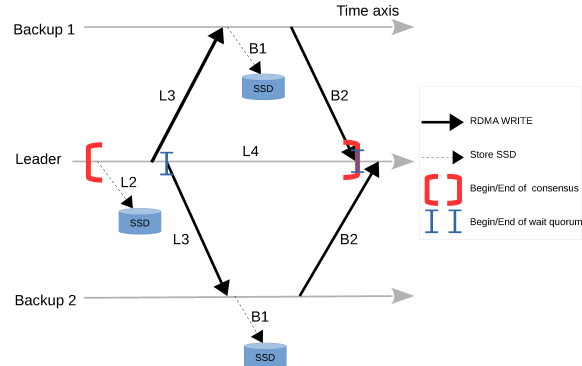


Figure 2: RDMA-powered consensus algorithm.

With this observation, we propose the design of APUS,<sup>2</sup> a new RDMA-based PAXOS protocol and its runtime system. Figure 1 shows APUS’s architecture. It is designed to support unmodified applications. Its runtime system will automatically deploy the same application on multiple replicas, intercept the application’s network inputs from its inbound socket calls (e.g., `recv`) with a Linux technique called `LD_PRELOAD`, and invoke a RDMA-powered algorithm (Figure 2) to enforce same network inputs across replicas. APUS architecture has four key components: an input consensus coordinator and an output checker (both are built on the algorithm), an in-memory consensus log, and a guard that handles application checkpoints and recovery.

<sup>2</sup>Apus is one of the fastest birds.



Figure 2 depicts APUS’s consensus algorithm in normal case. The leader first executes the actual inbound socket call (**L1**, not shown) to get actual inputs, it then invokes consensus across replicas. All solid arrows (**L3**, **B2**) are one-sided RDMA WRITES (§1.1) to remote replicas’ memory. All replicas poll from their own bare memory to receive consensus messages. To handle replica failures, all replicas store inputs to local SSD (**L2**, **B1**). For these WRITES, sending replicas need only copy data to local RDMA NIC and WRITES return, so APUS is scalable to consensus group size. In abnormal (rare) case, APUS uses an existing algorithm [54].

**Preliminary results.** We developed an APUS initial prototype in two phases. First, to support unmodified applications, we implemented its socket-intercepting runtime system, presented in SOSP 2015 [27]. APUS was able to support five widely used server applications (e.g., MySQL) without modifying them. Second, recently we implemented its RDMA-powered consensus algorithm on a 40Gbps RDMA network. Figure 3 shows APUS performance with 5 existing consensus protocols. To analyze the scalability of our algorithm, we ran APUS and DARE on up to 105 replicas. APUS’s consensus latency outperforms 4 traditional PAXOS protocols by 32.3X to 85.8X on 3 to 9 replicas. APUS is faster than DARE by up to 3.3X.

**Future work.** We will further improve APUS’s practicality in two dimensions. First, we will extensively evaluate its performance on broad latency-critical applications using diverse input workloads. Second, we will further torture its scalability on at least 10X more replicas and concurrent clients, identify its scalability bottleneck, and refine our algorithm.

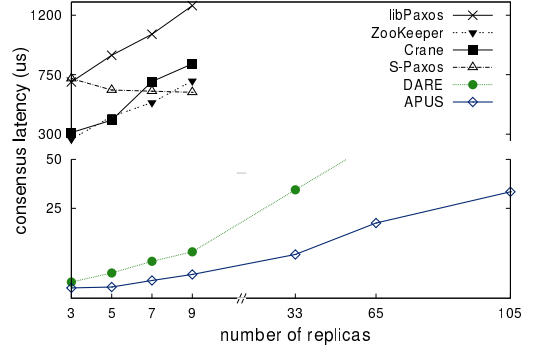


Figure 3: Consensus latency of six PAXOS protocols. Both X and Y axes are broken to fit in all these protocols. APUS achieves the smallest consensus latency.

## 2.2 Objective 2: building a fault-tolerant scheduler to improve application availability

Many existing schedulers have adopted PAXOS to improve availability for themselves. Typically, a scheduler use PAXOS to replicate its essential components such as a *controller*, which handles scheduling computation tasks to run on resources. In normal case, only one leading controller does the real work, and the other controllers act as backups to in case the leader’s failure. Unfortunately, most applications run by schedulers have not been made highly-available (although individual applications carry a replication approach [13, 29]).

A straw-man approach to achieve high application availability could be implementing a PAXOS protocol for every application. However, this will cause two major issues. First, PAXOS is notoriously difficult to understand [50, 62], implement [20, 54], or test [35, 81]. Developing a PAXOS protocol for every application is widely considered a nightmare [20, 35, 81] for application developers.

The second issue is, schedulers can be conflicting with PAXOS due to unawareness of an application’s PAXOS replication scheme. For instance, if an application submits multiple replications of the same computation task, a scheduler, which abstracts away computer identity, may incorrectly schedule some replications on the same computer (it must schedule each replication on different computers for PAXOS fault-tolerance).

### 2.2.1 TRIPOD: a first fault-tolerant scheduler architecture

This section proposes the design of TRIPOD, a scheduler infrastructure that can replicate all or essential computations of a general application. TRIPOD is formed by a widely used scheduler MESOS [39] and APUS (**Objective 1**). To avoid the two aforementioned issues (§2.2), TRIPOD’s design integrates PAXOS in a scheduler, not in applications. To achieve high application availability, unlike existing schedulers which let only one controller schedule tasks, TRIPOD runs replicas of the same task using replicas of controllers: after controllers agree on a new task with APUS, TRIPOD lets each controller independently schedule a replication of this task. To seamlessly resolve conflicts between the resource allocation scheme and replication scheme, TRIPOD introduces a replication-aware resource allocation scheme (§2.2.2).

Figure 4 depicts TRIPOD’s architecture, and its key components are shaded (and in blue). To illustrate how TRIPOD works in an application perspective, this figure shows two applications, Hadoop and MPI. Each task has a *replica strength* ( $R$ ) to denote the level of fault-tolerance it demands. This  $R$  value is either 1 (default, no replication) or no more than the number of controllers in TRIPOD. An application can assign different  $R$  values to tasks when it submits them to TRIPOD’s leader controller.

It is often easy for an application to determine which computation tasks are essential and thus require a bigger  $R$  value. For instance, Alluxio [9], a distributed storage application ran in MESOS, has an essential manager component to manage storages across computers. When running in our TRIPOD scheduler, Alluxio can just assign  $R=3$  to the launching task of its manager.

Figure 4 shows an example of replicating all Hadoop [37] tasks with  $R=3$ . Suppose Hadoop submits two tasks to TRIPOD’s leader controller, each has different shapes (triangle or hexagon). The leader controller then invokes a consensus on each task across controllers. Once a consensus is reached, each controller assigns the same task on different slave computers. The leader controller directly returns its computation result to the Hadoop application. A standby controller ignores computation results unless it becomes a new leader.

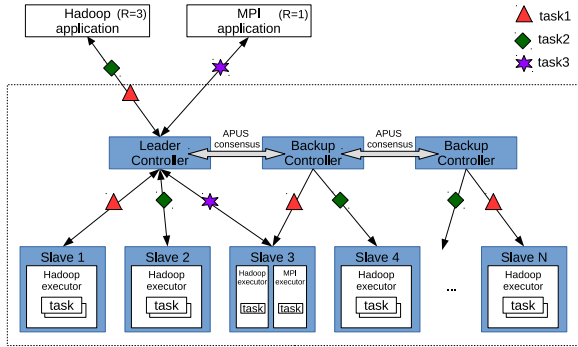


Figure 4: TRIPOD scheduler architecture. It replicates all Hadoop [37] tasks ( $R=3$ ) for fault-tolerance and runs all MPI [32] tasks as is ( $R=1$ ).

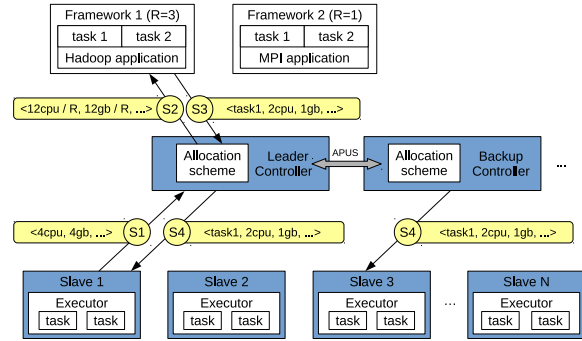


Figure 5: The replication-aware resource allocation scheme with four steps (S1~S4).

## 2.2.2 Replication-aware resource allocation scheme

Figure 5 shows the design of TRIPOD’s scheme on scheduling tasks with four steps. This scheme is similar to that in MESOS except the second and fourth steps. In these two steps, TRIPOD embeds the replication logic in its resource offers and allocations for the application. An application runs as if GAIA does not replicate any of its tasks, and TRIPOD transparently handles all the replication logic.

In the first step (S1), slave computers periodically report their available computing resources (e.g., CPU cores and memory) to the leader controller. In the second step (S2), instead of offering the exact available resources aggregated from slave computers, TRIPOD conservatively divides the amount of resources by each application’s max  $R$  value and then sends a resource offer to the application. The goal is to reserve enough resources for TRIPOD to replicate a task with  $R$  copies, although some tasks have only  $R=1$ .

In the third step (S3), an application submits tasks with  $R$  values determined by itself to the leader controller. If a task has  $R=1$ , leader schedules the task without consensus and revokes  $R-1$  resources specified in this task from the application. By doing so, the conservatively reserved resources are given back to TRIPOD. If a task has  $R>1$ , The leader then invokes a consensus on this task across controllers.

Once a majority of controllers agrees on executing a task with  $R>1$ , each controller does the fourth step (S4): it schedules this task on an available slave computer according to the resource offer. To prevent controllers putting the same task on the same slave computer, the leader controller first makes an assignment on which controller should run this task on which slave computer, it then carries this assignment in its consensus request. Once a consensus on this task is reached, each controller follows this assignment.



TRIPOD is designed to make a mission-critical application highly available by using  $R-1$  more resources than those consumed by the application’s essential computations. Non-essential computations use same resources as those in MESOS. Given that minor computer failures have caused severe outage disasters (e.g., 2015 NYSE trading halts [5] and several Facebook outage events in recent years [3]), we consider these extra resource consumptions worthwhile on improving application availability.

**Preliminary results.** We presented an early prototype of TRIPOD in APSys 2016 [78]. To evaluate a typical social-networking application, we ran TRIPOD with Redis [67], a key-value store used by Twitter and financial platforms [38]. Although Redis is a latency-critical application, compared to its own unreplicated execution, TRIPOD incurred merely 3.22% overhead in throughput and 3.31% in response time for Redis.

**Future directions.** We foresee three directions for TRIPOD’s future development. First, we will further improve the performance of its replication-aware resource allocation scheme through an extensive study on diverse applications. Second, currently this scheme is tied to MESOS. We will study other popular schedulers, summarize general resource allocation scheme patterns, then we will develop a scheduler-agnostic scheme for general datacenter schedulers. Third, currently this scheme requires application developers to determine  $R$  values for application components. We will leverage our expertise on precise program analysis [24, 25] to create new schemes that can automatically analyze an application and assign precise  $R$  values to different components. We believe that all these directions will generate new conceptual methods.

### 2.3 Objective 3: building a new fault-tolerant VM replication architecture

Existing VM fault-tolerance architectures are based on two similar techniques: primary-backup and live migration (§1.4). For instance, after processing every request, the primary-backup technique transfers all memory pages modified by applications (i.e., dirty pages in 4KB) from the primary to backup. This technique consumes  $2 \times V$  resources ( $V$  denotes resource volume consumed by an unreplicated execution). Although these two techniques are adopted by industry [61, 68], a major problem is that the transferred dirty bytes can be up to 1.7GB (§2.3.2), causing a substantial delay and network bandwidth consumption for *every* request.

A key reason for this problem is that existing techniques have only one real application execution. We will use APUS (**Objective 1**) to tackle this problem as it maintains multiple real executions. By using APUS to enforce same inputs across VM replicas, most dirty pages across replicas are same and needn’t transfer.

#### 2.3.1 Integrating APUS into the KVM hypervisor layer

We choose KVM [48] as our base VM for two main reasons. First, KVM is a mature, open source hypervisor in Linux. Second, it provides `tap_send()`, an input capturing API in its hypervisor. This API allows APUS to intercept network inputs on the leader VM and transparently replicates them on backup VMs.

We proactively design our VM replication architecture to maintain same  $2 \times V$  resource consumption as existing VM fault-tolerance techniques. Although PAXOS consumes  $3 \times V$  resources, our design reduces  $V$  resources by letting one backup act as the *major backup* with a high priority to become a new leader if current leader fails. The major backup agrees on and executes input requests, and the other backup acts as the *standby backup* which only agrees on requests without executing them (i.e., without consuming  $V$  resources). In total,  $2 \times V$  resources are consumed by the leader and major backup in our VM. To maintain the same fault-tolerance guarantee as PAXOS (i.e., to in case the standby backup being elected a leader), the major backup periodically does a standard checkpoint operation as in existing works [27, 36] and sends it to the standby backup. Although a checkpoint operation will slow down the major backup, it does not affect our performance because the leader and standby backup still form a majority and agree on requests rapidly.

#### 2.3.2 A new synchronization technique to track divergent pages across VM replicas

Although our VM architecture enforces same inputs across VM replicas, minor memory pages may still diverge across replicas (e.g., due to data races [52]). We propose a synchronization technique to efficiently track and transfer divergent pages, including (1) an efficient  $O(\log N)$  algorithm to track divergent pages, and (2) leveraging APUS’s RDMA-powered protocol to efficiently and reliably compare divergent pages.

A naive way is linearly comparing all  $N$  dirty pages between replicas to find divergent ones, but it is as slow as prior primary-backup techniques. Instead, our algorithm uses a Merkle tree [47] to track dirty pages on each replica. As shown in Figure 6, if a physical page is modified, our VM hypervisor appends it as a leaf to a Merkle tree and incrementally recomputes a hash with the page content towards all parents. This tree is efficient because if the roots of two trees have same hash, all leaves (pages) are the same.

However, our VM architecture poses a new interesting challenge: unlike previous works that tree leaves are static (e.g., Eve [47] treats static global variables in application code as leaves), the leaves in our trees are dynamic as they are dirty pages. Because dirty pages across replicas can be different, the structures (i.e., the number of internal nodes) of Merkle trees between two replicas can be different and hard to compare.

Our synchronization technique tackles this challenge by introducing a *tree adjustment* phase. It works with four steps. First, after processing one request, leader sends a dirty page bitmap provided by KVM to the major backup. Major backup does a union on this bitmap and its own one and sends back to leader. Second, both leader and the major backup do a tree adjustment with the union bitmap (e.g., a non-dirty page in the union is still appended to the tree), then leader and the backup’s trees have same leaves and same structure. Third, leader invokes **Algorithm 1** to do a  $O(\log N)$  traversal from tree root to find divergent leaves. To compare internal node hashes between leader and backup, the algorithm invokes an augmented APUS protocol as **APUS()**, which returns divergent nodes carried in the backup’s consensus reply. Fourth, leader transfers divergent pages to the major backup, then they both clear trees and dirty page flags.

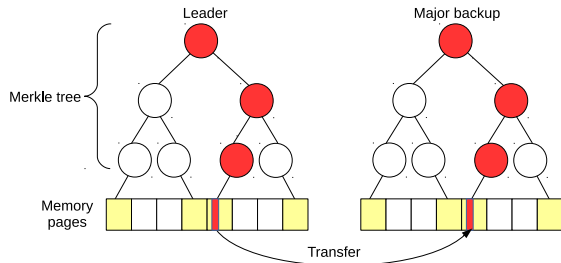


Figure 6: Data structures on tracking divergent dirty pages across replicas. Dirty pages are in yellow; divergent dirty pages and parents are in red.

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#### Algorithm 1: Tracking divergent memory pages

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**Input** : The root of Merkle tree on leader, *root*  
**Output**: Different memory pages between leader and replica, *pages*  
**Track**(*root*)  
     *pages*  $\leftarrow$  empty sequence  
     *diverged*  $\leftarrow$  **APUS**(*root*, *root*.left(), *root*.right())  
     **for** *i*  $\leftarrow$  *diverged*.push\_front() **do**  
         **if** *i* is\_leaf() **then**  
             *pages*.append(*i*)  
         **else**  
             *diff*  $\leftarrow$  **APUS**(*i*, *i*.left(), *i*.right())  
             *diverged*.append(*diff*)  
     **return** *pages*

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To see whether divergent pages are indeed rare, we ran three applications, MongoDB [59], Redis [67], and Memcached [55], on two KVMs with same real-world workloads [8]. We found 261.1K~429K dirty pages (i.e., up to 1.7GB). Primary-backup techniques have to transfer all dirty pages. We also found that only 14.2~29.3K of these dirty pages diverged between two VMs. These initial results imply that **Algorithm 1** can reduce up to 96.7% transferred pages compared to primary-backup techniques.

**Future directions.** We anticipate broad research outcomes in two directions. First, we will implement our algorithm and quantify its performance improvement compared to existing primary-backup techniques (e.g., vSphere [68]). Second, we will apply the algorithm to develop new lightweight VM live migration techniques (§1.4). Because VM primary-backup and migration techniques are applied to address broad challenges (e.g., improving resource utilization, balancing computer loads, and saving datacenter energy), applications of our techniques on these challenges will cultivate even broader innovative techniques.

## 2.4 Research plan

This project will require two PhD students S1 and S2 to work for three years. In the first year, S1 will design and fully implement the APUS protocol (part of **Objective 1**), and S2 will evaluate its performance and robustness on various real-world storage applications (part of **Objective 1**). In the second year, S1 will integrate APUS to a scheduler MESOS (part of **Objective 2**), and S2 will make APUS and KVM form an eco-system (part of **Objective 3**). In the third year, S1 and S2 will respectively evaluate the efficacy of their infrastructures built from **Objective 2** and **Objective 3** on diverse real-world applications.

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